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RESEARCH ARTICLE

Genetic and environmental determinants of stressful life events and their overlap with depression and neuroticism [version 1; referees: awaiting peer review]

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Abstract

Background: Stressful life events (SLEs) and neuroticism are risk factors for major depressive disorder (MDD). However, SLEs and neuroticism are heritable and genetic risk for SLEs is correlated with risk for MDD. We sought to investigate the genetic and environmental contributions to SLEs in a family-based sample, and quantify genetic overlap with MDD and neuroticism.

Methods: A subset of Generation Scotland: the Scottish Family Health Study (GS), consisting of 9618 individuals with information on MDD, past 6 month SLEs, neuroticism and genome-wide genotype data was used in the present study. We estimated the heritability of SLEs using GCTA software. The environmental contribution to SLEs was assessed by modelling familial, couple and sibling components. Using polygenic risk scores (PRS) and LD score regression (LDSC) we analysed the genetic overlap between MDD, neuroticism and SLEs.

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REVIEW

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Results: Past 6-month life events were positively correlated with lifetime MDD status ($\beta=0.21$, $r^2=1.1\%$, $p=2.5 \times 10^{-25}$) and neuroticism ($\beta=0.13$, $r^2=1.9\%$, $p=1.04 \times 10^{-37}$) at the phenotypic level. Common SNPs explained 8% of the phenotypic variance in personal life events (those directly affecting the individual) (S.E.=0.03, $p=9 \times 10^{-4}$). A significant effect of couple environment was detected accounting for 13% (S.E.=0.03, $p=0.016$) of the phenotypic variation in SLEs. PRS analyses found that reporting more SLEs was associated with a higher polygenic risk for MDD ($\beta=0.05$, $r^2=0.3\%$, $p=3 \times 10^{-5}$), but not a higher polygenic risk for neuroticism. LDSC showed a significant genetic correlation between SLEs and both MDD ($r_G=0.33$, S.E.=0.08) and neuroticism ($r_G=0.15$, S.E.=0.07).

Conclusions: These findings suggest that SLEs should not be regarded solely as environmental risk factors for MDD as they are partially heritable and this heritability is shared with risk for MDD and neuroticism. Further work is needed to determine the causal direction and source of these associations.

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Introduction

The importance of stressful life events (SLEs) in the aetiology of Major Depressive Disorder (MDD) is widely recognised¹⁻³. A longitudinal study showed that the odds ratio for the onset of MDD in the month of reporting a SLE is 5.64¹. Understanding the precise relationship between reporting SLEs and MDD has, however, proven challenging as factors, such as genetics and early environment, influence both traits⁴.

Whilst SLEs are sometimes considered to be random environmental effects, several studies have shown that reporting SLEs is heritable with estimates from twin studies ranging from 20 to 50%⁵⁻⁸. SLEs are categorized into dependent events and independent events. Dependent SLEs, such as relationship problems or job loss, may be, in part, the result of a person's own behaviour and directly affect the individual. Independent SLEs events, including death or illness of a relative, are more likely to be beyond the control of the individual. The estimated heritability of dependent life events (28–45%) is higher than independent life events (7%), which tend to be more strongly influenced by familial environment^{9,10}.

Personality can influence the reporting and experience of SLEs. Neuroticism not only increases risk for MDD but can also moderate the relationship between SLEs and MDD. A study of 7500 twins found that the depressive effects of SLEs were more pronounced in individuals with higher neuroticism¹¹. A four-year longitudinal study of young adults also found that neuroticism is associated with greater reporting of negative life events¹².

Genetic risk factors for MDD have been associated with increased propensity of reporting SLEs. Twin studies have shown that the risk for SLEs is greater in monozygotic twins with a depressed co-twin compared to dizygotic twins¹. It has been hypothesized that individuals with greater genetic risk for MDD may select themselves into high risk environments or have a greater vulnerability to the depressive effects of stress¹³. This is supported by the observation that depressed individuals tend to report more dependent SLEs¹⁴⁻¹⁷. A co-twin control study, on the other hand, found that neuroticism and depression are related to a higher risk of experiencing SLEs, but this didn't appear to be due to shared genetic risk factors¹⁸. As neuroticism is highly correlated with depression, both phenotypically¹⁹ and genetically^{20,21}, it is also possible that personality traits associated with MDD increase the sensitivity to and/or the reporting of SLEs amongst depressed individuals.

