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## Surveillance of circulatingstrains in Europe during 1998-2015

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1 **Surveillance of circulating *Bordetella pertussis* strains in Europe during**  
2 **1998-2015**

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30

31 **Running title:** *Bordetella pertussis* isolates in Europe

32

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36

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56 **Abstract**

57 One reason for increased pertussis incidence is the adaptation of *Bordetella pertussis* to  
58 vaccine-induced immunity by modulating its genomic structure. This study, EUpert IV,  
59 includes 265 isolates collected from nine European countries during 2012 to 2015 (n=265)  
60 and compares the results to previous EUpert I-III studies (1998-2009). The analyses included  
61 genotyping, serotyping and pulsed-field gel electrophoresis (PFGE) and multi-locus variable-  
62 number tandem repeat analysis (MLVA). Genotyping results showed only small variation  
63 among the common virulence genes of *B. pertussis*. Frequencies of serotypes Fim2 and Fim3  
64 varied among the four collections. Genomic analyses showed that MLVA type 27 increased  
65 to 80% between the periods of 1998-2001 and 2012-2015. Two PFGE profiles, BpSR3  
66 (29.4%) and BpSR10 (27.2%), constituted more than 50% of the circulating isolates in the  
67 present collection. Our study indicates that the European *B. pertussis* population is changing  
68 more homogenous after the introduction of acellular pertussis vaccines.

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76 Introduction of whole-cell pertussis vaccines (WCVs) dropped the number of reported  
77 pertussis cases significantly during the 1950s. Since the mid-1990s WCVs have been  
78 gradually replaced by acellular pertussis vaccines (ACVs) in many European countries.  
79 Although vaccines and vaccination schedules vary, vaccination coverage is high (>90%) (1,  
80 <http://vaccine-schedule.ecdc.europa.eu/pages/scheduler.aspx>. Accessed 04/04, 2017).  
81 However, pertussis remains endemic, and many outbreaks have occurred during the past ten  
82 years including Australia, the UK and the USA (2-4). One explanation is adaptation of  
83 *Bordetella pertussis* to vaccine induced immunity. Therefore, monitoring of *B. pertussis*  
84 populations is essential for evaluating the impact of bacterial changes on vaccine efficacy.

85 To investigate changes in *B. pertussis* populations in Europe with different  
86 vaccination history, vaccines and schedules and to evaluate the effect of switch from whole  
87 cell pertussis vaccine (WCV) to acellular vaccines (ACV), “European Research Programme  
88 for Improved Pertussis Strain Characterization and Surveillance” (EUpertstrain) was  
89 established (5). So far, three panels of *B. pertussis* isolates (named as EUpert I-III) have been  
90 collected. EUpert I (1998-2001) included 102 strains from five countries, EUpert II (2004-  
91 2005) included 154 strains from eight countries and EUpert III (2007-2009) included 140  
92 strains from seven countries. Results from these studies have been published earlier,  
93 including multi-locus antigen sequence typing (MAST), fimbrial serotyping, pulsed-field gel  
94 electrophoresis (PFGE) profiling and multi-locus variable-number tandem repeat analysis  
95 (MLVA) (6, 7). Results showed that specific allelic types of the genes coding for pertactin  
96 (*prn*) *prn2*, pertussis toxin (*ptxA*) *ptxA1* and the pertussis toxin promoter (*ptxP*) *ptxP3*, and of  
97 the fimbrial antigen (Fim) Fim3 were dominant. For *fim3* genotyping, both *fim3-1* and *fim3-2*  
98 have been common in all studies. In addition, dominant PFGE profiles BpSR3, BpSR5 and  
99 BpSR10 increased (BpSR3: from 0% to 22%; BpSR5 from 6% to 10% and BpSR10 from  
100 10% to 20%) in EUpert III collection, whereas BpSR11, the most prevalent profile in EUpert

101 I and II collections started to decrease (from 26% to 13%). With MLVA types (MT), MT27  
102 has been dominant throughout all studies.

103 In this study, a fourth panel (EUpert IV) of 265 *B. pertussis* clinical isolates was  
104 collected from nine European countries during 2012-2015. All study countries are using  
105 ACVs (Table 1). Finland, France, The Netherlands and Sweden also participated in all of the  
106 previous three studies. The selection criteria of clinical isolates have remained unchanged for  
107 all collections. The typing methods used were as described above. This study provides a  
108 unique opportunity to systemically evaluate the changes in the *B. pertussis* bacterial  
109 populations over the last 15 years in European countries with different vaccination strategies.

110

## 111 MATERIAL AND METHODS

112

### 113 Isolates

114 265 *B. pertussis* isolates were collected during 2012-2015. Most of the isolates were collected  
115 during 2013-2014 (N = 236). Isolates were collected from nine European countries and the  
116 target number of isolates for each country to submit was set at n=30. However, in Denmark,  
117 Finland and Italy the total number of isolates was less than 30 during 2013-2014. The  
118 following number of isolates were received: Belgium (N = 38), Denmark (N = 27), Finland  
119 (N = 28), France (N = 29), Italy (N = 20), The Netherlands (N = 32), Norway (N = 32),  
120 Sweden (N = 29) and the United Kingdom (N = 30). For Italy, all isolates were collected  
121 from the Rome area as no other isolates were available.

