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A new phylogeny of ichthyosaurs (Reptilia: Diapsida)

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5 Abstract

The largest phylogenetic analysis of ichthyosaurs to date is presented, with 114 ingroup taxa 6 7 coded at species level. Completeness of the taxa included varied from >98% to <2%; ten taxa were removed *a priori* using Concatabominations, due to incompleteness and taxonomic 8 9 uncertainty. The data were analysed using three widely used optimisation criteria: maximum 10 parsimony, maximum likelihood, and Bayesian inference; while similar, each criterion 11 produced different topologies, support, and levels of resolution. Maximum parsimony found a 12 well-resolved consensus tree with minor improvement from *a posteriori* pruning of unstable 13 taxa; however, general support remains low. Tree resolution was reduced more by taxa that 14 lacked codings from phylogenetically important regions of the tree, rather than by those that 15 simply lacked many codings. Resolution present in the most likely tree is poorly supported; 16 sister relationships cannot be confirmed, although similarities are found to the most 17 parsimonious tree. Bayesian inference found poorly resolved consensus trees. While more 18 resolved, an equal-distribution rate prior is significantly worse than the null gamma-19 distribution rate prior for morphological data, but suggests rate heterogeneity across 20 ichthyosaur phylogeny. Tree comparisons under each analytical criterion failed to select a 21 single best tree, however, the Bayesian inference tree with gamma-distribution rate prior is

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22 selected as the best tree based on recent analyses showing improved accuracy using this 23 criterion. Unequivocally resolved clades include Ichthyopterygia, Ichthyosauria, Shastasauria, 24 Euichthyosauria, Parvipelvia, and Neoichthyosauria, but with variation in their taxonomic 25 components. Mixosauridae and Ophthalmosauridae are similarly recovered, but their 26 definitions are modified to stem-based definitions to prevent substantial variation of included 27 taxa. Several genera are not monophyletic: *Brachypterygius*, *Leptonectes*, *Mixosaurus*, 28 Ophthalmosaurus, Paraophthalmosaurus, Phalarodon, Platypterygius, Stenopterygius, 29 *Temnodontosaurus*, and *Undorosaurus*. Complex and variable relationships suggest the need 30 for new characters and a re-evaluation of the state of ichthyosaur phylogenetics.

31 Keywords: Bayesian inference, Ichthyosauriformes, Ichthyosauria, maximum likelihood,
32 maximum parsimony, tree selection.

33 Introduction

34 Ichthyosaurs are members of a clade of marine reptiles known from the Early Triassic 35 through to the early Late Cretaceous (McGowan & Motani 2003). Their relative commonness, 36 compared to other marine reptile groups, longevity, and worldwide distribution means that 37 they are a diverse and well-known group. There are currently 114 valid species in 70 genera, 38 with some taxa represented by several hundreds or thousands of specimens – e.g. 39 *Ichthyosaurus* and *Stenopterygius* (Maisch & Matzke 2000) – although most are known from 40 fewer, and less complete specimens (Cleary et al. 2015). Ichthyosaurs rapidly and profoundly 41 adapted to the marine realm early in their evolution. Even the earliest known ichthyosaurs 42 show many derived characters: paddle-like limbs; elongate, streamlined body; partial 43 development of a tail bend and fluke; and viviparity (Motani 1999a, 2005; Motani et al. 2014; 44 Chen *et al.* 2014b). Rapid diversification through the Triassic led to their occupying many 45 niches, resulting in disparate forms (Camp 1980; Massare 1987; Sander et al. 2011; Motani et *al.* 2013). Ichthyosaurs are historically important as one of the earliest recognized extinct
vertebrate groups (Howe *et al.* 1981; Torrens 1995).

48 Most recent work has concerned the taxonomy of ichthyosaurs: many taxa were 49 originally described over a century ago, and so are in need of revision (McGowan & Motani 50 2003; Maisch 2010). However, the interrelationships of ichthyosaurs have also been 51 investigated with the description of new species (e.g. Fischer et al. 2013; Roberts et al. 2014). 52 Increasingly, macroevolutionary trends within the group are being considered, including palaeobiogeography (Bardet et al. 2014), diversification and extinction rates (Fischer et al. 53 54 2012; Fischer et al. 2016), response to extinction events (Thorne et al. 2011), and ecology 55 (Sander et al. 2011; Fröbisch et al. 2013; Motani et al. 2013; Dick & Maxwell 2015). Despite 56 this, there has been no recent attempt to include all ichthyosaur taxa in a single phylogenetic 57 analysis; this is the purpose of the present study.

58 Numerical cladistic analyses have been performed on ichthyosaurs for three decades 59 (Mazin 1981, 1982), and computational analyses for over two decades (Callaway 1989; 60 Caldwell 1996). The largest studies included most taxa only to generic level (Motani 1999c; 61 Sander 2000; Maisch & Matzke 2000; Motani et al. 2015), but have since had taxa and 62 characters added or modified (Thorne et al. 2011; Sander et al. 2011; Fröbisch et al. 2013; 63 Cuthbertson et al. 2013a, b). Many analyses have focused upon ichthyosaur subclades, 64 including Shastasauria (e.g. Nicholls & Manabe 2001), Mixosauridae (e.g. Jiang et al. 2005; 65 Jiang et al. 2006), Parvipelvia (e.g. Maxwell et al. 2012; Fischer et al. 2013), and Thunnosauria and Ophthalmosauridae (e.g. Fernández 2007; Druckenmiller & Maxwell 2010; Fischer et al. 66 67 2012; Druckenmiller & Maxwell 2014; Fischer et al. 2014b; Roberts et al. 2014; Arkhangelsky 68 & Zverkov 2014).

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This study aims to (1) present an inclusive phylogenetic analysis of ichthyosaurs, for both
taxa and characters; (2) reappraise the definitions of the major ichthyosaur clades; and (3)
provide a comparison of the different methodologies and results for estimating phylogeny.

72 **Previous work**

Joseph and Mary Anning found the first recognized ichthyosaur materials in 1810–1811 in the
Lias Group at Lyme Regis, Dorset (Home 1814; Torrens 1995). Numerous ichthyosaur finds
were reported throughout the nineteenth century, initially from the Lower Jurassic of the
United Kingdom (Young 1821), but soon followed by materials collected worldwide from
much of the Mesozoic (Jaeger 1824; Carter 1846; Quenstedt 1852; McGowan & Motani 2003).

78 The first consideration of the internal classification of ichthyosaurs was by Kiprijanoff 79 (1881, p. 88), who separated them into two groups: Ichthyosauri Longipinnipedes and 80 Ichthyosauri Latipinnipedes, with each split into two subgroups based on tooth morphology. 81 This dichotomy was principally based upon the size and shape of the forelimb: longipinnipeds 82 have long, narrow fore and hind limbs, whereas latipinnipedes had short and wide fore and 83 hind limbs, with the hind limbs much shorter than the forelimbs, forelimb bones without 84 notches, and more phalanges than longipinnipeds. Baur (1887a, b) separated ichthyosaurs 85 into three families: Mixosauridae, Ichthyosauridae, and Ophthalmosauridae, again based on 86 forelimb morphology. Lydekker (1888) combined these two, applying Kiprijanoff's (1881) classification to the Ichthyosauridae of Baur (1887a, b). Later classifications and phylogenetic 87 88 hypotheses extended the latipinnate-longipinnate separation to all ichthyosaurs (Merriam 89 1908; von Huene 1922, 1923a, b).

90 The first cladogram of Ichthyopterygia was included in Mazin's (1981) revision of
91 *Grippia longirostris*, featuring five characters that defined outgroups to Ichthyosauria: *Grippia*92 was found to be the most basal ichthyopterygian, with Mixosauria and *Utatsusaurus* as

93 successive ingroups. This was then expanded to include more ichthyosaurs the following year 94 (Mazin 1982) in a cladistic analysis with 54 taxa and 14 characters (Fig. 1A). Mazin's (1982) 95 characters are like those used in many later analyses, although several of his characters have 96 later been combined. The resulting cladogram did not show a latipinnate-longipinnate split, 97 but instead a series of nested subclades, from which several families split - largely like those 98 previously conceived. Callaway (1989) determined the relationships of shastasaurid 99 ichthyosaurs, and used Grippia and Petrolacosaurus as outgroups, based upon his analysis of 100 the diapsid affinities of ichthyosaurs (Mazin 1981; Callaway 1989; Massare & Callaway 1990). 101 This was the first use of computational parsimony analysis, with 9 taxa and 33 characters. 102 Most characters were multistate, with many being discretized ratios, but these characters 103 have survived in many subsequent analyses and serve to mark not only Shastasauridae, but 104 other ichthyosaur clades too.

105 A major advance in the understanding of ichthyosaur phylogeny marked the years 1999 106 and 2000: three large, independent analyses were published that included many ichthyosaur 107 taxa and over 100 characters each (Figs 1B–D; Motani 1999c; Sander 2000; Maisch & Matzke 108 2000). These phylogenies yielded similar topologies, and agreed with previous cladistic 109 analyses in having a series of nested clades marked by stepwise addition of characters (Figs 110 1B–D). However, several families – e.g. Shastasauridae and Grippiidae – were found to be 111 paraphyletic, leading to the concept of ichthyosaur grades (Motani 2005). Despite the 112 different conceptions of these analyses, many of the characters were similar, but often used 113 different coding styles: Maisch & Matzke (2000) favoured more strictly binary characters. So 114 too did Motani (1999c), as well as tentatively using discretized ratios like Callaway (1989). 115 Sander (2000) included several multistate characters to capture presence/absence and variation ('composite coding' of Strong & Lipscomb (1999)]). In all analyses, the historical 116 taxa of Mixosauridae (= Mixosauria sensu Motani [1999c]), and post-Triassic ichthyosaurs 117

118 (Neoichthyosauria) were recovered. Motani (1999c) and Sander (2000) also included

extensive outgroups – Maisch & Matzke (2000) used only an all-zero outgroup – marking the
first indication of ichthyosaurs' hupehsuchian affinities in a phylogeny, while confirming
previous ideas on diapsid affinities. Criticism of all the above analyses was made in McGowan
& Motani (2003).

123 Most cladistic studies following these three have tended to be extensions of Motani 124 (1999c) or Maisch & Matzke (2000), including new taxa or character modifications. 125 Consequently, the broad topology of ichthyosaur phylogeny has varied little since 1999. With the finding of new taxa, studies focused on subclades of Ichthyopterygia. These include 126 127 Shastasauridae (Fig. 2A; Nicholls & Manabe 2001; Fröbisch et al. 2006; Sander et al. 2011), 128 Mixosauridae (Fig. 2B; Jiang et al. 2005; Maisch & Matzke 2005; Jiang et al. 2006; Chen & 129 Cheng 2010), and Parvipelvia and Thunnosauria (Figs 2C-E; Fernández 2007; Druckenmiller 130 & Maxwell 2010; Fischer et al. 2012; Fischer et al. 2013). The analysis by Fröbisch et al. 131 (2013) was the first to Bayesian inference rather than parsimony (Fig. 1E). Many of these 132 studies have found genera to be non-monophyletic, and relationships to be unstable and prone to collapse. The recent discovery and description of Chinese Early and Middle Triassic 133 fossils has added several taxa towards the base of Ichthyosauriformes, increased our 134 135 knowledge of other poorly known taxa, and helped resolve the relations of ichthyosaurs to other reptile groups (Benton et al. 2013; Chen et al. 2014a; Ji et al. 2016). New specimens of 136 137 hupehsuchians, and a general diapsid phylogenetic analysis, have lent support to an 138 Ichthyopterygia-Hupehsuchia sister relationship, but the position of Ichthyosauromorpha 139 within Diapsida remains uncertain (Chen *et al.* 2014a).

