 2 (late Miocene, Peru) from mineralogical and Sr-isotope data 3 4 Gioncada A.^a, Petrini R.^a, Bosio G.^b, Gariboldi K.^a, Collareta A.^{a,c}, Malinverno E 5 Di Celma C.^d, Pasero M.^a, Urbina M.^e, Bianucci G.^a 6 7 "Dipartimento di Scienze della Terra, Università di Pisa, via Santa Maria 53, 56126 Pisa, Italy 	E. ^b , Bonaccorsi E.ª, <i>rsi@unipi.it,</i> y				
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20					
21 Keywords: Sr-isotopes, gypsum, fossilization, Miocene, marine vertebrates, mo	llusks				
22					
23 Highlights (3-5 max 85 caratteri)					
24					
• We study the fossilization environment of the marine vertebrate Pisco La	gerstätte				
• We describe textural, mineralogical and Sr-isotope data of post-burial mi	nerals				
• Dolomite Sr isotopic ratio agrees with seawater at the time of sedimentat	ion				
• Gypsum Sr isotopic ratios suggest an older or modified seawater-derived	brine				
• Preservation of bones was favored by a suitable diagenetic chemical envi	ronment				
30					
31					
32 Abstract					
33 The late Miocene Pisco Formation of Peru is an outstanding example of richness	and high-quality				
34 preservation of fossil marine vertebrates. In order to reconstruct the fossilization	path, we present				
35 new textural, mineralogical and Sr-isotope data of diagenetic minerals formed in	correspondence of				
36 fossil specimens such as marine vertebrates and mollusks. These fossil specimer	is were found at				
Cerro los Quesos, in the Ica Desert, within the diatomaceous strata of the Pisco Formation					

38 Dolomite, gypsum, anhydrite and Mn minerals are the main phases found, while the calcium 39 carbonate originally forming the mollusk valves is replaced by gypsum. An early formation of 40 dolomite and of Mn minerals, triggered by the modifications of the geochemical environment due to 41 organic matter degradation, is suggested by the textural relationships and is confirmed by the Sr 42 isotopic ratio of dolomite, which agrees with that of seawater at the time of sedimentation. Instead, gypsum Sr isotopic ratios indicate a pre-Miocene seawater-derived brine circulating within the 43 44 sedimentary sequence as a source for Sr. Oxidation of diagenetic sulfide causing a lowering of the 45 pH of porewater is proposed as an explanation for Ca-carbonate dissolution. The diagenetic 46 chemical environment was, nevertheless, favorable to bone preservation.

47

48 **1. Introduction**

49 The sedimentary strata outcropping in the Ica desert of Peru and belonging to the Pisco Formation 50 (hereinafter: Pisco Fm) have a widely recognized importance for the exceptional abundance in 51 fossil marine vertebrates and for their preservation, deserving the attribution of Fossil-Lagerstätte 52 (Bianucci et al., 2016a, b; Brand et al., 2004; Esperante et al., 2008, 2015; Gariboldi et al., 2015; 53 Gioncada et al., 2016; Marx et al., 2017). The marine vertebrate assemblage, mainly hosted in 54 diatomaceous mudstones, includes toothed and baleen-bearing whales, seals, marine slots, sea 55 turtles, crocodiles, seabirds, and cartilaginous and bony fishes (Bianucci et al., 2016b, and 56 references therein; Bianucci et al., 2016a, c; Collareta et al., 2015, 2017; Di Celma et al., 2017; 57 Gioncada et al., 2016; Lambert et al., 2015, 2017a, b; Landini et al., 2017a, b; Marx et al., 2017; 58 Marx and Kohno, 2016; Stucchi et al., 2015, 2016). Many geological and palaeoecological factors 59 together concurred to create a particular setting favorable to preservation. It has been proposed that, 60 in case of a rapid burial, the establishment of conditions favorable to the formation of dolomite 61 concretions wrapping bone remains had a relevant role in the development of the Pisco Fm 62 Lagerstätte, allowing preservation of exceptionally complete and articulated skeletons and of 63 delicate structures such as baleen bristles (Gariboldi et al., 2015; Gioncada et al., 2016). On the 64 other hand, it is a matter of fact that this large vertebrate fossil record includes many skeletons 65 which are not enclosed in carbonate concretions. Among these, it is possible to find very well 66 preserved specimens, with good articulation and completeness and with highly mineralized bones 67 and even soft tissues (e.g., Marx et al., 2017) as well as scarcely preserved bone remains. 68 To reconstruct a complete picture of this favorable fossilization environment, it is unavoidable to 69 consider the fossilization path encountered by the vertebrate remains and the role of common diagenetic processes occurred after burial, involving dissolution and precipitation of minerals. 70 71 Therefore, with the aim to contribute to the reconstruction of the physical and chemical conditions 72 encountered by the vertebrate remains during fossilization, we carried out a Sr-isotope and

73 mineralogical study of the mineral phases formed after burial in the local environment of selected 74 whale skeletons. We chose vertebrate specimens that are not enclosed by carbonate concretions, 75 because the early-formed dolomite concretions have a strong effect in decreasing the permeability 76 of the bones and of the host sediment, thus limiting the exchanges with the environment and the 77 possibility of mineralization of soft and bone tissues (McCoy et al., 2015). Nearby these specimens, 78 we collected minerals formed after burial and found in veins or filling mollusk valves, and minerals 79 replacing mollusk valves, in order to define the origin of mineralizing fluids and possibly the 80 sequence of mineral formation. The Sr isotopic composition of these mineral phases has been then 81 discussed taking into account the possible sources of Sr. The samples come from the site of Cerro 82 los Quesos (Fig. 1), whose diatomaceous strata are well known from both a geological and 83 paleontological point of view (Bianucci et al., 2016a; Di Celma et al., 2016a). The data discussed in 84 this work provide insights about the fossilization conditions in the Pisco Fm.

85

86 **2. Geological and stratigraphic framework**

87 The Pisco Basin, in southern Peru, is one of the coastal sedimentary basins formed by trench-88 parallel structural ridges on the continental shelf and upper slope during Late Cretaceous-early 89 Paleogene time (Thornburg and Kulm, 1981). The East Pisco basin corresponds to the onshore 90 sector of the basin and is bounded to the east by Jurassic-Cretaceous igneous rocks of the Coastal 91 Batholith and to the west by Paleozoic to Jurassic metavolcanic and metasedimentary rocks of the 92 Coastal Cordillera (Fig. 1). The basin is filled by sedimentary units spanning from Eocene to 93 Pliocene and separated by unconformities of regional importance (DeVries, 1998; Dunbar et al., 94 1990). The Pisco Fm straddles from Miocene to Pliocene with variations in thickness and

95 sedimentary facies in the basin (Di Celma et al., 2017).

