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1 **Factors determining the integration of nutritional genomics into clinical practice**
2 **by Registered Dietitians**

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12
13 **Conflict of Interest**

14 MA has worked with several start-ups as a consultant in the area of nutrigenetic testing.
15 This research has not been supported by a research award or allocation of external
16 financial resources

17

18 **Abstract**

19 **Background:** Personalised nutrition has the potential to improve health, prevent disease
20 and reduce healthcare expenditure. Whilst research hints at positive consumer attitudes
21 towards personalized nutrition that draws upon lifestyle, phenotypic and genotypic data,
22 little is known about the degree to which registered dietitians (RD) are engaged in the
23 delivery of such services. This review sought to determine possible factors associated
24 with the integration of the emerging science of Nutritional Genomics (NGx) into the
25 clinical practice setting by practicing registered dietitians.

26 **Scope:** Search of online databases (Pubmed; National Library of Medicine; Cochrane
27 Library; Ovid Medline) was conducted on material published from January 2000 to
28 December 2014. Studies that sampled practicing dietitians and investigated integration
29 or application of NGx and genetics knowledge into practice were eligible. Articles were
30 assessed according to the American Dietetic Association Quality Criteria Checklist.

31 **Key Findings:** Application of nutritional genomics in practice has been limited.
32 Reluctance to integrate NGx into practice is associated with low awareness of NGx, a
33 lack of confidence in the science surrounding NGx and skepticism toward Direct to
34 consumer (DTC) products. Successful application to practice was associated with
35 knowledge about NGx, having confidence in the science, a positive attitude toward
36 NGx, access to DTC products, a supportive working environment, working in the
37 clinical setting rather than the public health domain and being in private rather than
38 public practice.

39 **Conclusions:** There is a need to provide RGs with a supportive working environment
40 that provides ongoing training in NGx and which is integrated with clinical practice.

41 **Keywords:** Dietitians; nutritional genomics; involvement; personalised nutrition.

42

43 **Background**

44 Since the completion of the Human Genome Project in 2003 (Venter, 2011),
45 vast progress has been made in the field of identifying human genetic variations which
46 may play a role in the development of obesity and chronic diseases such as diabetes,
47 cardiovascular disease and dementia (Nielsen & El-Sohemy, 2012). With regards to
48 modernizing healthcare, the United Kingdom (UK) government, in particular, is aiming
49 to lead genomic research and its application within the NHS (NHS, 2015). According to
50 the 5-Year Forward Review Report (DOH, 2014), personalized healthcare will be
51 delivered using digital technologies and will be informed by genomic data, which is
52 poised to revolutionize healthcare toward personalized treatment plans. Although
53 personalized nutrition is not explicitly mentioned within the plans, diet and lifestyle
54 play a key role in the prevention of non-communicable diseases, the European
55 Commission (EC) has pledged make personalised diets a priority by 2050 (EC, 2014).
56 As a consequence, nutrition is expected to become a key focus for prevention. It has
57 been speculated that wide adoption of personalized nutrition could result in health care
58 expenditure reduction of 13% (Marsh & McLennan, 2014).

59 Rapid developments in genomic research have led to the emerging field of
60 nutritional genomics (NGx), which encompasses both nutrigenomics (the study of the
61 impact of diet on gene expression) and nutrigenetics (which looks at how our genetic
62 make-up affects nutrient response) (Müller & Kersten, 2003). Rosen *et al.*, (2006,
63 p1243) defined the application of NGx as “the interpretation of genetic profile
64 information with subsequent therapeutic prescription of an individualized dietary
65 regimen that was tailored to the prevention or management of one or more specific
66 diseases or conditions identified by the genetic profile”. In addition, the position paper

67 of the Academy of Nutrition and Dietetics (AND) on NGx states “The application of
68 NGx in clinical practice requires that healthcare professionals understand, interpret and
69 communicate complex test results in which the actual risk of developing a disease may
70 or may not be known” (Camp & Trujillo 2014, p299). The purpose of nutritional
71 genomics is to enable the delivery of a personalized approach to nutrition intervention
72 which is based on lifestyle, genotype and/or phenotype and in doing so, to prevent or
73 mitigate the development of chronic diseases (Fenech *et al.*, 2011).

74 The clinical utility of genetic tests designed to inform personalised nutrition
75 plans have been widely criticized mainly because of a lack of evidence for strong gene-
76 nutrient interactions as well as lack of effectiveness regarding (short and long term)
77 behavior change (Ries & Castle, 2008; Fraker & Mazza, 2010; Burke, 2014; Pavlidis *et*
78 *al.*, 2015; Hollands *et al.*, 2016). Against this, there is mounting evidence regarding the
79 benefits of a personalized nutrition approach with regards to dietary behavior change
80 (Arkadianos *et al.*, 2007; Chao, 2008; Tierney *et al.*, 2011; Nielsen & Soheymy, 2012;
81 Nielsen & El-Soheymy, 2014; Frankwich *et al.*, 2015; Celis-Morales *et al.*, 2016; Fallaize
82 *et al.*, 2016; Livingstone *et al.*, 2016).

