

Landslide susceptibility mapping using the Rock Engineering System approach and GIS technique: an example from southwest Arcadia (Greece)

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The purpose of this study is to prepare a susceptibility map in a landslide-prone area in Greece using Rock Engineering System (RES) and a geoprocessing tool called Model Builder. The implementation of RES is achieved through an interaction matrix, where ten parameters were selected as controlling factors for the landslide occurrence. The validation of the developed model was achieved by using field-verified data, showing excellent correlation between the expected and existing landslide susceptibility level. In conjunction with Model Builder, which can overlay different layers and produce landslide susceptibility maps, RES can act as a tool for calculating the instability index and getting a prognosis of a potential slope failure in relation to sustainable development planning processes in landslide susceptible areas.

L'objet de cette étude est la réalisation d'une carte de prédisposition au risque, dans un secteur sujet aux éboulements, en Grèce, en utilisant le système RES (Rock Engineering System) et un outil de traitement géologique nommé Model Builder. La mise en œuvre du RES est réalisée grâce à une matrice interactive avec sélection de dix paramètres en tant qu'éléments de contrôle d'une occurrence d'éboulement. La création de ce modèle a été validée en utilisant des données de vérification terrain qui ont montré une excellente corrélation entre les niveaux de prédisposition aux éboulements, attendus et constatés. En conjonction avec le Model Builder qui peut concerner différentes couches de terrain et produire autant de cartes de prédisposition aux éboulements, RES peut agir comme un outil de calcul de l'indice d'instabilité et de pronostic de rupture potentielle de pente en relation avec les processus de planification de développement durable dans les secteurs propices aux éboulements.

El propósito de este estudio es preparar un mapa de susceptibilidad en un área propensa a deslizamientos en Grecia utilizando Rock Engineering System (RES) y una herramienta de geoprocésado llamada Model Builder. La implementación de RES se logra a través de una matriz de interacción, donde se seleccionaron diez parámetros como factores de control para la probabilidad de deslizamiento. La validación del modelo desarrollado se logró mediante el uso de datos verificados en el campo, que muestran una excelente correlación entre el nivel de susceptibilidad al deslizamiento esperado y existente. En conjunto con Model Builder, se pueden superponer diferentes capas y producir mapas de susceptibilidad a deslizamientos, RES puede actuar como una herramienta para calcular el índice de inestabilidad y obtener un pronóstico de un posible derrumbe de taludes en relación con procesos de planificación sostenibles en áreas susceptibles a deslizamientos.

1. Introduction

Over the last two decades, many studies of landslide susceptibility assessment have been made. It is believed that the accuracy of landslide susceptibility mapping increases when all determining parameters are included in the analytical process. Rock Engineering System (RES), which is a semi-quantitative rock engineering approach and the basic tool for representing the parameters and their interaction mechanisms, can thus be useful in decision making regarding land use and development planning processes in landslide susceptible areas by providing a

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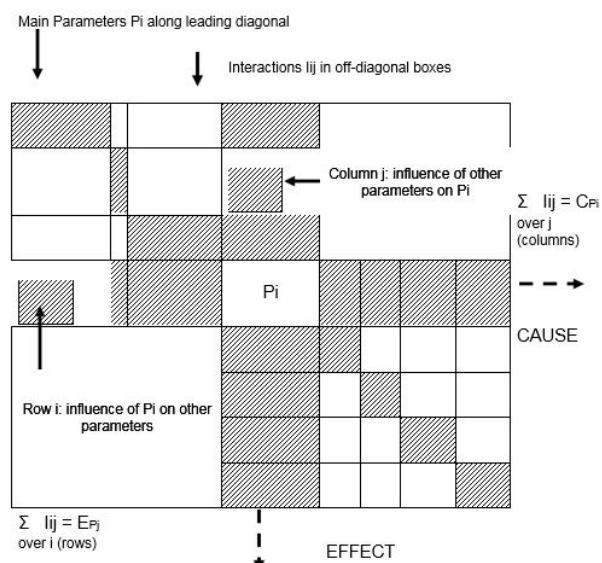


Figure 1: Summation of coding values in the row and column through each parameter to establish the cause and effect co-ordinates (after Hudson, 1992).

tool for zoning landslide hazard. It is based on an interaction matrix which represents the key parameters as leading diagonal terms and their binary interaction mechanisms as off-diagonal terms (Figure 1).

RES was developed by Hudson (1992) to determine the interaction of a number of parameters in rock engineering design and calculate an instability index for rock slopes. In this study, an attempt is made to prove that RES can be implemented with the same efficiency in forecasting landslides, which are related to a variety of geomaterials [for instance soils, soft rocks, flysch formation (intercalation of different geological formations, etc.)].

Here, the RES approach was used for

landslide susceptibility mapping in the wider area of Megalopolis, located in southwest Arcadia, which is part of the administrative region of Peloponnese, Greece. In the first stage, 21 landslide locations were identified in the study area from the literature field surveys and interpretation of satellite pictures. Secondly, ten data parameters (layers) were used as landslide conditioning and triggering factors for susceptibility mapping.