96 Extensive field mapping and sedimentological study of outcrop sections (Di Celma et al., 2016a, b;

97 2017) have shown that the Pisco Fm is a cyclical sediment unit composed of at least three fining-

98 upward, unconformity-bounded allomembers, designated P0, P1, and P2 from oldest to youngest.

99 Stratigraphically, the three unconformity-bounded units are thought to be equivalent to depositional

100 sequences (Di Celma et al., 2017). Integration of biostratigraphic and tephrochronologic age

101 determinations constrains the ages of the three Pisco sequences within the study area (Di Celma et

- al., 2017; Gariboldi et al., 2017): based on the 40 Ar/ 39 Ar ages on tephra beds within the surrounding
- sediments, a conservative estimate of the age of P0 suggests deposition of these strata between

104 17.99 ± 0.10 Ma and 9.00 ± 0.02 Ma, whereas diatom biostratigraphy, confirmed by

- 105 tephrochronology, indicates that strata of the P1 sequence were deposited sometime between 9.5
- 106 Ma and 8.9 Ma. Those of the P2 sequence outcropping at Cerro los Quesos are younger than 8.5 Ma
- and older than 6.71 ± 0.02 Ma based on biostratigraphy and 40 Ar/39 Ar ages.

- 108 At Cerro los Quesos (hereinafter: CLQ) the Pisco Fm consists of a basal package of sandstone beds
- 109 followed by a package of diatomaceous siltstones and mudstones with interbedded volcanic ashes
- and dolomite layers (Di Celma et al., 2016a; Fig. 1). The strata belong to the P1 and P2
- allomembers, and an intraformational unconformity marked by phosphorite nodules has been
- 112 identified in the lower part of the succession outcropping east of CLQ. Based on sedimentary
- 113 structures, the depth of the basin during sedimentation was at most 100 m, indicating inner-middle
- shelf conditions. The measured CLQ section has been divided into six members, from A to F,
- 115 distinguished on the basis of their characteristic lithofacies (Di Celma et al., 2016a). Member A
- 116 contains P1 and P2 strata, members B-F correspond to the P2 allomember (Fig. 1).
- 117

118 **3. The Cerro los Quesos fossil record**

119

120 **3.1. Marine vertebrates**

121 The late Miocene vertebrate assemblage of CLQ was recently described by Bianucci et al. (2016a) 122 in relation to the detailed local stratigraphic framework of the Pisco Fm provided by Di Celma et al. 123 (2016a). The fossil vertebrate assemblage studied by Bianucci et al. (2016a) is composed of 192 124 specimens and consists of cetaceans, pinnipeds, crocodiles, birds, bony fishes, sharks, and rays. The cetaceans, including both mysticetes and odontocetes, largely dominate the fossil vertebrate 125 scenario. The baleen-bearing whales account for 42.2% of the identified vertebrate specimens; they 126 127 are represented by three (or more) species of medium- to large-sized balaenopteroids and, secondarily, by a small-sized cetotheriid (Piscobalaena nana). Remains of toothed whales are rather 128 129 rare but representative of a high number of different taxa, including three species of physeteroids 130 (the macroraptorial Acrophyseter sp., Scaphokogia sp., and a new Scaphokogia-like kogiid), three 131 species of ziphiids (Chavinziphius maxillocristatus, Nazcacetus urbinai, and a third form inferred 132 on the basis of fragmentary remains), and a single species of phocoenid (cf. Lomacetus sp.). 133 Remains of pinnipeds (Phocidae indet.), seabirds (a Phalacrocorax-like cormorant), crocodiles (cf. Piscogavialis sp.), elasmobranchs (including Carcharhinus spp., Carcharocles megalodon, 134 135 Cosmopolitodus spp., and Myliobatis spp.), and bony fish complete the fossil vertebrate scenario 136 (Bianucci et al., 2016a, c, and references therein; Di Celma et al., 2017; Lambert et al., 2017a). 137 According to Bianucci et al. (2016a), at CLQ, 178 vertebrate specimens (i.e., 92.7% of the total) 138 occur within the largely diatomaceous deposits of member F (sensu Di Celma et al., 2016a; Fig. 1); 139 moreover, 166 vertebrate specimens (i.e., 86.5% of the total) are concentrated in a 40-m-thick interval of sediments spanning from 140 m to 180 m above the base of member C (see the 140 141 stratigraphic section provided by Bianucci et al., 2016a). Only about one tenth of the fossil vertebrates recognized at CLQ are found embedded within dolomite nodules, a preservation style 142

143 which is more frequently observed in the older deposits of the Pisco Fm exposed at Cerro Colorado

144 (Gariboldi et al., 2015). Among those without a concretion envelope, most specimens display

145 localized development of dolomite close to the bone, such as within the skull or between adjacent

146 vertebrae, as described in Gariboldi et al. (2015).

147

148 **3.2. Invertebrates**

149 The first description of the invertebrate fauna of the Pisco Fm has been realized in the Eighties by 150 de Muizon and DeVries (1985) and by DeVries (1988), and summarized more recently by DeVries 151 and Frassinetti (2003) and DeVries (2007, 2016). A summary of the invertebrate content found in 152 each depositional sequence constituting the Pisco Fm has been presented in Di Celma et al. (2017). 153 The P1 and P2 sequences that crop out at CLQ are characterized by a scarce presence of 154 invertebrate remains and by the predominant lack of bioturbations by macrobenthos. Mollusks are 155 preserved either as gypsum casts of shells or as dolomite or gypsum internal molds. As such, 156 diagnostic characters for species determination are hardly observed. At the bottom of the measured 157 section (Fig. 1), where the P1 sequence crops out, specimens of Hybolophus sp. (Bivalvia, 158 Crassatellidae) have been recognized. Few meters above, at the base of the P2 sequence, Dosinia 159 ponderosa (Bivalvia, Veneridae) and Hybolophus sp. are present, along with gypsum-replaced shells of *Incatella hupei* (Gastropoda, Turritellidae) (Di Celma et al., 2017). A peculiar mollusk 160 161 concentration is noticed in the upper part of the succession, in correspondence of a few meters thick 162 interval within the fossil-rich portion of member F (Fig. 1), typically occurring as heaps near five 163 partial skeletons of cetaceans. As already stated by Di Celma et al. (2017), none of these specimens 164 is attributable to any genus that usually characterizes the whale-fall communities (Smith et al., 165 2015). Instead, all the identified specimens belong to the genus Hybolophus Stewart, 1930, a semi-166 infaunal suspension feeder; they have been identified by means of comparison with the morphology 167 of some better preserved specimens from the Pisco Fm.

