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## The role of gut microbiota in health and disease

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*Published in:*  
 Anaerobe

*DOI:*  
[10.1016/j.anaerobe.2017.01.001](https://doi.org/10.1016/j.anaerobe.2017.01.001)

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*Document Version*  
Final author's version (accepted by publisher, after peer review)

*Publication date:*  
2017

[Link to publication in University of Groningen/UMCG research database](#)

*Citation for published version (APA):*

von Martels, J. Z. H., Sadabad, M. S., Bourgonje, A. R., Blokzijl, T., Dijkstra, G., Faber, K. N., & Harmsen, H. J. M. (2017). The role of gut microbiota in health and disease: In vitro modeling of host-microbe interactions at the aerobe-anaerobe interphase of the human gut. *Anaerobe*, 44, 3-12. DOI: 10.1016/j.anaerobe.2017.01.001

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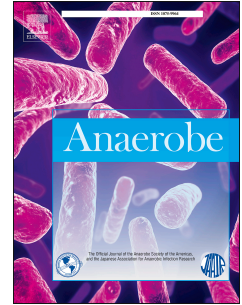
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# Accepted Manuscript

The role of gut microbiota in health and disease: *In vitro* modeling of host-microbe interactions at the aerobe-anaerobe interphase of the human gut

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PII: S1075-9964(17)30001-X

DOI: [10.1016/j.anaerobe.2017.01.001](https://doi.org/10.1016/j.anaerobe.2017.01.001)

Reference: YANAE 1662

To appear in: *Anaerobe*

Received Date: 3 October 2016

Revised Date: 16 December 2016

Accepted Date: 2 January 2017

Please cite this article as: von Martels JZH, Sadaghian Sadabad M, Bourgonje AR, Blokzijl T, Dijkstra G, Faber KN, Harmsen HJM, The role of gut microbiota in health and disease: *In vitro* modeling of host-microbe interactions at the aerobe-anaerobe interphase of the human gut, *Anaerobe* (2017), doi: 10.1016/j.anaerobe.2017.01.001.

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2 **The role of gut microbiota in health and disease: *In vitro* modeling of host-microbe**  
3 **interactions at the aerobe-anaerobe interphase of the human gut**  
4

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14 **Key words:**

- 15 - Anaerobic gut bacteria  
16 - Human intestinal epithelium  
17 - Co-culture system  
18 - Host-microbe interactions  
19 - Transwell co-culture  
20 - Host-Microbiota Interaction (HMI) module  
21 - Human oxygen-Bacteria anaerobic (HoxBan) system  
22 - The human gut-on-a-chip  
23 - HuMiX model  
24

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30

31 **Highlights:**

- 32 - Gut microbiota play an essential role in human health.  
33 - Anaerobic bacteria form the major part of the gut microbiota.  
34 - The oxygen-sensitivity of anaerobes hinders interaction studies with oxygen-  
35 requiring epithelial cells.  
36 - Host-anaerobe interaction models enable detailed study of this interplay.  
37 - The gut microbiome is an attractive target to modify to improve human health.  
38

39 **Conflict of interest:**

40 HJMH received research funds for MSS from DSM and received a research grant from  
41 Chr. Hansen. GD, KNF and JZHVM have no conflict of interest to declare.  
42

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## 64 **Abstract**

65 The microbiota of the gut has many crucial functions in human health. Dysbiosis of the  
66 microbiota has been correlated to a large and still increasing number of diseases. Recent  
67 studies have mostly focused on analyzing the associations between disease and an  
68 aberrant microbiota composition. Functional studies using (*in vitro*) gut models are  
69 required to investigate the precise interactions that occur between specific bacteria (or  
70 bacterial mixtures) and gut epithelial cells. As most gut bacteria are obligate or  
71 facultative anaerobes, studying their effect on oxygen-requiring human gut epithelial  
72 cells is technically challenging. Still, several (anaerobic) bacterial-epithelial co-culture  
73 systems have recently been developed that mimic host-microbe interactions occurring in  
74 the human gut, including 1) the Transwell “apical anaerobic model of the intestinal  
75 epithelial barrier”, 2) the Host-Microbiota Interaction (HMI) module, 3) the “Human  
76 oxygen-Bacteria anaerobic” (HoxBan) system, 4) the human gut-on-a-chip and 5) the  
77 HuMiX model. This review discusses the role of gut microbiota in health and disease and  
78 gives an overview of the characteristics and applications of these novel host-microbe co-  
79 culture systems.

80

## 81 **Introduction**

82 Anaerobic gut bacteria play a pivotal role in human health and disease, most of which are  
83 strict/obligate anaerobes. Due to the oxygen-sensitivity of these bacteria, it is technically  
84 challenging to study their interaction with oxygen-requiring gut epithelial cells *in vitro*.  
85 Although many of the bacteria can survive oxygen by mechanisms such as sporulation;  
86 oxygen-free conditions are required for the anaerobic bacteria to grow. <sup>1</sup> Recently, a

87 number of different anaerobe-epithelial co-culture systems have been developed. These  
88 co-culture systems allow research of both aerobic (i.e. epithelial) cells and specific strains  
89 of anaerobic bacteria within one system. Development of representative co-culture  
90 systems that can mimic the gastrointestinal ecosystem are valuable tools to study host-  
91 microbiota interactions in detail at the mechanistic level. This review will first discuss the  
92 role of the human gut microbiota in health and (gut-related) diseases. Secondly, the  
93 relevance and the applications of the currently-available anaerobe-epithelial co-culture  
94 systems will be discussed.

95

96

### 97 **1. The role of the gut microbiota**

98 The human gut contains a wide variety of different microorganisms. Bacteria, viruses,  
99 archaea, yeast and fungi colonize the bowel.<sup>2</sup> The bacterial part of the microbiota is the  
100 most studied and best described of these different microorganisms.<sup>3</sup> The trillions of  
101 bacteria that inhabit the gut of each individual belong to hundreds of different species.<sup>4,5</sup>  
102 The composition of the gut microbiota is highly dynamic and different for each human  
103 individual and changes during the course of life.<sup>6</sup> The bacterial phyla Bacteroidetes and  
104 Firmicutes are the most prevalent in adults and together they form the majority of the gut  
105 bacteria.<sup>4,5</sup> The microbiota in the gut has many crucial functions in human health and  
106 affects the host via different host-microbiota interaction pathways.<sup>7-9</sup> For example,  
107 intestinal microbiota enable fermentation of complex non-digestible carbohydrates and  
108 produce short-chain fatty acids (SCFAs), such as acetate, propionate and butyrate.<sup>10,11</sup>  
109 Several anaerobic bacteria that dominate a healthy gut, such as *Faecalibacterium*

