

1 **Plasma Sprayed Hydroxyapatite Coatings: Understanding Process**
2 **Relationships using Design of Experiment Analysis**

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24 **Abstract**

25 The biocompatibility and osteoconductivity of hydroxyapatite (HA) coatings have led to
26 their use in a wide range of applications in dentistry and orthopaedics. One such application
27 is for the uncemented fixation of implants, where coatings are commonly applied to
28 titanium implants using a plasma thermal spraying process. The spraying process is
29 affected by a large number of parameters leading to highly complex process – property –
30 structure relationships. In a step forward from one-at-a-time analyses, this study used
31 Design of Experiment (DOE) methodology to investigate the simultaneous effects of key
32 plasma spray process parameters on hydroxyapatite coatings for biomedical applications.
33 The effects of five plasma spray process parameters (current, gas flow rate, powder feed
34 rate, spray distance and carrier gas flow rate) on the roughness, crystallinity and purity of
35 hydroxyapatite coatings was determined using a fractional factorial design. The results of
36 this study enabled identification of consistent and competing influences within the process
37 and the identification of some first order interactions. In particular, the diffuse particle size
38 of the HA feedstock powder was found to influence the responses observed within the
39 parameter range investigated. The roughness of HA coatings was found to relate to the
40 particle velocity and the degree of particle melting occurring, with higher coating roughness
41 resulting when current was high, gas flow rate was low and powder feed rate was high.
42 Highest coating crystallinity resulted at high current, low spray distance and low carrier
43 gas flow rate. Under these conditions deposition of larger HA particles resulted leading to
44 higher amounts of bulk crystalline material and the low spray distance increased the
45 substrate temperature allowing amorphous material to recrystallise. Coating purity relates
46 directly to thermal decomposition of the particles within the plasma jet with a high purity

47 coating resulting at low particle temperatures i.e at the lower ranges of powder feed rate,
48 spray distance and carrier gas flow rate. This study thus brings greater clarity on the effects
49 of plasma spray process parameters on the properties of resultant hydroxyapatite coatings.

50

51 **Keywords**

52 Plasma spraying, hydroxyapatite, Design of Experiment (DOE)

53

54 **1. Introduction**

55 Hydroxyapatite (HA; $\text{Ca}_{10}(\text{PO}_4)_6(\text{OH})_2$) is a bioceramic with a composition similar to that
56 of the mineral component of bone. It is biocompatible and osteoconductive, allowing the
57 growth on bone cells on its surface [1, 2, 3, 4, 5]. As a result of its favourable biological
58 properties it has been used successfully for many applications in dentistry and
59 orthopaedics. One such application is as a coating applied to hip implants, where it provides
60 implant fixation. The most commonly used method for the production of HA coatings is
61 the atmospheric plasma spraying (APS) process [6, 7]. This is a thermal spray process in
62 which powder particles are melted in a plasma jet and propelled towards the substrate
63 material. The process involves passing a readily ionised gas through an electric arc, formed
64 between a cathode and an anode, resulting in the formation of a plasma jet. The plasma
65 formed is unstable and quickly recombines releasing a large amount of thermal energy.
66 Particles are fed into this high temperature jet, melted and propelled at high velocities
67 towards the substrate. Temperatures involved can potentially be in excess of $15,000^\circ\text{C}$
68 depending on the selected process parameters [8,9,10]. The process has been used for many

69 years to apply of a variety of coatings used to protect surfaces from severe harsh
70 environments, such as, wear, corrosion and thermal effects.

71

72 Atmospheric (air) plasma spraying (APS) is a complicated process, affected by as many as
73 50 parameters, and for this reason the process - property – structure relationship are still
74 not fully understood [11,12]. Clinically, HA coated implants have been found to remain
75 functional *in vivo* for up to 15 years [13]. HA coatings are naturally resorbed in the body,
76 releasing calcium and phosphorus ions needed to enable replacement of the coating by
77 ingrowing bone tissue over time; however, delamination or rapid dissolution due to coating
78 instability can lead to short-term implant failure [2, 14,15]. The stability of HA coatings
79 has been shown to be largely affected by its crystallinity and purity [3]. Highly amorphous
80 coatings dissolve more quickly leading to the rapid weakening and disintegration of the
81 coating [3,16]. Coatings with a high degree of crystallinity have lower dissolution rates and
82 are thus more stable *in vivo* [11]. The production of HA coatings using APS has added
83 complexities relating to the decomposition of HA at high temperatures leading to the
84 formation of less stable calcium phosphate phases, such as α -tricalcium phosphate (α -
85 TCP), β -tricalcium phosphate (β -TCP), tetracalcium phosphate (TTCP) and calcium oxide
86 (CaO) [17-20]. Control over the phase purity of HA coatings is thus critically important.
87 In terms of requirements for biomedical applications, ISO standards for hydroxyapatite
88 coatings specify a requirement for a crystallinity of > 45 % and a purity of > 95 % [21]. In
89 addition, early biological responses to HA coatings are influenced by the surface roughness
90 of the coating which affects osteoblast cell attachment and thus bone growth on the coating
91 once it is implanted into the body. Whereas fibroblasts and epithelial cells prefer smoother

92 surfaces, osteoblasts attach and proliferation better on rough surfaces [22, 23]. It is thus
93 clear that in order to improve implant life, the tailoring of the properties of HA coatings
94 is necessary [24, 25]. This can only be achieved through a clearer understanding of the
95 spraying process.

96

97 Numerous studies have investigated the effects of varying process parameters on various
98 properties of HA coatings [6, 25-37]. Contradictions exist within the literature, for
99 example, increased power or current was found by Tsui *et al.* [30] and Sun *et al.* [28] to
100 lead to a decrease in the purity and crystallinity of HA coatings. However, Yang *et al.* [31]
101 found crystallinity to increase with increasing spray current. Dyshlovenko *et al.* [38-39]
102 and Cizek and Khor [40] report net power to have the greatest influence on crystallinity.
103 One method that has been successfully used in order to establish the relationship between
104 process parameters and the properties of a resultant coating is the Design of Experiment
105 (DOE) technique. DOE studies of a variety of plasma sprayed coatings have been carried
106 out, including alumina [11, 41], titanium dioxide [42, 43], zirconia [44, 45], titanium nitride
107 [46] and alumina-titania [11, 47]. DOE experimental techniques have also been applied in
108 the investigation of the complex process relationships involved in plasma sprayed
109 hydroxyapatite coatings [39-40, 48-53]. While these studies have brought about some
110 clarity to the relationships between the spray process parameters and resultant HA coating
111 properties, further understanding of these relationships is required. In this study, a Design
112 of Experiment (DOE) methodology has been used in order to gain additional understanding
113 of parameter interaction and desirable parameter ranges for plasma spraying of HA
114 coatings. The specific objectives of the study were to assess the effects of varying five

115 process parameters: current (A), gas flow rate (B), powder feed rate (C), spray distance (D)
116 and carrier gas flow rate (E), on the crystallinity, purity and roughness of plasma sprayed
117 hydroxyapatite coatings; key properties that influence coating stability and cellular
118 response upon implantation.

