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# The contribution of obesity to carotid atherosclerotic plaque burden in a general population sample in Norway: The Tromsø Study

Yume Imahori <sup>a,#</sup>, Ellisiv B. Mathiesen <sup>b</sup>, David A. Leon <sup>a,c</sup>, Laila A. Hopstock <sup>d</sup>, Alun D. Hughes <sup>f</sup>, Stein Harald Johnsen <sup>b</sup>, Lone Jørgensen <sup>d,e</sup>, Nina Emaus <sup>d</sup>, Katy E. Morgan <sup>g</sup>

<sup>a</sup>London School of Hygiene & Tropical Medicine, Department of Non-communicable Disease Epidemiology, Faculty of Epidemiology and Population Health, UK

<sup>b</sup>UiT The Arctic University of Norway, Department of Clinical Medicine, and Department of Neurology, University Hospital of North Norway, Norway

<sup>c</sup>UiT The Arctic University of Norway, Department of Community Medicine, Faculty of Health Sciences, Norway

<sup>d</sup>UiT The Arctic University of Norway, Department of Health and Care Sciences, Faculty of Health Sciences, Norway

<sup>e</sup>UiT The Arctic University of Norway, Department of Clinical Therapeutic Services, University Hospital of North Norway, Tromsø, Norway

<sup>f</sup>University College London, Department of Population Science & Experimental Medicine, UCL Institute of Cardiovascular Science, Faculty of Population Health Sciences, UK

<sup>g</sup>London School of Hygiene & Tropical Medicine, Department of Medical Statistics, Faculty of Epidemiology and Population Health, UK

\*\*Corresponding author: Yume Imahori, London School of Hygiene and Tropical Medicine, Keppel Street London WC1E 7HT, UK

Email address: yume.imahori@lshtm.ac.uk

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#### Abstract (250 words)

Background: Few studies have investigated the association of different measures of adiposity with carotid plaque.

Aims: To investigate and compare the associations of four measures of adiposity: body mass index (BMI), waist circumference (WC), waist-to-hip ratio (WHR), and waist-to-height ratio (WHtR) with the presence of carotid plaque and total plaque area (TPA) in the right carotid artery.

Methods: We included 4906 individuals aged 31-88 years who participated in a population-based study with ultrasonography of the right carotid artery. Adiposity measures were converted to sexspecific SD units to allow comparison of effect sizes. TPA was log transformed due to its skewed distribution. Logistic and linear regression models were used respectively to investigate the association of each adiposity measure with the presence of plaque and with log-transformed TPA. Estimates were adjusted for potential confounders and mediators such as blood pressure and lipids.

Results: After adjustment for age, sex, smoking, and education level, there was strong evidence of an association between all adiposity measures and log-transformed TPA, whereas only WHR was weakly associated with presence of plaque. WHR showed the largest adjusted effect size for both log-transformed TPA (beta 0.055, 95%CI 0.028-0.081) and the presence of plaque (OR 1.07, 95%CI 1.01-1.15). Adjustment for mediators led to appreciable attenuation of observed effects.

Conclusions: Adiposity is more consistently associated with extent of plaque burden than with whether an individual does or does not have any plaque. There was evidence that established biomarkers mediate much of this association. Abdominal adiposity appears to show the strongest effect.

**Key words:** Atherosclerosis, carotid plaque, adiposity, anthropometric measures, waist-to-hip ratio (WHR)

#### Introduction

Obesity is a global epidemic, affecting an increasing proportion of the world's population (1). In 2014, approximately 40% of adults worldwide were estimated to be overweight, and 13% obese (2). This trend is alarming because it might be expected to result in a steep increase in non-communicable diseases, particularly cardiovascular disease (CVD) which is the leading cause of death worldwide. Obesity contributes to CVD through a variety of pathways including hypertension, hyperlipidemia, and diabetes (3). A better understanding of the pathways through which obesity affects CVD risk may contribute to developing interventions to mitigate the effect of this modifiable risk. Furthermore, it is known that to achieve sufficient weight-loss and to sustain it for a long-term is relatively difficult while the control of hypertension, hyperlipidemia, and diabetes are well-established (4, 5).

