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# Dynamic aberrant NF-κB spurs tumorigenesis: a new model encompassing the microenvironment

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#### Abstract

Recently it was discovered that a transient activation of transcription factor NF- $\kappa$ B can give cells properties essential for invasiveness and cancer initiating potential. In contrast, most oncogenes to date were characterized on the basis of mutations or by their constitutive overexpression. Study of NF- $\kappa$ B actually leads to a far more dynamic perspective on cancer: tumors caused by diverse oncogenes apparently evolve into cancer after loss of feedback regulation for NF- $\kappa$ B. This event alters the cellular phenotype and the expression of hormonal mediators, modifying signals between diverse cell types in a tissue. The result is a disruption of stem cell hierarchy in the tissue, and pervasive changes in the microenvironment and immune response to the malignant cells.

#### Keywords

Immunity; Inflammation; Homeostasis; Cancer; Nuclear Factor kappa B

#### 1. Introduction

The role of transcription factor Nuclear Factor kappa B ("NF- $\kappa$ B") in cell physiology has been reviewed extensively, and excellent articles describe mutations on genes that encode for NF- $\kappa$ B regulators in lymphoid malignancy [1]. Such mutations are relatively rare in solid tumors [2]. Lineages that give rise to solid tumors normally restrict their growth to generate solid tissue – this restriction can be overcome by NF- $\kappa$ B in carcinogenesis [3]. However, in recent years, study models for adenocarcinoma show oncogenes acting through NF- $\kappa$ B to cause cancer [1,4,5]. We selected a few of these models to present key changes in cell signaling to highlight the common theme. Lastly, we use leukemia as a model for metabolic homeostasis. Cell lineages giving rise to leukemia differ from adenocarcinoma in that they possess a natural capacity to initiate rapid clonal growth and migration.

NF- $\kappa$ B is a dimer of proteins with Rel homology domain at the N-terminus (e.g. RelA/p65, RelB, NF- $\kappa$ B1/p50, NF- $\kappa$ B2/p52), which forms a complex the Ikappa B protein (I $\kappa$ B) that

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restricts NF- $\kappa$ B from entering the nucleus (Table 1). I $\kappa$ B can be inducibly or constitutively degraded, depending on the signaling context [1]. In many cell types a dimer of RelA with p50 regulates NF- $\kappa$ B target genes, including other Rel domain proteins. We focus on RelA as a paradigm for NF- $\kappa$ B effects in study systems discussed here unless otherwise specified.

NF- $\kappa$ B activation can proceed through the canonical pathway, or the noncanonical pathway [6]. In canonical signaling, I $\kappa$ B protein restricts the Rel dimer. The protein kinase complex IKK, which interacts with a variety of proteins (Supporting Table S1) can phosphorylate I $\kappa$ B; after phosphorylation, the proteasome degrades I $\kappa$ B [7,8], enabling a rapid nuclear entry of Rel proteins, where, depending upon their posttranslational modifications, they activate or repress specific groups of target genes [9]. "Noncanonical" signaling takes place when the restricting protein is p100. p100 processing gives rise to the protein p52, which forms a dimer with RelB [6]. During cell stress, other proteins, such as tumor suppressor p53, can restrict RelA from entering the mitochondria [10]. Multiple proteins thereby ensure a tight regulation of NF- $\kappa$ B activity. Under conditions of high expression of the RelA protein, or mutations of enzymes that modify RelA function, some cell types escape feedback regulation of NF- $\kappa$ B activity, as we discuss in section 5.2. We focus on proteins that show why feedback control of NF- $\kappa$ B activity is critical in shaping the microenvironment in malignancy, including cell phenotypes, immune response, and material exchange within a niche.

### NF-κB subunit ReIA is modified to control multiple signal transduction pathways

NF- $\kappa$ B regulates cell differentiation and its inflammatory responses [1]. What makes NF- $\kappa$ B unique is the fact that it is activated in response to diverse changes in the host tissue, and has the capacity to alter the state of the host tissue and of multiple components of the immune system profoundly. Signals that modulate RelA (Fig. 1A) activity affect stability, tertiary structure, and specific combination of charged and hydrophobic residues exposed on RelA, and determine: 1. whether RelA associates specifically with nuclear, mitochondrial, or cytoplasmic proteins; 2. the gene promoters or enhancers RelA associates, modulating transcriptional activity.

RelA interacts with a number of key regulatory proteins, such as nuclear hormone receptors, either by direct physical association, or through competition for coactivators and corepressors [11]. In this way steroid hormones and inflammatory cytokines regulate one another. Several growth factors, or cytokines, binding to their transmembrane receptors, as well as cell stress, elicit intracellular signal cascades that activate distinct Rel proteins, depending on the cell type [12,13]. Recipient cells, in turn, respond by integrating those signals and expressing adhesion molecules, enzymes, and mediators that coordinate cellular function within their microenvironment [13,14].

Metabolism and oxidant stress can impact RelA transcriptional activity [12,14]. As an example, in human pancreatic ductal adenocarcinoma cells, abnormally high enzymatic activities of the hexosamine biosynthetic pathway modify RelA and upstream kinases IKK $\alpha$  and IKK $\beta$ ; inducing RelA phosphorylation on serine 536, nuclear translocation, NF- $\kappa$ B

transcriptional activity, target gene expression, and thereby facilitating anchorageindependent growth [15].

In contrast RelA can also turn into an activator of apoptosis, by phosphorylation on Threonine 505, which can be induced by cisplatin in susceptible cells [16]. Depending, therefore, on the cellular assortment of proteins that interact with RelA, its activation can either kill or reprogram the cell. In contrast to non-malignant cells, where regulation of the activity of Rel-modifying enzymes makes cell survival depend on tissue integrity, in a cancer cell enzymes that modify RelA operate according to mechanisms overriding tissueimposed control (Fig 1B). This uncouples cell survival from tissue integrity and normal function (Fig 1C).