119

120 **2. Experimental Methods**

121 2.1. Materials

122 Titanium alloy, Ti6Al4V, was selected as the substrate material in this study as it is
123 typically used in femoral implants as the receiving substrate for HA coatings. Discs, 10 mm
124 in diameter with a thickness of 2 mm, were used. The discs were grit-blasted prior to
125 spraying at a pressure of 5 bars and an angle of incidence of 75°, using pure white
126 aluminium oxide (Al₂O₃) grit with a particle size of 500 µm (mesh 36), selected due to its
127 biocompatibility. After grit blasting, loose grit particles were removed using high pressure
128 air. The discs were then cleaned for 5 mins in an ultrasonic cleaner. The average surface
129 roughness (Ra) of the discs was determined, using the SurfTest 402 surface profilometer, to
130 be approximately 3.2 µm.

131

132 The HA powder used for the coating process was Captal 60-1 Thermal Spraying HA
133 powder (Plasma Biotal Ltd, UK). This powder is reported by the manufacturer to have an
134 average particle size of 45 µm. Particle size analysis was carried out using the Malvern
135 Mastersizer particle size analyser to determine the particle size distribution. Powder
136 morphology was examined using scanning electron microscopy (SEM) (LEO 440 Stereo
137 Scan, Leica, UK), using a current of 150 pA, accelerating voltage of 15 KeV and a

138 magnification range of 50-200 x. The surface area of the powder was determined using
139 Micromeritics GEMINI BET surface area analyser (Georgia, USA). Powder particle
140 density was determined using the Helium Pycnometer (Micromeritics, Georgia, USA).

141

142 2.2. Experimental Design

143 The experiment was designed using the statistical software, Design-Expert 7.0 (Stat-Ease
144 Inc., Minneapolis, USA). A 1/4 fraction fractional factorial design (2^{5-2} design) was used to
145 investigate the effect of various process parameters (factors) on the properties of HA
146 coatings. Five factors were investigated, current (A), gas flow rate (B), powder feed rate
147 (C), spray distance (D) and carrier gas flow rate (E). Two levels were selected for each
148 parameter, based on parameters levels that are currently reported in literature (N1-N8) [26-
149 31, 39, 50, 54]. In addition, three centre point experiments were included to provide a
150 measure of process stability and inherent variability while also checking for curvature (N9-
151 N11). The parameter ranges selected are detailed in Table 1. The design consisted of 11
152 experiments, details of which are given in Table 2. The experiments were carried out in
153 random order to ensure that systematic errors did not influence the results.

154

155 A polynomial equation was used to describe the relationship between the experimental
156 factors and each response (Equation 1):

157

158
$$Y = \beta_0 + \sum_{i=1}^5 \beta_i X_i$$
[Eqn. 1]

159

160 where Y is the response, β_0 is the mean value of the response, β_i represents the coefficient
161 of the variable Xi.

162

163 The results obtained from the study were analysed using the Design Expert software. The
164 main affects on each response were modelled using the backward selection method to
165 eliminate insignificant terms ($P\text{-value} \leq 0.01$). The analysis of variance (ANOVA) test was
166 used to determine the statistical significance of the developed equations. Statistical
167 measures, R^2 , Adjusted R^2 , Predicted R^2 and Adequate Precision, were used to determine
168 the adequacy of the resultant equations. The most important of these measures is the R^2
169 value, which is a number between 0 and 1 and should be greater than 0.6 in order to indicate
170 an adequate equation [55].

171

172 2.3. Plasma Spraying

173 Plasma thermal spraying was carried out using a Sulzer Metco 9MB plasmatronfitted with
174 a 3M7-GH nozzle (Sulzer Metco, Winterthur, Switzerland). High purity argon was used as
175 both the plasma forming gas and the powder carrier gas. No secondary gas was used. A
176 traverse speed of 38 mm/s and a spray time of 35 s were used for all coatings, resulting in
177 15 passes of the spray gun. Coatings were sprayed according to the experimental matrix
178 described in Table 2.

179

180 2.4. Coating Characterisation

181 Three responses were measured, roughness, crystallinity, and purity. Surface roughness,
182 Ra, was measured using the SurfTest 402 surface profilometer (Mitutoyo, Michigan, US).
183 Four measurements were taken for each sample, with the sample orientation changed
184 between each measurement. The surface morphology of each coating was also examined
185 using the LEO 440 Stereo Scan Scanning Electron Microscope, using a current of 150 pA,
186 accelerating voltage of 15 KeV and a magnification range of 50-200 x. The crystallinity
187 and purity of HA coatings were determined from X-ray diffraction patterns, obtained using
188 the Bruker D-8 Advance Diffractometer (Coventry, UK) with a copper anode. A locked-
189 couple scan was carried out between 20 and 60° 2θ. An increment of 0.02 and a scan speed
190 of 5 sec/step were applied.

191

192 The % crystallinity was calculated by comparing the crystalline area of the XRD pattern to
193 the total XRD pattern area, using Equation 2 [28, 30, 56, 57]. The % purity was calculated
194 by comparing the impurity area to the total crystalline area, using Equation 3. The areas
195 used for the crystallinity and purity calculations were identified and measured using the
196 curve fitting function in the Bruker Diffract Plus EVA software (Bruker AXS, UK).
197 Crystallinity and purity measurements were repeated three times for each coating.

198

199
$$Crystallinity(\%) = \frac{A_C}{A_T} \times 100 \dots\dots\dots [Eqn. 2]$$

200

201 where A_T is the area under the total diffraction pattern and A_C is area under the diffraction
202 pattern once the amorphous part of the pattern has been removed using the curve fitting
203 function in the Bruker Diffract Plus EVA software (Bruker AXS, UK)..

204

205
$$Purity(\%) = \frac{A_I}{A_C} \times 100 \dots\dots\dots [Eqn. 3]$$

206

207 where A_C is the crystalline area from the diffraction pattern and A_I is the total impurity
208 area, i.e. the sum of the areas of the peaks between $29^\circ 2\theta$ and the base of the tallest HA
209 peak (2 1 1 peak).

