## Faculty Book Display Case

School of Law

1-1-2009

# Bioethics and Law in a Nutshell

Robert Schwartz

University of New Mexico - School of Law, schwartz@law.unm.edu

Sandra H. Johnson

Follow this and additional works at: https://digitalrepository.unm.edu/law\_facbookdisplay

Part of the Law Commons

## Recommended Citation

Schwartz, Robert and Sandra H. Johnson. "Bioethics and Law in a Nutshell." (2009): 273 pages. https://digitalrepository.unm.edu/law\_facbookdisplay/16

This Book is brought to you for free and open access by the School of Law at UNM Digital Repository. It has been accepted for inclusion in Faculty Book Display Case by an authorized administrator of UNM Digital Repository. For more information, please contact <a href="mailto:amywinter@unm.edu">amywinter@unm.edu</a>.



## WEST'S LAW SCHOOL ADVISORY BOARD

#### JESSE H. CHOPER

Professor of Law and Dean Emeritus, University of California, Berkeley

#### JOSHUA DRESSLER

Professor of Law, Michael E. Moritz College of Law, The Ohio State University

#### YALE KAMISAR

Professor of Law, University of San Diego Professor of Law Emeritus, University of Michigan

#### MARY KAY KANE

Professor of Law, Chancellor and Dean Emeritus, University of California, Hastings College of the Law

#### LARRY D. KRAMER

Dean and Professor of Law, Stanford Law School

#### JONATHAN R. MACEY

Professor of Law, Yale Law School

#### ARTHUR R. MILLER

University Professor, New York University Professor of Law Emeritus, Harvard University

#### GRANT S. NELSON

Professor of Law, Pepperdine University Professor of Law Emeritus, University of California, Los Angeles

#### A. BENJAMIN SPENCER

Associate Professor of Law, Washington & Lee University School of Law

#### JAMES J. WHITE

Professor of Law, University of Michigan

# BIOETHICS AND LAW

## IN A NUTSHELL

By

## SANDRA H. JOHNSON

Professor Emerita of Law and Health Care Ethics Saint Louis University School of Law

## ROBERT L. SCHWARTZ

Henry Weihofen Professor of Law and Professor of Pediatrics University of New Mexico School of Law

**WEST**®

A Thomson Reuters business

Mat #11591493

Thomson Reuters created this publication to provide you with accurate and authoritative information concerning the subject matter covered. However, this publication was not necessarily prepared by persons licensed to practice law in a particular jurisdiction. Thomson Reuters does not render legal or other professional advice, and this publication is not a substitute for the advice of an attorney. If you require legal or other expert advice, you should seek the services of a competent attorney or other professional.

Nutshell Series, In a Nutshell and the Nutshell Logo are trademarks registered in the U.S. Patent and Trademark Office.

© 2009 Thomson Reuters

610 Opperman Drive St. Paul, MN 55123 1–800–313–9378

Printed in the United States of America

ISBN: 978-0-314-06668-8

To the memory of those of our parents who have completed their life journeys, Mary and Clarence ("Tacky") Hanneken and Jerome Schwartz

S.H.J. and R.L.S.

\*

## **PREFACE**

For more than twenty years, we have been coauthors, with Barry Furrow, Tim Greaney, and Tim Jost, of Health Law: Cases, Materials and Problems, which is now in its Sixth Edition. The Health Law casebook has always included substantial coverage of bioethics issues, and the bioethics chapters have also been published, with additional material, as Bioethics: Health Care Law and Ethics. As those familiar with the casebooks will recognize, a few parts of this Nutshell are taken directly from one or the other of those casebooks. This Nutshell grows out of our experiences in writing these casebooks and in teaching our students over the years.

Our aim in this Nutshell is to provide students with a brief and accessible introductory overview of the central legal issues in bioethics. This volume is not meant to be, and is not, comprehensive. Our readers certainly will be able to list issues that we have not included.

Our concern is to provide a foundation for legal analysis of the most durable bioethics issues that have consistently found themselves at the center of the public debate over the last quarter of a century,

#### PREFACE

not to address all of the issues that have made an appearance in that debate. Our focus is on the legal issues, and these materials primarily address issues that have found their way into the courts, legislatures, and administrative agencies in the United States. We have included only a brief chapter to provide a precis of the more influential ethical theories at play in bioethics discussions. This Nutshell provides the overview—the forest, if you will; you will have to go elsewhere to study the individual trees in more detail.