Recent studies have aimed to find the proportion of SLE heritability attributed to common genetic variation using genome-wide SNP data. One study estimated the SNP heritability of SLEs to be 29% ($p=0.03$, S.E.=0.16) in a sample of 2578 unrelated individuals enriched for MDD cases²². However, another study of 7179 African American women found the SNP heritability of SLEs to be only 8% ($p=0.02$, S.E.=0.04)²³. A significant genetic correlation between SLEs and MDD in African American women was observed by Dunn *et al.* ($r=0.95$, $p=0.01$) using bivariate GCTA-GREML, suggesting that genetic variants that influence MDD risk are also relevant for SLEs²³. The difference in heritability estimates for SLEs may be the result

of different genetic architectures and familial or environmental effects across the two samples. Previous studies have shown that more accurate estimates of heritability can be obtained when simultaneously modelling SNP genetic effects in the presence of familial environment²⁴. If the correlation between SLEs and MDD can be explained by genetic or familial environmental factors, then this may signpost the most effective strategies for future research by highlighting the optimal periods and opportunities for intervention.

In the present study, we aim to estimate the SNP and pedigree heritability of SLEs and also the contribution of couple, sibling and nuclear family effects on SLEs in a family-based cohort drawn from the population of Scotland, Generation Scotland: the Scottish Family Health Study (GS)²⁵⁻²⁷. A subset of GS that were re-contacted as part of a mental health follow-up study, are used here for the current investigation²⁸. Participants provided information on past 6 month life events and lifetime MDD status. We will explore the genetic relationship between MDD, neuroticism and SLE using GWAS summary statistics from external datasets: the Psychiatric Genetic Consortium (PGC) (MDD) and the Social Science Genetic Association Consortium (SSGAC) (neuroticism).

Methods

Sample description

The individuals used in this study were a subset of Generation Scotland: the Scottish Family Health Study (GS), which has been described in detail elsewhere^{25-27,29}. Briefly, GS comprises 23,690 individuals aged 18 years and over, recruited via general practitioners' throughout Scotland. In 2014, re-contact of GS participants began as part of a data collection initiative designed to re-assess the mental health of participants. In total, 21,526 GS participants were re-contacted by post and asked to return a questionnaire by post or via a URL link to complete online. In total, 9,618 participants volunteered as part of the mental health follow-up (45% response rate), and these are the participants used in this study. A full description of the re-contact procedure and data collected is provided elsewhere²⁸. All components of GS, including its protocol and written study materials have received national ethical approval from the NHS Tayside committee on research ethics (reference 05/s1401/89).

SLEs were assessed using the List of Threatening Experiences³⁰, which is a self-report questionnaire consisting of 12 life events that have taken place in the past six months. In order to perform heritability analyses of SLE we created a list of personal life events that should be unique to the individual endorsing them. This was to prevent a potential inflation in heritability estimates from family members endorsing the same life events (e.g. death of a family member) (Supplementary Table 1). For the remainder of the analyses presented in this study we analysed total SLE's reported, dependent life events reported and independent life events reported (Supplementary Table 2).

In the GS mental health cohort, lifetime MDD was assessed using the Composite International Diagnostic Interview – Short Form (CIDI-SF)³¹. The CIDI-SF is a self-report measure of psychiatric symptoms and allows for the ascertainment of lifetime

MDD status, age of onset and number of episodes. Neuroticism was assessed during the initial contact of the full GS cohort using the Eysenck personality questionnaire³².