210

211 **3. Results**

212 3.1. Powder Characterisation

213 The initial HA powder was found to have an irregular morphology, as can be seen from
214 the micrograph in Fig. 1. The particle size analysis results, shown in Fig. 2, indicate that
215 the size of the particles fall within two separate clusters, one between 0.1 and 1.0 μm and
216 the other between 10 and 100 μm . The mean particle size of the HA powder was found,
217 from the laser particle size analysis, to be 38.3 μm . The average density of the powder
218 sample was found using helium pycnometry to be 3.28 g/cm^3 . The surface area of the
219 powder was found using BET surface area analysis to be 0.4640 m^2/g . The HA powder had
220 a crystallinity of 99.96 %. From analysis of the XRD pattern the powder contained 99 %
221 pure HA (JCPDS 9-0432) with a trace amount of tetracalcium phosphate (TTCP, JCPDS
222 25-1137).

223

224 3.2. Measured Responses

225 Following spraying, each of the resultant coatings was inspected. The measured responses
226 for each experimental run (N1 – N11) are given in Table 3. The coating from run N1 was
227 very thin, with the substrate visible through the coating and thus crystallinity and purity
228 measurements for this sample could not be obtained. In addition, the measured roughness
229 values were very low and were not included in the analysis. The crystallinity and purity
230 measurements for coating N10 were much lower than those of all other coatings and it was
231 thus deemed to be an outlier and was not included in the analysis. The centre point
232 experiments (N9 and N11) showed good process reliability. The surface roughness (Ra) of
233 the coatings was found to vary between $6.2 \pm 0.7 \mu\text{m}$ (N3) and $13.4 \pm 0.7 \mu\text{m}$ (N6).
234 Micrographs of the coatings with the lowest (N3) and highest (N6) Roughness are shown
235 in Fig. 3. The % crystallinity ranged from 65.2 % (N5) to 87.6 % (N2). The XRD patterns
236 for coatings with the lowest (N5) and highest (N2) crystallinity are shown in Figure 4.
237 Micrographs of coatings N5 and N2 are shown in Figure 5 (a) and (b) respectively. The %
238 purity was found to range between 95.5 % (N8) and 99.4 % (N2). The XRD patterns for
239 the coatings with the lowest (N8) and highest (N2) purity are shown in Fig. 6. Overall, all
240 coatings met the > 45 % crystallinity and > 95 % purity required by ISO 13779-2:2000
241 (Implants for surgery- Hydroxyapatite. Coatings of hydroxyapatite) [21].

242

243 3.3. Roughness

244 Roughness was found to be significantly affected by three factors: current (A), gas flow
245 rate (B) and powder feed rate (C) (P-value ≤ 0.01), with highest roughness resulting at high
246 current, low gas flow rate and high powder feed rate. The regression equation for roughness
247 is presented in Table 4, expressed in terms of coded factors in Equation 4 and actual factors
248 in Equation 5. The coded factors equation uses the coded low and high levels (-1 and 1)
249 from the experimental design, whereas the actual equation incorporates the numerical
250 differences between the factors in the equation. It can be seen from the coded factors
251 equation (Equation 4), that current has the greatest affect on roughness, followed by gas
252 flow rate and powder feed rate. The predicted vs. actual graph (Fig. 7a), shows that the
253 actual experimental values closely fits the values predicted by the equation, represented as
254 a straight line in the graph. The statistical measures, summarised in Table 5, indicate the a
255 good fit of the data to the equation.

256

257

258 3.4. Crystallinity

259 Statistical analysis of the results showed that the crystallinity of the coating was
260 significantly affected by the current (A), spray distance (D) and carrier gas flow rate (E)
261 (P-value ≤ 0.01). The regression equation for crystallinity is presented in Table 4,
262 expressed in terms of coded factors in Equation 6 and actual factors in Equation 7. Current
263 was found to have the greatest effect, followed by carrier gas flow rate and then spray
264 distance, with highest crystallinity at high current, low spray distance and low carrier gas

265 flow rate. The statistical measures summarised in Table 5 and predicted vs. actual graph
266 for crystallinity, (Fig. 7 b), indicate a good fit of the data to the equation.

267

268

269

270 3.5. Purity

271 Statistical analysis of the results showed that the purity of the coating was significantly
272 affected by the powder feed rate, spray distance and carrier gas flow rate ($P\text{-value} \leq 0.01$).

273 The regression equation for purity is presented in Table 4, expressed in terms of coded
274 factors in Equation 8 and actual factors in Equation 9. Powder feed rate was found to have
275 the greatest effect, followed by spray distance and carrier gas flow rate, with the highest
276 purity reported at low powder feed rate, low spray distance and low carrier gas flow rate.

277 The statistical measures summarised in Table 5 and predicted vs. actual graph for
278 crystallinity, (Fig. 7 c), indicate a good fit of the data to the equation.

279

280

281 **4. Discussion**

282 The plasma thermal spraying process is affected by a large number of parameters including
283 current, gas flow rate, powder feed rate, spray distance and carrier gas flow rate. While
284 there are a range of factors influencing the process, on a mechanistics level, each of these
285 parameters ultimately influence two key aspects; the degree of particle melting within the
286 plasma jet and the velocity at which particles impact the substrate surface. Thus the

287 influence that each process parameter has on particle melting and particle velocity
288 ultimately determines the properties of the coatings produced. The plasma sprayed coatings
289 produced at the parameter ranges investigated in this study resulted in coatings with widely
290 varying roughness, purity and crystallinity results. Overall, the study showed that while
291 good quality coatings, with suitable roughness, crystallinity and purity values were
292 achieved in experiments N2 to N8, the process settings for experiment N1 did not enable
293 deposition of a coating that fully covered the substrate. Thus, for further studies it is
294 recommended that the parameter range be modified to ensure adequate melting of the
295 particles within the plasma jet.