83 The term ‘personalized nutrition’ has, at times, been used synonymously with
84 ‘nutritional genomics’. Personalized nutrition, however, has been defined more broadly.
85 The Food4me project (Food4me.org) was a European-wide research effort that looked
86 extensively into public perceptions of, attitudes towards, and preferences for delivery of
87 different types of personalised nutrition. The potential of different business models for
88 delivering personalized nutrition were also examined (Ronteltap *et al.*, 2012; Stewart-
89 Knox *et al.*, 2013; Berezowska *et al.*, 2014; Poinhos *et al.*, 2014; Stewart-Knox *et al.*,
90 2014; Fallaize *et al.*, 2015; Rankin *et al.*, 2016; Fischer *et al.*, 2016; Berezowska *et al.*,
91 2015). Gene-based personalized nutrition was extensively researched in previous large

92 studies such as LIPGENE and PREDIMED, and has already been commercialized
93 through various avenues (Ronteltap *et al.*, 2012). For the purpose of the Food4me
94 project, personalized nutrition was defined on three levels: dietary analysis; dietary
95 analysis + phenotypic information (eg. blood nutrient profile, anthropometry); or dietary
96 analysis + phenotype + genotype (Celis-Morales *et al.*, 2016; Fallaize *et al.*, 2016;
97 Livingstone *et al.*, 2016). Results from the Food4me project results have indicated a
98 willingness among the European public to pay for a personalized nutrition service
99 which includes some combination of dietary, phenotypic and genotype data, at least for
100 some groups of individuals in the population (Ries *et al.*, 2010; Fischer *et al.*, 2016;
101 Stewart-Knox *et al.*, 2016). Dietitians were identified as being among preferred
102 providers of personalized nutrition (Stewart-Knox *et al.*, 2013; Poínhos *et al.*, 2014;
103 Fallaize *et al.*, 2015; Stewart-Knox *et al.*, 2016). Hence, RD's may have an important
104 role to play in being the bridge between the science and the client (Gilbride, 2007). It is
105 crucial, therefore, to address any gaps that may exist between potential future demand
106 and supply of practitioners adequately trained in the science at all levels. Registered
107 Dietitians (RD's) already provide personalized nutrition plans based on various
108 parameters such as age, medical history as well as blood biochemical data (Nielsen &
109 El-Sohemy, 2012; BDA, 2013). NGx adds an additional layer of personalization by
110 including genotype information.

111 Debate, meanwhile, continues as to whether RD's should be delivering gene-
112 based service when there is only limited evidence for links between diet and genetics
113 (Görman *et al.*, 2013). Professional guidelines, therefore, do not yet explicitly
114 recommend that nutrigenetic testing is applied in routine dietetic practice (Camp &
115 Trujillo, 2014). Meanwhile, there is a growing expectation that RD's should be
116 competent in genetics (HCPC, 2013; BDA, 2013), have a basic knowledge of nutritional

117 genomics (Learning Outcomes for Dietitians on Nutritional Genomics, 2014) and be
118 prepared to integrate NGx into their practice (Collins et al., 2014). There has also been
119 an education drive for front-line healthcare practitioners to become familiar with
120 genomics (Public Health Genomics Education, 2015). Only a few research studies,
121 however, appear to have examined healthcare professionals' (including RD's)
122 engagement in the field of nutritional genomics (Lapham *et al.*, 2000; Rosen *et al.*,
123 2006; McCarthy *et al.*, 2008; Whelan *et al.*, 2008; Collins *et al.*, 2013). With an
124 interested potential consumer market (Stewart-Knox *et al.*, 2016; Fischer *et al.*, 2016), it
125 is essential to identify and address any barriers that may affect the integration of
126 nutrigenomic science into practice. Any lack of engagement and/or understanding of the
127 science by nutrition providers, may impact negatively upon public perception which
128 could have a knock-on effect on public health. The aim of this review, therefore, has
129 been to identify and understand factors that are associated with the integration and
130 application of NGx by registered dietitians in clinical practice. Clinical dietetic practice
131 refers both to advising clients or patients, who may or may not have medical conditions,
132 on nutrition (BDA, 2013). The application or integration of NGx is defined as the use of
133 information (including genetics), to assess an individuals' predisposition or risk of
134 developing a disease and maintain health (Collins *et al.*, 2014; Camp & Trujillo, 2014;
135 NHS, 2014).

136

137 **Method**

138 Databases searched were: Pubmed; Ovid Medline; Nat Lib Med; Cochrane
139 Library). Keyword strategy included a combination of Dietitian or Dietician AND
140 Nutritional Genomics OR Nutrigenomics OR Nutrigenetics OR Diet- Gene Interaction

141 AND Integration OR Application OR Translation OR Involvement OR Attitude OR
142 Clinical Practice.

143 All studies published between January 2000 and December 2014 were
144 considered eligible for inclusion. Additional references were found in the bibliography
145 of articles. Review papers, papers not in English and animal studies were excluded.
146 Studies that looked only at dietetic students were also excluded as the purpose of this
147 review has been to understand the perspective of registered dietitians in clinical practice
148 ie. those already qualified. A total of 917933 records were found. After limits were
149 applied (human studies, English and date range) 11057 articles remained. Following this
150 step, 11048 were screened and excluded on the basis of the title or if the abstract did not
151 meet the criteria for the review.