Next, the examined area was analysed using the RES methodology in conjunction with Geographical Information Systems (GIS), which facilitated the manipulation of these ten selected landslide data layers. To be more specific, a geoprocessing GIS func-

tion called "Model Builder" from ArcGIS (ESRI) was applied, providing automatic preparation of the landslide susceptibility map. The results of the RES analyses proved the instability of the field-verified landslide locations. Moreover, the verification results showed not only excellent correlation between the susceptibility map produced and the existing data of the 21 historical landslide locations but also indicated that many more potential slope failures could be taken place in the wider region of Megalopolis in the future. In conclusion, this contribution (the combination of RES with Model Builder resulting in landslide susceptibility mapping) provides originality to this study.

Table 1: Interaction matrix of the RES method.

INTERACTION MATRIX											
P1	0	3	1	0	1	0	3	0	0	8	(Cause - C)
3	P2	3	1	4	3	0	4	4	4	26	
4	0	P3	1	0	1	0	2	2	1	11	
2	1	2	P4	1	2	2	2	2	1	15	
3	1	3	3	P5	4	0	4	2	3	23	
2	0	2	2	1	P6	0	2	1	1	11	
4	0	3	0	2	4	P7	4	0	0	17	
3	0	2	0	0	3	0	P8	1	2	11	
2	0	1	3	1	4	0	2	P9	1	14	
3	0	3	1	1	4	0	3	2	P10	17	
26	2	22	12	10	26	2	26	14	13		153
(Effect - E)										153	
P1 = Distance from roads			P2 = Tectonic regime			P3 = Slope inclination			P4 = Slope orientation		
P5 = Lithology			P6 = Hydrogeological conditions			P7 = Rainfall			P8 = Thickness of weathering mantle		
P9 = Distance from rivers			P10 = Distance from tectonic elements								

Parameters	C	E	C+E	$[(C+E)/\Sigma(C+E)] \cdot 100\%$	Maximum rating	Weighted coefficient a_i
P1	8	26	34	11.1	4	2.8
P2	26	2	28	9.2	4	2.3
P3	11	22	33	10.8	4	2.7
P4	15	12	27	8.8	4	2.2
P5	23	10	33	10.8	4	2.7
P6	11	26	37	12.1	4	3.0
P7	17	2	19	6.2	4	1.6
P8	11	26	37	12.1	4	3.0
P9	14	14	28	9.2	4	2.3
P10	17	13	30	9.8	4	2.5
	$\Sigma C=153$	$\Sigma E=153$	306	100.0		

2. Materials and methods

2.1 Establishing the interaction matrix and matrix coding

The RES approach, which is based on an expert's judgement, uses an interaction matrix in which the main parameters thought to govern a particular circumstance (e.g. slope failure) are selected and the interactions between them are considered (Hudson, 1992). This enables a comprehensive assessment of the factors and interactions, the advantage being that all potential influencing factors can be included initially. RES methodology reduces uncertainty because study of the interactions between the factors indicates the degree of influence of the factors in the system being considered – which are dominant and which have a much lesser or insignificant contribution – thus reducing the uncertainty.

The interaction matrix (Table 1) shows in its main diagonal cells the principal parameters considered responsible for controlling the potential instability of the examined slopes, while its off-diagonal cells contain the coded expressions of all possible binary interactions. For the purpose of the present work, a range of possible interactivity from 0 to 4 was adopted (Koukis and Ziourkas, 1991), where 'none' is coded 0 to indicate the most stable conditions, and other interactions are ranked 'weak' (coded 1), 'medium' (coded 2), 'strong' (coded 3) or 'critical' (coded 4 – the most favourable condition for slope failure).

By coding the interaction matrix components and then summing the values in the row and column through each parameter, "cause" and "effect" co-ordinates are generated, indicating a parameter's interaction intensity (Figure 2).

The influential role of each parameter on slope failure (weighted coefficient influence) is revealed from a Cause [C] versus Effect [E] diagram (Figure 3), while the role of the system's interactivity is expressed from the histogram of the interactive intensity (C+E) (Figure 4). The C+E values will be transformed into a percentage form acting as weighting coefficients, which express the proportional share of each parameter (as a failure-causing factor) in slope failure and are normalised by dividing by the maximum rating (4), giving the a_i percentage.

The next step is to compute the instability index (Ii) for the considered slope using the following equation:

$$I_i = \sum a_i \times P_{ij}$$

where i refers to parameters (from 1 to 10), j refers to the examined slope and a_i is

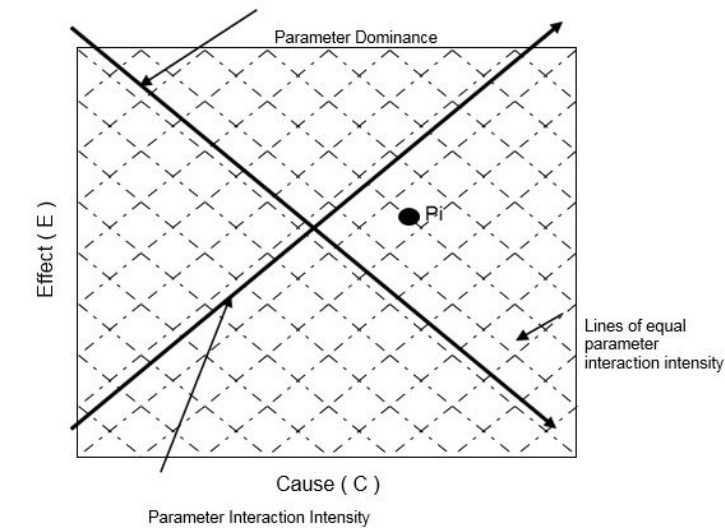


Figure 2: Parameter interaction Intensity and Dominance (after Hudson, 1992).