168

169 **4. Sampling and analytical procedures**

170 In selecting the specimens of marine vertebrates, we deliberately avoided those completely or 171 largely enclosed in dolomite concretions, because a concretion may approach a closed system with 172 respect to the external sedimentary environment during the diagenetic history, due to its very low permeability and early formation (McCoy et al., 2015; Gariboldi et al., 2015). Among the 173 174 specimens without an external concretion, we preferred those associated with mollusks, because the 175 minerals forming mollusk casts and internal molds may be of help in defining the sequence of 176 minerals formation during diagenesis. All bones and shells examined in the field and collected for analysis were found in situ, still partially included in the sediment. This excludes that the 177

- 178 association of bivalves with cetaceans was due to recent erosion. The selected specimens of
- 179 vertebrates, all belonging to cetaceans (C46, C47, O7 and M50, location in the fossil map of
- 180 Bianucci et al., 2016a), are from the fossil-rich interval within member F of the CLQ stratigraphic

181 section (Fig. 1b). This sedimentary package consists of a homogeneous succession of diatomaceous

182 mudstones, recurrently interrupted by volcanic ash layers representing distal ash-fall of Andean

183 volcanoes. In particular, specimen C47 rests just above a tephra layer. Absolute 40 Ar/ 39 Ar ages on

volcanic ash layers constrain these strata between 6.93±0.09 Ma and 6.71±0.02 Ma (Fig. 1)

185 (Gariboldi et al., 2017).

186 The samples collected for observations at the micro-scale and for isotopic and mineralogical

187 analysis in correspondence of the four specimens include small fragments of bones, embedding

sediment, mollusks, and fracture- and fault-filling veins. In Table 1, field and laboratory

189 descriptions of the specimens of vertebrates and of the sampled materials are reported.

190 The bone, sediment and mollusk samples were examined under a stereomicroscope, and some 191 mollusks were sectioned to examine the filled internal cavity. Sediment components were inspected 192 with the aid of smear slides for transmitted light microscopy. Fragments were carefully taken from 193 the bivalve specimens and from the bone of fossil vertebrates. Some of them were mounted in resin 194 and prepared as polished sections. After carbon-coating, the fragments were analyzed by scanning 195 electron microscopy (SEM-SEI, Secondary Electron Imaging, and BSEI, back-scattered electrons 196 imaging) and EDS microanalysis, by means of a Philips XL30 equipped with a Dx4i 197 microanalytical device at Earth Science Department of the University of Pisa. Analytical details 198 were 20 kV filament voltage, 5 nA beam current, ZAF correction. XRPD data were collected for the 199 different mollusk casts and internal molds and for gypsum veins. Sampling for mineralogical 200 analysis was carried out with a microdrill apparatus after visual cleaning of sediment from the 201 surface.

202 For the Sr isotopic analysis, bivalves were selected after visual inspection and following the 203 mineralogical results in order to distinguish and include samples representative of different mineral 204 formation processes (shell replacement, open-space filling). About 10 mg of sample were collected 205 both in the inner shell parts and on superficial layers by means of a microdrill apparatus, taking care 206 to avoid mixing. Sample GB30 was chemically treated using diluted ultrapure HCl on hot plate for 207 dolomite dissolution; the remaining samples were handled for the total dissolution of gypsum. Sr-208 isotope data were collected by solid-source thermal ionization mass spectrometry (TIMS) using a 209 Finnigan MAT 262 mass spectrometer at the Earth Sciences Department of Sapienza University 210 (Rome, Italy). The reported uncertainties represent in-run statistics at $2-\sigma$ confidence level. 211 Repeated analysis of the NBS 987 standard (n=10) gave an average 87 Sr/ 86 Sr value of 0.710245(9).

- and no correction was applied to the measured ratios for instrumental bias. An external error of
- ± 0.000010 on the measured Sr isotopic composition has been assumed.
- 214

215 **5. Results**

216

217 **5.1.** Macroscopic observations and mineralogical and microanalytical investigations

The four selected fossil vertebrates, the associated mollusks and the gypsum veins of each outcrop are illustrated by field photos and drawings in Figure 2. A short description with focus on their main taphonomic features is summarized in Table 1, accompanied by a list of the mineral samples from mollusks and from veins collected close to them.

222

223 5.1.1. The fossil vertebrates

224 Specimen O7 is a disarticulated small skeleton with associated bones of an immature (based on

unfused epiphyses of vertebrae) phocoenid consisting of a fragment of the skull (rostrum), several
vertebrae and several rib fragments. The bones have no concretions and the host sediment does not
exhibit color changes indicating diagenetic features.

228 Specimen M50 is a mysticete (probably balaenopterid) skull, lying in dorsal view, with broken

229 mandibles (Fig. 2A). Based on the equations provided by Lambert et al. (2010), the measured

bizygomatic width (ca. 1.5 m) allows an estimation of about 13 m for the original body length.

231 Thanks to the recent erosion that destroyed part of the posterodorsal wall of the braincase, a well-

developed dolomite nodule has been observed filling the endocranial cavity (Fig. 2B). Petrographic

233 inspections indicate that the nodule consists of sediment particles (mainly diatom frustules)

cemented by microcrystalline (micritic-microsparitic) dolomite, whereas local porosity is filled by

dolomite lozenges. The microcrystalline dolomite is spotted by reddish iron oxides and makes

transition to a black band, enriched in iron and manganese, close to the cranium bone. The bones of

the cranium at the boundary with the nodule show some structures uncertainly recalling

238 microborings.