152

153 **Figure 1 here**

154

155 **Data Extraction and Analysis**

156 A total of 9 eligible studies were identified (table 1). Each study was assessed
157 according to the American Dietetic Association Quality Criteria Checklist (ADA,
158 2003). This entailed answering a number of questions with the response 'yes', 'no' or
159 'neutral' related to each study. If most of the answers were yes, the study received a
160 positive quality rating, if most of the answers were no, the study received a negative
161 rating, and if most answers were not applicable, the study received a neutral rating. The
162 evidence base is very small but mostly of positive quality as indicated in Table 1.

163

164 **Insert table 1 here**

165

166 **Results**

167 Inclusion criteria as outlined in Table 1 were met by 9 studies. The research mostly
168 included level 4 studies (cross-sectional, case-studies) which were conducted in mainly
169 English-speaking countries including UK, US, Canada, Australia and South-Africa. Six
170 out of nine studies were surveys (either mailed or online), two were mixed-method
171 (survey and interviews or focus groups) and one was a focus group only. The study
172 designs were mainly cross-sectional in nature, meaning it included dietitians from
173 various clinical backgrounds and specializations, levels of post-graduate education as
174 well as years of experience. Response rate ranged between 13% (Collins *et al.*, 2013)
175 and 65% (Whelan *et al.*, 2008). The number of participants in each study ranged
176 between 16 (Li *et al.*, 2014) to 1844 (Collins *et al.*, 2013). As there were a limited
177 number of studies and methods across studies were not consistent, a narrative approach
178 will be adopted to analyze the findings.

179

180 **1. Key factors associated with the integration of NGx into practice**

181 **1.1. Involvement with NGx in the Clinical and Education Setting**

182 Involvement in NGx has been identified as one of the key factors associated with
183 integration into practice (Whelan *et al.*, 2008; Oosthuizen, 2011; Collins *et al.*, 2013).
184 Whelan and colleagues (2008) and Collins and colleagues (2014) have broadly defined
185 the term ‘involvement’ (in NGx), to refer to a various clinical (11) and educational (3)
186 activities concerned with genetics and nutritional genomics. These included clinical
187 activities such as “discussing the genetic and dietary basis of disease” or “providing

188 nutrition advice to patients which is specific to the genetic nature of their condition” as
189 well as educational activities such as “providing training to students or other healthcare
190 professionals on diseases that have both a dietary and genetic component”. Involvement
191 in NGx has been predominantly measured via online surveys using Likert scales
192 (Christianson *et al.*, 2005; Rosen *et al.*, 2006; Whelan *et al.*, 2008; Oosthuizen, 2011;
193 Collins *et al.*, 2013; Cormier *et al.*, 2014). Involvement has been found to be low, such
194 that fewer than 50% of dietitians based in the clinical setting reported engaging in
195 activities associated with NGx (Whelan *et al.*, 2008; Oosthuizen., 2011; Collins *et al.*,
196 2013). Activities included referring individuals for genetic counselling. The proportion
197 was even lower in the educational setting (46.1%) where activities included being active
198 in teaching genetics to students and other healthcare professionals (Whelan *et al.*, 2008;
199 Oosthuizen., 2011; Collins *et al.*, 2013).

200 A multinational online survey study (N=1844) conducted by Collins *et al* (2013)
201 in the United Kingdom (UK), Australia and the United States (US), indicated that
202 genetics and nutritional genomics activities were not not always clearly separated, as
203 implied in the Whelan *et al.* (2008) study. Given the study was cross-sectional in nature
204 and that RD’s from various sub-disciplines were included in the study it was not
205 possible to distinguish between those who were dealing with monogenetic (congenital)
206 disorders and those with polygenetic disorders. For the purpose of statistical analysis the
207 ‘involvement’ variable score was calculated from the sum of clinical and educational
208 activities, rendering it difficult to separate out and establish the level of integration
209 specifically into clinical dietetics practice.

210

211 **1.2 Confidence in NGx Science and Technology**

212 Confidence in the science of genetics and NGx has been identified as one of the
213 strongest predictors of having integrated it into practice (Grimaldi, 2014). Dietitians
214 with a moderate/high level of confidence (54%) were more likely than those with lower
215 confidence to be involved in activities relating to genetics and NGx (Collins *et al.*,
216 2013). Not only did the dietitians lack confidence, but it also appeared that confidence
217 decreased with increasing years of experience (following qualification) (Collins *et al.*,
218 2013). Rosen and colleagues reported the results of a survey (N= 995) conducted in the
219 US in 2004 (Rosen *et al.*, 2006). The results indicated that 60% of RD's had little
220 confidence in their ability to provide nutrition services based on NGx. According to the
221 multinational (US; UK; and, Australia) survey conducted by Collins and colleagues
222 (2013), confidence in NGx was associated with having engaged in education or clinical
223 activities. Those who were involved in NGx appeared to have greater confidence in the
224 science and in their ability to apply it to practice.