It is very important to note that RelA controls expression of genes encoding several of its'own regulators, including the inhibitor I $\kappa$ B $\alpha$  and activating kinases IKK $\alpha$  and IKK $\beta$  [17] As these kinases interact with diverse signal mediators (Supplementary Table S1), many effects of transiently induced RelA on regulation of cell physiology may escape attention. In contrast, it is already known that synthesis of non-canonical pathway proteins RelB and p52, is controlled by canonical signaling [18]. Hence, aberrant function of the canonical pathway can have indirect effects on cellular function downstream of the non-canonical pathway, with a severe impact on tissue integrity.

## Interactions between diverse cell types shape tissue function through NF-κB

RelA on one hand mediates the expression of many inflammatory genes, and on the other it can activate survival genes in both normal and cancer cells [19]. A central theme in RelA activity is that it opposes growth restrictions on the cell [20], and prepares the cell for developmental change.

Almost any type of cell stress or inflammatory mediator can induce NF- $\kappa$ B activity [14]. Infection, injury, or toxic organ damage, can cause cell death: reactive oxygen species (ROS), and varied sources of genotoxic and metabolic stress can be elevated above the threshold that a cell tolerates [21]. Under cytotoxic conditions, certain cell types are needed for survival of the organism. In this case NF- $\kappa$ B, by increasing expression of several cohorts of metabolic enzymes and key hormones, can balance stress signals, and thereby protects essential cells [11,14,22,23]. NF- $\kappa$ B target genes consequently include cytokines, adhesion molecules, and specialized functional units of immune cells, as well as antiapoptotic proteins like Bcl-2 and Bfl-1, [13,24-26]. Cytokines and adhesion molecules mediate communication between an individual cell and its surrounding tissue, as well as the rest of the organism. Which are their effects on tissue?

Inflammation lasts from the phase of initiation to the phase of resolution, with restoration of normal function [27]. It activates changes in numerous cell types in the microenvironment: complementary groups of leukocytes, epithelial, mesenchymal, and endothelial cells interact through adhesion molecules and cytokines, to sequentially activate the processes of immune cell recruitment, extravasation (passing through blood vessel walls into tissues), tissue

infiltration, ROS release, induction of antioxidant systems, cell reprogramming and transdifferentiation, matrix component deposition, and immune system suppression (Fig. 2A) [28-30]. Therefore, to protect host tissue, activity of NF-κB is tightly regulated:

- a) Certain cell types that function in immune response need to persist as long as the response lasts. For example, innate immune response will ideally last for a restricted time in order to protect the host tissue [31]. The lymphocytes that facilitate adaptive immunity, however, need to live on and retain essential properties: they undergo lasting changes in their chromosomes. NF-κB target genes like Bfl-1 help such cells survive, essentially protecting cells with genomic rearrangements a property shared with cancer cells [13,32].
- b) Cells necessary to fill in developing or damaged tissues, are either formed by mesenchymal cells, adult tissue stem cells, or by reversal of differentiation of cells that form local tissue [33]. Cytokines can increase the pool of available cell precursors, both through differentiation of stem cells [34], and by reprogramming of tissue resident cells [35]. Other cells, instead of forming replacement units, are induced to supply essential molecules to the stem cells, forming "feeder" niches [36,37].

Through these processes NF-κB -dependent genes coordinate interaction between tissues, with drastic effects on cell function. This cross-talk is disrupted in cancer (Fig 1C): in a normal cell, enzymes that mediate activation of NF-κB are regulated according to the role of the cell in development and inflammation by inducing signal pathways that lead to resolution of the initial trigger [27]. In contrast, in tumor cells, under certain conditions, NF-κB mediates propagation of cell clones that have lost a key feedback inhibiting mechanism (Fig 2B); the result is cancer [14,15,38]. Malignant cells may respond to a lethal stimulus through a signal pathway that is disproportionately activated (Fig 1B), and has a critical influence on downstream enzymes [14,22], as we discuss in section (6).

#### 4. Control of NF-rB impacts cell differentiation

RelA is rather stable and abundant in most cell types [1,10]. Its main natural inhibitor,  $I\kappa B\alpha$ , on the contrary, is susceptible to proteolysis by proteasome, lysosome, or calpain, and may differ greatly between different cell types, different states of growth, and diverse signals [7,8]. Within tumors, both proteasome and calpain activity can be increased [39]. As RelA activation is downstream of receptor-initiated signals, and subject to amplification cascades, its activity can become independent from cell surface receptors (Fig. 3) [1,11].

Interference of RelA with cellular factors linked to differentiation is critical for its role in epithelial neoplasia. Components of mechanisms that guide differentiation and migration of epithelial lineage cells are disrupted in neoplastic development of many solid tumors [33,34]. Estrogen-regulated pathways via the estrogen receptor (ER) are often involved in breast cancer, and androgen via the androgen receptor (AR) in prostate cancer [11,40]. RelA plays an interesting role in each of these processes: it may enhance ER or AR - mediated signal pathways that activate cell growth and proliferation, while inhibiting potently the ER-or AR- mediated signals that lead to cell differentiation [11,23].

Additionally, embryonal stem cells regulate STAT3 (signal transducer and activator of transcription 3), Nanog, and noncanonical NF- $\kappa$ B signaling in balance with canonical signaling to maintain pluripotency [18, 41-43], which implies that loss of control for canonical signaling in neoplasia could give rise to a subpopulation of malignant cells with properties overlapping stem cells.

In innate immune system cells, including microglial cells of the central nervous system, RelA nuclear translocation is inducible (as in most mammalian cells), and leads to inflammation; because  $I\kappa B\alpha$  gene expression is induced by RelA, innate immune responses are normally self-limiting (reversible [29,35]).