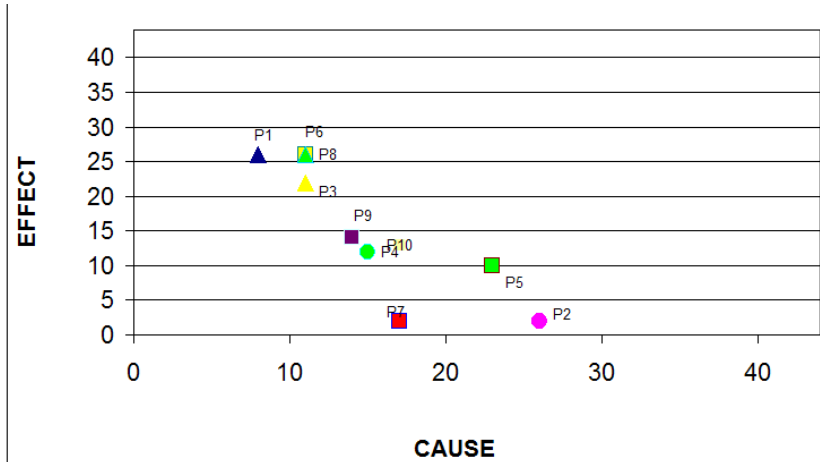


Figure 3: Cause-Effect diagram for the 10 parameters of the Megalopolis study area.

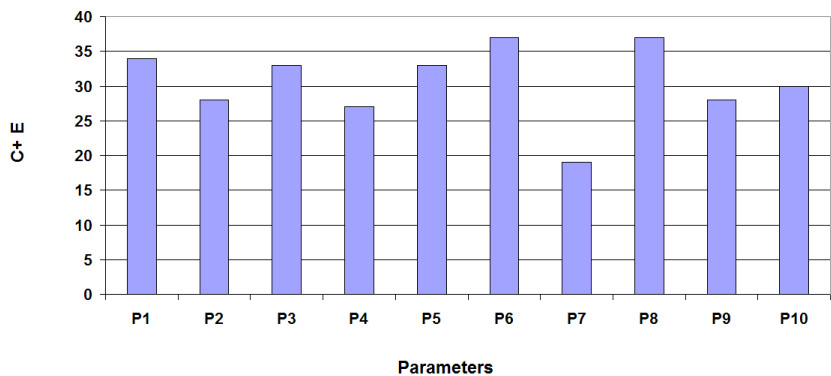


Figure 4: Interactive intensity of parameters.

the weighting coefficient of each parameter given by the formula:

$$a_i = 1/4 * [(C+E)/(\sum_i C + \sum_i E)]\%$$

scaled to the maximum rating of P_{ij} (maximum value=4). P_{ij} is the rating value assigned to the different category of each parameter's separation, which also fits better

to the conditions related to the parameter in question regarding the examined slope failure (Rozos *et al.*, 2008). The instability index is an expression of the inherent potential instability of the slope, where the maximum value of the index is 100 and refers to the most unfavourable conditions.

