

# Support for an independent familial segregation of executive and intelligence endophenotypes in ADHD families

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**Background.** Impairments in executive functioning (EF) and intelligence quotient (IQ) are frequently observed in children with attention deficit hyperactivity disorder (ADHD). The aim of this paper was twofold: first, to examine whether both domains are viable endophenotypic candidates for ADHD and second to investigate whether deficits in both domains tend to co-segregate within families.

**Method.** A large family-based design was used, including 238 ADHD families (545 children) and 147 control families (271 children). Inhibition, visuospatial and verbal working memory, and performance and verbal IQ were analysed.

**Results.** Children with ADHD, and their affected and non-affected siblings were all impaired on the EF measures and verbal IQ (though unimpaired on performance IQ) and all measures correlated between siblings. Correlations and sibling cross-correlations were not significant between EF and IQ, though they were significant between the measures of one domain. Group differences on EF were not explained by group differences on IQ and vice versa. The discrepancy score between EF and IQ correlated between siblings, indicating that siblings resembled each other in their EF–IQ discrepancy instead of having generalized impairments across both domains. Siblings of probands who had an EF but not IQ impairment, showed a comparable disproportionate lower EF score in relation to IQ score. The opposite pattern was not significant.

**Conclusions.** The results supported the viability of EF and IQ as endophenotypic candidates for ADHD. Most findings support an independent familial segregation of both domains. Within EF, similar familial factors influenced inhibition and working memory. Within IQ, similar familial factors influenced verbal and performance IQ.

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**Key words:** Attention deficit hyperactivity disorder, endophenotype, executive functions, intelligence, sibling.

## Introduction

Executive functioning (EF) is probably the most extensively studied domain in attention deficit hyperactivity disorder (ADHD) (APA, 1994; Pennington & Ozonoff, 1996; Clark *et al.* 2000; Sergeant *et al.* 2002; Seidman *et al.* 2004; Boonstra *et al.* 2005; Willcutt *et al.* 2005; Doyle, 2006). EF has been defined as ‘those capacities that enable a person to engage successfully in independent, purposive, self-serving behaviour’ (Lezak, 1995). EF impairments have been reported in many studies with ADHD patients, with problems in inhibition and working memory being the most frequently replicated (Pennington & Ozonoff, 1996;

Clark *et al.* 2000; Sergeant *et al.* 2002; Seidman *et al.* 2004; Boonstra *et al.* 2005; Willcutt *et al.* 2005; Doyle, 2006). EF impairments appear to be (partly) related to abnormalities in the frontal lobe and frontal-subcortical structures found in patients with ADHD (Castellanos & Tannock, 2002; Durston, 2003), since frontal lesions sometimes produce symptoms as observed in patients with ADHD (i.e. distractibility, hyperactivity, and impulsivity) as well as deficits on EF tasks (Mattes, 1980; Stuss & Benson, 1986; Benson, 1991; Heilman *et al.* 1991; Fuster, 1997; Willcutt *et al.* 2005).

An issue related to EF in ADHD is intelligence. Intelligence may be defined as ‘the aggregate or global capacity of the individual to act purposefully, to think rationally and to deal effectively with his environment’ (Wechsler, 1944). Several parallels emerge between both domains. Like EF, a widespread finding

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across studies is a somewhat lower intelligence quotient (IQ) in children with ADHD (Mariani & Barkley, 1997; Frazier et al. 2004; Kuntsi et al. 2004), having on average a 7- to 12-point lower full-scale IQ than controls with effect sizes being somewhat larger for verbal IQ (VIQ) than performance IQ (PIQ) (Frazier et al. 2004; Kuntsi et al. 2004). Furthermore, like EF, IQ seems to be (at least partly) mediated by frontal circuits (Duncan et al. 1995, 1996; Gray et al. 2003; Haier et al. 2004; Toga & Thompson, 2005). Both EF and IQ are substantially influenced by heritability (Doyle et al. 2005b; Plomin & Spinath, 2005). Previous research has shown certain polymorphisms in genes that relate to ADHD (*DRD4* and *DAT1*) that are also related to both EF and IQ (Kuntsi et al. 2004; Doyle et al. 2005b; Khan & Faraone, 2006; Mill et al. 2006; Boonstra et al. 2007).

Unclear from the majority of studies reporting on EF and IQ in patients with ADHD is whether problems in EF and IQ are causally related to ADHD, or are merely associated with the disorder. From an aetiological perspective, EF and IQ impairments may give rise to behavioural symptoms of inattention and hyperactivity-impulsivity. However, the reverse is also possible: being more inattentive and hyperactive-impulsive may cause abnormal performance on tasks measuring EF and IQ. In the latter case, EF and IQ impairments may not shed light on the neuro(psycho)logical causes leading up to ADHD but merely reflect an association with the disorder.