296 The roughness of HA coatings produced here ranged between 6.15 μm and 13.4 μm , similar
297 to those reported by Cizek and Khor [40]. Roughness is known to relate to the particle
298 velocity and the degree of particle melting occurring. In this study it was found that high
299 roughness results when the current is high, gas flow rate is low and powder feed rate is
300 high, with the overall effect of these parameters for the high roughness condition leading
301 to increased particle temperature and decreased particle velocity (Table 6). Cizek and Khor
302 reported a similar occurrence with rougher coatings demonstrating individual splat
303 morphologies being formed when particle temperatures were higher [40]. However, these
304 results were contrary to findings reported in other studies [28, 58], where conditions that
305 generally lead to increased particle temperatures were seen to result in lower coating
306 roughness. It was observed from particle size analysis, that the size of the HA particles fall
307 within two separate clusters, one between 0.1 and 1.0 μm and the other between 10 and
308 100 μm . Thus at the low roughness condition only the smaller powder particles are melted,
309 larger particles remain unmelted and bounce off the surface of the substrate rather than

310 being deposited onto it. At the high roughness condition all particles are melted and thus
311 the larger particles are incorporated into the coating rather than bouncing off it, resulting
312 in a greater degree of coating roughness. Low particle velocity resulting at the high
313 roughness condition leads to increased dwell time within the plasma and thus allows
314 melting of the larger particles, and the lower velocity at which particles impact the substrate
315 leads to less splat flattening; thus the overall result is a rougher coating. The SEM
316 micrographs (Fig. 3) confirm this, demonstrating a visible difference in the size of the
317 particles present with smaller particles observed in the low roughness coatings (Fig. 3 a)
318 than the high roughness coating (Fig. 3 b). While, the effect of powder feed rate on the
319 temperature and velocity of the plasma flame is known to be minimal [49], higher
320 roughness at higher powder feed rates may be due to greater numbers of overlapping
321 particles and reduced particle spreading.

322

323 Coating crystallinity is determined by the degree of particle melting and the particle cooling
324 rate and was found to be highest at high current, low spray distance and low carrier gas
325 flow rate. The crystalline fraction of a HA coating consists of bulk crystalline material
326 resulting from the unmelted central cores of the HA particles and amorphous material that
327 has recrystallised following spraying [28, 60]. The overall expected effects of the high
328 coating crystallinity spraying conditions (N2) are a high coating temperature and low
329 particle cooling rate (Table 7). Thus for this condition, the high current causes an increase
330 in particle melting and an increase in substrate temperature, leading to a low particle
331 cooling rate. The quantity of larger particles deposited at high current is greater, leading to
332 the presence of a greater amount of bulk crystalline material within the coating, leading to

333 a high % crystallinity. The low spray distance causes particle melting to be low due to
334 reduced residence time in the plasma jet and the substrate temperature to be high as it is
335 closer to the plasma jet, thus leading to a low particle cooling rate which enabled
336 recrystallisation of the amorphous phase. The carrier gas flow rate determines the entry
337 positions of particles into the jet; at low flow rates particles do not enter the center of the
338 plasma jet and thus undergo less melting. This was confirmed by the observed differences
339 in coating splat morphology between the highest crystallinity coating (N2 Fig. 5 a) and the
340 lowest crystallinity coating (N5 Fig. 5 b). The powder particles visible in the low
341 crystallinity coating retain their spherical shape, indicating that only partial melting of the
342 particles occurred, whereas greater particle melting was observed in the high crystallinity
343 coating. Coating crystallinity was found to be reduced significantly compared to the
344 starting HA powder, although all coatings met the >45% crystallinity ISO requirement
345 [21]. The highest coating crystallinity achieved was 87.6% and thus coatings sprayed using
346 these spraying conditions would thus be highly stability *in vivo*.

347

348 Coating purity relates directly to thermal decomposition of the particles within the plasma
349 jet with a high purity coating resulting when the spray conditions led to a low particle
350 temperature i.e at the lower ranges of powder feed rate, spray distance and carrier gas flow
351 rate (Table 8). At low powder feed rate, the plasma temperature would be higher than at
352 high powder feed rate, as less cooling of the plasma occurs when fewer particles are
353 injected into it. At low spray distance, the particles only remain in the plasma for a short
354 time and thus experience less heating. At low carrier gas flow rate the particles do not enter
355 the central, hottest part of the plasma jet and thus remain at a lower temperature. While

356 Cizek and Khor [40] found no distinct relationship between in-flight temperature or
357 velocity and percentage phase change, the findings reported here agree with the finding of
358 Sun *et al.* [28]. Importantly for clinical translation, the purity of all coating was > 95 % as
359 per ISO guidelines [21]. For N2, very low levels of impurity phases were present and a
360 coating purity of 99.4% was achieved.

361

362

363 This study has successfully identified suitable parameter ranges for this spraying process
364 while also investigating the main effects of process parameter on coating roughness,
365 crystallinity and purity. The two-level factorial design employed here provides a valuable
366 tool for identification of the main effects and some first order interactions; however it
367 imposes some constraints and thus presents a limitation in this study. The inclusion of
368 centre point experiments provided a useful addition in demonstrating the stability of the
369 process. Although this study design enabled just linear relationships to be evaluated,
370 assessment of the centre points indicates that there is some curvature in the responses which
371 could be further elucidated through a larger investigation of parameter interactions. In order
372 to understand these responses, further assessment of the plasma spray process is thus
373 currently being undertaken within our lab. The study presented here thus presents and
374 important first step in this investigation. A significant finding in this study is that the degree
375 of powder particle melting that occurs is dependent on the powder particle size distribution
376 of the feedstock powder. The powder used in this study had a large particle size distribution
377 which led to the observation of some unexpected effects. Use of a sieving process may be
378 beneficial in order to reduce the particle size range for future studies. Overall, this study

379 provides a valuable contribution to the understanding of this complex system and presents
380 predictive process equations for the roughness, crystallinity and purity of plasma sprayed
381 HA coatings, which provide useful tools for coating production and for further
382 development and optimisation of this process.

383

384

385

386 **5. Conclusion**

387 A Design of Experiment study has been used to determine the effects of current, gas flow
388 rate, powder feed rate, spray distance and carrier gas flow rate on the roughness,
389 crystallinity and purity of plasma sprayed hydroxyapatite coatings leading to the
390 identification of consistent and competing influences and first order interactions. The
391 results demonstrated that coatings with higher roughness resulted when current was high,
392 gas flow rate was low and powder feed rate was high as under these conditions melting of
393 larger particles occurred enabling them to be deposited in the coating and a lower impact
394 velocity led to less splat flattening. Coating crystallinity was highest at high current, low
395 spray distance and low carrier gas flow rate. Under these conditions deposition of larger
396 HA particles resulted leading to greater amounts of bulk crystalline material and the low
397 spray distance increased the substrate temperature allowing amorphous material to
398 recrystallise. Coating purity related directly to thermal decomposition of the particles
399 within the plasma jet with a high purity coating resulting when the spray conditions led to
400 a low particle temperature i.e at the lower ranges of powder feed rate, spray distance and
401 carrier gas flow rate. These predictive process equations provide a better understanding of

402 effect of plasma spray properties on the roughness, crystallinity and purity of
403 hydroxyapatite coatings. These findings also demonstrate the effects of a diffuse particle
404 size range on the process showing that increased plasma temperatures are required in order
405 to ensure melting of larger particles. These results thus bring greater clarity on the effects
406 of plasma spray process parameters on the properties of resultant hydroxyapatite coatings
407 and provide the first step in a larger study aimed at further elucidating parameter effects
408 and interactions.