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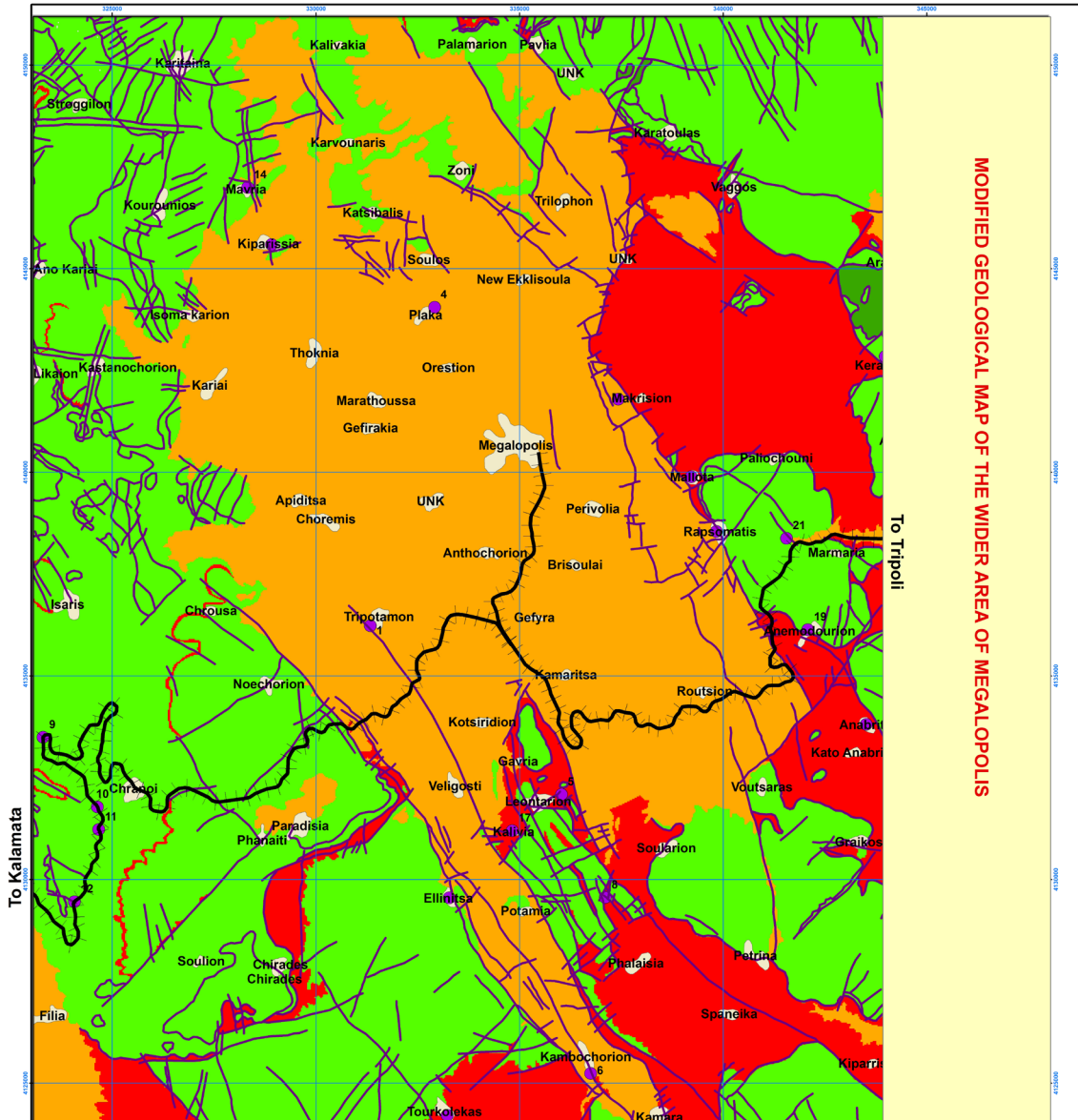


Figure 5. Modified geological map of Megalopolis area

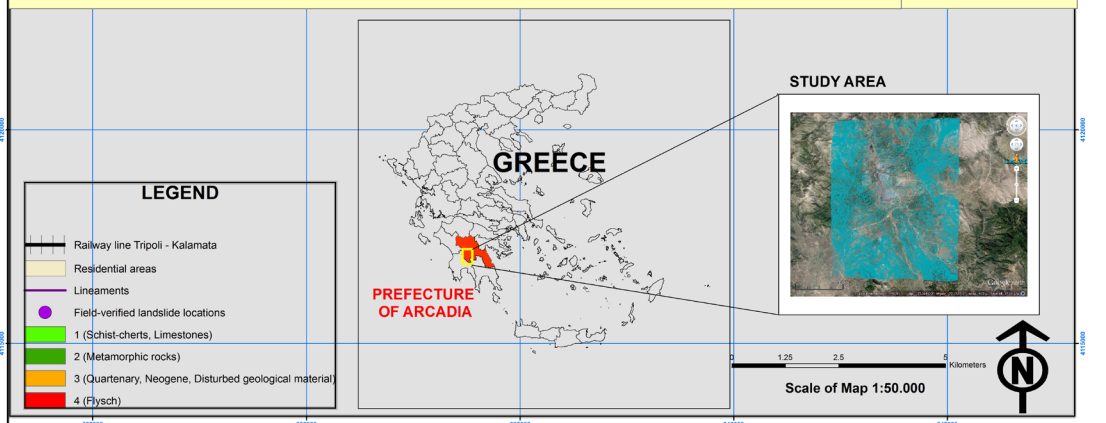


Figure 5: Modified geological map of Megalopolis area, scale 1:100,000 (Tavoularis, 2017).

2.2 Geological setting of the study area

The study area is located in Greece and specifically in the southwestern part of Arcadia in Peloponnese (Figure 5). In this particular region two alpine geotectonic units of the external Hellenides are present, namely (i) the Tripolis unit (shallow – water carbonates, Triassic – L. Eocene and flysch, L. Eocene – E. Miocene), and (ii) Pindos unit (pelagic limestones, radiolarites, the so-called “first flysch”, thin-bedded limestones, L. Cretaceous and flysch, Danian-Eocene). Pindos units overthrust Tripolis units, forming successive thrust sheets with movement direction from east to west. The neotectonic macrostructure of the broader area (SW Peloponnese) is characterised by the presence of large grabens and horsts bounded by wide fault zones, striking N-S and E-W (Ladas *et al.*, 2007). In addition, this area was affected by the great Neogene phase of crustal extension.

The examined area is included in the 1:50,000 Geological Map of Megalopolis and has an extent of 614 km². The main rivers are the Alfios and Elisson. The mean annual rainfall is around 1000 mm, while the maximum precipitation falls between November and March. Altitude values in the study area vary between 88 to 1340 m (Tavoularis, 2017).

