著者 | Liu Yang
---|---
学位授与大学 | University of Tsukuba
学位授与年度 | 2017
報告番号 | 1210248363
URL | http://doi.org/10.15068/00150071

光触媒を用いたリチウム空気電池のエネルギー変換率の向上に関する研究
Improving the energy efficiency of Li-oxygen batteries by integrating the photo-catalyst

September 2017

Liu Yang
Improving the energy efficiency of Li-oxygen batteries by integrating the photo-catalyst

Graduate School of Systems and Information Engineering
University of Tsukuba

September 2017

Liu Yang
ABSTRACT

The lithium-oxygen (Li-O₂) battery is currently the subject of intense investigation as significantly higher gravimetric energy density (~ 3500 Wh kg⁻¹) than conventional Li-ion batteries. Moreover, the use of inexhaustible source of oxygen from ambient air makes it more attractive for widespread applications. Despite their promise, the practical achievement of rechargeable Li-O₂ batteries still face a lot of challenges, such as poor cycle life and rate capability, electrolyte instability and low round trip efficiency. At the center of these drawbacks is the high charge overpotential; Recent studies have shown that the formation of Li₂O₂ particles during discharge exhibits a plateau voltage of 2.7 V, while the electrochemical oxidation of Li₂O₂ on charge typically requires voltage up to 4–4.5 V. Considerable efforts have been devoted to reduce the high charge overpotentials.

To further decrease the charge overpotential, we propose and realize the photoassisted chargeable Li-O₂ battery by integrating a redox coupled photocatalyst into the oxygen electrode to utilize the photovoltage during the charge process. Upon charging under illumination, the photovoltage generated on the g-C₃N₄ photocatalyst is used to compensate the required charging voltage. By integrating the g-C₃N₄ photocatalyst, an ultralow charging voltage of 1.9 V can be achieved, which is much lower than that of any other conventional nonaqueous Li-O₂ batteries. It should be noted that the charge voltage is even lower than the redox potential of O₂/Li₂O₂ (2.96 vs. Li⁺/Li), which is thermodynamically impossible without the solar energy absorption. Such a low charge voltage dramatically elevates the electric energy efficiency to 142% (calculated based on output electric energy/input electric energy). The photo-assisted charge process offers a promising strategy for addressing the challenge of high charge overpotential in nonaqueous Li-O₂ batteries. In the meanwhile, the resulted low charge overpotential would alleviate the side reactions associated with carbon-based cathodes and electrolytes and elevate the electric energy efficiency of rechargeable Li-O₂ battery.
Based on our former research, we further continue with the study on photo-assisted Li-O$_2$ batteries. Although the redox mediators (RM) played a key role in reducing the charge overpotential, the side reactions and serious shuttle effect have been demonstrated. Hence, we further demonstrated an unmediated photoelectrochemical oxidation method without using any RM. With a proper design of the g-C$_3$N$_4$-carbon paper cathode, the direct photo-oxidation between photoexcited holes and Li$_2$O$_2$ discharge products can be realized. As a result, the Li-O$_2$ exhibits a low charging voltage of 1.96 V and favorable cycling performance by the unmediated photoelectrochemical approach. These encouraging results represent an attractive direction for the further design of photocharging all-solid-state batteries.

The lacking success of solid-state Li-O$_2$ battery would be attributed to the unfavorable charge overpotential derived from solid electrode-electrolyte interface. According to our previous results, the integration of solar energy can efficiently reduce the charge overpotential of Li-O$_2$ battery since the absorbed solar energy can be used to compensate the battery’s electric energy. Owing to the better photo/thermo stability of solid electrolyte, the integration of a photocatalyst with solid-state Li-O$_2$ battery would be an effective strategy to reduce the severe polarization of solid-state Li-O$_2$ battery. In this regard, ZnS@CNT composite was synthesized and used as both an oxygen electrode and a photoelectrode. Thus the oxygen evolution reaction (OER) is greatly facilitated by photocarriers from ZnS photocatalyst, improving the charge transport within the solid-solid interface. Utilizing solar energy enables the solid-state Li-O$_2$ battery with a low charge voltage of 2.08 V and high electric energy efficiency of 113%, implying the critical role of solar-driven oxidation process of Li$_2$O$_2$. Thanks to its inherent advantage of high plasticity, a flexible photoassisted-solid-state Li-O$_2$ battery has been fabricated, which demonstrated the feasible application of our proposed solid-state Li-O$_2$ battery on flexible/wearable electronic devices. The solar-driven charge process provides an alternative way to improve the poor energy efficiency caused by interfacial resistance, thus contribute to the practical achievement of solid-state Li-O$_2$ battery.
TABLE OF CONTENTS

ABSTRACT ........................................................................................................... I
TABLE OF CONTENTS ........................................................................................... III
LIST OF FIGURES ................................................................................................. VI
LIST OF TABLES .................................................................................................. IX

Chapter 1 General introduction ........................................................................... 1
  1.1 Critical role of high energy-density rechargeable batteries ....................... 1
    1.1.1 The limitation of LIBs for use in electric vehicles ................................. 2
  1.2 Lithium-Air battery ...................................................................................... 5
    1.2.1 Introduction of Li-air battery ................................................................. 5
    1.2.2 Working principle of Li-air battery ....................................................... 6
  1.3 Major challenges in current Li-O₂ batteries ................................................... 7
    1.3.1 High charge overpotential issue ......................................................... 7
    1.3.2 Strategies to reduce the charge overpotential ....................................... 9
    1.3.3 Safety hazards derived from organic liquid electrolyte ....................... 11
  1.4 Target and outline of this dissertation ......................................................... 12
    1.4.1 Research purpose .............................................................................. 12
    1.4.2 Targets of this research ..................................................................... 14
    1.4.3 Outline of this thesis ......................................................................... 14

Chapter 2 Lowering the charge voltage of Li-O₂ battery by photocatalyst coupled with
I/I³ redox agent ..................................................................................................... 17
  2.1 Introduction .................................................................................................. 17
  2.2 Experimental and Characterization ............................................................... 18
    2.2.1 Preparation of g-C₃N₄ powder .............................................................. 18
2.2.2 Preparation of g-C₃N₄ cathode................................................................. 19
2.2.3 Preparation of the battery ........................................................................ 19
2.2.4 Measurements and characterization.......................................................... 19
2.3 Results and discussion.................................................................................. 20
  2.3.1 Configuration and working mechanism of photoassisted Li-O₂ battery.... 20
  2.3.2 Reduced charge voltage of photoassisted Li-O₂ battery ......................... 22
  2.3.3 Rate capability and cycling stability ......................................................... 25
2.4 Conclusions .................................................................................................. 26

Chapter 3 Lowering the charge voltage of Li-O₂ battery via an unmediated photoelectrochemical method ................................................................. 29
  3.1 Introduction .................................................................................................. 29
  3.2 Experimental and characterization ............................................................... 30
    3.2.1 Synthesis of g-C₃N₄-CP electrode.......................................................... 30
    3.2.2 The photoassisted Li-O₂ Battery assemble: ........................................... 30
    3.3 Measurements and characterization ......................................................... 31
  3.3 Results and discussion................................................................................ 31
    3.3.1 An unmediated photoelectrochemical oxidation method ..................... 31
    3.3.2 Rate capability and cycling stability ...................................................... 35
  3.4 Conclusions ............................................................................................... 38

Chapter 4 Improving the electric energy efficiency of solid-state Li-O₂ battery.... 39
  4.1 Introduction ................................................................................................ 39
  4.2 Experimental and characterization ............................................................... 40
    4.2.1 Preparation of cathode ........................................................................ 40
    4.2.2 Preparation of anode ........................................................................... 41
    4.2.3 Battery assembly ................................................................................ 41
LIST OF FIGURES

Fig. 1. 1 The development of rechargeable batteries toward high energy densities ..... 3
Fig. 1. 2 The estimated driving ranges for electric vehicles with various rechargeable batteries ................................................................. 4
Fig. 1. 3 Four principal Li-air battery configurations ............................................. 6
Fig. 1. 4 The schematic of working principle for nonaqueous Li-air batteries .......... 7
Fig. 1. 5 A typical discharge/charge profile of non-aqueous Li-O₂ battery .......... 8
Fig. 1. 6 Energy diagram of non-aqueous Li-O₂ battery with LiI redox mediator ..... 13
Fig. 1. 7 Energy diagram of photoassisted Li-O₂ battery with LiI redox mediator ..... 14
Fig. 2. 1 a) The schematic illustration for photoassisted Li-O₂ battery b) The schematic for experimental operation of photo-electric conversion.......................... 20
Fig. 2. 2 a) The reaction mechanism for the photoassisted Li-O₂ battery b) The energy diagram for the photoassisted charging process ................................. 21
Fig. 2. 3 (a) XRD pattern and (b) UV-Vis absorption spectrum of the g-C₃N₄ photocatalyst. (c) UV-Vis spectrum of 0.05 M I⁻ ions solution with the g-C₃N₄ powders after 1 h irradiation ................................................................. 22
Fig. 2. 4 The charging/discharging curves of a Li–O₂ battery without the I⁻ ion redox mediator (black line), a Li–O₂ battery with the I⁻ ion redox mediator (blue line) and the photoassisted Li–O₂ battery (red line) ......................................................... 23
Fig. 2. 5 ¹H NMR spectra of 1M LiClO₄ and 0.05 M LiI dissolved in G4 electrolyte (a) before and (b) after 5 h irradiation ......................................................... 24
Fig. 2. 6 (a) XRD patterns of the discharge and charge products. SEM images of cathodes observed at pristine (b), after discharge (c) and after photoassisted charge processes (d), scale bar is 500 nm ................................................. 25
Fig. 2. 7 (a) The charging profiles and (b) the discharging profiles of the photoassisted rechargeable Li-O₂ battery at various current densities. (c) The charging curves and (d) the discharging curves of the photoassisted Li-O₂ battery at 0.01 mA cm⁻² for 50 cycles ................................................................. 26
Fig. 3.1 (a) The FTIR spectra of the surface of Li anode after irradiation for 6 h in G4 with LiI, pure LiI and the reference KBr. SEM images of the Li anode (b) before and (c) after irradiation for 6 h in G4 with LiI. .............................................32

Fig. 3.2 (a) Illustration of a g-C₃N₄-CP electrode interface (b) The theoretical potential diagram of the photoassisted charge voltage equivalent to the energy difference between the redox potential of Li⁺/Li and CB level of g-C₃N₄ (ca. 1.7 V). .................................................................33

Fig. 3.3 The charge/discharge profiles of Li-O₂ battery with (red line) and without illumination (black line). .........................................................................................34

Fig. 3.4 (a) The charge/discharge curves of photoassisted Li-O₂ battery at 0.04 mA cm⁻². (b) SEM images of discharge products at different stages during charge/discharge test. Scale bars, 1 μm. (c) XRD patterns of the discharge/charge products with a cutoff time of 6 h at 0.1 mA cm⁻². ...............35

Fig. 3.5 (a,b) The photoassisted charge and discharge profiles at the current density of 0.01 mA cm⁻². (c) the discharge and the photoassisted charge profiles at various current densities. (d) The discharge and the photoassisted charge curves at 0.05 mA cm⁻² for 10 cycles. .................................................................37

Fig. 4.1 (a) The illustration of photoassisted solid-state Li-ion O₂ battery. Magnified view: the photo-driven charge reaction at the solid electrolyte-electrode interface. (b) The comparison of charge voltages between conventional solid-state Li-O₂ battery and photoassisted solid-state Li-ion O₂ battery.................................................................44

Fig. 4.2 Energy diagram for the solar battery integrated with a ZnS photoelectrode. 45

Fig. 4.3 (a) The comparison on the charge/discharge profiles of the solid-state Li-ion O₂ battery with and without irradiation at 0.026 mA cm⁻². (b), (c) The rate capability of solid-state Li-ion O₂ battery at various current densities. (d), (e) The discharge and photoassisted charge profiles of the solid-state Li-ion O₂ battery at 0.026 mA cm⁻². Insert: The comparison on charge voltage between photoassisted and common solid Li-ion O₂ batteries .................................................46

Fig. 4.4 (a) Ex-situ Raman spectra of cathodes analyzed at pristine, after discharge and
after photoassisted charge processes. TEM images of cathodes observed at pristine (b), after discharge (c) and after photoassisted charge processes (d), scale bar is 100 nm.