We have enjoyed writing this book, but mostly we have enjoyed collaborating for more than two decades now. One of the things we enjoy is that we do not agree with each other on several quite significant issues, and you may even notice this tension in the text. We have tried to be evenhanded, and we hope that we have explained how reasonable people can hold very different views on the propriety of applying law to almost every issue in bioethics.

As always, we are grateful to the students who assisted us in the preparation of this text: Cara Jackson and Kathryn Krieger of Saint Louis University School of Law and Katey Cortese of University of California, Hastings College of the Law. We are grateful as well to the decades of students who have made us think and express ourselves more clearly. We also thank our deans, Jeffrey Lewis and

#### PREFACE

Kevin Washburn for their continuing support. Finally, we thank our families, far and wide, for their constant love.

SANDRA H. JOHNSON ROBERT L. SCHWARTZ

September 2009

\*

			Page
Pref	ACE		V
Tabl	E OI	F CASES	XVII
Cha	pte	r 1. Introduction to Ethics	1
I.	Etl	nical Theories	1
	A.	Utilitarianism	2
	B.	Deontological Theories	3
		1. Kantianism	3
		2. Natural Law	5
II.	Ap	plied Ethics	6
	A.		6
	B.	Feminist Bioethics	8
	C.	Critical Race Theory and Bioethics	10
	D.	Religious Perspectives in Bioethics	11
	E.	Virtue Ethics	12
	F.		13
		1. Casuistry	13
		2. Pragmatism	13
		3. Narrative Bioethics	13
III.	Dis	stributive Justice	14
IV		e Relationship of Law and Ethics	
- , ,		Generally	
		Conflicts of Conscience and Law	18

	Page	III
Chapter 2. Human Reproduction	23	
I. Defining a Human Being—Ethics and		
Law	23	
A. Introduction	23	
B. Legal Recognition of Human Life	26	
II. Abortion	29	
A. Introduction	29	
B. Roe v. Wade	31	
C. Planned Parenthood of Southeastern		
Pennsylvania v. Casey	34	IV
D. Gonzales v. Carhart	37	
E. The Future of Abortion	39	
III. Potential Fetal–Maternal Conflict	41	
IV. Assisted Reproductive Technologies		
(ART) and Their Legal Consequences	49	
A. Introduction: The Process of Repro-	10	
duction	49	V
B. The Legal Questions	53	
1. Artificial Insemination, In Vitro	00	
Fertilization, and the Question		
of Parentage	55	
2. The Question of the Status of		
"Extra" Fertilized Ova	63	
	67	
3. Surrogacy 4 APT and the Law of Marriage		$\mathbf{Ch}$
4. ART and the Law of Marriage	75 77	
C. Cloning	77	T
Chapter 3. Genetics	82	11
I. Introduction	82	11
A. The Nature of Genetic Information	82	
B. Legal History of Genetics	85	
II. Definitional Issues	86	
A. Genetics and Illness	86	
B. Statutory Definitions of Genetic In-	00	
formation	88	
101111ab1011	OO	

	Page
III. Legal Claims Relating to Confidentiality,	
Privacy, and Discrimination	89
A. Confidentiality and Privacy	89
1. Confidentiality	89
2. Privacy	91
B. Discrimination	92
1. Discrimination in Insurance	92
2. Discrimination in Employment	93
IV. Mandatory Newborn Genetic Screening	94
A. Background	94
B. State Authority	95
C. Parental Consent	96
D. Benefits and Risks	96
E. Retention of Samples	97
V. Genetic Research	98
A. Biobanks	98
1. Consent	98
2. Risk-Benefit Analysis	99
3. Property Claims	100
B. Clinical Genetic Research	101
Chapter 4. Legal Standards for the	
Determination of Death	103
I. Introduction	103
II. Current Legal Standards	104
A. Irreversible Cessation of Cardiopul-	
monary Function	105
B. Functions of the Entire Brain	106
C. Accommodation of Individual Beliefs	107

