

Carriers for metal complexes on tumour cells: the effect of cyclodextrins vs CNTs on the model guest phenanthroline-5,6-dione trithiacyclononane ruthenium(II) chloride

Susana S. Braga · Joana Marques · Elena Heister ·
Cátia V. Diogo · Paulo J. Oliveira · Filipe A. Almeida Paz ·
Teresa M. Santos · Maria Paula M. Marques

Received: 7 January 2014 / Accepted: 28 February 2014 / Published online: 21 March 2014
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Abstract The complex [Ru(9)aneS₃(pdon)Cl]Cl (pdon = 1,10-phenanthroline-5,6-dione) was readily obtained from the stoichiometric reaction of Ru(9)aneS₃(dmsO)Cl₂ with pdon. Recrystallisation in ethanol using salicylic acid as a co-crystallisation helper afforded single-crystals suitable for the collection of X-ray diffraction data which afforded a reasonable structural description. Two different kinds of molecular carriers were tested as vehicles for this complex: carbon nanotubes (CNTs) and cyclodextrins. CNTs had an insufficient loading rate for the ruthenium complex at CNT concentrations deemed non-cytotoxic on cultured cells. The cyclodextrin (CD) carriers, β-CD and TRIMEB (standing for permethylated β-CD), were able to form two adducts, studied by

powder X-ray diffraction, thermogravimetric analysis (TGA), ¹³C{¹H} CP/MAS NMR and FT-IR spectroscopies. The DNA thermal denaturation studies showed that the complex **1** is able to intercalate with DNA. The in vitro cytotoxicity of the free complex [Ru(9)aneS₃(pdon)Cl]Cl (**1**) and of its two CD adducts (**2** and **3**) was assessed on both rodent and human cell lines. By using the mouse K1735-M2 melanoma cell line and the non-tumour rat H9c2 cardiomyoblasts, the results showed that **1** and **2** significantly inhibited the growth of the tumour cell line while displaying a good safety profile on cardiomyoblasts. Compound **3** at 100 μM inhibited the proliferation of both cell lines, with a higher activity towards the melanoma cell line. The cytotoxicity of the compounds **1–3** was further assessed on human breast cancer cell lines. Against the MDA-MB-231 line, growth inhibition occurred only with **1** and **3** at the incubation time of 96 h, both with

Electronic supplementary material The online version of this article (doi:10.1007/s10534-014-9725-8) contains supplementary material, which is available to authorized users.

S. S. Braga (✉) · J. Marques
Department of Chemistry, QOPNA Research Unit,
University of Aveiro, 3810-193 Aveiro, Portugal
e-mail: sbraga@ua.pt

E. Heister
Faculty of Health and Medical Sciences, University of
Surrey, Guildford GU2 7XH, UK

C. V. Diogo · P. J. Oliveira
CNC - Center for Neuroscience and Cell Biology,
Largo Marquês de Pombal, University of Coimbra,
3004-517 Coimbra, Portugal

F. A. A. Paz · T. M. Santos
CICECO and Department of Chemistry, University of
Aveiro, 3810-193 Aveiro, Portugal

M. P. M. Marques
Molecular Physical-Chemistry R&D Group and
Department of Life Sciences, Faculty of Science and
Technology, University of Coimbra, PO Box 3046,
3001-401 Coimbra, Portugal

approximate inhibition rates of 50 %; against the MCF-7 line, mild cytotoxicity was observed at 48 h of incubation, with IC_{50} values calculated above 100 μ M for **1**, **2** and **3**.

Keywords Ruthenium complexes · 1,10-Phenanthroline-5,6-dione · Cyclodextrins · Cytotoxicity · Carbon nanotubes