Fig. 4.5 (a) Schematic illustration of the proposed flexible photoassisted solid Li-ion O₂ battery. (b) Digital image of the assembled flexible solid Li-ion O₂ battery powering LED lamps. (c), (d) The discharge and photoassisted charge profiles of the flexible battery at 0.026 mA cm⁻². (e) The discharge profile of flexible photoassisted-solid Li-ion O₂ battery at 0.026 mA cm⁻² for 10 h and photoassisted charge profile at 0.13 mA cm⁻² for 2 h.
LIST OF TABLES

Table 1.1. Key properties of today’s principal rechargeable batteries. .............................. 2
Table 1.2. Summary of the performance improvement of non-aqueous Li-air battery
with electrochemical catalyst. ......................................................................................... 9
Table 1.3. Summary of the performance improvement of non-aqueous Li-O₂ batteries
with redox mediators ................................................................................................. 11
Chapter 1 General introduction

1.1 Critical role of high energy-density rechargeable batteries

It is well-recognized that the society is becoming increasingly energy-dependent, while the extensive utilization of fossil fuels, such as oil, coal and natural gas, has led to a serious energy crisis and environmental contamination.\(^{[1]}\) Since the majority of oil is used to power automobiles, the transition from gasoline powered automobiles to electric vehicles would do much to decrease the consumption of fossil fuels, and thereby emit fewer greenhouse gases. This is already beginning with the increasing penetration of hybrid electric vehicles in the global market, which further lead to an urgent search for renewable and clean energy sources. Because the renewable energy is intermittent in nature as a result of atmospheric conditions, without energy storage devices, the renewable energy for electricity production would become much less viable.\(^{[2, 3]}\) Therefore, energy storage mediums play a critical role to balance the mismatch between the electricity consumption and production. Due to their good reversibility and device flexibility, electrochemical batteries show appealing potential to store the renewable energy for enabling continuous utilization. As a result, electrochemical rechargeable batteries are widely used in mobile equipment, such as cellular phones, personal computers and digital cameras.

The key properties of today’s principal rechargeable batteries are listed in table 1.\(^{[4-7]}\) Lead-acid batteries have been used for more than one century from \(\sim 1859\), mainly as the power source for automotive starter. Since the year of 1899, the nickel-based batteries have experienced a period of prosperity due to the considerable demand of portable electronic devices (phones, toys etc.). The low operating voltage of lead-acid and nickel-based batteries and the heavy electrode materials finally lead to low energy density.\(^{[8]}\) With the pursue for high energy densities, the breakthrough of Li-ion batteries has been achieved in 1991 by Sony Corporation, which delivers a specific energy as high as 160 Wh kg\(^{-1}\).\(^{[9]}\) Due to superior specific, long cycle life and no
memory effect, Li-ion batteries are considered as relatively new technology. Until now, LIBs still occupies the major position for use in portable electronics. Increasingly they are being scaled up for use in electric vehicles as well.

Table 1. 1. Key properties of today’s principal rechargeable batteries.

<table>
<thead>
<tr>
<th>System</th>
<th>OCV (V)</th>
<th>Theoretical specific energy (Wh kg⁻¹)</th>
<th>Practical specific energy (Wh kg⁻¹)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Pb-acid</td>
<td>2.1</td>
<td>171</td>
<td>40</td>
</tr>
<tr>
<td>Ni-Cd</td>
<td>1.35</td>
<td>219</td>
<td>40</td>
</tr>
<tr>
<td>Ni-MH</td>
<td>1.35</td>
<td>240</td>
<td>60</td>
</tr>
<tr>
<td>Li-ion</td>
<td>3.8</td>
<td>387</td>
<td>160</td>
</tr>
<tr>
<td>Li-ion (Si anode)</td>
<td>4.0</td>
<td>420</td>
<td>~200</td>
</tr>
<tr>
<td>Li-S</td>
<td>2.0</td>
<td>2567</td>
<td>~370</td>
</tr>
<tr>
<td>Li-Air</td>
<td>3.0</td>
<td>3505</td>
<td>~1700</td>
</tr>
</tbody>
</table>

1.1.1 The limitation of LIBs for use in electric vehicles

LIBs are widely used in many electronic devices which are very important to our daily life. While the energy density of current LIBs can hardly satisfy the energy demand for electrifying transportation, several approaches have been carried out to improve the energy density of state-of-the-art LIBs.¹⁰ The primary cell reactions are based on reversible Li-ion intercalation/de-intercalation between cathode and anode host structures. Hence, the most important innovative steps as made for advancing this field are related to the development of electrode materials.¹¹;¹² From the viewpoint of cathode, lithium transition metal oxides (LiMₓOᵧ) have been considered as the most attractive cathode materials.
While the capacities of these cathode materials are still far behind the expectation for use as electric vehicle batteries (such as layered LiCoO\(_2\) 140-160 mAh g\(^{-1}\), olivine LiFePO\(_4\) ~150 mAh g\(^{-1}\) spinal LiMn\(_2\)O\(_4\) ~120 mAh g\(^{-1}\)). For example, one alternative approach is to elevate the operating voltage by developing cathodes with high electrochemical potential. Spinel structured Li(Ni, Mn, Co)O\(_2\) offers a charge voltage up to 4.5 V, resulting in a limited improvement to 160-180 mAh g\(^{-1}\).[13] Moreover, the high voltage batteries suffer from operational instabilities especially at high temperatures. On the other hand, extensive studies have been focused on the anode materials. After a broad exploitation of higher capacity anode materials, Silicon (Si) has emerged as a promising candidate to substitute graphite anode, which can reversibly alloy with lithium with a stoichiometry of Li\(_{4.4}\)Si, offering a high theoretical capacity of 4200 mAh g\(^{-1}\).[14; 15] Unfortunately, due to the densely structure of metalloids, huge volume expansion of Li-Si anode would occur during lithiation, even up to 300% in some case. This leads to the crack of electrode particles, deteriorated electronic conductivity and further capacity fading during cycling.[16] Many strategies have been developed to alleviate the volume expansion of Li-Si anode. It is found that nano-structured Si material such as nanowire morphology, can effectively accommodate the volume change of Li–Si alloy upon lithiation. By using the nanowire Si anode, the
After a steady of improvement of ~15% over the last two decades, the energy density of LIBs is currently limited by the Li$^+$ intercalation chemistry. More importantly, the energy density of LIBs is far from the goal for use in electric vehicles, even large efforts are made to improve their energy-density closed to theoretical limits. Thereby, various innovative battery system have been developed, for instance, Li-S battery and Li-polymer battery etc.\cite{17,18,19} Although some hope have been shown by these advanced battery technology, technical issues still plague them.\cite{20,21} For instance, the so-called “shuttle effect” in Li-S chemistry is caused by dissolved polysulfides migrating to Li anode where they react. Extensive research efforts are definitely expected. With today’s automotive Li-ion battery, the driving range of electric vehicles is limited to ~160 km, as shown in Fig. 1.2. More importantly, even the energy-density of Li-ion battery is further improved closed to their theoretical limit, it can hardly meet the demand for electric vehicles.\cite{22,23} In this case, new technologies beyond Li-ion chemistries need to be searched to meet high energy-density demand for electric vehicles. Among all chemistries beyond Li-ion, Li-Air batteries have received enormous research attention to break through the energy-density limitations. According to the Web of Science, published papers and patents in this area has increased rapidly in the last couple of years. As shown in Fig. 1.2, in theoretical, Li-air batteries have the potential to support for a...
driving range ~500 km, at least 2-3 times greater than that of Li-ion batteries.\textsuperscript{[24]}

1.2 Lithium-Air battery

1.2.1 Introduction of Li-air battery

Based on the various electrolyte properties, Li-air batteries can be classified into four versions, that is, nonaqueous, aqueous, hybrid and solid batteries, as shown in Fig. 1.3. Nonaqueous Li-air batteries using aprotic electrolyte were firstly introduced by Abraham and Jiang in 1996.\textsuperscript{[25, 26]} Aqueous Li-air batteries are operated based on the inorganic lithium salt dissolved in H\textsubscript{2}O solvent as the electrolyte. Wang and Zhou propose a new type Li-air batteries which is based on hybrid electrolyte with an aqueous electrolyte in cathode side and an organic electrolyte in anode side.\textsuperscript{[27]} The all-solid-state Li-air batteries were reported by Kumar to improve the security assurance.\textsuperscript{[28]} In the case of aqueous and hybrid Li-O\textsubscript{2} batteries, a Li protection film is of essential importance, which is designed to prevent the drastic reaction between water and Li. Aqueous and hybrid systems share the same reaction mechanisms since the oxygen electrodes are exposed to aqueous electrolytes. It is demonstrated that the maximum available energy-density of aqueous Li-O\textsubscript{2} battery is much less than that when using aprotic electrolytes.\textsuperscript{[29]} Further, the non-aqueous Li-air batteries shows more simple structure similar with typical Li-ion batteries, except the system is open to absorb O\textsubscript{2} gas. The working principle in all-solid-state Li-air battery is similar to the nonaqueous system. However, it is not widely investigated primarily due to the low ionic conductivity of solid electrolyte and large interfacial resistance. During my Ph.D. period, I mainly focused on the aprotic Li-O\textsubscript{2} system since it has dominate the development of this research field.
1.2.2 Working principle of Li-air battery

A non-aqueous Li-air battery typically consist of a lithium metal anode, a Li⁺ conductive electrolyte and a porous cathode. Li-O₂ batteries operate based on simple reaction between Li⁺ and O₂ with a thermodynamic potential of 2.96 V: \( 2\text{Li}^+ + 2\text{e}^- + \text{O}_2 \leftrightarrow \text{Li}_2\text{O}_2 \).\(^{30}\) The schematic diagram of working principle during discharge/charge process is given in Fig. 1.4. During discharge process, O₂ molecules enters the pores of cathode, and reduced by electrons from the current collector to combine with Li⁺ to produce Li₂O₂ on the cathode. Subsequent charge causes a reverse reaction, making the Li-O₂ battery to be rechargeable. Upon charge process, these Li₂O₂ particles are electrochemically decomposed to release Li⁺ and O₂ gas.\(^{31}\) A porous cathode is particularly necessary since it not only allow the diffusion of O₂ but also provide the space to store Li₂O₂ product. The capacity of a Li-O₂ battery is determined by the pore
space in cathode for the storage of Li$_2$O$_2$ products. Thus extensive research activities have been dedicated on the cathode materials. Due to the inherent advantages of lightweight, high conductivity and large surface areas, carbon-based materials has been chosen as the cathode materials for most of the Li-O$_2$ batteries. In addition, carbon materials have exhibited certain catalytic activity toward oxygen reduction reaction. Various carbon materials have been widely used in current Li-air batteries including commercial carbon black, carbon nanotubes, graphite and carbon fibers etc.\textsuperscript{[32-34]}

Fig. 1.4 The schematic of working principle for nonaqueous Li-air batteries.

1.3 Major challenges in current Li-O$_2$ batteries

Although the Li-O$_2$ chemistry possess the greatest theoretical energy-density (~3550 mAh g$^{-1}$), this promising technology has been hindered by several critical challenges, such as electrolyte instability, poor cycle life and low round-trip efficiency.\textsuperscript{[35]} These drawbacks are mainly caused by the high overpotential issue during charge process.