225

226 **1.3 Knowledge of NGx**

227 Lack of knowledge of the science has been identified as a reason for low
228 integration of NGx into practice (Collins *et al.*, 2013). A survey (N=390) conducted in
229 the UK (Whelan *et al.*, 2008) and another (N=373), more recently conducted in Canada
230 (Cormier *et al.*, 2014) found that 75.9% of RD's in the clinical nutrition (public
231 healthcare setting) and 62.9% of RD's working as freelance RD's in the private sector
232 reported that they did not believe that had sufficient knowledge to incorporate NGx into
233 their clinical practice

234 The notion that lack of knowledge deters the application of NGx is backed up by
235 results of the largest (N= 1844) survey study of its kind (Collins *et al.*, 2013) which

236 indicated that only 18.8% of RD's knew the answer to the question "What condition is
237 not associated with the MTHFR 677C→T defect?" At most, 33.5% could describe what
238 the terms NGx or nutrigenetics meant. A survey (N=297) of South-African dietitians
239 (Oosthuizen, 2011) found that higher qualifications were associated with greater
240 knowledge and involvement in NGx. Those with postgraduate Masters and Doctoral
241 level qualifications were more likely to be engaged in genetics and NGx related
242 activities. This finding, however, was not borne out in the multinational study
243 conducted by Collins et al. (2013) who found no association between knowledge of
244 NGx and involvement. The possibility of any relationship between knowledge and level
245 of qualification, however, was not measured. This nevertheless implies that for NGx to
246 be applied in practice a sustainable means through which to communicate with RG's on
247 developments in NGx science on an ongoing basis may be required. Further research
248 may be required to determine the type of information on NGx required by practicing
249 RD's.

250

251 **1.4 Attitudes toward NGx**

252 Relatively few studies have considered the attitudes of RG's toward NGx. A
253 small mixed-method approach study (N=16) conducted in the UK and Australia by Li
254 and colleagues (2014) found that 50% of dietitians in both countries surveyed did not
255 believe that NGx played any role in informing their current practice. They also found a
256 general reluctance among RD's to integrate the science owing to a perceived lack of
257 evidence for its efficacy. Differences between the two countries were not measured.
258 Another survey study (N=235) undertaken by Christianson and colleagues (2005)
259 amongst Australian RD's, reported that the majority (71%) attributed the lack of

260 integration of NGx to not having encountered patients with genetic disorders. Given
261 genetic disorders constitute only a small part of what NGx encompasses, this suggests
262 that many RD's have only a very limited concept of the scope of NGx comprises (ie.
263 counselling those with monogenetic disorder) and of its potential role in the prevention
264 and treatment of non-communicable disease in the general population. Although there
265 were positive views on the potential role of NGx in preventing the development of
266 chronic diseases, the majority of RD's did not believe that NGx could improve the
267 quality and relevance of nutritional recommendations (Cormier *et al.*, 2014). This
268 suggests a need for initiatives to inform RD's on the scope of NGx and potential for
269 NGx in public health nutrition.

270

271 **1.5 Attitudes toward Direct-to-Consumer (DTC) Nutrigenetic tests**

272 Digital technological advances are expected to revolutionize preventative public
273 healthcare (EC, 2014) and present an opportunity to deliver digital health technologies
274 direct to the consumer (DTC). RD's, however, are purported to hold negative opinions
275 of DTC testing (Weir *et al.*, 2010; Cormier *et al.*, 2014; Li *et al.*, 2014) and appear
276 skeptical of DTC NGx products owing to the perceived lack of scientific evidence for
277 the efficacy of such products (Weir *et al.*, 2010; Li *et al.*, 2014). Negative attitudes
278 toward DTC testing have been put forward as a possible reason for low integration of
279 NGx into practice. RD's have also expressed concern that the results of DTC
280 personalized nutrition assessment if conveyed without adequate support and follow-up
281 could cause unnecessary worry in consumers (Weir *et al.*, 2010; Cormier *et al.*, 2014; Li
282 *et al.*, 2014).

283

284 **1.6 Job area and Healthcare Environment**

285 Quantitative survey (N=373) conducted in Canada, has suggested that RD's in
286 public health/health promotion and food service management may be less likely than
287 clinically based RD's to apply NGx in practice (Cormier *et al.*, 2014). This finding
288 echoes results of a mixed-method study reported by Li and colleagues (2014) which
289 found that neither clinically based nor public health RD's (UK and Australia), perceived
290 any role for NGx in providing population level dietary advice. Whereas dietitians in
291 public health failed to see NGx within the scope of preventative public health, those in
292 the acute (clinical) setting saw NGx as having a preventative rather than a therapeutic
293 role. The upshot was that neither public health nor clinical dietitians viewed NGx as
294 relevant to their own area of practice. Other studies (Oosthuizen, 2011; Cormier *et al.*,
295 2014), meanwhile, have indicated that those engaged in NGx related activities are most
296 likely to be based in academia, private practice or the food industry. This implies an
297 imperative for research to target RD's practicing in the clinical and public health sectors
298 in an endeavor to better understand the perceived barriers encountered when seeking to
299 engage with NGx, and to apply this understanding to the design of interventions to
300 encourage and support them in providing personalized nutrition services.