409

410

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414

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503 **Tables**

504 **Table 1: Parameter ranges selected for the screening experiment**

	<i>Low Level</i>	<i>High Level</i>
	<i>(-I)</i>	<i>(+I)</i>
A - Current (A)	450	750
B - Gas flow rate (slpm/scfh)	33/70	61.4/130
C - Powder feed rate (g/min)	10	20
D - Spray distance (mm)	80	120
E - Carrier gas flow rate (slpm/scfh)	4.7/10	9.4/20

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507 **Table 2: Plasma spray screening experiment variables and experimental plan**

<i>Exp Name</i>		<i>Variables</i>				
		Current	Gas flow rate	Powder feed	Spray	Carrier gas
		(A)	(B)	rate	distance	flow fate (E)
		<i>A</i>	<i>Slmp (Scfh)</i>	(C)	(D)	<i>Slmp (Scfh)</i>
				<i>g/min</i>	<i>mm</i>	
1/4 Design -2 ⁽⁵⁻²⁾ (N1-N8)	N1	450	33 (70)	10	120	9.4 (20)
	N2	750	33 (70)	10	80	4.7 (10)
	N3	450	61.4 (130)	10	80	9.4 (20)
	N4	750	61.4 (130)	10	120	4.7 (10)
	N5	450	33 (70)	20	120	4.7 (10)
	N6	750	33 (70)	20	80	9.4 (20)
	N7	450	61.4 (130)	20	80	4.7 (10)
	N8	750	61.4 (130)	20	120	9.4 (20)
Centre points (N9-N11)	N9	600	47.2 (100)	15	100	7.1 (15)
	N10	600	47.2 (100)	15	100	7.1 (15)
	N11	600	47.2 (100)	15	100	7.1 (15)

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511 **Table 3: Average Response Values for Roughness, Crystallinity and Purity**

<i>Exp Name</i>	<i>Average Responses</i>		
	Roughness	Crystallinity	Purity
	<i>μm</i>	<i>%</i>	<i>%</i>
N1	4.1	-	-
N2	10.55	87.6	99.4
N3	6.15	65.2	97.8
N4	8.65	81.3	98.9
N5	10.48	65.2	97.6
N6	13.4	77.4	97.7
N7	7.28	77.8	98.2
N8	11.03	65.8	96.4
N9	10.65	79.9	97.4
N10	9.48	54.9	95.5
N11	10.6	76.1	97.2

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528 **Table 4: Coded and actual experimental equations for Roughness, Crystallinity and Purity**

Response	Coded and Actual Regression Equations	Eqn. No.
Roughness	$\text{Roughness} = + 9.45 + 1.4 * A - 1.17 * B + 1.10 * C$	Eqn. 4
	$\text{Roughness} = + 4.257 + 9.70417 \text{ E-}003 * \text{Current} - 0.039146 * \text{Gas flow rate} + 0.21912 * \text{Powder feed rate}$	Eqn. 5
Crystallinity	$\text{Crystallinity} = + 71.83 + 6.2 * A - 5.16 * D - 6.14 * E$	Eqn. 6
	$\text{Crystallinity} = + 91.25062 + 0.041329 * \text{Current} - 0.25797 * \text{Spray distance} - 1.22839 * \text{Carrier gas flow rate}$	Eqn. 7
Purity	$\text{Purity} = + 97.93 - 0.46 * C - 0.34 * D - 0.59 * E$	Eqn. 8
	$\text{Purity} = + 102.8 - 0.09125 * \text{Powder feed rate} - 0.017187 * \text{Spray distance} - 0.11875 * \text{Carrier gas flow rate}$	Eqn. 9

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531 **Table 5: Statistical Measures of Equation Adequacy**

<i>Statistical Measure</i>	<i>Roughness</i>	<i>Crystallinity</i>	<i>Purity</i>
R ²	0.95	0.96	0.91
Adjusted R ²	0.92	0.92	0.85
Predicted R ²	0.82	0.81	0.56
Adequate Precision	17.776	14.902	10.44

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534 **Table 6: Overall effect on particle temperature and velocity for high roughness spray conditions**

<i>Factor</i>	<i>Particle Temperature</i>		<i>Particle Velocity</i>
Current	↑	↑	↑
Gas flow rate	↓	↑	↓
Powder feed rate	↑	↓	
Overall effect		↑	↓

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537 **Table 7: Overall effect on plasma temperature and velocity for high crystallinity spray conditions**

<i>Factor</i>		<i>Particle Melting</i>	<i>Particle Cooling Rate</i>
Current	↑	↑	↓
Spray distance	↓	↓	↓
Carrier gas flow rate	↓	↓	
Overall effect		↑	↓

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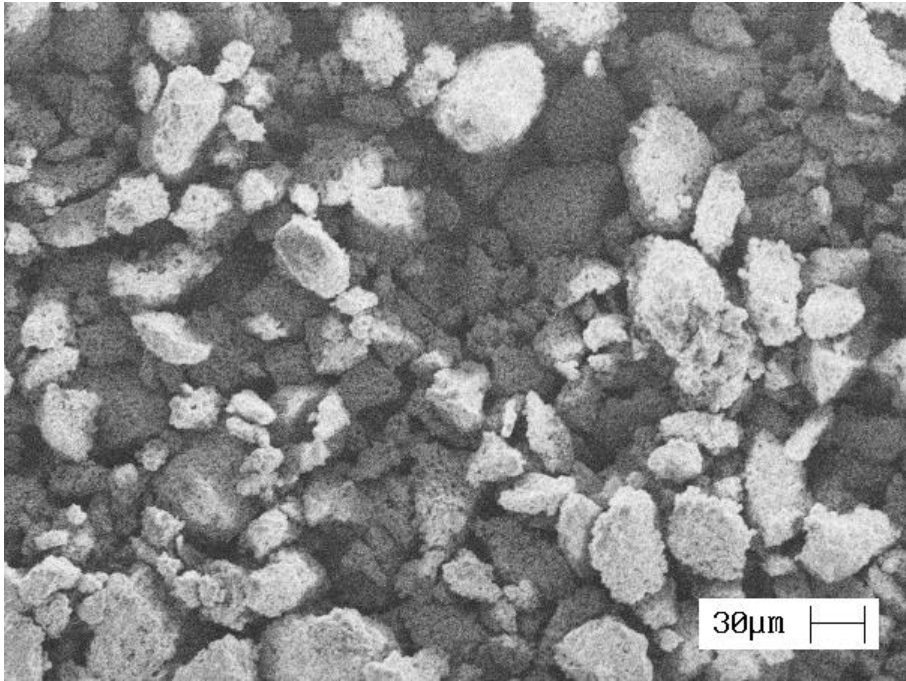
540 **Table 8: Overall effect on particle temperature for high purity spray conditions**