Specimen C46 consists of several disarticulated but still associated lumbar and caudal vertebrae of an immature (based on unfused vertebral epiphyses) small-sized cetacean (Fig. 2C, D, E, F). These vertebrae are not enclosed in a dolomite concretion, but minor dolomite infilling occurs within bone porosity. For a distance of 10-20 cm from the bones, the sediment hosting the vertebrae is well lithified with respect to the surrounding lithology, as indicated by the emergence of gypsum veins all around, delimiting the specimen (Fig. 2C, D). Outside of this boundary, the sediment becomes

reddish-dark gray, and these color variations are here interpreted as variations in the concentration

of iron and manganese oxyhydroxides formed during early diagenesis in response to a redox

247 boundary, by analogy with similar examples documented by Gariboldi et al. (2015). Specimen C47 consists of few disarticulated vertebrae and fragments of ribs of an adult (based on 248 249 fused vertebral epiphyses) small-sized cetacean; the bones are still associated (Fig. 2G, H). The 250 cetacean C47 does not exhibit concretions as well; the sediment near the bones is characterized by 251 faint reddish-dark grey boundaries delimiting the specimen, similarly to C46. One juvenile tooth 252 belonging to a lamniform shark (Cosmopolitodus hastalis) was found near the ribs, but no shark bite 253 marks have been found on the bones. Inspections of a rib fragment from C47 under a scanning 254 electron microscope (Fig. 4A, B) indicated that the compact bone tissue consists exclusively of 255 calcium phosphate, without any substitution by other minerals. The uniform and dense appearance 256 of the bone tissue under SEM-BSE imaging indicates a rather high degree of apatite mineralization, 257 allowing a good preservation of the bone structures (Fig. 4C). The cortical tissue structures, such as 258 osteons, haversian canals and osteocyte lacunae, are well recognizable and there are no signs of 259 permineralization within bone porosity (Fig. 4C). On the other hand, the external rim of the cortical 260 bone shows an enlargement of haversian canals, possibly by mechanical erosion, and numerous 261 microborings (Fig. 4D), indicating the activity of bone-eating organisms, whose size and 262 distribution recall those designated as "type B" by Gariboldi et al. (2015). Some of the canals and 263 lacunae in the cortical tissue, as well as most of the larger voids of the trabecular tissue of the rib (not shown), contain sparse biogenic (diatoms) and terrigenous sediment particles and are partially 264 265 filled by bladed gypsum and minor silica and barite.

266

267 5.1.2. The mollusks

At CLQ, O7, M50, C46, and C47 are the sole vertebrate specimens that display associated mollusk bivalves. Indeed, mollusks (and, more in general, invertebrate remains) strictly associated to fossil vertebrates are rare in the Pisco Fm. The bivalves collected are not very well preserved and do not maintain their pristine shell, making hard their identification. Comparing some of these bivalves to other specimens from the Pisco Fm in different localities, we observed some similarities that allow us to identify some individuals as belonging to the genus *Hybolophus*.

Hand samples of some of the mollusks are shown in Figure 3, where some of the holes resulting

from sampling by microdrilling for isotopic and mineralogical analyses are visible. Identification of

the diagenetic minerals by XRPD is reported in Table 2.

- The bivalve specimen GB38, found near the vertebrae of O7, is preserved as one single valve
- 278 replaced by microcrystalline gypsum and partially filled by lithified sediment (Fig. 3A; Tables 1, 2).
- 279 Other two specimens found associated to this fossil vertebrate show a preserved hinge that allows
- us to identify these specimens as *Hybolophus* sp.
- The specimen GB47 is a replaced single shell found near the specimen M50 (Table 1). The original

Ca carbonate has been replaced by anhydrite and gypsum (Table 2). This bivalve is the only onefound near the skull of M50 and is not enough well preserved to be identified.

284 The mollusks found near the vertebrae of the cetacean specimen C46 are in life-position (Figure 2B, 285 Table 1). This supports that the bivalves lived near the cetacean bones rather than the bone-mollusk 286 association was due to post-mortem transport. Possibly, the partial cementation of the diatomaceous sediment hosting the remains preserved the infaunal bivalves in their original vertical position. 287 288 Some of the mollusks associated with C46 are preserved as a perfect carbonate internal mold 289 consisting of a dolomite nodule (Table 2). All the well preserved specimens found near this 290 cetacean remains show similarities in morphology with those of the genus Hybolophus. The 291 dolomite mold of GB30 shows the scars of two equal adductor muscles and an intact pallial line, 292 and is partially surrounded by microcrystalline gypsum, probably remnant of the gypsum-replaced 293 shell. Most shells, instead, are apparently entirely replaced and filled by gypsum (e.g. GB35, 294 GB36), showing a slight ornamentation with concentric ribs. XRPD data indicate that gypsum is 295 often accompanied by anhydrite (Table 2). When sectioned, these specimens reveal a core 296 consisting of a dolomite nodule of variable size, with the remaining space filled almost completely 297 by fibrous crystals of gypsum growing inward from the original valves (Fig. 3C). The fibers bend 298 where touching the internal nodule (Fig. 3C). The dolomite internal nodules are yellowish to dark 299 brown in color, and dark brown to black is also the color of the lithified host sediment just outside 300 several mollusks (Fig. 3C, D). SEM-EDS inspections reveal that the dolomite nodules consist 301 mainly of dolomite, as indicated by XRPD data (Table 2), containing scattered biogenic and 302 terrigenous clasts with cavities completely cemented by finely crystallized dolomite (Fig. 4C, D); 303 the blackened portions correspond to concentrations of manganese, probably present as a fine 304 cementing oxydroxide phase together with dolomite (Fig. 4D). Clearly, this situation recalls, at a 305 smaller scale, the Mn-Fe-enriched dolomite concretion filling the cranium of M50 whale specimen 306 (Fig. 2B).

307 Several mollusk bivalves are associated to the fossil vertebrae and ribs of the cetacean specimen
308 C47 and are mostly entirely replaced and/or filled by gypsum. Among these, the shell GB45 has
309 been sampled for analysis and consists entirely of gypsum (Tables 1, 2). The external part of the
310 shell of this mollusk shows concentric, poorly preserved ribs.