110 *prausnitzii* and *Roseburia* species, are major butyrate producers.<sup>12,13</sup> Butyrate is known  
111 to be an important energy source for colonocytes, and is suggested to enhance intestinal  
112 barrier function.<sup>14</sup> Moreover, butyrate is known to possess anti-inflammatory properties  
113 and even possible anti-cancer effects.<sup>10-12,15</sup> In addition, the ‘healthy gut microbiome’  
114 plays an important role in the development of a balanced immune-system. A certain level  
115 of immunological tolerance exists for the intraluminal bacteria in a healthy gut. Extensive  
116 profiling of the human gut microbiome has shown that several common diseases are  
117 associated with “dysbiosis” of the gut microbiota. The term dysbiosis is often used to  
118 describe a disturbed balance between ‘beneficial’ bacteria with anti-inflammatory  
119 properties and pathobionts with pro-inflammatory properties. Moreover, many diseases  
120 are associated with a decreased diversity of the gut microbiota.<sup>16-18</sup>

121

122 For the majority of diseases it remains unclear to which extent the dysbiosis is the cause  
123 or the consequence of the disease and/or treatment.<sup>19</sup> This issue is further complicated by  
124 the fact that many studies investigate the bacterial composition of the fecal material,  
125 which may significantly differ from the bacterial composition attached to the mucosa  
126 (mucosa-associated microbiota, MAM) that may be more directly related to the actual  
127 disease development.<sup>20</sup> Moreover, the bacterial composition and abundance vary  
128 between different parts of the gastrointestinal tract.

129

130 It is well established that the two major forms of inflammatory bowel disease (IBD) –  
131 Crohn’s disease (CD) and ulcerative colitis (UC) – are associated with alterations of the  
132 microbiota.<sup>21,22</sup> In both diseases, there is an inappropriate mucosal immune response

133 triggered by the commensal microbiota in a genetically predisposed host.<sup>23-27</sup> Changes in  
134 the gut microbiome seem more apparent in CD than in UC.<sup>28,29</sup> Also, CD patients show a  
135 less diverse microbiota profile than healthy individuals.<sup>30,31</sup> Typically, a decrease in  
136 abundance of *Bacteroides* and Firmicutes is detected, together with an increase in  
137 proteobacteria and fusobacteria.<sup>22</sup> A consistent observation is a decreased abundance of  
138 butyrate-producing *F. prausnitzii* and an increased number of Adherent-invasive  
139 *Escherichia coli* (AIEC) in CD patients.<sup>22,32-36</sup> In addition, an increase of the mucin-  
140 degrading bacterium *Ruminococcus gnavus* has been described.<sup>21</sup> CD patients with  
141 higher numbers of pathobionts, such as *E. coli*, and lower proportions of *F. prausnitzii*  
142 have an increased risk of endoscopic recurrent disease after ileal/ileocecal resection.<sup>37,38</sup>  
143 Furthermore, CD patients with the lowest abundance of *F. prausnitzii* often have a less  
144 favorable disease course, with worse disease scores and elevated inflammatory markers.  
145<sup>39</sup> In line with these observations, the abundance of *F. prausnitzii* may even function as a  
146 biomarker for predicting disease course in CD patients.<sup>40,41</sup>

147

148 Another example of a disease in which an aberrant microbiota composition is observed is  
149 celiac disease. In the duodenum of these patients typically an increase in Bacteroidetes is  
150 detected.<sup>42-45</sup> Also, an association between the gut microbiome and the development and  
151 the progression of intestinal cancer has been described.<sup>46,47</sup> Recent evidence suggests a  
152 relationship between aberrant intestinal microbiota and non-gastrointestinal disorders. It  
153 is increasingly recognized that common metabolic diseases, such as obesity and type 2  
154 diabetes mellitus, are associated with an altered microbiota composition.<sup>48-51</sup> For  
155 instance, a recent study shows that a relatively high abundance of *Akkermansia*



156 *muciniphila* is associated with a healthier metabolic status.<sup>51</sup> Finally, associations  
157 between an altered microbiota composition and neurologic or psychiatric diseases, such  
158 as anxiety, depression and autism are described.<sup>52,53</sup>

159

160 The composition of the gut microbiota is dynamic, complex, and is influenced by both  
161 non-adjustable factors, such as age and geographical location, and adjustable factors, like  
162 diet and medication.<sup>54-56</sup> The strong link between aberrant microbiota with several  
163 common diseases, and the possibility to reshape its composition, makes the microbiota an  
164 attractive target for health improvement.<sup>56,57</sup> As a result of a dysbiotic state of the  
165 intestinal bacteria, host functions, such as the epithelial barrier and an adequate immune  
166 response may be compromised.

167

168 It is apparent that dietary interventions have a strong effect on microbiota composition.  
169<sup>58,59</sup> The western diet, characterized by high sugar and fat content and low amounts of  
170 dietary fiber, has adverse effects on the microbiota composition, especially in the context  
171 of IBD.<sup>60,61</sup> Certain probiotic (living microorganisms) and prebiotic (non-digestible  
172 polysaccharides) supplements can be used to alter the microbiota composition.<sup>62-65</sup>  
173 Moreover, different types of medication have adverse effects on the microbiota  
174 composition. For example, treatment of bacterial infections with antibiotic drugs is  
175 common in modern medicine. However, these drugs should be prescribed in a  
176 conservative way, because of the profound effect of these drugs on the microbiota  
177 composition.<sup>66-68</sup> Similarly, chemotherapeutic agents may have an even more detrimental  
178 effect on the microbiota, with dramatic reductions in the number of anaerobic bacteria.

179 <sup>69,70</sup> Also, a recent study, combining the data of three large Dutch cohorts, shows that  
180 proton pump inhibitors (PPI's) negatively modify the microbiota and predispose to  
181 *Clostridium difficile* infection. <sup>71</sup> 'Improving' the composition of the gut microbiota is  
182 therefore a promising target for the treatment of many diseases. For *C. difficile* infection,  
183 fecal microbiota transplantation (FMT) has already been shown to be an effective and  
184 highly successful treatment. <sup>72,73</sup> However, FMT has shown to be less promising for IBD  
185 patients. <sup>74</sup> Moreover, FMT has several risks, such as potential transmission of viruses.  
186 Also the long-term effects of this treatment are not fully determined yet. Multiple studies  
187 have evaluated the effect of prebiotic and probiotic interventions in IBD. In this review  
188 we will only discuss a selection of important studies performed in this area. <sup>75</sup>