<i>Factor</i>	<i>Particle Temperature</i>	
Powder feed rate	↓	↑
Spray distance	↓	↓
Carrier gas flow rate	↓	↓
Overall effect		↓

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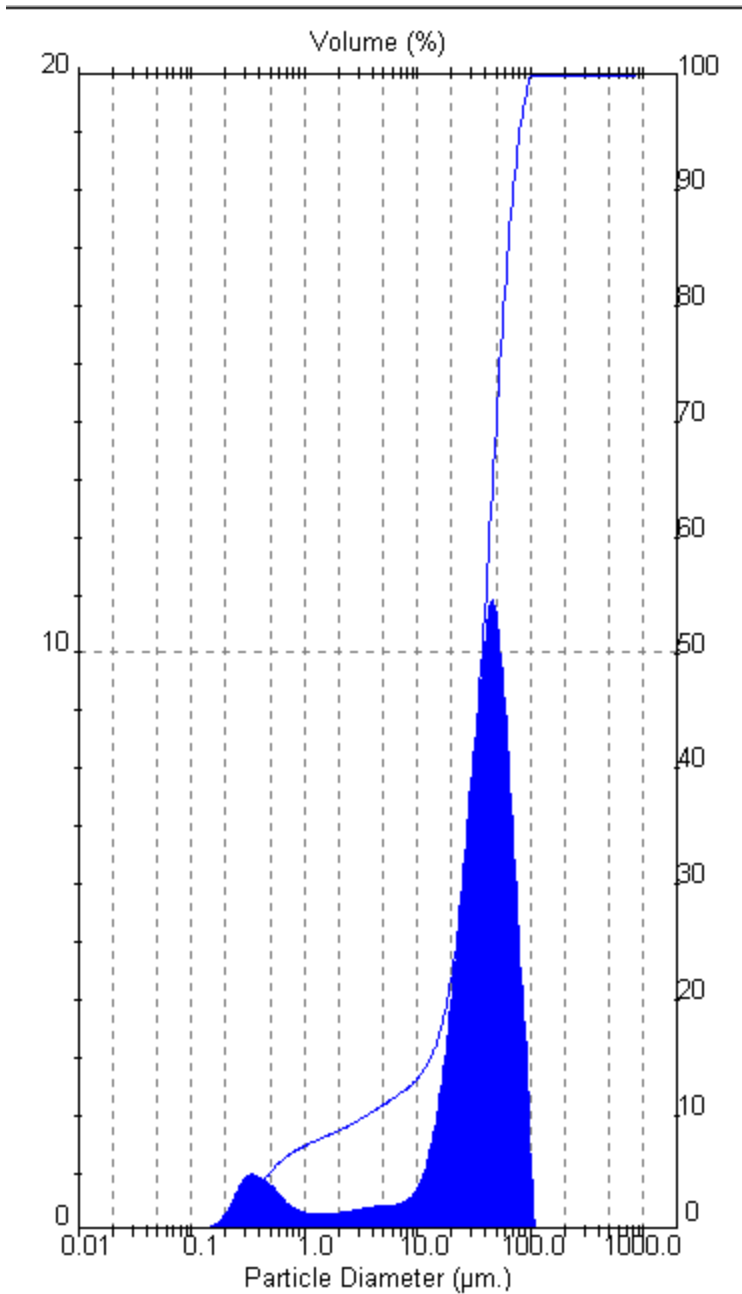
543 **Figures Captions**



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545 Figure 1: SEM micrograph of Plasma Biotal Captal 60-1 HA Powder