Cells that mediate adaptive immunity tend to increase basal levels of NF- $\kappa$ B activity. Rapidly induced RelA activates c-Rel gene expression, facilitating survival of activated Band T-lymphocytes [13], while cells that function in memory storage for the immune system, maintain a balanced level of Rel activity [1,13,44]. Similarly, during dendritic cell maturation, rapidly activated dimers (e.g., p50/RelA) bound to a subset of target promoters are gradually replaced by slowly activated dimers (e.g., p52/RelB); this prolongs expression of specific NF- $\kappa$ B target subsets in dendritic cells [45]. Consequently, regulation of cytokine expression by dendritic cells, reflected in the proportions between secreted cytokines IL10, IL23, and IL-12, depends on the cell maturation stage [46]. Tumors that express cyclooxygenase (COX)-2, and secrete its' product prostaglandin E2, inhibit RelB activity in dendritic cells; result is increased IL-10, decreased IL-12 expression, and impaired antigen presentation by the dendritic cells [47]. At the molecular level dendritic cell maturation and function in antitumor immunity, including T-cell priming, are impaired by induction of the transcription factor STAT3 [48].

In the organism, however, the ability to limit RelA activity is important to prevent chronic inflammation and myeloid malignancy: knockout of the miR-146a gene (a Rel target and feedback regulator) in C57BL/6 mice, led to increased transcription of NF-κB-regulated genes, and development of myeloid malignancies with high content of nuclear RelA. This is an example of loss of feedback control on RelA [49].

#### 5. Constitutive NF-κB activity and feedback changes affect cancer

In diverse types of cancer, constitutive NF- $\kappa$ B activity enables a malignant cell to survive oncogene activation, tumor suppressors, radiation, drug treatments, extensive genetic alterations and the surveillance of both innate and adaptive immune cells [19,50,51]. As an example of biochemical challenge, oxidant stress can impact several distinct stages of NF- $\kappa$ B dependent signals, inducing different subsets of genes in different cell types. Depending on the cellular assortment of coregulators, NF- $\kappa$ B can mediate either positive or negative feedback to oxidant stress causing the propagation or termination of inflammatory cascades [29]. The cell exposed to oxidant stress may survive or die, depending on the posttranslational modifications of Rel proteins and the rest of the cellular proteome that interacts with them, resulting in the expression of different sets of target genes [9,19]. The gene products themselves interact with the cell's genome and proteome, and thereby modulate further: gene expression, DNA repair, cell cycle control, mitochondrial function,

vesicle transport and contents, oxidant-neutralizing enzymes, salvage metabolism, and competition with surrounding cells for nutrients and tissue space. Consequently, the ability of the organism to kill this cell by inducing biochemical stress is diminished (Figs 2B, 3B).

In a tissue, through disrupted regulation of NF-κB activity and ensuing cytokine expression, tumors may perpetuate inflammation by concomitant expression of factors that in normal cells are expressed inducibly [24], and by blending features that belong to cells of different types [52,53], and different stages of maturation (Fig. 3B) [1,12,54]. Increased levels of inflammatory mediators that activate cell movement across tissues facilitate cancer cell passage through organs, and ultimately, metastasis [39,55].

#### 5.1. Sequential expression of inflammatory mediators is altered in cancer

The function of NF- $\kappa$ B -dependent hormonal mediators in orchestrating interaction between innate and adaptive immunity with host tissue is pivotal in cancer development. Through these mediators cancer cells shape their microenvironment, which is shown by their effects on cell differentiation and phenotypic adjustments, changes in material turnover, and crosstalk with a range of immune cell types. We limit discussion of this subject on the interactions between a few selected feedback regulators of NF- $\kappa$ B that control key aspects of its' impact on host tissue, which provides the targets for design of subtype-specific intervention strategies. As we discuss in detail below, loss of NF- $\kappa$ B control in malignant cells changes expression of inflammatory mediators. Result is that signals for tissue regeneration can coexist with propagation of inflammation, even though in a normal tissue, regeneration signals suppress inflammation. This affects multiple cell types and is essential feature of cancer. In particular, characterization of protein families of transcription factors NF-kB, AP-1 (activating protein-1) and STAT3, which have gene targets that include inflammatory mediators, adhesion molecules and antiapoptotic proteins [24,50,55] provided a molecular basis for the role of inflammation in cancer. Many inflammatory mediators influence transendothelial migration of inflammatory cells and vascular permeability. Their presence in cancer, however, is not ubiquitous.

Notably, the fact that cancer can remain undetected for years until disease has progressed [56], means that it can develop without overt inflammation [26]. Disease progression, in contrast, is often marked by systemic increase of inflammatory cytokines [24]. And furthermore, an inflammatory response may also lead to resolution of the tumor: for example, experimental NF- $\kappa$ B hyperactivation in tumor tissues, by combined stimulation with IFN $\alpha$  with poly-I:C (a TLR3 ligand) can allow reprogramming of the chemokine microenvironment to enhance the recruitment of cytolytic T effector cells [57]. When applied individually each chemokine modulator generated a heterogeneous response for different tumors, while the response was uniform for the combination of IFN $\alpha$  and poly-I:C, and was enhanced by inhibitors of prostaglandin E2 production [57]. The clinical significance of the proportions between secreted products of NF- $\kappa$ B target genes can be appraised by the discovery that the ratio of inflammation-inducing to inflammation resolving cytokines can be reverse between a) pathological conditions involving overt inflammation [25] and b) cancer [58].

As is often evident by the display of different sets of markers by a single fraction of a specific malignant tumor [59], the cancer cell can become a mosaic of gene expression patterns and phenotypic markers that are normally encountered in cells from different tissues and different developmental stages (stem cells, precursor cells, and mature, differentiated cells) [33], [55], [60], [61], [62]. Somatic tumors do not originate from a single source or developmental phase. For example, different stages of B-cell maturation can give rise to lymphomas, and NF- $\kappa$ B is involved in many cases [1]. Mantle cell lymphoma is an aggressive malignancy supported by aberrant B cell receptor (BCR) signaling, which is targeted by inhibitors ibrutinib and sotrastaurin. While either drug can inhibit BCR-induced canonical NF-kB signaling, in many cases malignant B-cells can survive through the alternative NF- $\kappa$ B pathway [63]. Oncogenesis does not follow a strict pattern of signal relay, and is not confined to a single cell source, even if gene expression follows certain lineagedependent restrictions. However, analysis of gene expression gives information on the NFκB target gene signature that is essential to identify critical downstream pharmacological therapeutic targets [64]. When combined with the study of cultured cells, genetic analysis enables a personalized approach to tumors unforeseen by standard therapeutic protocols [65]. Apart from personalized treatment, a clinical approach for mantle cell lymphoma, is to interfere with malignant cell metabolism by inhibiting mammalian target of rapamycin (mTOR) threonine kinase, which is a target of IKK $\beta$  and acts in synergy with NF- $\kappa$ B [66], [67].