In summary, the most recent work on ichthyosaur phylogeny has resolved the group as a single clade, with a sister relationship to Hupehsuchia. The internal topology has mostly been constructed using parsimony methods as series of nested ingroups, but several large clades – particularly Mixosauridae, Parvipelvia, and Neoichthyosauria – are commonly recovered and
well supported. Individual taxa are, however, prone to change position depending on taxon
and character sampling. These inconsistencies and lack of investigation of alternative
methodologies are the impetus behind the present study.

147 Materials and methods

148 The compilation of the matrix and subsequent analysis follows previous studies in its methodology, but with substantial extensions to improve understanding of the tree. 149 Specifically, a modified 'supermatrix methodology' was used to compile the data, and then 150 151 maximum parsimony, maximum likelihood, and Bayesian inference methods were used for 152 analysis. De Queiroz & Gatesy (2007) describe this method: concatenate separate 153 phylogenetic matrices, which are then analysed as a single dataset. This is modified here to 154 include the critical analysis of the characters from the input phylogenetic datasets; they are 155 not simple concatenated. The aim of this is to exclude repeated, uninformative, and 156 misleading characters, and to modify the characters to bring them into line with the most 157 recent coding styles.

158 Taxon selection

Almost all valid ichthyosaur species were included: 115 operational taxonomic units (OTUs) – 114 Ichthyosauriformes plus an outgroup taxon (see Online Supplemental Material Document S1.1). *Cetarthrosaurus walkeri* Seeley, 1873 was excluded as the only known material – two propodials from the Cretaceous of the UK – cannot be assigned to the fore or hind limb so cannot be reliably coded (Fischer *et al.* 2014c). While most taxa have good coverage in the literature, for some taxa – e.g. *Mixosaurus kuhnschnyderi* – specific details were not present in the publications. Twenty-five taxa (22%) have been personally observed; 13 of which (11%) include the holotype (see Online Supplemental Material Document S1.2). For the ingroup, taxa
considered valid up to September 2017, both in previous studies and from literature review,
were included; the most recently named included taxon is *lchthyosaurus somersetensis* Lomax
& Massare, 2017. Taxa that could be removed safely *a priori* were identified using the
Concatabominations method of Siu-Ting *et al.* (2015), an extension of Wilkinson's (1995) Safe
Taxon Removal (STR).

172 Hupehsuchus nanchangensis was selected as the outgroup, based upon previous analyses, which have consistently found this taxon to be the immediate sister taxon to 173 Ichthyopterygia/Ichthyosauriformes (Motani 1999c; Chen et al. 2014b). This taxon represents 174 the best-known hupehsuchian: Nanchangosaurus and Parahupehsuchus are known only from 175 176 specimens where phylogenetically important parts of the body are missing or less well 177 known, particularly the skull (Chen et al. 2014a, b). Most of the methods used allow only a 178 single outgroup to be explicitly selected, otherwise relying on the similarities of these 179 outgroup taxa to maintain close relationships.

180 Character list & coding

181 The character list was assembled by critically comparing the characters used in previous analyses. First, the characters of Motani (1999c) were compared with Maisch & Matzke 182 183 (2000), which incorporated many similar characters; Maisch & Matzke (2000, p. 8) noted this 184 similarity despite the separate genesis of the two analyses. Characters were selected based 185 upon their informativeness in the analyses from which they were derived, and their relevance 186 to ichthyosauriform ingroup relationships: characters relating specifically to a diapsid 187 outgroup were excluded. Next, additional characters from Sander (2000) were added. These 188 three large analyses incorporated most relevant characters from previous phylogenetic 189 studies on ichthyosaurs, so it was necessary to review previously suggested characters only

briefly. Characters from subsequent analyses were incorporated, in the same manner, up to the most recent available (Roberts *et al.* 2014; Motani *et al.* 2015). In some cases, characters had been modified for certain subclades. In these cases, the characters were either reverted to the original form, or compared to the other clades and additional states or modifications added as necessary. All characters were treated as unweighted, and most characters were unordered unless they were ordered in the source analyses (see Online Supplemental Material Document S1.3).

197 In evaluating each character, the following were considered: (1) similarity to other 198 characters; (2) uniqueness of character states; (3) informativeness of the character. While 199 most characters were incorporated unchanged, some were modified to meet these criteria. In 200 particular, characters were modified in accordance to their uniqueness of states using 201 reductive coding, following the suggestions of Strong & Lipscomb (1999) and Brazeau (2011). 202 Spurious groupings created by the algorithms used in maximum parsimony were 203 circumvented by collapsing zero-length branches, the default in TNT (Brazeau 2011). Some 204 characters were originally formulated to incorporate ecological differences, or their 205 morphological correlates, into phylogenetic analyses, particularly several within the study of 206 Sander (2000). These characters have been explicitly excluded in the present study. Where 207 ecologically-informative morphological characters are retained is if these do show putative 208 phylogenetic relationships – e.g. the length of the postorbital skull, abbreviated lower jaw, loss 209 of teeth. Further characters received minor modifications in polarity, as state 0 was preferred 210 for the non-ichthyosauriform outgroup. Besides designating an outgroup, most characters 211 were treated as unordered in the analyses, so this is purely an aesthetic change. The matrix 212 was assembled into NEXUS format with Mesquite version 3.2(801) (Maddison & Maddison 213 2016).

As many new species and characters were being incorporated into the data matrix, this was largely completed anew from the literature, with reference to previous datasets. Where characters had not been previously coded for taxa, these were added from personal observation and the literature (see Online Supplemental Material Document S1.2). This is the first complete, species-level phylogeny of Ichthyosauriformes; many taxa are being coded, or at least separated, for the first time. Taxa in need of revision were preferentially coded from the type material, but for all taxa, this was not exclusively the case.

221 Phylogenetic analyses

Two analytical criteria were used: parsimony and likelihood, under three inference methods.
These criteria, and several analyses, were used to compare the results, tree selection, and
support from a palaeontological dataset, a novel approach in palaeontological phylogenetic
analyses. For each analysis, the commands used are included in the Online Supplemental
Material Document S1.4.

227 Maximum parsimony analyses. Parsimony analysis was performed using TNT version 1.5 228 (Goloboff et al. 2008; Goloboff & Catalano 2016) with the new technology tree fusing, ratchet, 229 and sectorial searching algorithms of Nixon (1999) and Goloboff (1999). This was followed by 230 tree bisection-reconnection (TBR) branch swapping to find all most parsimonious trees 231 (MPTs) up to a maximum of 100,000 held. These settings allow for rapid analysis, while still 232 covering many tree topologies, minimising entrapment within local minima, and all within a 233 reasonable timeframe. An *a posteriori* analysis of 'wildcard taxa' used the iterative position 234 congruence (reduced) (PCR) method of Pol & Escapa (2009). This method, and the TNT script 235 provided by Pol & Escapa (2009), identifies taxa that cause the collapse of clades into 236 polytomies, lists the characters that cause this instability, and suggests additional data that 237 may solve the relationships. Instability of taxa was illustrated using a 25% cluster network of

all MPTs in Dendroscope version 3.5.7 (Huson & Scornawacca 2012) and a mean 25%
consensus network of all MPTs in SplitsTree version 4.14.2 (Huson & Bryant 2006). Bremer
(single decay) support values were calculated in TNT by TBR swapping on the MPTs, as were
symmetrical resampling frequencies (Goloboff *et al.* 2003) using 10,000 replicates, holding
one tree each time, with change probability of 33%.

Maximum likelihood analyses. Maximum likelihood analysis was carried out using RAxML 243 244 AVX version 8.1.21 (Online Supplemental Material Document S1.4; Stamatakis 2014) to find the most likely tree (MLT). This used the multistate gamma-distribution mode of rate 245 substitution within the Mkv model (Lewis 2001). RAxML is unable to accept polymorphic 246 data, so these were replaced with uncertainties, which is how polymorphism is treated in 247 248 TNT. All characters were treated as unordered. The analysis was run 2000 times, to produce a 249 MLT with clade bootstrap values, then log likelihood (SH-tests; Shimodaira & Hasegawa 1999) 250 were computed between this the MLT and the other trees produced.

251 Bayesian inference likelihood analysis. Two Bayesian-inference analyses used the Mkv model each with equal and gamma distribution priors for site rate variation respectively as 252 implemented in MrBayes version 3.2.6 (Online Supplemental Material Document S1.4; Lewis 253 254 2001; Ronquist *et al.* 2012). Character states were unweighted and unordered, and state 255 frequencies were defined using a symmetrical Direchlet hyperprior fixed at infinity; this latter 256 setting makes all state transitions equally likely. Site substitution could occur at different rates, but was time-reversible. The analyses were run for 10⁸ generations with four runs of 257 258 four chains that were sampled every 1000 generations, discarding the first 25%. A stop value 259 of 0.007 was included; this was chosen as a value somewhat less than the typical convergence 260 level of 0.01 for recovery of most supported clades. Taxon instability was shown using 25% 261 cluster and mean consensus networks as for maximum parsimony above.

262 Tree selection. A combination of tests was used to select trees. All resultant trees were 263 compared under maximum parsimony using Templeton tests in PAUP* version 4.0a150 264 (Swofford 1998). Under maximum likelihood, the tree likelihoods were estimated in RAxML, 265 and then CONSEL was used to compare trees using approximate unbiased tests (Shimodaira & 266 Hasegawa 2001; Stamatakis 2014). In CONSEL, a random sample of up to 2000 trees from 267 each of the maximum parsimony, maximum likelihood, and gamma-distributed Bayesian 268 posterior distribution (selected using marginal likelihoods, see below) was used due to 269 excessive computational requirements. The MLT, MPTs, and both Bayesian trees were 270 compared with marginal likelihoods under Bayesian inference using a stepping-stone analysis 271 in MrBayes (Fan et al. 2011; Xie et al. 2011). This used 30 steps, sampling every 1000 272 generations for 3.1×10^7 generations, with $\alpha = 0.4$. Computational requirements meant that 273 not all MPTs or MLTs could be tested individually, so the strict consensus-MPT and single 274 MLT were used to constrain two stepping-stone analyses. With these tests, we attempted to 275 reject the consensus trees under alternative inference criteria. The recent work of Wright & 276 Hillis (2014) and O'Reilly et al. (2016) has shown that Bayesian inference with a gamma 277 distribution prior produces the more accurate trees in analyses of morphological data; we 278 therefore use this criterion as the null hypothesis against which to test. Similarity of the best 279 trees was compared using pairwise Robinson-Foulds metrics calculated in PAUP* (Robinson 280 & Foulds 1981).

281 Character optimization

Synapomorphies of the MPTs were optimized in TNT for unambiguous character changes
across the strict consensus tree (listed under parsimony in Systematic Palaeontology below).
Ancestral states for each node of the MLT were optimized under maximum likelihood in
RAxML using the Mkv model (listed under likelihood in Systematic Palaeontology below).

287 named clades. Where ancestral states are uncertain due to incompleteness, these are

identified as ambiguous. See also Online Supplemental Material Document S1.6.

