Danish National RESEARCH DATABASE

OPEN ACCESS INDICATOR

Ministry of Higher Education and Science

The Danish Open Access Indicator

PASTEUR4OA Final Conference: Green Light for Open Access
17. – 18. May 2016, Amsterdam

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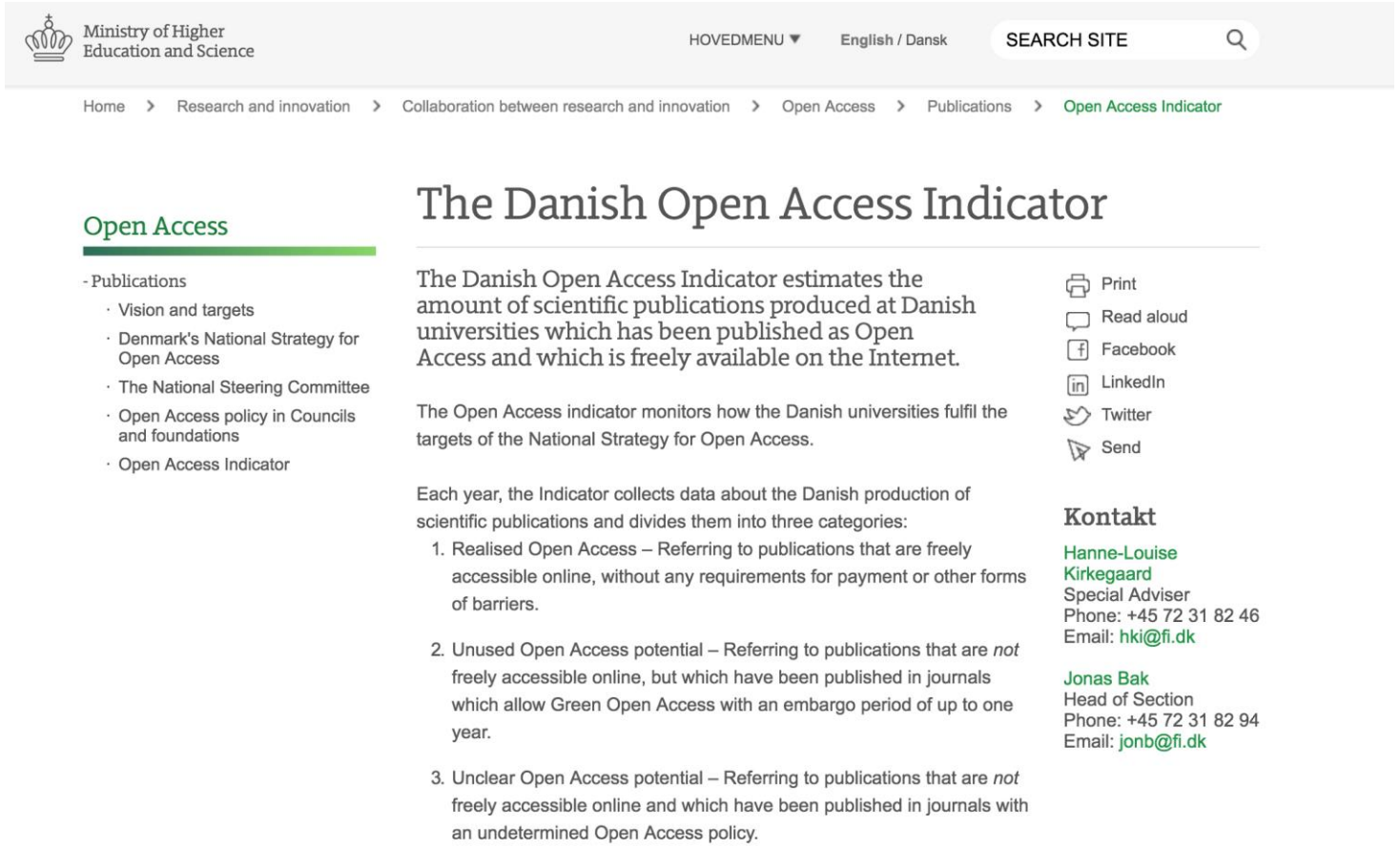
DTU

Deff Danmarks Elektroniske Fag- og Forskningsbibliotek

Launch of the Danish Open Access Indicator

- The Danish Open Access Indicator was launched on the 9. March 2016 by the Ministry of Higher Education and Science

Ministry of Higher Education and Science



Danish National Strategy on Open Access

Announced on European Science Open Forum (ESOF) in Copenhagen 24th of June 2014.