122

123 **Selection criteria and collection of patient data**

124 The selection criteria for EUpert IV study were the same as those used in the previous EUpert  
125 I-III studies:

- 126 1. *B. pertussis* isolates should be selected from different geographical regions and be  
127 epidemiologically unrelated
- 128 2. An equal number of isolates from vaccinated (N=15) and unvaccinated individuals  
129 (N=15) should be collected. Selection of isolates should be made from individuals  
130 younger than 5 years of age where possible.
- 131 3. For those countries with large numbers of isolates in their collections, isolates should  
132 be randomly selected in addition to the above criteria.

133

134 Data collection included original code of isolate, country, date of collection, city and  
135 characteristics of patients from whom *B. pertussis* was isolated. The patient characteristics  
136 included gender, age, vaccination status, number of doses received and hospitalization status  
137 (7).

138

139 **Culture**

140 Isolates were first cultured in local laboratories and were then shipped in frozen storage tubes  
141 to University of Turku, Finland. All isolates were cultured on Regan-Lowe medium (without  
142 cephalixin) at + 35°C for 48h.

143



144 **MAST**

145 Polymorphisms in the genes encoding proteins included in the current ACVs (*ptxA*, *prn* and  
146 *fim3*) and the pertussis toxin promoter (*ptxP*) were analyzed as described previously (8-11).  
147 Bacterial suspension in deionized H<sub>2</sub>O (Ultrapure) was used as a template. In brief, bacterial  
148 growth harvested (10 µl loop) from culture plate was suspended in 300µl of deionized H<sub>2</sub>O  
149 (Ultrapure), vortexed and then heated at + 95°C for 30 minutes. This template was used in the  
150 polymerase chain reaction (PCR) assays. Reference strains with known alleles were included  
151 as positive controls in each run of each assay. Different alleles of genes mentioned above  
152 were determined with size comparison or by sequencing the specific targets in the gene.

153

154 **Serotyping**

155 Fimbrial serotyping (Fim2 or Fim3) was done with specific ELISA as described previously  
156 (11). Shortly, specific monoclonal antibodies against Fim2 or Fim3 were used to detect the  
157 serotype of each isolate. Reference strains S1 (Fim2) and S3 (Fim3) and monoclonal  
158 antibodies [06/124 (Fim2) and 06/128 (Fim3)] (mAbs) were obtained from the National  
159 Institute for Biological Standards and Control (NIBSC), Potter's Bar, England (12).

160

161 **MLVA**

162 For MLVA, the variable number of tandem repeats in six loci (VNTR1, VNTR3a, VNTR3b,  
163 VNTR4, VNTR5 and VNTR6) was defined as described previously and named according to  
164 MLVA profiles described by Schouls et al (13, 14). Results were expressed as MLVA type  
165 (MT) e.g. MT18, MT27 etc. Reference strains with known MT were included as positive

166 controls in each run. New MTs were submitted for MLVA database  
167 (<http://www.mlva.net/bpertussis/default.asp>) administrator for nomenclature.

168

#### 169 **PFGE**

170 All isolates were analyzed according to the standardized recommendations for typing of *B.*  
171 *pertussis* with minor modifications using XbaI (#R0145S, New England Biolabs, the USA) as  
172 a restriction enzyme (7, 15, 16). PFGE profiles were defined as individual profiles with  
173 distinct DNA band patterns (at least one band difference) and were designated as BpSR1,  
174 BpSR2, BpSR3, etc. (17, 18). Isolates with new profiles were designated as EU4\_1, EU4\_2  
175 etc. according to the study name. A cluster analysis was performed with the unweighted-pair  
176 group method with arithmetic mean (UPGMA) with 1% band tolerance and 1% optimization  
177 settings. The same band tolerance and optimization settings were used in the previous EUpert  
178 I-III studies (7). For cluster group analysis, UPGMA with 2% band tolerance and 1.5%  
179 optimization settings was used as in the previous EUpertstrain studies. Strains 18323 (PFGE  
180 cluster I), Tohama I (PFGE cluster II), Bp134 (PFGE cluster III), B902 (PFGE cluster IV $\alpha$ ),  
181 FIN6 (PFGE cluster IV $\beta$ ), FIN12 (PFGE cluster IV $\gamma$ ), FR287 (PFGE cluster V) and FINR21  
182 (PFGE cluster VII) were included in the dendrogram as reference strains (7, 16).

183

#### 184 **Pertactin (PRN) deficiency**

185 Pertactin deficiency was measured by specific ELISA as described earlier (19). In short,  
186 whole bacterial lysate was used as a coating antigen. Production of PRN was detected with  
187 specific mAbs, kindly provided by the National Institute for Public Health and the

188 Environment (RIVM), The Netherlands. French strain FR3693 (negative for PRN) and  
189 purified PRN were used as controls.

190

### 191 **Vaccination status**

192 During the period 2012-2015, 130 (49.1%) of the infected individuals were vaccinated and  
193 135 (50.9%) were unvaccinated.

194

### 195 **Statistical analysis**

196 BioNumerics software version 6.6 (Applied Maths, Sint-Martens-Latem, Belgium) was used  
197 to calculate PFGE cluster analysis. Chi-square tests for *p*-values between vaccinated and  
198 unvaccinated subjects were calculated using GraphPad prism 4.0 version (San Diego, CA,  
199 USA). Two tailed P values < 0.05 were considered significant. The Simpson diversity index  
200 (SDI) was calculated based on the formula  $D = 1 - \sum(n-1)/N(N-1)$  where *n* indicates number  
201 of individual profiles and *N* number of all profiles.