301

302 **1.7 Endorsement by Professional Organisations**

303 A US survey (N=995) of RD's (Rosen *et al.*, 2006) found that 80% had never
304 encountered NGx in practice. A possible reason for the lack of integration of NGx into
305 practice could be the lack of priority assigned to nutrigenomics by dietetic professional
306 associations (Li *et al.*, 2014). Endorsement by professional bodies would serve to
307 encourage RD's to acquire knowledge of the links between genetics and diet and to

308 become involved in activities relating to NGx (Rosen *et al.*, 2006; Oosthuizen, 2011;
309 Collins *et al.*, 2013; Li *et al.*, 2014). Although Cormier and colleagues (2014) found that
310 more than 75% (N=383) of RD's in the Quebec-area (Canada) knew about NGx, it was
311 not clear from the study whether this knowledge led to integration of NGx into practice.
312 The application of NGx in practice will require leadership from professional
313 organisations representing dietetics professionals.

314

315 **Discussion**

316 The aim of this review has been to identify barriers and enablers to the
317 integration of NGx into dietetics practice and to pinpoint areas for research and
318 intervention and policy to promote the application of NGx by RGs. Existing studies
319 imply that the apparent reluctance to integrate NGx into practice is associated with low
320 awareness of NGx and its range and scope, a lack of confidence in the science
321 surrounding NGx and skepticism toward DTC products. Integration of NGx also
322 appears to vary among the different dietetics domains (eg. clinical; public health) and
323 area of practice (eg. health service; commercial). All of these factors have potential to
324 respond to leadership by professional bodies and the introduction of core education and
325 training initiatives.

326 Genetics has been designated a compulsory component of dietetics training since
327 2008 (ASCEND, 2011; BDA, 2013) yet, nutritional genomics remains only an optional
328 module in undergraduate training in the UK and a module as part of MSc programs
329 throughout the UK (BDA, 2013). RD's involved in managing patients with inborn
330 errors of metabolism appeared more confident in providing genetic services (Gilbride &
331 Camp, 2004), possibly because this is covered in the undergraduate curricula. NGx in

332 the broadest sense, however, is not yet a part of clinical practice training, which could
333 partly explain the apparently poor knowledge, lack of confidence and involvement in
334 NGx activities amongst practicing RD's (Collins *et al.*, 2014).

335 Previous studies have demonstrated that dietitians have a preference for
336 education and training in seminars, workshops or online courses (Busstra *et al.*, 2007;
337 Newton, 2007b; Morin, 2009). Nevertheless, even after such training, the uptake and
338 integration of NGx can remain low (Newton, 2007b). This gap in provision of
339 translational education has partly been solved by private companies offering continuous
340 education to various healthcare professionals on the topic (Ronteltap *et al.*, 2012).
341 Owing to RD's skepticism towards DTC, however, these opportunities may not be fully
342 exploited. Digital technological advances may afford the opportunity to integrate the use
343 of digital health technologies which includes big (omics) data on nutrition, into the
344 dietetic curricula. Meanwhile, there may be wider issues associated with the lack of
345 interest and involvement in updating skills in NGx despite the available educational
346 opportunities, which require further investigation.

347 Confidence in the science of NGx appears to be lowest in those with more years
348 since graduation while knowledge is highest amongst less experienced RD's, possibly
349 because they have had recent training on the topic at undergraduate level (Whelan *et al.*,
350 2008; McCarthy *et al.*, 2008; Oosthuizen, 2011; Collins *et al.*, 2013; Cormier *et al.*,
351 2014). This could suggest that RD's who have been out of practice for longer should be
352 afforded continuous education opportunities to gain experience in NGx. This apparently
353 higher level of knowledge among recent graduates, however, does not appear to
354 translate into clinical practice for reasons that are not entirely clear. A possible
355 explanation could be lack of a supportive working environment (Li *et al.*, 2014).
356 Possible ways to overcome the apparent knowledge-practice gap need to be explored in

357 future research. Given that repetition and exposure to clinical situations can encourage
358 learning (Banet & Nunez, 2007), the amount of genetics (and optional genomics)
359 currently delivered through the curriculum in the UK (Dietetic Standards Health & Care
360 Professions Council, 2013) may need to be re-evaluated. Students learn about the
361 science but then do not receive further exposure during their clinical placement.
362 Reviewing the curriculum to increase knowledge and enhance confidence through
363 clinically based support and training may be necessary to address this in the future
364 (Wright, 2014).

365 In view of the wide range of dietetic roles currently available, a need for change
366 in how we train future dietitians has already been identified. The recently published
367 paper on standards of education (BDA, 2015: p16) concluded that “the profession is
368 ready and in need of a change of approach to student training” and that “the sole use of
369 the one-to-one model is neither sustainable nor appropriate and similarly students who
370 only experience NHS acute or community placements do not gain a true understanding
371 of the breadth of dietetic practice”. The profession, therefore, needs to consider RDs’
372 role and preparation within the ‘omics’ era (Wright, 2014). The core competency in the
373 Learning Outcomes Framework on NGx for Dietitians (The UK National Genetics and
374 Genomics Education Center, 2014: p1) stipulates that it is important to have “a broad
375 understanding of genetics, genomics and genetic testing as it relates to common
376 disorders seen by dietitians, in order that you are able to answer patients’ questions”.
377 Professional guidance and RD genomics education websites, however, caution that it is
378 too early to integrate genetic testing to provide genotype-based PN advice (Camp &
379 Trujillo., 2014). This renders involvement in NGx a difficult task, as RD’s have little
380 exposure to NGx in the dietetic curricula.