By (former) Minister for Higher Education and Science Sofie Carsten Nielsen

Photo: NordForsk/Terje Heiestad

Denmark’s Open Access goal

2017

80%

Published in 2016

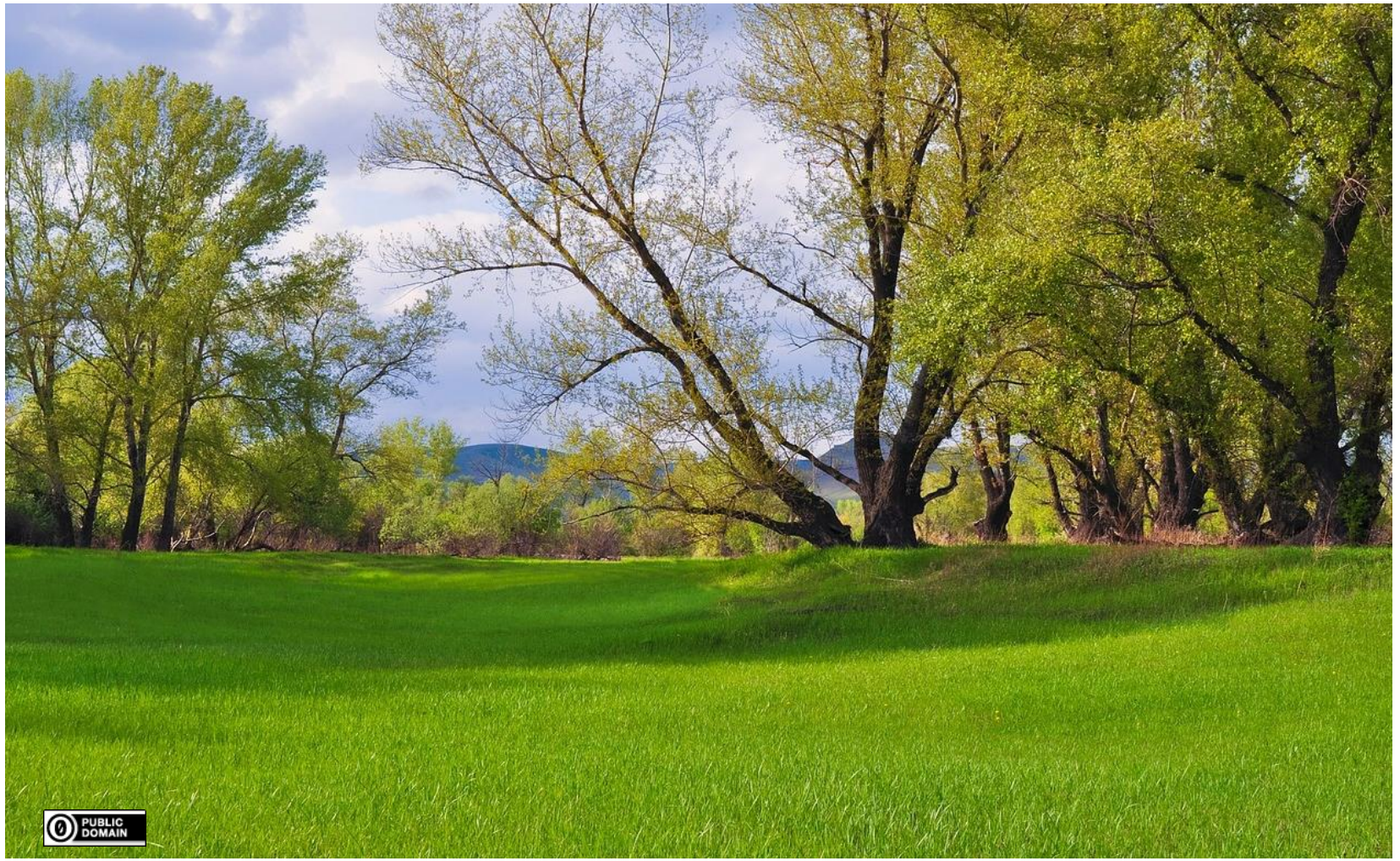
2022

100%

Published in 2021

To peer review scientific articles

The strategy: GREEN Open Access

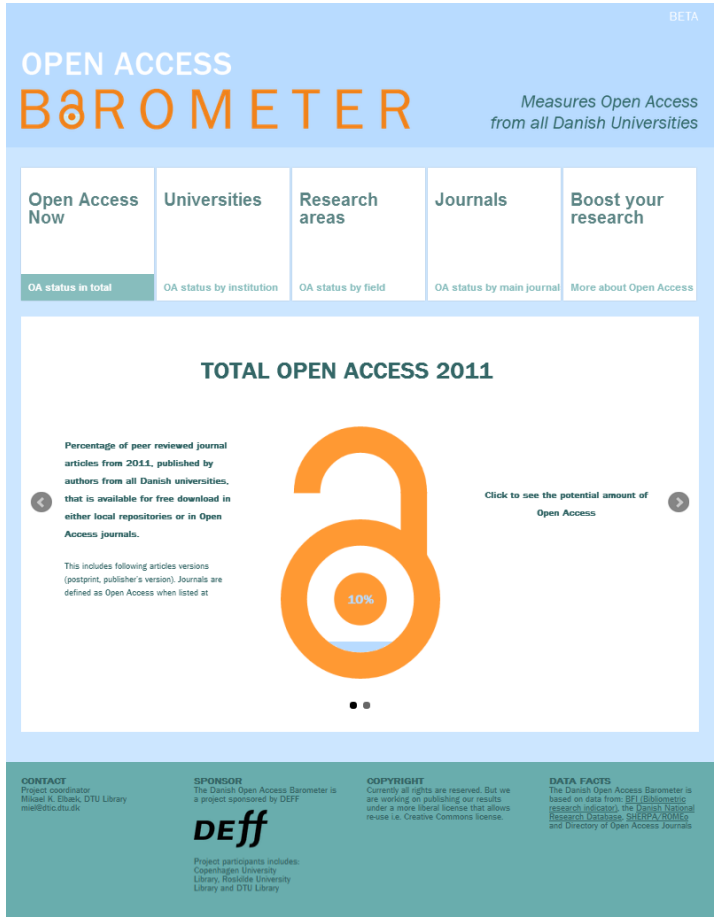


GREEN Open Access

PUBLIC DOMAIN Zdeněk Chalupský

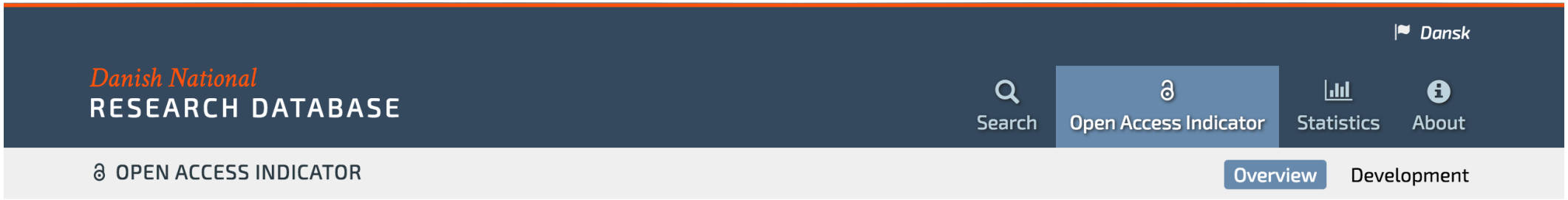
- No additional cost i.e. no hybrid open access
- Negotiation with publishers i.e. National Licenses through DEFF.dk
- Establishment of an OA-publishing service for Danish Journals
- Establishment of a national Open Access indicator