202

## 203 **RESULTS**

204

205 A summary of EUpert IV study results and isolate characteristics are presented in Table 2.

206 Below, these results are described and compared to previous EUpert I-III studies.

207

208 ***ptxA* alleles**

209 Strains used for production of ACVs contain *ptxA2* and *ptxA4* alleles as described previously  
210 (20). In this study, all 265 isolates harbored the *ptxA1* allele (Table 2). All isolates included in  
211 EUpert I-III studies also harbored the *ptxA1* allele (6).

212

213 ***ptxP* alleles**

214 In this study, 253 isolates (95.5%) carried the *ptxP3* allele and 12 (4.5%) carried the *ptxP1*  
215 allele. In the EUpert I study 1998-2001, the frequency of *ptxP1* and *ptxP3* allele were similar  
216 (39% vs. 50%). Since then *ptxP3* has become clearly dominant and from the EUpert III study  
217 onwards the frequency has been > 95% (6).

218

219 ***prn* alleles**

220 Strains used for production of ACVs contain *prn1* or *prn7* (20). In this study, four *prn* alleles  
221 were detected: *prn1*, *prn2*, *prn3* and *prn9*. For two isolates the allele could not be defined,  
222 because of partial or complete deletion of the *prn* gene. The most common allele was *prn2*  
223 with 255 (96.2%) isolates. *Prn1* and *prn9* were both found with three isolates (1.1%) and  
224 *prn3* with two isolates (0.8%). The *prn2* allele has been dominant (>75% of tested isolates for  
225 *prn*) in the previous EUpert I-III studies (6).

226

227 **Fim serotype and *fim3* alleles**

228 The Fim3 serotype predominated (>67%) in earlier EUpert I-III studies (6). In the current  
229 EUpert IV study, 141 (53.2%) isolates were Fim3, 120 (45.3%) Fim2, three (1.1%) were  
230 Fim2,3 and one (0.4%) isolate was deficient for Fim2 and Fim3 (Figure 1). In Denmark  
231 (22/27, 81.5%) and Finland (22/28, 78.6%) Fim2 was dominant, whereas in France (27/29,  
232 93.1%), Sweden (24/29, 82.8%) and the UK (19/30, 63.3%) Fim3 was prevalent. In other  
233 study countries distribution between the two serotypes was close to equal. We also compared  
234 serotype with vaccination status of the subject. No correlation between serotypes and  
235 vaccination status was found ( $p = 0.709$ ).

236 For *fim3* alleles, 190 (71.7%) isolates carried *fim3-1*, 72 (27.2%) carried *fim3-2*, two  
237 (0.8%) carried *fim3-4* and one (0.4%) *fim3-3*. In France, Sweden and the UK, the distribution  
238 between *fim3-1* and *fim3-2* were equivalent, whereas in other countries *fim3-1* was dominant.

239

#### 240 **MLVA**

241 MT27 was dominant in the EUpert I and III studies (MLVA not performed in EUpert II). In  
242 the EUpert IV, 20 MTs (20/265, 7.5%) were identified. In the EUpert I study 18 MTs  
243 (17.6%) out of 102 isolates and in EUpert III 15 MTs (10.7%) among the 140 isolates were  
244 identified (Table 3). In the current study, 214 (80.8%) isolates harbored MT27. The second  
245 most common type with 18 isolates (6.8%) was MT28 and third most common with seven  
246 (2.6%) isolates was MT18. In addition, 15 other MTs (12, 25, 29, 32, 33, 36, 38, 55, 60, 77,  
247 95, 114, 158, 312 and 324) were found among 26 (9.8%) isolates, and two new MTs were  
248 detected (MT335 and MT336). In all countries, except Denmark, MT27 was dominant. In  
249 Denmark, 48.2% carried MT27, whereas other types such as MT28 (29.6%) constituted more  
250 than half of the circulating isolates. However, MT27 and MT28 are close to each other as

251 there is only one difference in number of repeats of variable number of tandem repeat  
252 (VNTR) 6. MT18 however, has seven repeats in VNTR3-2, whereas MT27 has none.  
253 Otherwise the structures are identical.

254

### 255 **PFGE profiles and association to Fim serotype and genotype**

256 42 PFGE profiles were identified among EUpert IV study. The number of different profiles  
257 identified in earlier studies is as follows: EUpert I, 33 of 102 (32.4%), in EUpert II, 36 of 154  
258 (23.4%) and in EUpert III, 29 of 140 (20.7%) isolates (Table 3). Throughout the studies, five  
259 most common PFGE profiles have been BpSR3, BpSR5, BpSR10, BpSR11 and BpSR12. In  
260 the previous EUpert I and II studies BpSR11 was the dominant profile and the number of  
261 isolates with profiles other than the five most common profiles was high (Figure 2).  
262 However, in the EUpert III study, the frequencies of BpSR3 and BpSR10 started to increase,  
263 whereas frequencies of BpSR11 and other profiles decreased. In the current study, the  
264 frequency of BpSR3 (78/265, 29.4%) and BpSR10 (72/265, 27.2%) further increased and  
265 number of isolates with BpSR11 (39/265, 14.7%) and other (19.6%) profiles decreased or  
266 remained the same as previously reported (6, 7). The most common profile BpSR3 belongs to  
267 the cluster IV, BpSR10 to the cluster IV $\alpha$ , BpSR11 to the cluster IV $\beta$ , BpSR5 to the cluster  
268 IV and BpSR12 to the cluster IVg. From the other PFGE profiles, 21 new profiles were  
269 detected (Figure 4). These new profiles belonged mainly to cluster VII, but were also found  
270 from clusters IV, IV $\alpha$ , IV $\beta$ , IVg and III. When we analyzed country-based data, BpSR3 was  
271 dominant in Denmark (18/27, 66.7%), Finland (16/28, 57.1%) and Norway (14/32, 43.8%).  
272 However, no BpSR3 profile was found from Sweden. BpSR10 was dominant in The  
273 Netherlands (14/32, 43.8%) and Sweden (11/29, 37.9%). In Belgium, the frequencies of both  
274 BpSR3 (12/38, 31.6%) and BpSR10 (14/38, 36.8%) were high. Figure 3 shows distribution of

275 main PFGE profiles by country and Figure 4 shows all 42 PFGE-profiles identified in the  
276 EUpert IV study.