Many studies have investigated the association between various adiposity measures and subclinical carotid atherosclerosis (6). However, most of these have focussed on carotid intima-media thickness (IMT). Studies using carotid plaque as an outcome are scarce, and most of them use the binary indicator of presence or absence of plaque (7-15). Although studies have consistently shown that increased IMT predicts future CVD events, increased IMT does not necessarily reflect atherosclerotic changes of the carotid artery and can be caused by other mechanisms (16). In contrast, the presence of carotid plaque is characteristic of a later stage of atherosclerosis and thus, not surprisingly, predicts future CVD events better than IMT (17). Beyond this, a quantitative measure of carotid plaque burden, such as total plaque area (TPA), has also been shown to be strongly predictive of future CVD events (18, 19).

A small number of studies have investigated the association of body mass index (BMI) with quantitative measures of carotid plaque burden, although they failed to demonstrate a significant association (13, 20). However, BMI has limitations as a measure of adiposity (21). It neither differentiates between fat and lean mass nor does it reflect body fat distribution. Accumulating evidence suggests that abdominal obesity may be correlated with CVD risks more strongly than general obesity, reflecting a more important role of visceral adipose tissues in the development of CVD (22). Waist circumference (WC), waist-to-hip ratio (WHR), and waist-to-height ratio (WHtR) are easily evaluated in a routine clinical setting and reflect abdominal obesity better than BMI. To our knowledge, associations of plaque burden with these alternative measures of adiposity have not been investigated.

The aim of our study was to evaluate the associations between four adiposity measures (BMI, WC, WHR, WHtR) and plaque presence (yes/no) as well as TPA in a population-based sample. In addition, we investigated the extent to which established CVD risk factors mediated any such associations. We used the Norwegian Tromsø Study which is one of few population-based studies that have quantitative plaque measures as well as multiple measures of adiposity.

#### **Materials and Methods**

#### **Participants**

Subjects in this analysis participated in the fifth survey of the Tromsø Study (Tromsø 5) conducted in 2001-02. The Tromsø Study is a large population-based study with repeated health surveys conducted in the municipality of Tromsø, Norway, which started in 1974. Details of the Tromsø Study have been published previously (23).

The study consisted of two parts: a first visit that collected data via questionnaires, interviews, physical examinations and biological samples, and a second visit at which more extensive clinical examinations were conducted, including carotid ultrasonography. A total of 10353 people aged 30-89 years were invited to the first visit, and 8130 people attended (23). A subset of 6969 participants among those who attended the first visit were invited to the second visit, of whom 5952 attended. The Regional Committee for Research Ethics approved the study, and The Norwegian Data Inspectorate licensed the data.

#### First visit measurements

Height, weight, waist and hip circumference were measured using standard methods. Blood pressure was measured using Dinamap Vital Signs Monitor 1846l. After a 2-minute rest, three measurements were taken with one-minute intervals, with the participant in a sitting position. The mean of the two final readings was used in the analysis. Smoking habit, length of education (years), and medical history (hypertension, diabetes, CVD) were collected from self-administered questionnaires. A non-fasting blood sample was obtained. Serum total cholesterol and triglycerides were analyzed with enzymatic colorimetric methods. Serum high-density lipoprotein (HDL) cholesterol was measured after separating apoB containing lipoproteins by using heparin and manganese chloride (24). Low-density lipoprotein (LDL) cholesterol was calculated using the Friedewald equation.

#### Ultrasound examination

By design, the carotid plaque examinations excluded those who had not had a carotid ultrasound examination in the Tromsø 4 study (1994-95). This meant that of those who attended the second visit (N=5952), carotid ultrasound examinations in Tromsø 5 were conducted on only 5453 participants, of whom data was available for analysis for 5423.

Carotid plaque was assessed by recording ultrasonographic images of the right carotid artery using an Acuson Xp10 128 ART ultrasound scanner equipped with a linear array 5-7 MHz transducer. Participants were in a supine position during the examination.

The plaque was defined as a localized protrusion of the vessel wall into the lumen of at least 50% compared with the adjacent intima-media thickness. The near and far wall of the common carotid artery, bifurcation, and internal carotid artery were examined to seek plaques, and a score was calculated based on the presence of plaque in each location up to a maximum of six. For each plaque identified, a longitudinal still plaque image was recorded. Subsequent offline reading was undertaken to measure plaque area using a semi-automatic border detection software Arterial Measurement System (AMS) that involved the operator outlining each plaque (25). For those with more than one plaque, all plaque areas were added together to calculate TPA. Details of the ultrasound examination have been described in a previous publication (26).

#### **Statistical methods**

Characteristics of the study population were summarised using means with standard deviations (SD) or medians with the inter-quartile range for continuous variables, or numbers and percentages for categorical variables.