Genome-wide genotype data generated using the Illumina Human OmniExpressExome-8-v1.0 array and was available for 8734 of the 9618 individuals from the GS subset. Genotyping is described in greater detail elsewhere²⁵. Population outliers were identified and removed from the sample. Quality control of genotypes involved removing SNPs with a call rate < 98%, a missing rate per individual $\geq 2\%$, a minor allele frequency (MAF) < 1% and Hardy-Weinberg equilibrium (HWE) $p \leq 1 \times 10^{-6}$. In total, 561,125 autosomal SNPs remained and were used in subsequent analyses. Multidimensional scaling (MDS) components were created according to the ENIGMA 1000 genomes protocol (ENIGMA, 2013) in the software package **PLINK** v1.9³³.

Heritability analyses

Only personal SLEs ([Supplementary Table 1](#)) were used to estimate the heritability of life events. If individuals in a family endorse the same event (e.g. death of a family member) it will not be clear if the similarities between family members are due to endorsement of the same event or shared genetic effects influencing the reporting of SLE. Furthermore, as heritability estimates in family studies can be distorted by shared environments as well as shared genetic material, we estimated heritability whilst modelling components of the environment²⁴. Genetic effects were estimated in GCTA by fitting a pedigree kinship matrix (K) and a genetic relationship matrix (G) alongside 3 environmental components: the environmental effect from the nuclear family F, the environmental effect from the couple relationship C and the environmental effect from the full sibling relationship S. The population prevalence used to transfer heritability estimates for MDD from the observed scale to the liability scale was 0.162^{34,35}. The variance explained by these effects were estimated using linear mixed models (LMM) and the statistical significance tested using likelihood ratio (LRT) and Wald tests. Details on the construction of the variance-covariance matrices can be found in the [Supplementary Methods](#).

Genomic and environmental relationship components are fitted in a LMM implemented in GCTA:

$$Y = Xb + G + K + F + S + C + \varepsilon$$

Y is a vector of either a binary MDD phenotype or the score for SLEs. b is the effect of X, a vector of fixed effect covariates which include age, sex and 20 principal components derived from the genome-wide GRM. G and K represent the random genetic effects from the SNPs and the pedigree, respectively. F, S, and C and ε represent the random environmental effects shared by nuclear family members, full-siblings, couples and the error term, respectively.

Backward stepwise model selection was used to select the appropriate model to identify major genetic and/or environmental components contributing to the phenotypic variance. The

initial model was the full 'GKFSC' model and LRT and Wald tests were conducted to test each variance component. A variance component was removed if it failed to obtain significance ($\alpha=5\%$) in both tests and among the variance components satisfying (1) it has the highest P value in the Wald test. This process was repeated until all the remaining components were significant in either the LRT or Wald test. This method is described in more detail by Xia *et al*²⁴.

There were 659 couple pairs, 1928 full sibling pairs and 4523 nuclear families (containing at least 2 individuals) in the present sample. The number of non-zero elements of the KFSC matrices for whom genotypic and all phenotypic information are available in the present sample are shown in [Supplementary Table 3](#). The G matrix does not contain any non-zero elements.

Polygenic risk score (PRS) analyses

Polygenic risk scores (PRS) were created in **PRSice v1.25 software** using the raw genotype data from a target sample (GS) and summary statistics from an independent discovery sample³⁶. This method calculates the sum of associated alleles an individual in the target sample carries across the genome, weighted by their effect size in an independent discovery GWAS. SNPs were linkage disequilibrium pruned using clump-based pruning ($r^2=0.1$, 250 kb window) prior to creating PRS. Scores were created for a range of p-value thresholds ranging from $p \leq 0.01$ to $p = 1$ in 0.01 increments. Only one PRS was used to test for association and this was based on which p-value threshold score explained most variance in the trait of interest. The p-value thresholds used are shown in [Supplementary Table 4](#).

PRS were created for MDD (MDD-PRS) and neuroticism (N-PRS). The GWAS summary statistics used for MDD were those from the unpublished Psychiatric Genetics Consortium (PGC MDD29) GWAS of MDD (130,664 cases vs 330,470 controls). For neuroticism PRS, the summary statistics from the Social Science Genetic Association Consortium (SSGAC) GWAS of 170,911 individuals were used³⁷. Eighteen association tests were carried out between the MDD-PRS/N-PRS and traits of interest, which gave the Bonferroni corrected p-value of 0.0028 as the threshold for statistical significance (tests presented in [Table 3](#) and [Table 4](#)).