Abbreviations

[9]aneS ₃	1,4,7-Trithiacyclononane
ANOVA	Analysis of variance
CD	Cyclodextrin
CNT	Carbon nanotube
CP/MAS	Cross-polarisation with magic-angle spinning
DMEM	Dulbecco's modified eagle's medium
dmsO	Dimethylsulfoxide
DNA	Deoxyribonucleic acid
dppz	Dipyrido[3,2- <i>a</i> :2',3'- <i>c</i>]phenazine
EDTA	Ethylenediaminetetraacetic acid
EAT	Ehrlich ascites tumour
EtOH	Ethanol
FBS	Fetal bovine serum
FT-IR	Fourier-transform infrared spectroscopy
H9c2	Non-tumoural H9c2 cardiomyoblast cell line
IC_{50}	Half maximal inhibitory concentration
K1735-M2	Mouse melanoma cell line
MCF-7	Human epithelial breast adenocarcinoma cell line (estrogen dependent)
MDA-MB-231	Human epithelial breast adenocarcinoma cell line (estrogen independent)
MTT	3-[4,5-Dimethylthiazol-2-yl]-2,5-diphenyltetrazolium bromide
NMR	Nuclear magnetic resonance
oxCNT	Oxidised carbon nanotube
PBS	Phosphate buffered saline
phen	1,10-Phenanthroline
pdon	1,10-Phenanthroline-5,6-dione
SRB	Sulforhodamine B
TGA	Thermogravimetric analysis
XRD	X-ray diffraction

Introduction

The past years have witnessed a variety of new materials emerging as drug carriers or delivery systems, including inorganic nanoparticles (Allen and Cullis 2004; Barroug and Glimcher 2002; Chen et al. 2004; Lin et al. 2002; Radin et al. 2001; Roy et al. 2003) and carbon nanotubes (CNTs). In parallel, there was an expansion in the number of both marketed products and formulations undergoing clinical tests which contain the traditional organic nano-scale carriers: liposomes (Roseanu et al. 2010), micelles (Wagner and Vorauer-Uhl 2011; Parveen et al. 2012) and cyclodextrins (CDs) (Szejtli 1998). CDs are found in commercial drugs as solubilisers or formulation helpers for different active pharmaceutical ingredients, from anti-inflammatory agents (e.g. nimesulide, diclofenac, indometacin, meloxicam, tiaprofenic acid) to anti-septics (cloranfenicol, mitomycin, cephalosporin), anti-maniac agents, nicotine, prostaglandins and others (Davis and Brewster 2004). In our group, the formation of solid β -CD inclusion compounds with ibuprofen, nimesulide and omeprazole was studied (Braga et al. 2003a, b, c). Recently, we focused on the carrier and activity-enhancing properties of CDs in a collection of cytotoxic metal coordination compounds, but we were unable to establish a general trend for the carrier effect, since the effect of CDs upon the guest's cytotoxic activity was shown to vary from one case to the next. For instance, the TRIMEB inclusion compound with the bactericidal [Ru([9]aneS₃)(phen)Cl]Cl afforded higher activity (Marques et al. 2009a) whereas the cytotoxicity was lower in the inclusion compound of β -CD with the bioinorganic complex [Ru([9]aneS₃)(glycine)Cl]Cl; at 72 h of incubation, the compound of β -CD with the ruthenium glycine complex guest only displayed mild inhibition of the osteosarcoma MG-63 line (Marques et al. 2009b). The organometallic iron compound trimethyl(ferrocenylmethyl)ammonium iodide, cytotoxic against the breast cancer MDA-MB-231 line at 48 h of incubation, showed a very slight increase in the activity upon inclusion into β -CD (Bruno et al. 2011).

The present work focuses on two kinds of non-covalent carriers, cyclodextrins and CNTs, which form supramolecular interactions with their guests. The complex [Ru([9]aneS₃)(pdon)Cl]Cl (**1**), containing the aromatic heterocyclic ligand phenanthroline-

5,6-dione (pdon), was chosen as a model guest. The *o*-quinone moiety in this ligand has pH-dependent electroactivity (Goss and Abruña 1985) enabling it to function as a “quinone equivalent” (Brechin et al. 2008) in its *N,N'*-coordinated metal complexes. Pdon is highly cytotoxic on a number of cancer cell lines, with IC₅₀ values starting at 0.6 micromolar on the MCF-7 line, for instance (Roy et al. 2008), and forms cytotoxic complexes with a few metals, including Ag(II), Cu(II) (Deegan et al. 2006), Pt(II) (Roy et al. 2008, Dikmen et al. 2011) and Ru (Alanyali et al. 2011). The complex [Ru([9]aneS₃)(pdon)Cl]Cl (**1**) is thus expected to display cytotoxicity. The implications of the cyclodextrin carriers on the biological activity of **1** will be studied by evaluating the cytotoxicity of its adducts with β-CD and TRIMEB against rodent and human tumour cell lines.