289 Results

290 Concatabomination analysis identified five problematic taxa that could be removed:

291 Cymbospondylus piscosus, Isfjordosaurus minor, Dearcmhara shawcrossi, and Pervushovisaurus

292 bannovkensis (Fig. 3). With the removal of these taxa, no other redundant similarities between

293 taxa were identified. Taxa that were coded but excluded due to poor knowledge,

294 incompleteness, or taxonomic uncertainty include:

- *Himalayasaurus tibetensis* Young & Dong, 1972: known only from isolated jaw, teeth, and
 limb material (Motani & Manabe 1999).
- 297 • Maiaspondylus lindoei Maxwell & Caldwell, 2006: material is only known for this taxon 298 from juveniles (Maxwell & Caldwell 2003, 2006). Ontogenetic changes in morphology are 299 known to occur in ichthyosaurs, and may affect the coding (McGowan 1973; Motani & 300 You 1998; Dick et al. 2016), although potentially only to a limited degree in the few 301 identifiable in utero remains of Cretaceous ichthyosaurs (Kear & Zammit 2014). Here 302 *M. lindoei* is conservatively excluded due to its juvenile nature and incompleteness. 303 • *Malawania anachronus* Fischer *et al.*, 2013: this taxon was considered equally removable 304 to *Temnodontosaurus eurycephalus* after Concatabominations, but is less complete, 305 missing potentially important cranial features, and is preferentially removed.
- *Pervushovisaurus bannovkensis* Arkhangelsky, 1998: this taxon is considered equally
- 307 problematic to *Grendelius zhuravlevi* after Concatabominations, but is less complete and

- so preferentially removed, despite removing all species within *Pervushovisaurus;* the
 phylogenetic position of this genus is uncertain in this study.
- Thaisaurus chonglakmanii Mazin et al., 1991: is poorly known and only described briefly
 and the material is not completely prepared. McGowan & Motani (2003, p. 63) suggested
 that this may represent a specimen of *Chaohusaurus* and that the original description
 included discrepancies to the material itself.
- Tholodus schmidi Meyer, 1849: poorly known and described, and is taxonomically
 ambiguous, having been allied to Omphalosauridae, which is excluded here following
 Motani (2000).

The analyses reported below were carried out after removal of these taxa: 104 OTUs – 103
ingroup taxa and one outgroup.

319 All analyses recover the familiar stepwise backbone relationships found in previous 320 analyses in their resulting best trees (Figs 4, 6, 7; Online Supplemental Material figures S1, S2, 321 S3). Successive ingroup clades are mostly 'ladderized', representing stepwise evolution where 322 each clade is marked by a gradual acquisition of characters from its sister clade. Several 323 genera are found to be monophyletic throughout all or most analyses, such as *Toretocnemus*, 324 *Cymbospondylus* – excluding the problematic *C. piscosus* – and *Shastasaurus*, even when 325 member species were largely incomplete. Monophyletic Mixosauridae and Shastasauria, 326 complete as previously found, or parts thereof, are also recovered. However, several clades 327 repeatedly form unresolved polytomies in consensus – particularly the most basal 328 ichthyosaurs and Ophthalmosauridae. Removing the least stable taxa improves the resolution 329 of the basal ichthyosaurs and Ophthalmosauridae somewhat. 330 The term 'core' is used below to mean the monophyletic clade including most species

331 within a genus, and specifically the type species of a genus. Unnamed clades are referred to

with a '+' - i.e. *Ophthalmosaurus icenicus* + *Platypterygius hercynicus*, indicating the minimally
inclusive clade including the named taxa.

334 Parsimony analysis

335 Parsimony analysis found 11,536 MPTs each with length 1666 steps. The strict consensus is 336 poorly resolved, with four large polytomies (Fig. 4): one basally (the clade comprising 337 Parvinatator wapitiensis + Ichthyosaurus communis), one at the base of Hueneosauria 338 (Mixosaurus cornalianus + Ichthyosaurus communis), one at the base of Neoichthyosauria (Temnodontosaurus platyodon + Ichthyosaurus communis), and Ophthalmosauridae 339 340 (Ophthalmosaurus icenicus + Platypterygius hercynicus). However, many basal 341 Euichthyosauria and clades within Neoichthyosauria are resolved. Resolution is substantially 342 increased in the 50% majority rule tree (Online Supplemental Material Figure S1). The 343 consistency (CI) and retention indices (RI) for both strict consensus and 50% majority rule 344 trees are low: CI = 0.150 and 0.175; RI = 0.521 and 0.601 respectively; rescaled consistency 345 indices (RCI) = 0.0782 and 0.105 respectively; homoplasy is rife. All resolved clades have a Bremer support value ≥ 1 ; many clades have support ≥ 2 ; Neoichthyosauria, Leptonectidae, 346 Stenopterygiidae (sensu Maisch 1998), and Ophthalmosauridae, among others, have support 347 348 \geq 3. Resampling values were, however, low: few clades have support \geq 50%; the highest 349 support was found for core Toretocnemus (79%), Suevoleviathan (69%), core 350 Temnodontosaurus (89%). Regions of instability are shown in cluster and consensus networks 351 largely around the base of Hueneosauria and Ophthalmosauridae (Fig. 5; Holland & Moulton 352 2003; Huson & Bryant 2006; Huson & Scornawacca 2012).

PCR pruning identified 31 OTUs and two nodes to remove (Table. 1). Analysis using the script of Pol & Escapa (2009) did not complete due to the number of taxa pruned; using the version built into TNT removed the taxa listed in Table 1. These represent some of the least 356 known of the included taxa, but these may still be coded for many characters (i.e.

357 *Ichthyosaurus conybeari*). The resultant pruned tree has slightly greater resolution in basal

358 Neoichthyosauria and Ophthalmosauridae (Online Supplemental Material Figure S2). The CI,

RI, and RCI values are also increased by removing OTUs (not nodes) to 0.223, 0.706, and 0.157

in strict consensus and 0.233, 0.721, and 0.168 for the 50% majority rule consensus

361 respectively.

362 Maximum likelihood analysis

363 The MLT found using RAxML is qualitatively like to the majority rule consensus of MPTs (Fig. 364 6). The basal taxa are paraphyletic with respect to Ichthyosauria, and the overall topology 365 comprises a series of nested ingroups. Bootstrap supports are generally low, particularly for 366 the backbone of the tree. Several small clades do receive values > 50%: *Toretocnemus* (93%), 367 core Temnodontosaurus (75%), Shastasaurus (62%), and Suevoleviathan (61%). Eight 368 bootstrap recovered trees were found to be significantly worse than the MLT (5%) using SH-369 tests (also eight trees at 2% and 1%; Online Supplemental Document S1.5). Despite 370 Mixosauridae being recovered, this clade is not strongly supported (57% bootstrap), nor are 371 other major clades such as Hueneosauria (31%) and Merriamosauria (8%).

372 Bayesian-inference likelihood analysis

373 Analyses in MrBayes converged in both cases, but the resultant 50% majority rule consensus

374 trees are poorly resolved, although the equal-distribution rates prior tree has greater

375 resolution than the gamma-distribution rates prior tree (Fig. 7; Online Supplemental Material

376 Figure S3). The posterior distributions in all cases overlap, but are different to the prior

377 distributions (Online Supplemental Material Figure S4). The gamma-distribution rates prior is

378 strongly supported over the equal-distribution rates prior (Bayes factor differences,

 $\delta B = 267.52$; Online Supplemental Material Document S1.5; Kass & Raftery 1995), so

380 discussion below will focus on that analysis. The consensus tree comprises a series of

381 polytomies: the largest found at the base of Hueneosauria, Merriamosauria, Neoichthyosauria,

382 and Ophthalmosauridae – comprising approximately the Middle–Late Triassic, Early Jurassic,

383 and post-Early Jurassic taxa respectively (Fig. 8). Clade credibility values, where resolved, are

384 often high – e.g. *Toretocnemus* (99% and 96% for equal- and gamma-distribution rate priors

respectively), Shastasaurus (90% and 99%), Neoichthyosauria (73% and 98%), and

386 Ophthalmosauridae (95% and 97%).

387 Tree selection

388 Templeton tests found the MPTs to be equally the best; all other trees were significantly 389 worse ($p \le 0.05$; Online Supplemental Material Document S1.5, S5). Approximate unbiased 390 tests found the MLT to be the best; 1859 trees were significantly different from this tree 391 $(p \le 0.05; Online Supplemental Material Document S1.5, S5)$. Of the non-significantly different 392 trees, 327 were MPTs, 341 from the gamma distribution rates prior Bayesian inference 393 posterior distribution, and 1191 from the ML bootstrap search. Under Bayesian inference, the 394 consensus-MPT and MLT were significantly worse than the Bayesian inference tree 395 $(\delta B > 2000; Online Supplemental Material Document S1.5, S5)$. The strict consensus-MPT and 396 MLT were the most different (Robinson-Foulds metric, RF = 97), whereas the consensus-MPT 397 (RF = 37) was more like the consensus gamma-distribution rate prior Bayesian inference tree 398 than the MLT (RF = 84) was. Following O'Reilly *et al.* (2016), the Bayesian inference tree with 399 gamma-distribution rate prior is selected as the best tree (Fig. 7; Online Supplemental 400 Material Document S5). However, additional unresolved relationships are inferred based on 401 consensus in the other trees found.

402 Systematic palaeontology

The following taxonomy is based upon the preferred phylogeny (Fig. 7). Unambiguous
synapomorphies optimised in TNT (parsimony) and in RAxML (likelihood) are shown where
the clade is resolved for the MPTs, MLT, and preferred tree (see Online Supplemental Material
Document S1.6 and S1.7).

407

Clade Ichthyosauromorpha Motani et al., 2015

408 Definition. The last common ancestor of *Hupehsuchus nanchangensis* and *Ichthyosaurus*409 *communis*, and all of its descendants [node-based] (Motani *et al.* 2015).

410 **Discussion.** Motani (1999c) suggested the name Ichthyosauromorpha for the stem-based equivalent definition of his Ichthyopterygia as the maximally inclusive clade of ichthyosaurs, 411 412 but refrained from a formal proposition, as the sister group was then uncertain. Recent 413 discovery and re-description of several hupehsuchians has shown that this group is 414 consistently found as the sister to ichthyosaurs (Chen *et al.* 2014a, b). Motani *et al.* (2015) 415 found *Cartorhynchus* and *Chaohusaurus* to be the most basal ichthyosaur taxa. They erected 416 Ichthyosauromorpha and Ichthyosauriformes for the node that includes *Hupehsuchus* 417 nanchangensis + Ichthyosaurus communis, and the stem that includes Ichthyosaurus communis 418 but not *Hupehsuchus nanchangensis* respectively.

419

Clade Ichthyosauriformes Motani et al., 2015

420 Definition. The most inclusive clade that includes *Ichthyosaurus communis*, but not
421 *Hupehsuchus nanchangensis* [stem-based] (Motani *et al.* 2015).

422 Discussion. Motani *et al.* (2015) found *Cartorhynchus* and *Chaohusaurus* to be the most basal
423 ichthyosauriform taxa, and indicated in their fig. 4 that Ichthyopterygia was at the node that

424 includes *Chaohusaurus* and all other ichthyosaurs, but no definitions were formally emended.
425 Here, the most recent definitions of Motani (1999c) are used in assigning names to these
426 clades.

427

Clade Ichthyopterygia Owen, 1859

428 Definition. The last common ancestor of *Ichthyosaurus communis, Utatsusaurus hataii,* and
429 *Parvinatator wapitiensis,* and all its descendants [node-based] (Motani 1999c).

Discussion. Ichthyopterygia was erected by Owen (1859, p. 159) as an order within Reptilia
to hold the known ichthyosaur taxa – then limited to the genus *Ichthyosaurus*. Wiman (1929,
1933) later suggested that *Grippia longirostris* should be positioned alongside Ichthyosauria
within Ichthyopterygia. *Parvinatator wapitiensis* is consistently found to be more basal than *U. hataii*, which places Ichthyosauria within this Ichthyopterygia as was found previously by
Motani (1999c) (see discussion of Ichthyosauria below).