189

190 In UC the role of the probiotic supplement VSL#3 was evaluated. This supplement is a  
191 probiotic mixture, consisting of four strains of *Lactobacillus*, three strains of  
192 *Bifidobacterium* and one strain of *Streptococcus salivarius* subsp. *thermophilus*. VSL#3  
193 intake results in an increase of 'protective' bacteria and may help to prevent a flare-up of  
194 intestinal inflammation. <sup>76</sup> Indeed, a recent meta-analysis revealed that VSL#3, when  
195 added to conventional therapy, improves remission rates in mild to moderate active UC.  
196 In a similar way, this probiotic mixture enhanced remission in chronic pouchitis patients.  
197 <sup>77,78</sup> Also in CD, the other major form of IBD, different dietary interventions (i.e. pre- and  
198 probiotics) aiming to modify the microbiota composition have been performed. The  
199 clinical trials with pre- and probiotics can be considered as rather opportunistic as they  
200 test the "known suspects" for their therapeutic potential. However, in many cases the  
201 results of such clinical trials are inconsistent. <sup>79</sup> Numerous factors, such as interindividual

202 genetic variation and differences in environmental circumstances, are frequently  
203 encountered in prospective human studies. Of course, these factors influence the outcome  
204 of these intervention studies, and may compromise the reliability of the findings.  
205 Considering the ethical issues and high costs associated with such clinical trials, it would  
206 be of immense value when the potential therapeutic effects of pre- and probiotics could  
207 be analyzed in a controlled and reproducible manner. Gnotobiotic animals, such as germ-  
208 free mice, seem to be an attractive model between human clinical studies and *in vitro*  
209 models.<sup>80,81</sup> Advantages of these germ-free mice consist of a controllable host  
210 environment and the opportunity to investigate specific bacterial contributions. However,  
211 in recent years, many *in vitro* gut systems have undergone great technological  
212 improvements and increasingly become more representative of the *in vivo* situation.  
213 These improvements in *in vitro* gut models will likely result in increased usage of these  
214 systems, for instance as a screening tool for dietary interventions.<sup>34,82-85</sup>

215

216

217

## 218 **2. Gastrointestinal *in vitro* model systems**

219 Studies that establish an association between a specific microbiota composition and a  
220 disease phenotype provide incomplete information about possible underlying  
221 mechanisms.<sup>86</sup> *In vitro* studies are often required to give more mechanistic insight. The  
222 complex interactions between human gut microbiota, epithelial cells and immune cells  
223 are difficult to mimic in *in vitro* models, and also other factors, such as variable oxygen  
224 levels and gut peristalsis should be included. A major advantage of *in vitro* models is that  
225 they can be tightly controlled under reproducible conditions. Also, they allow detailed

226 mechanistic analysis; have limited ethical restraints and require no expensive and time-  
227 consuming ethical approval procedures (as required for human clinical trials or animal  
228 studies). Furthermore, since pharmaceutical procedures and dietary research usually take  
229 many years, a representative *in vitro* model may considerably accelerate these procedures.  
230 Altogether, this makes the development of *in vitro* models that closely resemble the  
231 conditions in the gastrointestinal tract highly relevant.

232

233 Exactly mimicking the gastrointestinal situation *in vitro* seems hardly possible; some  
234 parameters will typically be omitted in the development of a model that is suitable to  
235 answer specific questions. Thus, the research questions to be answered largely determine  
236 which *in vitro* model is most appropriate to use, although all currently available systems  
237 have their specific limitations as well. Ideally, the *in vitro* model should allow the  
238 analysis of the direct interactions between host cells and microbes, as it exists in the gut.  
239 Direct host-microbe interactions may be more relevant in the small intestine, with a rather  
240 thin mucus layer compared to the colon where the much thicker mucus layer is a more  
241 prominent physical barrier. The gut lumen is almost completely anaerobic. Only minute  
242 amounts of oxygen will penetrate from the epithelium into the lumen. Thus, the gut  
243 microbiome consists of facultative and (predominantly) strict anaerobic bacteria. An *in*  
244 *vitro* model of the gut therefore preferably establishes true anaerobic conditions for the  
245 microbes, while the host cells are cultured under aerobic conditions. Ideally, an *in vitro*  
246 gut model allows the analysis of parameters that differentiate between health and disease,  
247 as well as the effect of (dietary) interventions. Host parameters that are considered to be  
248 important are cell viability, proliferation and differentiation, epithelial permeability

249 (barrier function) and cytokine production. On the luminal side, microbial parameters,  
250 such as bacterial fitness, bacterial composition, substrate utilization and metabolite  
251 production (such as SCFAs) are important to analyze. The currently available *in vitro*  
252 models of the human gastrointestinal tract are discussed in the following sections. These  
253 models can be divided into models that enable the study of isolated components of the  
254 gut ecosystem, such as gut epithelium cells and mucosa (**section 2.1**) or models that study  
255 the gut microbiota in isolation (**section 2.2**). However, to truly mimic the mutual  
256 communication between human gut (epithelial) cells and the gut bacteria, systems are  
257 needed that allow co-culturing of both in one system, which are reviewed in **section 2.3**.

258

## 259 **2.1 Models for gut epithelium and mucosa**

260 Intestinal cell lines, such as Caco-2, HT-29, T-84 and DLD-1, are frequently used as  
261 representatives of the human gastrointestinal epithelium, however, they originate from  
262 gastrointestinal tumors. Their true epithelial characteristics are often compromised. Still,  
263 epithelial cell lines can be used in Ussing chamber experiments, in which properties like  
264 transport of substances and permeability through the epithelial cell layer can be assessed.  
265 Intestinal explants have the advantage that the integrity of the intestinal mucosa layer  
266 remains intact.<sup>87,88</sup> Also, precision-cut intestinal tissue slices (PCIS) are an *ex vivo* model  
267 used for drug metabolism studies.<sup>89,90</sup> All cell types from the gut are present in PCIS and  
268 this model also allows study of diseased tissue.<sup>91</sup> More recently, intestinal organoids or  
269 ‘mini guts’ are being established as models of the human intestinal epithelium that  
270 contain all main types of epithelial cells, e.g. enterocytes, goblet cells, enteroendocrine  
271 cells and Paneth cells.<sup>92</sup> These gut organoids can be grown *in vitro* from resident stem

272 cells in the gut and remain genetically stable in culture for many cell divisions (over  
273 months to years).<sup>93</sup> Also, the gut organoids maintain their location-specific  
274 characteristics, so a differentiation can be made between colonic, ileal, jejunal and  
275 duodenal primary human intestinal epithelium.<sup>94</sup> Models using epithelial cells can be  
276 exposed to bacteria or bacterial extracts or products secreted by bacteria. However, this is  
277 different from a co-culture device, in which different cell types are grown (and remain  
278 viable) for a certain time period. Also, a potential effect of the epithelial cells towards the  
279 bacteria cannot be studied in such a cell model system.