1.3.1 High charge overpotential issue

The typical discharge/charge profiles of non-aqueous Li-O$_2$ battery is presented in Fig. 1.5. In practical, Li-O$_2$ battery usually exhibit a discharge voltage around 2.7 V, which is coincident with an equilibrium voltage of 2.96 V. While large overpotentials
are found during charge process, as much as 4.0 V in some case.\textsuperscript{[36; 37]} The high overpotential directly lead to following issues: (1) It is demonstrated, at high voltage (> 3.5 V), carbonate-based electrolyte (such as EC/DEC and PC) are readily attack by the intermediate O$_2^-$ species, yielding undesired products such as Li$_2$CO$_3$.\textsuperscript{[38]} As an alternative, ether-based electrolytes (that is, non-carbonate electrolytes) are considered more stable than carbonate-based electrolytes, although the decomposition remain at high voltage (> 3.5 V).\textsuperscript{[25; 39-41]} (2) Side reactions between carbon-based cathode and Li$_2$O$_2$ and decomposition of carbon cathode itself severe polarization (3) poor cycling life\textsuperscript{[34; 42]} (4) low energy efficiency around 60% (output electric energy/ input electric energy).\textsuperscript{[43]} This value is unacceptable low for use as a practical energy storage system. The high charge overpotential could be attribute to the insulating and nonconductive nature of Li$_2$O$_2$, thus its electrochemical decomposition requires high charge voltage.\textsuperscript{[44; 45]} In addition, the pores in air electrode are easily clogged thus the diffusion of Li$^+$ and O$_2$ are blocked, causing further overpotential.\textsuperscript{[46]} More seriously, the decomposition of electrolyte and carbon-base electrode could directly lead to the formation of Li$_2$CO$_3$ byproduct. These side products can’t be fully removed without climbing to high voltage which, in turn, accelerate the high charge overpotential issue.\textsuperscript{[47]} Due to the presence of high charge overpotential, the OER process seems to be more critical than ORR process to realize a reversible Li-O$_2$ battery.

\begin{figure}[h]
\centering
\includegraphics[width=0.8\textwidth]{figure1.png}
\caption{A typical discharge/charge profile of non-aqueous Li-O$_2$ battery}
\end{figure}
1.3.2 Strategies to reduce the charge overpotential

Reducing the charge overpotential are indispensable to insure the battery component stable and enhance energy efficiency. Thereby, considerable efforts have been devoted to address the severe charge overpotential issue and two approaches are generally used. One alternative solution is to construct highly active electrochemical catalysts toward OER and ORR processes. Transition metal and oxides (Co$_3$O$_4$, MnO$_2$, TiC and TiN) and precious metal and oxides (Pt, Au, Ru and RuO$_2$ etc.) are widely investigated as electrocatalysts for Li-O$_2$ battery. Earlier studies demonstrated that the electrochemical catalyst could efficiently increase the kinetics of Li$_2$O$_2$ decomposition on charge. Further, these catalysts supported on carbon-based materials are extensively attempted and show increased catalytic activity. We summarize some recent reported work on electrochemical catalyst and the obtained performance was listed in Table 1.2.

Table 1.2. Summary of the performance improvement of non-aqueous Li-air battery with electrochemical catalyst.

<table>
<thead>
<tr>
<th>Cathode materials</th>
<th>Voltage (V)</th>
<th>Specific capacity (mAh g$^{-1}$)</th>
<th>Cycle life</th>
<th>Ref.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Pt/C</td>
<td>~ 3.8</td>
<td>750</td>
<td>/</td>
<td>[48]</td>
</tr>
<tr>
<td>Au/C</td>
<td>~ 4</td>
<td>1500</td>
<td>/</td>
<td>[48]</td>
</tr>
<tr>
<td>PtAu/C</td>
<td>~ 3.6</td>
<td>1300</td>
<td>/</td>
<td>[49]</td>
</tr>
<tr>
<td>Pt/MnO$_2$</td>
<td>~ 3.5</td>
<td>150-250</td>
<td>20</td>
<td>[50]</td>
</tr>
<tr>
<td>Ru/ITO</td>
<td>~ 3.6</td>
<td>2.5 mAh cm$^{-2}$</td>
<td>50</td>
<td>[51]</td>
</tr>
<tr>
<td>RuO$_2$/CNT</td>
<td>~ 3.5</td>
<td>1800</td>
<td>20</td>
<td>[52]</td>
</tr>
<tr>
<td>RuO$_2$/CNF</td>
<td>~ 3.6</td>
<td>20600</td>
<td>300</td>
<td>[53]</td>
</tr>
<tr>
<td>Pd/Al$_2$O$_3$/C</td>
<td>~ 3.3</td>
<td>&gt; 1000</td>
<td>10</td>
<td>[54]</td>
</tr>
<tr>
<td>α-MnO$_2$</td>
<td>&gt; 4.0</td>
<td>2300</td>
<td>10</td>
<td>[55]</td>
</tr>
<tr>
<td>Nanoporous Au</td>
<td>~ 3.5</td>
<td>330</td>
<td>100</td>
<td>[56]</td>
</tr>
<tr>
<td>Pd/MnO$_2$</td>
<td>~ 3.6</td>
<td>550</td>
<td>15</td>
<td>[57]</td>
</tr>
<tr>
<td>RuO$_2$/rGO</td>
<td>~ 3.6</td>
<td>&gt; 5000</td>
<td>30</td>
<td>[58]</td>
</tr>
</tbody>
</table>
Another alternative approach is to incorporate a redox mediator (RM) into electrolyte to reduce the charge overpotential.\textsuperscript{[69]} The diffusible RM could easily move around in the electrolyte and have sufficiently wet contact with Li\textsubscript{2}O\textsubscript{2} discharge products. The reaction mechanism is one in which RM are initially oxidized to the oxidative form of M\textsuperscript{ox} during charge process. Subsequently, the oxidized reactors M\textsuperscript{ox} chemically react with Li\textsubscript{2}O\textsubscript{2} particles, producing Li\textsuperscript{+} and O\textsubscript{2} gas. At the same time, by oxidizing Li\textsubscript{2}O\textsubscript{2} the M\textsuperscript{ox} is reduced back to M\textsuperscript{red}.\textsuperscript{[70]} RM as diffusible catalyst in Li-O\textsubscript{2} battery has to fulfill several conditions. (1) The redox potential should be compatible with that of Li\textsubscript{2}O\textsubscript{2} products formation. In other words, the oxidative potential of RM is required to be higher than the redox potential of Li\textsubscript{2}O\textsubscript{2} formation. (2) The oxidation form of RM should be capable of oxidizing Li\textsubscript{2}O\textsubscript{2} particles. (3) The redox mediator must not react with the electrolyte and Lithium anode. In addition, it should be highly dissolved in the electrolyte. Based on the above-mentioned conditions, many redox-active molecules have been successfully attempted in Li-O\textsubscript{2} batteries, such as tetrathiafulvalene (TTF), ferrocene (FC) and N,N,N’,N’-tetramethyl-p-phenylenediamine (TMPD). The
summary of performance improvement of non-aqueous Li-O₂ batteries with various redox mediators are presented in Table. 1.3.

Table 1.3. Summary of the performance improvement of non-aqueous Li-O₂ batteries with redox mediators

<table>
<thead>
<tr>
<th>Redox mediator</th>
<th>Redox potential (V)</th>
<th>Specific capacity (mAh g⁻¹)</th>
<th>Cycle life</th>
<th>Reference</th>
</tr>
</thead>
<tbody>
<tr>
<td>Ferrocene (FC⁺/ FC⁻)</td>
<td>3.60</td>
<td>/</td>
<td>/</td>
<td>[71]</td>
</tr>
<tr>
<td>OMAB/OMAB</td>
<td>3.16</td>
<td>1000</td>
<td>/</td>
<td>[72]</td>
</tr>
<tr>
<td>TMPD/TMPD</td>
<td>3.33</td>
<td>500</td>
<td>50</td>
<td>[71]</td>
</tr>
<tr>
<td>Lithium nitrite (LiNO₃)</td>
<td>3.6</td>
<td>1000</td>
<td>50</td>
<td>[73; 74]</td>
</tr>
<tr>
<td>I₃⁻/I⁻</td>
<td>3.05</td>
<td>1000</td>
<td>900</td>
<td>[75; 76]</td>
</tr>
<tr>
<td>(FePc)⁺/(FePc)⁻</td>
<td>3.55</td>
<td>1000</td>
<td>135</td>
<td>[77]</td>
</tr>
<tr>
<td>Tetrathiafulvalene TTF</td>
<td>3.56</td>
<td>300</td>
<td>100</td>
<td>[71; 78; 79]</td>
</tr>
<tr>
<td>2,2,6,6'-Tetramethylpiperidinyloxyl (TEMPO⁺/ TEMPO⁻)</td>
<td>3.74</td>
<td>500</td>
<td>/</td>
<td>[80; 81]</td>
</tr>
<tr>
<td>Cesium iodide (CsI)</td>
<td>3.6</td>
<td>1500</td>
<td>125</td>
<td>[82]</td>
</tr>
<tr>
<td>Tris[4-(diethylamino)-phenyl]amine (TDPA)</td>
<td>3.4</td>
<td>500</td>
<td>100</td>
<td>[83]</td>
</tr>
</tbody>
</table>

1.3.3 Safety hazards derived from organic liquid electrolyte

The Li-O₂ batteries have gained extensive interest due to the potential application for electrifying transportation. However, there are still many fundamental and technical problems associated with Li-air batteries. Among these, some intrinsic problems are arisen from the use of organic electrolytes. During discharge process, Li₂O₂ forms on
the air electrode by a stepwise type: \(O_2 \rightarrow O_2^- \rightarrow O_2^{2-}\). The \(O_2^-\) as supernucleophile could readily attack the organic electrolyte, resulting in the formation of \(Li_2CO_3\) byproduct.[32; 84] More seriously, the use of combustible organic electrolyte encounters safety issues.[85; 86] That is, the combustible, flowing and volatile natures of the organic liquid electrolytes cause safety issues such as leakage, fire and even explosion.[87] These issues are becoming more serious with the increasing size of batteries used in electric vehicles. In this regard, developing solid-state Li-air batteries has been studied as the safest and the most reliable concept. Various solid electrolytes have been developed to replace the organic electrolytes, such as inorganic solid electrolyte, polymer electrolyte and ceramic electrolyte.[88-90] Using solid electrolyte also has the advantage that the lithium anode can be protect toward air by the solid electrolyte layer. Currently, most studies on Li-air batteries have employed pure \(O_2\) atmosphere rather than air to avoid unwanted side reactions with components in ambient air (\(H_2O, CO_2\)). Namely, the dense solid electrolyte has the potential to prevent the corrosion by water and \(CO_2\). While the further advance of solid-state Li-air battery has been restricted by low ionic conductivity, \(Li\) ion transfer number and large interfacial resistance. As a result, the cycling life and rate capability of these solid systems remains inferior.

1.4 Target and outline of this dissertation

1.4.1 Research purpose

Although many research efforts have been dedicated to reducing the charge overpotential, the obtained results are still far from satisfactory, and the energy efficiency of Li-air battery requires to be improved. The OER process plays the most critical role in the operation of Li-O\(_2\) battery and determine whether a rechargeable Li-O\(_2\) battery can be achieved.[43] By the conventional strategies (i.e. RM, electro-catalyst) to reduce charge overpotential, the charge potentials are still as high as 3.5-3.7 V. This directly lead to low electric energy efficiency around 60% (calculated based on output electric energy/ input electric energy), indicating that the input electric energy far
exceeds output electric energy. In the Li-O₂ battery with redox mediator, the charge voltage is determined by the redox potential of \( M^{\text{red}}/M^{\text{ox}} \) since the charge process is achieved by the chemical reaction between redox mediator and \( \text{Li}_2\text{O}_2 \), as shown in Fig. 1.6.

![Energy diagram of non-aqueous Li-O₂ battery with LiI redox mediator](image)

Fig. 1.6 Energy diagram of non-aqueous Li-O₂ battery with LiI redox mediator

Therefore, we attempt to introduce photocatalyst in air electrode to capture solar energy into Li-air battery. For this proof-of-concept, we employ the g-C₃N₄ photocatalyst coupled with redox mediator to construct the photo-assisted Li-O₂ battery. In our photo-assisted battery, the discharge process is same with that of a conventional battery. While during charge process, the electrochemical conversion of \( M^{\text{red}}/M^{\text{ox}} \) is gained by photoexcited holes oxidation (seen in Fig. 1.7). In other words, upon charging under illumination, photoexcited electron-hole pairs would generated on the g-C₃N₄ photocatalyst. Thus, the photovoltage would on the g-C₃N₄ photocatalyst can be used to compensate the required charge voltage. As expected, the charge overpotential issue is effectively addressed and the side reaction at high voltage can be suppressed. This photo-assisted charge strategy is further extended to solid-state Li-O₂ battery to improve electric energy efficiency.
1.4.2 Targets of this research

➢ To reduce the charge voltage by introducing photocatalyst into Li-O₂ battery
➢ To simplify the photo-assisted Li-O₂ battery configuration and improve cycling performance
➢ To apply the photo-assisted charge strategy in solid-state Li-O₂ battery for high electric energy efficiency

1.4.3 Outline of this thesis

There are five chapters in this dissertation in total.

Chapter 1 is the brief background of the research study. It mainly include the critical challenge for current LIBs, the necessity to develop high energy-density Li-air battery, a general introduction of Li-air battery, the challenges in making practical Li-air batteries and reported solutions. The research purpose and targets are also provided.

In chapter 2, a photo-assisted Li-O₂ battery is fabricated by employing g-C₃N₄ photocatalyst coupled with LiI redox mediator. It is noted that g-C₃N₄ acts as a photocatalyst and an oxygen reduction reaction (ORR) catalyst simultaneously. By
absorbing solar energy via g-C_{3}N_{4}, an ultralow charge voltage of 1.9 V is obtained, which is the lowest value so far, resulting in a high electric energy efficiency of 142%.