Open Access Barometer pilot project



- DEFF funded project 2012 – 2014
- Based on reuse of metadata from i.e. CRIS, DOAJ.org, SHERPA/ROMEO
- Twitter: #oabarometer
- Reports: http://projekter.kulturstyrelsen.dk/projekt/dansk-open-access-barometer

The development of the Open Access indicator



- Working group established by the Ministry of Higher Education and Science
- With the task to specify the development of an Open Access indicator
- In relation to the National Danish Research Database.
- An indicator that can monitor the implementation of the national Open Access Strategy
- First (pilot) result presented in March 2016

Definition of publication types to be measured

- Should conference contributions in proceedings or book series (anthologies) be included

- Final definition:
- *”Scientific articles and conference contributions in journals and proceedings with ISSN”.*

- *Close to the UK REF definition*
  - post-2014 REF ” The requirement applies only to journal articles and conference proceedings with an International Standard Serial Number” : http://www.hefce.ac.uk/pubs/year/2014/201407/

Dansk

Danish National
RESEARCH DATABASE

Search | Open Access Indicator | Statistics | About

Search... Search

Selected (0) | History

Publication Statistics

BY INSTITUTION

University of Copenhagen	262,674
Aarhus University	261,756
Technical University of Denmark	156,379
Aalborg University	112,683
University of Southern Denmark	93,822
Capital Region of Denmark	44,372
Roskilde University	33,782
Copenhagen Business School	31,561
Research Institutions in Architecture, Design and Conservation	6,702
Ministry of Culture	4,515
IT University of Copenhagen	2,800
Royal Danish Defence College	928

More Statistics

Open Access Indicator

NATIONAL

Total	18%

BY UNIVERSITY

Technical University of Denmark	31%
Roskilde University	29%
Aalborg University	19%
IT University	18%
Copenhagen University	17%
University of Southern Denmark	15%
Aarhus University	13%
Copenhagen Business School	4%

More Information

Nearly 1 million research publications collected from the research databases of 12 Danish universities and research institutions.

New (March 2016): 2014 Statistics for Open Access implemented. Approx. 130.000 duplicates no longer visible

Open Access Indicator — Statistics for 2014

Open Access Potential: Realized, Unused and Unclear | Indicator Year: 2014 | View

Open Access Potential:

● Realized ● Unused ● Unclear

Description: Overview; Technical; At the Danish Agency for Science, Technology and Innovation