277         When we compared the two most common PFGE profiles BpSR3 and BpSR10 found  
278 in the EUpert IV study with Fim2 and Fim3 serotypes, we observed that most of the isolates  
279 with BpSR3 profiles were Fim2 (66/78, 84.6%) serotype, whereas BpSR10 represented both  
280 Fim3 (37/64, 57.8%) and Fim2 (25/64, 39.1%). In previous EUpert I-III studies, however,  
281 isolates belonging to profile BpSR3 were associated with Fim3 serotype (Range: 74.2-100%  
282 of the isolates), whereas BpSR10 was linked to Fim3 (96.6-100%). With PFGE profiles  
283 BpSR5, BpSR11 and BpSR12, 59 out of 60 isolates carried the Fim3 serotype in the current  
284 study. With *fim3* genotype, interestingly all BpSR3 and BpSR10 isolates carried *fim3-1*  
285 genotype and almost all BpSR5, BpSR11 and BpSR12 isolates carried *fim3-2* (60/63,  
286 95.2%).

287

#### 288 **Combined analyses of MAST, MLVA and PFGE profiles and their association with** 289 **vaccination status of study subjects**

290 The most common profile among the study isolates was *ptxP3/prn2/Fim2/MT27/BpSR3* with  
291 50 (18.9%) of 265 isolates. Second was *ptxP3/prn2/Fim3/MT27/BpSR10* with 37 (13.9%)  
292 isolates. Third was *ptxP3/prn2/Fim3/MT27/BpSR11* with 34 (12.8%) isolates and fourth  
293 *ptxP3/prn2/Fim2/MT27/BpSR10* with 20 (7.5%) isolates.

294         We compared these main profiles with vaccination status of the subject. We did not  
295 find any significant difference (all  $p > 0.1$ ) between vaccinated and unvaccinated individuals,  
296 e.g. the most common profile *ptxP3/prn2/Fim2/MT27/BpSR3* was found in isolates from 30  
297 vaccinated and 20 unvaccinated subjects ( $p = 0.1184$ ).

298

299 **Pertactin deficiency**

300 Of the 265 isolates included in the EUpert IV collection, 66 (24.9%) were found to be PRN  
301 negative, whereas the corresponding frequency was only 6.4% in EUpert III collection (data  
302 not shown).

303

304 **DISCUSSION**

305

306 In this study we analyzed 265 *B. pertussis* isolates collected from nine European countries  
307 during the period 2012-2015, and compared the results to three previous EUpert studies  
308 starting in late 1990s. Although the number of participating countries in each study varied,  
309 Finland, France, The Netherlands and Sweden have participated in all four studies. Our study  
310 showed that the dominant alleles *ptxA1*, *ptxP3* and *prn2* in circulating strains are different  
311 from those used for production of ACVs in European countries (for Denmark data not  
312 available) (20). However, country based genetic differences of *B. pertussis* isolates were  
313 identified especially with PFGE analyses. Serotype has also changed from Fim3 to Fim2 in  
314 several countries, although ACVs used in many of these countries do not contain any fimbrial  
315 antigens.

316 ACVs contain purified components from strains carrying *ptxA2/4*, *ptxP1* and *prn1/7*  
317 alleles (excluding Denmark) (20, 21). In this study we found that almost all circulating  
318 isolates harbored different alleles (*ptxA1*, *ptxP3* and *prn2*) (Table 1). These alleles have been  
319 dominant in the previous EUpert I-III studies, suggesting that circulating *B. pertussis* with



320 these alleles may have advantages in ACV vaccinated populations. This may have an effect  
321 on the vaccine effectiveness (20). Similar findings with the dominant genotypes have been  
322 reported in Australia, Japan and the USA (22-24).

323           In Europe many countries use ACVs without the Fim2/Fim3 antigens (Table  
324 1). We found that the frequency of Fim2 isolates has markedly increased in several countries  
325 compared to previous EUpert II and III studies (Figure 1 and Table 2). Both Denmark and  
326 Finland had mostly Fim2 isolates circulating, whereas Fim3 was continuously prevalent in  
327 France, Sweden and the UK (Table 1). In Denmark, a mono-component PT vaccine has been  
328 used for more than 15 years (25). In Finland, ACV was introduced in 2005 and the vaccine  
329 used from 2005 to 2009 contained only PT and FHA. Therefore, the change in frequency  
330 from Fim3 to Fim2 is most likely caused by natural infection. It remains to be shown why a  
331 high frequency of Fim2 isolates is only observed in certain countries. In Japan, where Fim3  
332 allele has been highly dominating since the 21<sup>st</sup> century (24), two out of four ACVs in use  
333 includes Fim2, which may partly explain why Fim3 is dominant in this country. Similar to  
334 Japan, in France and UK where ACVs containing Fim2/3 are in use (Table 1) and prevalent  
335 serotype of Fim3 was observed. When we compared these findings to previous EUpert III  
336 collection, seven countries were included in both and in Denmark and Finland almost all  
337 isolates were Fim3 in EUpert III collection. In addition, similar, but less dramatic increase of  
338 Fim2 isolates were noticed in Norway and in the UK (although, Fim3 is still prevalent). This  
339 indicates that the numbers are not biased by country changes in different collections, yet they  
340 reflect actual change in the circulating strains.