381 With rapid expansion of the direct to consumer (DTC) nutrigenetic testing
382 market (Saukko, 2013), the public are likely to seek access to qualified professionals to
383 interpret their results (Critchley, 2015). Whilst nutrigenetic tests have been criticized for
384 lack of clinical utility and validity (Pavlidis *et al.*, 2015), strong market growth
385 (Bloomberg, 2010) indicates market interest is growing. Yet, RD's appear to have a
386 poor perception of direct-to consumer testing products (Bouwman *et al.*, 2008; Weir *et*
387 *al.*, 2010; Cormier *et al.*, 2014; Li *et al.*, 2014). When considering DTC company
388 websites such as Nutrigenomix (Toronto, Canada <http://nutrigenomix.com>) and
389 DNalysis (Johannesburg, South-Africa <http://dnalysis.co.za>), it becomes clear that a
390 number of RD's have started integrating NGx into practice. So why do some RD's
391 integrate NGx and others don't? Although this may be explained by factors operating
392 within the healthcare environment such as employment in public health services
393 (Government contracted/NHS) versus private practice (Industry) within which RD's
394 practice, how this operates in practice is currently not clear. The use of NGx by RD
395 working in the NHS may also be less relevant. RD's are also concerned about cost and
396 that DTC results could unnecessarily worry clients and that specific groups, for
397 example, those on lower incomes, could be excluded from accessing such products
398 (Weir *et al.*, 2010; Cormier *et al.*, 2014; Li *et al.*, 2014). Whilst policy needs to consider
399 the needs of the less advantaged members of society, this should not pose a barrier to
400 RD's increasing their knowledge in preparation for responding to questions from
401 patients and the general public.

402 Previous research into the integration of NGx into practice has only touched
403 upon relevant issues in current NGx practice. A possible reason for this is that the term
404 'involvement' (in NGx) has been used in several papers, without it being either fully
405 operationally defined with regard to the application of NGx or used consistently

406 between studies. A first step toward enabling research on the integration of NGx in
407 dietetics practice, therefore, would be to define what the integration of NGx into
408 practice actually means. When looking at the detail within some of the published
409 research papers (Whelan *et al.*, 2008; Collins *et al.*, 2014), it is also evident that none of
410 the activities referred to as nutritional genomics actually involved the use of a
411 nutrigenetic test or genotypic information. Previous studies have indicated some
412 confusion among RD's about what activities are comprised in nutritional genomics
413 beyond the management of inherited conditions (Whelan *et al.*, 2008; Collins *et al.*,
414 2014). Future research on this topic, therefore, should provide a full definition of NGx
415 which encompasses all of what it entails in practice going beyond medical nutritional
416 therapy for genetic conditions such as Coeliac Disease or lactose intolerance. In
417 defining NGx therefore, a distinction needs to be made between monogenetic disorders
418 (such as inborn errors of metabolic disorders) and NGx which relates more to chronic
419 diseases.

420 Most studies that have looked at the integration of NGx into practice have been
421 quantitative, mainly on-line survey and cross-sectional in nature (Lapham *et al.*, 2000;
422 Christianson *et al.*, 2005; Rosen *et al.*, 2006; Whelan *et al.*, 2008; Weir *et al.*, 2010;
423 Oosthuizen, 2011; Collins *et al.*, 2013; Cormier *et al.*, 2014) and a dearth of in-depth
424 research which could assist in explaining the findings. Some of the surveys suffered
425 from poor response rates (Oosthuizen, 2011; Collins *et al.*, 2013; Cormier *et al.*, 2014)
426 and small sample sizes (Weir *et al.*, 2010; Li *et al.*, 2014), the reasons for which are
427 unclear. Another limitation is that only certain countries have been surveyed (Australia,
428 South-Africa, US, UK and Canada), with a relative lack of research in emerging and
429 developing countries.

430

431 Future Directions

432 The perceived importance of genetics based practice among the dietetics
433 profession appears to be associated with their level of knowledge of NGx (McCarthy *et*
434 *al.*, 2008; Collins *et al.*, 2013). Although it is difficult to determine the direction of
435 causation between high perceived importance and knowledge of NGx, that neither are
436 necessarily associated with integration of NGx into practice, warrants further study.

437 Existing research has also suggested that RD's have ethical concerns, most
438 especially that disadvantaged groups could be excluded from accessing products and
439 services if they are only offered commercially (Weir *et al.*, 2010; Cormier *et al.*, 2014;
440 Li *et al.*, 2014). Recent research into opinions among the European public on
441 personalised nutrition, however, has suggested that there may be two potential markets,
442 one delivered commercially and the other through existing health services (NHS), and
443 that under certain circumstances these types of provision should be synchronized
444 (Stewart-Knox *et al.*, 2013; Stewart-Knox *et al.*, 2014; Fallaize *et al.*, 2015; Fischer *et*
445 *al.*, 2016; Stewart-Knox *et al.*, 2016). This implies a future where dietetics practitioners
446 work alongside commercial providers of NGx and that further research is required to
447 determine how best to encourage collaboration between DTC and clinical NGx
448 providers.