A large number of genes that are activated by NF- $\kappa$ B and associated with oncogenesis and chronic inflammation contain STAT3 DNA-binding sites. In contrast, many genes associated with antitumor immunity lack STAT3 DNA-binding sites and can only be activated by NF- $\kappa$ B when STAT3 is inhibited in tumors [68]. STAT3 facilitates NF- $\kappa$ B binding to genes that are important for tumor growth while inhibiting its binding to Th-1 (T-helper cell type 1) stimulatory genes in growing tumors, including tumor-infiltrating immune cells (Fig. 3B). The result is that the tumor cell is not attacked by the immune system. In contrast, in normal T-cells STAT3 limits NF- $\kappa$ B activation, IL-2 production, and proliferation [69].

The change of NF- $\kappa$ B targets brought about by STAT3 in a malignant cell [68], allows the cell to reach high NF- $\kappa$ B activity in its nucleus, by overcoming negative feedback mechanisms (such as I $\kappa$ B $\alpha$  activation by NF- $\kappa$ B) [1]. Tumor cells that exhibit this type of constitutive NF- $\kappa$ B/STAT3 activity become competitive for niche occupation, and can induce cells from adjacent tissues to secrete biomolecules and metabolites, and possibly to undergo autophagy [19,36,37]. The resulting secreted products aid malignant cell propagation, specifically favoring cells that have neutralized tumor suppressors. STAT3 physically interacts with NF- $\kappa$ B to activate the catalytic subunit of telomerase (*tert*) in human breast cancer stem cells [70]. What is interesting is that once NF- $\kappa$ B overcomes the restraints posed by p53 activity, it is no longer subject to inhibition by glucocorticoid receptor ("GR") [71]. NF- $\kappa$ B then can perturb the homeostatic effects of STAT3, GR, and p53 in mitochondria (schematic illustration in Fig. 3) [10,72].

STAT3 and p53, in normal cells limit NF- $\kappa$ B-driven immune responses to protect tissue integrity; this task is performed in coordination with GR [71,72]. Malignant cells that have

lost the gene that encodes p53, exhibit decreased response to glucocorticoids [71], increased expression of NF- $\kappa$ B target genes [10], and increased ratio of incoming/secreted exosomes [73]. Similar effects can be expected when genes that mediate control of NF- $\kappa$ B by p53 and STAT3 are epigenetically repressed.

Cytokines regulated by transcription factors, NF- $\kappa$ B and STAT3, found to participate in cancer-related inflammation include IL-1 $\beta$ , IL-6, IL-23, and TNF- $\alpha$  [14,24] (Fig. 3 B). They can facilitate tumor growth through activation of other cytokines such as IL-8, and several types of adhesion molecules [12,36] culminating in: 1). 1) induction of expression for survival proteins (Mcl-1, Bcl-2, etc.,) on tumor cells [74], 2) growth arrest, senescence, autophagy, secretory phenotype [36], and apoptosis in cohorts of surrounding cells (due to the presence of intact p53), 3) proliferation or quiescence in different cohorts of neoplastic cells, 4) decrease in the efficiency of immune response against the tumor, and 5) metastasis [24]. It is very important to emphasize that through the restrictions on NF- $\kappa$ B activity, an organism links tissue function and system homeostasis to the control of cell survival (Figure 4). As a specific example, abnormally high levels of the cytokine IL-6 can confer multiple carcinogenic properties to immortalized cells, as we discuss in the next section.

#### 5.2. NF-xB dysregulation permits oncogenes like myc to cause cancer

Many different types of oncogenes cause cancer that depends on NF- $\kappa$ B; here we address a few representative studies of adenocarcinoma models. Inflammatory breast cancer, a particularly lethal disease, is characterized by NF- $\kappa$ B activity [75]. In cell culture, transient activation of Src oncoprotein (Rous sarcoma virus proto-oncogene tyrosine-protein kinase homolog) can mediate an epigenetic switch from immortalized breast cells to a stably transformed line that forms self-renewing mammospheres that contain cancer stem cells [76]. This switch is possible because Src activates NF- $\kappa$ B, inducing thereby Lin28 expression, thus decreasing levels of let7 miRNA; the result is activation of the cytokine IL-6, which induces STAT3 expression. Result is further increase in NF- $\kappa$ B activity. Normal breast epithelial cells express at least one negative regulator of NF-KB activity, namely miR-146b, which is a direct STAT3 target gene [77]. However, in cancer cells, in spite of high STAT3 activity, miR-146b levels may remain low, especially when the miR-146b gene promoter is methylated. Breast cancer patient samples that express IL-6 and show STAT3 activity correlate with a negative prognosis when miR-146b levels are low [77]. Thereby in breast cancer cells, a shift in RelA target genes, caused by transcription factor STAT3, could play a role at least in some phases of carcinogenesis. The higher activity of STAT3 in some tumors could result from activation of NF-kB by an "above-threshold" event such as transient activation of Src [76]. Therefore, a positive feedback loop that works in a tumor such as above-threshold activation of NF- $\kappa$ B or of its inflammatory gene targets (Fig. 3B) may constitute an identifiable drug target. Such a therapeutic intervention may become safer when specific tissues or cells are targeted [11].