436 Grippioidea is recovered only in the MLT, and basal Ichthyopterygia are poorly resolved. 437 The lack of the most basal Ichthyosauriformes – e.g. *Cartorhynchus* and *Sclerocormus* – in the 438 study of Ji et al. (2016) makes it difficult to compare the character trends to this study. 439 However, in the preferred tree here, the change from a dorsally to laterally located external 440 naris occurs around the Ichthyopterygia–Hueneosauria transition; the presence of a manual 441 pisiform in this study comes with Ichthyopterygia also. Multiple maxillary rows are found in 442 the most basal Ichthyosauriformes, but lost early in Ichthyosauria, so that it is not present in 443 Hueneosauria (Maisch & Matzke 2000; Ji et al. 2016). Ichthyopterygia possess enlargement of 444 the supratemporal, but is reduced in basal Hueneosauria. The definition of 'moderate 445 enlargement' used here reflects the changing cases in basal Ichthyopterygia versus more 446 derived taxa; more extensive enlargement occurs in Neoichthyosauria. A modified definition

447	for this character could define Eoichthyosauria or Hueneosauria more clearly by including
448	contacts with the supratemporal, although these are incorporated into other characters.
449	Clade Eoichthyosauria Motani, 1999b
450	Definition. The last common ancestor of Grippia longirostris and Ichthyosaurus communis,
451	and all its descendants [node-based] (Motani 1999c).
452	Discussion. This clade is not resolved typically due to a polytomy at the base of
453	Ichthyopterygia. Under the original definition, Chaohusaurus was included as the sister to
454	Grippia in Grippidia (Motani 1999c). Eoichthyosauria is recovered in the majority rule
455	consensus-MPT as including Ichthyosauria and Ichthyopterygia within as Grippia longirostris
456	is recovered more basal than Utatsusaurus hataii. (See also Ichthyopterygia above.)
457	Clade Ichthyosauria de Blainville, 1835
458	Definition. All taxa more closely related to Ichthyosaurus communis than to Grippia
459	<i>longirostris</i> [stem-based] (Motani 1999c).
460	Discussion. Class Ichthyosauria was erected by de Blainville (1835) to include Ichthyosaurus,
461	which was the only named genus at that time. Later, Owen (1859) placed ichthyosaurs in
462	Order Ichthyopterygia, within Class Reptilia. Again, Ichthyosaurus was then the only included
463	genus, but this included specimens that would later be split into the genera Leptonectes,
464	Mixosaurus, Stenopterygius, and Temnodontosaurus. Wiman (1929) originally included Grippia
465	in an order separate from Ichthyosauria, but later suggested that both these should be
466	included as suborders within Order Ichthyopterygia (Wiman 1933). Mazin (1982) followed
467	this, in portraying Ichthyopterygia to include all ichthyosaurs sensu lato, with an ingroup
468	clade Ichthyosauria that excluded his Mixosauridae, Grippia longirostris, Phalarodon fraasi,

469	and Omphalosaurus. In revising this, Motani (1999c) redefined Ichthyopterygia based on his
470	reversed topology of Grippia and Utatsusaurus: following Mazin's (1982) definitions on
471	Motani's (1999c) topology would place Ichthyopterygia as a clade within Ichthyosauria,
472	respecting the relative position from their Linnaean origins (order- vs. class-level
473	respectively). With Utatsusaurus being recovered basally here, the relative positions of
474	Ichthyosauria and Ichthyopterygia are retained from Wiman (1933) and Motani (1999c),
475	except in the majority rule consensus-MPT in which these clade positions are reversed.
476	Clade Hueneosauria Maisch & Matzke, 2000
477	Definition. The last common ancestor of Mixosaurus cornalianus and Ophthalmosaurus
478	icenicus, and all its descendants [node-based] (Maisch & Matzke 2000).
479	Synapomorphies. Parsimony. prefrontal-postfrontal contact absent [$37:1 \rightarrow 0$]; anterior
480	terrace of the temporal fenestra present [74:0 \rightarrow 1].
481	
482	Discussion. Hueneosauria is recovered within Ichthyosauria, but containing some that have
483	been considered more basal (Fig. 7; Parvinatator wapitiensis and Pessopteryx nisseri).

484 *Cymbospondylus* is found within Hueneosauria in all analyses (Sander 2000; Maisch & Matzke

485 2000), but was recovered more basal to Hueneosauria by Motani (1999c) and Ji *et al.* (2016).

486 The support for this clade moderate to high (80% in the preferred tree; Fig. 7), and subclades

487 within Hueneosauria are better defined and supported. Similarly, which taxa are also within

488 the subclade Merriamosauria is variable. An important resolution is the presence of the

489 postparietal in *Cymbospondylus* and *Phantomosaurus:* is it present in both taxa? Is this a single

490 medial ossification or paired lateral ossifications? does the same condition occur in both taxa?

491 The previous interpretations of these taxa disagree with each other (Maisch & Matzke 2004;

492 Fröbisch *et al.* 2006; Maisch & Matzke 2006) leading too uncertainty: should *Phantomosaurus*493 form a clade with *Cymbospondylus* united by this feature? or are separate conditions
494 represented?

495 Contact between the prefrontal and postfrontal is not present in many of the most basal 496 taxa, but has been reported in Chaohusaurus chaoxianensis and Sclerocormus parviceps (Jiang 497 et al. 2016; Zhou et al. 2017). It is not present in the most basal Ichthyopterygia, but almost all 498 known Hueneosauria do possess this state. Short caudal centra are a feature of all adequately 499 known Hueneosauria, but is also found in *Sclerocormus parviceps* (Jiang et al. 2016). The 500 definition of 'short' is vague, and this interpretation could be open to change, in which case 501 this will be a feature of Hueneosauria alone. Additionally, the chosen definition 'short' must 502 take in the variable size of ichthyosaur caudal vertebrae. Many Neoichthyosauria have 503 vertebral centrum height/length ratios (i.e. apparent 'shortness') of 2-4 (Buchholtz 2001; 504 Fischer *et al.* 2011), but this becomes less posteriorly. Similar data for Triassic ichthyosaurs is 505 less frequent, so it's difficult to be certain whether they reach a similar ratio, or that ratio is 506 noticeably less and centra relatively longer. Hueneosauria are also united by a regularly 507 rounded anterior orbital margin, although in some more derived taxa this is modified into an 508 angled margin – e.g. *Cryopterygius kristiansenae* (Druckenmiller *et al.* 2012). It also in basal 509 Hueneosauria that the coronoid becomes non-ossified. At the base of Hueneosauria, the 510 number of presacral vertebrae is optimized to be >55. This is due to the non-Mixosauridae 511 that have elongated trunk regions – e.g. Cymbospondylus and Shastasaurus – and is reduced in 512 Euichthyosauria. An alternative interpretation of this character could be that presacral 513 vertebral count is increased only in the *Cymbospondylus* + *Shastasaurus* clade, not including 514 the basal Hueneosauria; there would still be a reversal in more derived Merriamosauria, 515 however.

516

Clade Mixosauridae Baur, 1887a

517 Emended definition. All taxa more closely related to *Mixosaurus cornalianus* than to
518 *Ichthyosaurus communis* [stem-based].

Synapomorphies. Likelihood. Maxilla meets prefrontal $[14:0\rightarrow1]$; external naris elongate [26:0 \rightarrow 1]; postparietals absent [52:1 \rightarrow 0]; parietal foramen well anterior to supratemporal fenestra [54:0 \rightarrow 1]; postfrontal-supratemporal contact present [57:0 \rightarrow 1]; large anterior terrace of the temporal fenestra [75:0 \rightarrow 1]; interpterygoid vacuity absent [86:1 \rightarrow 0]; basioccipital without notochordal pit [95:1 \rightarrow 0]; posterior tooth crown rounded [149:0 \rightarrow 1]; interclavicle triangular [181:2 \rightarrow 1]; coracoid with anterior notch [185:1 \rightarrow 0]; intermedium with one facet larger than the other(s) [235:0 \rightarrow 1].

Discussion. Motani's (1999c) original definition of Mixosauria used *Mixosaurus cornalianus*and *M. nordenskioeldii*. The latter of these taxa is now considered a *nomen dubium* (Schmitz
2005). The definition of this clade was emended by Ji *et al.* (2016) as the *M. cornalianus* + *Phalarodon fraasi* node, however, in the present phylogeny that demarcates only those two
taxa (Fig. 7); a stem-based definition that includes all similar taxa is preferred. Mixosauridae
are known from good specimens, with well-defined apomorphies, but their internal taxonomy
needs revision. This redefinition aims to provide stability to the clade.

That Mixosauridae is not resolved under parsimony is surprising considering the number of potential apomorphies present in these taxa. Support for Mixosauridae in the preferred tree is strong (98%), a relatively high support is found under maximum likelihood too (57%), and most trees support the resolution of Mixosauridae in the majority rule consensus-MPT (85%). There is, however, notable uncertainty in the topology of basal Hueneosauria (Fig. 5). Further characters that can be optimized support the monophyly of Mixosauridae; these are mostly

23

like those previously defined and reflect the modifications of the skull due to their unusual
ecology: large anterior terrace of the temporal fenestra, supratemporal sheet over temporal
fenestra, short postorbital region, rounded posterior teeth (Motani 1999b, c; Maisch & Matzke
2000). The incompleteness of *Phalarodon major* and its position within Merriamosauria in the
preferred tree suggests that this taxon may be the cause of Mixosauridae non-monophyly in
the consensus-MPT.

545

Clade Merriamosauria Motani, 1999b

546 Definition. The last common ancestor of *Shastasaurus pacificus* and *Ichthyosaurus communis*,
547 and all its descendants [node-based] (Motani 1999c).

548 Discussion. Basal Merriamosauria form a polytomy (Fig. 7). Many of the unresolved
549 merriamosaurian taxa here have been previously resolved in Euichthyosauria (Motani 1999c;
550 Maisch & Matzke 2000). Where recovered, the support for Merriamosauria is low. The poor
551 resolution of this clade means that taxa otherwise described as 'shastasaur-grade' are not
552 certainly with Shastasauria or Euichthyosauria.

553 Loss of the postparietal occurs in some optimizations of this clade as *Phantomosaurus* 554 neubigi and Cymbospondylus and near sister taxa to Merriamosauria; however, this character is problematic due to interpretation of the cranium of Cymbospondylus (Maisch & Matzke 555 556 2004; Fröbisch et al. 2006), and is a reversal to the same state as more basal non-557 Hueneosauria. Equally, several characters associated with the skull and dentition may be 558 spread across basal Hueneosauria due to the derived positions of *Phalarodon major* and 559 Wimanius odontopalatus, which have otherwise been considered more basal (Motani 1999c). 560 Reduction of the maxilla occurs in Merriamosauria, and is coded to some degree in 561 Neoichthyosauria + Callawayia neoscapularis, Shastasaurus, and Shonisaurus, as was coded by 562 Sander (2000). However, the degree of reduction in the maxilla is variable, despite the maxilla 563 being reduced compared to more basal Hueneosauria. Differences of interpretation may occur 564 based on the lateral coverage of the maxilla by the premaxilla anteriorly and the lachrymal 565 and jugal posteriorly. Additionally, the loss of teeth in Shastasauria was accounted for by 566 Sander (2000) and Maisch & Matzke (2000). Contribution of the frontal to the supratemporal 567 fenestra is present in basal Hueneosauria, but also found in more derived 568 Ophthalmosauridae – e.g. Platypterygius australis (Kear 2005) – it is not present in basal 569 Merriamosauria and Neoichthyosauria (Ji et al. 2016). However, the ventral extent of the 570 frontal can be much greater posteriorly than dorsally; should this character incorporate the 571 total dorsal and ventral contribution of the frontal, it would overlap more with character 41 572 (Fischer *et al.* 2011), but potentially also exclude the reversal of this character.

573

Clade Shastasauria Motani, 1999b

574 Definition. All taxa more closely related to *Shastasaurus pacificus* than to *Ichthyosaurus*575 *communis* [stem-based] (Motani 1999b).