- 311
- 312 5.1.3. Veins

313 As regards the gypsum veins collected in correspondence of the specimens O7, M50, C46 and C47,

they are all sub-vertical fracture-filling veins with thickness of 0.5-2 cm (see Fig. 3E for an

315 example). Gypsum is fibrous and grows inwards orthogonally to the vein sides. In the studied area

316 we did not find veins with deformed gypsum, which are present elsewhere in the region (Rustichelli

et al., 2016). The XRPD data reported in Table 2 indicate that anhydrite is present together with

318 gypsum in all vein samples. In several samples of veins, the XRPD analyses have detected the

319 presence of variable amounts of quartz, which can be interpreted as belonging to the detrital

fraction. The mineralogical analysis has not revealed any other terrigenous or hydrothermalcomponent.

322

323 **5.2.** Sr-isotopes

- 324 The ⁸⁷Sr/⁸⁶Sr ratio measured on mollusks and veins is reported in Table 3.
- 325 As regards the mollusks, the dolomite inner mold (mollusk GB30) has ⁸⁷Sr/⁸⁶Sr ratio of 0.70899; for
- the gypsum replacing and filling mollusks, the Sr isotopic ratio ranges between 0.70858 and
- 327 0.70906, and no systematic differences are observed between external and internal parts and/or
- 328 among the different fossil specimens. Gypsum from veins is in the range 0.70864 0.71111, the

329 most radiogenic values being measured in the O7-GY sample. Despite the limited number of data,

- the ⁸⁷Sr/⁸⁶Sr data in mollusks and veins in Table 3, clustering at 0.708925-0,709060 and at
- 0.708587-0.708766, clearly suggest the contribution of Sr from mainly two isotopically distinct
- 332 sources, besides additional isotopic heterogeneities.
- 333

6. Discussion

335

6.1. Diagenetic and vein minerals accompanying the fossil marine vertebrates

A sequence of mineral formation and dissolution, occurring after burial and before exposure to
 supergenic fluids and weathering, can be envisaged for the studied vertebrate and invertebrate
 specimens.

340 Although the vertebrate specimens are not enclosed in a carbonate concretion, dolomite formed 341 small nodules inside the internal cavities of the articulate bivalves, as well as a larger nodule inside 342 the endocranial cavity of the M50 baleen whale skull. The microcrystalline texture of dolomite, 343 together with its occurrence in nearly closed, protected environments, having abundant decaying 344 organic matter and scarce exchange with the surrounding seawater (the still articulated and closed 345 shells of bivalves, the endocranial cavity, and in some cases the trabecular cavities of bones), 346 strongly suggest that dolomite formed with a localized process analogous to that forming carbonate 347 concretions enclosing fossil specimens. The latter process has been described as linked to anaerobic 348 organic matter decay through bacterial sulfate reduction, providing the alkalinity for carbonate 349 precipitation (Berner, 1981; Gariboldi et al., 2015; McCoy et al., 2015; Yoshida et al., 2015). In 350 particular, for dolomite concretions, biomediated sulfate reduction has been proposed to counteract 351 the inhibiting effect of sulfate on dolomite primary precipitation in a marine environment (Baker

352 and Kastner, 1981).

353 Outside these protected environments (the closed shells of bivalves and the endocranial cavities of

vertebrates), the development of dolomite is limited: dolomite was found in the sediment enclosing

the mollusks associated to C46, whose partial consolidation is also suggested by the arrangement of

356 gypsum veins emergences all around the specimen (Fig. 2C, D). The scarce formation of dolomite

around the bone and mollusks may indicate an insufficient sulfate reduction due to a steady

358 availability of sulfate-bearing and oxygenated seawater, allowing aerobic organic matter oxidation.

359 This suggests that the carcasses of the studied cetaceans remained exposed on the seafloor, instead

of being rapidly buried, while decay occurred. The disarticulation of the skeleton could have mostly
 occurred during this period of time.

362 Iron oxides and manganese oxide minerals accompany dolomite within and around the mollusks and in the endocranial nodule (Fig. 2B, 3 and 4). The textural relationships indicate that, in 363 364 correspondence of the studied mollusks. Mn precipitation always preceded gypsum (an example in 365 Fig. 3D). The textural relationships with dolomite, instead, are controversial. These observations, 366 joined to the fact that these Mn concentrations are not governed by permeability and neither line voids nor form dendritic growth structures on surfaces, does not support a late formation for Mn 367 368 minerals (Pfretzschner and Tutken, 2011). Instead, in our case we can propose that Mn 369 concentration next and within the dolomite nodules in mollusks and endocranial cavities was related 370 to the modified geochemical conditions induced by organic matter decay. In fact, Mn and Fe 371 reduction are among the first mechanisms for anaerobic organic matter degradation, after the 372 exhaustion of available oxygen, and this process could have increased the concentration of Mn and 373 Fe in porewater. With the involvement of porewater sulfate as an oxidizing agent for organic 374 matter, and the consequent production of sulfide, iron was fixed as iron sulfides. Additional sulfur 375 could be released, also, by decaying collagen (Pfretzschner, 2004). Evidence for processes of iron 376 sulfide formation at CLQ is provided by the common finding of ghosts of framboidal pyrite 377 (Gariboldi et al., 2015). Manganese, instead, is not influenced by sulfidic conditions. Its precipitation could be caused by the increase in alkalinity due to sulfate reduction, or to the resumed 378 379 exchange with oxygenated seawater at sea bottom after the exhaustion of organic matter. 380 Since, in some cases, the dolomite concretion has completely filled the internal cavity of the 381 articulated shells of the bivalves, forming an internal mold replicating the internal features, it is 382 possible to assume that the calcium carbonate shell was still present when dolomite formed. 383 However, no or negligible calcium carbonate is currently present in the studied samples, where 384 biogenic calcite is replaced by gypsum. The above depicted processes allow to suggest a possible 385 explanation for calcite dissolution. In fact, the resuming oxygenated conditions around and within 386 bivalves, besides causing the precipitation of Mn, caused the oxidation of the previously formed

387 iron sulfides, or of the sulfide produced by sulfate reduction, leading to a reduction of pH (Coleman 388 et al., 1985). The resulting local acidification can be proposed as a cause for the dissolution of the 389 calcium carbonate shells, similarly to what has been proposed in other carbonate-bearing 390 sedimentary environments (Lin et al., 2016; Pirlet et al., 2010). The same authors suggest that the 391 resulting elevated Ca concentration in porewater and the availability of sulfate can also play a role 392 in the formation of authigenic gypsum; however, in our case, this mostly disagrees with the Sr 393 isotopic composition of gypsum (see below). Moreover, although it cannot be excluded that part of 394 the gypsum forming the mollusks was early diagenetic (in some cases, more than one phase of 395 gypsum is suggested by inspection of texture within replaced mollusks), a formation of gypsum 396 extended to late diagenesis at CLQ is indicated by the abundant veins and cavities filled by gypsum 397 with fibrous texture, which would not be explained by the above process alone.