The NF-κB/STAT3 positive feedback loop noted [76], had an interesting aspect: *myc* mRNA levels increased at a pace that followed *stat3* mRNA. Interestingly, in the transcription factor chromatin immunoprecipitation results obtained with the ENCODE project on the human genome [78], binding sites for STAT3 on the myc gene are

particularly dense (Fig. 5A). Analysis of p53 transcriptional function via genome-wide chromatin occupancy and gene expression identified STAT3 as antagonist for p53 on the *myc* gene [79]. Inhibition of STAT3 activity can suppress *myc* expression in cultured cells [80]. In conclusion, the NF-κB-STAT3 interaction can affect cell fate by activating expression of *myc* [81]. In human T lymphocytes, for example Protein Kinase C thetaactivated NF-κB signaling regulates the expression of telomerase (*tert*) via c-Myc, which inhibits cellular senescence. More important, is probably the fact that c-Myc activates the coordinated expression of genes that modulate cellular metabolism, growth, and proliferation; when expressed at high levels, is also capable of mediating resistance to cell cycle arrest at the G1 phase by rapamycin [82], or to metabolic effects of AMPK activators [83]. By increasing the metabolic and oxidant burden, c-Myc increases cellular dependence on the NF-κB functions that decrease growth restrictions:

- a) NF- $\kappa$ B competes with p53 both in the nucleus and in mitochondria. Many tumor cells have defects either in the *tp53* gene itself, or in p53-linked pathways [84], or have Serine 536-phosphorylated RelA, which is an effective inhibitor of p53 activity [85,86]. With Ser-536-phosphorylated RelA, tumors may override I $\kappa$ Ba and p53-imposed restrictions on metabolism and growth [87]. This enables tumors to use a higher glycolytic rate that is consistent with the Warburg effect [88], and thereby compete with cells that have wildtype p53.
- b) NF-κB activates *myc* expression, and at the same time, expression of survival proteins that can quench apoptotic signals from Myc [21]. In three-dimensional cell cultures, incorporation of a cell in its natural niche restricts several oncogenes including *myc*, *ccnd1*, *akt1*, from completing cancer cell development [89]. This means that oncogenes have increased need for cooperating events within a natural niche. Importantly, NF-κB can cooperate with Myc for telomerase gene expression [90]. Higher telomerase activity allows a tumor cell to gain a "competitive edge" over stromal cells, and utilize them as a feeder stromal layer:

Indeed, while human and mouse fibroblasts can become tumorigenic upon lentiviral transduction with an SV40 large T antigen (to sequester p53 and Rb tumor suppressors) and oncogenic Ras, the naked mole-rat fibroblast proved resistant. This resistance to oncogeneinduced tumorigenesis was reversed by transduction with human telomerase. Naked molerat fibroblasts then formed tumors that grew rapidly in immunodeficient mice [91]. It is important to note that they have high constitutive levels of p53 protein [92], which is apparently overridden by increased telomerase expression. Therefore disruption of the negative feedback between factors such as STAT3 and RelA, can augment the capacity of transformed cells for malignant growth by amplifying expression of target genes like myc, and thereby fitting subclones of tumor cells with biochemical properties that are essential for malignant growth. One of the simplest approaches, therefore, to restore function of tumor suppressors in cancer is to inhibit key components of the resulting inflammatory response, regardless of whether it is a direct or an indirect consequence of cancer itself [93]. This approach is also supported by the fact that drugs such as metformin, as we will discuss next, inhibit synergistic NF-KB activity with STAT3 at concentrations that do not kill normal cells.

#### 6. NF-κB effects on tissue: shaping the microenvironment

In spite of enormous genetic heterogeneity between cancers, common themes exist. One of them is a higher mutation rate in the later stages [94]; this is consistent with dysregulation of the p53 network that normally links cell survival to genetic integrity [95]. As p53 interacts with RelA [71] dysregulation of the p53 network leads to the question if RelA targets are overexpressed in cancer [96], and which molecular mechanisms mediate their effects on the microenvironment [97]. We know that malignant cells secrete signaling molecules such as cytokines and metabolites to redirect the phenotype of cells in surrounding tissue [37,98,99]. and that diverse types of cancer can secrete signaling molecules that disrupt the immune responses [51,68]; interaction between cancer and stroma may allow a tumor escape rejection, even after being recognized by adaptive immunity [100]. Carcinoma cells through secretion of IL-6, IL-8, CXCL10, and CCL5, (their expression depending on RelA) overcome the control of Hypoxia-Induced Factors (HIF) and induce CD11b+Gr-1+ myeloid cells to promote tumor growth and angiogenesis [101]. Loss of the control of cytokine expression by the NF-KB /p53 interaction network, enables immunosuppression and disruption of tissue integrity, in spite of signals for regeneration. Pancreatic adenocarcinoma recently emerged as a model for the effects of aberrant NF-kB feedback by its interaction partners, especially p53: multiple cytokines and chemokines including IL-6, IL-23, CXCL1, CCL20, and CSF3, were expressed by premalignant pancreatic ductal epithelial cells in a RelA-dependent manner to promote development of metastatic cancer [102]. Thereby loss of feedback restriction on ReIA results to aberrant coordination of secretion of the mediators for inflammation and regeneration, preventing the restoration of tissue integrity, and the physiological function of the immune response (indications exist that this role can be generalized in neoplasia: eg., for a variety of pediatric malignancies, IL-6 is a predictor of severe infections [103] ). In pancreatic cancer IL6 is known to promote an immunosuppressive microenvironment [104]. Systemic inflammation with activity of NFκB, IL-6, and STAT3 not only did not clear mice from pancreatic cancer xenografts, but instead blocked chemotherapy and gemcitabine from clearing the tumors [105]. In confirmation of the role of NF-kB, blocking of gemcitabine-induced RelA nuclear translocation, decreases levels of MMP (metalloprotease)-2, MMP-9, VEGF, and IL-8, inhibiting angiogenesis and invasion [106].

How could loss of feedback control of NF- $\kappa$ B disrupt the components of the microenvironment? Two target molecules that can contribute to this effect are fascin and TGF $\beta$ .