Research into non-affected siblings may help distinguish between these two alternatives: non-affected siblings do not suffer from ADHD, which makes it unlikely that the possible neuro(psycho)logical dysfunctions observed in this group are a result of inattention and hyperactivity-impulsivity. If EF and IQ impairments are indeed found in non-affected relatives, it is possible that EF and IQ dysfunctions form endophenotypes of ADHD: heritable, vulnerability traits that heighten the risk for developing the disorder (Gottesman & Gould, 2003; Waldman, 2005). Non-affected siblings share on average half of their genes with their affected sibling and will, therefore, probably carry some of the susceptibility genes for ADHD. This underlying susceptibility for the disorder expresses itself in subtle neuro(psycho)logical abnormalities that may be picked up by sensitive neuro(psycho)logical tasks but are not sufficient to cause the behavioural symptoms of inattention and hyperactivity-impulsivity. Such endophenotypes may be useful in genetic research, since it is theorized that they relate more strongly to susceptibility genes for ADHD than behavioural symptoms (Gottesman & Gould, 2003; Waldman, 2005). Therefore, the first aim of our study was to investigate whether EF and

IQ form candidate endophenotypes for ADHD. We limited our investigation of EF to inhibition and working memory, since deficits in these two functions are the most reliably replicated ones in ADHD and both functions have been put forward as the most likely endophenotypic candidates within the EF domain (Castellanos & Tannock, 2002). If EF and IQ impairments are indeed viable endophenotypic candidates, it may be expected that non-affected siblings portray problems in both domains and that siblings resemble each other in EF and IQ.

Few studies have targeted EF and IQ within ADHD families and results appear inconsistent. Two studies have failed to find neurocognitive impairments in parents of children with ADHD (Murphy & Barkley, 1996; Asarnow et al. 2002). Another study found no impairment on isolated measures of EF in non-affected siblings of males with ADHD, although a composite of EF measures nearly ( $p=0.06$ ) differentiated non-affected siblings from controls (Seidman et al. 2000). Another study reported that a variety of EF measures was familial but only a minority of the measures demonstrated impairments in the non-affected relatives (Nigg et al. 2004). More promising results have been reported by Waldman et al. (2006), showing that various EF measures are impaired in non-affected siblings and correlated between siblings; also, a study focusing on twins discordant for ADHD reported on various EF measures as endophenotypic candidates (Bidwell et al. 2007). Studies that have specifically targeted inhibition as a cognitive endophenotype have also reported promising results: two studies reported non-affected siblings as performing intermediately between their affected siblings and controls (Slaats-Willemse et al. 2003; Schachar et al. 2005) and a third study reported that poor inhibition in children with ADHD was related to a higher prevalence of ADHD among their relatives (Crosbie & Schachar, 2001). Subtle problems in interference control have been reported in non-affected relatives of girls with ADHD (Doyle et al. 2005a) and significant correlations have been found for inhibitory control between affected siblings (Slaats-Willemse et al. 2005). These findings suggest that inhibition may be a viable executive function to serve as an endophenotype, since it appears deficient (to a certain degree) in non-affected relatives of ADHD patients and correlates between siblings. No such data have been reported on working memory in non-affected siblings. With respect to IQ, two studies have reported lower IQ in relatives of ADHD patients (Faraone et al. 1993, 1996). These studies suggest that there may be some impairment in EF and IQ in non-affected relatives, though these impairments are not found on all EF tasks and the effect appears to be small. Clearly, research is needed

to further explore the utility of EF and IQ as endophenotypes for ADHD.

An unaddressed issue in all these studies with ADHD patients and their relatives is the interrelatedness between EF and IQ. Although EF and IQ appear to bear some parallels at the behavioural, neurological, and genetic levels, the relationship between EF and IQ is a complex one. In various studies using ADHD patients and control subjects, a positive relationship has been found between EF and IQ (Bull & Scerif, 2001; Miyake *et al.* 2001; Mahone *et al.* 2002; Gray *et al.* 2003). Different explanations have been offered: EF underlies a lower IQ, or vice versa that IQ is at the heart of EF, or that there is no hierarchical relationship between both domains but both domains share common causes (Schretlen *et al.* 2000; Engle, 2002; Conway *et al.* 2003). In latter case, it is expected that problems in EF and IQ co-segregate within families. If so, data will indicate that (1) EF of a child will relate to IQ in their siblings and vice versa; (2) a principal component analysis on all measures will not reveal a clear independence of EF and IQ; (3) impairment in one domain is related to impairment in the other domain; (4) children selectively impaired in one but not the other domain will have siblings displaying generalized (but not specific) impairments across domains. Thus, this study will address two issues: (a) whether or not EF and IQ form viable endophenotypes of ADHD and (b) whether or not EF and IQ have shared underpinnings, in which case both functions will co-segregate.

## Method

### Participants

Families with at least one child with the combined subtype of ADHD (proband) and at least one additional sibling (regardless of possible ADHD status) were recruited in order to participate in the Dutch part of the International Multicenter ADHD Genes study (IMAGE). The IMAGE project is an international collaborative study that aims to identify genes that increase the risk for ADHD using QTL linkage and association strategies (Brookes *et al.* 2006). Probands were required to have the combined subtype of ADHD, because this most severe subtype of ADHD would probably provide the best results for linkage and association. Additional control families were recruited from primary and high schools from the same geographical regions as the participating ADHD families. Controls and their first-degree relatives were required to have no formal or suspected ADHD diagnosis. All children were between the ages of 5 and 19 years and were of European Caucasian descent.