2.3 Selection of the appropriate parameters controlling the slope failures

The majority of such studies follow five basic concepts for the chosen parameters, specified by Ayalew and Yamagishi (2005). Parameters should:

- be representative of the entire study area,
- vary spatially,
- be measurable,
- not account for double consequences in the final result,
- have a certain degree of affinity with the dependent variable (the presence or absence of landslides).

In the above case study area, ten parameters were selected as independent controlling factors for the landslide occurrence and each factor was classified into 5 classes. The factors utilised for the RES methodology were:

- P1 - distance from roads,
- P2 - tectonic regime,
- P3 - slope inclination,
- P4 - slope orientation (aspect),
- P5 - lithology,
- P6 - hydrogeological conditions,
- P7 - rainfall,
- P8 - thickness of weathering mantle,

Table 2: The selected parameters and their rating.

PARAMETERS	RATING	PARAMETERS	RATING
1. Distance from roads		7. Rainfall	
Distant (>200 m)	0	<400 mm	0
Moderately distant (151–200 m)	1	400–600 mm	1
Immediate (101–150 m)	2	600–1000 mm	2
Less immediate (51–100 m)	3	1000–1400 mm	3
Close (0–50 m)	4	>1400 mm	4
2. Tectonic regime		8. Thickness of weathering mantle	
Weak: is not connected with a significant tectonic event	0	None	0
Moderate: presence of schistosity	1	Very small (0.00–0.50 m)	1
Strong: is associated with the presence of faults and discontinuities	2	Small (0– 1.50 m)	2
Very strong: with high-fractured zones	3	Medium (1.5– 3.0 m)	3
Intense: represents up thrusts and over thrusts	4	Significant (>3.00 m)	4
3. Slope's inclination		9. Distance from rivers	
0–5°	0	Distant (>200 m)	0
6–15°	1	Moderately distant (151–200 m)	1
16–30°	2	Immediate (101– 150 m)	2
31–45°	3	Less immediate (51–100 m)	3
>45°	4	Close (0-50 m)	4
4. Slope's orientation		10. Distance from tectonic elements	
225° –275°	0	Distant (>200 m)	0
45°– 90°	1	Moderately distant (151–200 m)	1
90°–135°, 275°– 315°	2	Immediate (101–150 m)	2
315°– 0°	3	Less immediate (51–100 m)	3
0°– 45°, 135°–225°	4	Close (0–50 m)	4
5. Lithology		<i>Note: Parameters rating is based on: Rozos et al. (2008) & (2011) and Koukis and Ziourkas (1991) for the period 1949–1991. In addition, concerning slope inclination, even though based on Koukis and Ziourkas (1991) the higher landslide density is in the class of 16°–30°, in this study the higher rating was given to slopes with the higher inclination, due to the fact that in nature, slopes consisting of soil or hard soil to soft rocky formations and having high angle fail almost immediately after the formation giving lower slope angles (Rozos et al., 2011).</i>	
Volcanic rocks	0		
Cherts, schists	1		
Limestone, marbles	1		
Metamorphic formations exhibiting schistosity	2		
Old landslide / disturbed geomaterial (alluvial, etc.)	3		
Flysch	4		
6. Hydrogeological conditions		RATING	
None		0	
Fractured formations characterised as having low to negligible permeability (Flysch, schists)		1 (Restricted: refers to solution and leaching of soil materials as well as to degradation of fine-grained and coarse-grained materials)	
Alluvial deposits, carbonate formations having low to medium permeability		2 (Moderate: is associated with the freezing of water in the joints, clays swelling and the action of water in discontinuities and cavities)	
Debris with medium permeability		3 (Increased: refers to erosion by water courses)	
Carbonate formations with medium to high permeability		4 (Extensive: is connected to the loading caused by snow, rainfall and springs but also to the increase of pore water pressure)	

P9 – distance from rivers and
 P10 - distance from tectonic elements.
 The geodata were adjusted to the local conditions of Megalopolis area and rated

for construction of the interaction matrix (Tavoularis, 2017). These parameters can be quantified more easily than more time- and money-consuming ones (Table 2).