280

## 281 **2.2 Models for gut bacteria**

282 Examples of systems that are used to study the human gut microbiota in isolation are the  
283 TNO dynamic *in vitro* model of the human large intestine (TIM-2), the Simulator of the  
284 Human Intestinal Microbial Ecosystem (SHIME), the “Three stage continuous culture  
285 system”, the Lacroix model and the fecal minibioreactor arrays (MBRAs).<sup>95-98</sup> The TIM-  
286 2 is designed to simulate the conditions found in the proximal colon.<sup>99</sup> Accumulation of  
287 metabolites in the lumen is prevented by constant and active removal of these metabolites  
288 by means of a dialysis system. In addition, peristalsis, temperature and pH are controlled  
289 in this system to mimic the *in vivo* human situation. The TIM-2 system allows for the  
290 analysis of fermentation patterns and effects of prebiotic and probiotic supplement intake  
291 on microbial composition.<sup>100-105</sup> The SHIME contains five connected vessels that are  
292 designed to closely mimic the bacterial compartment of the gastrointestinal tract of an  
293 adult human.<sup>106</sup> Each reactor simulates a different part of the GI-tract: stomach, small  
294 intestine, ascending colon, transverse colon and descending colon. In this model, the

295 'intraluminal content' is continuously stirred and pH-controlled. In addition, pancreatic  
296 enzymes and bile are added to more closely resemble the *in vivo* situation. In this model  
297 the fermentation patterns of four polysaccharides were shown to be similar to the  
298 fermentation pattern that occurs *in vivo*.<sup>107</sup> The SHIME is relevant for intervention  
299 studies, such as supplementation studies of different probiotic strains or prebiotics.<sup>108-110</sup>  
300 The "Three stage continuous culture system" comprises three culture vessels, simulating  
301 the ascending, transverse and descending colon. This system simulates the nutritional and  
302 environmental conditions in the human large intestine. Oxygen-free conditions, pH  
303 control and transit time closely resemble the *in vivo* situation.<sup>95,111,112</sup> The Lacroix model  
304 is also a three stage continuous culture system, which uses immobilized fecal microbiota  
305 and is used to simulate fermentation of the infant colon.<sup>97,113</sup> Finally, the fecal  
306 minibioreactor array (MBRA) is another *in vitro* system used to cultivate and investigate  
307 fecal microbiota communities. In these bioreactors, consisting of six single vessel  
308 chambers in an anaerobic chamber, the diluted feces of multiple human donors is used. In  
309 one study this system is used to test competition between different ribotypes of *C.*  
310 *difficile*.<sup>98</sup>

311

312 The systems described above may generate valuable information about the response of  
313 the gut mucosa to bacterial (products) or direct effects of nutritional factors to the  
314 composition of the gut microbiota. However, they do not allow the analysis of the mutual  
315 communication between the gut bacteria and the intestinal epithelium or simulate disease  
316 conditions of the host. For such systems, an additional barrier needs to be taken and that

317 is to co-culture bacteria under anaerobic conditions while gut (epithelial) cells are  
318 provided with sufficient oxygen.

319

### 320 **2.3 Models for gut host-microbe interactions**

321 An *in vitro* gut host-microbe co-culture system would have many advantages for  
322 unraveling the direct role of gut bacteria in intestinal health, provided that it is robust and  
323 truly simulates the gut ecosystem. A schematic figure of the host-microbe interaction at  
324 the aerobe-anaerobe interphase is shown in **Figure 1A**. Below, we give a concise  
325 overview of recently developed systems that enable the co-culture of (anaerobic) gut  
326 bacteria and (oxygen-requiring) epithelial cells (also see **Table 1** for a comparative  
327 overview).

328 **I)** Transwell co-culture models are examples of systems that are used to study cell-cell  
329 interaction. These Transwell co-culture systems seem to be particular useful to study the  
330 interaction between bacteria, mucosal immune cells and intestinal epithelial cells under  
331 static conditions, but are more frequently used under aerobic conditions.<sup>114-116</sup> Recently,  
332 a custom-made variant of such a Transwell co-culture system was developed that allows  
333 the analysis of host-microbe interactions between oxygen-requiring Caco-2 cells and  
334 anaerobic *F. prausnitzii* bacteria for up to 8 h.<sup>117</sup> The Transwell '**apical anaerobic**  
335 **model of the intestinal epithelial barrier**' chamber (see **Figure 1B**) contains oxygen-  
336 containing medium in the bottom compartment. Caco-2 cells pre-grown on the filter of an  
337 insert are placed in the chamber. Subsequently, anaerobic culture medium, with or  
338 without *F. prausnitzii*, is added in the insert allowing direct contact with the Caco-2 cells.  
339 After this, the whole system is placed in an anaerobic workstation. Dissolved oxygen



340 levels remained high in the bottom compartment and low in the upper compartment over  
341 a 12 h incubation period. *F. prausnitzii* bacteria pre-grown to stationary phase were  
342 added in anaerobic host cell culture medium (M199) to the upper compartment. The  
343 number of viable *F. prausnitzii* remained relatively stable, but still dropped  
344 approximately 10-fold after an 8 h co-culture period with Caco-2 cells. In comparison,  
345 viability of *F. prausnitzii* dropped over 10,000-fold when cultured for 30 min in oxygen-  
346 containing M199. During 8 h of co-culturing, Caco-2-dependent transepithelial electrical  
347 resistance (TEER) was slightly enhanced by *F. prausnitzii* compared to control  
348 conditions without bacteria. The <sup>3</sup>H-mannitol flux across the Caco-2 monolayer was not  
349 affected by *F. prausnitzii* during the first 6 h of co-culture, after which it increased in  
350 comparison to control conditions without bacteria. Global gene expression analysis of  
351 Caco-2 cells exposed for 4 h to either live or UV-killed *F. prausnitzii* revealed that live  
352 bacteria suppress cellular pathways involved in inflammatory response and immune cell  
353 trafficking much stronger than dead bacteria. The most pronounced findings were the  
354 increase in IL-10 and a decrease in NF-κB signaling. Thus, the ‘apical anaerobic model  
355 of the intestinal epithelial barrier’ maintains (sufficient) viability of host cells and  
356 microbes for up to 8 h, allowing real time measurements of TEER. In addition, it shows  
357 that the metabolic activity of *F. prausnitzii* is required to acquire its maximum anti-  
358 inflammatory capacity.