The distribution of TPA was highly skewed and zero-inflated (Figure 1), which led us to use a combination of two models. A logistic regression model was used with presence of plaque (yes/no) as an outcome to explore the association of adiposity with having at least one plaque. Secondly, log-transformed TPA was used as the outcome in a linear regression model to explore the association between adiposity and plaque burden excluding those with no recorded plaque. TPA was log-transformed to improve the approximation to the normal distribution. These two models in conjunction are equivalent to using a hurdle model (27), with the logistic regression modelling the distribution of zero plaque versus at least one plaque, and the linear regression modelling the distribution of the non-zero measures.

For both outcomes, we investigated the association with four different measures of adiposity: BMI, WC, WHR, WHtR. To directly compare the strength of association of each adiposity measure, taking account of the different distributions in men and women, we calculated sex-specific standardized adiposity scores—by subtracting the sex-specific mean of each adiposity measure from the observed value and then dividing by the sex-specific SDs. We fitted a sequence of three models to each of the adiposity measures in turn. Model 1 was adjusted for age as a continuous variable and sex. Model 2 was further adjusted for potential behavioural confounders; smoking (a categorical variable of three levels: current smoker, ex-smoker, never-smoker), and years of education (as a continuous variable). Model 3 was additionally adjusted for potential biological mediators of the association between adiposity and plaque as follows; systolic blood pressure (SBP), HDL-cholesterol, LDL-cholesterol and glycated hemoglobin (HbA1c), all as continuous variables. These analyses were restricted to participants with all four adiposity measures and all covariates recorded to compare effect sizes.

Previous research on adiposity in relation to cIMT has suggested that there may be different associations seen in men and women (28). We therefore checked for interactions with sex in the associations of adiposity with TPA, by adding interaction terms for all three models.

Statistical analyses were performed using Stata statistical software (version 14: Stata Corp) (29).

#### **Results**

Of the 5423 subjects for whom we had carotid ultrasound data, we used the subset of 4906 who had complete data on all relevant variables. Missing data on education (5%) and HbA1c (2%) were the main reasons for subjects being dropped from the analyses. The characteristics of the subset analysed were very similar to that of all subjects who had undergone carotid ultrasound examination (data not shown).

The prevalence of carotid plaque and median TPA are shown in Table 1. The prevalence of having at least one plaque was 60%. Table 2 shows the means of each adiposity measure by category of plaque burden. There was a tendency for the adiposity means to increase across categories of plaque burden except for BMI.

Table 3 shows the odds ratios for the presence of plaque for each of the four adiposity measures. In models 1 and 2 only WHR showed some evidence of an association with presence of plaque. This was attenuated and became non-significant on adjustment for mediators in model 3.

Table 4 shows the associations of log-TPA with each adiposity measure. There was evidence of positive associations with all four measures in model 2 after adjustment for potential confounders, with WHR showing the strongest effect. All associations were attenuated on further adjustment for potential mediators, with WHR remaining the only one showing (weak) evidence of an association. Tests for interactions between adiposity and sex and adiposity and age on log-TPA were non-significant (data not shown).

#### **Discussion**

To our knowledge, this is the first study to investigate the burden of carotid plaque and multiple adiposity measures in a large population-based study. Our study had three major findings. First, all adiposity measures were associated with log-TPA, but the evidence for an association with plaque presence was weak except for WHR. Second, the associations between adiposity and carotid plaque appeared to be at least partially mediated by traditional CVD risk factors. Thirdly, our main measure

of central obesity (WHR) showed the strongest and most consistent association with plaque presence and burden.

Previous studies investigating the association between adiposity and the presence of plaque are scarce, and the results are inconsistent (7-12) in part reflecting differences in statistical methods and the extent to which adjustments were made separately for potential confounders and mediators. Czernichow et al. showed that BMI, WC, and WHR were not associated with carotid plaque occurrence after adjustment for traditional CVD risk factors in 1014 healthy adults (9). Several other studies however had results that were broadly consistent with our analyses. A French study with 6265 participants aged 65-years and older showed that frequency of carotid plaque increased as WC and WHR increased, but the association became non-significant after the adjustment for traditional CVD risk factors (10). On the other hand, WHR had significant associations with the presence of plaque in two cross-sectional studies. Chaubey et al. showed that the odds of having plaque increased by approximately 30% as WHR increased by 0.1 after adjustment for traditional CVD risk factors (8). Finally, an Australian population study showed that those with WHR larger than 0.91 had two-fold increased odds for having plaque compared to those with smaller WHR (11).