Participants were excluded if they had an IQ < 70, a diagnosis of autism, epilepsy, general learning difficulties, brain disorders or known genetic disorders, such as Down's syndrome or Fragile-X-syndrome. A total of 238 ADHD families and 147 control families fulfilled inclusion and exclusion criteria. Within the ADHD families, 238 probands (all with combined subtype ADHD), 112 affected siblings (64 with combined subtype, 28 with inattentive subtype and 20 with hyperactive-impulsive subtype) and 195 non-affected siblings participated. Control families consisted of 271 children. For 51 control children, no additional control sibling could be recruited for the study, because the sibling was either unwilling to participate or because the control family consisted of only one child.

Both the children already clinically diagnosed with ADHD and their siblings were similarly screened using the standard procedures of the IMAGE project described fully elsewhere (Brookes *et al.* 2006; Rommelse *et al.* 2007). Briefly, parent and teacher screening questionnaires – Conners' long version (Conners, 1996) and Strengths and Difficulties Questionnaire (Goodman, 1997) – and a semi-structured, ostandardized, investigator-based interview 'Parental Account of Children's Symptoms' (PACS) (Taylor, 1986) were used to identify children with ADHD symptoms [see Rommelse *et al.* (2007) for the standardized algorithm that was applied to the data to derive each of the 18 DSM-IV ADHD symptoms, providing operational definitions for each behavioural symptom]. The Conners' long version for both parents and teachers was completed for control children. Table 1 provides the characteristics of the four groups.

### Procedure

The tasks described in this study were part of a broader neuropsychological assessment battery used in the Dutch part of the IMAGE study (Rommelse *et al.* 2007). Administration of the entire battery (including breaks) required about 3–4 h. Testing of children with ADHD and their siblings took place at the VU Amsterdam or at the Radboud University Nijmegen Medical Centre and was conducted simultaneously for all children within a family. Medication to reduce the symptoms of ADHD was discontinued for at least 48 h (stimulants) or longer (non-stimulants) to allow sufficient washout before testing took place (Pelham *et al.* 1999). Control children were tested in a similar way in a quiet room at their school. Children were motivated with small breaks. At the end of the session, a gift worth approximately 4 was given. Written informed consent was obtained from children aged  $\geq 12$  years and the parents prior to the study. The study had medical-ethical approval.

**Table 1.** Sample characteristics

	Probands ( <i>n</i> = 238)	Affected siblings ( <i>n</i> = 112)	Non-affected siblings ( <i>n</i> = 195)	Normal controls ( <i>n</i> = 271)	<i>F</i> <sub>3, 812</sub>	Contrasts <sup>a</sup> based on <i>p</i> values of 0.05
Age (years)	12.0 (2.5)	12.0 (3.4)	11.5 (3.6)	11.6 (3.2)	N.S.	
Right handed (%)	91.1	87.5	89.2	85.5	N.S. <sup>b</sup>	
Male (%)	84.5	56.3	45.1	40.6	113.9 <sup>*b</sup>	1 > 2,3,4 2 = 3 and 2 > 4 3 = 4
Estimated full-scale IQ	97.9 (13.0)	100.7 (10.6)	103.8 (10.9)	106.0 (10.2)	23.5 <sup>*</sup>	1 = 2 and 1 < 3 = 4 2 = 3 and 2 < 4 3 = 4
Conners' parent DSM-IV						
Inattentive	71.1 (8.4)	66.0 (11.6)	47.9 (7.0)	46.5 (4.8)	585.4 <sup>*</sup>	1 > 2 > 3 = 4
Hyperactive-impulsive	79.1 (9.2)	67.8 (13.6)	49.0 (6.9)	47.3 (5.1)	767.3 <sup>*</sup>	1 > 2 > 3 = 4
Total	76.9 (8.6)	68.3 (11.6)	48.2 (6.8)	46.5 (4.5)	875.7 <sup>*</sup>	1 > 2 > 3 = 4
Conners' teacher DSM-IV						
Inattentive	66.0 (9.1)	61.7 (10.2)	48.3 (6.0)	46.4 (4.6)	386.3 <sup>*</sup>	1 > 2 > 3 = 4
Hyperactive-impulsive	70.2 (10.7)	63.5 (13.3)	48.3 (6.5)	47.2 (5.0)	378.1 <sup>*</sup>	1 > 2 > 3 = 4
Total	69.8 (9.8)	63.8 (11.4)	48.3 (5.8)	46.4 (4.5)	485.8 <sup>*</sup>	1 > 2 > 3 = 4
ADHD diagnosis						
Inattentive ( <i>n</i> )	–	28	–	–		
Hyperactive-impulsive ( <i>n</i> )	–	20	–	–		
Combined ( <i>n</i> )	238	64	–	–		

N.S., Not significant; DSM-IV, Diagnostic and Statistical Manual for Mental Disorders (4th edn); ADHD, attention deficit hyperactivity disorder; S.D., standard deviation.