In this work, CNTs are also tested as non-covalent carriers for the complex **1**, given that these may pose as a suitable alternative to cyclodextrins. Indeed, CNTs are promising carriers within the emerging nanomaterials for drug delivery due to their high surface area-to-volume ratio which allows them to load significant amounts of drug. There are different mechanisms for the loading process. (i) Guest molecules are attached to the surface by means of electrostatic, hydrophobic and π–π interactions. (ii) Guests are attached to the surface by a biocompatible linker, owing to ease of chemical functionalisation of the surface of CNTs; literature examples include amphotericin B (Wu et al. 2005), paclitaxel (Huang et al. 2011), doxorubicin (Ali-Boucetta et al. 2008; Heister et al. 2012), cisplatin (Hilder and Hill 2008), and even plasmid DNA. (iii) Guest molecules of appropriate size are contained in the hollow interior of CNTs, after opening of the end caps; in this situation the CNTs may also exhibit sustained release activity, as reported with carboplatin (Arlt et al. 2010) and cisplatin (Guyen et al. 2012).

Experimental section

Materials and methods

β-CD was kindly donated by laboratoires La Roquette (Lestrem, France). 2,3,6-tris-*O*-methyl-β-CD (TRIMEB), the single-walled CNTs SWeNT[®] SG 65 (Lot No. MKBB63098), the calf thymus DNA (*ct*-DNA)

and all of the other chemicals were purchased from Sigma-Aldrich. The nanotubes were originally produced by SouthWest NanoTechnologies by the CoM-oCAT[®] method. Tube diameters are 0.8 ± 0.1 nm, carbon content is >90 % by weight, >50 % of tubes are (6,5) chirality, and >90 % of tubes semiconducting. 4-arm, amine terminated (branched PEG 2500-NH₂, from Sigma-Aldrich, Poole, UK). All air-sensitive operations were carried out using standard Schlenk techniques under argon. Solvents were dried by standard procedures and kept over 4 Å molecular sieves.

Microanalyses were performed at the Department of Chemistry, University of Aveiro, on an TruSpec 630-200-200 Elemental Analyser. Samples, weighing 1–5 mg, were combusted under an oxygen atmosphere at 1,075 °C. Ru was determined by ICP-OES at the Central Laboratory for Analysis, University of Aveiro.

Powder X-ray diffraction data of the bulk compounds were collected at ambient temperature on a X'Pert MPD Philips diffractometer (Cu Kα_{1,2} X-radiation, λ₁ = 1.540598 Å and λ₂ = 1.544426 Å), equipped with a X'Celerator detector and a flat-plate sample holder in a Bragg–Brentano para-focusing optics configuration (40 kV, 50 mA). Intensity data were collected by the step-counting method (step 0.02°), in continuous mode, in the ca. 3.0° ≤ 2θ ≤ 50.0° range.

TGA studies were carried out using a Shimadzu TGA-50 system using a heating rate of 5 °C/min, under air atmosphere, with a flow rate of 20 mL/min. The sample holder was a 5 mm Ø platinum plate and the sample mass was typically 1 to 5 mg. The physical mixtures of cyclodextrin (β-CD or TRIMEB) and the complex **1** were prepared by weighing masses corresponding to the equimolar proportions of each CD (white colour) and **1** (brown colour), placing the powders in a mortar and gently mixing them with the pestle over a few seconds until the colour of the mixture was perceived as homogenous.

FT-IR spectra (range 4,000–400 cm⁻¹) were collected as KBr pellets using a Unicam Mattson Mod 7000 FT-IR spectrophotometer by averaging 64 scans at a maximum resolution of 2 cm⁻¹. In a typical preparation, 2 mg of sample were mixed in a mortar with 200 mg of KBr. Raman spectra were recorded on a Bruker RFS/100S FT-Raman system, using a Nd:YAG (1064 nm) laser (50 mW at the sample position).