398

6.2. Constraints from Sr isotopic composition of minerals

400 The ⁸⁷Sr/⁸⁶Sr isotope-ratio in the world's oceans has varied through geological time, and the Sr 401 isotopic composition may be used, in principle, to date marine minerals, to correlate stratigraphic 402 sections of marine deposits and to define the biostratigraphic and paleoenvironmental framework 403 (Faure and Mensing, 2005). The basic assumption for the application of the Sr-isotopes method to 404 determine the specific age of Sr-bearing marine mineral phases is that the ocean Sr isotopic 405 composition is homogeneous; this is supported by the Sr residence time in the oceans that is about 406 three orders of magnitude longer compared with the time required by the oceans to mix, allowing 407 the formation of a homogeneous Sr-isotope reservoir within ± 0.00002 of the 87 Sr/ 86 Sr ratio (De 408 Paolo and Ingram, 1985). However, the Sr isotopic pattern of seawater during the Phanerozoic is 409 complex, in some cases resulting in ambiguous dating. Exception is the monotonic and steep 410 increase of the Sr isotopic composition of seawater since the Oligocene to the present day, allowing reliable numerical ages to be obtained in this time-span (McArthur et al., 2001). This can be done 411 through the analysis of marine mollusks, assuming that the ⁸⁷Sr/⁸⁶Sr ratio of seawater is preserved in 412 413 the carbonate shell since the time of incorporation and early diagenesis. 414 In the studied mollusk samples, the original carbonate shell is not preserved and has been replaced 415 by gypsum; the inner mold is made of dolomite. Following the Sr-isotope chronostratigraphy (McArthur et al., 2001), the ⁸⁷Sr/⁸⁶Sr ratio measured in the dolomite filling of the GB30 specimen 416 (C46 fossil whale) yields a numerical age of 6.2±0.4 Ma. Such date is consistent with the absolute 417 418 radiometric age of 6.93±0.09 and 6.71±0.02 Ma obtained by ⁴⁰Ar/³⁹Ar analyses (Di Celma et al., 419 2016a) on biotite from tephra layers about 10 m below and 40 m above, respectively (Fig. 1). This 420 overall correspondence is in agreement with a formation of dolomite as an early seawater

421 precipitate during the Messinian, favored by the local increase of porewater alkalinity due to

422 organic matter oxidation and sulfate reduction (Bontognali et al., 2013; Vasconcelos et al., 1995), 423 confirming the hypothesis of Gariboldi et al. (2015) of early dolomite precipitation for the 424 formation of the nodules wrapping fossil marine vertebrates in the Pisco Fm. With similar 425 mechanisms, the occurrence of dolomite layers in sediments from the Peru Margin has been related 426 to the conditions established at shallow depth below the seafloor during early diagenesis, at the sulfate reduction-methanogenesis boundary in the sedimentary column (Meister et al., 2007). 427 The ⁸⁷Sr/⁸⁶Sr ratio of gypsum from mollusks deviates from the isotopic value of dolomite, towards a 428 429 lower isotopic composition (with the exception of sample GB45B which is slightly higher), in some 430 cases overlapping with the ⁸⁷Sr/⁸⁶Sr ratio measured in gypsum veins. To understand these results, it 431 is necessary to discuss the possible sources of Sr responsible for the isotopic composition of 432 gypsum, and the mechanism of gypsum formation. Evaporation of seawater is the most common, 433 although not the sole, mechanism of formation for gypsum in marine sediments (Horeau et al., 434 2011). In the hypothesis of seawater evaporation, calcite precipitation causes a chemical divide in 435 the water chemistry (Drever, 1998) allowing gypsum to form when all carbonate has been removed. 436 A Sr isotopic composition of gypsum close to dolomite would have been expected if the isotopic 437 equilibrium with evaporating seawater was attained. Similarly, a Sr isotopic composition close to 438 dolomite would have been expected if all the gypsum was related to an early oxidation of sulfide to 439 sulfate. The observed disequilibrium requires an isotopically distinct source for Sr. Due to the high 440 Sr content of seawater compared to freshwaters, it is unlikely that river inputs with different Srisotope ratio were able to cause these deviations. A possible explanation is that the ⁸⁷Sr/⁸⁶Sr ratio of 441 442 gypsum reflects a pre-Miocene seawater-derived brine as a source for Sr, characterized by a lower 443 ⁸⁷Sr/⁸⁶Sr ratio, entrapped in the sediment pores of the shelf sites for a long time (Kastner et al., 444 1990; Meister et al., 2007). The migration of these brines and their interaction with the sediments, 445 possibly modifying their isotopic composition, is able to explain the variability of the gypsum Sr-446 isotope data and is in agreement with the observation that gypsum formation post-dates of an 447 undetermined interval of time the early diagenetic minerals (dolomite, Mn minerals). A role for old evaporitic layers in providing a saline fluid component to these brines cannot be excluded. Despite 448 449 the common occurrence of gypsum-filled faults and fractures (Rustichelli et al, 2016), no evaporitic 450 successions or layers of primary gypsum have been observed along the sedimentary sections 451 exposed at CLQ (Di Celma et al., 2016a); however, in the Pisco Fm, evaporites have been reported 452 by Marocco and de Muizon (1988), indicating that local evaporitic basins formed during 453 sedimentation of the Pisco Fm.