All variables were log transformed towards normality where necessary. Continuous variables were scaled to have a mean of 0 and a standard deviation of 1, such that the reported regression coefficients (betas) are standardized. Mixed linear models implemented in the **ASReml-R v3.0 software package** were used to test the association between MDD-PRS and traits of interest. When associations between binary traits and PRS are reported Taylor series transformation was used to convert beta and standard error values from the linear scale to the liability scale. Age, sex and four MDS components were fitted as fixed effect covariates. To control for family structure pedigree information was used to create an inverse relationship matrix which was fitted as a random effect. Wald's conditional F-test was used to calculate the significance of fixed effects. This method was also used to test the phenotypic

association between MDD, SLEs and neuroticism. Relative risk ratios were determined using the R v 3.2.3 package [epitools](#) v 0.5-9.

LD score regression

To quantify the degree of genetic overlap in common variants between SLEs and PGC-MDD/SSGAC-neuroticism we used LD score regression (LDSC)³⁸. This method analyses the correlational structure of LD between SNPs and the patterns of association between SNPs and traits of interest to calculate genetic correlations. We performed GWAS of independent and dependent life events in the present GS sample to generate summary statistics for LDSC. GWAS was performed using mixed linear model association analyses in GCTA using imputed genotype data, implementing a leave-one-chromosome-out approach, which creates a genetic relationship matrix (GRM) excluding the chromosome on which the candidate SNP tested for association is located³⁹. Fitting a GRM controlled for family structure within the GS sample. Sex, age and 20 MDS components were fitted as fixed effect covariates. Genotypes were imputed using the Haplotype Reference Consortium (HRC) reference panel. Individuals with missingness $\geq 3\%$ were excluded along with SNPs with a call rate $\leq 98\%$, HWE p -value $\leq 1 \times 10^{-6}$ and a MAF $\leq 1\%$. Genotype SNP data were phased using SHAPEIT2 and imputation performed using PBWT software⁴⁰. Post-imputation SNPs with more than two alleles, monomorphic SNPs and SNPs with an INFO score < 0.8 were removed. QQ plots for the GWAS of independent and dependent life events are shown in [Supplementary Figure 2](#) and [Supplementary Figure 3](#).

Results

The prevalence of lifetime MDD in the present study was 16.4% (1506 cases vs 7667 controls). Individuals with a lifetime diagnosis of MDD had significantly higher neuroticism scores, were significantly younger and were more likely to be female ([Table 1](#)). A significant positive association between the number of past 6 month stressful life events (SLEs) and MDD was found ($\beta = 0.21$, $r^2 = 1.1\%$, $p = 2.5 \times 10^{-25}$) with individuals with MDD reporting, on average, 1.14 SLEs compared to

controls who reported an average of 0.83 life events ([Table 1](#)). The association between MDD and SLE was significant for dependent ($\beta = 0.25$, $r^2 = 1.0\%$, $p = 1.8 \times 10^{-21}$) and independent life events ($\beta = 0.14$, $r^2 = 0.28\%$, $p = 5.3 \times 10^{-07}$). The relative risk (RR) for MDD in individuals experiencing any SLE was 1.44 (95% C.I.-1.31-1.58). The RR risk for MDD peaked in individuals reporting 4 SLEs compared to individuals reporting no life events (RR=1.91, 95% C.I.=1.50-2.44) ([Supplementary Figure 1](#)). Neuroticism was significantly and positively associated with SLE ($\beta = 0.11$, $r^2 = 1.3\%$, $p = 4.60 \times 10^{-26}$) with associations observed for dependent ($\beta = 0.10$, $r^2 = 1.0\%$, $p = 2.4 \times 10^{-21}$) and independent ($\beta = 0.08$, $r^2 = 0.71\%$, $p = 5.1 \times 10^{-15}$) life events.