341           In addition to the serotype of the isolates (Fim3), *fim3-1* allele became prevalent.  
342 However, in France, Sweden and the UK where Fim3 isolates were prevalent, both genotypes  
343 *fim3-1* and *fim3-2* were common. It is known that the strains used for production of ACVs

344 harbor *fim3-1*. As stated above, in France and the UK, ACVs containing Fim2/3 are in use,  
345 which could partly explain why strains with *fim3-2* were circulating. In addition, natural  
346 infections caused by *B. pertussis* with different genotypes of *fim3-1* and *fim3-2* can also have  
347 a selective pressure on circulating isolates. *Fim3-1* has also been dominant in the USA during  
348 the recent outbreaks (26). Since expression of Fim2 or Fim3 of *B. pertussis* might be different  
349 between *in vivo* and *in vitro* (13,22), further studies are needed to show whether expression of  
350 Fim3 or Fim2 is related to certain alleles of *fim3*, *fim2* or both. However, according to our  
351 results, it seems that *fim3-1* allele is frequently found with Fim2 serotype in Denmark and  
352 Finland. In addition, in the Netherlands during the period 1995-2008, 99% of the *fim3-2*  
353 strains expressed Fim3 (27).

354 We noticed that MT27 is becoming more dominant in Europe, whereas number of  
355 other MTs and SDI, which shows the probability to randomly pick a different isolate from the  
356 whole strain population, (Table 3) clearly decreased compared to previous EUpert I and III  
357 studies. However, this is not the case in Denmark, the only country in these studies where  
358 monocomponent PT vaccine has been used (25). In contrast to other countries, more than  
359 50% of the Danish isolates did not carry MT27. This finding may indicate that effect of  
360 population immunity provided by monocomponent and multicomponent vaccines on bacterial  
361 populations may differ. In the current study, isolates with MT27 was found equally from  
362 vaccinated (N=106) and unvaccinated (N=108) individuals. However, second most common  
363 profile MT18 was found in 12 unvaccinated and in six vaccinated individuals, whereas third  
364 most common profile MT28 was equal between vaccinated (N=3) and unvaccinated (N=4)  
365 individuals.

366 The most common PFGE profiles observed were BpSR3 and BpSR10,  
367 showing an increase in Europe. In contrast, the number of other profiles is decreasing (Figure

368 2). Interestingly, the most common profile BpSR3 was not found in Sweden, whereas other  
369 profiles were commonly found among the Swedish isolates, suggesting a shift in the *B.*  
370 *pertussis* population in this country. When we compared present findings to earlier results,  
371 PFGE profiles BpSR11 and BpSR10 were dominant in Sweden during the EUpert II and III  
372 collections (7). Pertussis vaccination was stopped in Sweden in 1979 and was reintroduced in  
373 1996 (28). Therefore, the population immunity may be different compared to other countries  
374 in which vaccinations have been continuously used. Similar to Sweden, PFGE profiles  
375 BpSR11 and BpSR10 were common in France and the Netherlands. However, in other  
376 Nordic countries Denmark, Finland and Norway, BpSR3 was the most prevalent PFGE  
377 profile. Denmark and Finland have high similarity within the strains excluding MLVA results  
378 (Table 2). In addition, no outbreaks have been reported in these two countries ten years prior  
379 to 2015 (a country wide epidemic occurred in Denmark in 2016) (29, 30). In the Netherlands,  
380 there has been a shift from BpSR3 to BpSR10, which is currently dominating. This finding is  
381 interesting as similar change was not detected e.g. in France or Sweden. However, in  
382 Belgium, both BpSR3 and BpSR10 were prevalent. This may indicate transmission of the  
383 isolates from neighboring countries or country specific differences in ACVs or in vaccination  
384 policies. In addition, outbreaks prior to 2015 most likely affected on the circulating isolates in  
385 the EUpert IV collection. Association between PFGE profiles and fimbrial serotype revealed  
386 that isolates with BpSR3 and BpSR10 were no longer only associated with Fim3, but were  
387 moving towards Fim2 serotype. We also compared vaccination status and three most  
388 common PFGE profiles, BpSR10, BpSR3 and BpSR11. These profiles were found almost  
389 equally among vaccinated and unvaccinated individuals. Still, we noticed that the number of  
390 profiles were decreasing and BpSR3 and BpSR10 were clearly dominant. In addition, the SDI  
391 was decreasing for PFGE (Table 3), which also indicates that the strains are more similar than  
392 previously.

393           Although, we did not find any significant differences in the *B. pertussis* strains  
394 isolated from vaccinated and unvaccinated individuals, it does not signify that vaccination  
395 has not guided the strains to evolve more homogenously. However, it seems that PFGE and  
396 serotyping have the most discriminating power in this study, whereas MLVA is losing its  
397 power as shown by the SDI. Therefore the use of whole genome sequencing (WGS), should  
398 be considered to have more insight on the strains. Indeed, one WGS study from the UK  
399 showed that mutations in the ACV antigen genes have significantly increased after the  
400 introduction of ACVs, but variations in other surface antigen genes are minor (2). Another  
401 recent WGS study from Australia have identified five single nucleotide polymorphisms  
402 which were common in the epidemic isolates and differentiated them from pre-epidemic  
403 isolates, stressing the role of WGS in studying of *B. pertussis* (23). However, little is still  
404 known about the impact of all mutations in the *B. pertussis* genome.