¹³C{¹H} CP/MAS NMR spectra were recorded at 125.72 MHz on a Bruker Avance 500 spectrometer, with an optimised π/2 pulse for ¹H of 4.5 μs, 2 ms

contact time, a spinning rate of 7 kHz and 12 s recycle delays. Chemical shifts are quoted in parts per million from tetramethylsilane.

UV/vis absorption spectra were collected using a Helios Alpha UV/Vis spectrophotometer from Thermo Scientific.

Syntheses [Ru([9]aneS₃)(pdon)Cl]Cl (**1**)

This complex was prepared by adaptation of a literature procedure (Madureira et al. 2000). A solution of Ru[9]aneS₃(dmsO)Cl₂ (107.5 mg, 0.26 mmol) in ethanol (15 mL) was treated with solid 1,10-phenanthroline-5,6-dione (54.5 mg, 0.26 mmol) and stirred for 6 h at 80 °C under reflux. The resulting brown mixture was refrigerated to 5 °C overnight to promote precipitation of a golden brown microcrystalline solid. The solvent was decanted and the solid washed several times with dry diethyl ether to obtain the complex [Ru([9]aneS₃)(pdon)Cl]Cl. Yield: 111.5 mg (68.8 %).

Elemental Composition (in %). Anal. Calc. for (C₁₈H₁₈RuN₂S₃O₂Cl₂)·2.5H₂O (607.6): C, 35.58; H, 3.81; N, 4.61; S, 15.83. Found: C, 35.18; H, 3.78; N, 3.95; S, 16.25.

FT-IR (KBr, cm⁻¹): 3352 vs, 2924 m, 2903 sh, 1632 m, 1426 m, 1413 m, 1383 m, 1368 w, 1334 m, 1302 m, 1246 m, 1204 m, 1158 s, 1101 s, 1079 s, 1054 vs, 1027 vs, 1002 s, 944 m, 938 m, 863 m, 758 m, 702 m, 607 sh, 587 sh, 576 m, 529 m, 477 w, 445 w, 414 w, 360 m. FT-Raman (cm⁻¹): 3391 w, 3275 w, 3109 s, 3076 m, 2946 vs, 2900 vs, 1460 s, 1411 s, 1382 s, 1328 s, 1264 m, 1247 s, 1140 m, 1106 vs, 1088 m, 1043 s, 999 w, 974 w, 948 m, 926 m, 869 s, 764 w, 738 m, 708 w, 648 w, 619 w, 599 m, 576 m, 536 w, 501 m, 481 vs, 450 m, 413 m, 396 m, 357 m, 325 s, 312 m, 221 m.

¹³C{¹H} CP/MAS NMR: δ = 172.2 (pdon, CO), 157.2 (pdon, C–H), 153.7 (pdon, >C=), 135.8 (pdon, C–H), 130.4 (pdon, >C=), 125.7 (pdon, C–H), 41.2, 37.0, 33.1, 30.5, 25.9 (all from [9]aneS₃) ppm. Assignment of pdon carbon resonances was made by reference to the reported solution spectrum of the ligand 1,10-phenanthroline-5,6-dione in deuterated dmsO (Larsson and Öhrström 2004).

β-CD·[Ru([9]aneS₃)(pdon)Cl]Cl (**2**)

Solid [Ru([9]aneS₃)(pdon)Cl]Cl (**1**) (88.9 mg, 0.155 mmol) was added to a solution of β-CD

(139.9 mg, 0.125 mmol) in water (10 mL) and the resultant brown solution was stirred for 10 min at room temperature (ca. 293 K) and then immediately frozen using liquid nitrogen to prevent chloride hydrolysis. Water was removed by freeze-drying to obtain a voluminous brown solid.

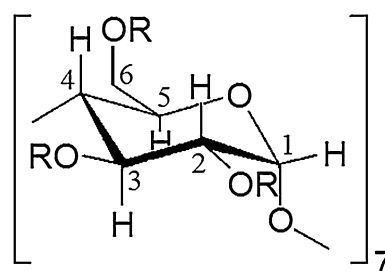
Elemental Composition (in %). Anal. Calc. for (C₄₂H₇₀O₃₅)·(C₁₈H₁₈RuN₂S₃O₂Cl₂)·14H₂O (1948.4): C, 36.95; H, 6.00; N, 1.43; Ru, 5.23. Found: C, 36.64; H, 5.43; N, 1.03; Ru, 5.76. TGA revealed a dehydration step of 15 %, corresponding to 13 water molecules.