NATIONAL

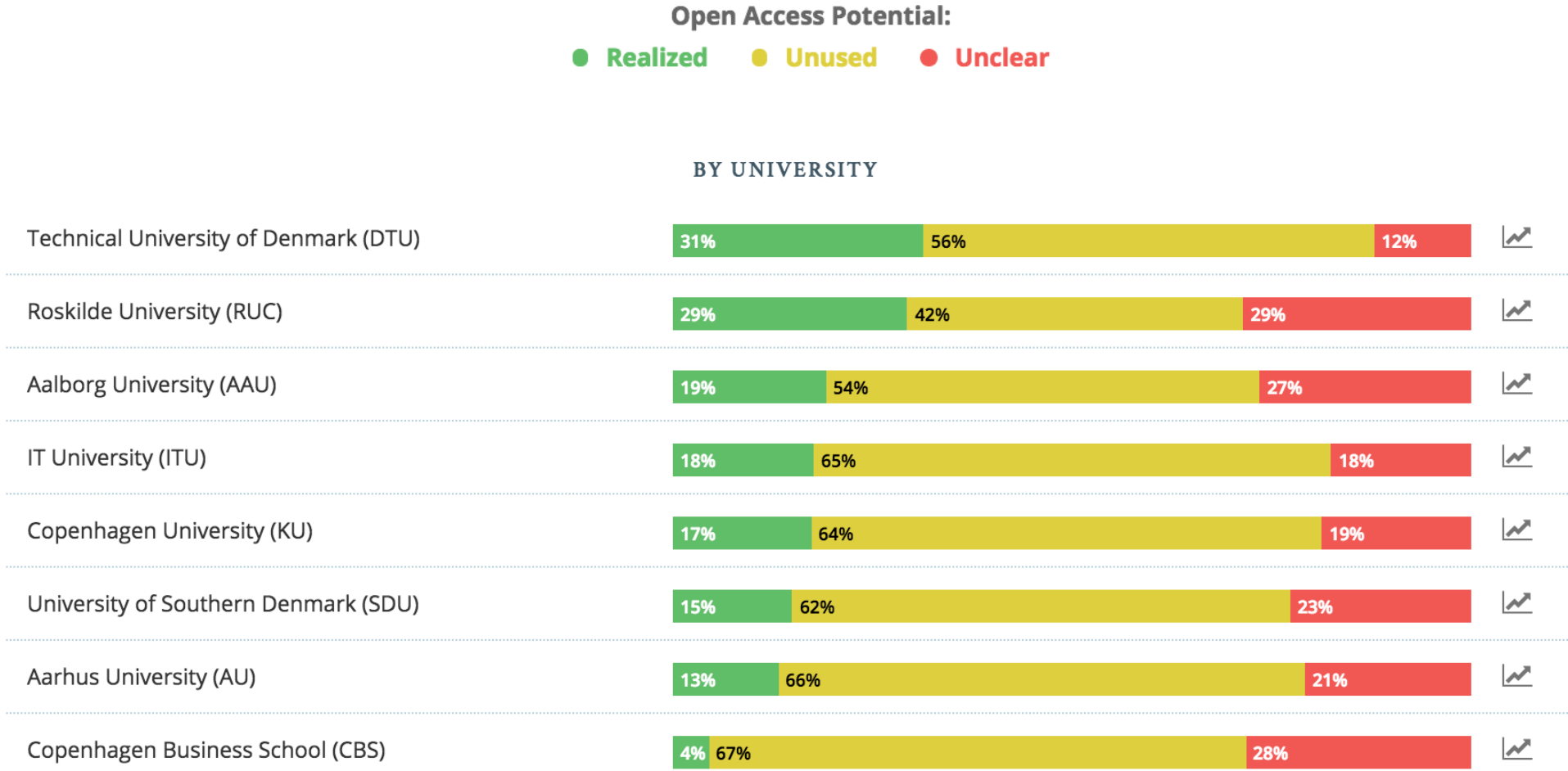
Total	18%	61%	21%

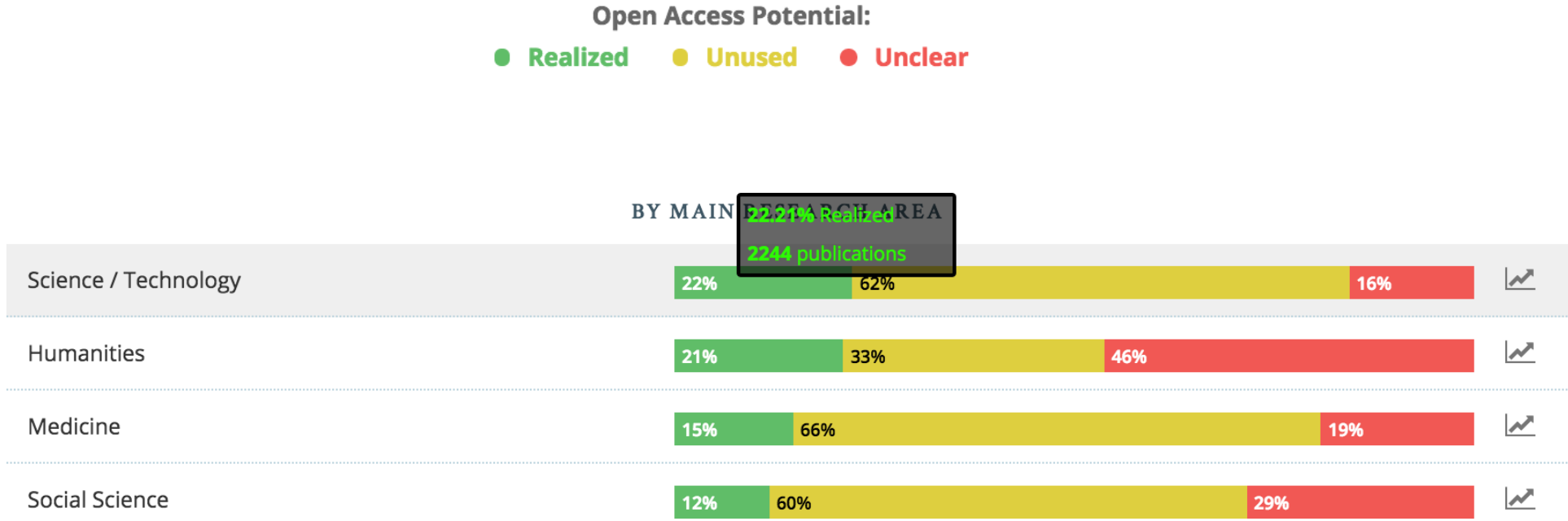
BY MAIN RESEARCH AREA

Science / Technology	22%	62%	16%
Humanities	21%	33%	46%
Medicine	15%	66%	19%
Social Science	12%	60%	29%

BY UNIVERSITY

Technical University of Denmark (DTU)	31%	56%	12%


Open Access Potential:
Realized
Unused
Unclear
BY UNIVERSITY
Technical University of Denmark (DTU)
31%
56%
12%
Roskilde University (RUC)
29%
42%
29%
Aalborg University (AAU)
19%
54%
27%
IT University (ITU)
18%
65%
18%
Copenhagen University (KU)
17%
64%
19%
University of Southern Denmark (SDU)
15%
62%
23%
Aarhus University (AU)
13%
66%
21%
Copenhagen Business School (CBS)
4%
67%
28%