In chapter 3, based on our former research, we further continue with the study on photo-charge Li-O\textsubscript{2} batteries. Although the redox mediators played a critical role in reducing the charge overpotential, we discovered serious shuttle effect and side reaction related to redox mediators. Hence, we here design and realize a photo-charged Li–O\textsubscript{2} battery without using any RM. The overpotential issue has been effectively addressed by absorbing solar energy during charge process. The photo-charge process without redox mediators has proved to be efficient by experimental evidence together with theoretical analysis. Through this work, we improve the shortcomings in our former work, meanwhile, guide a promising avenue for the future development of photocharging all-solid-state batteries.

In chapter 4, due to solid electrolyte-electrode interface, the charge overpotential issue in solid-state Li-air battery is even more serious than that in conventional Li-air battery. According to the former results, integration of solar energy into non-aqueous Li-air battery can effectively reduce the charge overpotential. Hence, we attempt to integrate solar energy into solid-state Li-air battery for constructing a photo-assisted solid-state Li-O\textsubscript{2} battery.

Chapter 5 is the general conclusion and proposed suggestions for further improvement on this research work.
Chapter 2 Lowering the charge voltage of Li-O₂ battery by photocatalyst coupled with I/I₃⁻ redox agent

2.1 Introduction

One of the most important objectives is to lower the charge overpotential and reduce the energy losses during the charge process to achieve a practical Li-O₂ battery.[91-95] Practical propulsion batteries are expected to provide “round-trip” energy efficiencies of 90%, but current Li-O₂ cells only deliver a low value around 60%-70%, primarily due to the large charge overpotentials.[26; 96-99] Typically, the charge voltage often climbs as high as 4.0 V, which greatly hinders the practical application.[100-104] Therefore, research has mainly focused on finding solutions for the high overpotential issue, including the employment of electrochemical catalyst and redox mediators. Numerous cathode catalyst such as Co₃O₄ and MnO₂ have been reported to be catalytically active for OER process in Li-O₂ batteries.[40; 105-108] These transition metal oxides combined with carbon material is proved to drastically improve catalytic activity for Li₂O₂ decomposition.[66; 109] This OER process involves several steps including the nucleation and growth and requires overcoming a significant reaction barrier, which exhibits as an initiation process for the Li₂O₂ oxidation. With all these efforts, the charge voltage of 3.5 V is achieved, showing a polarization of ~0.5 V.[101; 110] Another pathway is increasing the solubility of Li₂O₂, which enable the decomposition of Li₂O₂ take place in the electrolyte at the electrode surface, thus maximizing the interaction areas. Several research suggested redox mediators as soluble catalyst shows better catalytic activity than the solid counterparts. Redox mediators can readily reach the interior of the air electrode due to the flowing nature even when the products are electrically isolated. In principle, many redox-active molecules show potentials capable for oxidizing solid Li₂O₂. Accordingly, researchers have reported several types of redox mediators to successfully recharge the Li-O₂ battery and reduced charge voltage is achieved, such as TTF, LiI, and TEMPO. With the aid of redox mediators, the charge voltage can be
reduced to ~3.5 V. The charge overpotential of 0.5 V exists which is still high for achieving satisfied energy efficiency and cycle life.

In this regard, we focus on the Li-O\textsubscript{2} battery with the use of a redox mediator. A further reduction on charge voltage of this battery system is highly desired. A very recent work showed that incorporation of a dye-sensitized TiO\textsubscript{2} photoelectrode greatly reduced the charging voltage of Li-O\textsubscript{2} battery, delivering a charging voltage of 2.72 V\textsuperscript{[76]} We try to introduce a g-C\textsubscript{3}N\textsubscript{4} photocatalyst in the air electrode to capture solar energy into the Li-O\textsubscript{2} battery.\textsuperscript{[111-113]} Thus the harvested solar energy can be utilized to compensate the required electric energy. In our proposed battery system, the electrochemical conversion of M\textsuperscript{red}/M\textsuperscript{ox} is gained by photo-driven oxidative reaction. In other words, upon charging under illumination, photoexcited electron-hole pairs would generated on the g-C\textsubscript{3}N\textsubscript{4} photocatalyst. Due to the high oxidative activity of holes, they would oxidize redox mediator to M\textsuperscript{ox} form.\textsuperscript{[114-116]} In the meanwhile, the photoexcited electrons aid reduction from Li\textsuperscript{+} to Li at the anode side. Thus the photovoltage generated between photoexcited holes and electrons could compensate the battery’s required charge voltage. The photo-assisted charge strategy combined g-C\textsubscript{3}N\textsubscript{4} photocatalyst with redox mediator has been proposed and demonstrated. The charge voltage can be dramatically reduced to 1.9 V and the device stability is also evaluated.

2.2 Experimental and Characterization

2.2.1 Preparation of g-C\textsubscript{3}N\textsubscript{4} powder

G-C\textsubscript{3}N\textsubscript{4} powder was fabricated according to a calcination approach described in a previous paper.\textsuperscript{[117]} Detailly, melamine powder (Wako, 99%) was heated at 550°C for 3 h in Argon atmosphere with a ramp rate of 2.3°C min\textsuperscript{-1}; the cooling rate was controlled at about 1 °C min\textsuperscript{-1}. The resultant yellow agglomerates were grinded into grain powder in a mortar.
2.2.2 Preparation of g-C₃N₄ cathode

In order to obtain the g-C₃N₄/carbon paper composites, the slurry of the melamine and polyvinylidene difluoride (PVDF) in a N-methyl pyrrolidone (NMP) was pasted on a carbon paper of 9 mm in diameter, then the composite was heated at 550 °C for 3 h in an Ar atmosphere accompanied with a flow of 37 ml min⁻¹ at a ramp rate of 2.3 °C min⁻¹; the cooling rate was maintained at around 1 °C min⁻¹. The mass loading of g-C₃N₄ active material is 0.16-0.2 mg cm⁻².

2.2.3 Preparation of the battery

All the devices were assembled in the glovebox filled with Ar gas. 0.5 M LiClO₄ (Wako) and 0.05 M LiI (Wako) dissolved in Tetraglyme (G4, Wako) was employed as the electrolyte. The photoassisted Li-O₂ battery was constructed with a Li metal anode, a glass fiber separator (Whatman GF/A) encapsulated with the electrolyte, and a g-C₃N₄/carbon paper as oxygen electrode and photoelectrode in a coin cell, which had several holes on the top shell. The holes allow the illumination on the photocatalyst. The assembled Li-O₂ battery was stored in a sealed glass chamber, which is purged with pure O₂ gas for about 3 hours before electrochemical measurements.

2.2.4 Measurements and characterization

X-ray diffraction (XRD) was investigated by employing a Bruker D8 Advanced diffractometer with Cu Kα (λ = 1.5406 Å) radiation. Scanning electron microscopy (SEM) was observed on a Hitachi S4800. ¹H nuclear magnetic resonance (NMR) spectrum was recorded on a Bruker 500 MHz spectrometer. The UV-visible absorption spectrum measurements were conducted using a Shimadzu UV3101PC. Galvanostatic discharge/charge test were performed on a Hokuto discharging/charging system. All the electrochemical discharge/charge test were conducted at 25 °C under O₂ atmosphere. For the solar-energy irradiation tests, a XEF-501S Xe-lamp (San-ei Electric Co., Japan) was utilized as the light source.
2.3 Results and discussion

2.3.1 Configuration and working mechanism of photoassisted Li-O₂ battery

As shown in Fig. 2.1a, the photoassisted rechargeable Li–O₂ battery consists of a Li metal anode, an electrolyte combined with the LiI redox mediator and C₃N₄ grown on carbon paper as an air electrode and a photoelectrode simultaneously. It is worth noting that g-C₃N₄ serves not only as a photocatalyst, but also as an oxygen reduction reaction (ORR) electrocatalyst. In the practical operation, the battery assembly was performed in a 2032 coin cell with holes on the top shell allowing the direct irradiation on photocatalyst, as exhibited in Fig. 2.1b. For the photo-electric conversion tests, a sunlight simulated machine was employed as the light source.

Fig. 2. 1 a) The schematic illustration for photoassisted Li-O₂ battery b) The schematic for experimental operation of photo-electric conversion

In the photoassisted battery, the discharge process is same to that of a conventional Li-O₂ battery with the formation of Li₂O₂ (Fig. 2.2a). On charging under illumination, the I⁻ ions are initially oxidized to I₃⁻ ions by photoexcited holes derived from the photocatalyst (Reaction 1, Fig. 1.9a),¹¹¹ and subsequently I₃⁻ ions diffuse to the surface of oxygen electrode and chemically decompose Li₂O₂ to O₂ and reduced back to I⁻ ions, thus complete a full redox cycle (Reaction 2, Fig. 2.2a). In the meanwhile, the
photoexcited electrons transfer to the anode side via the external circuit, and reduces Li$^+$ ions to Li metal (Reaction 3, Fig. 2.2a). Therefore, the charging voltage of a Li–O$_2$ battery is compensated by the photovoltage on the g-C$_3$N$_4$ photoelectrode. As presented in Fig. 2.2b, the photoassisted charging voltage corresponds to the energy difference between the redox potential of Li$^+$/Li and the conduction band potential (CB) of the photocatalyst. A proper photocatalyst for the photoassisted rechargeable battery has to satisfy two critical conditions: the CB potential should be lower than the O$_2$/Li$_2$O$_2$ redox potential (that is, 2.96 V) and the valance band (VB) potential should be higher than the $\Gamma$/I$_3^-$ couple potential to drive the oxidative reaction of $\Gamma$ ions to I$_3^-$ ions based on Fig. 2.2b. As a metal-free photocatalyst, g-C$_3$N$_4$ exhibits an conduction band potential of 1.7 V, much lower than that of TiO$_2$ photocatalyst (2.6 V). By using the g-C$_3$N$_4$ photocatalyst, the photoassisted charge voltage of the Li–O$_2$ battery can be reduced to 1.7 V in theoretical.$^{[119]-[122]}$ On the other hand, g-C$_3$N$_4$ has been recently demonstrated to be an efficient electrocatalyst for ORR and oxygen evolution reaction (OER).$^{[123; 124]}

Fig. 2.2 a) The reaction mechanism for the photoassisted Li-O$_2$ battery b) The energy diagram for the photoassisted charging process

The crystalline structure of g-C$_3$N$_4$ is analysed by X-ray diffraction (XRD) pattern (Fig. 2.3a) in which two typical signals are observed, including the peak at 27.5° (2θ), assigning to graphite-like layers of 0.326 nm, and the peak at 13.3° (0.713 nm) arising
from repeated tri-s-triazine units. The bandgap of the condensed graphitic carbon nitride is estimated to be 2.7 eV as determined by ultraviolet-visible (UV-Vis) spectrum (Fig. 2.3b), showing an intrinsic absorption in the blue region of the visible light. To prove that I\(^{-}\) ions can be oxidized to I\(_3\)\(^{-}\) ions by photoexcited holes from g-C\(_3\)N\(_4\), a solution composed of 0.05 M I\(^{-}\) ions with g-C\(_3\)N\(_4\) powders is illuminated for 1 h and then characterized by the UV-Vis absorption spectrum. Fig. 2.3c exhibits two peaks at 288 and 350 nm featuring the I\(_3\)\(^{-}\) ions, which suggests that I\(^{-}\) ions can be oxidized by the photoexcited holes from g-C\(_3\)N\(_4\). Besides, a porous air electrode framework will benefit for the rapid transport of I\(^+/I\(_3\)\) ions and prohibit clogging by the Li\(_2\)O\(_2\) particles. Therefore, coupled with LiI redox mediator, g-C\(_3\)N\(_4\) grown on porous carbon fibre paper is simultaneously used as an oxygen electrode and a photoelectrode.

![XRD pattern](image1.png) ![UV-Vis absorption spectrum](image2.png) ![UV-Vis absorption spectrum](image3.png)

Fig. 2.3 (a) XRD pattern and (b) UV-Vis absorption spectrum of the g-C\(_3\)N\(_4\) photocatalyst. (c) UV-Vis absorption spectrum of 0.05 M I\(^{-}\) ions solution with g-C\(_3\)N\(_4\) powders after 1 h irradiation.