The particularly weak association between BMI and the burden of carotid plaque in our analysis after adjustment for traditional CVD risk factors is in line with the only two previous study results (13, 20). Herder et al. showed that baseline BMI was not associated with future TPA nor change in TPA in a 13-year follow-up study (13). Selwaness investigated determinants of plaque burden assessed using MRI, and BMI was not significantly associated with the burden of plaque (20). We were unable to find any other studies that had examined plaque burden in relation to any of our three other measures of adiposity.

The fact that our study has found evidence for adiposity being related to extent of plaque burden rather than the presence/absence of plaque per se is intriguing. This may indicate that adiposity contributes to the process of the development of existing plaque more than the process of plaque initiation. However, because TPA is a continuous measure and contains more information than the binary plaque (present/absent) variable this may make an association easier to detect.

Several studies investigated the association between multiple adiposity measures and IMT and compared the effect size. However, their results are inconsistent. After adjustment for traditional CVD risk factors, some studies showed abdominal obesity was more strongly associated with IMT (30, 31) while in one study BMI showed the largest effect size (9). In another study, BMI and WC showed the same effect size (32). It seems which anthropometry measure is the most strongly associated with IMT is still inconclusive.

The potential importance of our finding that TPA is associated with adiposity is underlined by the fact that it has been found to be predictive of CVD events. Spence et al. investigated 1686 patients from an

atherosclerosis prevention clinic and showed that those in the top TPA quartile had nearly three times the odds of stroke and myocardial infarction compared to those in the first TPA quartile after 5-year follow up (18). The Tromsø Study compared the associations between myocardial infarction and stroke with IMT and TPA. TPA was a strong predictor of future myocardial infarction and stroke, while IMT at the common carotid artery was not associated with future events (19, 34). Sillesen et al. showed that plaque burden was more strongly associated with coronary artery calcium score than IMT (35).

One of our most striking results is that the association of adiposity with the burden of carotid plaque is strongly attenuated by adjustment for biological factors that are plausible mediators of this association. Because our study is cross-sectional it is not possible to describe this as definitive evidence of mediation, but it is consistent with such an interpretation. Looking at the larger literature on mediators of the association between obesity and CVD events, a recent pooled-analysis using 97 cohort studies showed that half of the risk of coronary heart diseases and stroke was mediated by blood pressure, cholesterol, and glucose(36). A more recent report from the ARIC study suggested that the association of obesity with coronary heart disease was fully explained by traditional mediators (37). These results taken overall suggest that strict control of traditional CVD risk factors including lipids and blood pressure could substantially mitigate the harmful effect of obesity on carotid atherosclerosis.

When comparing the effect sizes of four different adiposity measures, WHR showed the largest effect size with a significant association with log-TPA followed by WHtR. While these estimated effects have wide and overlapping confidence intervals and thus any differences need to be treated cautiously, our results do suggest that central (visceral) obesity may play a more important role than obesity as measured by BMI. Visceral adipose tissue is known to pose a greater risk for CVD with its stronger association with insulin resistance and dyslipidemia than total fat and subcutaneous adipose tissue (38, 39). It has also been suggested that the visceral adipose tissue contributes to the progress of atherosclerotic change (40).

Our study has some limitations. Firstly, the cross-sectional design does not allow conclusions concerning causality. Secondly, TPA is essentially a 2-dimensional proxy for the volume of a 3-dimensional plaque structure. While this may result in measurement error relative to a better assessment of plaque volume, using 3-dimensional ultrasound or MRI for example, this is unlikely to bias the strength of association with plaque size as long as this is a random measurement error in the outcome variable (47). Thirdly, we only had data available for the right carotid artery. However, this is unlikely to have generated bias as there is no reason to believe that the strength of association between adiposity and plaque will differ between right and left carotid. Fourthly, the prevalence of plaque was relatively high in our population. This may be because participants were relatively old and

the study was conducted in 2001-02 when the CVD event rate in the population was appreciably higher (48). To this extent, whether the strength of association we report here is generalizable to contemporary populations is a matter for further investigation. Finally, a particular strength of our study is that the data are from a large population-based study with a high response rate.

In summary, our results suggest that adiposity measures reflecting abdominal obesity such as WHR may be more closely associated with plaque burden than the traditional measure of BMI, and that these effects may be mediated by established biological risk factors such as blood pressure and lipids. Although further studies are needed to investigate the usefulness of these adiposity measures as predictors for an individual in a clinical setting assessment of these measures may help to identify a high-risk group with advanced carotid plaque. Further research is desirable to investigate the prospective association between adiposity and carotid plaque burden. It has been suggested that TPA could capture the progress of atherosclerosis more sensitively than IMT because longitudinal plaque growth is faster than its growth toward the lumen (13, 51). This would make TPA suitable for prospective studies.