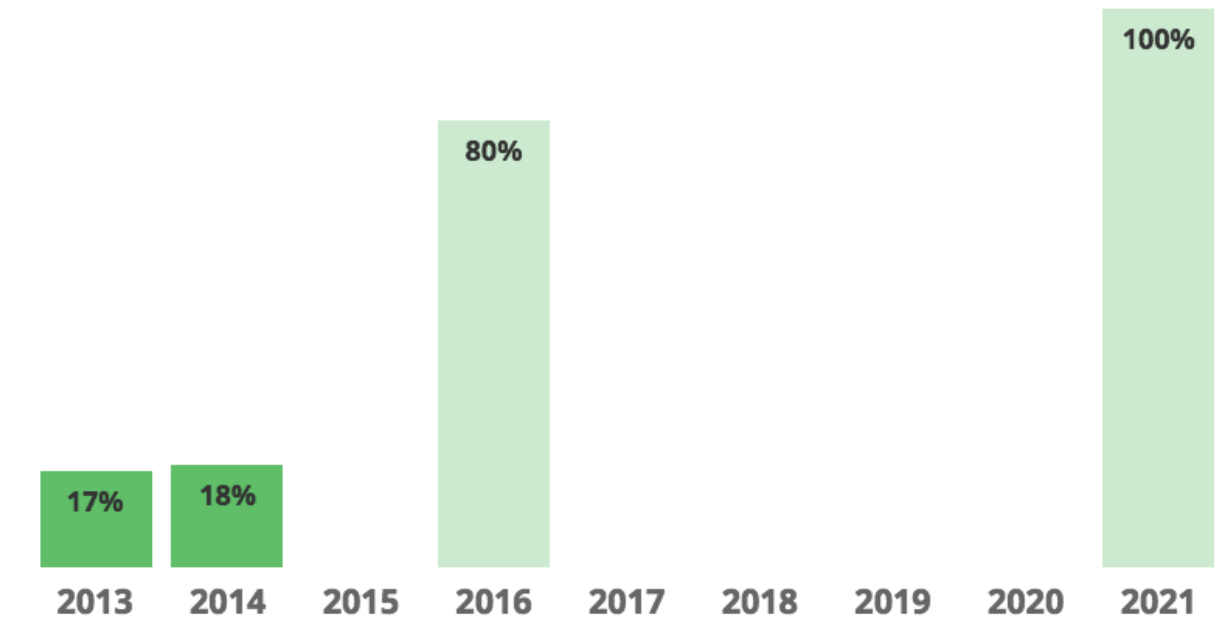

Open Access Potential:
Realized
Unused
Unclear
BY MAIN RESEARCH AREA
22.21% Realized
2244 publications
Science / Technology
22%
62%
16%
Humanities
21%
33%
46%
Medicine
15%
66%
19%
Social Science
12%
60%
29%

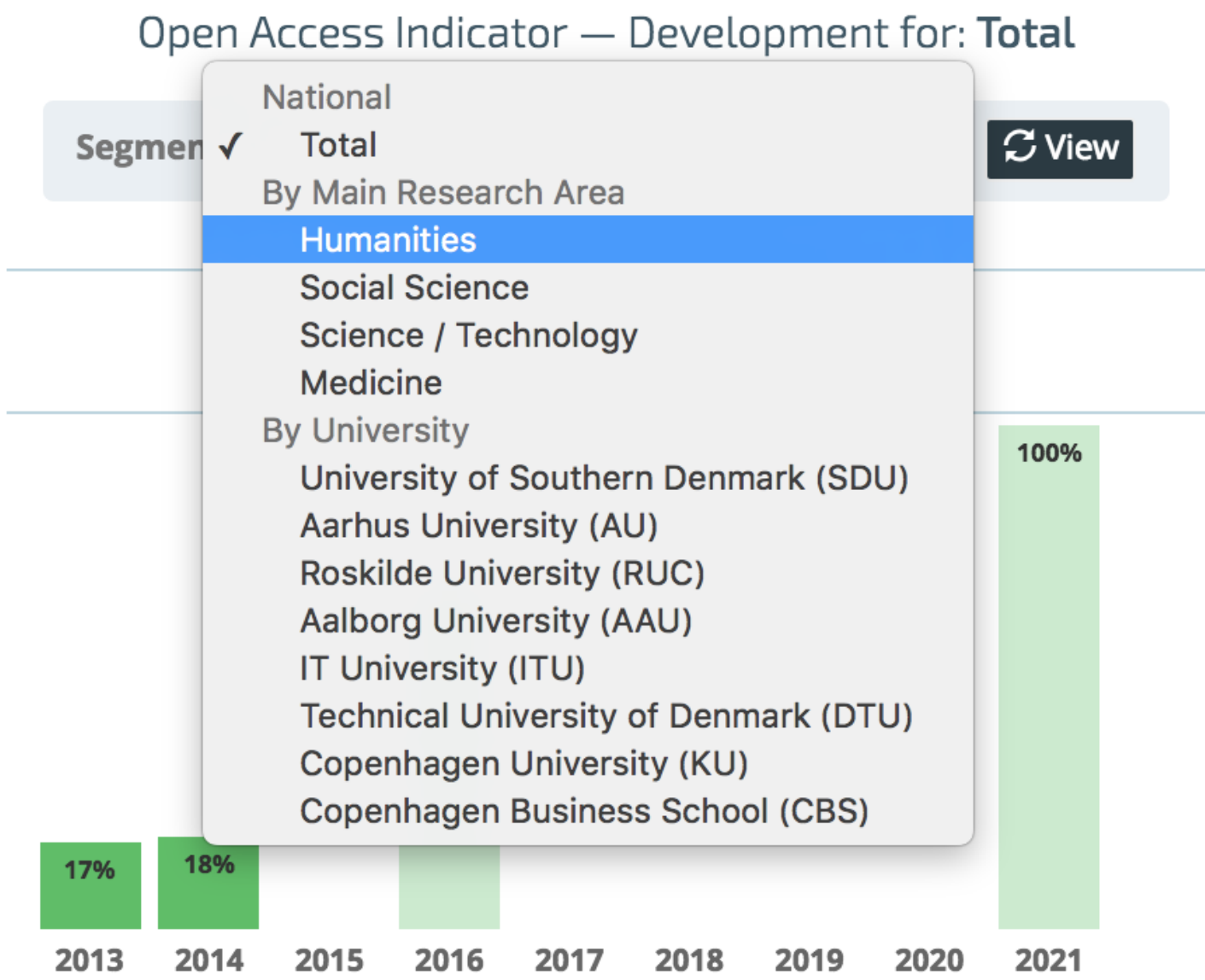
Open Access Indicator — Development for: **Total**

Segment Total View

Realized Open Access Potential:

Actual Projected (c.f. National Strategy)



