

© 1998 Copyright S. F. Hartkamp Lutkenieuwstraatje 34-36 9712 AZ Groningen email: hartkamp@inn.nl

All rights reserved. Except for the quotation of short passages for the purpose of criticism and review, no part of this publication may be reproduced, stored in a retrieval system, or transmitted in any form or by any means, electronic, mechanical, photocopying, recording, or otherwise, without the prior permission of the author.

CIP-GEGEVENS KONINKLIJKE BIBLIOTHEEK, DEN HAAG Hartkamp, Steven Frederik

Equality; a realistic view. Towards a simple measure of inequality. Thesis Groningen. - Includes bibliography - Includes index - Includes Dutch summary ISBN 90-9012254-0 NUGI 611

Cover: Rum, Scotland, 1996 Photography by G.C. Verduyn Printed by Krips b.v., Meppel

RIJKSUNIVERSITEIT GRONINGEN

Equality; a moral realistic view (Towards a simple measure of inequality)

Proefschrift
ter verkrijging van het doctoraat in de
Wijsbegeerte
aan de Rijksuniversiteit Groningen
op gezag van de
Rector Magnificus, dr. D.F.J. Bosscher,
in het openbaar te verdedigen op
donderdag 15 april 1999
om 14.15 uur

door: Steven Frederik Hartkamp geboren op 4 april 1957 te Meppel Promotores: Prof. dr. J.W. de Beus Prof. dr. G.E. Lock

Contents

		nowledgements	ix xi
1	Intr	oduction	1
	1.1	Purpose of the study	1
	1.2	The traditional background assumptions of the modern po-	
		litical ideal of equality	3
	1.3	Overview	11
Ι	\mathbf{A}	new framework for equality	15
2	Mor	ral universalism	17
	2.1	Introduction	17
	2.2	Why moral universalism?	20
		2.2.1 The use of moral universalism	20
		2.2.2 Arguments for moral universalism, and moral partic-	
		ularism	31
	2.3	Moral realism	36
		2.3.1 Davidson's radical interpretation	36
		2.3.2 The relation between moral beliefs and actions	38
		2.3.3 Why follow particular moral reasons rather than pru-	
		dential reasons?	42
		2.3.4 Justification of moral beliefs	44
	2.4	Moral realism, its particularism and equality	53
	2.5	Summary	56
	2.6	Appendix 1	5.9

3	\mathbf{Voli}	tional individualism	63
	3.1	Introduction	63
	3.2	The problem of interpersonal comparisons	65
		3.2.1 Necessity of interpersonal comparisons	65
		3.2.2 Impossibility of interpersonal comparisons	67
	3.3	Necessity challenged	70
		3.3.1 External effects as reasons for redistribution	70
		3.3.2 Non-envy analysis	74
	3.4	Impossibility rejected; realistic individualism	100
	3.5	Summary	105
	3.6	Appendix 1	108
	3.7	Appendix $2 \ldots \ldots \ldots$	110
	3.8	Appendix 3	111
	3.9	Appendix 4	112
4	Mor	al value monism	115
-	4.1	Introduction	115
	4.2	Monism versus pluralism	116
		4.2.1 Reasons for value monism	116
		4.2.2 Reasons for moral pluralism	126
	4.3	Equalisanda instead of one equalisandum	135
		4.3.1 Subjective welfare as equalisandum	136
		4.3.2 Offensive and expensive tastes excluded by social ideals	s137
		4.3.3 Objective welfare as equalisandum	139
		4.3.4 Resources as equalisandum	141
		4.3.5 Access to advantages as equalisandum	143
		4.3.6 Equalisanda	146
	4.4	Summary	151
5	The	new framework and its criticisms	153
•	5.1	Introduction	153
	5.2	Conservatism	156
	5.3	Imperialism	163
	5.4	Quasi-realism	167
	5.5	Disagreement	173
	5.6	Summary	179
		-	

II	\mathbf{E}	quality	181
6		meaning of the ideal of equality	183
	6.1	Introduction	183
	6.2	Arguments an ideal of equality has to answer	
	6.3	The levelling down objection	
	6.4	Person affectingness	
	6.5	The meaning of equality	197
	6.6	The reference	
	6.7	The other views revisited	210
	6.8	Summary	211
7	Mea	sures of inequality	213
	7.1	Introduction	213
	7.2	Lorenz dominance	215
	7.3	Incompleteness	220
		7.3.1 Extensions of Lorenz dominance	221
		7.3.2 Acceptance of incompleteness and its explanation	228
	7.4	Properties of Lorenz dominance revisited	233
	7.5	Properties of a measure of inequality	237
	7.6	Summary	239
	7.7	Appendix 1	242
8	A si	mple measure of inequality	243
	8.1	Introduction	243
	8.2	The construction of a simple measure	
		8.2.1 The measure of equalisanda	
		8.2.2 Independence	
		8.2.3 Euclidean distance as basis	254
	8.3	The arguments for complexity revisited	257
	8.4	Specificity and aggregation	261
	8.5	Summary	
	8.6	Appendix 1	