FT-IR (KBr pellet; in cm⁻¹): ν(tilde) = 3367 vs, 2924 s, 1698 s, 1693 sh, 1638 m, 1571 sh, 1567 m, 1423 m, 1380 m, 1369 m, 1334 m, 1299 m, 1202 m, 1157 s, 1078 s, 1028 vs, 1002 s, 947 m, 938 m, 860 m, 817 m, 756 m, 708 m, 668 m, 609 m, 578 m, 530 m, 389 w, 361 w.

¹³C{¹H} CP/MAS NMR: δ = 161.1, 156.2, 136.0, 128.9 (guest, pdon), 101.9, 101.8 (β-CD, C₁), 81.0 (β-CD, C₄), 72.8 (β-CD, C_{2,3,5}), 59.7 (β-CD, C₆), 36.5, 29.3 (guest, [9]aneS₃) ppm (see Scheme 1 below for the numbers attributed to the cyclodextrin carbons).

TRIMEB·[Ru([9]aneS₃)(pdon)Cl]Cl (**3**)

A solution of TRIMEB (143 mg, 0.10 mmol) in a mixture of ethanol (20 mL) and acetone (4 mL) was treated with [Ru([9]aneS₃)(pdon)Cl]Cl (**1**) (57.2 mg, 0.10 mmol) in acetonitrile (10 mL); the resulting brown mixed solution was kept in a water bath at 30 °C (303 K) and stirred for 180 min. The solvent was then evaporated to yield a brown vitreous solid.



R = H (β-CD)

R = Me (TRIMEB)

Scheme 1 Carbon numbering for β-CD and TRIMEB

Elemental Composition (in %). Anal. Calc. for $(C_{63}H_{112}O_{35}) \cdot (C_{18}H_{28}RuN_2S_3O_2Cl_2) \cdot 3H_2O$ (2054.7): C, 47.30; H, 7.16; N, 1.36; Ru, 4.96. Found: C, 47.44; H, 6.41; N, 1.22; Ru, 5.47. TGA up to 75 °C revealed a sample weight loss of 1.0 % (calculated for the loss of 2 H₂O, 1.6 %).

FT-IR (KBr pellet; in cm⁻¹): 3062 w, 2976 m, 2929 s, 2833 m, 1691 s, 1567 m, 1464 s, 1449 s, 1422 m, 1406 m, 1368 m, 1323 m, 1303 m, 1193 s, 1161 vs, 1142 vs, 1108 vs, 1090 vs, 1070 vs, 1038 vs, 970 s, 951 s, 940 sh, 911 m, 856 m, 826 m, 814 m, 706 m, 565 s, 554 m, 391 m, 364 m, 346 w, 339 w, 332 w, 320 w.

¹³C{¹H} CP/MAS NMR: 171.6 (guest, CO), 156.9, 153.6, 135.6, 129.7, 125.9 (guest, pdon), 98.6 (TRIMEB, C₁), 82.5 (TRIMEB, C_{2,3,4}), 71.2 (TRIMEB, C_{5,6}), 58.1 (TRIMEB, O-CH₃), 40.8, 36.5, 33.0, 29.8, 25.8 (guest, [9]aneS₃) ppm (see Scheme 1 below for numbering).