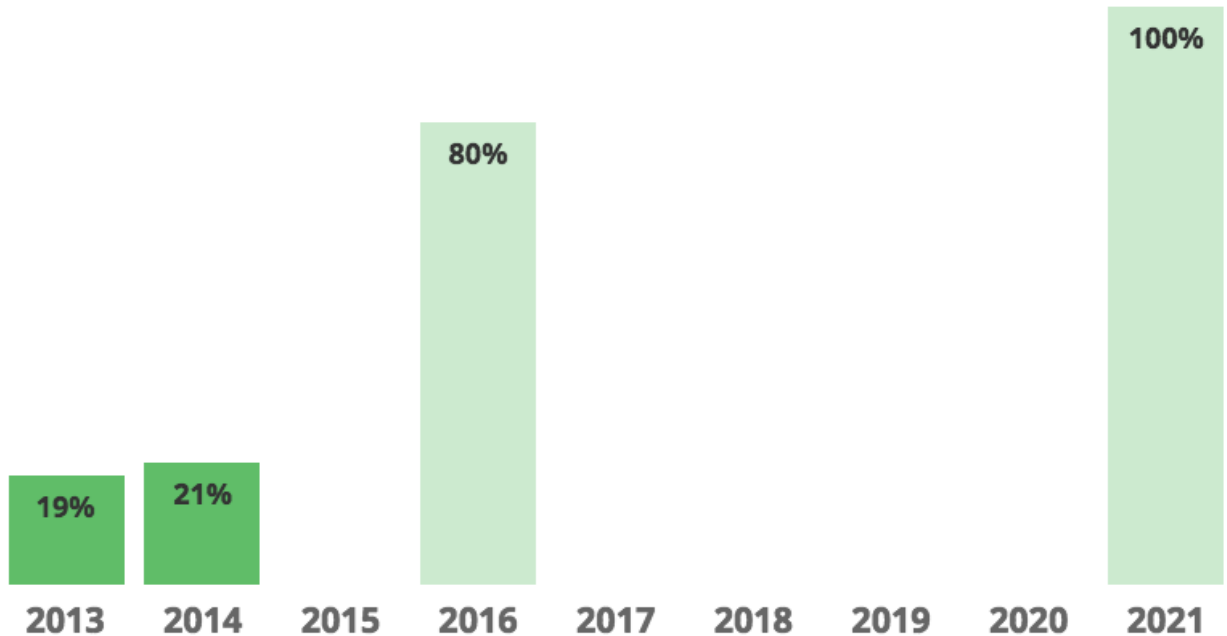

Open Access Indicator — Development for: Total
Segmen
View
National
Total
By Main Research Area
Humanities
Social Science
Science / Technology
Medicine
By University
University of Southern Denmark (SDU)
Aarhus University (AU)
Roskilde University (RUC)
Aalborg University (AAU)
IT University (ITU)
Technical University of Denmark (DTU)
Copenhagen University (KU)
Copenhagen Business School (CBS)
17%
18%
100%
2013
2014
2015
2016
2017
2018
2019
2020
2021

Open Access Indicator — Development for: **Humanities**

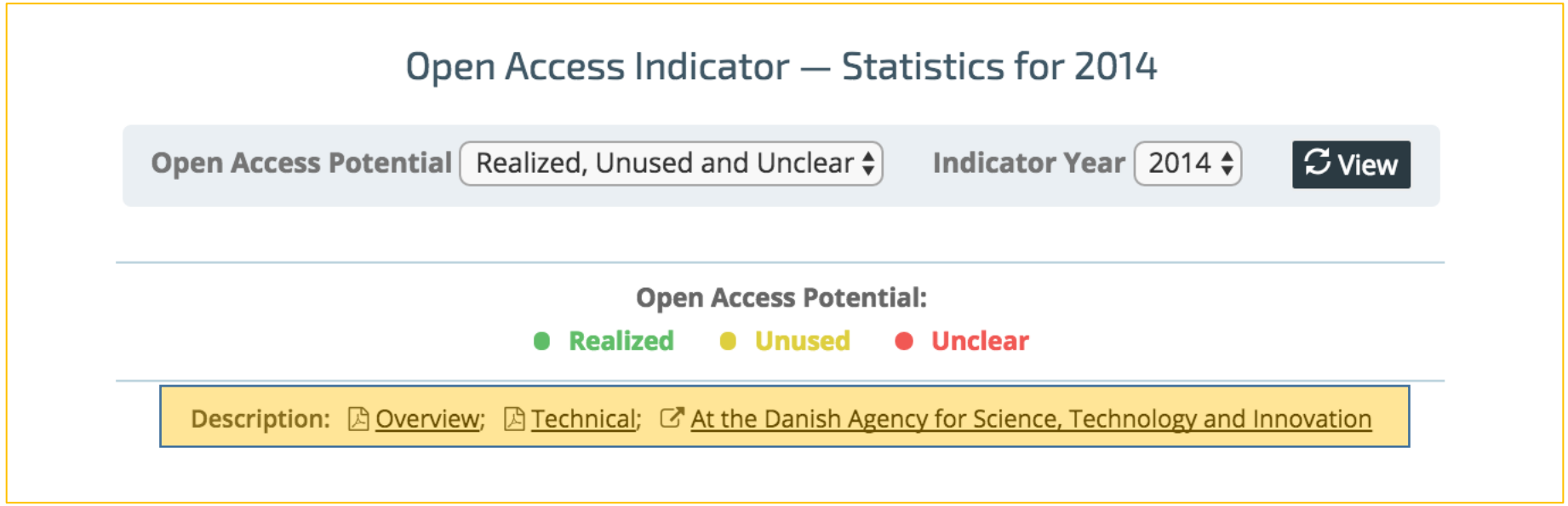
Segment Humanities View

Realized Open Access Potential:

Actual Projected (c.f. National Strategy)