To test the heritability of SLE only personal life events were included. These are events that should be unique to an individual. In a family based sample the sum of the G and K effects are equivalent to the narrow sense heritability of a trait, when controlling for shared environment²⁴. For personal SLEs, the narrow sense heritability estimate was 0.13 (G=0.07(S.E.=0.04 + K=0.06(S.E.=0.12) but only the SNP genetic effects were statistically significant ($p = 0.007$ and $p = 0.5$ respectively) ([Table 2](#)). Using backward stepwise model selection 8% of the variance in personal SLEs were explained by common

Table 1. Summary of individuals from GS follow up cohort with phenotypic information available. All differences between cases and control significant at $\leq 5.13 \times 10^{-12}$ after controlling for family structure using a pedigree matrix in AS-Reml.

	Cases (N=1506)	Controls (N=7667)
% Female	76%	59.8%
Age (s.d.)	54.2 (12.4)	56.8 (13.5)
SLE Total (s.d.)	1.14 (1.45)	0.83 (1.25)
Neuroticism	5.35 (3.46)	3.15 (2.87)

Table 2. Partitioning phenotypic variance into environmental and genetic components using the full GKFCS model. Backward stepwise selection was used to select the most parsimonious model for each trait. *Model non-convergence, unconstrained REML performed. Bold values are have significant LRT at $p < 0.05$.

Model	G (S.E.)	K (S.E.)	F (S.E.)	C (S.E.)	S (S.E.)
Personal SLEs					
GKFCS	0.07 (0.04)	0.06 (0.12)	0.00 (0.06)	0.14 (0.08)	0.00 (0.03)
GC	0.08 (0.03)			0.13 (0.05)	
Neuroticism					
GKFCS	0.11 (0.05)	0.10 (0.12)	0.03 (0.06)	0.00 (0.07)	0.01 (0.03)
GK	0.12 (0.05)	0.20 (0.06)			
MDD					
GKFCS*	0.16 (0.10)	-0.23 (0.28)	0.18 (0.14)	0.08 (0.18)	0.05 (0.08)
F			0.18 (0.04)		

genetic effects (S.E.=0.03, $p=9 \times 10^{-4}$). A significant couple effect was also detected $C=0.13$ (S.E.=0.05, $p=0.016$) (Table 2). A previous study by Zeng *et al.* on the full GS sample (N=19,896) found shared genetics and couple-associated environment explain 61% of the variance in MDD in the total GS sample ($K=0.35$ (S.E.=0.06), $G=0.12$ (S.E.=0.05), $C=0.14$ (S.E.=0.07))⁴¹. In this sub-sample we were not able to detect significant genetic effects on MDD as both the G and the K estimates were not significant. Our study uses a subset of individuals from the Zeng *et al.* study⁴¹, and in the present sample only a significant effect of family was detected, but this may be due to reduced power in a sample of only 1506 MDD cases. Using the [GCTA power calculator](#) we estimated that we had only 34% power to detect a SNP genetic effect of 0.12 in the GS mental health follow-up cohort. The narrow sense heritability estimates for neuroticism was estimated at 0.32, with 12% (S.E.=0.05) of the variance explained by common SNPs (G). The environmental components did not contribute to any of the phenotypic variance in neuroticism and this is in accordance with the findings for neuroticism on the full GS sample reported by Hill *et al.* who reported the narrow sense heritability of neuroticism to be 30% with 11% of the variance explained by common SNPs (S.E.=0.02)⁴² (Table 2).

Genetic overlap between SLEs and MDD/neuroticism was tested using PRS. For these analyses we tested the association with total, and also independent and dependent life events. Dependent life events have shown greater association at the phenotypic level with MDD^{15,16}. MDD-PRS were significantly