449 The apparent narrow view of NGx as the management of genetic conditions
450 rather than the promotion of dietary health could demonstrate a lack of understanding of
451 the links between genes, diet, health and propensity for chronic disease (Gilbride,
452 2007), which will need to be addressed through education and training initiatives. With a
453 low response rate of only 13% in the largest study (Collins *et al.*, 2013), however, the
454 results may not be applicable to the dietetic profession as a whole.

455 Given the finding that there is divided opinion on which specializations and area
456 of practice are best place to integrate NGx, future policies will need to ensure that NGx
457 is integrated throughout professional practice. To our knowledge no comprehensive
458 work has been conducted to look at current provision on nutritional genomics within the
459 dietetic curriculum. Nor do any studies appear to have looked into the attitude and
460 perceptions of RD's who have integrated NGx into their practice (using the classic
461 definition of NGx) to provide gene-based PN services. The time is right, therefore, to
462 grasp the opportunity to conduct research with 'early adopters' of NGx and enquire into
463 traits, attitudes and perceptions that could help to determine the factors that are
464 associated with successful integration of NGx and which can inform initiative and
465 policies to encourage the rest of the profession to add this exciting new technology to
466 their practitioner resources.

467

468 **Insert table 2 here**

469

470 **Conclusions**

471 Owing to limitations in previous research, very few conclusions can be drawn
472 from studies of NGx integration into practice. At present, there is global variation in
473 how NGx is integrated at the clinical practice level, with the majority of RD's
474 abstaining. Further research should seek to understand the drivers, barriers and
475 challenges the profession faces with regards to integration of NGx into practice. Greater
476 clarity is needed at the strategic and policy level on how RD's could potentially use
477 genotype information and translate it into therapies and in dealing with client's
478 questions. A future concern and one that policy needs to address, is the issue of equality

479 of access to NGx (Stewart-Knox *et al.*, 2016). RD's in both private and public health
480 provision will need enabled to deliver NGx services. Meanwhile, there appears to be a
481 gap between what RD's are expected to know in terms of learning outcomes and what
482 actually happens in practice and further research is required to determine and
483 understand the reasons why.

484 It is clear that action is needed to ensure that more experienced RD's become
485 familiar with the science, its application and the potential professional opportunities this
486 could present. Measures also need to be taken to ensure that less experienced RD's are
487 encouraged to remain interested in the field once they are qualified and are afforded the
488 opportunity to integrate NGx into their practice. How much emphasis is placed on NGx
489 in clinical practice by educators, senior practitioners and professional organisations,
490 therefore, could play a major role in the establishment of a confident and competent
491 workforce that is prepared for changes the genomic revolution may bring and ready for
492 full integration of nutrigenomics into dietetic practice (Li *et al.*, 2014).

493 The future of modernized healthcare is likely to rely heavily on personalised
494 health promotion and disease prevention (EC, 2014). Whilst genetic contribution of
495 individual single nucleotide polymorphism to disease susceptibility is small 0-10%
496 (Minihane, 2013) and between gene-environment interactions are still being unraveled,
497 advanced skills and knowledge in genomics and systems biology may open up new
498 opportunities in the food industry for the development of functional food, as part of
499 digital health programs. In order to achieve this goal, educational and policy initiatives
500 will be required to integrate NGx across all levels and domains of practice. RD's are
501 ideally positioned to bridge the gap between suppliers and consumers. Equally, there is
502 an opportunity to foster links between industry and academia in terms of training in

503 order to satisfy demand for personalized nutrition products that can mitigate disease and
504 promote health.

505

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787 **Figure 1**

788

917933 records identified
through database search

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790

11057 records remained after
additional terms applied
(Human studies, English, data-
range)

11046 records excluded
if title or abstract not
suitable

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792

11 articles assessed for
eligibility

2 records excluded

- 1 article
studied
students
- 1 abstract

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9 studies suitable and
included for review

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Table 1: Summary of studies that met the inclusion criteria for the critical analysis

Study, (Country)	Participants	Design	Quality criteria checklist	Factors influencing integration	Outcome of study	Result
Collins et al 2013 (UK, US, Australia)	Dietitians N=1844 (13% response rate)	Cross-sectional study using online survey	Positive	Confidence Knowledge	Knowledge of genetics & NGx Involvement and confidence in undertaking clinical or educational activities related to genetics and NGx	Strongest predictor of high involvement for clinical activities was high confidence p<0.001
Whelan et al 2008 (UK)	Dietitians N=390 (65% response rate)	Postal survey	Positive	Confidence Knowledge	Involvement, confidence and knowledge of dietitians in genetics and diet-gene interactions	Involvement was associated with confidence, but limited to discussing diseases with dietary and genetic component (49%) or advising patients where to access information relating to a disease with a dietary and genetic component (33%)
Cormier et al 2014 (Canada)	Dietitians N=373 (15.3% response rate)	Online survey	Positive	Experience Perception Knowledge Ethical issues Market need Job role	Current knowledge of RD's regarding NGx to identify training needs in NGx of RD's and to highlight the perceived limitations of the use of genetic tests in their scope of practice	Less experienced dietitians were more knowledgeable but not applying it in practice Senior dietitians were less knowledgeable and more skeptical and concerned about ethical and legal aspects associated with D-T-C tests RD's in private practice more