Values are given as mean (S.D.) unless otherwise specified.

<sup>a</sup> Contrasts: 1 = probands, 2 = affected siblings, 3 = non-affected siblings, 4 = normal controls. <sup>b</sup>  $\chi^2$  test.

\*  $p < 0.001$ .

## Measures

### Inhibition

The Stop task was used to measure speed and accuracy of inhibition of an ongoing response (Logan & Cowan, 1984; Logan, 1994). Subjects were presented two types of trials: go-trials and stop-trials. Go-trials consisted of the presentation of a go-stimulus (drawing of a plane) that was either pointing to the right or to the left (Scheres *et al.* 2006). Children were instructed to press a response button that corresponded to the direction of the stimulus as quickly and as accurately as possible. Stop-trials were identical to the go-stimulus but in addition a stop-signal was presented (drawing of a cross that was superimposed on the plane). Children were required to withhold their response to the stop-signal. Go-stimuli were displayed for 1000 ms, preceded by a 500 ms fixation point. Stop-signals were displayed for 1000 ms minus delay time. Inter-trial intervals were 3000 ms. The delay between the go- and stop-signal was dynamically varied so that the child successfully inhibited 50% of the stop-trials and unsuccessfully inhibited the other 50%. At this

point, the go-process and stop-process are of equal duration, which makes it possible to estimate the latency of the stop-process: the stop signal reaction time (SSRT) (Logan, 1994). A total of two practice blocks and four experimental blocks were administered, each consisting of 60 trials. The first practice block consisted of only go-trials. The second practice block and the four experimental blocks consisted of 75% go-trials and 25% stop-trials. Go- and stop-trials were pseudo-randomly presented. Task administration took about 15 min. The SSRT and the percentage of commission errors (% commission errors) were used as dependent measures reflecting inhibitory processing.

### Visuospatial working memory

The visuospatial sequencing task was used to measure accuracy of visuospatial working memory (De Sonneville, 1999). Stimuli consisted of nine circles symmetrically organized in a square (3 × 3). On each trial, a sequence of circles was pointed at by a computer-driven hand. Subjects were instructed to replicate the exact same sequence of circles, by pointing to

them with the small, self-driven hand. There were no time constrictions. One practice trial and 24 experimental trials were presented. Every succeeding trial increased in difficulty level: an increase in the number of circles required to be remembered and/or an increase in the complexity of the spatial pattern (i.e. the trial consisted of circles that were spatially further removed from one another instead of being close to one another), hence manipulating working memory demands. Task administration took about 7 min. Two dependent measures were used: the total number of identified targets (NIT) and total number of identified targets in the correct order (NITco). The NITco is a stricter working memory measure, because it takes into account both the target identification as well as the order of the targets.

#### *Verbal working memory*

The maximum span of the digit span forwards and backwards of the WISC-III and WAIS-III (Wechsler, 2000, 2002) was used to obtain an indication of verbal working memory.

#### *Intelligence*

Full-scale IQ was estimated by four subtests of the Wechsler Intelligence Scale for Children, 3rd edition (WISC-III) or the Wechsler Adult Intelligence Scale, 3rd edition (WAIS-III) (Wechsler, 2000, 2002) (depending on the child's age): vocabulary, similarities, block design and picture completion. These subtests are known to correlate between 0.90 and 0.95 with the full-scale IQ (Groth-Marnat, 1997). As dependent measures in further analyses we used PIQ (summed scaled scores of block design and picture completion) and VIQ (summed scaled scores of vocabulary and similarities).