In most normal or transformed cells, as we have seen, NF- $\kappa$ B has the capacity to interfere with transcription factors important in cell phenotype and fate. The main reason for lifting phenotypic restrictions for most cells and reversing properties of cellular differentiation is that during inflammation the organism needs to concentrate tissue-resident cells on the challenge (e.g. infection, or necrotic tissue), and after cessation of the challenge, to prime resident cells for terminating the inflammatory response and restoring function. One gene essential in cell migration is fascin [107]. In metastatic breast cancer cells, IL6-induced STAT3 cooperates with TNF-induced NF- $\kappa$ B to activate fascin expression [108]. In the process of normal mammary gland morphogenesis or luminal differentiation, transcription

factor GATA3 would limit fascin gene expression [109]. Moreover, glucocorticoids can further reduce fascin expression, and thereby allow the formation of tight junctions, which are essential for building epithelial barriers [110]. Thus normal tissue function relies on negative feedback regulation of inflammatory mediators. This negative feedback regulation results in an on-off cycle for NF- $\kappa$ B in normal cells and tissues [111], which is lost in adenocarcinoma, as indicated by the effects of deregulated expression of its downstream targets that we discuss next.

In adenocarcinoma TGFB can suppress antigen presentation, cytotoxic T cells, natural killer (NK) cells, and induce FoxP3+ regulatory T cells that inhibit the antitumor immune response [112,113]. It is very interesting to note the convergence of binding sites for NF- $\kappa$ B, STAT3 and c-Myc toward the gene encoding for TGF $\beta$  on the human chromosome 19 (Fig 5 B). TGF $\beta$  on the one hand regulates epithelial-mesenchymal transition [114], and on the other hand suppresses systemic immunity, hence facilitating metastasis [115]. In fact, impaired control of signaling between NF-kB, TGFβ, and HIF1 is implicated in cancer metastasis and organ failure [116,117]. Importantly, however, disruption of the NF- $\kappa$ B feedback control by TGF<sup>β</sup>, demonstrates the potential to compromise tissue regeneration and integrity: elevated TGF $\beta$  levels in the tumor microenvironment can contribute to fascin overexpression [118,119]. It is interesting to note that TGF $\beta$  can use NF- $\kappa$ B as a mediator for gene expression in epithelial-mesenchymal transition [120], and therefore is a key node for positive feedback to NF- $\kappa$ B during cell transformation by oncogene ras. In the presence of oncogenic Ras, inflammatory stimuli trigger NF-kB-mediated positive feedback that amplifies Ras activity to pathological levels, generating pancreatic adenocarcinoma in mice [4].

The same positive feedback is likely to occur also in colon, lung, and other types of adenocarcinoma [4]. In a normal cell TGF<sup>β</sup> poses certain growth restrictions, however in subpopulations of eg. [121], pancreatic cancer cells NF-KB can substitute for TGF<sup>β</sup> signaling in epithelial-mesenchymal transition [120] and thus increase metastatic tumor burden. Furthermore, by inducing overexpression of myc as we discussed in the previous chapter, dysregulated NF-kB activity can overcome restrictions on angiogenesis and neovascularisation, which are normally imposed by TGF $\beta$  [122]. Thereby TGF $\beta$  ceases to function as a tumor suppressor in the adenocarcinoma cell. Hence on the one hand cancer cells can grow unrestricted by the presence of TGF $\beta$ , and on the other hand TGF $\beta$  still affects other cells that are abundant in the tissue. Specifically, TGF $\beta$  restricts the antigenpresenting function of myeloid cells [123], and in parallel, enables myeloid cells to prevent CD8(+) T cells from blocking adenocarcinoma metastasis [115]. In adenocarcinoma cells, therefore, a loss of negative regulation of NF- $\kappa$ B by TGF $\beta$ , allows them to respond differently to stimuli that normally induce tumor suppression, and to amplify downstream signals, affecting surrounding tissue. Components of the metastatic niche in this way disrupt the ordered mobilization of cells involved in inflammation and regeneration, compromising the integrity of tissue function and immune response (Figure 4).

## 7. Niche effects and material turnover: insight enabled by study of lymphoid malignancy

Cells of lymphoid malignancies in, can survive in niches that produce autocrine or paracrine factors such as IL-6, which stimulate parts of the stem cell signal transduction apparatus [37,124]. IL6 - by activating STAT3 - inhibits cell death from cytotoxic drugs such as arsenite [125]. In the hematopoietic stem cell niche myeloma cells compete with normal hematopoietic cells for mediators and metabolites supplied by stromal cells [37,124,125].

Resistance of cancer cells to toxic agents and immune responses is augmented by a flow of secretory microvesicles and exosomes, resulting in a deterioration of the stroma in favor of the tumor. One trigger for this flow are cytokines, such as IL-6 [124]. The flow of microvesicles and exosomes between stromal and tumor cells is regulated by NF- $\kappa$ B [36], STAT3 [126], and p53 [73]. The exchange of contents between tumor and normal cells can therefore have a specific direction (due to partial or total inactivation of p53 networks in the former). Secretory vesicles transport a variety of lipids, proteins, and nucleic acids, including mRNA, and microRNAs: flow of microvesicles and exosomes results in redistribution of regulatory, metabolic and structural components [127]. The result is a substantial enhancement of cancer cell resistance to stress, in spite of deregulated material turnover [21]. How does homeostasis function in cancer cells? In contrast with carcinoma, leukemia originates from cell lineages with inherent capacity for clonal growth and cell migration: lower burden of complexity in carcinogenesis makes leukemia a better model for resistance of cancer by induction of homeostatic responses, as we see next.

Glucocorticoids kill lymphoblasts causing acute leukemia [128]. High-dose glucocorticoid treatment may cause a certain degree of hyperglycemia. During induction therapy, this is associated with poorer survival in children with acute lymphocytic leukemia [129]. Glycolysis has been identified as one potential pathway of resistance of leukemia cells to glucocorticoid [130], and STAT3 has been identified as a stress-responsive transcription factor, capable of interacting with glucocorticoid-regulated pathways [131]. High activity of STAT3 was observed in lymphoma cells with signatures corresponding to NF-κB activity, proliferation, and glycolysis [132]. STAT3 activated by IL-6 can enhance expression of the glycolytic enzymes hexokinase 2 and 6-phosphofructo-2-kinase/fructose-2,6-bisphosphatase-3 (PFKFB3) [133].