405           The number of PRN deficient isolates is alarming. In this study we found that  
406 approximately 25% of the study strains did not produce this antigen. How this will affect to  
407 vaccine efficacy and to opinions towards pertussis vaccination remains to be seen. A detailed  
408 description of PRN deficient isolates and the mechanisms behind the deficiency observed in  
409 this study is currently under consideration for publication elsewhere.

410           The strengths of this study are, 1) We have a serial collection of isolates during the  
411 last 15 years, 2) Selection criteria has been the same for all collections, 3) isolates have been  
412 isolated from infants, young children and adults (range: 0·01 – 62·30 years), 4) the place of  
413 origin is known for all isolates and shows that they were not collected from local outbreaks  
414 and 5) all analyses for the EUpert IV panel strains were done by one laboratory. The  
415 limitations included 1) the number of isolates from each country was relatively low (range:  
416 20-38). However, they do comprise almost all available isolates in many countries such as

417 Denmark, Finland and Italy, where the use of culture is diminishing, 2) The epidemiological  
418 pressure of pertussis varies in European countries, which could have an effect on the spread  
419 of new emerging strains, and 3) Even though the total number of vaccinated and  
420 unvaccinated individuals included in this study was comparable (Table 2), the difference in  
421 numbers between vaccinated and unvaccinated subjects in individual countries existed. To  
422 avoid such effect, a study with a large number of isolates and equal number of those from  
423 vaccinated and unvaccinated individuals in participating countries is needed.

424 In conclusion, common MLVA types and PFGE profiles were identified in *B.*  
425 *pertussis* populations circulating in European countries with different vaccination programs.  
426 The prevalent MT types and PFGE profiles contain the *ptxA1/prn2/ptxP3* alleles. However, in  
427 contrast to the high prevalence (78.9-90.6%) of MT27 in most European countries using two  
428 and three components ACVs, the prevalence in Denmark (PT monocomponent ACV)  
429 represented only 48.1% of the circulating strains, suggesting a difference in selection pressure  
430 induced between these ACVs. In addition, the shift in serotype from Fim3 and Fim2 is  
431 ongoing in several countries. This study suggests that the *B. pertussis* population is moving  
432 towards homogeneity in European countries. To get more deep insight of the *B. pertussis*  
433 strain diversity in Europe, whole genome sequencing could be applied for surveillance of *B.*  
434 *pertussis*.

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449

450 Alex-Mikael Barkoff is a PhD student at the University of Turku, Finland. His main research

451 interests include diagnostics, molecular typing and surveillance of *B. pertussis* and other

452 related respiratory bacteria.

453

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455 The funders of the study (GlaxoSmithKline Biologicals and Sanofi Pasteur MSDP) had no

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457 The corresponding (QH) and first (AMB) author had full access to all data. In addition, the  
458 corresponding author had the final decision where to submit the data for publication.

459

#### 460 **Declaration of interests**

461 We declare no competing interests

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## 571 TABLES AND FIGURES

572 **Table 1.** Pertussis vaccines currently used in European countries\*

573

574 Country	Vaccine
575 Belgium	ACV3
576 Denmark	ACV1
577 Finland	ACV3
578 France	ACV2, ACV3 or ACV5
579 Italy	ACV3
580 Norway	ACV3
581 Sweden	ACV2 or ACV3
582 The Netherlands	ACV3
583 UK	ACV3 or ACV5

584 \*Vaccine compositions: ACV1: PT; ACV2: PT and FHA; ACV3: PT, FHA and PRN; ACV5:

585 PT, FHA, PRN, Fim2 and Fim3

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597 **Table 2.** Overview of the isolate characteristics in EUpert IV study countries

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No. of Strains	COUNTRY									TOTAL
	Belgium	Denmark	Finland	France	Italy	Netherlands	Norway	Sweden	UK	
	38	27	28	29	20	32	32	29	30	265
<b>vaccination status</b>										
vaccinated	14	11	16	15	2	24	24	11	13	130
unvaccinated/unknown	24	16	12	14	18	8	8	18	17	135
<b><i>ptxA</i> genotype</b>										
<i>ptxA1</i>	38	27	28	29	20	32	32	29	30	265
<b><i>ptxP</i> genotype</b>										
<i>ptxP1</i>	1	1	2	3	1	0	1	2	1	12
<i>ptxP3</i>	37	26	26	26	19	32	31	27	29	253
<b>Serotype</b>										
Fim2	17	22	22	2	10	15	16	5	11	120
Fim3	21	5	6	27	9	15	15	24	19	141
Fim2,3	0	0	0	0	1	2	0	0	0	3
Negative	0	0	0	0	0	0	1	0	0	1
<b><i>fim3</i>-allele</b>										
<i>fim3-1</i>	30	24	22	14	15	24	27	17	17	190
<i>fim3-2</i>	8	3	3	15	5	8	5	12	13	72
<i>fim3-3</i>	0	0	1	0	0	0	0	0	0	1
<i>fim3-4 (1b)</i>	0	0	2	0	0	0	0	0	0	2
<b><i>prn</i> genotype</b>										
<i>prn1</i>	1	0	2	0	0	0	0	0	0	3
<i>prn2</i>	35	26	25	27	20	32	31	29	30	255
<i>prn3</i>	0	0	1	1	0	0	0	0	0	2
<i>prn9</i>	2	1	0	1	0	0	1	0	0	5
<b>PFGE profile</b>										
BpSR3	12	18	16	3	6	2	14	0	7	78
BpSR5	2	0	1	2	0	2	0	3	4	14
BpSR10	16	2	2	7	3	16	8	11	7	72
BpSR11	3	2	2	9	2	7	3	7	4	39
BpSR12	2	1	0	2	1	0	1	1	2	10
Others	3	4	7	6	8	5	6	7	6	52
<b>MLVA type</b>										
MT27	30	13	24	23	18	29	26	24	27	214
Others	8	14	4	6	2	3	6	5	3	51