associated with MDD ($\beta=0.11$, $r^2=0.17\%$, $p=3.7 \times 10^{-4}$) (Table 3) and neuroticism ($\beta=0.08$, $r^2=0.61\%$, $p=1.4 \times 10^{-11}$) (Table 4). MDD-PRS were also associated with total SLEs ($\beta=0.053$, $r^2=0.28\%$, $p=3.0 \times 10^{-6}$). Individuals reporting more SLE had a higher polygenic risk for MDD. The effect was similar for dependent life events ($\beta=0.058$, $r^2=0.33\%$, $p=3.5 \times 10^{-7}$) compared to independent life events ($\beta=0.037$, $r^2=0.14\%$, $p=1.2 \times 10^{-3}$) (Table 3). After controlling for MDD status, the association between polygenic risk for MDD and SLEs was still significant although the effect was attenuated ($\beta=0.053$ vs $\beta=0.044$). This suggests that the association is not driven solely by the increased presence of lifetime MDD in individuals with higher SLE scores. These findings were supported by the results of the LDSC analyses. There was a significant genetic overlap between total SLEs and MDD ($r_G=0.33$, S.E.=0.08); however the genetic correlation was not significantly stronger ($Z=1.76$, $p=0.08$) for dependent SLEs ($r_G=0.60$, S.E.=0.19) compared to independent SLEs ($r_G=0.21$, S.E.=0.07) (Table 4).

Genetic overlap between SLEs and neuroticism was tested using neuroticism PRS (N-PRS). N-PRS were associated with neuroticism ($\beta=0.12$, $r^2=1.4\%$, $p=8.2 \times 10^{-27}$) and MDD ($\beta=0.12$, $r^2=0.2\%$, $p=5.4 \times 10^{-5}$). N-PRS were nominally associated with total SLEs ($\beta=0.022$, $r^2=0.05\%$, $p=0.04$); however, the association was weaker compared to MDD-PRS ($\beta=0.053$, $r^2=0.38\%$, $p=2.6 \times 10^{-6}$) and not significant after correction for multiple testing. The association between independent or dependent SLEs and N-PRS were not significant and after controlling for neuroticism the association with SLEs became weaker (Table 5). A significant genetic overlap between

Table 3. MDD PRS association analyses. Basic model has age, sex and 4 MDS components to control for population stratification and PRS as fixed effects. Family structure was controlled for using a pedigree matrix in AS-Reml. Depression was added as fixed effects in subsequent models. Best threshold PRS for each trait used, for MDD $p \leq 0.5$, SLEs $p \leq 0.35$ and Neuroticism $p \leq 0.23$.

	MDD	SLEs	Dependent SLEs	Indep SLEs	Neuroticism
Basic Model	$\beta=0.113$ (0.028), $r^2=0.17\%$, $p=3.7 \times 10^{-4}$	$\beta=0.053$ (0.011), $r^2=0.28\%$, $p=3.0 \times 10^{-6}$	$\beta=0.058$ (0.011), $r^2=0.33\%$, $p=13.5 \times 10^{-7}$	$\beta=0.037$ (0.011), $r^2=0.14\%$, $p=1.2 \times 10^{-3}$	$\beta=0.080$ (0.012), $r^2=0.61\%$, $p=1.4 \times 10^{-11}$
Control for Dep	-	$\beta=0.044$ (0.011), $r^2=0.19\%$, $p=1.3 \times 10^{-4}$	$\beta=0.046$ (0.011), $r^2=0.21\%$, $p=4.9 \times 10^{-5}$	$\beta=0.031$ (0.011), $r^2=0.09\%$, $p=8.2 \times 10^{-3}$	$\beta=0.065$ (0.012), $r^2=0.41\%$, $p=2.9 \times 10^{-8}$

Table 4. Neuroticism PRS association analyses. Basic model has age, sex and 4 MDS components to control for population stratification and PRS as fixed effects. Family structure was controlled for using a pedigree matrix in AS-Reml. Neuroticism was added as a fixed effect in subsequent model. Best threshold PRS for each trait used, for MDD $p \leq 0.10$ and SLEs/Neuroticism $p \leq 0.60$.