Cultured leukemia cells that were resistant to glucocorticoid treatment [128], could be killed by proteasome inhibition, the main signature effect being inhibition of STAT3 target genes [21]. At least a portion of glucocorticoid-resistant leukemia, therefore, can be treated by drug combinations that include inhibitors of proteasome and modulators of STAT3dependent metabolism. Indeed, experimental evidence suggests that leukemia cells do not operate a metabolism identical to other components of the immune system, and seem to be particularly sensitive to inhibition of STAT3-regulated pathways: the hypoglycemic drug metformin, which inhibits STAT3 transcriptional activity in lymphocytes [134], elicits autophagy and apoptosis in leukemic cells (IC<sub>50</sub> for patient samples ranged between 0.6 and 0.9mM at 96h), while not having a comparable toxicity on normal proliferating CD4(+) Tlymphocytes from healthy donors [135].

Hyperactivation of the STAT3 inducer JAK2 can make malignant cells sensitive to metabolic inhibition: 3-hydroxy-3-methyl-glutaryl coenzyme A (HMG-CoA) reductase inhibitors - widely used to treat hypercholesterolemia - induce apoptosis and inhibit JAK2-V617F-dependent neoplastic cell growth. These cells are more sensitive to statin treatment than non-JAK2-V617F-dependent cells. Importantly, statin treatment inhibited erythropoietin-independent erythroid colony formation of primary cells from myeloproliferative neoplasia patients, but had no effect on erythroid colony formation from healthy individuals [136]. A hypercholesterolemia that is followed by severe hypocholesterolemia, indicates that at least a portion of neoplastic cells utilize cholesterol and can be targeted by statin treatment [137].

#### 8. Homeostatic challenges: impact on NF-RB activity in solid and lymphoid

#### tumors

Subclones of cancer cells may escape death by activating intracellular protein turnover, through exchange of vesicles with stromal cells, and by selective recruitment of groups of cells of the immune system. Inflammatory mediators and metabolites also enable diverse subpopulations of cancer cells to cooperate or compete, influence their microenvironment, and ultimately develop new phenotypes. This lifts key tissue-mediated restrictions on cell fate.

The ability of tumor cells to evade chemotherapy, radiation, and targeted therapy is very often proportional to their capacity to activate salvage pathways and exploit homeostasis mechanisms that are normally heavily restricted in normal cells [14,106, 138-140]. Normal undifferentiated cells, for example, are very sensitive to changes in conditions and differentiation stimuli [10,34]. Tumor initiating cells, in contrast, may retain stem cell properties even after exposure to differentiation stimuli [141]; maintaining homeostasis is a challenge. A solution utilized by tumor cells, is to increase proteasome activity [142]. Higher activity of the proteasome in healthy cells ensures a good probability of the organism living longer, by removing misfolded proteins [143]. Aberrantly high activity of the removal of apoptosis inducers [142].

Malignant cells can respond to proteasome inhibition by activating NF-κB and restoring mechanisms for their survival by activating salvage pathways, such as lysosome acidification [8]. Cascade-initiating mechanisms are redundant in neoplasia under certain conditions: under selective pressure exerted by drugs, a malignant cell may ultimately retain only the enzymatic activities that are essential to its very existence. However, deregulated gene expression, combined with a high mutational load, generates aberrantly expressed, misfolded proteins. Removal of aberrant products is achieved by degradation through multifunctional complexes such as the proteasome, which is a therapeutic target [142]. Inhibition of the proteasome [8], or epigenetic reprogramming via a deacetylase inhibitor [144], may lead to activation of homeostatic responses and NF-κB, and rescue a malignant cell from antineoplastic treatments. One such example is the induction of lysosome activity after inhibition of the proteasome: this is not only a trigger for lysosome-dependent degradation of IkB, but it can also result in rescue of the cancer cell through autophagy,

which enables it to survive by recycling many of its contents [145]. It is therefore important to target therapeutic intervention to the drug-induced metabolic pathway that rescues the malignant cell.

It is therefore evident that interactions between cancer and stroma can include exchange of microvesicles, exosomes, cytokines, growth factors, and metabolites. Drastic changes in proteins that function as critical nodes of the NF- $\kappa$ B network disrupt key feedback responses and essential mechanisms of oscillation for NF- $\kappa$ B activity. Neoplastic tissue is characterized by particularly high levels of certain metabolites and enzymatic activities, with resultant breakdown of regulatory mechanisms and establishment of abnormal ratios between critical rheostat molecules located in organelles and on chromatin. As metabolites and homeostatic mechanisms depend heavily on the host tissue, no animal model or current in vitro system can be viewed as faithful reproduction of a cancer host: key challenge in the field is to develop in vitro models that will enable characterizing changes of inflammatory mediators in specific states of cancer development.

#### **Conclusions and outlook**

It is becoming increasingly clear that many aspects of inflammation influence the course of malignant disease decisively. NF- $\kappa$ B driven inflammatory gene expression programs are known mediators of cancer-related mortality [146]. This article presents a few mechanisms that have drastic effects on the cancer cell microenvironment, when their impact on NF- $\kappa$ B activity is altered. The next decade is expected to define cancer in terms of an aberrant function of the NF- $\kappa$ B network. Inflammatory signal pathways and NF- $\kappa$ B activation in malignant tumors neither follow a normal pattern of function nor respond to modulators in a readily expected fashion [74,144]; their secreted targets, nonetheless, can be readily identified. Compounds that inhibit the activation of selected subsets of NF-KB-dependent genes are increasingly being assayed in preclinical studies [29]; metabolic intervention, however, is closer to clinical application [14]. NF-KB driven signals, through their downstream targets convey the capacity for rapid responses and systemic amplification to inflammation, being capable to change cellular function, especially in relation to host tissue. Disruption of NF- $\kappa$ B feedback regulation explains is why this factor from a mechanism of tumor control gains the potential to transform the clinical course of the disease, since tumors that involve diverse oncogenes evolve into cancer after dysregulation of NF- $\kappa$ B. There are multiple control mechanisms with partly overlapping effects on NF-kB activity. As a paradigm, regulation of NF-kB by transcription factors STAT3, GR, and p53, which mediate effects of metabolism and stress, does not function as in normal cells. Loss of feedback for those mechanisms changes key properties of a neoplastic cell and alters tissue steady state. The result is an aberrant interaction with the host tissue and the immune system, with impact on discrete cancer cell subpopulations and the resulting microenvironment. Importantly, dysregulation of NF-kB activity affects oncogenesis, because it enables a tumor cell to combine key properties of stem cells with essential adaptation features of a differentiated cell. Characterization of the modules that control NF- $\kappa B$  activity points at designing treatment according to the biological state of host tissue. In the near future, selective inhibition of signal pathways interacting with NF-kB could prove

effective in combination with cell-targeted agents, and contribute significantly to personalized cancer treatment.

#### Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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#### \*Highlights (for review)

- During inflammation, a sequential activation of different cell types takes place, induced by cytokines, chemokines, and adhesion factors. Malignant cells can express combinations of cytokines, chemokines, and adhesion factors from different stages of the inflammatory cascade.

- Dysregulation of NF- $\kappa$ B activity affects oncogenesis, because it enables a tumor cell to combine selected properties of stem cells with tissue adaptation features of a differentiated cell. This dysregulation influences several gene cohorts in subsets of cancer cells; however, it has far-ranging effects on the entire organism through disruption of essential mediators of homeostasis.

- Regulation of NF- $\kappa$ B by transcription factors signal transducer and activator of transcription-3 (STAT3), glucocorticoid receptor (GR), and p53 protein, which mediate effects of metabolism and stress, does not function in cancer cells as in normal cells. The result is an aberrant interaction with the host tissue and the immune system, with impact on discrete cancer cell subpopulations and their microenvironment.



#### Fig.1.

A: depiction of the primary structure of RelA. A few representative examples of posttranslational modifications are shown. A schematic outline of relevant pathways is given in the Kyoto Encyclopedia of Genes and Genomes resource at http://www.kegg.jp/pathway/ hsa04064

А

damage on blood vessel blood vessel stem cell quiescence infection / trauma inflammatory gene expression В 000  $\bigcirc \bigcirc$  $\bigcirc$ chemotaxis / extravasation / tissue infiltration apoptosis, survival, stemness С gene expression transdifferentiations / mesenchymal cell recruitment D immunosuppression & differentiation gene expression restoration of normal function differentiated cells replenished / reinduction of stem cell quiescence



#### Fig. 2.

A: During inflammation, a sequential activation of different types of leukocytes takes place, induced by cytokines, chemokines, and adhesion factors. Malignant cells express subsets of genes that are normally induced in cell subtypes that are essential for survival of the organism.





#### Fig.3.

A: A simplified, schematic depiction of three representative signal pathways with proven potential to interact with intracellular signal relay mechanisms regulated by NF- $\kappa$ B. B: STAT3 and NF- $\kappa$ B induce unique combinations of gene cohorts with an activity profile that can distinguish subgroups of malignant cells. C: A model drug (red arrow) that inhibits a tyrosine kinase involved in NF- $\kappa$ B activation (here Bruton tyrosine kinase "BTK"), will fail to work when NF- $\kappa$ B is constitutively active (blue arrow), and can thereby saturate regulatory sequences (promoters and enhancers) of its oncogenic targets.



#### Fig. 4.

Immune response is impaired in the vicinity of cells secreting mediators as IL-10, or prostaglandin E2, while other tissue sites, upon a local increase in e.g., IL-12, or TNFa have increased risk of cellular infiltrations and organ damage.



В

А



#### Fig. 5.

Chromatin immunoprecipitation data of the ENCODE project, for binding of transcription factors NF- $\kappa$ B RelA, STAT3, and c-Myc. A: on human chromosome 8q24.21 locus of the *myc* gene . B: On the chromosome 19q13.2, locus of the *tgfb1* gene (red zone).

#### Table 1

Identities of representative isoforms of the proteins referred herein, according to the National Center of Biotechnology Information (NCBI) and the Online Mendelian Inheritance in Man catalog (OMIM) that outlines the current consensus for the biological role of each entry.

Protein name	NCBI (Entrez Gene ID)	OMIM entry
AKT1	207	164730
AMPK	5562	602739
AR	367	313700
Bcl-2	596	151430
Bfl1	597	601056
Calpain1 / mu I	823	114220
Calpain2 / m II	824	114230
CCL2	6347	158105
CCL20	6364	601960
CCL5	6352	187011
ccnd1 (cyclin D1)	595	168461
CD11b	3684	120980
CD8	925	186910
CD44	960	107269
COX2	5743	600262
CSF3	1440	138970
CXCL1	2919	155730
CXCL10	3627	147310
ER	2099	133430
Fascin-1	6624	602689
Foxp3	50943	300292
GATA3	2625	131320
GRa	2908	138040
Hexokinase 2	3099	601125
HIF1	3091	603348
HMG-CoA reductase	3156	142910
IFNa	3439	147660
IFNβ	3456	147640
IFNγ	3458	147570
IkBα	4792	164008
IKK1	1147	600664
IKK2	3551	603258
IL-10	3586	124092
IL-12A	3592	161560
IL-12B	3593	161561
IL-1β	3553	147720
IL-2	3558	147680

Protein name	NCBI (Entrez Gene ID)	OMIM entry
IL-23A	51561	605580
IL-6	3569	147620
IL-8	3576	146930
JAK2	3717	147796
Mcl-1	4170	159552
MMP2	4313	120360
MMP9	4318	120361
Мус	4609	190080
Nanog	79923	607937
NFkB1 (p50)	4790	164011
NFkB2	4791	164012
P53	7157	191170
PFKB3	5209	605319
Proteasome subunit A1	5682	602854
Proteasome subunit C5	5705	601681
Ras (KRAS1)	3845	190070
Rb (Rb1)	5925	614041
Rel	5966	164910
RelA	5970	164014
RelB	5971	604758
Src	6714	190090
STAT3	6774	102582
Tert (telomerase)	7015	187270
TGFβ	7040	190180
TLR3	7098	603029
TNF	7124	191160
VEGF	7422	192240