9.1	Introduction
0.0	
9.2	Macro level decisions
	9.2.1 Socio-economic related differences in health
	9.2.2 Choices in health care policies
9.3	Micro level decisions
	9.3.1 Maximising qalys, hyes and lyars
	9.3.2 Are qalys, hyes and lyars proper equalisanda?
9.4	Equality of health in medical practice
	9.4.1 What if there is no cure?
	9.4.2 The general practitioner in an egalitarian perspective
9.5	Summary

Acknowledgements

Writing a thesis seems to be possible while accepting solipsism, however the fact is that it owes a lot to others and it shows that solipsism is false.

This thesis is the result of a research project which was initiated by the Department of Political Science of the Catholic University of Nijmegen on the measurement of inequality. I thank the members of the Department of Political Science for their hospitality.

I am indebted to all who made this thesis possible. In particular I am indebted to Trudy van Asperen, by whom my interest in moral philosophy was awakened by her way of teaching moral philosophy. It is sad that although I could show her the outline of the thesis, I could not show her the result because she unfortunately died in 1993.

I owe much to my two promotores, Grahame Lock who introduced me to the project and who let me work in freedom and Jos de Beus who helped me to look critically at my own ideas in a way that stimulated me to explain them in a more clear way. They did their best, the remaining mistakes in reasoning which will be discovered, are mine.

Also I owe much to Wim Fiévez with whom I followed the first courses in philosophy, he convinced me that Davidson's approach to language and interpretation could not be circumvented. In writing I was assisted by Frans Wiersma, who also accompanied my first courses in philosophy, he explained to me which rules for clear writing I have shown to have forgotten.

In writing this thesis I realised more and more that it was inspired by the people of Schiermonnikoog who trusted me to share their experiences on important aspects of their life, while I was working on their island as a general practitioner. Without them this thesis would have been different.

Finally, I thank my family and friends, especially my mother who let her son follow the courses in philosophy and supported it, and Ineke who bore the underestimated costs of enduring my involvation in the project and the restless and desperate attempts to deal with the unpredictable results of a laptop with a full harddisc with system failures.

Groningen, April 1998

List of symbols

The following list contains the most important symbols which are used in this study.

```
a, a^k, b: goods, distribuenda
```

 a_i^k : a distributedum of type a^k belonging to person i

 \vec{a} , \vec{a}^k : an allocation $(a_1, a_2, \ldots, a_i, \ldots, a_n)$, or $(a_1^k, a_2^k, \ldots, a_i^k, \ldots, a_n^k)$, of the distributedum a, or a^k , among persons $1, \ldots, n$

A, B: allocations of goods a^k , a^l etc., or b^k , b^l etc., among persons $1, \ldots, n$

 $\sum A$: summation of the elements of the rows of the matrix matrix $\sum_{i=1}^{n} a_i^k$

 $\sum A \ge \sum B$: all the values of the summation of the elements of the rows of A are equal or greater than the values of the summation of the elements of the rows of B

 α : descriptions of actions

C: conditions of situations

i, j: persons

I(): index representing the seriousness of inequality

l: the amount of leisure

 $min\{\ ,\ \}$: the minimum of the set $\{\ ,\ \}$

M(): monotone increasing transformation function

 \vec{p} : price vector, or probability vector¹

¹It will be clear from the context what is meant.

 r_i : the amount of time spent by person i to work

 $R_i(a_i)$: the amount of time needed for i to produce a_i

 π : permutation of persons

 \vec{u} : (1, 1, ..., 1)

 $w_i()$: well-being of person i

 $\frac{dw_i(a)}{da}$: derivative of w_i with respect to a, sometimes represented by w'()

W(): social welfare function

x, y: goods, equalisanda²

 \vec{x} : an allocation $(x_1, x_2, \ldots, x_i, \ldots, x_n)$ of equalisandum x among persons $1, \ldots, n$

 x_{ref} : the allocation in which all persons are equally well off with respect to the equalisandum x due to a (re)distribution of the available distribution

≻: better 'all things considered'

 \succ_I : worse regarding inequality

 \succ_{LD} : better regarding the Lorenzcurve, 'the Lorenzcurve lies above'

 \forall : for all

∃: there exists

 \wedge : and

V: or/and

⊨: is satisfied in those models in which the premises are satisfied

≡: material equivalence

 $^{^2}$ sometimes x and y represent a variable in logical formulas, for example in the appendix 1 of chapter 2. The context will make clear what is meant.