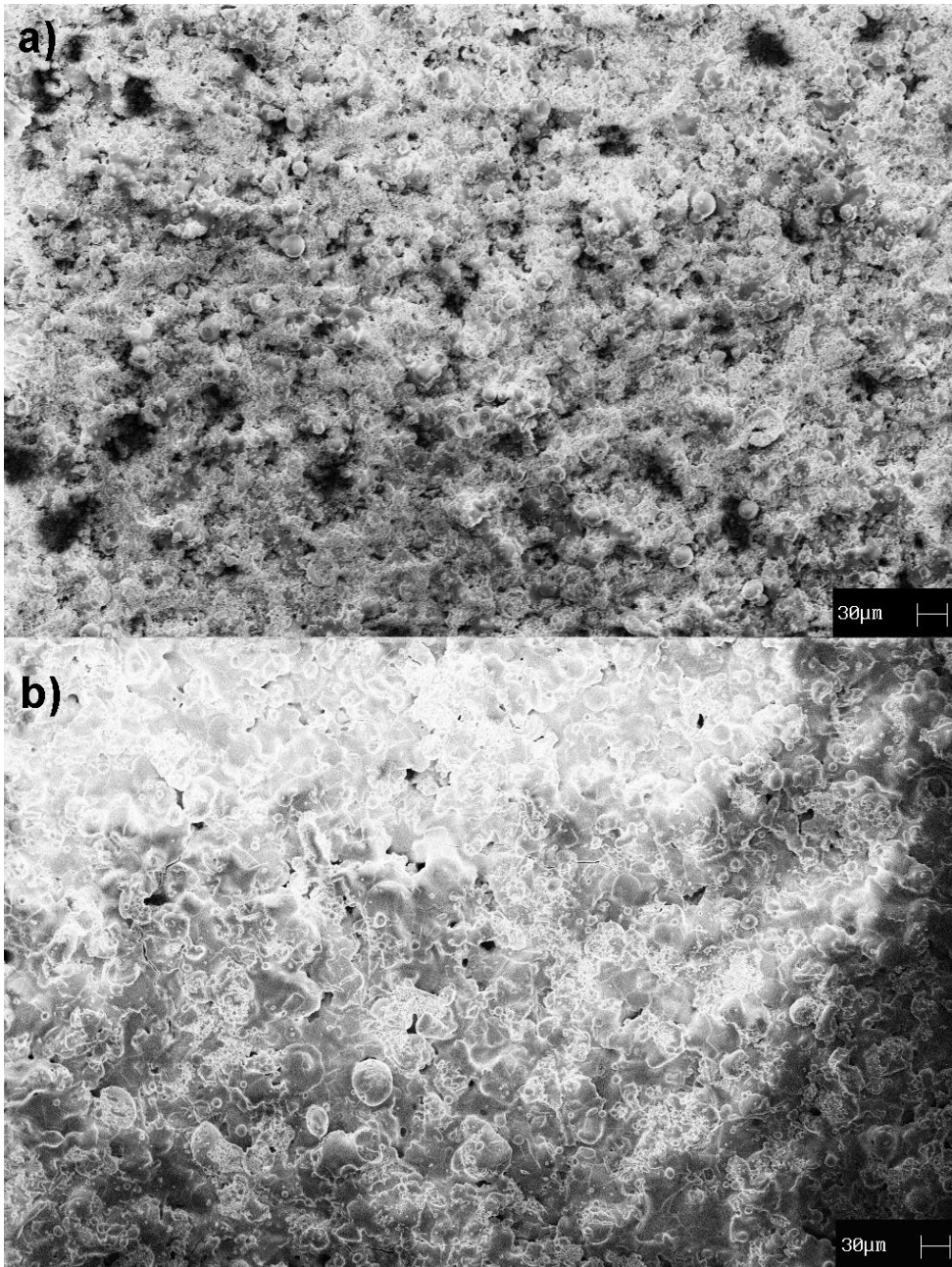
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548 Figure 2: Particle Size Distribution of Plasma Biotol Captal 60-1 HA Powder. Power
 549 particles fall within two separate clusters, one between 0.1 and 1.0 µm and the other
 550 between 10 and 100 µm with the mean particle size found to be 38.3 µm.

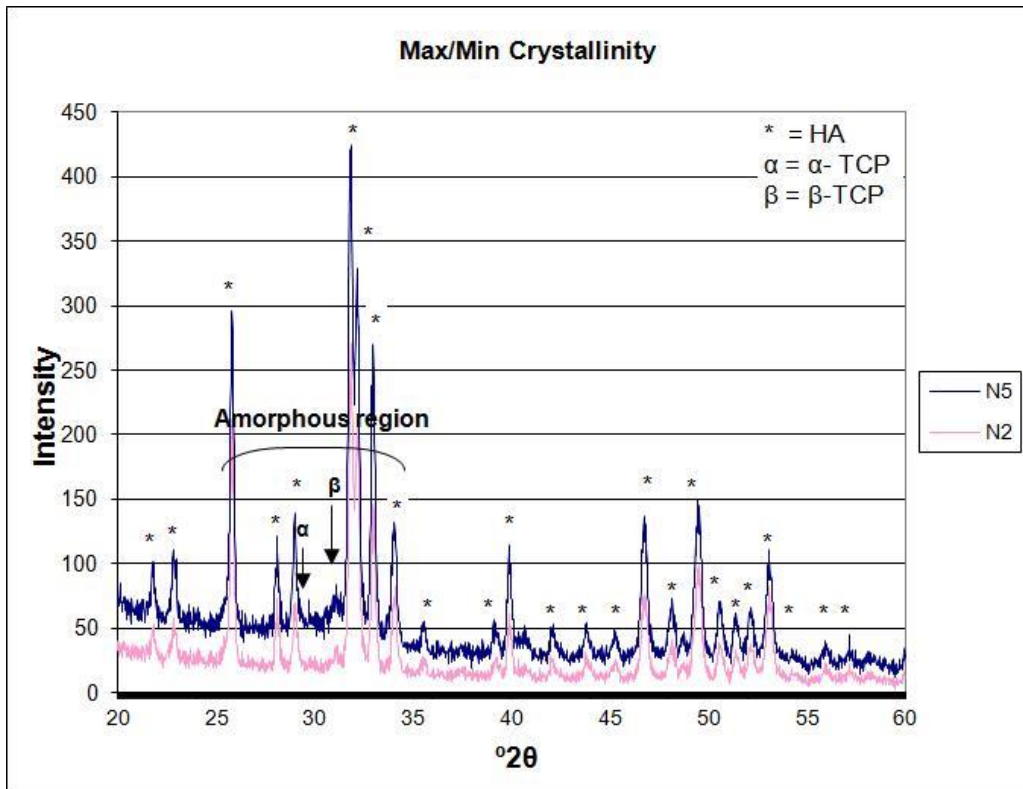
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553 Figure 3: SEM micrographs showing the surface morphology of a) coating N3 and b) N6.