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602 **Table 3.** Number of PFGE profiles and MLVA types identified in the four study periods

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604	Percentage (%) of PFGE or MLVA types (identified/total no of isolates)				
	605	606	607	608	
606	Method	1998-2001	2004-2005	2007-2009	2012-2015
607	PFGE	32.4 (33/102)	23.4 (36/154)	18.8 (29/140)	15.8 (42/265)
608	MLVA	17.6 (18/102)	N/A†	10.7 (15/140)	7.5 (20/265)

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610 \*The Simpson diversity index calculated for each study period was 0.91, 0.88, 0.88 and 0.83

611 for PFGE and 0.70, 0.47 and 0.34 for MLVA.

612 †\*N/A, not available.

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633 **Figure legends**

634 Figure 1. Frequency of fimbrial serotypes among the EUpert I-IV studies

635 Figure 2. Distribution of PFGE profiles among the EUpert I-IV studies (1998-2015)

636 Figure 3. Distribution of PFGE profiles among the EUpert IV study countries (2012-2015)

637 Figure 4. Dendogram of PFGE profiles identified in the EUpert IV study

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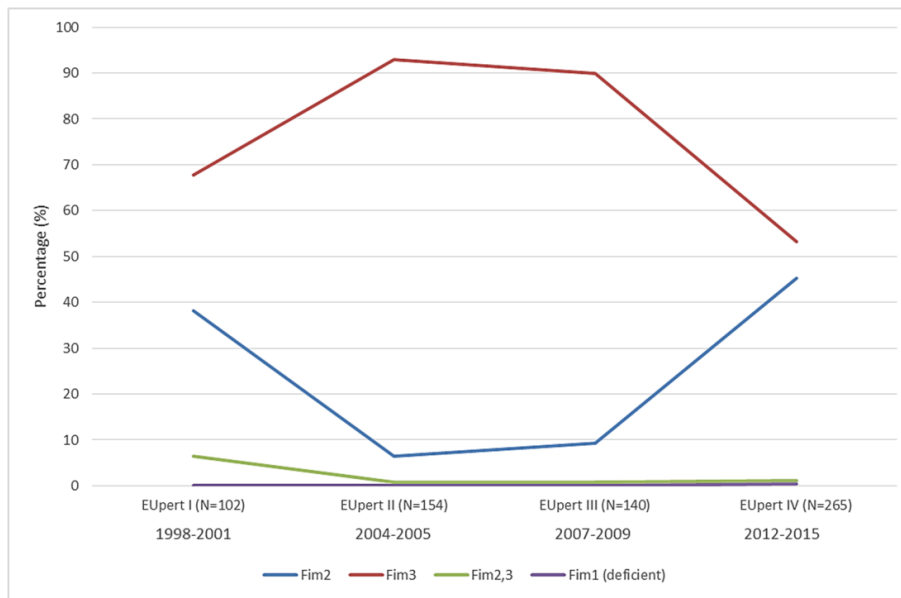
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663 Figure 1.



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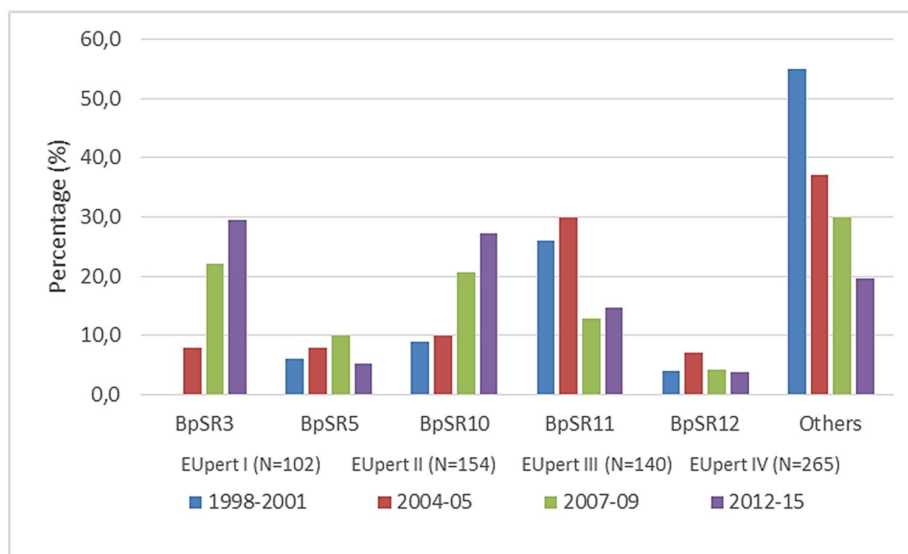
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682 Figure 2.