2.3.2 Reduced charge voltage of photoassisted Li-O\(_2\) battery

Fig. 2.4 shows the comparison of charging/discharging profiles of a normal Li–O\(_2\) battery without the I\(^{-}\) ion redox mediator, a Li–O\(_2\) battery with the I\(^{-}\) ion redox couple and the photoassisted Li–O\(_2\) battery tested at 0.01 mA cm\(^{-2}\). The normal Li–O\(_2\) battery without using I\(^{-}\) ion redox mediator shows a typically high charging voltage (ca. 4.1 V), by contrast, a reduced charging voltage (ca. 3.55 V) could be attained with the aid of
the soluble I\textsuperscript{-} ion redox mediator. After integration of the g-C\textsubscript{3}N\textsubscript{4} photocatalyst, the charging voltage decreases dramatically to 1.9 V, which is identical to the theoretical prediction of energy difference (ca. 1.7 V) between the CB of g-C\textsubscript{3}N\textsubscript{4} and Li\textsuperscript{+}/Li couple potential. The results are consistent well with the theoretical prediction, showing the lowest charging voltage for a Li–O\textsubscript{2} batteries so far. It is worth noted that the charging voltage is much lower than the discharging voltage (2.73 V, as shown in Fig. 2.4), resulting in an electrical energy efficiency of 142%. It is clear that such a high energy efficiency is owing to the illumination.

![Diagram](image)

Fig. 2.4 The charging/discharging curves of a normal Li–O\textsubscript{2} battery without the I\textsuperscript{-} ion redox mediator (black line), a Li–O\textsubscript{2} battery with the I\textsuperscript{-} ion redox couple (blue line) and the photoassisted Li–O\textsubscript{2} battery (red line).

Besides, the stability of G4 solvent under long-term irradiation was also investigated to avoid any confusion in the proposed battery system. No detectable change in the \textsuperscript{1}H nuclear magnetic resonance (NMR) spectroscopy of G4 electrolyte can be observed after 5 h illumination, as shown in Fig. 2.5, suggesting favorable stability of the electrolyte (G4) under long-term illumination. For the proposed photoassisted rechargeable battery, the charging process are equivalent to the oxidative process of I\textsuperscript{-} to I\textsuperscript{3\textsuperscript{-}} ions, which could decompose Li\textsubscript{2}O\textsubscript{2} through a chemical reaction.
Fig. 2.5 $^1$H NMR spectra of 1M LiClO$_4$ and 0.05 M LiI dissolved in G4 electrolyte (a) before and (b) after 5 h irradiation.

To further demonstrate this reaction, XRD and scanning electron microscopy (SEM) were used to detect the discharge product after 8 h discharge at 0.1 mA cm$^{-2}$ and a charge product after 4 h charge at 0.2 mA cm$^{-2}$, resulting in an equivalent discharge-charge capacity. The results demonstrate that the discharge product is Li$_2$O$_2$, while the peaks corresponding to Li$_2$O$_2$ disappeared after the subsequently photoassisted charge (Fig. 2.6), implying that Li$_2$O$_2$ has been completely removed by the I$_3^-$ ions at the end of charge.
2.3.3 Rate capability and cycling stability

The charging and discharging curves at different current densities are evaluated as shown in Fig. 2.7a, b. It is noticeable that the polarization degree does not dramatically increase. The photoassisted charging voltage presents as 2.23 V, even at a current of 0.03 mA cm\(^{-2}\). To investigate the stability of this device, we studied the photoassisted charging cyclability (Fig. 2.7c, d). After 50 repeated cycles (1 h photoassisted charging and then 1 h discharging), the battery can still maintain a charging voltage around 2.2 V, indicating the good stability of g-C\(_3\)N\(_4\) photoelectrode.
Fig. 2.7 (a) The charging profiles and (b) the discharging profiles of the photoassisted Li-O\textsubscript{2} battery at various current densities. (c) The charging curves and (d) the discharging curves of the photoassisted Li-O\textsubscript{2} battery at 0.01 mA cm\textsuperscript{-2} for 50 cycles.

2.4 Conclusions

It is noted that the g-C\textsubscript{3}N\textsubscript{4} plays an important role on the effective realization of the photoassisted rechargeable Li-O\textsubscript{2} battery. Firstly, the CB potential of g-C\textsubscript{3}N\textsubscript{4} photocatalyst is inherently lower than most of photocatalysts such as TiO\textsubscript{2}, ZnS, CuO, and Ta\textsubscript{3}N\textsubscript{5}, offering an ultralow photoassisted charging voltage in theoretical. Moreover, g-C\textsubscript{3}N\textsubscript{4} with a suitable bandgap (~2.7 eV) can directly absorb visible light without the further modification with unstable organic dyes. Accordingly, compared with the dye-sensitized TiO\textsubscript{2} photoelectrode, it showed improved cycling performance.\textsuperscript{125, 126} More importantly, the g-C\textsubscript{3}N\textsubscript{4} can also serve as ORR electrocatalyst for Li-O\textsubscript{2} battery and thus simplify the device as two-electrode system, which facilitates the practical application.

By introducing a g-C\textsubscript{3}N\textsubscript{4} photocatalyst into Li-O\textsubscript{2} battery, we demonstrate that the charging voltage can be effectively reduced to 1.9 V, the lowest for a Li-O\textsubscript{2} battery up
to date. During the photoassisted charging process, the photoexcited electrons from g-C₃N₄ transfer to the anode side and reduce Li⁺ to Li and thus the photovoltage on g-C₃N₄ is utilized to compensate the battery’s required charging voltage. Meanwhile, I⁻ ions redox mediator are oxidized to I₃⁻ by the photogenerated holes and then, in turn, chemically oxidize the Li₂O₂ particles; in this process, I₃⁻ is reduced back to the initial I⁻. The photoassisted charging strategy effectively addresses the high overpotential issue facing the Li-O₂ battery. It is worth pointing out that the charging voltage is even lower than the discharging voltage (~2.7 V), resulting in a 142% electrical energy efficiency. The concept of “energy saving” can be extended to other battery systems and thus contributes to the progress of advanced energy storage techniques.
Chapter 3 Lowering the charge voltage of Li-O₂ battery via an unmediated photoelectrochemical method

3.1 Introduction

Redox mediators play a critical role in reducing the high charge overpotential issue, serving as an electron–hole transfer agent between the solid electrode and solid Li₂O₂ particles.[83, 127-134] As discussed above, numerous redox mediators have been successfully applied. Lim et al. introduced LiI as a redox mediator and successfully reduced the charge potential to 3.2 V by combining with the hierarchically carbon nanotube cathode.[75] Yu et al. demonstrated a low photoassisted charge voltage of 2.72 V for Li–O₂ batteries by employing I⁻ /I₃⁻ redox mediator integrated with a TiO₂ photoelectrode.[76] However, severe side reaction and shuttle effect related to redox mediator has been demonstrated. During the charge process, LiI dissolved in electrolyte can easily be electrochemical oxidized to form I₃⁻, and can then diffuse to lithium anode where they are reduce to LiI. The LiI is then partially dissolved in electrolyte and I⁻ ions are oxidized to I₃⁻ again. The above process take place repeatedly showing as the “shuttle effect” and has been proved by Zhang et.al.[135] Additionally, Kwak et al. demonstrated the side reaction of LiOH formation when a high concentration of LiI is used.[136]

In this case, we propose, removing the redox mediator, an unmediated oxidization reaction of solid Li₂O₂ by photoexcited holes derived from the photoelectrode. As a result, an ultralow photoassisted charge voltage of 1.96 V and a typical discharge voltage of 2.74 V are attained. By this unmediated photooxidation methode, the cycling performance of photoassisted Li-O₂ battery is enhanced.
3.2 Experimental and characterization

3.2.1 Synthesis of g-C3N4-CP electrode

Graphitic-carbon nitride, hereafter recorded as g-C3N4, is prepared from a simple precursor via a series of thermal polycondensation reactions without any metal involvement. The g-C3N4-CP was fabricated as follows: the guanidine hydrochloride (GundCl) precursor and polyvinylidene fluoride (PVDF) powder were mixed with a mass ratio of 95:5, dissolving in N-methyl pyrrolidine (NMP) solvent, and then grinded for 30 min to blend homogeneously.[137; 138] Then the carbon fiber paper (dia. 7 mm) was dipped into the obtained solution and pasted with the mix slurry. The g-C3N4-CP was finally attained after calcination at 550 ˚C for 3h under Ar atmosphere with a heating rate of 2.3 ˚C min⁻¹; The cooling rate was controlled at around 1°C min⁻¹. The loading mass of g-C3N4 on g-C3N4/carbon paper cathode is about 0.4 mg cm⁻². The weight ratio between carbon fiber paper (~2.4 mg) and g-C3N4 (0.4 mg) is about 6 : 1.

3.2.2 The photoassisted Li-O2 Battery assemble:

All the battery assembly was carried out in an Ar gas filled glovebox using 2023 coin cell with several holes on top, which enable the direct illumination on the photoelectrode. The electrolyte was comprised of 1 M Lithium bis(trifluoromethanesulfonyl)imide (LiTFSI, Sigma) dissolved in Tetruglyme solvent (G4, WAKO). The photoassisted rechargeable Li-O2 battery was consisted with a Li metal as anode, a glass fiber as separator filled with electrolyte and a g-C3N4-CP as oxygen electrode also photoelectrode. The assembled battery was stored in a glass jar with a volume of 650 mL, and flow with O2 before the electrochemical investigation. The light source used for the solar energy conversion is a XEF-501S Xe-lamp (San-ei Electric Co., Japan). The charging/discharging tests for the all devices were conducted at various current densities by using a Hokuto electrochemical system.
3.3.3 Measurements and characterization

X-ray diffraction (XRD) analysis was performed by a Bruker D8 X-ray diffractometer using Cu Kα (λ=1.54 Å) radiation. The chemical constitution of g-C3N4-CP was featured by X-ray photoelectron spectroscopy (XPS, Thermo Escalab 250, with a monochromatic Al Kα X-ray source). Scanning electronic microscope (SEM) was performed on a Hitachi S4800. Galvanostatic discharge/charge was carried out on a Hokuto discharging/charging machine. FTIR was attained on a JASCO instrument of FT/IR-6200 from 1300 to 900 cm⁻¹ and the resolution is 2 cm⁻¹.

3.3 Results and discussion

3.3.1 An unmediated photoelectrochemical oxidation method

We studied the shuttle effect caused by redox mediators, with LiI as an example, on the metallic Li anode by using Fourier transform infrared (FTIR) measurements. A cell was constructed with a Li metal anode, a LiTFSI in G4 electrolyte with 0.1 M LiI additive, and a g-C3N4 cathode/photoelectrode. Under illumination, the I⁻ ions in electrolyte can be oxidized to I₃⁻ ions by photoexcited holes originated from g-C3N4, then I₃⁻ ions transfer onto the Li anode side and thereby form LiI layer. Fig. 3.1a shows the FTIR measurements for the surface resultants of Li anode after irradiation. After 6h illumination, the peaks at around 1100 cm⁻¹ corresponding to LiI is identified in the spectra, proving the shuttle reaction between I₃⁻ ions and Li anode. The LiI formed on the surface of the Li anode is further evidenced by scanning electron microscopy (SEM) (Fig. 3.1b,c). After illumination for 6h, the surface of Li anode obviously roughened due to the accumulation of LiI grains. This shuttle reaction can lead to the decrease of the active utilization of I₃⁻ ions and thus result in detrimental influence on the Li anode.
Fig. 3.1 (a) The FTIR spectra of the surface of Li anode after irradiation for 6 h in G4 with LiI, pure LiI and the reference KBr. SEM images of the Li anode (b) before and (c) after irradiation for 6 h in G4 with LiI