359 **II) The Host-Microbiota Interaction (HMI<sup>TM</sup>) module** is a custom-made co-culture  
360 system consisting of two compartments, a “luminal” compartment containing gut bacteria  
361 and a “host” compartment containing the “enterocytes”, e.g. Caco-2 cells (see **Figure**  
362 **1C**).<sup>118</sup> An important difference with the above-described Transwell co-culture system is

363 that these two compartments have (semi-)continuous flow of fluid and are separated by a  
364 functional double layer (a semi-permeable membrane and an artificially added mucus  
365 layer). The HMI module was designed to be connected to an adapted version of the  
366 SHIME, containing only the first 3 reactors that simulate the stomach, the small intestine  
367 and the ascending colon. The SHIME was inoculated with a fecal sample of a healthy  
368 individual and after passing the 3 reactors the effluent, consisting of a complex mixture  
369 of intestinal bacteria, flows through the “luminal” compartment of the HMI module. The  
370 “host” compartment containing Caco-2 cells receives semi-continuous flow of cell  
371 culture medium in the opposite direction. The separating layer (semi-permeable  
372 polyamide membrane with 0.2- $\mu\text{m}$  pore size coated with a mucus layer) was shown to be  
373 permeable for FITC-dextran of up to 150 kDa in size, but obviously does not allow direct  
374 interaction between bacteria and host cells. In this co-culture system, important features  
375 of the gastrointestinal tract, such as shear stress, permeability, oxygen diffusion and the  
376 possibility of the microbiota to colonize the mucus layer are taken into account to closely  
377 mimic the human *in vivo* situation. In addition, a dietary intervention using the dried  
378 fermentation products of baker’s yeast (*Saccharomyces cerevisiae*) was studied in this  
379 system. Caco-2 cells appeared very sensitive to direct exposure to the effluent of the  
380 adapted SHIME leading to a 80% reduction in cell viability after 2 h. In contrast, Caco-2  
381 cells remained viable for up to 48 h when cultured in the HMI module downstream of the  
382 SHIME. The SHIME-HMI combined system was used to study the effect on the luminal  
383 and mucosa-associated microbiota, as well as on Caco-2-mediated cytokine production  
384 upon treatment with fermentation products of *S. cerevisiae*. The presence of Caco-2 cells  
385 in the HMI module did not strongly affect the number and relative abundance of different

386 bacterial groups in the luminal samples, although a consistent trend of reduced bacterial  
387 numbers was observed in time (comparing 0, 24 and 48 h co-culturing). The treatment  
388 with *S. cerevisiae* fermentation products significantly enhanced the levels of SCFAs in  
389 the SHIME effluent entering the HMI module. Remarkably, this was associated with a  
390 lower total number of luminal bacteria, similar for all four groups tested. Passing the *S.*  
391 *cerevisiae*-treated effluent through the Caco-2-containing HMI module resulted in a  
392 significant increase in the abundance of luminal Bacteroidetes, Firmicutes and  
393 bifidobacteria. Interestingly, Caco-2 cells produced significant amounts of pro-  
394 inflammatory IL-8 at the end of the 48 h co-culturing with the normal SHIME effluent,  
395 which was completely suppressed by the treatment with *S. cerevisiae* fermentation  
396 products, indicating an anti-inflammatory response induced by this “intervention”. This is  
397 in line with immune modulating / anti-inflammatory properties of this product that have  
398 previously been demonstrated in *in vivo* studies.<sup>119-121</sup> A reduction of pro-inflammatory  
399 IL-8 production was correlated with an increased butyrate production in the SHIME.<sup>122</sup>  
400 Interestingly, this intervention resulted in a 31% increase in butyrate production in the  
401 ascending colon of the HMI module. Simultaneously, the HMI module allows for the  
402 analysis of the bacterial colonization of the mucus layer. While the strict anaerobic  
403 bifidobacteria colonized the upper side of the mucus layer (facing the luminal  
404 compartment), *F. prausnitzii* was mainly detected in the lower parts of the mucus (facing  
405 the “host” compartment) as observed in the human gut *in vivo*. This may be due to the  
406 capability of *F. prausnitzii* to survive microaerophilic conditions in the abundant  
407 presence of flavins and/or thiols.

408 **III)** The 3<sup>rd</sup> system that aims to simulate host-microbe interactions occurring at the oxic-  
409 anoxic interphase of the (human) gut is the ‘**H**uman **o**xxygen **B**acteria **a**naerobic’  
410 (**HoxBan**) **co-culturing system** (see **Figure 1D**). In contrast to the previously described  
411 “apical anaerobic model of the intestinal epithelial barrier” and HMI module, the HoxBan  
412 system does not require specialized (e.g. custom-made) equipment. The HoxBan system  
413 consists of an anaerobic and an aerobic compartment that are created in a 50 mL plastic  
414 tube. The bottom compartment contains the anaerobic bacteria of interest in specific  
415 culture medium solidified with 1% agar. The top compartment contains the oxygen-  
416 requiring epithelial cells on a glass coverslip (cells facing down), covered with cell  
417 culture medium. Oxygen is penetrating in the agar from the top compartment, creating an  
418 oxygen gradient, resembling the steep gradient across the human intestinal epithelium.  
419 Obligate anaerobic bacteria in the lower compartment are protected from oxygen by the  
420 agar and can grow at the lower end of the gradient.<sup>123</sup> In practice, the liquid (hand-warm)  
421 agar broth is inoculated with *F. prausnitzii* in an anaerobic workstation, aliquoted (40 mL  
422 each) in 50 mL plastic tubes and allowed to solidify. Subsequently, the HoxBan tubes are  
423 transferred to a cell culture cabinet and Caco-2 cells, pre-grown on coverslips to 80-100%  
424 confluency, are placed upside-down on the bacteria-containing agar medium. The tubes  
425 are filled to the top with cell culture Dulbecco’s Modified Eagle Medium (DMEM).  
426 Subsequently, the tubes are placed in a standard humidified cell culture incubator at 37°C  
427 and 5% CO<sub>2</sub> for up to 18-36 h. No reduction in viability of Caco-2 cells was observed  
428 when co-cultured with *F. prausnitzii* for 24 h. In fact, this analysis showed for the first  
429 time that mutualism is observed between oxygen-requiring intestinal epithelial (Caco-2)  
430 cells and anaerobic *F. prausnitzii* bacteria. A remarkable enhancement of *F. prausnitzii*