#### Conclusion

Adiposity is more consistently associated with extent of plaque burden than with whether an individual does or does not have any plaque. Abdominal adiposity appears to show the strongest effects. There was evidence that established biomarkers mediate much of this association. It would be useful to examine the prospective effect of abdominal obesity on the progress of carotid atherosclerosis in a cohort study.

#### **Conflict of interest (mandatory)**

The authors declared they do not have anything to disclose about conflict of interest with respect to this manuscript.

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N/A

#### **Author contributions**

YI undertook the analyses and drafting of the manuscript. KM advised on statistical methods and analysis. KM, DAL, EM, LAH, and AH provided ongoing guidance during drafting. All authors commented on drafts of the paper and approved the final manuscript.

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### Highlights

- \* All adiposity measures were associated with total plaque area
- $^{*}$  Most of the associations between plaque burden and adiposity appeared to be partially mediated by traditional CVD risk factor
- \*Waist-to-hip ratio showed the largest effect size among four adiposity measures

Table 1. Participant characteristics of study population. The Tromsø Study 2001-02

	Participants included in	Participants included in		
	the analysis* (n=4906)	the analysis with plaque		
	the unarysis (n=4500)	**(n=2906)		
Age (years)	66 (60-72)	68 (63-74)		
median(IQR)	00 (00 72)	00 (63 7 1)		
Sex (% of women)	2722 (55.5)	1450 (49.9)		
Current smoker (%)	1249 (25.5)	794 (27.3)		
Ex-smoker (%)	1999 (40.8)	1234 (42.5)		
Education (year)	9.9 (3.6)	9.4 (3.3)		
SBP (mmHg)	143.0 (21.6)	147.3 (21.5)		
Anthropometry	143.0 (21.0)	147.3 (21.3)		
measures				
Height (cm)	167.4 (9.3)	167.3 (9.5)		
Weight (kg)	75.2 (13.9)	75.1 (13.8)		
BMI (kg/m <sup>2</sup> )	26.8 (4.2)	26.8 (4.1)		
WC (cm)	90.3 (12.2)	91.1 (12.0)		
WHR	0.89 (0.09)	0.90 (0.09)		
WHtR	0.54 (0.07)	0.55 (0.07)		
Blood sample	0.57 (0.07)	0.55 (0.07)		
HDL cholesterol	1.43(1.19-1.72)	1.42 (1.18-1.70)		
(mmol/l) median(IQR)	1.43(1.19-1.72)	1.42 (1.18-1.70)		
LDL cholesterol	4.11 (1.07)	4.16 (1.09)		
(mmol/l)	4.11 (1.07)	4.10 (1.05)		
Triglycerides (mmol/l)	1.34 (0.96-1.90)	1.39 (1.00-1.94)		
median (IQR)	1.54 (0.50 1.50)	1.55 (1.00 1.54)		
HbA1C (%)	5.50 (0.80)	5.58 (0.83)		
Comorbidities (self-	2.23 (0.03)	0.00 (0.00)		
report)				
Diabetes (%)	222 (4.6)	152 (5.4)		
Myocardial infarction	378 (7.9)	311 (11.0)		
(%)	(1.17)			
Angina pectoris (%)	501 (10.5)	398 (14.1)		
Stroke (%)	191 (4.0)	148 (5.2)		
Current medication				
(self-report)				
Anti hypertensive drug	1194 (24.9)	869 (31.7)		
(%)	, ,			
Lipid lowering drug	708 (15.0)	541 (19.5)		
(%)	, í	, ,		
Carotid variable				
plaque presence (%)	2906 (59.2%)	2906 (100%)		
the number of plaque	, ,	` ′		
(%)				
1	1372 (28.0)	1372 (47.2)		
2	931 (19.0)	931 (32.0)		
3	427 (8.7)	427 (14.7)		
4	134 (2.7)	134 (4.6)		
5	36 (0.7)	36 (1.2)		
6	6 (0.1)	6 (0.2)		
TPA (mm <sup>2</sup> )	,	17.6 (10.0-31.3)		
( /	1	()		

Data are mean values with standard deviations for continuous variables except for age, triglycerides, HDL cholesterol, and TPA which is shown with median and interquartile range. BMI: body mass index, WC: waist circumference, WHR: waist-to-hip ratio, WHtR: waist-to-hight ratio, HDL: high-density lipoprotein, LDL: low-density lipoprotein, HbA1c: glycated haemoglobin, TPA: total plaque area \*Participants are restricted to those with all main variables and non-missing TPA variable\*\*Participants are restricted to those with all main variables and at least one plaque

Table 2. The association between adiposity and TPA quartiles (crude). The Troms $\emptyset$  Study 2001-02.