	MDD	SLEs	Dependent SLEs	Indep SLEs	Neuroticism
Basic Model	$\beta=0.120$ (0.028), $r^2=0.20\%$, $p=5.4 \times 10^{-5}$	$\beta=0.022$ (0.011), $r^2=0.05\%$, $p=0.04$	$\beta=0.016$ (0.011), $r^2=0.03\%$, $p=0.14$	$\beta=0.016$ (0.011), $r^2=0.03\%$, $p=0.14$	$\beta=0.12$ (0.011), $r^2=1.4\%$, $p=8.2 \times 10^{-27}$
Control for Neurot	$\beta=0.051$ (0.029), $r^2=0.03\%$, $p=0.11$	$\beta=0.01$ (0.011), $r^2=0.01\%$, $p=0.32$	$\beta=0.004$ (0.011), $r^2=0.00\%$, $p=0.72$	$\beta=0.009$ (0.011), $r^2=0.00\%$, $p=0.45$	-

Table 5. Genetic correlation (r_G) between SLEs and MDD using LD score regression (Bulik-Sullivan *et al*, 2015). All estimates in bold are statistically significant $p \leq 0.05$. PGC-MDD GWAS summary statistics taken from PGC GWAS of MDD (unpublished).

	PGC-MDD (S.E.)	Neuroticism (S.E.)
Total SLEs	0.33 (0.08)	0.15 (0.07)
Independent SLEs	0.20 (0.07)	0.06 (0.07)
Dependent SLEs	0.60 (0.19)	0.25 (0.10)

neuroticism and total reported SLEs was detected ($r_G=0.15$, S.E.=0.07) using LD score regression (Table 5). The genetic correlation between neuroticism and dependent SLEs was 0.25 (S.E.=0.10), but this was not significantly greater ($Z=1.56$, $p=0.06$) than the genetic correlation with independent SLEs. The genetic correlation between neuroticism and independent SLEs was not significant.

Discussion

Using a polygenic risk score (PRS) approach MDD and SLEs were found to have shared polygenic architecture. MDD polygenic risk was found to be higher in individuals reporting more SLEs. LD score regression showed a genetic correlation between MDD and SLEs using summary statistics from an independent MDD cohort. We also report a positive genetic correlation between neuroticism and SLEs. The variance in reporting of personal SLEs can be partly explained by common SNP effects and the environment shared by couples. 8% of the variance in personal SLEs was attributable to common genetic variants and an additional 13% of was explained by couple shared environment. This left 79% of the variance in personal SLEs unexplained by genetic or familial environmental effects.

The narrow sense heritability point estimate for personal SLEs in the current sample was 13%, which is lower than the 20–50% range of estimates derived from twin studies^{5–7}. Furthermore, the pedigree contribution to this effect was not statistically significant. When personal SLEs were analysed modelling both genetic and environmental components, the SNP heritability estimate was significant and accounted for 8% of the variance in SLEs. This is the same as the estimate derived from the population-based study of African American women that found the SNP heritability of SLEs to be 8%²³. However, another study found SNP effects account for roughly a third of variance in SLEs²². This is in contrast to our own findings and those of Dunn *et al.* and may be due to the high proportion of clinically ascertained MDD cases in the Power *et al.* sample. As MDD and SLEs are genetically correlated this may inflate heritability estimates if samples have a high proportion of MDD cases. In the present study we model genetic and environmental influences using different types of relationships and find that the heritability of SLEs are much lower than is often reported in twin studies.

We also detected a significant effect of the environment shared by couples on personal SLEs. The effect of couple

shared environment on variance in MDD has previously been reported on the full GS cohort⁴¹ to be 15–22%. We find that 13% of the variance in self-reported SLEs in this sample is attributable to shared couple environment. A study of 354 male Vietnam era veterans found that spousal correlations in depression were due to common stressors and that there were crossover effects so that depression in one spouse was influenced by stressors reported by the other⁴³. Our data support this finding and reinforces the importance of recent shared environment on MDD and SLEs. We find little evidence for the effect of nuclear family or sibling environment on reporting SLEs. A recent study of anthropometric and cardiometabolic traits in GS found that ~11% of variation across traits could be explained by the environment common to couples suggesting that recent shared environment is important when modelling the heritability of complex diseases²⁴. However, it should be noted that there might be assortative mating between spouses in which case modelling the couple correlation entirely as an environmental effect may inflate heritability estimates⁴⁴.