554 Coating N3 had the lowest roughness and coating N6 had the highest roughness.



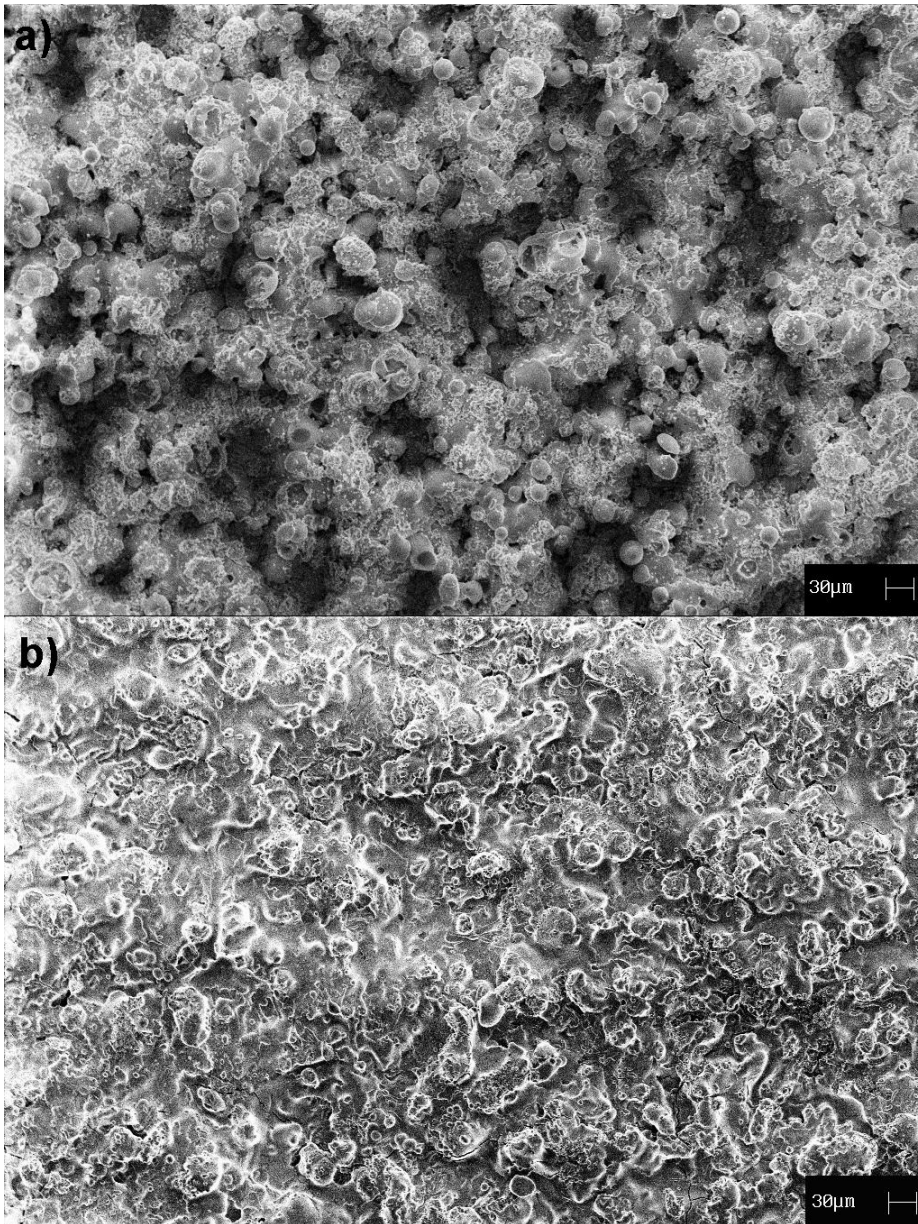
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556 Figure 4: XRD patterns for samples with lowest (N5) and highest (N2) crystallinity.

557 Graph shows the amorphous region and HA peaks (*), α-TCP peaks (α) and β-TCP peaks

558 (β).

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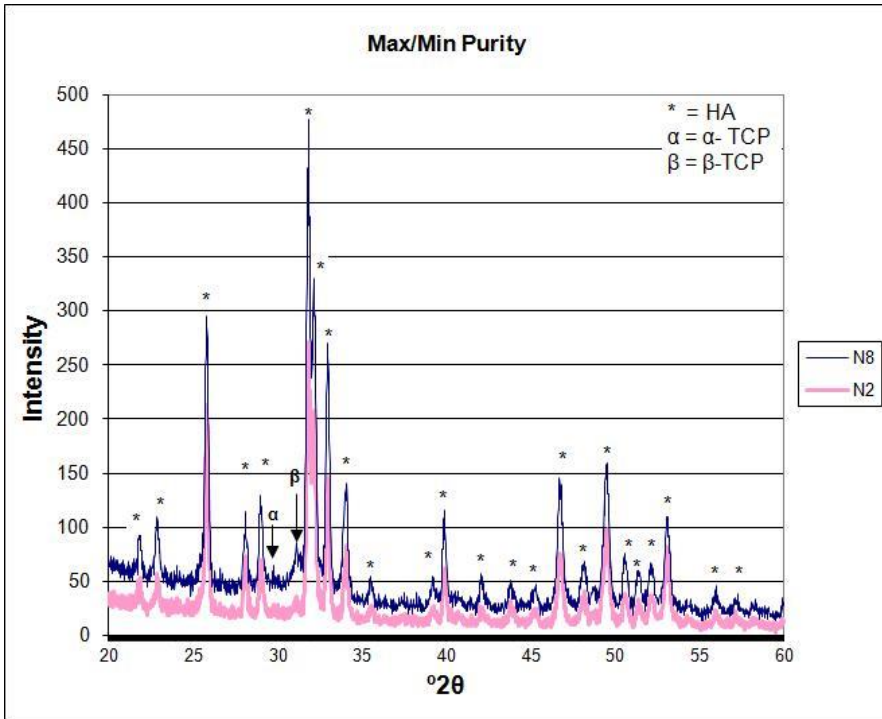


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561 Figure 5: SEM micrographs showing surface morphology of a) Coating N5 and b) N2.

562 Coating N5 had the lowest crystallinity and coating N2 had the highest crystallinity.

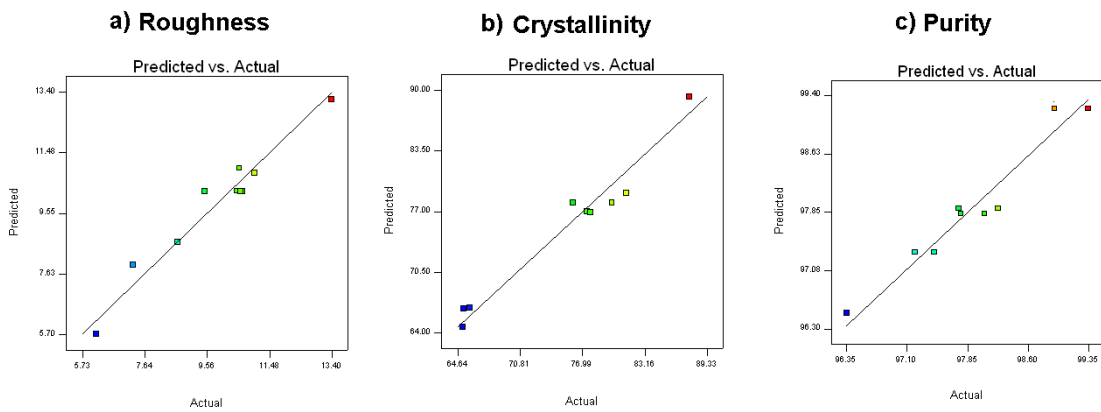
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565 Figure 6: XRD patterns for samples with lowest (N8) and highest (N2) purity. Graph shows
 566 HA peaks (*), α -TCP peaks (α) and β -TCP peaks (β).

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569 Figure 7: Predicted vs. Actual Plot for a) Roughness b) Crystallinity c) Purity. Graphs show
 570 the relationship between the developed equation and actual experimental results.