576 **Synapomorphies.** Likelihood. Maxilla hidden laterally by jugal $[13:0\rightarrow 1]$; nasal-postfrontal 577 with extensive contact $[22:0 \rightarrow 1]$; frontals flat to concave in dorsal view $[39:0 \rightarrow 1]$; postorbital 578 triradiate [58:1 \rightarrow 0]; squamosal without posterior descending process [71:1 \rightarrow 0]; pterygoid 579 with posteromedial process $[90:1\rightarrow 0]$; surangular without dorsal process $[119:1\rightarrow 0]$; teeth absent [128:0 \rightarrow 1]; anterior dorsal centra rib facets not confluent with anterior margin 580 581 [162:0 \rightarrow 1]; scapula with blade shaft [196:0 \rightarrow 1]; ulna proximally narrower than distally 582 $[224:1\rightarrow 0]$; ilium plate-like $[254:1\rightarrow 0]$; femur ventral process same size as dorsal process 583 [268:0 \rightarrow 1]; femur with anterodistal facet [270:0 \rightarrow 1]; tibia wider than long [272:0 \rightarrow 1].

584 **Discussion.** This clade is defined as stem-based alongside a polytomy with other taxa that are

usually considered part of this group, or closely related – 'shastasaur-grade', e.g. *Besanosaurus leptorhynchus* and Euichthyosauria as in Ji *et al.*'s (2016) Shastasauridae. However, because of
this lack of resolution, this clade, as defined, includes only *Shastasaurus*.

588 Numerous synapomorphies are found under maximum likelihood due to the small side of 589 the clade and the similarity and monogeneric relations of the taxa included within. Additional 590 characters that separate *Shastasaurus* include medium to large foramina on the lachrymal; 591 slender lower jaw; and interosseous foramen between the fore limb epipodials (Maisch & 592 Matzke 2000; Sander et al. 2011). Other features that have been attributed the 'shastasaur-593 grade' related to the skull and fore limb become paraphyletic character transitions through 594 the non-Parvipelvia Euichthyosauria: similar limb morphologies are present in 595 *Guizhouichthyosaurus* and *Besanosaurus* (Dal Sasso & Pinna 1996; Ji et al. 2013).

596

Clade Euichthyosauria Motani, 1999b

597 Definition. All taxa more closely related to *Ichthyosaurus communis* than to *Shastasaurus*598 *pacificus* [stem-based] (Motani 1999c).

599 Synapomorphies. Parsimony. Nasal reaches distinctly over orbit [17:0→1]; nasal contacts
600 postfrontal [21:0→1].

601 **Discussion.** Euichthyosauria defines the branch between the two polytomies of

602 Merriamosauria and Parvipelvia in the preferred phylogeny (Fig. 7), but is better resolved and

603 includes numerous non-parvipelvian taxa in the consensus-MPT and MLT (Figs 4, 6). The

604 possibility of a novel, although poorly supported, Euichthyosauria 'side clade' – *Toretocnemus*

605 *californicus* + *Californosaurus perrini* – is presented in the MLT but poorly supported (Fig. 6).

606 The posterior extent of the nasal bones in Neoichthyosauria is greater than in more basal 607 ichthyosaurs (Motani 1999c; Maisch & Matzke 2000), yet the extent is continuous. The 608 location 'above the orbit' can be arbitrarily defined, however, here it is applied generally to 609 traditional Neoichthyosauria. More basal Euichthyosauria do present nasal bones extended 610 dorsal to the anterior orbit margin but these do not extend so far posteriorly; there may be 611 contact with the postfrontal posteriorly as in *C. neoscapularis* and *G. tangae* (Nicholls & 612 Manabe 2001; Maisch et al. 2006; Shang et al. 2012; Ji et al. 2016). In these taxa, the nasal is 613 not so visible in lateral view. Including these taxa would position the extensive posterior nasal 614 as a more basal feature of Merriamosauria, or potentially include *C. petrinus* to include basal 615 Hueneosauria (Fröbisch et al. 2006). 616 617 Clade Parvipelvia Motani, 1999b 618 **Emended definition.** The last common ancestor of *Macgowania janiceps*, *Hudsonelpidia* 619 brevirostris, and Ichthyosaurus communis, and all of its descendants [node-based] (Motani 1999c). 620 621 **Synapomorphies.** Parsimony. Intermedium proximally pointed [232: $0 \rightarrow 1$]; ischium and 622 pubis similarly sized [259:0 \rightarrow 1]; ischium or ischiopubis rod-like [260:0 \rightarrow 1]. 623 **Likelihood.** Humerus with markedly concave anterior margin $[199:1\rightarrow 2]$. 624 Discussion. Parvipelvia is resolved but not well supported (53%) in the preferred phylogeny 625 (Fig. 7), but not separated from Neoichthyosauria or Thunnosauria. The relationships 626 between *M. janiceps*, and more derived taxa are uncertain and there is no consensus between 627 the resultant trees (Figs 4, 6, 7). The original definition referred only to the genus 628 *Ichthyosaurus*, whereas here this is extended and clarified to the type species, *I. communis*.

629 Parvipelvia includes H. brevirostris, M. janiceps, and Neoichthyosauria at its greatest extent, 630 and few characters support this clade separate to Neoichthyosauria. These most basal 631 Parvipelvia taxa are poorly known, and most of the discussion of Neoichthyosauria is 632 applicable to Parvipelvia also (see below). Most clearly, Parvipelvia is associated with the 633 strong reduction of the pelvic girdle, but this is a continuation of reduction in Merriamosauria 634 or throughout all Ichthyosauriformes, incorporating the loss of contact between the pelvis and 635 vertebral column in Hueneosauria (Eoichthyosauria?). there is no easy definition of the size of 636 the pelvis beyond, so states have been coded largely based on previous hypotheses of relations (Motani 1999c; Maisch & Matzke 2000; Ji et al. 2016). Specifically in the pelvis, the 637 638 ilium becomes styloidal in the clade containing Qianichthyosaurus zhoui + Parvipelvia; another 639 indicator of pelvic reduction. That ichthyosaurs do not lose the hind limbs completely is 640 interesting considering that they are lost in Cetacea; perhaps they still served some purpose 641 in swimming? perhaps related to the lateral versus dorsoventral undulatory modes of 642 locomotion?

643

Clade Neoichthyosauria Sander, 2000

644 Emended definition. The last common ancestor of *Temnodontosaurus platyodon* and
645 *Ichthyosaurus communis*, and all of its descendants [node-based] (Sander 2000).

646 **Synapomorphies.** Parsimony. Ulnare smaller than intermedium $[229:0 \rightarrow 1]$.

Discussion. Neoichthyosauria was erected to include the monophyletic post-Triassic
ichthyosaur taxa (Sander 2000). The base of this clade is a large polytomy with Parvipelvia
and *Temnodontosaurus* is polyphyletic within this clade. The original definition used only the
genus *Ichthyosaurus*; here it is clarified to the type species *I. communis*. Maisch & Matzke
(2000) used a definition involving *T. platyodon* and *Ophthalmosaurus icenicus*, but the

emendation from Sander's (2000) original is preferred here. The base of this clade is
confluent with Parvipelvia in the preferred phylogeny (Fig. 7) and the positions of taxa within
and immediately sister to Neoichthyosauria is highly variable across the recovered trees (Figs
4, 6, 7; Online Supplemental Material Figure S1). Neoichthyosauria is better resolved under
equal-distribution rather than gamma-distribution rates prior Bayesian inference suggesting
heterogeneity in state transitions between characters in this part of the tree.

658 Neoichthyosauria here potentially includes the development of the caudal fluke into a 659 crescentic tail fin, although this may extent to Parvipelvia . While this is most clearly known 660 from ichthyosaurs with soft tissue preservation, osteological correlates are present in the 661 development of a clear tail bend. This has been preserved in many Early Jurassic taxa from the 662 UK and Germany, including T. trigonodon, L. tenuirostis, I. communis, and E. longirostris 663 (McGowan & Motani 2003). Diagnoses of Thunnosauria (see below) include the shortening of 664 the tail relative to the body (Maisch & Matzke 2000), which occurs in only some taxa showing the well-developed tail bend. This suggests a two-step evolution of improved swimming 665 666 efficiency within Parvipelvia: tail fluke, followed by stockier (more powerful?) tail. 667 Neoichthyosauria share aulacodont dentition (Sander 2000; Maisch & Matzke 2000), 668 however, poor preservation of more basal Euichthyosauria makes it uncertain whether this is 669 limited to Neoichthyosauria or more extensive. The dorsoventral extent of the maxilla is 670 reduced posteriorly in Neoichthyosauria (Druckenmiller & Maxwell 2010), however, this 671 coincides with a reduction of the postnarial process of the maxilla and the anterior extent of the jugal and lachrymal to cover the maxilla. A large postnarial process of the maxilla is found 672 673 prominently in Mixosauridae, but a similar structure is also present in *Platypterygius australis* 674 (Jiang *et al.* 2005; Kear 2005; Jiang *et al.* 2006); despite the morphological similarity these do 675 not represent homology. However, the effects of the extensive postnarial process are 676 widespread: several other characters related to bones surrounding the external naris and

677	anterior orbit are affected by this structure, and mutually exclusive states – e.g. the
678	premaxilla-lachrymal contact; prefrontal-external naris contact.

679

Clade Leptonectidae Maisch, 1998

680 Definition. The last common ancestor of *Eurhinosaurus longirostris* and *Leptonectes*681 *tenuirostris*, and all of its descendants [node-based] (Maisch 1998).

682 **Synapomorphies.** Parsimony. Frontal with widest exposure posteriorly $[40:1 \rightarrow 0]$;

683 supratemporal fenestra posteriorly narrower than anterior $[73:1\rightarrow 0]$; quadratojugal located

684 posteriorly [77:0 \rightarrow 1]; quadratojugal mostly exposed posteriorly [78:0 \rightarrow 1]; cheek largely

oriented posteriorly [85:0 \rightarrow 1]; radial and ulnar facets of the humerus equal size [209:0 \rightarrow 1];

686 manual metacarpals II & IV rounded [246:2→1]; proximal manual phalanges mostly rounded

687 $[250:2\rightarrow 1]$; femur with smaller ventral process than dorsal $[268:1\rightarrow 0]$.

688 **Likelihood.** Maxilla excluded from external naris $[15:0 \rightarrow 1]$; nasal contacts postfrontal

689 [21:0 \rightarrow 1]; prefrontal with little exposure [35:1 \rightarrow 2]; dentition strongly reduced [129:0 \rightarrow 1];

haemapophyses present $[176:1\rightarrow 0]$; rounded manual metacarpals II & VI $[246:2\rightarrow 1]$;

691 metacarpal V absent [247:0 \rightarrow 1]; mostly rounded proximal manual phalanges [250:2 \rightarrow 1];

ischium and pubis not fused laterally [257:1→0]; tibia and fibula do not contact proximally
[277:1→0].

694 Discussion.

Excalibosaurus costini is most frequently recovered as the sister taxon to *Leptonectes solei*,
despite the well-documented similarities with *E. longirostris*. Leptonectidae is not recovered
in the preferred tree (Fig. 7), but is found under both maximum parsimony and likelihood
(Figs 4, 6). While the position of this clade within Neoichthyosauria is unresolved in the

consensus-MPT, it is located within Thunnosauria in the MLT; a more derived position than
previously found (Ji *et al.* 2016; Fischer *et al.* 2016). Leptonectidae also shows possible
morphological convergence with the evolution of several longirostrine ichthyosaurs in the
Early Jurassic – e.g. *Temnodontosaurus azerguensis* and *Hauffiopteryx typicus* (Martin *et al.*2012; Marek *et al.* 2015). While *H. typicus* is typically resolved in a more derived position
close to Thunnosauria, similar to Marek *et al.* (2015), the position of *T. azerguensis* is less
certain and has a relatively long branch.

706 The allying of *H. typicus* with Leptonectidae (but not in the preferred tree) is mostly a 707 result of morphological convergence associated with the shortening of the posterior skull and 708 slender snout. *Hauffiopteryx* does not have the distinctly posterior orientation of the cheek 709 region seen in more derived Leptonectidae, but the similar morphology of the skull has 710 effected similar changes between this taxon and Leptonectidae. Synapomorphies of 711 Leptonectidae + *H. typicus* include the slender premaxillary segment, which was defined here 712 based on the condition in Leptonectidae, as this was not clearly defined by Motani (1999c); 713 such a condition is also found in the longirostrine *Temnodontosaurus azerguensis*. While these 714 taxa are here recovered together, these ecological convergences may be due to homoplastic 715 characters reducing their utility.