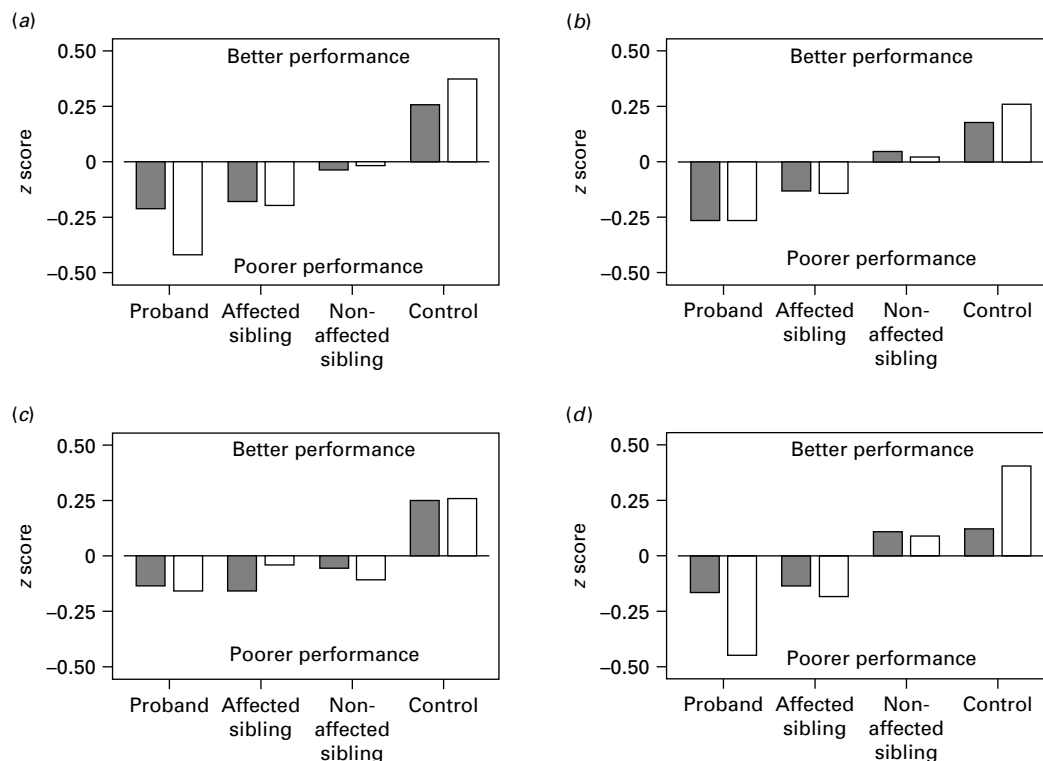
#### *Statistical analyses*

Due to technical problems, the Stop task was not administered to 63 children within the ADHD families (28 probands, 12 affected siblings, 23 non-affected siblings) and 12 control children. Furthermore, a slightly different version of the Stop task was administered to 31 children within the ADHD families (13 probands, five affected siblings, 13 non-affected siblings), in which control trials were implemented (stop-signal appeared before the go-signal). Data analyses were performed with and without including the data of these families, which revealed the same results. Therefore, results are reported including all of these families. The percentage of missing data for all other measures was random and less than 5% and missing values were replaced by multiple imputations

using the expectation maximization algorithm (Tabachnick & Fidell, 2001). Measures were successfully normalized by applying a Van der Waerden transformation (SPSS version 14; SPSS Inc., Chicago, IL, USA). The *z* scores of the inhibition measures (SSRT and % commission errors) were mirrored, so that the *z* scores of all dependent measures would have the same meaning: a higher *z* score indicated better performance. Results were similar, when based on raw, unstandardized task measures and when based on normalized, standardized task measures. We set  $\alpha$  at 0.05. Following Cohen's guidelines (Cohen, 1988), effect sizes were defined in terms of the percentage of variance explained: 1%, 9% and 25% were used to define small, medium and large effects (these figures translate into  $\eta^2$  values of 0.01, 0.06 and 0.14).

The viability of the measures as endophenotypes of ADHD (first study aim) was tested by calculating group differences using a linear mixed model with group (four groups: proband, affected sibling, non-affected sibling, and control) and gender as factors, age as a covariate, and family as a random effect to account for within-family correlation. Group contrasts were calculated within the mixed model using pairwise comparisons with age as covariate. Sibling correlations (pairwise correlations) were calculated to investigate resemblance between siblings for the various measures [S.A.G.E. (Statistical Analysis for Genetic Epidemiology) 5.3.1, 2007; Case Western Reserve University, Cleveland, OH, USA; <http://darwin.cwru.edu/sage/>].

The co-segregation of EF and IQ (second study aim) was tested by calculating sibling cross-correlations in order to examine whether EF of a child would relate to IQ in his/her siblings and vice versa. This would suggest similar familial factors underlay both domains. Thereafter, a principal component analysis was run on the measures to examine whether or not it was possible to discriminate between two separate components (EF and IQ). These components were then used to test whether group differences in one domain would diminish/disappear, when corrected for group differences in the other domain. Last, a discrepancy score was calculated by subtracting the IQ component *z* score from the EF component *z* score. Sibling correlations for this discrepancy score were calculated to examine whether EF-IQ discrepancy was familial. Also, a subsample of probands was selected that was predominantly impaired in one but not the other domain (more than 1.5 s.d. difference between performances in both domains). It was analysed whether or not a similar domain discrepancy would be observed in their siblings.



**Fig. 1.** Differences between probands, affected siblings, non-affected siblings and controls on measures of (a) inhibition (■, stop signal response time; □, percentage commission errors); (b) visuospatial working memory (■, number of correct targets; □, number of correct targets in correct order); (c) verbal working memory (■, digit span forwards; □, digit span backwards); (d) intelligence (■, performance intelligence quotient; □, verbal intelligence quotient).

## Results

### *EF and IQ as candidate endophenotypes*

To test whether children with ADHD (probands and affected siblings) and, possibly, their non-affected siblings were impaired in inhibition, working memory and intelligence measures, linear mixed models were used (separately for each task measure) as described above. Results are presented in Fig. 1 and Table 2.

Group differences were found on all EF and IQ measures, with small to medium effect sizes. Probands and affected siblings performed overall very similarly on EF and IQ measures (except for NIT of visuospatial working memory and VIQ, on which probands performed worse than affected siblings) and both groups differed significantly from controls on all measures, indicating ADHD to be associated with generalized impairments in both EF and IQ. Non-affected siblings were impaired compared with controls on almost all measures, except on NIT of visuospatial working memory and PIQ. The first finding may indicate that the basic visuospatial memory span of non-affected siblings is normal, but if greater working memory demands are required (like on the NITco variable), deficits in visuospatial working memory will surface.