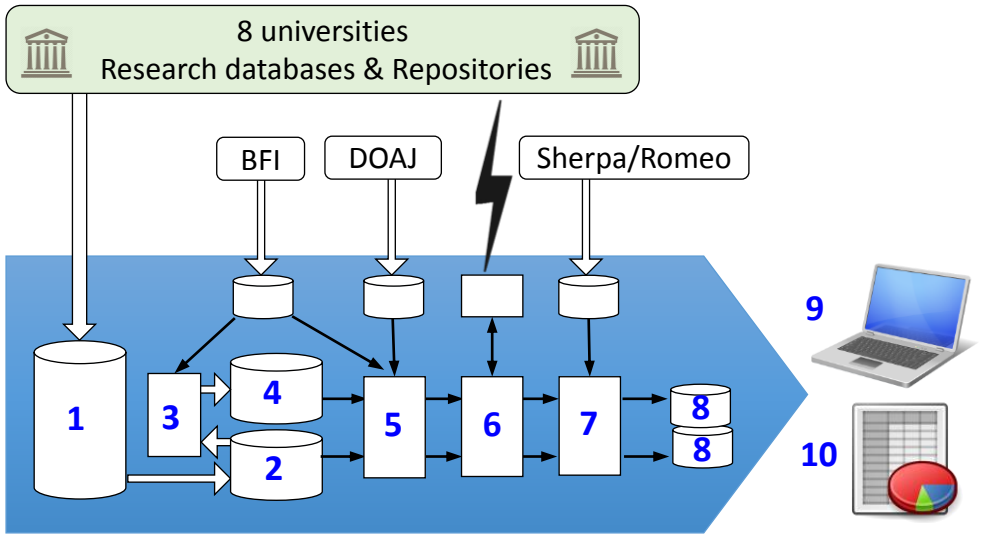
Transparency and reuse



DOWNLOADS
(Indicator year: 2014)

Summary data	The datasets behind the online visualisations
Publications in scope	The scope. All publications (deduplicated) in scope. The basis of the summations and aggregations
Records in scope	The scope. All publications (including duplicates) in scope. The basis of the summations and aggregations at the university level.

Architecture of the Open Access Indicator (1/2)

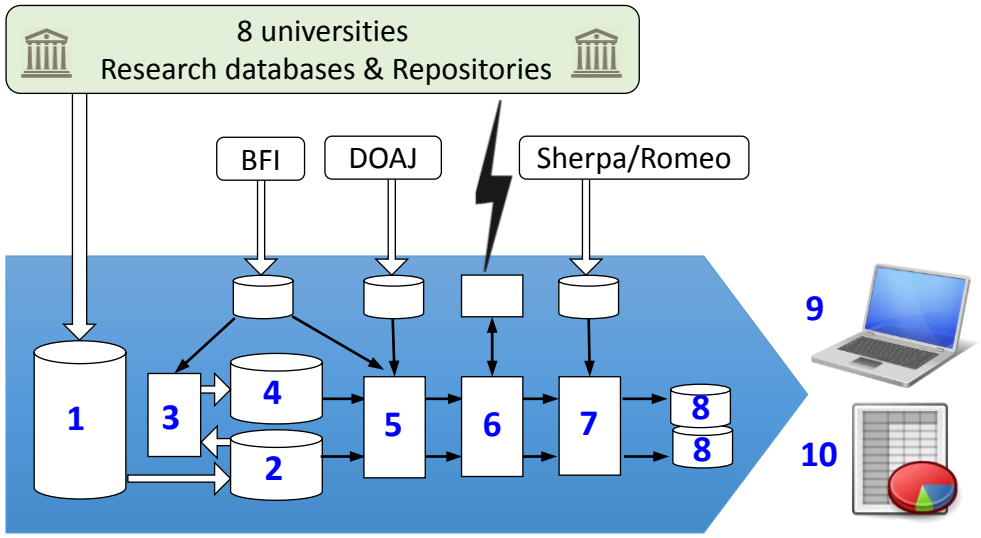


1. OAI-PMH harvest from universities
2. Subset with duplicates (for university calculation)
3. Deduplication using data from BFI (Bibliometric Research Indicator)*
4. Resulting in the “target field without duplicates”.

*What is the Bibliometric Research Indicator

- Or just BFI
  - *B* for Bibliometric
  - *F* for Forskning = research
  - *I* for Indicator
- Funding allocation model based on points given to institutions based on publishing in
  - A number for “expert” selected publication channels: journals and selected publishers for books
  - A common data model, all institutions have focus on providing as correct and full data as possible, because it is used for the allocation of funds.

Architecture of the Open Access Indicator (2/2)



5. Check with DOAJ for Gold OA status in combination with BFI authoritative journal list
6. Check whether the article may be downloaded from the university (Green Open Access)
7. Check whether the article is published in a journal with Green OA potential using Sherpa/Romeo*
8. Two outputs:
   a. University statistics (with duplicates)
   b. National statistics (without duplicates)
9. Published on the Danish National Research Database
10. Download as CSV file

*Definition of Open Access potential

- In the DEFF OA-barometer pilot project the ‘green’ category alone was used as the indicator for OA-potential
- However this will produce false-negatives
- Commissioned a survey of the actual potential
- SHERPA/RoMEO categories:
  - Green = OA Potential (pre and postprints)
  - Blue = OA Potential (postprints)
  - Yellow ≈ likely OA Potential
  - White ≠ not likely to have OA Potential
- Analysis concluded to include “Yellow” in the calculation of OA-potential