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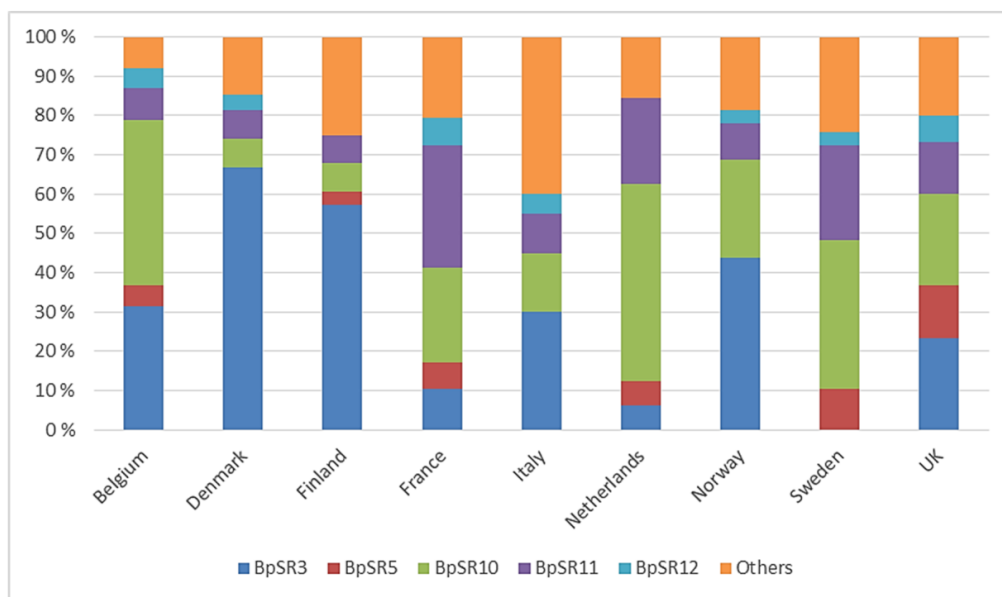
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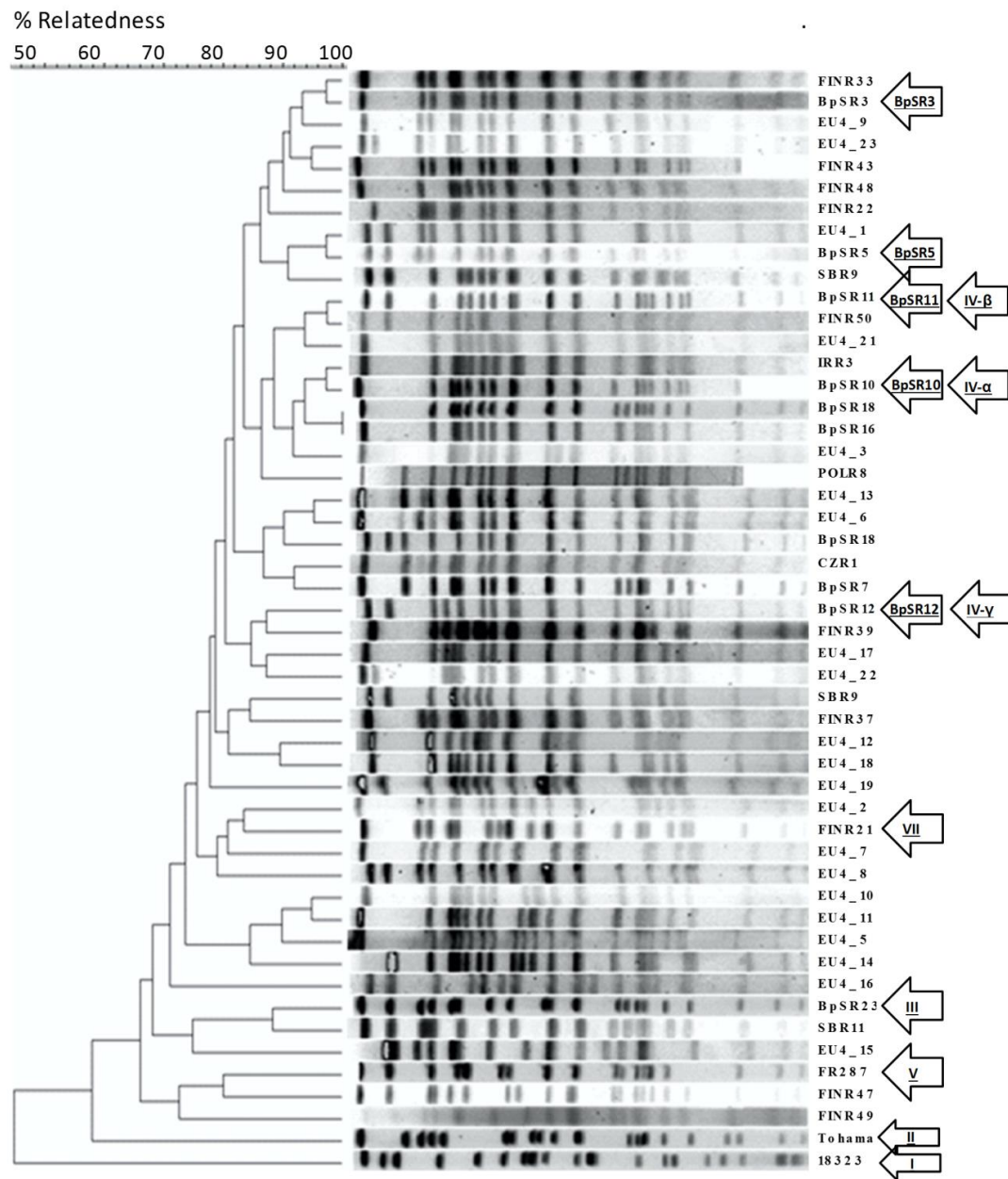
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721 Figure 4.

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