Single-crystal X-ray diffraction studies

A single-crystal obtained from the recrystallisation of the complex **1** with salicylic acid was mounted on a Hampton Research CryoLoop using FOMBLIN Y perfluoropolyether vacuum oil (LVAC 25/6) purchased from Aldrich (Kottke and Stalke 1993), with the help of a Stemi 2000 stereomicroscope equipped with Carl Zeiss lenses. Data were collected at 150(2) K on a Bruker X8 Kappa APEX II charge-coupled device (CCD) area-detector diffractometer (Mo K_α graphite-monochromated radiation, $\lambda = 0.71073 \text{ \AA}$) controlled by the APEX2 software package (APEX2 2006), and equipped with an Oxford Cryosystems Series 700 cryostream monitored remotely using the software interface Cryopad (Cryopad 2006). Images were processed using the software package SAINT+ (SAINT+ 1997–2005), and data were corrected for absorption by the multi-scan semi-empirical method implemented in SADABS (Sheldrick 1998). The structure was solved by the direct methods of SHELXS-97 (Sheldrick 1997a, 2008), and refined by full-matrix least squares on F^2 using SHELXL-97 (Sheldrick 1997b, 2008). All non-hydrogen atoms were directly located from difference Fourier maps and successfully refined with anisotropic displacement parameters. We note that the two charge-balancing chloride anions, one per each crystallographically independent $[Ru([9]aneS_3)(pdon)Cl]^+$ cation, have been found to be statistically disorder over five

different crystallographic sites. These moieties have been included in the final structural model with a fixed rate of occupancy of 40 % in each crystallographic position.

Hydrogen atoms bound to carbon were located at their idealised positions using appropriate *HFIX* instructions in SHELXL: 43 for the aromatic -CH and 23 for the -CH₂- moieties attached to the [9]aneS₃ organic ligands. All these atoms were included in subsequent refinement cycles in riding motion approximation with isotropic thermal displacements parameters (U_{iso}) fixed at $1.2 \times U_{eq}$ of the parent carbon atoms.

A considerable smeared-out electron density was found in the empty spaces available in the crystal structure that prevented a sensible location and refinement of any solvent molecules. Searches for the total potential solvent area using the software package PLATON (Spek 1990, 2003) revealed the presence of a large cavity centred at (0.030 -0.078 ½) with an internal volume of ca. 593 Å³ (ca. 18 % of the total volume of the unit cell). The original data set was then mathematically treated using the SQUEEZE (van der Sluis and Spek 1990) subroutines in order to remove the contribution of these highly disordered molecules in the solvent-accessible volume. It was estimated that the ca. 18 % empty volume would contain ca. 318 electrons. The calculated solvent-free reflection list was then used for further structural refinement of the $[Ru([9]aneS_3)(pdon)Cl]Cl \cdot (salicylic\ acid) \cdot x(solvent)$ compound.

The last difference Fourier map synthesis showed the highest peak (7.635 eÅ⁻³) and deepest hole (-1.526 eÅ⁻³) located at 1.19 and 1.33 Å from O9 and O6, respectively. Information concerning crystallographic data collection and structure refinement details for the SQUEEZE data set of $[Ru([9]aneS_3)(pdon)Cl]Cl \cdot (salicylic\ acid) \cdot x(solvent)$ is summarised in Table 1. Geometrical parameters associated with the coordination environments of the two crystallographically independent Ru(II) metal centres are provided in Table 2. Structural drawings have been produced using the software package Crystal Diamond (Brandenburg 1997–2010).

Crystallographic data for the $[Ru([9]aneS_3)(pdon)Cl]Cl \cdot (salicylic\ acid) \cdot x(solvent)$ crystalline structure have been deposited with the Cambridge Crystallographic Data Centre as supplementary publication No. CCDC-927998. Copies of the data can be obtained free of charge on application to CCDC, 12 Union Road,

Table 1 Crystal and structure refinement data for the complex [Ru([9]aneS₃)(pdon)Cl]Cl·(salicylic acid)·x(solvent) (data obtained using the SQUEEZE data set)