Thereby, we proposed an unmediated photoelectrochemical oxidation strategy to reduce the charge overpotential of Li-O2 batteries. Owing to absence of redox mediator, different from the photoassisted Li-O2 battery reported, our battery shows a more operational flexibility configuration: a metallic Li anode, a separator filled with LiTFSI/G4-based electrolyte and g-C3N4-CP simultaneously served as an oxygen electrode and a photoelectrode. During the discharge, O2 is reduced and combined with Li+, producing insoluble Li2O2 products that deposite evenly as a thin layer on the surface of g-C3N4 coating. The working mechanism of the photoassisted charge process is schematically illustrated in Fig. 3.2. Fig. 3.2a shows the illustration of a g-C3N4-CP interface where the g-C3N4 photocatalyst, is excited upon absorption of photons to generate hole-electron pairs, with holes oxidizing Li2O2 to O2 and electrons injecting into carbon paper, and aiding the reduction of Li+ to Li metal. At the cathode side, photogenerated holes could directly oxidize Li2O2 to produce Li+ and O2 gas due to the driving force between valence band (VB) potential (4.4 V (all potentials are referenced to Li+/Li couple)) g-C3N4 and Li2O2/O2 redox potential. [139-142] During the charge process, the photovoltage on g-C3N4 photocatalyst is utilized to compensate the battery’s charge voltage. The theoretical photoassisted charge voltage is determined by the energy difference between the Li/Li+ redox potential and conduction band (CB) position of g-C3N4 photocatalyst (that is 1.7 V) (Fig. 3.2b).
The photoassisted Li-O_2 battery contained g-C_3N_4-CP cathode was assembled as described in the Experimental section. The charge/discharge capability of the battery were studied, with and without irradiation, to provide a back-to-back comparison at 0.01 mA cm\(^{-2}\); the results are presented in Fig. 3.3. The dashed line in the middle represents the theoretical charge/discharge voltage for a Li-O_2 battery. Without illumination, the Li-O_2 battery exhibits an initial voltage of 3.61 V based on the potential at the half-charge capacity point, which is similar to previously reported results employed g-C_3N_4 as an OER catalyst.\(^{32}\) In sharp contrast, upon charging under illumination, the charge voltage drastically decreased to 1.96 V that is even lower than the Li_2O_2/O_2 redox potential (~ 2.96 V),\(^{143}\) thus resulting in a ‘negative’ charge overpotential. The obtained charge voltage is well identical to the theoretical energy difference between the CB level of g-C_3N_4 and Li^+/Li redox potential (1.7 V). By employing g-C_3N_4-CP as an ORR catalyst, the battery shows a standard discharge voltage of 2.74 V. The great voltage gain could contribute to a relatively high electrical energy efficiency (ca. 140%).
To better understand the photoassisted charge process, we performed ex situ analysis on the cathode at different states-of-discharge/charge. At specified points along the discharge/charge test (Fig. 3.4a) we disassembled the cells, fetched the cathode from those cells, washed away the contained electrolyte, then visualized the Li$_2$O$_2$ discharge products by scanning electron microscopy (SEM); we also collected XRD patterns of samples to identify the chemical composition of the discharge products. The photoassisted rechargeable Li-O$_2$ battery was firstly discharged for 6 h, and subsequently charged for 6 h under irradiation at the current of 0.04 mA cm$^{-2}$. The capacity of 600 mAh g$^{-1}$-C$_3$N$_4$ is considered as a relatively deep discharge. The discharge voltage and photoassisted charge voltage present at around 2.69 and 2.42 V, respectively. Of note, the battery shows a modest increase in charge voltage because the charge transfer between Li$_2$O$_2$ products and the g-C$_3$N$_4$ photoelectrode surface becomes increasingly hard with an increase in rate. After discharge for 3h, upon nucleation, small islands of Li$_2$O$_2$ particles are distributed uniformly on the surface of g-C$_3$N$_4$ layer. By the end of 6 h discharge, the g-C$_3$N$_4$-CP electrode from the battery shows even larger Li$_2$O$_2$ particles deposition, up to about 200 nm.$^{144-147}$

After photoassisted charge for 3h, the Li$_2$O$_2$ particles turn into relatively fine again, demonstrating that the photogenerated holes generated upon irradiation do, indeed, go on to oxidize Li$_2$O$_2$ particles. By the end of photoassisted charge process, the SEM

---

**Fig. 3.3** The charge/discharge profiles of Li-O$_2$ battery with (red line) and without illumination (black line).
image validates that Li$_2$O$_2$ particles are completely decomposed, suggesting the reversibility of formation and decomposition of Li$_2$O$_2$ products. XRD analysis was conducted to further probe the discharge and charge products with a cutoff time of 6 h at 0.1 mA cm$^{-2}$. After discharge, the typical diffraction peaks assigned to Li$_2$O$_2$ can be clearly observed, then disappeared after the photoassisted charge process as shown in Fig. 3.4b, indicating that the Li$_2$O$_2$ particles could be completely scavenged by the photoassisted charge strategy that agrees well with the SEM images. These results verify that the Li$_2$O$_2$ can be efficiently decomposed by the photoexcited holes derived from photoelectrode upon irradiation.

![Fig. 3.4](image)

(a) The charge/discharge curves of photoassisted Li-O$_2$ battery at 0.04 mA cm$^{-2}$. (b) SEM images of discharge products at different stages during charge/discharge test. Scale bars, 1 μm. (c) XRD patterns of the discharge/charge products with a cut-off time of 6 h at 0.1 mA cm$^{-2}$.

3.3.2 Rate capability and cycling stability

The cycling performance of the photoassisted Li-O$_2$ battery was evaluated by the galvanostatic charge/discharge test at 0.01 mA cm$^{-2}$. The entire 70 cycles voltage profiles as well as the magnified 1st and 70th cycle profiles are as presented in the Fig. 3.5a,b. The black and red lines in the figure 3.5 represent, respectively, the discharge and photoassisted charge voltage profiles. Even after irradiation for 70 h over 70
repeated photoassisted charge and discharge circles, the charge voltage is still recorded at about 2.35 V. On the other hand, discharge voltage only declines by ~0.06 V after the 70 repeated cycles, implying that structure of g-C₃N₄-CP electrode is relatively stable even irradiated for a long time. The discharge and photoassisted charge curves of the cell at different current densities of 0.01, 0.03 and 0.05 mA cm⁻² are shown in Fig. 3.5c. Although it is noticeable that the polarization trends during charge process increased slightly as the increase of current densities, the voltage plateau can still maintain at 2.4 V even at 0.05 mA cm⁻². We also investigated the cycling ability of the batteries at high current densities of 0.05 mA cm⁻². Upon 10 cycles, the battery can still record a charge voltage of 2.45 V (Fig. 3.5d).
Fig. 3.5 (a,b) The photoassisted charge and discharge profiles at the current density of 0.01 mA cm$^{-2}$. (c) the discharge and photoassisted charge profiles at various current densities. (d) The discharge and photoassisted charge curves at 0.05 mA cm$^{-2}$ for 10 cycles.
3.4 Conclusions

Applying the g-C₃N₄ coating CP structure as both cathode and photoelectrode offers direct contact between \( \text{Li}_2\text{O}_2 \) particles and the g-C₃N₄ photocatalyst, meanwhile promotes photoexcited carriers transportation. Benefit from the proper design, the photogenerated holes from the photocatalyst can directly react with the \( \text{Li}_2\text{O}_2 \) particles without the aid of redox mediators. We successfully address the severe charge overvoltage challenge of Li-O₂ battery via the unmediated photo-oxidative approach. Besides, photocharging batteries reported to date have generally coupled photocatalyst with liquid redox mediators for solar energy utilization.\(^{[148-151]}\) However, due to the photo/thermal instability of liquid organic electrolyte under irradiation, all-solid-state batteries represent a promising avenue for future solar energy conversion/storage. From a broader perspective, this unmediated photo-oxidative approach lay a foundation for the further development of photocharging solid-state batteries.
Chapter 4 Improving the electric energy efficiency of solid-state Li-O₂ battery

4.1 Introduction

With the increasing requirements imposed by electric vehicle (EV), the energy storage devices possessing high capacity and enhanced safety are highly anticipated. Li-O₂ battery is considered as a competitive candidate among various energy storage devices,[152-155] such as Li-ion battery,[156] sodium-ion battery,[157] supercapacitors.[158] However, they entail the risks of fire or explosion caused by the use of combustible organic electrolytes caused by the use of combustible organic electrolytes.[159; 160] From the perspective of safety, the solid-state Li-O₂ battery has been demonstrated as a viable solution for the power source of EV.[161] While, some fundamental issues in solid-state Li-O₂ battery are still hang in doubt, especially the large interfacial resistance between electrolyte and electrodes and thereby leading to the significant charge transport barrier during charging process, high charge overpotential and low energy efficiency. This has becomes the bottleneck for the further advance of solid-state Li-O₂ batteries.

Therefore, numerous research efforts are being devoted to reduce the high charge voltage derived from severe interfacial behavior, such as the adoption of noble metal as electrocatalysts (Ru,[162] Pd,[163] Au,[164]) and the design of efficient air electorde interface.[165-169] Nevertheless, these methods usually suffer from some undesired parasitic reactions (such as shuttle effect, electrolyte decomposition) and high cost. Despite these attempts, the high charge voltage (> 4.0 V) of solid-state Li-O₂ battery is still unfavorable.

In this study, we therefore focus on improving the electric energy efficiency of solid-state Li-O₂ batteries caused by large interfacial resistance. Based on the previous studies, it is suggested that the introduction of photocatalysis into aprotic Li-O₂ battery can effectively alleviate the overpotential issue since the captured solar energy can be used to reduce electric energy input.[170-172] Thanks to its better photo/thermal stability, the
integration of solar energy into solid-state Li-O₂ battery is an effective pathway to address the charge overpotential issue facing in solid-state Li-O₂ battery. On the other hand, integrating solar energy with solid-state Li-O₂ battery provides an inspirational avenue for efficient solar energy utilization. The solid-state Li-ion O₂ battery exhibits an ultralow charge voltage (2.08 V) and high energy efficiency (113%, based on output electric energy/input electric energy) under irradiation. Consequently, the conversion and storage of solar energy are simultaneously realized through this device, which also displays promising implementation in flexible electronic devices.

4.2 Experimental and characterization

4.2.1 Preparation of cathode

Synthesis of ZnS@CNT composite: The ZnS@CNT composite is prepared based on a modified method reported in previous paper. In detail, CNTs (sigma aldrich) are homogeneously dispersed in 40 ml of ethylene glycol solution (EG, >99.5% Wako Chemicals) using ultrasonication. Next, 0.25 mmol of zinc acetate (Wako Chemicals, 99%) and 0.25 mmol of thiourea (Wako Chemicals, 99%) were added into the above solution and stir for 1 h to blend uniformly. The resultant solution is subsequently transferred to a 50 ml autoclave and kept at 180°C for 6 h. After naturally cooling to room temperature, the obtained samples are collected by centrifugation, rinsed with deionized water and ethanol repeatedly to remove impurities. Finally, the sample is dried in air for over 12 h at 60°C.
The cathode is fabricated as follow: the obtained ZnS@CNT composite and polyvinylidene fluoride (PVDF) powder are mixed with a mass ratio of 9:1, and then dissolved in N-methyl pyrrolidine (NMP) solvent to form an uniform slurry. The slurry is coated on a carbon fiber paper of 7 mm in dia. Before using, the cathodes are dried in vacuum oven at 80 °C for 12 h.

4.2.2 Preparation of anode

To fabricate the lithiated Silicon (Li\textsubscript{x}Si) electrode, a half cell consists of Li metal anode and Si electrode cathode is assembled wherein the Li\textsuperscript{+} ions are electrochemically inserted into Si material\textsuperscript{[176]}. Firstly, the Si electrode is produced by blending the commercial Si powder (crystalline, ~ 50 nm, Alfa Aesar), super P carbon and polyacrylic acid binder with a ratio of 70 : 20 : 10 wt%. The resultant slurry is then pasted onto a Cu foil. The mass loading of Si electrodes is about 0.6 mg cm\textsuperscript{-2}. Prior to the battery assembly, the Si electrodes are vacuum dried in an oven at 80°C overnight. The electrolyte is prepared by dissolving 1 M Lithium bis(trifluoromethanesulfonyl)imide (LiTFSI, sigma Aldrich, 99.95%) in tetraglyme (G4, sigma aldrich, 99%) containing 5 wt% Fluoroethylene carbonate (FEC). Herein, FEC is applied as an additive to contribute the formation of solid electrolyte interphase (SEI) film on Si electrode surface. In the galvanostatic test, the Si electrode is lithiated through discharging Li ion half battery to 0.01 V at 200 mA g\textsuperscript{-1}. Figure S1 shows the initial discharge/charge curves at a current density of 200 mA g\textsuperscript{-1}, which corresponds to a good coulombic efficiency (84%). We disassembled this half cell, retrieved and rinsed the Li\textsubscript{x}Si electrode, which was then employed as anode for the solid-state Li-ion O\textsubscript{2} battery.