The upward migration of the saline fluids would control the formation of most gypsum veins. Only one vein distinguishes for a significantly higher Sr-radiogenic signature with respect to the remaining samples and falling outside the range of marine waters. This might reflect a contribution

- 457 from hydrothermal fluids where volcanic SO_2 is the primary driver for sulfate production, and Ca 458 (and Sr) originated from the alteration of Ca-bearing silicate minerals and volcanic glass in Mio-Pliocene ignimbrites, having ⁸⁷Sr/⁸⁶Sr that reaches 0.7110 (Mamani et al., 2010). 459 Some considerations can be done regarding the occurrence of anhydrite. Anhydrite has been 460 461 revealed by XRPD analysis of veins and of mollusks, along with gypsum (Table 2). Anhydrite is favored in respect to gypsum by pressure conditions sufficient for dehydration, by temperature 462 463 (above 55°C at 1 atm) and by salinity of the solution. The transition of gypsum to anhydrite due to the sedimentary overburden requires at least 0.5-1 km of load (Jowett et al., 1993), but, since the 464 465 maximum thickness of the entire Pisco Fm is less than 1 km (Dunbar et al., 1990), we can exclude pressure as a factor explaining the occurrence of anhydrite, as already suggested by Rustichelli et al. 466 467 (2016). These authors suggest weathering in the hot and arid desert climate as a cause for the presence of anhydrite in the outcropping veins of the Pisco Fm. Alternatively, we propose that the 468 469 circulation of high-salinity brines in the subsoil could have favored the partial dissolution of 470 gypsum and precipitation of anhydrite, prior to exhumation. Anhydrite could have survived without 471 rehydrating to gypsum due to the arid environment encountered after exhumation (see Puevo et al., 472 2001 for anhydrite associated to evaporitic gypsum in the Atacama Desert).
- 473

474 **6.3. Implications for the preservation of vertebrate remains**

475 It has been ascertained that, in several cases, the preservation of complete and articulated 476 vertebrates in the Pisco Fm was favored by the formation of dolomite concretions in an early 477 diagenetic stage (Gariboldi et al., 2015; Gioncada et al., 2016). However, the observation that the 478 Pisco abundance of fossil vertebrates is also due to many skeletons preserved without a nodule, in 479 poorly lithified sediments (e.g., Marx et al., 2017), suggests that the diagenetic environment was 480 overall favorable to the preservation of the bones both during the early diagenetic stage and 481 subsequently, although recent erosion limited the chance to find specimens with high completeness 482 and articulation out of the nodules.

483 The preservation of bones of marine vertebrates through diagenesis strongly relies on the early 484 diagenetic environment favoring the mineralization process of bone tissues and counteracting 485 possible processes of bone dissolution during further diagenesis (Pfretzschner, 2004; Keenan, 486 2016). Early diagenesis of bones is characterized, at first, by destructive processes related to the 487 intense microbial activity and to decay of collagen, then by the preserving mechanisms allowing 488 bone fossilization through hydroxylapatite recrystallization to a more stable Ca-phosphate mineral 489 phase (Pfretzschner, 2004; Piga et al., 2011; Trueman, 1999; Trueman et al., 2004; Keenan, 2016). 490 The minerals and features identified in correspondence of the vertebrate and invertebrate remains 491 and the preliminary Sr-isotope data allow to constrain the early diagenetic processes occurred in

492 correspondence of these vertebrate remains of CLQ. The bones of the studied specimens display 493 evidence of degradation (microborings) which occurred early, due to the action of bacterial activity 494 on carcasses, and resulted in a remarkable weakening of the outer part of the compact bone (Fig. 495 4B). On the other hand, the internal structures of the compact bone are well preserved by Ca-496 phosphate (Fig. 4A), highlighting the occurrence of processes of bone mineralization accomplished 497 through chemical exchanges of bone with seawater during early diagenesis, concomitantly to the 498 degradation of collagen. Sulfate reduction and manganese reduction (among other mechanisms of 499 organic matter oxidation) are testified respectively by the presence of dolomite and manganese 500 minerals in correspondence of the fossil invertebrates, but the formation of these minerals does not 501 affect the bones unless in the most closed environments (endocranium). The further history of these 502 vertebrate remains is registered only in gypsum and very minor barite filling the larger cavities of 503 bones, and other evidence of bone tissue degradation, such as chemical attack or dissolution, or 504 replacement by common late minerals such as calcite, Fe-Mn oxides, silica, have not been detected. 505 This is in agreement with the porewaters being dominated by the concentrated brines migrating in 506 the sedimentary successions, maintaining a geochemical environment favorable to apatite 507 crystallization in time.

508

509 7. Conclusions

510 The elevated concentration of fossil marine vertebrates at CLQ, along with an exceptional 511 preservation of bones both with and without carbonate concretions, suggests that the diagenetic 512 environment of the Pisco Fm was overall favorable to the preservation of bones. The study of the 513 bone remains and associated fossilized mollusks in the diatomaceous strata of the Pisco Fm at CLQ 514 gives insights into the fossilization path, contributing to the understanding of the preserving 515 environment. In particular, the temporal sequence of diagenetic minerals displayed by the mollusks 516 helps to understand the geochemical environment where bone fossilization occurred. 517 Dolomite and Mn minerals formed with different mechanisms during the early diagenesis, 518 following the Mn and sulphate reduction processes related to the decay of organic matter in 519 environments with limited supply of oxygenated seawater, such as inside the internal cavity of 520 articulated mollusk shells and the endocranial cavity of whale's skull. The Sr isotopic composition 521 of dolomite confirms an early formation from late Miocene seawater. Subsequently, but still during 522 the early diagenesis, oxidation of the diagenetic sulfide may have lowered the pH enough to 523 dissolve the bivalve shells, explaining the current lack of calcite. The void left was then filled by 524 gypsum. 525 Differently from dolomite, the Sr isotopic data on gypsum sampled close to fossil vertebrates, as

526 veins and as mollusk replacement and filling, deviate from the isotopic value of seawater at the time

- 527 of sedimentation towards a lower isotopic composition. This indicates that the late Miocene
- 528 diatomaceous sediments of the Pisco Fm were interested by circulation of fluids deriving from an
- 529 older seawater, replicating the situation that is currently observed for the present Peruvian shelf
- sediments. The occurrence of anhydrite formed at the expense of gypsum supports the involvement
 of concentrated brines circulating within these sedimentary sequences.
- 532 Although the compact bones without concretion is weakened, in the external part of it, by the
- 533 presence of microborings formed by the bone-eating bacterial activity, the bone tissue shows a
- rather good apatite mineralization. Thus, the sedimentary sequences permeated by the above
- 535 mentioned concentrated brines seem to have maintained a geochemical environment favorable to 536 apatite stability in time.
- 537

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- 546

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Fig. 1. Geological and stratigraphic framework for the studied fossil specimens: geological sketch
map with location of CLQ and schematic stratigraphic section measured at CLQ, expanded to show
the position of the studied fossil vertebrates in the fossil-rich stratigraphic interval (modified from
Bianucci et al., 2016a).