716

Clade Thunnosauria Motani, 1999b

717 Definition. The last common ancestor of *Stenopterygius quadriscissus* and *Ichthyosaurus*718 *communis*, and all of its descendants [node-based] (Motani 1999c).

Discussion. Thunnosauria is not found separately from Neoichthyosauria in the preferred
tree (Fig. 7) or consensus-MPT (Fig. 4) as the latter clades are not resolved basally (Figs 5, 8).
Thunnosauria is recovered in the MLT, poorly supported (Fig. 6), but in a similar position

relative to other taxa as found by Motani (1999c) and Ji *et al.* (2016) – more derived than *Temnodontosaurus*, but more basal than *Stenopterygius*.

724 Thunnosauria was diagnosed by Ji et al. (2016) including an absence of the anterior 725 flange of the humerus. This was originally coded by Motani (1999c) as 'present, but reduced proximally' and is coded as such for some Thunnosauria by Ji et al. (2016). The definition of 726 727 this character is open to interpretation, and loss of the anterior flange removes an important 728 defining feature of Triassic Ichthyosauriformes. In the interpretation used here, the anterior 729 flange is reduced proximally in Neoichthyosauria as there is clearly no anterior projection 730 present anterior to the main humeral shaft. However, the anterodistal process or tuberosity of 731 the humerus is present in many basal Parvipelvia, which is treated as the distal extent of the 732 anterior flange, as implied by Motani (1999c), and included by Ji et al. (2016). The tail of 733 ichthyosaurs progressively shortens through phylogeny, however, the change from being 734 longer to shorter than the body is uncertain; this was optimized as a synapomorphy of 735 Thunnosauria by Maisch & Matzke (2000). The coding scheme used finds this true for most 736 traditional Thunnosauria, excluding – e.g. Temnodontosaurus and Leptonectes – yet more basal 737 Merriamosauria are also coded with short tails – e.g. *Qianichthyosaurus zhoui*. This appears to 738 be some level of convergence, but the proportions of *Q. zhoui* suggests that it could be coded 739 with a longer tail

740

Clade **Baracromia** Fischer *et al.*, 2013

741 Definition. The last common ancestor of *Stenopterygius quadriscissus* and *Ophthalmosaurus*742 *icenicus*, and all of its descendants [node-based] (Fischer *et al.* 2013).

743 **Synapomorphies.** Parsimony. Strongly reduced dentition in adults $[129:0 \rightarrow 1]$.

744 **Discussion.** Unexpectedly, *Stenopterygius* is not monophyletic in any analysis (Figs 4, 6, 7),

745 but instead is paraphyletic to Ophthalmosauridae, with S. triscissus typically found more 746 basally than other species of *Stenopterygius*. Where this occurs, this places the occurrence of 747 the prominent acromion process (one of the defining features of Baracromia; Fischer et al. 748 2013) more basal to the location of this taxon. This character change does occur in the 749 preferred phylogeny due to the polytomy that includes all species of *Stenopterygius*. 750 Optimization of characters does suggest that the angular extent increases and the ischium and 751 pubis fuse completely in Baracromia (Maisch & Matzke 2000), and that the proximal 752 processes on the femur relatively increase in size (Fischer et al. 2013). Additionally, the 753 relative sizes of the dorsal and ventral humeral processes are increased, although this has 754 often been associated with Ophthalmosauridae (Fischer et al. 2012; Fischer et al. 2013). While 755 these are larger in Ophthalmosauridae, the definition for these characters is non-specific and 756 so was applied to these non-Ophthalmosauridae Baracromia. Platypterygiinae have been 757 defined including the large ventral process, with distinct concavities (Fischer et al. 2011), and 758 similarly, Ophthalmosauridae are separated by the plate-like dorsal ridge on the humerus (Fischer et al. 2012) indicating the increased development of this and the musculature 759 760 associated with the humerus (Moon & Kirton 2016). In this study, these features of the 761 humerus have been treated as separate characters, however, if interpreted as a continuum of 762 humeral development through phylogeny, they could alternatively be treated as a single 763 multistate character with states, for example: poorly developed humeral processes (non-764 Merriamosauria Ichthyosauriformes); developed ventral process (Merriamosauria); 765 developed dorsal and ventral processes (Baracromia); plate-like dorsal process 766 (Ophthalmosauridae); well developed, plate-like dorsal and ventral processes 767 (Platypterygiinae).

768

Clade Ophthalmosauridae Baur, 1887a

769 Emended definition. All taxa more closely related to *Ophthalmosaurus icenicus* and
770 *Platypterygius hercynicus* than to *Stenopterygius aaleniensis* and *Chacaicosaurus cayi* [stem771 based].

772 **Synapomorphies. Parsimony.** Humerus with plate-like dorsal ridge [$207:0 \rightarrow 1$]; acute angle 773 at anterodistal humerus [$212:0 \rightarrow 1$].

774 Discussion. Following Fischer et al.'s (2011) emended definition, Ophthalmosauridae would refer to a small clade in consensus-MPT and MLT (Figs 4, 6). To maintain stability in the 775 included taxa, the definition is extended to formally include Platypterygius hercynicus-776 777 following Fischer et al.'s (2012) definitions of Ophthalmosaurinae and Platypterygiinae - and 778 exclude Stenopterygius aaleniensis - the immediate sister taxon to Ophthalmosauridae - and 779 *Chacaicosaurus cayi*. While *C. cayi* is here located more basally in Neoichthyosauria in the 780 preferred phylogeny due to lack of resolution (Fig. 7), it has been recovered as the immediate 781 sister taxon to Ophthalmosauridae previously (Fischer et al. 2011).

782 Ophthalmosauridae can be diagnosed by features that include reduction of the 783 extracondylar area of the basioccipital; plate-like dorsal process on the humerus; increased fusion of the ischium and pubis; and loss of notching in the fore and hind limbs (Motani 784 785 1999c; Sander 2000; Maisch & Matzke 2000; Fischer et al. 2012; Ji et al. 2016). However, 786 variability with Ophthalmosauridae reduces the utility of some of these features: the 787 basioccipital extracondylar area is reduced more in Platypterygiinae than in more basal 788 Ophthalmosauridae; similarly, fore limb bone patterns are substantially different between 789 Platypterygiinae than in basal Ophthalmosauridae. These two clades have been separated as 790 sister taxa (Fischer et al. 2011; Fischer et al. 2012; Fischer et al. 2013). Apparent

791 convergences –e.g. the polygonal fore and hind limb elements in more derived 792 Ophthalmosauridae, the secondary loss of three distal humeral facets in *Cryopterygius* and 793 Nannopterygius; both convergent with more non-Ophthalmosauridae - complicate the 794 resolution of this clade, hence a large polytomy in the preferred phylogeny (Fig. 7). Otherwise 795 prominent features – e.g. the large, plate-like ventral process of Platypterygiinae; the 796 humerus-intermedium contact in Aegirosaurus, Brachypterygius, and Grendelius – similarly do 797 not unite taxa that might form a clade. This inconsistency is particularly well shown by the 798 removal of most Ophthalmosauridae when pruning the consensus-MPT: many taxa are 799 equally unstable and reduce the resolution present here. Further study of this group may 800 benefit from selectively removing the least complete taxa alongside those that are equivalent, 801 however, this may also reduce phylogenetically useful data so was not carried out here.

802 Discussion

803 Ichthyosaur evolution: clades and grades

804 In reviewing ichthyosaur evolution, Motani (2005) recognized three grades within 805 ichthyosaur evolution: a basal grade: Early Triassic ichthyosaurs; an intermediate grade: 806 Middle-Late Triassic ichthyosaurs; and a fish-shaped grade: Late Triassic-Cretaceous 807 ichthyosaurs. Each of these corresponds to a portion of ichthyosaur phylogeny, represented 808 by several clades within Ichthyosauriformes, monophyletic or paraphyletic. In the phylogeny 809 presented here (Fig. 7), the pattern across ichthyosaur evolution is similar. Both the basal and 810 intermediate grades are paraphyletic with respect to the more derived grades, and identified 811 by the resolve polytomous nodes. The basal ichthyosaur grade, which includes the basal-most 812 ichthyosaurians and non-ichthyosaurian Ichthyosauriformes, is marked by the relatively 813 elongate tail, compared to the trunk, and long propodials and epipodials, compared to the 814 whole limb, remnants of their terrestrial ancestry (Motani 2005). The metapodials retain the

basal hourglass-like form comparable with more basal diapsids (Motani 1999c; McGowan &Motani 2003).

817 In the intermediate grade, most non-parvipelvian ichthyosaurians, there is the gradual acquisition of more fish-like characters: shrinking the size and increasing the number of limb 818 819 bones to form paddle-like limbs, shortening of the caudal vertebrae, so that the tail becomes 820 shorter relative to the trunk, and the early development of a strong tail bend (McGowan & 821 Motani 2003). This grade may be divided into two subgrades: the earlier, Middle Triassic 822 *Cymbospondylus-Mixosaurus* group, and the Middle–Late Triassic *Shastasaurus-Shonisaurus* 823 group. The former group includes taxa that represent the early ecological diversification of 824 ichthyosaurs, with a broad range of body forms habits, associated with an increase in 825 disparity (Massare 1987; Thorne et al. 2011; Fröbisch et al. 2013; Dick & Maxwell 2015). This 826 is marked in the above phylogeny by character changes related to the teeth as a potential 827 synapomorphy of Mixosauridae (e.g. character 149:0 \rightarrow 1). The more derived members of the intermediate grade than Mixosauridae, which includes traditional 'shastasaurids', modify the 828 829 forelimb further than earlier taxa, particularly through the loss of digits and shortening and 830 rounding of the phalanges (see Online Supplemental Material Document S1.5; Thorne *et al.* 831 2011; Sander et al. 2011; Motani et al. 2013).

832 The latest, fish-like grade, including all parvipelvians, represent an oft-cited example of 833 convergence with modern pelagic fishes (Motani et al. 1996; Motani 2005). While this clade is 834 named for the reduction of the pelvis, this is not an unambiguous synapomorphy (see above and Online Supplemental Material Document S1.6). However, this clade and grade are marked 835 836 by several changes to the pectoral girdle that affect the form and use of this and the forelimb. 837 Within Parvipelvia, there is a shift between the basal taxa and the clade Baracromia, which 838 has several limb-based character changes towards the node. This marks the later evolution 839 and diversification of this clade and the more derived Ophthalmosauridae that succeeded

from the non-baracromian parvipelvian ichthyosaurs in the Middle Jurassic–Cretaceous
(Fischer *et al.* 2012; Fischer *et al.* 2013). With the proposal of the above phylogeny, it becomes
possible to analyse specific traits, discrete and continuous, across ichthyosaur evolution, and
test the reality of the ichthyosaur evolution as a series of grades.

844 Specimen completeness

845 The effects of incomplete taxa have often been associated with a lack of characters rather than 846 simply the proportion of missing data (Wiens 2003). Recent metrics to quantify skeletal and 847 cladistic completeness provide comparative methods that may allow attribution of 848 completeness (Mannion & Upchurch 2010). Studies have applied these to several fossil 849 groups, but only recently to marine reptiles (Cleary et al. 2015). While specimen 850 completeness is highly variable through the Mesozoic, periods of relatively high completeness - e.g. Hettangian-Toarcian - are coincident with the occurrence of better-851 852 resolved regions of the trees presented: approximately Merriamosauria through to 853 Baracromia. Conversely, lower levels of completeness are found in Early-Middle Triassic, Middle Jurassic, and Late Jurassic-Cretaceous taxa, which correspond to the less well resolved 854 855 portions of the trees: basal ichthyosaurs and Ophthalmosauridae, particularly (Figs 4, 6, 7; 856 Online Supplemental Material Figure S3; Cleary et al. 2015). Whether and how skeletal and 857 cladistic completeness of ichthyosaurs is related to phylogeny has yet to be tested.