431 growth was observed directly below the Caco-2-containing coverslips. Interestingly, this  
432 was not seen when *F. prausnitzii* was co-cultured with non-intestinal cells, like the  
433 human liver cancer cell line HepG2, indicating that this effect is (intestinal) cell type-  
434 specific. Moreover, Caco-2-*F. prausnitzii* co-cultures in the HoxBan system confirmed  
435 the anti-inflammatory and anti-oxidative stress effects of live *F. prausnitzii* on Caco-2  
436 cells. The HoxBan setup allowed analyses of the consumption and production of  
437 metabolites (the “exo-metabolome”, including SCFAs, hydrocarbons, lipids and amino  
438 acids) in the liquid cell culture medium after 18 h of co-culture. These analyses revealed  
439 that levels of formate are strongly increased if *F. prausnitzii* is co-cultured with Caco-2  
440 cells, while butyrate levels are not changed (compared to *F. prausnitzii* without Caco-2  
441 cells). The selective effect on the levels of these SCFAs requires further study, but could  
442 be a result of the selective use of butyrate by the “enterocytes”. Currently, research in  
443 additional applications of the HoxBan system is being performed. These include studies  
444 assessing the effect of prebiotic and vitamin interventions on host-microbiota interplay  
445 and adaptation of this system to a disease model for IBD. The results observed in the  
446 HoxBan model correspond with previously performed *in vivo* studies. Anti-inflammatory  
447 effects of this bacteria were demonstrated in a murine TNBS-induced (chemical induced)  
448 colitis model, in which administration of *F. prausnitzii* and its supernatant had a  
449 protective effect.<sup>124</sup> Also a beneficial effect of *F. prausnitzii* on intestinal epithelial  
450 barrier function has been described in a murine model of low-grade inflammation.<sup>125</sup>  
451 Furthermore, a large meta-analysis in 2014 showed that the abundance of *F. prausnitzii* is  
452 reduced in IBD patients when compared with healthy subjects.<sup>36</sup>

453 **IV)** A 4<sup>th</sup> system that is relevant for host-microbe interaction studies is the **human gut-**  
454 **on-a-chip** (see **Figure 1E**). However, in contrast to the previously described systems, its  
455 use for co-culturing human cells with strict anaerobic gut bacteria has not been performed  
456 yet and it may be technically very challenging to maintain both aerobic and (strict)  
457 anaerobic conditions in this system. Still, very interesting results were obtained when co-  
458 culturing Caco-2 cells with oxygen-tolerant gut bacteria, which may be relevant for  
459 further development of true aerobic-anaerobic co-culturing systems. The gut-on-a-chip  
460 consists of two microchannels, simulating the gut lumen and the blood compartment,  
461 separated by a porous flexible membrane coated with extracellular matrix (ECM) and  
462 lined by Caco-2 cells.<sup>126</sup> Apart from continuous medium flow providing low shear stress  
463 to Caco-2 cells, this system is unique because of the fact that it can also mimic  
464 peristalsis-like motions by stretching and relaxing the ECM-coated porous membrane.  
465 This membrane is attached to two hollow side chambers that are rhythmically  
466 inflated/deflated. Especially promoted by the peristalsis-like motions, Caco-2 cells  
467 differentiate into a complex intestinal epithelium consisting of four types of intestinal  
468 epithelial cells, i.e. absorptive enterocytes, mucus-secreting goblet cells, enteroendocrine  
469 cells and Paneth cells. Moreover, 3D villi-like structures are formed.<sup>126,127</sup> The gut-on-a-  
470 chip allows the analysis of TEER, which increased more rapidly compared to  
471 monocultured Caco-2 cells in transwell cultures. Gut-on-a-chip allows the long-term  
472 (days up to two weeks) co-culture with bacteria. Probiotic *Lactobacillus rhamnosus* GG  
473 (LGG) formed microcolonies on the surface of Caco-2 cells and increased the TEER  
474 compared to Caco-2 cells not exposed to LGG. Co-culturing of Caco-2 cells with a  
475 formulation of probiotic bacteria (VSL#3, containing 6 bacterial strains originally

476 isolated from the human gut microbiome) for 72 h induced transcriptome changes in  
477 Caco-2 cells that more closely resemble the human ileum, as compared to monocultured  
478 Caco-2 cells in the gut-on-a-chip. Moreover, VSL#3, as well as antibiotic therapies, were  
479 shown to suppress villus injury and loss of TEER was induced by pathogenic Entero-  
480 invasive *E. coli* (EIEC) bacteria. Interestingly, exposure to LPS isolated from pathogenic  
481 *E. coli* did not directly affect TEER or villus injury in Caco-2 cells in the gut-on-a-chip.  
482 Only when human peripheral blood mononuclear cells (PBMCs) were also included in  
483 the lower capillary channel (simulating the blood compartment), both loss of TEER and  
484 villus injury were induced by LPS. Moreover, inclusion of PBMCs and LPS in the gut-  
485 on-a-chip resulted in the polarized secretion of inflammatory cytokines (IL-1 $\beta$ , IL-6 and  
486 TNF $\alpha$ ) to the “blood compartment”. Finally, the manipulation of peristaltic motions  
487 appeared to be highly relevant for host-microbe interactions, where the absence of such  
488 cyclic mechanical deformations increased the levels of *E. coli* colonizing the enterocyte  
489 surface, a process that might resemble bacterial overgrowth. As highlighted before, strict  
490 anaerobic bacteria have not been co-cultured with Caco-2 cells in the gut-on-a-chip and  
491 given the small diameters of the channels it may be technically impossible to maintain  
492 anaerobic conditions in the “luminal compartment”.

493 V) The 5<sup>th</sup> and most recently described aerobic-anaerobic co-culture system is the  
494 **HuMiX (human-microbial crosstalk) modular microfluidic device**.<sup>128</sup> This device is  
495 composed of a modular stacked assembly of elastomeric gaskets sandwiched between  
496 two polycarbonate enclosures (see **Figure 1F**). Each gasket defines a distinct spiral-  
497 shaped microchannel. The upper compartment is the ‘Microbial microchamber’ and is  
498 separated from the middle compartment: ‘the Epithelial cell microchamber’ by a

499 Nanoporous membrane (pore diameter 50 nm). The ‘Epithelial cell microchamber’  
500 contains the oxygen-requiring Caco-2 cells, forming the epithelial cell barrier. The  
501 bottom microchannel is the ‘perfusion microchamber’, which is separated from the  
502 ‘Epithelial cell microchamber’ by a Microporous membrane (pore diameter 1  $\mu\text{m}$ ). In this  
503 device, Caco-2 cells are first cultured and grown for 7 days to form a well-differentiated  
504 layer of epithelial cells. Monocultured Caco-2 cells established significantly higher  
505 TEER in the HuMiX as compared to Caco-2 cells cultured in a similar set-up in a  
506 Transwell device. Moreover, clear expression of the tight junction protein occludin at the  
507 cellular membrane was demonstrated by immunofluorescence microscopy. Subsequently,  
508 bacteria were inoculated in the Microbial microchamber and co-cultured for an additional  
509 24 hours. Following co-culture, all individual cell contingents can easily be accessed and  
510 evaluated. In this study, the researchers first inoculated the commensal facultative  
511 anaerobe *Lactobacillus rhamnosus* GG (LGG), which was also studied in the gut-on-a  
512 chip (see above). Both the oxygen-requiring Caco-2 cells and the facultative anaerobe  
513 LGG remain viable during co-culture. Integrated oxygen sensors in this device allow the  
514 real time monitoring of dissolved oxygen concentrations. Clearly different oxygen levels  
515 were detected between the “perfusion microchamber” and the “microbial microchamber”,  
516 though the latter was not completely devoid of oxygen. Still, the authors show that this  
517 device can also be used to study the effect of obligate anaerobic bacteria in co-culture  
518 with Caco-2 cells. The obligate anaerobic strain *Bacteroides caccae* (of the phylum  
519 Bacteroidetes) inoculated in combination with LGG remained viable and a relative  
520 increase in number of *B. caccae* compared to LGG was detected after a 24 hour co-  
521 culture period with Caco-2 cells. However, absolute numbers of both bacteria before and