	Total	BMI	WC	WHR	WHtR
	number*	$(kg/m^2)$	(cm)		
Persons without	2000	26.8 (4.3)	89.0 (12.5)	0.87 (0.09)	0.53 (0.07)
plaques					
Persons with plaques					
TPA quartile 1 (lowest)	727	26.7(4.2)	89.3 (11.9)	0.88 (0.09)	0.54 (0.07)
TPA quartile 2	726	27.1 (4.3)	91.2 (12.3)	0.89 (0.09)	0.55 (0.07)
TPA quartile 3	727	26.6 (3.9)	90.8(11.7)	0.90 (0.09)	0.54 (0.07)
TPA quartile 4	726	26.7 (4.0)	93.2 (11.7)	0.92 (0.08)	0.55 (0.07)

Data are mean values with standard deviations. BMI: body mass index, WC: waist circumference, WHR: waist-to-hip ratio, WHtR: waist-to-hight ratio, TPA: total plaque area \*Only the participants with all adiposity variables and covariates are used in this table

Table 3. The association between sex-specific standardized adiposity scores and the presence of plaque (Yes/No) using logistic regression: this analysis is restricted to participants with non-missing presence of plaque variable and all variables in the model (n=4906). The Tromsø Study 2001-02.

	Model 1 OR (95%CI)	p-value	Model 2 OR (95%CI)	p-value	Model 3 OR (95%CI)	p-value
st BMI	0.98 (0.92, 1.04)	0.45	1.03 (0.96, 1.09)	0.45	0.95 (0.88, 1.01)	0.11
st WC	0.98 (0.92, 1.05)	0.58	1.01 (0.95, 1.08)	0.79	0.92 (0.86, 0.99)	0.03
st WHR	1.08 (1.01, 1.15)	0.02	1.07 (1.01, 1.15)	0.03	1.01 (0.94, 1.08)	0.87
st WHtR	1.02 (0.96, 1.08)	0.57	1.04 (0.98, 1.11)	0.21	0.96 (0.90, 1.03)	0.28

St BMI:standardized body mass index, st WC: standardized waist circumference, st WHR: standardized waist-to-hip ration, st WHtR: standardized waist-to-height ratio, OR: odds ratio, 95% CI: 95% confidence interval, SD: standard deviation, Model 1: adjusted for age and sex, Model 2: adjust for variables in Model 1 plus other confounders (smoking and education), Model 3: adjusted for variables in Model 2 and mediators (systolic blood pressure, HDL cholesterol, LDL-cholesterol, glycated hemoglobin)

Table 4. The association between sex-specific standardized adiposity scores and log-transformed TPA using linear regression: this analysis is restricted to participants with plaque and all variables in the model (n=2906). The Tromsø Study 2001-02.

	Model 1 β (95%CI)	p-value	Model 2 β (95%CI)	p-value	Model 3 β (95%CI)	p-value
st BMI	0.012 (-0.015, 0.039)	0.38	0.032 (0.004, 0.059)	0.02	0.002 (-0.026, 0.031)	0.87
st WC	0.031 (0.005, 0.058)	0.02	0.042 (0.015, 0.068)	0.002	0.012 (-0.017, 0.040)	0.42
st WHR	0.056 (0.029, 0.083)	<0.001	0.055 (0.028, 0.081)	<0.001	0.030 (0.002, 0.058)	0.04
st WHtR	0.038 (0.011, 0.065)	0.005	0.046 (0.019, 0.073)	0.001	0.017 (-0.012, 0.045)	0.26

St BMI: standardized body mass index, st WC: standardized waist circumference, st WHR: standardized waist-to-hip ratio, st WHtR: standardized waist-to-height ratio, β: regression coefficient, 95% CI: 95% confidence interval, SD: standard deviation, Model 1: adjusted for age and sex, Model 2: adjust for variables in Model 1 plus other confounders (smoking and education), Model 3: adjusted for variables in Model 2 and mediators (systolic blood pressure, HDL cholesterol, LDL-cholesterol, glycated hemoglobin)