Formula	C ₂₅ H ₂₄ Cl ₂ N ₂ O ₅ RuS ₃
Formula weight	700.61
Crystal system	Triclinic
Space group	<i>P</i> -1
<i>a</i> (Å)	12.748(3)
<i>b</i> (Å)	15.949(3)
<i>c</i> (Å)	18.111(5)
α (°)	72.644(17)
β (°)	70.117(13)
γ (°)	89.935(12)
Volume (Å ³)	3,284.5(12)
<i>Z</i>	4
<i>D_c</i> (g cm ⁻³)	1.417
μ (Mo-K α) (mm ⁻¹)	0.864
Crystal size (mm)	0.16 × 0.16 × 0.14
Crystal type	Brown prism
θ range (°)	3.52–25.69
Index ranges	–15 ≤ <i>h</i> ≤ 15 –19 ≤ <i>k</i> ≤ 19 –21 ≤ <i>l</i> ≤ 21
Reflections collected	51,183
Independent reflections	11,237 (<i>R</i> _{int} = 0.0836)
Completeness to $\theta = 25.69^\circ$	90.0 %
Final <i>R</i> indices [<i>I</i> > 2 σ (<i>I</i>)] ^{a,b}	<i>R</i> 1 = 0.1237
<i>wR</i> 2 = 0.3483	
Final <i>R</i> indices (all data) ^{a,b}	<i>R</i> 1 = 0.1910
<i>wR</i> 2 = 0.3765	
Weighting scheme ^c	<i>m</i> = 0.2000 <i>n</i> = 0.0
Largest diff. peak and hole	7.635 and –1.526 eÅ ⁻³

$$^a R1 = \frac{\sum ||F_o| - |F_c||}{\sum |F_o|}$$

$$^b wR2 = \sqrt{\frac{\sum [w(F_o^2 - F_c^2)^2]}{\sum [w(F_o^2)^2]}}$$

$$^c w = 1 / [\sigma^2(F_o^2) + (mP)^2 + nP] \text{ where } P = (F_o^2 + 2F_c^2) / 3$$

Cambridge CB2 2EZ, U.K. FAX: (+44) 1223 336033.
E-mail: deposit@ccdc.cam.ac.uk.

CNT preparation and loading tests

Oxidation of the carbon nanotubes

CNTs oxidation was carried out using acid oxidation, previously shown to optimise sample dispersion and

Table 2 Selected bond lengths (in Å) and angles (in °) for the two crystallographically independent Ru(II) coordination environment present in [Ru([9]aneS₃)(pdon)Cl]Cl·(salicylic acid)·x(solvent)

Ru1–N1	2.117(9)	Ru2–N3	2.124(10)
Ru1–N2	2.104(5)	Ru2–N4	2.136(9)
Ru1–S1	2.303(4)	Ru2–S4	2.301(4)
Ru1–S2	2.296(4)	Ru2–S5	2.306(4)
Ru1–S3	2.290(3)	Ru2–S6	2.298(4)
Ru1–Cl1	2.431(4)	Ru2–Cl2	2.430(4)
N1–Ru1–S1	175.1(2)	N3–Ru2–N4	77.5(4)
N1–Ru1–S2	93.9(2)	N3–Ru2–S4	94.5(3)
N1–Ru1–S3	96.7(3)	N3–Ru2–S5	97.5(3)
N1–Ru1–Cl1	87.3(2)	N3–Ru2–S6	174.3(3)
N2–Ru1–N1	79.4(3)	N3–Ru2–Cl2	88.2(3)
N2–Ru1–S1	96.1(2)	N4–Ru2–S4	93.8(3)
N2–Ru1–S2	94.6(2)	N4–Ru2–S5	174.7(3)
N2–Ru1–S3	175.0(2)	N4–Ru2–S6	97.6(3)
N2–Ru1–Cl1	87.9(2)	N4–Ru2–Cl2	87.6(3)
S1–Ru1–Cl1	90.70(14)	S4–Ru2–S5	88.35(16)
S2–Ru1–S1	88.26(15)	S4–Ru2–Cl2	177.16(14)
S2–Ru1–Cl1	177.33(13)	S5–Ru2–Cl2	90.41(16)
S3–Ru1–S1	87.75(14)	S6–Ru2–S4	88.73(15)
S3–Ru1–S2	88.64(14)	S6–Ru2–S5	87.22(15)
S3–Ru1–Cl1	88.86(14)	S6–Ru2–Cl2	88.66(15)

afford better aqueous dispersability and biocompatibility (Heister et al. 2010). The experimental procedure and characterisation of the oxidised nanotubes is described in the literature (Heister et al. 2012).