A significant genetic correlation between SLEs and MDD was identified in this sample. PRS for MDD were associated with both dependent and independent SLEs even after controlling for MDD status. Another family-based study of SLE found a significant interaction between polygenic risk for MDD and SLE, such that the risk for MDD in individuals experiencing SLE was greater in those at high genetic risk for MDD⁴⁵. Using LD score regression, we found that the genetic overlap between dependent life events and MDD (0.60) was nominally higher than for independent life events (0.20). This is in line with the findings by Dunn *et al.* who found a strong genetic correlation between MDD and SLEs in women ($r_G=0.95$)²³. The genetic overlap between SLEs and MDD calls for a different interpretation of the effect of SLEs on MDD. Rather than considering SLEs simply as risk factors for MDD, the SNPs which predispose to MDD also increase risk for SLEs. This may arise from individuals selecting themselves into high risk stress environments or via personality traits, such as neuroticism, which prime them to respond negatively to life events¹¹. We found a significant genetic correlation between neuroticism and SLEs that was more pronounced for dependent SLEs. This supports previous studies that have shown that neuroticism is associated with increased reporting and sensitivity to SLEs. The discrepancy between the N-PRS and the LDSC analyses is likely due to the small amount of variance that can be explained by PRS.

There are a number of limitations to this study. Firstly, we rely on self-reported measures of MDD and SLEs, which are subject to recall bias. However, a recent study of GS found self-reported and SCID defined MDD to be highly genetically correlated⁴¹. Secondly, the full GKSFC model has its own limitations as a number of the matrices will be correlated such as the nuclear family matrix and the sibling matrix. This could prevent accurate estimates of familial effects. In order to account for this, we performed backward stepwise selection to select the most influential components to each trait however a superior approach would be to use a much larger sample size with more familial relationships. In our case, we were limited by the number of participants in our follow-up mental health

study, and the familial structure within this sub-sample of GS. We did not have power to detect common SNP genetic effects for MDD in this sample. Our study suggests that the SNP heritability of personal SLEs are likely to be low and therefore larger samples are warranted to investigate this further. Determining the familial environmental effects on SLEs is challenging when families will endorse the same events solely because they have occurred within the same social network, such as ‘did a close relative of yours die?’. This is also true for couples where major financial crises will be reported by both spouses due to shared assets. We attempted to control for this by creating a personal SLE category and also excluding events that could be inferred by spouses, however people may still endorse an event that happens to a spouse or family member as their own as they find it to be stressful to themselves. It is not possible to ascertain with the data available from this cohort, whether events endorsed by members of a couple reflect the same event, or whether each individual experiences an independent event.

In conclusion, we provide evidence that personal SLEs are heritable but that the effect attributable to common genetic SNPs is likely to be small. The recent environment such as that shared by couples is also likely to contribute to SLEs. There is strong genetic overlap between MDD and SLEs and some genetic overlap between neuroticism and SLEs. These findings underlie the importance of appropriately modelling environmental effects when studying these traits. Furthermore, our results demonstrate that the relationship between SLEs, MDD and personality may not be directionally causal, but a consequence of common genetic effects that influence these traits.

Data availability

Non-identifiable information from GS is available to researchers in the UK and to international collaborators upon request to the GS Access Committee (resources@generationscotland.org). GS operates a managed data access process including an online application form, which will be reviewed by the GS Access Committee. Summary information to help researchers assess the feasibility and statistical power of a proposed project is available on request by contacting resources@generationscotland.org. GWAS summary statistics arising from the analysis of

GS in the current study will be made available on request. The GWAS summary statistics for the PGC GWAS of depression are available to download at <https://www.med.unc.edu/pgc/results-and-downloads> and the SSGAC neuroticism GWAS summary statistics from <https://www.thessgac.org/data>.

Competing interests

No competing interests were disclosed.

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Supplementary material

Supplementary File 1: File containing supplementary methods, [tables \(S1–S4\)](#), and [figures \(S1–S3\)](#) mentioned in this article, and a list of the members of the Major Depressive Disorder Working Group of the Psychiatric Genomics Consortium.

[Click here to access the data.](#)

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