		Dome			Page
TT	Current Legal Standards—Continued	Page	IV.	Incompetent Patients—Continued	
11.	D. Organ Donation After Circulatory De-			2. The Next Step: Durable Powers of	
	termination of Death	108		Attorney for Health Care	136
TTT	Proposals for Expanding Standards for	100		3. The Development of the Uniform	
111.	the Determination of Death	111		Health-Care Decisions Act	138
	A. "Higher" Brain Death			4. Family Consent Statutes	141
	B. Brain Absence			5. POLST: Physicians' Orders Re-	
	D. Dram Absence	112		garding End-of-Life Care	144
Cha	pter 5. Decisions Regarding Life-			C. Making Decisions Concerning Life	
	Sustaining Treatment	114		Sustaining Treatment When There	
I.	The U.S. Constitution and the "Right to			Is No Advance Directive	145
	Die:" The Cruzan Case	114	V.	Never-Competent Adults and the "Right	
II.	Competent Patients	118		to Die''	149
	A. The General Rule: The Patient De-		VI	Children, Newborns, and the "Right to	
	cides	118		Die''	152
	B. Countervailing State Interests	119		A. Making Decisions for Children	152
	1. Preservation of Life	120		B. Newborns and the "Right to Die"	159
	2. Protection of Innocent Third Par-		VII.	Futility	166
	ties	121	~1		- <del>-</del>
	3. Prevention of Suicide	122		apter 6. Physician-Assisted Death	173
	4. Maintenance of the Ethical Integ-		1.	Introduction—And a Word on Terminolo-	
	rity of the Medical Profession	123	**	gy	
	5. Special Circumstances	124		Constitutional Background	175
III.	Determining Competency	124	111.	State Legislative Developments and the	
	A. Generally	124		Public Debate	
	B. President's Commission Standards	127	IV.	The International Debate	188
IV.	Incompetent Patients	130	Ch	apter 7. Organ Transplantation	109
	A. Introduction and General Principles:			Introduction	
	Substituted Judgment and Best In-			The Supply of Human Organs for Trans-	
	terests	130	11.	plantation	
	B. Advance Directives and Family Con-			A. The Uniform Anatomical Gift Act	
	sent Laws	133		(UAGA)	
	1. The Rise of Living Wills	134			194

		Dono		Page
TT (T)	o Supply of Human Organs for Trong	Page	Chapter 8. Regulation of Research With	
	ne Supply of Human Organs for Transplantation—Continued		Human Subjects	213
	1. Structure of the UAGA	194	I. Introduction	213
			II. Sources of Legal Standards Governing	
	2. Pre-mortem Document of Gift		Research	216
	3. Post-mortem Donation		A. State Legislation	216
	4. Immunity		B. Common Law	
	5. Designated Donation	197	C. Private Organizations	
В.	Presumed Consent	198	D. International Standards	219
	1. Statutory Provisions	198	III. The Federal Regulations	
	2. Constitutional Challenges to Pre-		A. The Common Rule	220
	sumed Consent	199	B. Coverage	
$\mathbf{C}$	Payment for Organs		1. Covered Entities	
0.	1. Statutory Restrictions		2. Definition of Research	
	2. Proposals to Allow Payments for	200	a. Clinical Innovation	
		201	b. Quality Improvement Studies	
D	Organs		3. Human Subjectsa. Definition	
ש.	Living Donors		b. Human Tissue and Medical	224
	1. Competent Donors		Records	224
	2. Incompetent Donors		C. Institutional Review Boards	
Ε.	Determination of Death	204	1. IRB Composition	
III. Distribution of Organs for Transplanta-			2. IRB Duties and Authority	
-	tion	205	D. Governmental Oversight	
A.	Federal Law on Organ Distribution		IV. Substantive Federal Standards	
	and UNOS	206	A. Risk and Safety	
В.	Listing Patients	207	B. Consent	
C.	Geographic Distribution of Organs		1. Coercion and Undue Influence	
D.	Organ Matching		2. No Consent and Proxy Consent	
E.			C. Selection of Subjects	
12.	1. Campaigning for Organs		1. Children	
	2. Paired Donations		2. Mentally Incapacitated Adults	238
	2. Faired Donations	211 911	3. Prisoners	