522 after co-culture were not shown. Moreover, the potential difference in growth rate  
523 between these two bacteria (in the absence of Caco-2 cells) was not established. So a  
524 potential selectivity of Caco-2 cells towards specific bacteria cannot be concluded from  
525 these experiments. Importantly, this device allows the additional inclusion of immune  
526 cells (i.e. CD4+ T cells) to the perfusion chamber, to help further clarify specific  
527 immunological research questions. Finally, the authors validate the HuMiX in relation to  
528 previously performed *in vivo* studies. They show that the transcriptional responses of the  
529 epithelial cells co-cultured with LGG in the HuMiX are in line with *in vivo* expression  
530 data obtained from human and piglet studies.<sup>129-131</sup> This study nicely demonstrates that it  
531 is crucial to establish (near) anaerobic conditions for the microbiota in a representative  
532 gastrointestinal co-culture device, since clear differences in transcriptional responses  
533 between LGG grown under aerobic and anaerobic conditions were shown.  
534

Table 1. Characteristics and applications of recently developed (anaerobic) bacterial-epithelial gut co-culture models.

|                                                               | A. Transwell 'apical anaerobic model of the intestinal epithelial barrier' | B. Host-Microbiota Interaction (HMI™) module                      | C. HoxBan co-culture system                               | D. Human gut-on-a-chip                                            | E. The HuMiX model                                           |
|---------------------------------------------------------------|----------------------------------------------------------------------------|-------------------------------------------------------------------|-----------------------------------------------------------|-------------------------------------------------------------------|--------------------------------------------------------------|
| <b>Human gut epithelium model (cell type)</b>                 | <i>Caco-2</i>                                                              | <i>Caco-2</i>                                                     | <i>Caco-2, DLD-1</i>                                      | <i>Caco-2</i>                                                     | <i>Caco-2</i>                                                |
| <b>Direct contact bacteria and host cells</b>                 | Yes                                                                        | No (separated by mucus and microporous membrane)                  | Yes                                                       | Yes                                                               | No (separated by Nanoporous membrane)                        |
| <b>Mucus layer</b>                                            | No                                                                         | Yes (artificially added)                                          | Yes (artificially added)                                  | Yes (mucus production)                                            | Yes (mucin layer)                                            |
| <b>"Gut epithelial cells" grown in: (during co-culturing)</b> | M199 + 10% FBS                                                             | DMEM + 10% FBS                                                    | DMEM + 10% FBS                                            | DMEM + 20% FBS                                                    | DMEM + 20% FBS                                               |
| <b>(Anaerobic) bacteria grown in: (during co-culturing)</b>   | Anaerobic M199 (- FBS)                                                     | Mixed carbon-source bacterial broth for SHIME                     | YCFAG (Anaerobic <i>F. prausnitzii</i> broth)             | DMEM + 20% FBS                                                    | Anoxic DMEM medium                                           |
| <b>Host-Microbe co-culture time</b>                           | Up to 8 h                                                                  | Up to 48 h connected to SHIME                                     | Up to 36 h                                                | 1-2 week                                                          | 24 h                                                         |
| <b>Static or fluid flow (shear stress)</b>                    | Static                                                                     | Fluid flow ( $6.5 \text{ mL min}^{-1} = 3 \text{ dyne cm}^{-2}$ ) | Static                                                    | Fluid flow ( $30 \text{ uL h}^{-1} = 0.02 \text{ dyne cm}^{-2}$ ) | Flow rate: $25 \text{ } \mu\text{L min}^{-1}$                |
| <b>Simulation of peristalsis</b>                              | No                                                                         | No                                                                | No                                                        | Yes                                                               | No                                                           |
| <b>Co-culture with strict anaerobic bacteria</b>              | Yes (i.e. <i>F. prausnitzii</i> )                                          | Yes (SHIME effluent, including <i>F. prausnitzii</i> )            | Yes (i.e. <i>F. prausnitzii</i> )                         | Not described                                                     | Yes ( <i>Bacteroides caccae</i> )                            |
| <b>Mixed bacterial cultures</b>                               | Not described                                                              | Yes (fecal inoculum from healthy human in SHIME)                  | Not described                                             | Yes (VSL#3)                                                       | LGG and <i>B. caccae</i>                                     |
| <b>Combination with other types of (human) cells</b>          | Not described                                                              | Not described                                                     | Not described                                             | Yes (PBMCs, endothelial cells)                                    | Yes CD4+ T cells                                             |
| <b>Analysis of epithelial barrier function</b>                | Yes (TEER, <sup>3</sup> H-mannitol flux, IF-staining for TJ proteins)      | Yes (bilateral diffusion of 4-20-150 kDa FITC dextran)            | Yes (staining for TJ proteins)                            | Yes (TEER)                                                        | Yes (HuMiX-TEER device and Staining for TJ protein occludin) |
| <b>Intervention studies (diet, medication, etc)</b>           | Not described                                                              | Yes ( <i>S. cerevisiae</i> fermentation products)                 | Yes (prebiotics, vitamins)                                | Yes (probiotic VSL#3 and antibiotic mixture)                      | LGG is used as a probiotic treatment                         |
| <b>Model of disease</b>                                       | Not described                                                              | Not described                                                     | Yes (induction of inflammatory state in epithelial cells) | Yes (bacterial overgrowth and inflammation)                       | Not described                                                |

535 **Abbreviations:** *Caco-2*: human colon epithelial cell line. *DLD-1*: human colon epithelial cell line. M199:  
536 medium 199. DMEM: Dulbecco's Modified Eagle Medium. YCFAG: medium containing yeast extract,  
537 casitone, fatty acids and glucose. FBS: fetal bovine serum. H: hours. PBMCs: peripheral blood  
538 mononuclear cells. TEER: transepithelial electrical resistance. TJ proteins: tight junctions proteins. LGG:  
539 *Lactobacillus rhamnosus* GG.