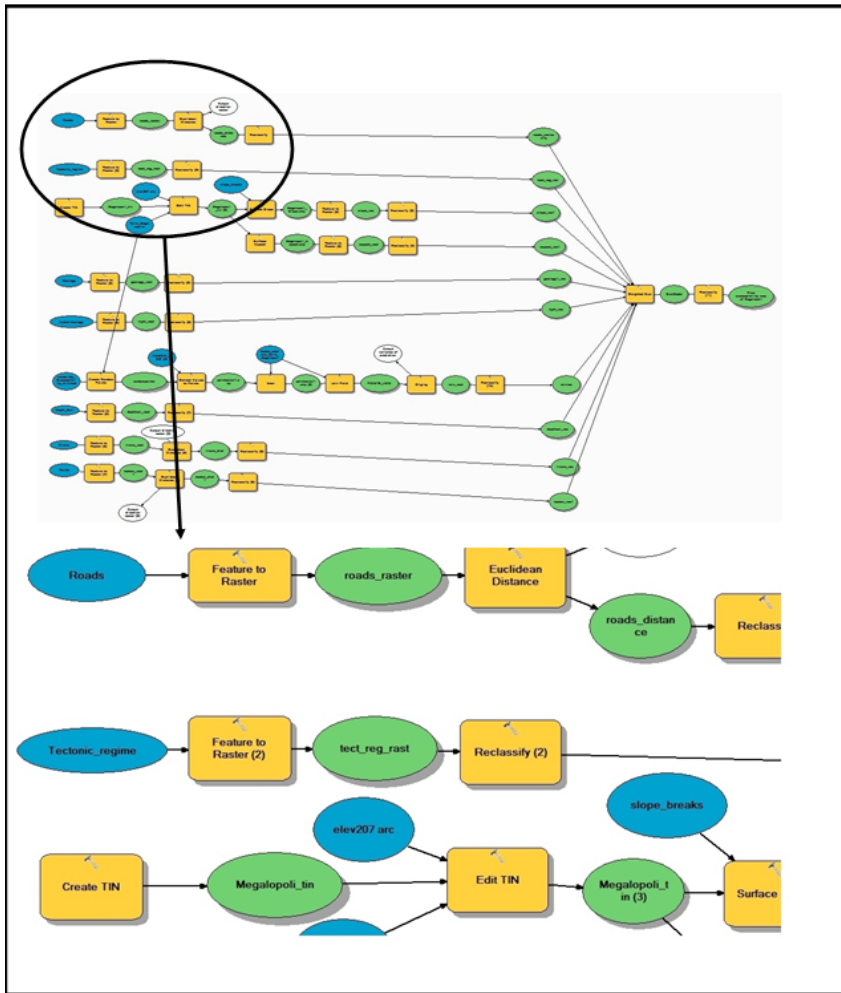


Figure 6: View of Megalopolis model builder.

2.4 Application of Model Builder in landslide susceptibility mapping

The susceptibility approach was designed by the USGS in the 1960s as a qualitative way to prepare landslide maps or to delineate zones affected by landslides, assessing the propensity of a given slope unit to generate a landslide based on spatial data (Brabb *et al.*, 1972). Naturally, any landslide susceptibility prediction has a level of uncertainty. Sources of uncertainty include:

- errors and incompleteness in the landslide and thematic information to complete the analysis,
- an imperfect understanding of landslide processes and their geographical and temporal evolution;
- limitations in the techniques used to determine the susceptibility,
- the inherent natural variability of landslide phenomena.

Determining the errors associated with the geomorphological, geological and

other thematic information is of primary importance. Improving the understanding of the landslide processes is feasible, but requires time and resources often not available to landslide investigators (Guzzetti *et al.*, 2006). Consequently, some parameters will have to be rated from the beginning. To overcome these difficulties, in this study Model Builder, an application of ArcGIS (ESRI), is used for the automatic preparation of a landslide susceptibility map by modifying each parameter easily and quickly at any time.

Model Builder is a visual programming language for building geoprocessing workflows that allows multiple processes to be combined. The model is represented as a diagram that links together sequences of processes and geoprocessing tools, using the output of one process as the input to another process. It enables the user to visualise work flow (in the form of flow chart diagrams) and author and automate geoprocessing tasks that would normally be executed in single steps in ArcMap. It also

has the resultant advantage of allowing the user to document the steps involved in the development of a model. While the development of the initial version of a model might take a little more time than conducting the steps manually, it is extremely useful when conducting multiple runs of a model – the model can be run on different data or small changes in the model can be made and the model rerun to examine model alternatives and assumptions (National Land Service of Lithuania, 2008). By using this application, it becomes easier to test the susceptibility model (Figure 6).

2.5 Data analysis

The following step was the production of the landslide susceptibility map through the construction of different thematic maps associated with landslide-related variables. The data used for the preparation of these layers were obtained from the Hellenic Military Geographical Service topographical sheets (scale 1:50,000) and IGME geological map (Megalopolis, scale 1:50,000). All data layers were digitised either from the original thematic maps or derived from spatial GIS calculations and finally were converted into grids with cell size 30×30 m.

The next step was to assign weights and rank values to the raster layers (representing factors) and to the classes of each layer respectively. This was realised with the use of RES. Finally, the weighted raster thematic maps with the assigned ranking values for their classes were multiplied by the corresponding weights and added up (through the ArcGIS tool of weighted sum) to yield a simple map where each cell has a certain landslide susceptibility index value. After reclassification this map represents the final susceptibility map of the study area (Figure 7).

3. Results and discussion

3.1 Implementation of RES in Geological map of Megalopolis (scale 1:50,000)

RES was implemented in the area defined by the geological map of Megalopolis, taking into account the interactions of the examined principal parameters and the calculation of their weighting coefficients. This resulted in the determination of an instability index for each examined slope of the study area. The RES matrix shown in Table 1 provides interactions of the chosen parameters based on the ratings outlined in Table 2.