			Page			
V.	Commercial Interests in Research					
	A.	Industry Sponsorship of Research	242			
		1. Industry Payment Practices in Re-				
		search	243			
		2. Industry Research, Marketing,				
		and Phase IV Trials	244			
	В.	Ownership of the Results of Research	245			
		1. Researcher Ownership Interests	245			
		2. Research Subjects' Claims of Own-				
		ership Interests	247			
	C.	Regulation of Financial Interests of				
		Researchers	249			
VI.	Int	ernational Research	250			
VII.	$\operatorname{St}\epsilon$	em Cell Research	253			
	A.	Mechanisms	253			
	В.	Social and Ethical Issues	255			
	C.	Legal Issues	256			
	D.	Federal Funding of Stem Cell Re-				
		search	258			
	E.	State Regulation	259			
INDE	X		261			

## TABLE OF CASES

#### References are to Pages

Abdullahi v. Pfizer, Inc., 562 F.3d 163 (2nd Cir.2009), 252

Abigail Alliance for Better Access to Developmental Drugs v. von Eschenbach, 495 F.3d 695 (D.C.Cir.2007), 231

Bowers v. Hardwick, 478 U.S. 186, 106 S.Ct. 2841, 92 L.Ed.2d 140 (1986), 33

Buck v. Bell, 274 U.S. 200, 47 S.Ct. 584, 71 L.Ed. 1000 (1927), 85

Cruzan v. Director, Missouri Dept. of Health, 497 U.S. 261, 110 S.Ct. 2841, 111 L.Ed.2d 224 (1990), 114

Douglas County v. Anaya, 269 Neb. 552, 694 N.W.2d 601 (Neb. 2005), 96

Gonzales v. Carhart, 550 U.S. 124, 127 S.Ct. 1610, 167 L.Ed.2d 480 (2007), 30

Grimes v. Kennedy–Krieger Institute, Inc., 366 Md. 29, 782 A.2d 807 (Md.2001), 217

Griswold v. Connecticut, 381 U.S. 479, 85 S.Ct. 1678, 14 L.Ed.2d 510 (1965), 30

Guardianship of (see name of party)

### In re (see name of party)

Katskee v. Blue Cross/Blue Shield of Nebraska, 245 Neb. 808, 515 N.W.2d 645 (Neb.1994), 87

#### TABLE OF CASES

- Lawrence v. Texas, 539 U.S. 558, 123 S.Ct. 2472, 156 L.Ed.2d 508 (2003), 33
- Miller v. HCA, Inc., 118 S.W.3d 758 (Tex.2003), 165 Moore v. Regents of University of California, 271 Cal.Rptr. 146, 793 P.2d 479 (Cal.1990), 247
- Newman v. Sathyavaglswaran, 287 F.3d 786 (9th Cir.2002), 199 Norman-Bloodsaw v. Lawrence Berkeley Laboratory, 135 F.3d 1260 (9th Cir.1998), 94
- Pate v. Threlkel, 661 So.2d 278 (Fla.1995), 90 Planned Parenthood of Southeastern Pennsylvania v. Casey, 505 U.S. 833, 112 S.Ct. 2791, 120 L.Ed.2d 674 (1992), 30
- Roe v. Wade, 410 U.S. 113, 93 S.Ct. 705, 35 L.Ed.2d 147 (1973), 29
- Safer v. Estate of Pack, 291 N.J.Super. 619, 677 A.2d 1188 (N.J.Super.A.D.1996), 90
- Schiavo, In re Guardianship of, 916 So.2d 814 (Fla. App. 2 Dist. 2005), 146
- Schloendorff v. Society of New York Hospital, 211 N.Y. 125, 105 N.E. 92 (N.Y.1914), 118
- T.A.C.P., In re, 609 So.2d 588 (Fla.1992), 112
- Vacco v. Quill, 521 U.S. 793, 117 S.Ct. 2293, 138 L.Ed.2d 834 (1997), 6, 175
- Washington v. Glucksberg, 521 U.S. 702, 117 S.Ct. 2258, 138 L.Ed.2d 772 (1997), 175
- Washington University v. Catalona, 490 F.3d 667 (8th Cir.2007), 248