The latter may indicate that PIQ is less suitable as an endophenotypic candidate than VIQ. On most measures, non-affected siblings performed in between their affected siblings and controls. Sibling correlations were calculated to examine whether siblings resembled each other in EF and IQ. As is shown in Table 3, all measures significantly correlated between siblings (between 0.15 and 0.30), suggesting EF and IQ to be familial.

### *Co-segregation of EF and IQ*

Almost none of the sibling cross-correlations between the EF and IQ measures were significant, suggesting differential familial influences related to EF and IQ. However, the majority of sibling cross-correlations between the EF measures were significant (i.e. inhibitory measures in a child correlated with working memory measures in his/her siblings), suggesting similar familial influences affected both deficits in inhibition and working memory (Table 3). The same was true for VIQ and PIQ.

A principal component analysis revealed a two-component solution (see Fig. 2), with the first component explaining 42% of the variance on which all EF

**Table 2.** Inhibition, working memory and intelligence

Dependent variable	Mean (S.E.)	Mean (S.E.)	Mean (S.E.)	Mean (S.E.)	$F^a$	$\eta_p^2$	Contrasts <sup>a</sup> ( $p \leq 0.05$ )
<b>Inhibition</b>							
Stop signal reaction time	285.9 (4.3)	284.2 (5.7)	274.1 (4.5)	251.0 (4.0)	$F(3, 477.6) = 13.02$	0.05	1 = 2, 2 = 3, 1 > 3, 1,2,3 > 4
Commission errors (%)	3.1 (0.2)	2.9 (0.2)	2.3 (0.2)	1.4 (0.1)	$F(3, 488.1) = 21.03$	0.08	1 = 2 > 3 > 4
<b>Visuospatial working memory</b>							
Number of correct targets	100.9 (0.2)	101.5 (0.3)	102.2 (0.2)	102.5 (0.2)	$F(3, 546.2) = 15.62$	0.07	1 < 2 < 3 = 4
Number of correct targets in correct order	87.2 (0.6)	88.9 (0.8)	91.7 (0.6)	94.4 (0.6)	$F(3, 549.4) = 27.74$	0.11	1 = 2 < 3 < 4
<b>Verbal working memory</b>							
Digit span forwards	5.2 (0.1)	5.2 (0.1)	5.4 (0.1)	5.7 (0.1)	$F(3, 553.2) = 11.70$	0.04	1 = 2 = 3 < 4
Digit span backwards	3.8 (0.1)	3.9 (0.1)	4.0 (0.1)	4.4 (0.1)	$F(3, 548.2) = 13.15$	0.05	1 = 2 = 3 < 4
<b>Intelligence</b>							
Performance IQ	20.4 (0.3)	20.6 (0.4)	21.8 (0.3)	21.8 (0.3)	$F(3, 522.0) = 5.53$	0.02	1 = 2 < 3 = 4
Verbal IQ	18.5 (0.3)	19.8 (0.4)	20.9 (0.3)	22.7 (0.3)	$F(3, 526.9) = 29.82$	0.12	1 < 2 < 3 < 4

S.E., Standard error; IQ, intelligence quotient.

<sup>a</sup> Contrasts: 1 = probands, 2 = affected siblings, 3 = non-affected siblings, 4 = normal controls. Outliers ( $|z| > 3$ ) were removed. The  $F$  statistic and contrasts are based on a linear mixed model with group and gender as factors, age as covariate and family as random effect. Results are similar when based on raw, unstandardized task measures and when based on normalized, standardized task measures.

**Table 3.** Cross-correlations between siblings for measures of executive and intellectual functioning<sup>a</sup>

	Sibling 2							
	1	2	3	4	5	6	7	8
<b>Sibling 1</b>								
<b>Inhibition</b>								
1. Stop signal reaction time	0.22*	0.16*	0.15*	0.13*	0.05	0.12*	0.03	0.01
2. % Commission errors		0.19*	0.10*	0.10*	0.04	0.08*	0.08*	0.07
<b>Visuospatial working memory</b>								
3. Number of correct targets			0.19*	0.19*	0.06	0.16*	0.06	0.01
4. Number of correct targets in correct order				0.20*	0.08*	0.19*	0.07	0.05
<b>Verbal working memory</b>								
5. Digit span forwards					0.15*	0.13*	0.03	0.04
6. Digit span backwards						0.17*	0.02	0.02
<b>Intelligence</b>								
7. Performance IQ							0.30*	0.19*
8. Verbal IQ								0.31*

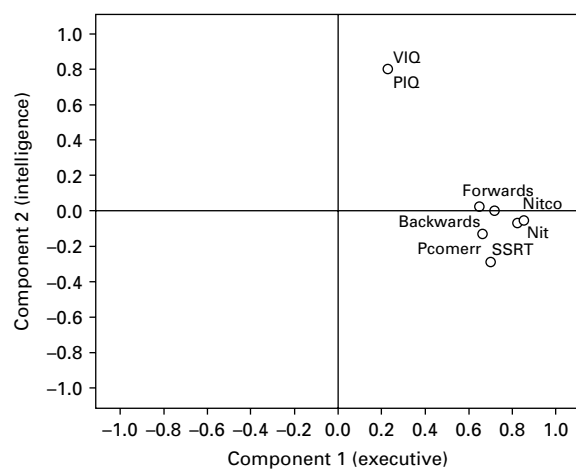
IQ, Intelligence quotient.