858 Phylogeny reconstructions

Throughout the above analyses, the ichthyosaur matrix has been used as an exemplar of a
palaeontological dataset: purely morphological data, many taxa are from a few discrete
horizons, and completeness varies greatly between taxa. In previous work on ichthyosaur
phylogeny, methods of phylogeny inference other than maximum parsimony were limited to

863 the Bayesian-inference maximum likelihood analyses of Fröbisch et al. (2013) and Fischer et 864 al. (2016). Simulation data has suggested that Bayesian inference can provide increased 865 accuracy of results over parsimony in palaeontological datasets (Wright & Hillis 2014; 866 O'Reilly *et al.* 2016). However, the importance of missing data and its effect on phylogeny 867 reconstruction is complex (Prevosti & Chemisquy 2010; Wiens & Morrill 2011; Simmons 868 2012; Sansom 2014). Simulations of data loss have generally focused on formulaic removal, 869 often of a minority of codings – e.g. Wright & Hillis (2014) removed characters with similar 870 rate changes. The present dataset of ichthyosaurs, however, contains over 50% non-coded 871 characters. While these missing data are not purely random, there is a bias towards absence 872 of certain character sets - e.g. small limb bones, limbs, tail vertebrae, and neural spines.

873 *A posteriori* analysis and modification of trees – e.g. by pruning – will often provide an 874 increase in resolution and potentially accuracy (Pol & Escapa 2009; Aberer et al. 2013). This 875 method has proved useful here as a faster addition to *a priori* STR (Wilkinson 1995; Siu-Ting 876 et al. 2015). Previous studies of ichthyosaurs have used an arbitrary proportion of incomplete 877 characters to remove taxa, presenting this alongside the complete analysis (Fischer *et al.* 878 2013; Fischer et al. 2014a). Although this is the simplest method, it does not always prove the best in resolving relationships (Wiens 2003). A posteriori identification of unstable taxa 879 880 allows a determination of the causes of this instability – in the case of PCR pruning by 881 pinpointing conflicting characters (Pol & Escapa 2009) – which provides a useful indication of 882 where the uncertainty lies. This method is preferred as a means of identifying problematic 883 taxa in phylogenetic analyses.

The lack of resolution here between relatively complete taxa – e.g. *Ichthyosaurus*, *Stenopterygius*, and *Temnodontosaurus* within Parvipelvia/Neoichthyosauria – suggests,
however, that the characters used to inform the topology are proving inadequate. This may
require a reformulation of characters to reflect newer understanding and methodology –

888 likelihood vs parsimony – but most immediately suggests that a thorough revision within a
889 modern phylogenetic framework is necessary. Posterior samples of trees, however, does not
890 preclude further study based on phylogenetic inference.

891 The two different topologies found under Bayesian inference imply that different models 892 support, or resolve, different parts of the tree (Fig. 7; Online Supplemental Material Figure 893 S3) – i.e. there is rate heterogeneity across the tree, and that different models/priors are 894 necessary: the posterior distributions found are different to the prior distributions (Online 895 Supplemental Material Figure S2). This is not surprising, considering that previous studies 896 have shown that certain parts of ichthyosaur evolution are punctuated by high cladogenesis 897 rates (Fischer et al. 2012; Fischer et al. 2013). Stratigraphic correlation of trees also shows the 898 unevenness present (Motani 1999c; Fröbisch et al. 2013). As Bayesian inference has been 899 shown to be able to recover weak, short branches (Alfaro et al. 2003), those clades recovered 900 around the base of Parvipelvia in this analysis may be confidently recognized, although that 901 has not been done here. A full investigation of the effects of Bayesian prior selection is beyond 902 the scope of this study.

903 Conclusions

Previous hypotheses of ichthyosaur phylogeny are corroborated, but individual taxon
 positions can vary greatly producing topological instability and weak support for
 relationships. Ichthyosaurs present a stepwise phylogeny with nested clades separated
 by acquisition of characters. Monophyletic groups are present, which can be well
 supported, and mark diversification within a particular bauplan – e.g. Mixosauridae
 and Shastasauria.

910 2. Non-monophyletic genera reaffirm the need for revision of several ichthyosaur taxa,
911 particularly those based on poor diagnoses and specimens, or phenetic definitions –

- 912 e.g. *Temnodontosaurus*, *Mixosaurus* and *Phalarodon*, *Ophthalmosaurus*, *Stenopterygius*,
 913 and *Platypterygius*. These issues may be compounded by the variation of form
 914 exhibited by several taxa e.g. *Ichthyosaurus* and *Stenopterygius* and the wide range
 915 of species and specimens available.
- 916 3. Unstable ichthyosaur taxa may be the result of inadequate characters as well as
- 917 inadequate coding (incompleteness). An in-depth, critical re-evaluation of characters
- 918 may be necessary, particularly for those in the most poorly resolved clades e.g. basal
- 919 Ichthyosauriformes, basal Merriamosauria, and Ophthalmosauridae. *A posteriori*
- 920 identification of unstable taxa and taxon pruning can provide indication of which are921 inadequately known, and where characters may need revision.
- 4. Analyses under different phylogeny criteria recover a similar overall topology, but the
 placement of individual taxa varies greatly, as does consensus resolution. Differences
 in the resolution of Bayesian inference show that different prior distributions can
- 925 affect the results, and that a simply distributed prior may not be the most useful.

926 Supplemental material

927 Supplemental material for this article can be accessed here:

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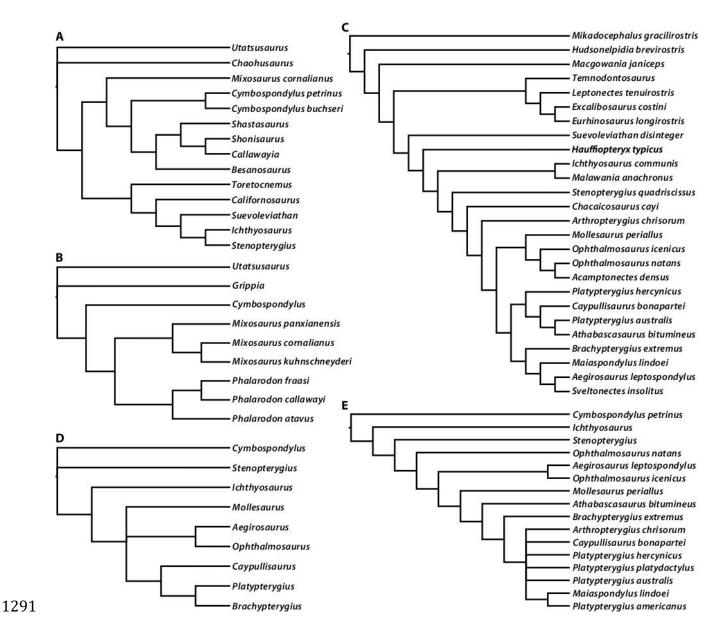
1275 Captions

- 1276 **Figure 1.** Previous hypotheses of whole-group ichthyosaur phylogeny. **A**, simplified version of
- 1277 Mazin (1982): 51 taxa (excluding *Omphalosaurus*), 14 characters. **B**, Motani (1999c): 27
- 1278 ingroup taxa, 105 characters, parsimony analysis. **C,** Sander (2000): 16 ingroup taxa, 120
- 1279 characters, parsimony analysis. **D**, Maisch & Matzke (2000): 32 ingroup taxa, 128 characters,
- 1280 parsimony analysis. **E,** Fröbisch *et al.* (2013): 32 ingroup taxa, 112 characters based upon
- 1281 Sander *et al.*'s (2011) modification of Motani (1999c), Bayesian-inference likelihood

1282 analysis.



- Figure 2. Examples of previous hypotheses of ichthyosaur subclade relations. A, Nicholls and
 Manabe's (2001) Shastasauria phylogeny: 14 taxa, 44 characters. B, Jiang *et al.*'s (2006)
 Mixosauridae phylogeny: 9 taxa, 23 characters. C, Fischer *et al.*'s (2013) Parvipelvia
 phylogeny: 26 taxa, 66 characters, D, Fernández's (2007) Thunnosauria phylogeny: 9 taxa, 24
 characters. E, Druckenmiller and Maxwell's (2010) Thunnosauria phylogeny: 16 taxa, 49
 characters. All used parsimony analysis in PAUP* or
- 1290 TNT.



1292 Figure 3. Resultant networks from Concatabomination analysis showing the more

1293 problematic taxa (larger, redder circles) and their similarity to other, less problematic taxa

- 1294 (smaller, yellow circles). Cymbospondylus piscosus, Dearcmhara shawcrossi, Isfjordosaurus
- 1295 *minor*, and *Pervushovisaurus bannovkensis* are identified as the taxa with most redundancy.
- 1296 Other, unconnected taxa and self-referential similarity are not
- 1297 shown.

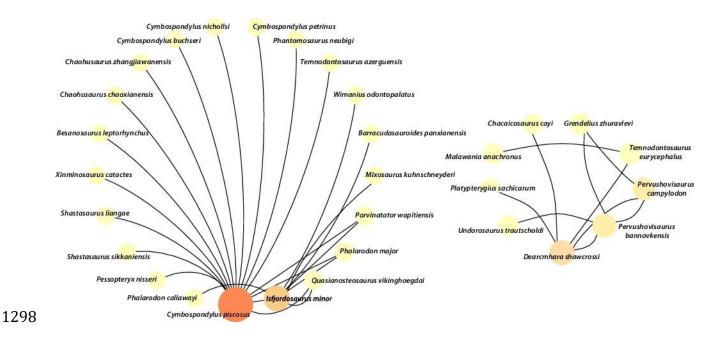
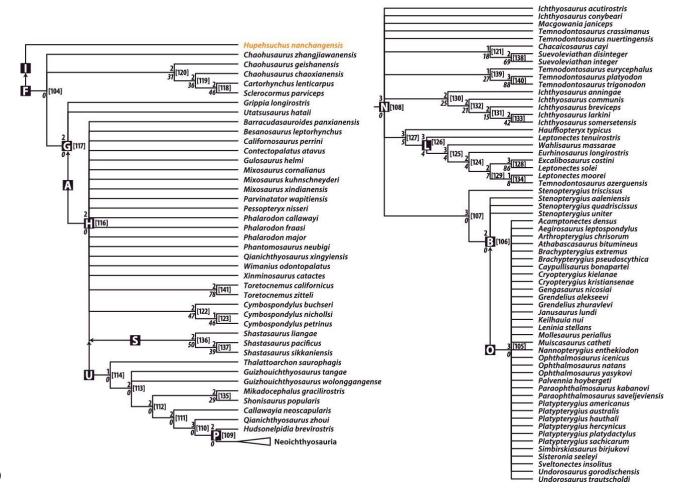


Figure 4. Strict consensus of 11,536 recovered MPTs recovered from equal weights 1299 1300 parsimony analysis in TNT with 104 OTUs: 1666 steps, CI = 0.150, RI = 0.521, RCI = 0.0782. 1301 Support values given are: decay index above the branch in roman type, symmetrical 1302 resampling per cent below the branch in italic. Named and discussed clades are labelled; node 1303 numbers are indicated in square brackets: A, Ichthyosauria; B, Baracromia; H, Hueneosauria; 1304 I, Ichthyosauriformes; L, Leptonectidae; N, Neoichthyosauria; O, Ophthalmosauridae; P, 1305 Parvipelvia; **S**, Shastasauria; **U**, Euichthyosauria. Node-based clades are indicated at the node; 1306 branch-based clades are indicated with an arrow towards the base of the clade. Outgroup 1307 taxon is indicated in orange. See also Online Supplemental Material Figures S1 &

1308 S2.



1309

- 1310 Figure 5. Visualisations of uncertainty in the 11,536 recovered MPTs from equal weights
- 1311 parsimony analysis in TNT with 104 OTUs (see also Fig. 4). A, cluster network showing
- 1312 relationships supported by at least 25% of MPTs; teal edges indicate uncertain relationships.
- 1313 **B**, consensus network of branches supported by at least 25% of MPTs; distances (branch
- 1314 weights) represent mean support for relationships; for clarity, not all taxa are labelled.
- 1315 Outgroup taxon is indicated in orange. See also Online Supplemental Material Figures S1 & S2.