For example, regarding the effect of rainfall on the thickness of the weathering

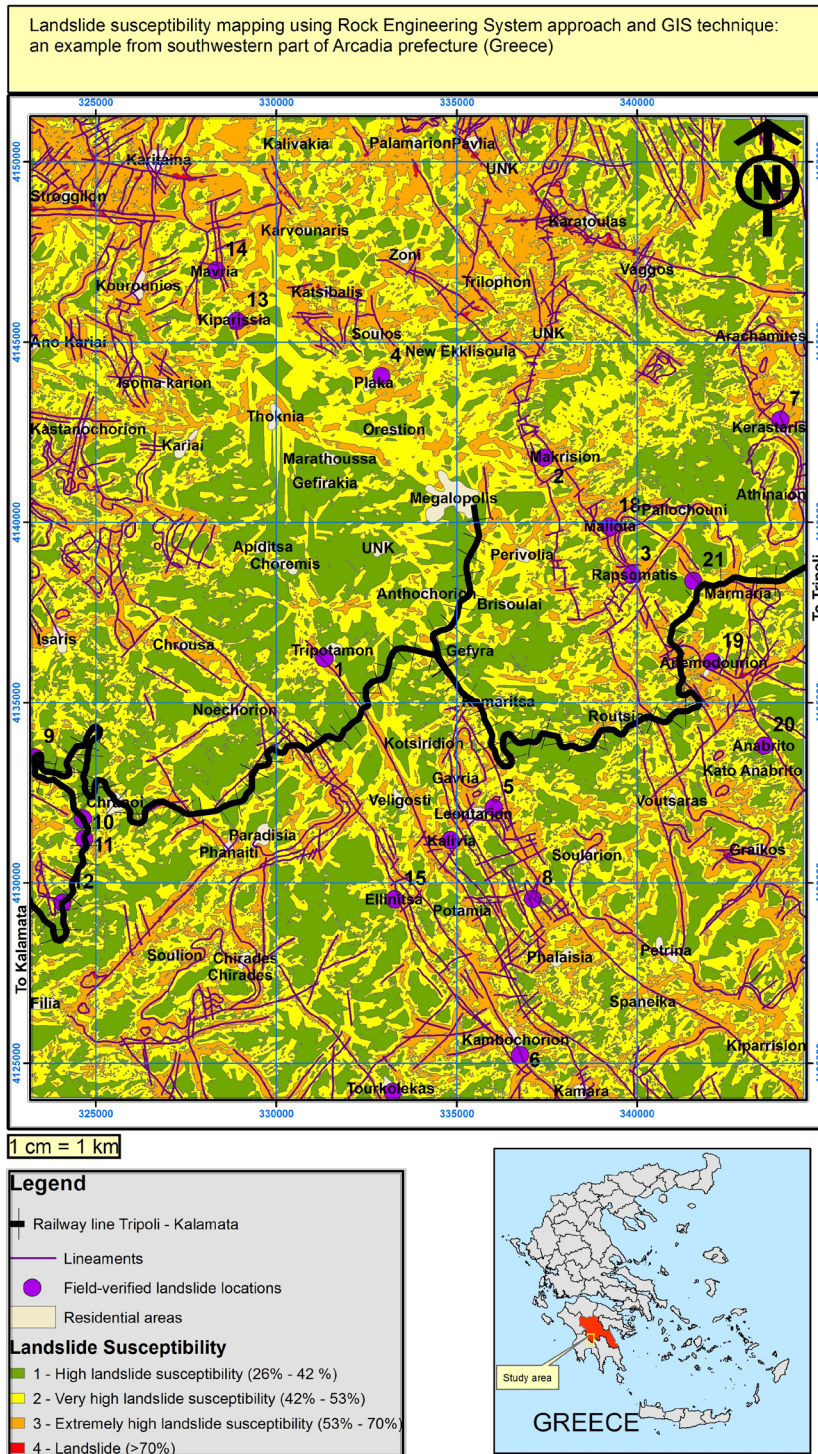


Figure 7: Landslide susceptibility map of Megalopolis area scaled in 1:100,000 (Tavoularis, 2017).

mantle, the runoff erodes the surface soil and weakens rock formations. Also, the infiltrated water increases the pore water pressure, alters the weathering of the existing surface (and subsurface) geomaterials

and consequently increases the thickness of the weathering mantle (rating: 4). On the other hand, the thickness of the weathering mantle does not influence rainfall at all (rating: 0).

Table 3: Classification for relative landslide susceptibility proposed by Brabb et al. (1972).

% Failed area	0 - 1	2 - 8	9 - 25	26 - 42	43 - 53	54 - 70	100
Relative Susceptibility	I	II	III	IV	V	VI	L
	Negligible	Low	Middle	High	Very high	Extremely high	Landslide

From Table 1, it can be seen that hydro-geological conditions and thickness of the weathering mantle are the most interactive parameters (C+E=37), while rainfall is the least interactive (C+E=19). This suggests that rainfall does not depend on the influence of the other parameters but is an independent agent, concerning the whole system.

Based on the above, the landslide susceptibility index (LSI) values in the resulting susceptibility map vary within the range of 0 and 100. LSI values were classified into seven categories, namely “Negligible”, “Low”, “Middle”, “High”, “Very high”, “Extremely high” and “Landslide”, according to the classification for landslide susceptibility shown in Table 3 (Brabb et al., 1972). The higher the LSI, the more susceptible the area is to landslides. In this study, the critical zones were those corresponding to an instability index higher than 49%, the “Very High” and “Extremely high” zones.