<sup>a</sup> Correlations are based on all participants.

\* Significant ( $p \leq 0.05$ ).

measures highly loaded ( $r$  between 0.65 and 0.85), but to a significantly lesser degree the IQ measures (both  $r = 0.23$ ). The second component explained 17% of the variance on which both IQ measures highly

loaded (both  $r = 0.81$ ) but not or to a significantly lesser degree the EF measures ( $r$  between 0.01 and 0.29). The first component was labelled 'EF component', the second component 'IQ component'. The components



**Fig. 2.** Correlation plot. Component plot revealing that executive and intelligence measures form two relatively independent factors. Measures of intelligence are verbal intelligence quotient (VIQ) and performance IQ (PIQ). Measures of visuospatial working memory are number of identified targets (Nit) and number of identified targets in correct order (Nitco). Measures of verbal working memory are digit span forwards (Forwards) and digit span backwards (Backwards). Measures of inhibition are memory stop signal response time (SSRT) and percentage commission errors (Pcomerr).

correlated modestly with each other ( $r=0.16$ ). These findings indicate that EF and IQ are relatively independent of each other.

Group differences for the EF component remained significant, when the IQ component was implemented as covariate [ $F(3, 532.2)=37.70$ ,  $p<0.001$ ]: probands and affected siblings had a similar EF component ( $p=0.31$ ), and both groups had a poorer EF component than non-affected siblings ( $p<0.001$  and  $p=0.003$ , respectively) and controls (both  $p<0.001$ ). Non-affected siblings also had a poorer EF component than controls ( $p<0.001$ ). Group differences also remained for the IQ component, when the EF component was implemented as covariate [ $F(3, 499.0)=8.71$ ,  $p<0.001$ ]. Probands had a poorer IQ component than affected siblings ( $p=0.03$ ) and both groups had a poorer IQ component than controls ( $p<0.001$  and  $p=0.05$ ). Non-affected siblings had a better IQ component than probands ( $p<0.001$ ), but their IQ component did not differ significantly from affected siblings or controls ( $p=0.16$  and  $p=0.44$ , respectively). These findings suggest that EF impairments found in children with ADHD, and in their affected and non-affected siblings are not attributable to IQ impairments or in the reverse direction.

To further examine whether EF and IQ co-segregate within families, we tested whether the discrepancy score between EF and IQ ( $z$  score of the EF component

minus the  $z$  score of the IQ component) was unrelated between siblings. This was not the case ( $r=0.24$ ,  $p<0.001$ ), suggesting a specific pattern of EF and IQ segregation within families. Partly similar results were found when we analysed this discrepancy score for siblings of two selected subsamples of probands displaying a large discrepancy ( $>1.5$  s.d.) between their EF and IQ. A total of 24 probands had an EF component score that was disproportionately worse compared with their IQ component score (i.e.  $EF<IQ$ ) and 28 probands who displayed the opposite pattern ( $EF>IQ$ ). We then tested whether their siblings displayed a less extreme discrepancy between EF and IQ by comparing the EF–IQ discrepancy score between the siblings and controls using the same linear mixed model described above. In contrast to expectations, the three groups differed significantly in the EF–IQ discrepancy score [ $F(2, 235.1)=8.15$ ,  $p<0.001$ ]. Siblings of  $EF<IQ$  probands showed a comparable  $EF<IQ$  score, when compared with controls ( $p<0.001$ ). This  $EF<IQ$  score differed significantly from zero ( $t=4.19$ ,  $p<0.001$ ), suggesting that the disproportionate low EF score of the proband related specifically to a disproportionate low EF score (but not low IQ score) in the siblings. However, the opposite pattern ( $EF>IQ$ ) was not significant, since the  $EF>IQ$  score of siblings of  $EF>IQ$  probands did not differ from controls ( $p=0.58$ ) and did not differ significantly from zero ( $t=0.98$ ,  $p=0.17$ ). This may suggest that IQ impairments lead secondarily to EF impairments.

## Discussion

We investigated whether measures of EF (inhibition, and visuospatial and verbal working memory) and IQ (PIQ and VIQ) would form candidate endophenotypes and if deficits in both domains co-segregate within families.