4.2.3 Battery assembly

All battery assembly are performed in the glovebox flow with Ar gas. The proposed solid Li-ion O\textsubscript{2} battery is constructed in a 2032 coin cell which has 7 holes on top, permitting the irradiation onto the ZnS photoelectrode. In this work, the solid electrolyte is comprised of 5 mol% LiTFSI dissolved in succinonitrile (SCN) which features as plastic-crystal form with a melting point of 65°C. The as-prepared
electrolyte presents as liquid state above 65 °C while becomes solid state below 65 °C. Thereby, the LiTFSI/SCN electrolyte is heated to 70 °C and becomes liquid state before using. The proposed photoassisted solid-state battery is assembled with a LiₓSi as anode, a separator (glass fiber) filled with electrolyte and a ZnS@CNT composite as air electrode and photoelectrode. The as-assembled Li-ion O₂ battery is put in a glass jar flowing with O₂ gas for 2 h before electrochemical measurements.

As to flexible solid-state battery, carbon textile instead of carbon paper, is chosen as the current collector. The fabrication of flexible battery is the same as described previously. After battery assembly, the flexible cell is attached on a cylindrical glass bottle to be fixed under bendable state.

4.2.4 Characterizations:

For the photo-electric conversion tests, a sunlight simulated machine employed for the irradiation is a XEF-501S Xe-lamp (Sanei Electric Co., Japan). Galvanostatic electrochemical tests were conducted on a Hokuto discharging/charging machine. The X-ray diffraction (XRD) measurements were conducted on a Bruker D8 diffractometer with Cu Ka (λ=1.54 Å) radiation. The chemical state of ZnS@CNT composites is investigated by using X-ray photoelectron spectroscopy (XPS, PHI 5000 VersaProbe), Scanning electron microscopy (SEM) images are observed via a Hitachi SU-8010. The thermogravimetric analysis (TGA) results are attained on BRUKER TG-DTA 2010SA-G4H. Transmission electron microscopy (TEM) images are visualized by a JEOL JEM-200CX. The Raman spectra measurement is performed on a JASCO microscope spectrometer (NRS-1000DT).

4.3 Results and Discussion

A proper photocatalyst is the prerequisite to realize the photo-driven charging strategy, which is required to fulfill two conditions: 1) The conduction band (CB) level of photocatalyst should lie lower than O₂/Li₂O₂ redox potential for compatibility with Li₂O₂ formation/decomposition (2.96 V vs Li/Li⁺, all potentials described in this work are referred to Li/Li⁺). 2) The valence band (VB) level should lie higher than the
O$_2$/Li$_2$O$_2$ couple potential to drive the chemical reaction between holes and Li$_2$O$_2$ products. Owing to the specific band positions (CB: 2.0 V, VB: 5.6 V), ZnS is chosen as an ideal photocatalyst to realize the solar-driven oxidation of Li$_2$O$_2$ and further reduce the high overvoltage in solid-state Li-O$_2$ batteries.$^{[177;}^{178]}$ Therefore, zinc sulfide@carbon nanotube (ZnS@CNT) composite serves not only as an oxygen electrode but also a photoelectrode in the battery. Before constructing the photoassisted solid battery, the electrochemical performance of ZnS was investigated to avoid any misunderstand in the photoassisted charge reactions. According to the discharge/charge profiles (as shown in Figure S1), it is demonstrated that ZnS presents negligible electrochemical activity, which can hardly contribute electrochemical capacity in this study.

On the other hand, lithium metal is attracted intense attention as anode since the guarantee for high energy density. However, it is problematic for practical application, such as the inferior Coulombic efficiency and Li dendrite formation during cycling. Fortunately, Silicon (Si) has emerged as a promising candidate for replacing Li anode because of its large theoretical capacity (4200 mAh g$^{-1}$). In this regard, replacing Li metal with Li$_x$Si anode would be a potential way for energy-density improvement as well as safety modification.$^{[179;}^{180]}$ Accordingly, owing to the enhanced capability of Si anode prior to lithium anode, the photoassisted solid-state battery is constructed with Li$_x$Si, which is attained by the electrochemical lithiation of commercial silicon material. The Li$_x$Si electrode delivers a favorable capacity of 3350 mAh g$^{-1}$ that is comparable to Li anode (3860 mAh g$^{-1}$) (Figure S2).

As designed, the proposed photoassisted solid-state battery is assembled with a Li$_x$Si anode, a plastic-crystalline solid electrolyte (LiTFSI/SCN) and a ZnS@CNT cathode, as illustrated in Fig. 4.1a. By utilizing ZnS photocatalyst, the solid-state Li-ion O$_2$ battery could be charged at an ultralow voltage of 2.08 V under irradiation. Such a voltage is even lower than the theoretical value (~2.86 V) with the Li$_x$Si/Si couple as counter and reference electrodes (seen in Figure 4.1b). The low charge voltage directly elevates electric energy efficiency to 113%. Compared with normal solid Li-O$_2$ batteries which typically show a charge voltage above 4.0 V, 50% of electric energy
can be saved thus realizing the electrical energy saving. The reduction on charge volatge support for our proposed mechanism, indicating the efficient absorption of solar energy. This is ascribe to the photoexcited electron-hole pairs contribute to the electrochemical reactions at both electrodes. Thus the photovoltage on ZnS photocatalyst is effectively employed to reduce the charge voltage input. As a result, the photo-driven charge strategy reveals as a feasible method to lower the charge voltage of solid-state Li-O2 batteries.

![Diagram](image)

Fig. 4.1 (a) The illustration of photoassisted solid-state Li-ion O2 battery. Magnified view: the photo-driven charge reaction at the solid electrolyte-electrode interface. (b) The comparison of charge voltages between conventional solid-state Li-O2 battery and photoassisted solid-state Li-ion O2 battery.

Fig. 4.2 illustrates the reaction mechanism for photo-driven solid-state Li-ion O2 battery. The discharge process is primarily similar with that of typical Li-O2 battery with Li2O2 as the discharge products, according to the following Equation (1):

\[ 2Li_2Si + xO_2 \rightarrow xLi_2O_2 + 2Si \]  

(1)

However, the charge process is relatively different, the magnified view in Fig. 4.1a gives more details for photo-driven Li2O2 oxidation at the solid electrolyte-electrode interface. Photoexcited electron-hole pairs would generated on ZnS upon excited by hv. Subsequently, the photogenerated holes would aid Li2O2 oxidation due to its highly oxidative reactivity. This reaction proceeds under the driving force between VB level of ZnS photocatalyst and O2/Li2O2 couple potential. At the same time, the photogenerated electrons in the CB would migrate to the anode along external circuit, aiding the Li+ ions insertion into Si, based on the following Equation(2), (3), and (4).
Photocatalyst $\xrightarrow{h\nu} e^- + h^+$ \hfill (2)

Cathode: $2h^+ + Li_2O_2 \rightarrow 2Li^+ + O_2$ \hfill (3)

Anode: $xe^- + xLi^+ + Si \rightarrow Li_xSi$ \hfill (4)

In the photo-driven charge pathway, the absorbed solar energy is used compensate the electrical energy input, thus resulting as the reduction on charge voltage. Based on the energy diagram, the electrons are elevated to higher energy level by using solar energy, and the ZnS photocatalyst enables the photoassisted solid-state Li-ion O$_2$ battery charged at 1.9 V in theoretical.

![Energy diagram for the solar battery integrated with a ZnS photoelectrode.](image)

After the battery assembly, a typically high charge voltage of 4.09 V is acquired at 0.026 mA cm$^{-2}$. In contrast, the charge voltage of solid-state Li-ion O$_2$ battery under irradiation is distinctly decreased to 2.08 V, as displayed in Fig. 4.3a. The resultant photoassisted charge voltage is in good agreement with theoretical speculation of 1.9 V, which is considered to potentially address the inherent defect in solid-state Li-O$_2$ batteries. Furthermore, the charge voltage (2.08 V) is nearly one times lower compared with conventional solid battery (> 4.0 V), and thereby largely improves energy conversion efficiency. The rate capability are exhibited in Fig. 4.3b and c. Although the profile trend on charge slightly rises because of the increasingly large interfacial resistance at high rate. While the resultant charge voltage is 2.5 V at 0.20 mA cm$^{-2}$.
which is much lower than that of conventional batteries. The cycling ability of both common and photo-driven solid-state Li-ion O₂ batteries were investigated to provide more comprehensive comparison.

![Graph showing charge/discharge profiles](image)

**Fig. 4.3** (a) The comparison on the charge/discharge profiles of the solid-state Li-ion O₂ battery with and without irradiation at 0.026 mA cm⁻². (b), (c) The rate capability of solid-state Li-ion O₂ battery at various current densities. (d), (e) The discharge and photoassisted charge profiles of the solid-state Li-ion O₂ battery at 0.026 mA cm⁻². Insert: The comparison on charge voltage between photoassisted and common solid Li-ion O₂ batteries.
As presented in Fig. 4.3d and e, the charge voltage of photoassisted solid-state battery are kept stable at ~ 2.2 V after 50 cycles. From the comparison with common solid-state Li-ion O\textsubscript{2} battery (inserted in Fig. 4.3d), the introduction of solar energy into solid-state Li-O\textsubscript{2} batteries can resolve the overvoltage issue and thereby elevate the electric energy efficiency, which indicates the pivotal role of photo-driven Li\textsubscript{2}O\textsubscript{2} oxidation for high battery reversibility.

More details for the discharge/charge products are presented as Raman spectra, which is obtained after discharge and photoassisted charge processes at 0.026 mA cm\textsuperscript{-2} for 1 h. As can be seen in Fig. 4.4a, the typical peak variation is well assigned to the formation/decomposition of Li\textsubscript{2}O\textsubscript{2} during discharge/charge. The results demonstrate that Li\textsubscript{2}O\textsubscript{2} dominates the main discharge product, which can be completely decomposed in the proposed photo-driven charge process. Additionally, the morphology of discharge/charge products are collected by transmission electron microscopy (TEM). Before discharge, it can be observed ZnS photocatalyst particles composed of fine grains, which is favorable for the photoexcited carriers transportation from inside to surface of ZnS photoelectrode, enhancing the photocatalysis activity. After discharge, instead of the classic toroidal-morphology of Li\textsubscript{2}O\textsubscript{2},\textsuperscript{[181; 182]} the layer products evenly covered on the surface of ZnS@CNT cathode can be clearly observed, which is similar to the previous results.\textsuperscript{[183]} The thin layer structure could provide plentiful active reaction sites for Li\textsubscript{2}O\textsubscript{2} particles and photogenerated holes, and contribute to the efficient Li\textsubscript{2}O\textsubscript{2} decomposition under illumination. Subsequently, the resultant thin layer completely disappears after photo-driven Li\textsubscript{2}O\textsubscript{2} oxidation process. This TEM result, together with Raman analysis, evidences the proposed photo-electrochemical reaction and the feasibility of photo-driven Li\textsubscript{2}O\textsubscript{2} oxidation strategy in solid Li-O\textsubscript{2} battery.\textsuperscript{[183]}
Fig. 4.4 (a) Ex-situ Raman spectra of cathodes analyzed at pristine, after discharge and after photoassisted charge processes. TEM images of cathodes observed at pristine (b), after discharge (c) and after photoassisted charge processes (d), scale bar is 100 nm.