540 **Concluding remarks**

541 Dysbiosis of the gut microbiota is associated with many common diseases, however  
542 limited tools are available to determine what is the cause or consequence of this  
543 phenomenon. *In vitro* models for host-microbe interactions occurring in the (largely  
544 anaerobic) gut are instrumental to analyze the molecular and cellular mechanisms  
545 involved. Several (anaerobic) bacteria-gut epithelial co-culture systems models have  
546 recently been developed. A comparative overview of the characteristics and applications  
547 of these systems is given in **Table 1**. Each of these systems has its own pros and cons,  
548 and the specific research question will largely determine which system is most suitable to  
549 use. Key factors to consider are 1) whether a strict anaerobic compartment for gut  
550 bacteria is required; 2) whether single or complex mixtures of bacteria need to be  
551 analyzed; 3) whether direct contact with bacteria and gut epithelial cells is important, 4)  
552 whether analysis of the barrier function (such as TEER) is needed; 5) whether effects on  
553 both gut epithelia, as well as bacterial metabolism will be analyzed; and maybe at least as  
554 important 6) whether the equipment and infrastructure is available to perform such  
555 experiments. A major “weakness” of all systems so far is that they all rely on the use of  
556 Caco-2 cells as representative of the human gut epithelium. Still, Caco-2 cells originate  
557 from heterogeneous human epithelial colorectal adenocarcinoma and may therefore  
558 behave quite differently as compared to true human gut epithelium. Recent advancements  
559 in generating primary human epithelium from intestinal stem cells hold great promise for  
560 “upgrading” these host-microbe co-culturing systems with location-specific and/or  
561 disease-specific human gut epithelium. Thus, co-culturing oxygen-requiring human gut  
562 epithelial cells with anaerobic gut bacteria is technically feasible, however, the individual

563 systems need further refinement to help us unravel the complex functional links between  
564 disease and gut microbiome dysbiosis.

565

566

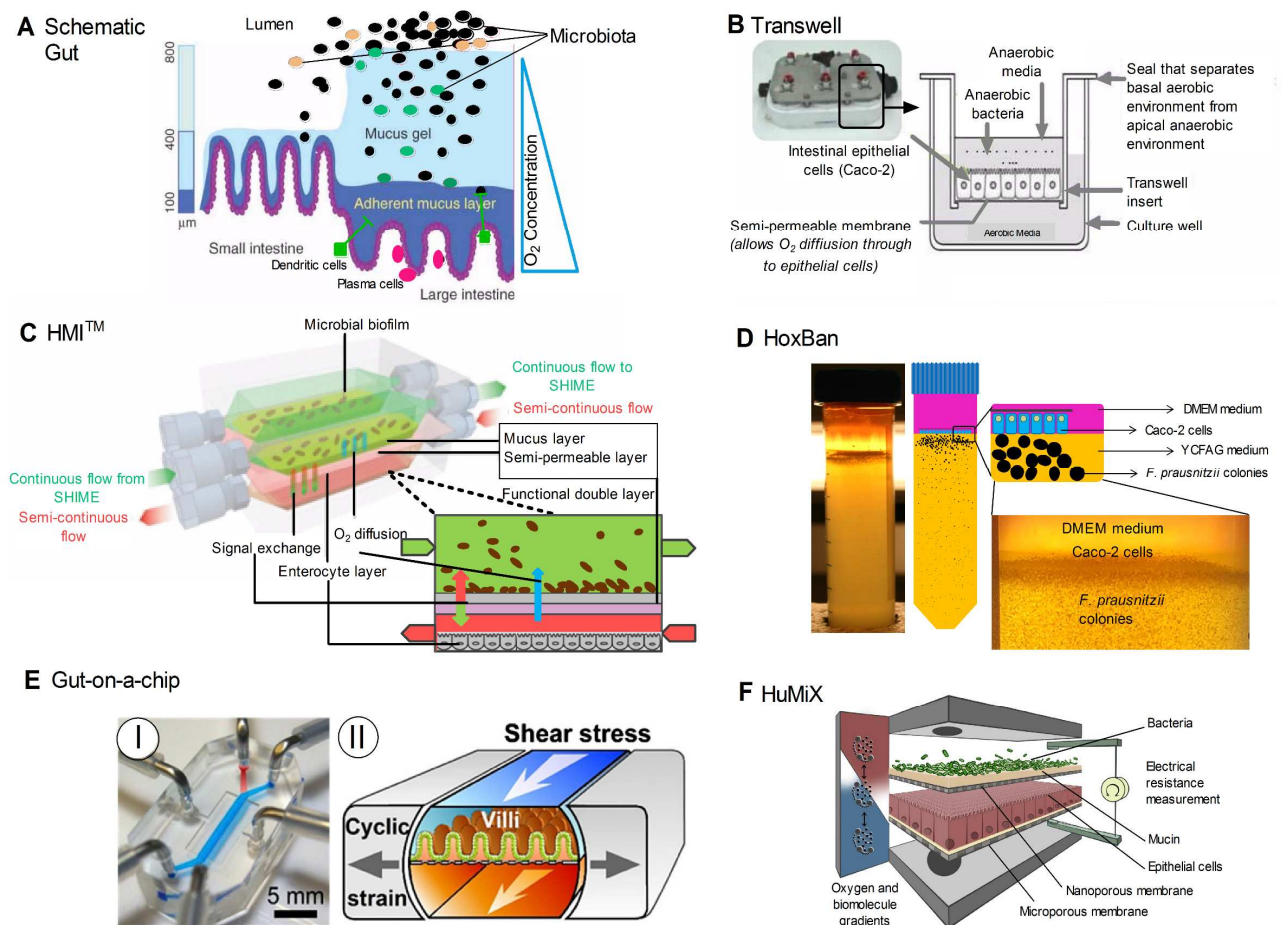
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569

## FIGURE 1



570

571 **Figure 1. Recently developed (anaerobic) bacterial-epithelial gut co-culture models. A) Schematic**  
 572 **figure of the aerobe-anaerobe interphase of the human gut (adapted from *Barbosa T. et al.; Wiley***  
 573 ***Interdiscip Rev Syst Biol Med, 2010*)<sup>132</sup> ; B) The Transwell ‘apical anaerobic model of the intestinal**  
 574 **epithelial barrier’<sup>117</sup> ; C) The Host Microbiota Interaction module (HMI<sup>TM</sup> module)<sup>118</sup> ; D) The**  
 575 **Human Oxygen-Bacteria anaerobic (HoxBan) co-culture system<sup>123</sup> ; E) The human gut-on-a-chip**  
 576 **microdevice<sup>127</sup> and F) The HuMiX device.<sup>128</sup> See main text for detailed description. All models are**  
 577 **shown with permission of the authors when this is required.**

578

579 **Acknowledgements**

580 The research position of drs. J.Z.H. von Martels is financed by the Top Institute Food and  
581 Nutrition (TIFN) in Wageningen and the Center for Development & Innovation of the  
582 University Medical Center Groningen.

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