Our results indicate that all EF measures studied here appeared useful as endophenotypic candidates, since both probands, and affected and non-affected siblings showed deficits in the three EF domains studied and siblings resembled each other in EF. The findings of impaired EF in children with ADHD are in line with most previous studies on inhibition, visuospatial and verbal working memory in patients with ADHD (Oosterlaan & Sergeant, 1996; Oosterlaan *et al.* 1998; Nigg, 1999; Martinussen *et al.* 2005). Much less is known about EF in relatives of children with ADHD, but our results are in line with previous studies on EF and other cognitive difficulties in non-affected siblings (Crosbie & Schacher, 2001; Slaats-Willemse *et al.* 2003; Doyle *et al.* 2005a; Schachar *et al.* 2005; Waldman *et al.* 2006; Rommelse *et al.* 2007; Bidwell *et al.* 2007). The results suggest that deficits in EF form



key neuropsychological endophenotypic candidates, as has been previously suggested (Castellanos & Tannock, 2002). Similar group differences and sibling correlations were obtained for VIQ, suggesting that VIQ is an equally potent endophenotype. However, children with ADHD had only a slightly lower PIQ than controls in this study and non-affected siblings did not differ from controls in their PIQ. This suggests that VIQ may be more useful for genetic research than PIQ or a combination of these measures. Previous research has shown full-scale IQ to be genetically related to ADHD (Kuntsi *et al.* 2004; Doyle *et al.* 2005a; Mill *et al.* 2006), but it remains to be determined whether this is true for both PIQ and VIQ.

All in all, with respect to the first aim of our study, both EF and IQ showed endophenotypic-like group patterns (with small to medium effect sizes) and familial resemblance. With respect to the second aim of our study, almost all our findings indicate that EF and IQ impairments do not co-segregate within families. For example, EF in children did not relate to IQ in their siblings and vice versa, suggesting that different familial factors (genetic and environmental) gave rise to problems in both domains. Moreover, a principal component analysis revealed that EF and IQ are relatively independent of each other in the same child. This contrasts with some previous studies (Bull & Scerif, 2001; Miyake *et al.* 2001; Mahone *et al.* 2002; Gray *et al.* 2003), but is in line with others (Welsh *et al.* 1991; Ardila *et al.* 2000; Polderman *et al.* 2006). The independence of both domains was further underlined, when group differences in one domain did not disappear when performance in the other domain was used as a covariate, as in other studies (Seidman *et al.* 1995; Barnett *et al.* 2001; Nigg *et al.* 2002; Oosterlaan *et al.* 2005) and suggests that EF impairments found in children with ADHD, and their affected and non-affected siblings are not attributable to IQ impairments or vice versa. Furthermore, the discrepancy between EF and IQ correlated between siblings, indicating siblings resembled each other in their EF–IQ discrepancy instead of having generalized impairments across both domains. This was also found when siblings of probands with EF (but not IQ) problems displayed the same selective EF (but not IQ) deficit, although the opposite pattern was not significant. The latter finding may suggest that even though EF–IQ discrepancy functioning correlates between siblings, extreme IQ impairment does not exist in the presence of normal EF in most siblings of such a proband. This may be explained as IQ impairments leading secondarily to EF impairments. Thus, a specific EF impairment in the absence of a lower IQ in a family appears supported by these findings, but when severe IQ impairments occur in a family, it is likely that some family members will also portray EF

impairments. Overall, though, almost all findings support an independent segregation of EF and IQ.

The various measures within the EF domain were related to one another with correlations of medium size, suggesting the various constructs to be related, but not interchangeably, and this confirms previous findings (Miyake *et al.* 2000). Furthermore, most sibling cross-correlations for the EF measures reached significance, suggesting that problems in inhibition and working memory partly originate from the same familial sources. Similar results were found for both measures of IQ, suggesting VIQ and PIQ have similar familial underpinnings.

### Limitations

Important aspects of EF, such as cognitive flexibility and planning, have not been assessed here. It may be possible, therefore, that our findings do not generalize across the entire EF spectrum, but relate only to working memory and inhibition. Besides that, working memory may also be classified as a memory function (Smith & Jonides, 1999) instead of an executive function. Furthermore, IQ, as measured here, is reduced to what is measured by Wechsler IQ subtests. Since only a few subtests were administered, it is not possible to discuss our findings in terms of crystalline and fluid intelligence (Duncan *et al.* 1996; Duncan, 2005), which would have made an interesting contribution to the study. It is possible that EF is related to fluid intelligence, but not necessarily as measured by the Wechsler IQ tests (Duncan *et al.* 1995).

### Conclusions

The results supported the viability of EF and IQ as endophenotypic candidates, since children with ADHD, and their affected and non-affected siblings were all impaired on the EF measures and VIQ (though unimpaired in PIQ) and all measures correlated between siblings. However, difficulties in EF and IQ appear to exist relatively independently of each other and appear to originate from different familial sources. Within the EF domain, similar familial influences seemed to affect inhibition and working memory, suggesting that both functions have somewhat similar genetic and environmental underpinnings. Similar results were found for both measures of IQ, suggesting VIQ and PIQ have similar familial underpinnings.

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### Declaration of Interest

J.O. has been a member of the advisory board of Shire. J.B. has been a consultant to, member of advisory board of and/or speaker for Janssen Cilag BV, Eli Lilly, Bristol-Myers Squibb, UBC, Shire, Medice. J.A.S. has been a member of advisory board of Lilly, Shire, Janssen Cilag.

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