742

Fig. 2. Fossil vertebrate remains and associated mollusks in the field at CLQ. A. Skull and mandibles of the mysticete specimen M50. B. Detail of the endocranial nodule of M50. C, D. Mollusks in life position with disarticulated but associated vertebrae of the cetacean specimen C46. E, F. Detail of mollusks in life position near the bones of C46. G, H. Mollusk filled by gypsum near the cetacean specimen C47.

748

Fig. 3. A. Mollusk valve GB38 (associated with the cetacean specimen O7) with microdrilling hole (arrow); the internal cavity of the bivalve is filled by lithified sediment. B. Mollusks GB35 and GB36 (associated with the cetacean specimen C46). C. Mollusk specimen GB35 (associated with the cetacean specimen C46) after sectioning, showing the complex internal filling structure and the external Mn concentrations; the dotted line highlights the fibers of gypsum bending where touching the pre-existing nodule. D. Manganese concentrations out of a mollusk specimen, cut by a gypsum veinlet. E. Example of gypsum veins.

756

Fig. 4 A. B. SEM-BSE images at different scale of the cortical bone tissue of a rib of the cetacean
specimen C47, sectioned orthogonally to elongation. In B the external part of the bone shows
microborings. The tissue weakened by microborings was probably more prone to mechanical
erosion and thus it is only partially preserved. C. SEM-BSE image of the internal nodule of mollusk
specimen GB34, consisting of finely crystalline dolomite and scattered biogenic and detrital
fragments. D. EDS spectrum (raster window analysis) of the dolomite concretion in C (above) and
of the Mn-bearing concretion of the same specimen (below).

764









Table 1. Fossil vertebrate specimens list, with geographical coordinates, identification, summarized taphonomic features, and the mollusk and vein samples in correspondence of each of them.

Fossil specimen ⁽¹⁾	Geographica I coordinates	Systematic determinatio n	Short description and field taphonomic features	Host sediment	Field evidence of early diagenetic processes ⁽²⁾	Sample	Sample short description	Referenc e to figures
07	14° 31' 30.4" S 75° 42' 56.6"	Phocoenidae indet.	Disarticulated but associated skeleton of an immature (unfused epiphyses of vertebrae) phocoenid consisting of a fragment of skull (rostrum), several vertebrae and	diatomaceou s mudstone	no external concretion; no Fe-Mn-rich boundary	O7-GY GB38A	vein of fibrous gypsum, 1 cm thick gypsum-replaced bivalve shell, outer part	Fig. 3A, E
	W	mact.	rib fragments; incompleteness and breakings partially due to recent erosion.			GB38B	gypsum-replaced bivalve shell, interior part	
M50	14°31'29.4" S 75°42'55.4" W	Misticeti indet.	Skull and articulated mandibles upside down of a relatively small balaenopterid (bizygomatic width: 1.5 m: estimated body length: ca 13 m): specimen partially destroyed by recent erosion.	diatomaceou s mudstone	dolomite concretion filling cranium, with Fe- Mn concentrations; no external concretion; no Fe-Mn-rich boundary	GB47	gypsum-replaced bivalve shell	Figs. 2A, 2B
						GY3	vein of fibrous gypsum, 1.5 cm thick	
C46	14° 31' 19.2" S 75° 43' 00.6" W	9 19.2" 5 Cetacea 9 00.6" indet. V	Several disarticulated but associated lumbar and caudal vertebrae of an immature (unfused epiphyses) small size cetacean.	lithified diatomaceou s mudstone	no external concretion, but hard sediment; minor dolomite within bone porosity and as interior mold of bivalves; traces of a Fe-Mn-rich boundary	GB30	dolomite inner mold of a gypsum- replaced bivalve	Figs. 2C, D, E, F;
						GB35	bivalve replaced and filled by fibrous gypsum, shell outer part	3B, C; 4C
						GB36	bivalve replaced and filled by fibrous gypsum, interior	
C47	14° 31' 29.9" S 75° 42' 56.1" W	29.9" Cetacea 56.1" indet.	Several disarticulated but associated vertebrae and ribs of an adult (fused epiphyses) small size cetacean; one tooth belonging to a invocible Commonitadue heat dis	diatomaceou s mudstone; volcanic ash just below	no external concretion; traces of a Fe-Mn-rich boundary	C47-GY	vein of fibrous gypsum, 0.5-1 cm thick	
						GB45A	bivalve filled by fibrous gypsum, outer part	Figs. 2G, H, 4A, 4B
				shark was found near the ribs.	the specimen		GB45B	bivalve filled by fibrous gypsum, interior

(1) see Bianucci et al. (2016a); (2) we considered field evidence of early diagenetic processes, related to the decay of the whale organic matter, the presence of dolomite concretions and of concentrations of iron and manganese (= redox-dependent elements).

Fossil specimen	sample	description	diagenetic/vein minerals	detrital minerals
	C07-GY	vein	gypsum, anhydrite	
07	GB38A	shell	gypsum, traces of anhydrite	quartz
	GB38B	inner mold	gypsum, anhydrite	
M50	GB47	shell	gypsum, anhydrite	quartz
C46	GY3	vein	gypsum, anhydrite	quartz
	GB30	inner mold	dolomite	
	GB35	shell	gypsum, minor anhydrite	
	GB36	inner mold	gypsum, traces of anhydrite	traces of quartz
	C47-GY	vein	gypsum, anhydrite	quartz
C47	GB45A	shell	gypsum	
	GB45B	inner mold	gypsum	

Table 2. XRPD results of the analyses of the post-burial minerals collected in correspondence of the selected vertebrates.

Fossil specimen	Sample	⁸⁷ Sr/ ⁸⁶ Sr	abs. error*
	O7-GY	0.711110	0.000008
O7	GB38A	0.708582	0.000007
	GB38B	0.708650	0.000008
M50	GB47	0.708645	0.000009
	GY3	0.708644	0.000009
C46	GB30	0.708992	0.000008
0.40	GB35	0.708925	0.000007
	GB36	0.708587	0.000009
	C47-GY	0.708766	0.000007
C47	GB45A	0.708714	0.000009
	GB45B	0.709060	0.000009

Table 3. Sr isotope composition of the post-burial minerals collected in correspondence of the selected vertebrates.

*in-run statistics at 2-s confidence level