						likely to integrate than RD's in acute and food serve setting
Weir et al 2010 (Canada)	Hcp's including Dietitians n=4, nutritionist n=1	Focus groups	Neutral	Competency Perceived benefit Attitude	Knowledge and attitude of hcp's regarding NGx and nutrigenetic testing	High level of skepticism towards nutritional benefit. Lack of confidence and knowledge hindered integration
Christianson et al 2005 (Australia)	HCP's including dietitians N=235 (response rate 34%)	Cross-sectional survey	Positive	Attitude	Knowledge	71% did not work with patents with genetic conditions. Lack of knowledge and understanding of the link between diet and genes
Lapham et al 2000 (US)	Dietitians N=362 (62% response rate)	Survey and focus groups	Positive	Confidence	To determine the Genetics education needs and priorities of RD's and other hcp's	Involvement was limited to genetic component of disease problems (67%) and counselling patients with a genetic condition (24.1%) RD's had low confidence in applying genetics in practice
Rosen R et al 2006 (US)	Dietitians N=995 (40% response rate)	Mailed survey	Positive	Knowledge Confidence Attitude	To assess continuing education needs for RD's regarding application of NGx	Positive attitudes were associated with greater confidence in ability to apply knowledge. Factors that hindered application included: Lack of knowledge (81%); Uncertainty about reimbursement (84%); Lack of CPD (73%);

						Lack of professional expertise (72%).
Li S et al 2014 (Australia & UK)	Dietitians N=16 (semi-structured interviews) N=7 (Focus groups)	Semi-structured interviews Online surveys Focus groups	Neutral	Confidence Knowledge Environment Perception	Low Involvement	Lack of supportive environment Limited exposure and training Lack of relevance to practice Lack of scientific evidence Too early to integrate the science into practice
Oosthuizen 2011 (South-Africa)	Dietitians N= 297 (response rate 15.2%)	Cross-sectional online and mailed survey	Positive	Knowledge Confidence	To determine involvement, knowledge and confidence in genetics and NGx	Significant positive association between involvement and confidence (p<0.001) Those with higher involvement had higher knowledge and were more confident

Table 2: Current gaps in our knowledge and research questions

- **How can digital technology be best used to increase knowledge, heighten interest and encourage the inclusion of NGx into the dietetic education curriculum?**
- **What training is currently offered on nutritional genomics in the dietetic curriculum across the globe?**
- **How has NGx been successfully integrated into clinical practice and what are the drivers, perceptions and experiences that have influenced early adopters?**
- **What are the perceived barriers faced by RD's in adopting NGx into practice?**
- **Has translation of the science and the barriers encountered in doing so, been consistent across countries?**
- **Most research has been conducted in English speaking countries. What are the views and practices of dietitians in non-English speaking and emerging countries?**

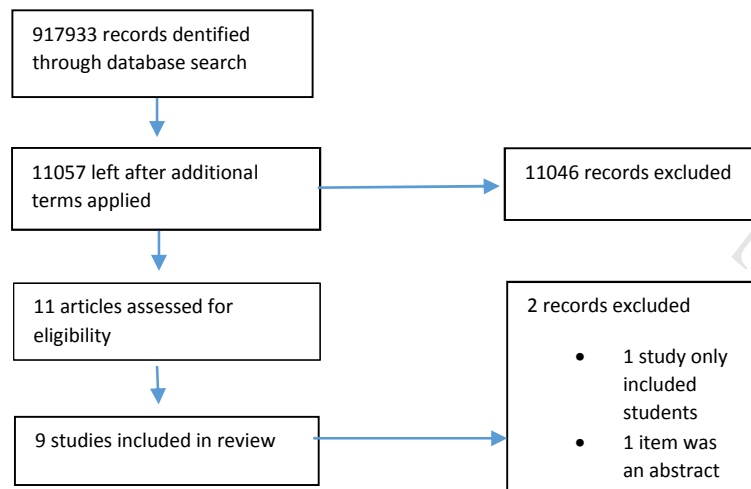


Figure 1: Literature search procedure

Highlights

- Registered Dietitians (RD's) have been identified as key healthcare professionals to translate Nutritional Genomics (NGx) into practice
- There is a lack of research conducted into the views of RD's who have integrated NGx into practice
- Higher education curricula do not integrate genomics data into clinical practice and integration of NGx into practice is low.
- There is an opportunity to integrate DNA testing and digital health platforms into the curriculum as an innovative way to increase interest and engagement with NGx
- Leaders of dietetic organizations and academic institutions need to place nutritional genomics higher on the strategic agenda in order to progress the profession and to create new opportunities.