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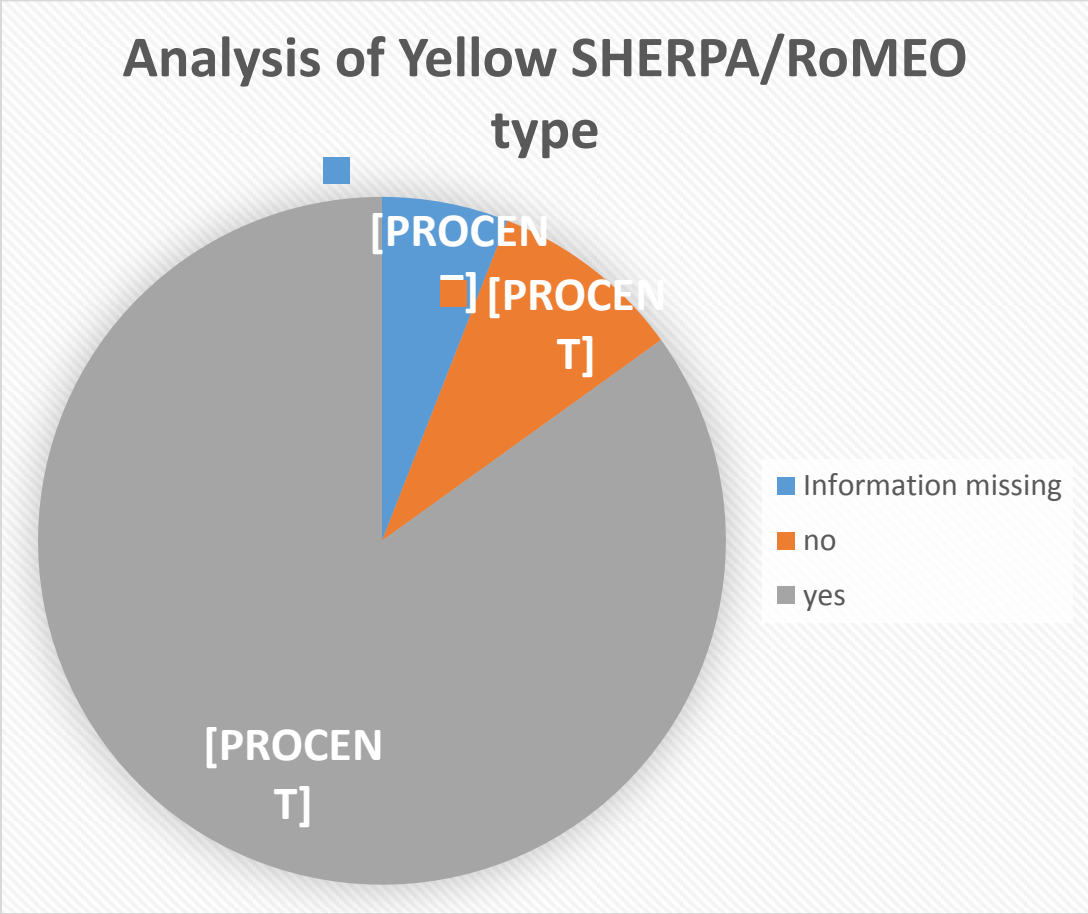
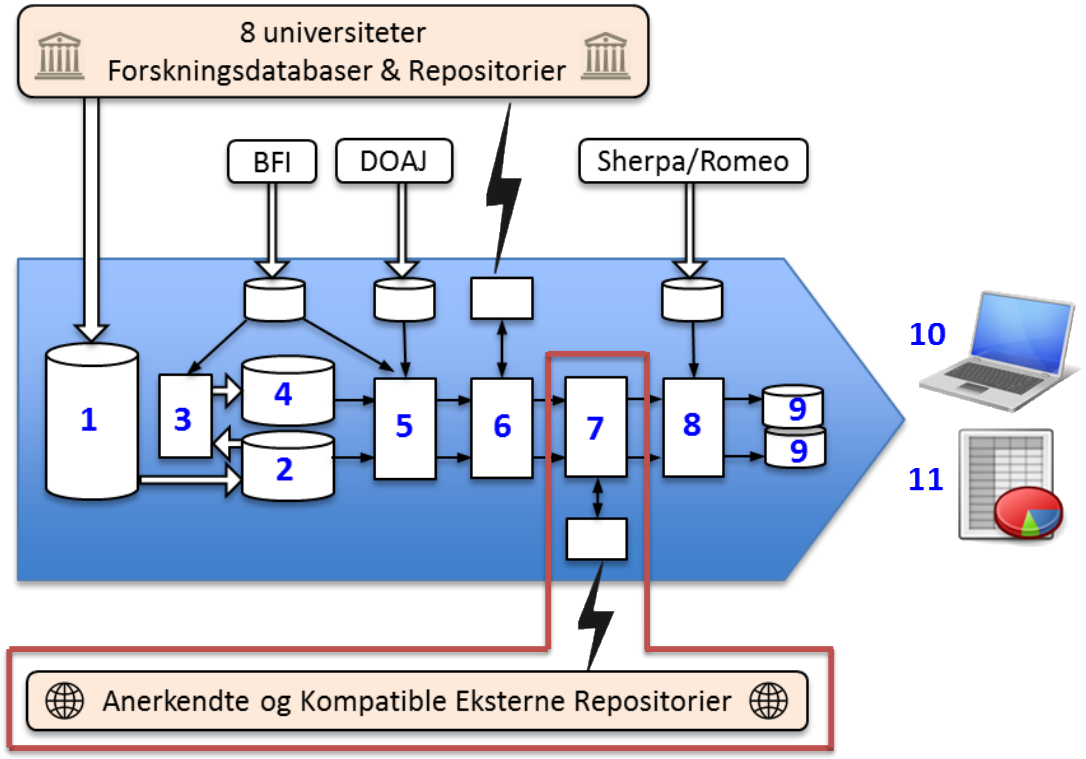


Coming add-ons and possible improvements



- Add External repositories and authoritative check repository list, including Danish universities OJS-servers
- Handling the OA-potential calculation better including long embargo periods and exceptions in national licenses
- More frequent measurements/harvests (monthly)

Thank you!

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Credit:

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Lise Mikkelsen, DTU

Hanne-Louise Kirkegaard and

Jonas Bak, Ministry of Higher Education and Science