3.2 Validation of the landslide susceptibility map

In order to test the performance of the produced susceptibility map, it was compared with the distribution of the major landslide events that had occurred in the study area and the predicted map showed very satisfactory results. To be more specific, in the landslide susceptibility map of Megalopolis, 5% of the locations of actual landslides correspond to the “Very high” and 95% are associated with “Extremely high” landslide susceptibility (Figure 7, Table 4). The susceptibility map shows that slope failure incidents were located in areas where flysch formations, schist-cherts and Neogene sediments outcrop on slopes near major fault zones and thrust surfaces. Moreover, in order to examine the potential landslide risk in respect to settlements, villages and cities of the study area were overlaid on the susceptibility-hazard map. This correlation suggests that 45 settlements are entirely or partially located within “Very high” or “Extremely high landslide susceptibility” zones”.

4. Conclusions

In this paper, landslide susceptibility was assessed by examining ten landslide parameters using RES and a GIS geoprocessing tool called Model Builder. Based on the selected parameters, all interactions that

Table 4: Calculation of Instability Index of Megalopolis area.

Calculation of Instability Index													
Parameter	P1	P2	P3	P4	P5	P6	P7	P8	P9	P10	Instability index	RSN	Landslide susceptibility
Landslide location													
1	3	0	2	2	3	2	2	4	4	4	66	VI	Extremely high landslide susceptibility
2	4	2	1	3	3	1	3	3	4	1	61	VI	Extremely high landslide susceptibility
3	4	2	1	4	3	1	1	3	3	4	66	VI	Extremely high landslide susceptibility
4	4	2	1	4	3	1	4	3	0	0	54	VI	Extremely high landslide susceptibility
5	4	2	2	4	4	1	2	2	3	3	67	VI	Extremely high landslide susceptibility
6	4	2	4	2	3	1	1	3	3	4	69	VI	Extremely high landslide susceptibility
7	1	4	2	2	1	4	2	1	4	4	62	VI	Extremely high landslide susceptibility
8	4	2	1	4	4	1	2	2	2	4	65	VI	Extremely high landslide susceptibility
9	4	4	2	4	1	2	2	2	4	0	62	VI	Extremely high landslide susceptibility
10	4	4	2	4	1	2	3	2	4	0	63	VI	Extremely high landslide susceptibility
11	4	4	2	4	1	2	3	2	4	0	63	VI	Extremely high landslide susceptibility
12	4	4	2	2	1	2	3	2	2	4	64	VI	Extremely high landslide susceptibility
13	4	1	1	2	3	2	4	3	1	3	60	VI	Extremely high landslide susceptibility
14	4	4	1	4	1	2	4	2	1	3	62	VI	Extremely high landslide susceptibility
15	4	2	1	4	1	4	2	1	4	3	65	VI	Extremely high landslide susceptibility
16	4	2	2	4	4	1	3	2	1	0	57	VI	Extremely high landslide susceptibility
17	4	2	2	2	4	1	2	2	3	3	63	VI	Extremely high landslide susceptibility
18	4	2	3	2	4	1	2	2	2	1	58	VI	Extremely high landslide susceptibility
19	4	4	2	2	1	4	2	1	3	3	65	VI	Extremely high landslide susceptibility
20	4	2	1	0	4	1	1	2	4	0	49	V	Very high landslide susceptibility
21	4	4	2	4	1	4	2	1	2	3	67	VI	Extremely high landslide susceptibility
Maximum rating	4	4	4	4	4	4	4	4	4	4			
$[(C+E)/\Sigma(C+E)]*100\%$	11.1	9.2	10.8	8.8	10.8	12.1	6.2	12.1	9.2	9.8	100.0		
Weighted coefficient (a _i)	2.8	2.3	2.7	2.2	2.7	3.0	1.6	3.0	2.3	2.5			

were revealed have been implemented through an interaction matrix. The outcome of this procedure produced the final susceptibility map for the southwestern part of Arcadia in Greece. The validity of this approach was tested using the slope failures that had occurred in this particular region. The instability index of all those slopes was found to be larger than 49 (out of 100), proving their instability (e.g. 21 out of 21 landslides were observed in either the “Very high susceptibility” or “Extremely high susceptibility” zone). In addition, it

became clear that many more potential landslides could take place in the wider region of Megalopolis in the future.

Based on these positive results, we are confident in saying that the adaptability of RES to local conditions and to the given characteristics of existing geodata allow the use of an efficient tool in estimating landslide susceptibility hazard by adopting parameters that can be quantified more easily compared to other more expensive and time-consuming techniques. Moreover, experts (geologists, engineers) can use

the RES approach before site investigations (geological–geotechnical) take place without knowing in advance if any slope failure has occurred in this area already.

As a consequence, RES could be an inexpensive and effective tool in ranking the instability in natural and man-made slopes and be useful in decision making regarding land use and development planning processes for zoning areas of potential landslide phenomena, such as those of southwest Arcadia.

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