Thanks to the high ionic conductivity and plasticity, the plastic-crystalline electrolyte could enable the proposed solid-state Li-O₂ battery to be potentially flexible. In order to verify its future application on flexible/wearable electronic devices, a bendable solid-state Li-O₂ battery is proposed and realized, as illustrate in Fig. 4.5a. The assembled battery can successfully power light-emitting-diode (LED) under externally bendable condition (Fig. 4.5b). As expected, the bendable cell presents a low charge plateau of 2.15 V under illumination and favorable cycling performance (Figure 5c and d), which well maintains the superior electrical energy efficiency under bending state. Upon charging at a high current density of 0.13 mA cm⁻², the battery voltage could be controlled within 2.48 V. It is noted such a voltage is still much lower than that of any other traditional Li-O₂ batteries without irradiation, as shown in Fig. 4.5e. Based on above results, this proposed solid-state Li-O₂ battery shows appealing potential on flexible/wearable electronic devices.
Fig. 4.5 (a) Schematic illustration of the proposed flexible photoassisted solid Li-ion O₂ battery. (b) Digital image of the assembled flexible solid Li-ion O₂ battery powering LED lamps. (c), (d) The discharge and photoassisted charge profiles of the flexible battery at 0.026 mA cm⁻². (e) The discharge profile of flexible photoassisted-solid battery at 0.026 mA cm⁻² for 10 h and photoassisted charge profile at 0.13 mA cm⁻² for 2 h.
4.4 Conclusions

Although solid-state Li-O₂ battery shows attractive improvement on safety, the lack of success should be ascribed to the sluggish reaction arising from solid-solid interface. In conclusion, a new energy conversion and storage device integrated solar energy with solid Li-O₂ battery is proposed and achieved. By employing a ZnS photocatalyst to capture solar energy, the charge voltage of solid Li-ion O₂ battery can be successfully decreased to 2.08 V, even lower than the discharge voltage. Such a low charge voltage directly leads to an ultrahigh energy efficiency of 113%, which is corresponding to electric energy saving of ~50%. Different from conventional solid Li-O₂ batteries, the proposed photo-driven device can output more electric electric energy (discharge/) than input (charge). This work validates an attractive strategy to solve the pressing challenge derived from large interfacial resistance in solid-state Li-O₂ battery. From a broader perspective, this work also demonstrates an attractive route to achieve solar energy conversion and storage in solid-state Li-O₂ battery.
Chapter 5 Conclusions

In summary, preliminary studies on Li-air batteries do suggest the promise to offer much higher gravimetric energy densities than currently achievable. In practical, several key obstacles must be resolved before the promise becomes a reality, such as high charge potential, low round-trip efficiency, poor cyclability and the safety issue arising from the organic electrolyte. Among these drawbacks, the high charge overpotential has been considered as the central problem. In this research work, we therefore focus on the charge overpotential issue and propose to integrate a photocatalyst into nonaqueous Li-O₂ batteries for absorbing solar energy. The primary conclusions are generalized as follows:

In Chapter 2, C₃N₄ photocatalyst was synthesized and used not only as a photoelectrode but also as an oxygen electrode for the oxygen reduction reaction. A photoassisted rechargeable Li-O₂ battery based on C₃N₄ photocatalyst coupled with LiI redox mediator has been successfully proposed and realized. In the photoassisted battery, during charging under solar illumination, the I⁻ ions in the electrolyte can readily be oxidized to I₃⁻ ions by photogenerated holes from C₃N₄ photocatalyst. Subsequently, the I₃⁻ ions would transfer to the air electrode side and decompose Li₂O₂ releasing Li⁺ ions and O₂. Meanwhile, freed from the Coulomb absorption of holes, photogenerated electrons from C₃N₄ photocatalyst move to the anode side reducing Li⁺ to Li thus completing the whole charge process. During this photo-oxidative reaction, both of the photoexcited electron-hole pairs participate the charge reaction, and thereby solar energy is captured by C₃N₄ photocatalyst and stored as electrochemical energy in the Li-O₂ battery. Namely, the photovoltage generated on the g-C₃N₄ photoelectrode compensates the required charge voltage. Finally, the charging voltage of Li-O₂ battery is decreased to 1.9 V, the lowest value for charge process to date, which is significantly lower than that of any other conventional Li–O₂ batteries. It is also noted that the charging voltage (~1.9 V) is even lower than the discharging voltage (~2.7 V), leading to an electric energy efficiency of 142%. This work efficiently addresses the charge
overpotential issue in nonaqueous Li-O₂ battery. Simultaneously, it provides a promising avenue to achieve solar energy conversion and storage in a high energy-density Li-O₂ battery.

Chapter 3: Substantial efforts have been devoted to reduce the charge overpotentials. In addition, photocharging rechargeable batteries reported to date have generally employ liquid redox mediators as either the electron–hole transfer agent or catholyte/anolyte. Although the redox mediators have become focal points in rechargeable Li-O₂ battery research for reducing the charge overpotential, serious shuttle effect and side reaction related to redox mediator have been discovered. This directly leads to the loss of both the RM content and electrical energy efficiency and displays as trend in increase of charge voltage and the deterioration of the cycling performance. This phenomenon has been further evidenced by FTIR measurement in our work. In this respect, removing the LiI redox mediator, an unmediated photoelectrochemical method is successfully demonstrated. We then construct a photoassisted Li-O₂ battery based on the direct photo-oxidative reaction of solid Li₂O₂ by photoexcited holes derived from the C₃N₄ photoelectrode. With the aid of solar energy, we here reduced the charge voltage of the Li–O₂ battery to 1.96 V without using any RM. The direct photo-oxidation reaction of Li₂O₂ products is illustrated by XRD and SEM measurements. We demonstrate an unmediated photoelectrochemical approach to effectively address the overpotential issue in Li–O₂ batteries. As a result, the electrical energy efficiency and the cycling stability of the Li -O₂ batteries were enhanced greatly by avoiding the side reaction. More importantly, owing to the poor photo/thermal stability of the liquid organic electrolyte under irradiation, all-solid-state batteries is regarded as an attractive alternative for photocharging energy storage systems. Our unmediated photoelectrochemical oxidation approach may provide a promising avenue for the further design of photocharging all-solid-state batteries. Through this work, we improve the shortcomings in our former work, meanwhile, guide a promising direction for the future development of photo-solid-state batteries.

Chapter 4: Although the solid-state Li-O₂ battery with high energy-density and
enhanced safety shows appealing potential for electric vehicles applications. It is well known that the challenge of high charge overpotential is even more serious on solid-state systems. The lack of success in solid-state Li-O_2 batteries is attributed to high charge overpotential derived from the solid-solid interface, which has become the main bottleneck for further advance of solid-state Li-O_2 battery. Based on our previous results, the incorporation of solar energy with solid-state Li-O_2 battery is applied and effectively decrease the charge voltage of solid-state Li-O_2 battery. Accordingly, a photo-assisted solid Li-O_2 battery is designed and fabricated. In this work, due to the suitable band positions, ZnS is chosen as the photocatalyst to realize the photo-driven solid-state Li-O_2 batteries. Finally, the ZnS@CNT composite is used as an oxygen electrode and a photoelectrode simultaneously. Additionally, instead of Li metal anode, lithiated silicon (Li_xSi) is employed as the anode for the safety improvement in the proposed solid-state Li-ion O_2 battery. Owing to the high ionic conductivity (~ 3 mS cm\(^{-1}\) at 25 °C) and plasticity, a 5 mol% LiTFSI dissolved in plastic-crystal form of succinonitrile (SCN) was utilized as the solid electrolyte. Under the assistance of photogenerated carriers, the rate-determining step of charge transfer for the Li_2O_2 oxidation is largely facilitated, enabling a favorable kinetics for oxygen evolution reaction at the solid-solid interface. The proposed photoassisted strategy endows the solid-state Li-O_2 battery with a low charge voltage of 2.08 V and high electric energy efficiency. The cyclability of common and photoassisted solid-state Li-ion O_2 batteries were both investigated at 0.026 mA cm\(^{-2}\). The charging voltage of photoassisted solid-state Li-ion O_2 battery can keep stable at around 2.2 V after 50 repeated cycles. Compared with solid-state Li-ion O_2 battery without illumination, the introduction of solar energy into solid-state Li-ion O_2 batteries can effectively address the overpotential issue arising from the large interfacial resistance.

In this article, the photoassisted charge strategy are integrated with three types of Li-O_2 batteries, that is, 1) Nonaqueous Li-O_2 battery with redox mediator, 2) Nonaqueous Li-O_2 battery 3) Solid-state Li-O_2 battery. Next, the application on each battery system would be discussed and assessed. For the Li-O_2 battery with redox mediator, taking LiI
as an example, it serves as the soluble agent transferring between Li$_2$O$_2$ and cathode. The photoassisted charge strategy coupled redox mediator with photocatalyst is validated to be effective on Li$_2$O$_2$ decomposition. Benefit from the solubility and diffusivity, the redox mediator can reach the interior of cathode, and thus promote the contact with both Li$_2$O$_2$ and photocatalyst. Different from immobile catalysts, the diffusible redox mediator is more capable to completely catalyze the oxidation of Li$_2$O$_2$. Despite these advantage of redox mediator, its detrimental effect of shuttle reaction is discovered. Accordingly, the unmediated photoassisted charge is proposed and achieved by designing a proper coating structure of air electrode. Thus the photoassisted charge is applied on simple Li-O$_2$ battery due to the absence of redox mediator. The battery performance has been boosted by stabilizing the component. The simplified device also implies more application potential from views of cost and stability. For the photoassisted charge strategy, replacing organic liquid electrolyte with solid electrolyte can improve safety from the root, because the solid electrolyte shows negligible thermal instability under illumination. Integration of solar energy with solid Li-O$_2$ battery would be more attractive compared with aprotic Li-O$_2$ batteries due to the favorable safety, which is the critical factor for practical implementation.

Although promising, to fully develop the photo-charge energy storage systems, challenges will be to achieve real scientific and technological breakthroughs in energy density improvement, stable electrolytes discoveries and operation feasibility. Substantial research efforts are still required. Last but not the least, a long-standing hurdle is how to transform a Li-O$_2$ battery to a ‘real’ Li-air battery, which can be operated in the surrounding air. Through the efficient combination with solar energy, we are confident to realize a high-efficiency lithium–air batteries with long-lived practice application in future.
List of research results

**JOURNAL PAPER**

First author


Co-authored


**PRESENTATION**

Acknowledgments

The scenes that, for the first time, I come to Japan and start the Ph.D. study in University of Tsukuba is just happened yesterday. At this very moment, I am about to graduate and obtain the doctoral degree. This would become the most important and memorable moment in my whole life. I owe my gratitude to all those people who have given me help, guidance and encourage and because of whom my doctoral career has been the most valuable wealth.

First and Foremost, I would like to express my sincere gratitude to Prof. Haoshen Zhou. Under his assistance, I gained the opportunity to pursue a Ph.D. degree in University of Tsukuba. His encouragement and guidance help me walked through all the stages of my Ph.D. study. He could always give me instructive advice and useful suggestions on my research, which means a lot to me. What impressed me most is his strict research attitude and creative thought. It is very lucky to study with such a knowledgeable and approachable teacher during Ph.D. period.

I would like to express my sincere thanks to my vice-supervisor Prof. Masayoshi Ishida and Assistant Prof. Hanada at University of Tsukuba. They are quite affable and patient people. They gave me unselfish assistance in my daily life and research work when I need help. I have enjoyed a good time when joining the party in Ishida’s lab. I am really pleased for their enthusiastic invitations. Special gratitude is expressed to Prof. Keiichi Okajima and Associate Prof. Hirohsa Aki for their encouragement and kind help.

My heartfelt gratitude goes to Mr. J. Okagaki, Dr. H. Kitaura, Dr. E. Hosono, Dr. H. Matsuda and Dr. T. Saito in Energy Interface Technology Research Group at AIST. I want to express the special thanks to Dr. E. Yoo, for her nice help and suggestion for my graduation defense.

I would further like to express my special appreciation to Dr. Na Li and Dr. Jin Yi. I learned a lot experimental techniques and theoretical knowledge from Dr. Na Li. She is
the people to help me enter the research field of Li-O₂ batteries. I will always remember her kindness, guidance and strongly support. Dr. Jin Yi share many invaluable suggestions and experiences on improving the experiment results and making a good manuscript. His patience and useful advice assist me overcome a series of difficulties in scientific research.

Many thanks to Dr. Shichao Wu and he taught me many basic experiment technique, from making electrode for Li-O₂ battery to fabricating batteries and evaluating the battery performance. I really appreciate Dr. Kaiming Liao for the always useful information. I also feel grateful to Mr. Yu Qiao, who often provides help on Raman measurements. Additionally the discussion on the research study with Dr. Shichao Wu and Mr. Yu Qiao often inspired me and achieved lots of improvements. My sincere thanks would go to Dr. Kai Zhu, Dr. S. Bai and Ms. Q. Li. We almost come to Japan at same time and build deep friendship during the last three years. Many thanks to my dear friends and lab mates Dr. Shaohua. Guo Dr. Fujun Li and Dr. Xizheng Liu, Dr. Tao. Zhang, Dr. Yang. Sun, Dr. yarong Wang, Miss Yibo He and Mr. Xiang Li.

Last but not least, I would like to especially thank my family. To my husband- I feel very happy and grateful to be with you. We will make progress together both in life and scientific research. To my younger sister- Thanks for your concern and miss. To my parents- I am very lucky and happy to be your daughter and I will try my best to make you happy. Words alone cannot express how I appreciate for your encouragement and love.
References


[18] Bouchet R, Lascaud S, Rosso M. An EIS study of the anode Li/PEO-LiTFSI of a


[73] Sun B, Huang X, Chen S, et al. An optimized LiNO3/DMSO electrolyte for high-


interfaces, 2016, 8(12): 7756-7765.


[100] Mo Y, Ong S P, Ceder G. First-principles study of the oxygen evolution reaction


[125] Ohno T, Mitsui T, Matsumura M. Photocatalytic activity of S-doped TiO2