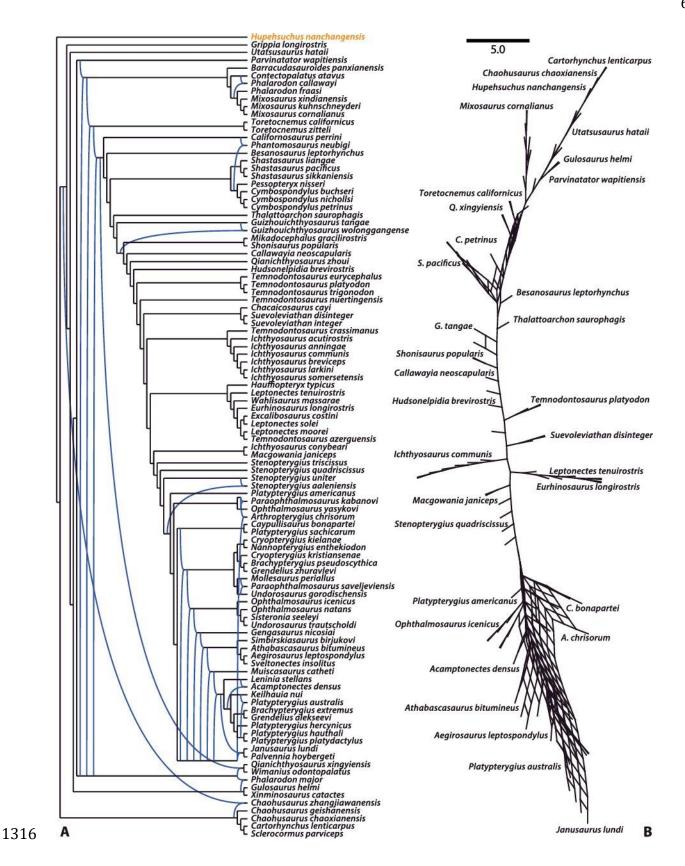
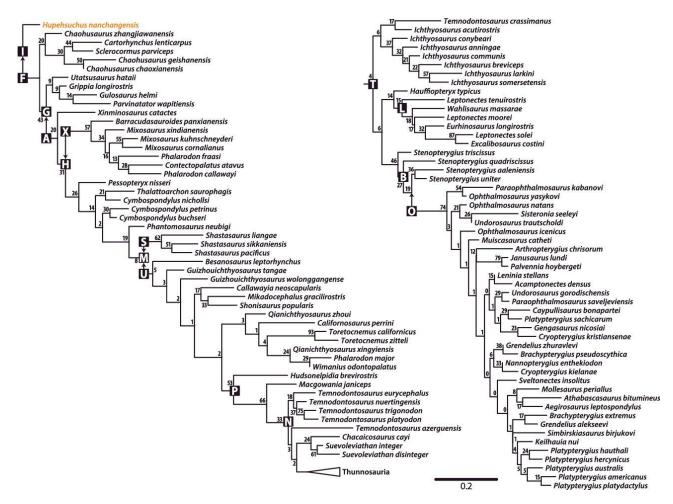
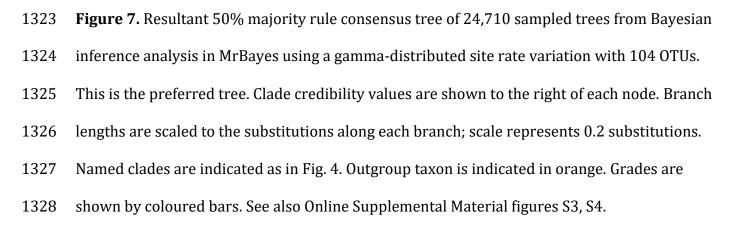


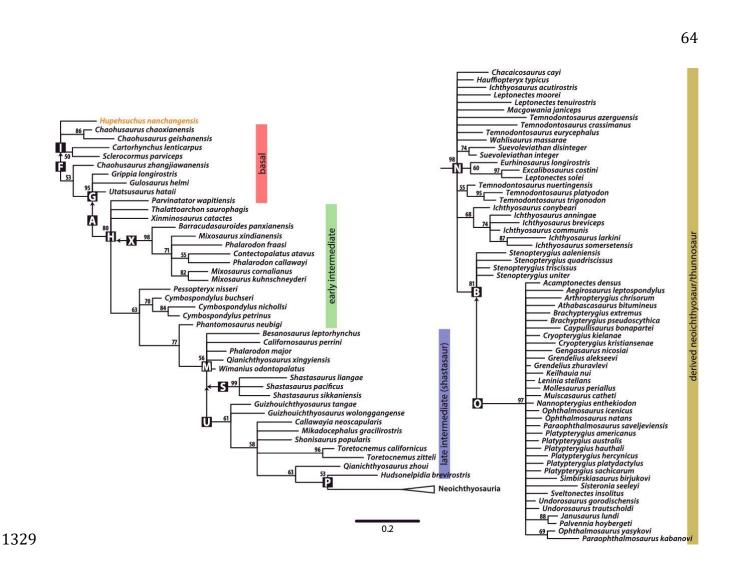
Figure 6. Most likely tree recovered from analysis in RAxML with 104 OTUs. Bootstrap
supports from 2000 replicates are indicated below each branch. Branch lengths are scaled to
the substitutions along each branch; scale represents 0.2 substitutions. Named nodes are

- 1320 indicated as in Fig. 4 with the addition of **M**, Merriamosauria; **T**, Thunnosauria; **X**,
- 1321 Mixosauridae. Outgroup taxon is indicated in orange.



1322





1330 Figure 8. Visualisations of uncertainty in 24,710 sampled trees from Bayesian inference 1331 analysis with a gamma-distributed site variation prior in MrBayes with 104 OTUs (Fig. 7). A, 1332 cluster network showing relationships supported by at least 25% of sampled trees; teal edges 1333 indicate uncertain relationships. **B**, consensus network of branches supported by at least 25% 1334 of sampled trees; distances (branch weights) represent mean support for relationships; for clarity, not all taxa are labelled. Outgroup taxon is indicated in orange. 1335

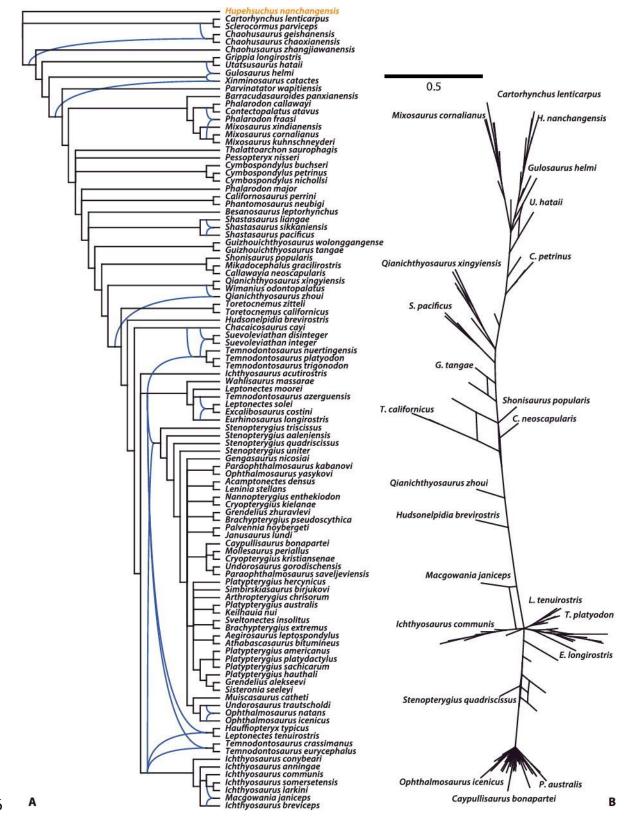
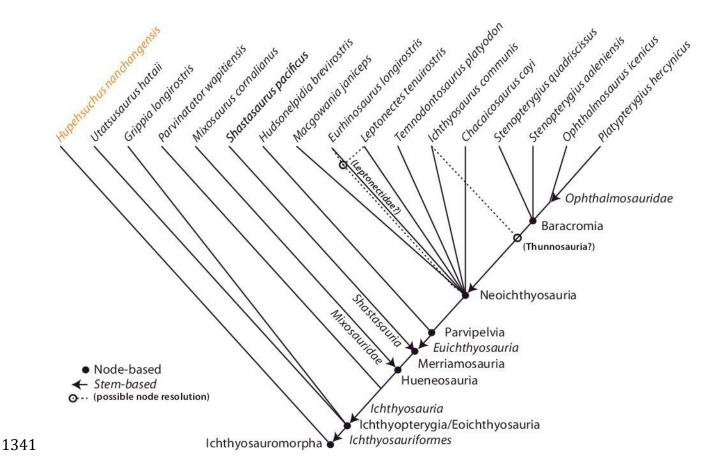




Figure 9. Summarised clade definitions for resolved (solid lines, filled circles) and possible(dashed lines, unfilled circles) clades taken from the preferred phylogeny (Fig. 7). Only

1339 defining taxa are shown. Uncertain clade positions are shown in brackets. Node-based names

1340 are in upright font; stem-based names are in italic font. The outgroup is indicated in orange.



1342 **Table 1.** Taxa pruned by PCR analysis from the strict consensus of 11,536 MPTs recovered

1343 under equal weights TNT analysis with 104 OTUs. The polytomous node in strict consensus

- 1344 (Fig. 4) from which each taxon is pruned is indicated, alongside the coded character
- 1345 completeness (%). See also Online Supplemental Material Document S4 and Figure S2.

		Completeness	
Node	Taxon	(%)	
105	Acamptonectes densus	41.8	
Â	Aegirosaurus leptospondylus	64.5	
Â	Arthropterygius chrisorum	22.6	
Â	Athabascasaurus bitumineus	33.1	
Â	Brachypterygius extremus	55.7	
Â	Brachypterygius pseudoscythica	27.9	
Â	Caypullisaurus bonapartei	58.9	
Â	Cryopterygius kristiansenae	59.6	
Â	Crypterygius kielanae	26.8	

Â		Grendelius zhuravlevi		15.3
Â		Grendelius alekseevi		46.7
Â		Leninia stellans		26.8
Â		Mollesaurus periallus		34.5
Â		Muiscasaurus catheti		27.2
Â		Nannopterygius enthekiodon		31.7
Â		Ophthalmosaurus icenicus		96.5
Â		Ophthalmosaurus natans		77
		Paraophthalmosaurus		
Â		saveljeviensis		28.9
Â		Platypterygius americanus		58.2
Â		Platypterygius sachicarum		23.3
Â		Simbirskiasaurus birjukovi		18.5
Â		Sisteronia seeleyi		16.4
Â		Sveltonectes insolitus		69.3
Â		Undorosaurus gorodischensis		40.8
Â		Undorosaurus trautscholdi		17.1
	108	Ichthyosaurus conybeari		73.2
Â		Macgowania janiceps		38.3
Â		Temnodontosaurus nuertingensis		25.8
	115	Californosaurus perrini		35.5
Â		Phalarodon major		6.6
Â		Xinminosaurus catactes		35.5
Â		Node 136 (Shastasauria)	Â	
Â		Node 114 (Euichthyosauria)	Â	