Binding of the Ruthenium compounds to carbon nanotubes

oxCNTs were mixed with the complex **1** (and also with an analogue complex with a more extended planar aromatic ligand, [Ru([9]aneS₃)(dppz)Cl]Cl) at a final CNT concentration of 10 μg/mL and varying the concentrations of the Ru complex to obtain different Ru-complex/CNT weight ratios, with points at 100:1, 50:1 and 20:1. The samples were buffered at pH 7.4 with sodium phosphate buffer at a final concentration of 5 mM. After incubation overnight at room temperature, the samples were filtered using Amicon[®] 100 kDa centrifugal filter devices. The unbound complex present in the eluates was quantified by means of UV/vis absorption spectroscopy, with

working wavelengths of 415 nm for **1** and of 355 nm for [Ru([9]aneS₃)(dppz)Cl]Cl (for details on the UV/vis spectroscopy studies of the complexes, please refer to the Supplementary Information, Sect. S1).

Calf thymus DNA thermal denaturation studies

The thermal denaturation temperature of *ct*-DNA and of the mixture **1**/*ct*-DNA (1:10) was determined in a 10 mM phosphate buffer (Na₂HPO₄/NaH₂PO₄, pH = 7.2) solution prepared with ultra-pure water, containing 1.0×10^{-4} M of *ct*-DNA and 1.0×10^{-5} M of the complex **1**. Melting curves were recorded on a BioTek microQuant MQX200 UV–Visible spectrophotometer equipped with a NE1B-14 water thermostated bath, heating in steps of 5 °C with 10 min interval between each step. For each temperature point, three absorbance readings were collected, at a working wavelength of 260 nm. The three readings for each temperature point were averaged and then fitted to a Boltzmann sigmoid function using GraphPad Prism version 5.00 for Windows, GraphPad Software, San Diego California USA, www.graphpad.com.

Cytotoxic and antiproliferative studies

Compounds **1** to **3** were tested on mouse K1735-M2 melanoma, rat H9c2 myoblasts, and human breast cancer MDA-MB-231 cells; the growth inhibition effect was measured using the SRB colourimetric assay (Skehan et al. 1990; Papazisis et al. 1997). This test is based on the ability of sulforhodamine B, a bright-pink aminoxanthene dye with two sulfonic groups, to stain proteins under mild acidic conditions (binding to basic amino acid residues). The staining is stoichiometric and thus suitable for optical density measurement yielding cell density values. Compounds **1** to **3**, along with the pure cyclodextrins (β -CD and TRIMEB), were also tested towards the MCF-7 human breast carcinoma line (estrogen-responsive), their cytotoxicity having been assessed by the mitochondrial dehydrogenase activity assay or MTT colourimetric assay (Mosmann 1983), which is based on the ability of this tetrazolium dye to form an insoluble coloured compound when it is reduced by the intracellular mitochondrial dehydrogenases. Experimental details for the assays are given in the subsections below.

Preparation of the solutions

All of the compounds studied were water-soluble. The tests on the K1735-M2, H9c2 and MDA-MB-231 cell lines were performed using solutions of **1–3** with concentrations ranging from 1.0×10^{-6} to 1.0×10^{-4} M. For the assays on the MCF-7 cell line, solutions of **1–3** and free CDs were prepared at concentrations ranging from 5.0×10^{-5} to 5.0×10^{-4} M in phosphate buffered saline (PBS) (140.0×10^{-3} M NaCl, 2.7×10^{-3} M KCl, 1.5×10^{-3} M KH₂PO₄, 8.1×10^{-3} M Na₂HPO₄ in Milli-Q water, pH 7.4) and were sterilised by filtration.

MTT was prepared in a concentration of 5 mg/mL in PBS and the solution was sterilised by filtration.

Cell culture

K1735-M2 mouse melanoma cells were a kind gift from Dr. Lillian Repesh and Dr. Jon Holy, Department of Biomedical Sciences, University of Minnesota School of Medicine, Duluth, MN, USA. Rat H9c2 cardiomyoblasts (H9c2(21), ATCC[®] CRL-1446TM) were purchased from the American Type Culture Collection (Manassas, VA). The MDA-MB-231 cell line (human Caucasian estrogen-independent breast adenocarcinoma, catalog reference 92020424) was purchased from the European Collection of Cell Cultures (ECACC, Salisbury, UK), while the MCF-7 line (human Caucasian estrogen-dependent breast adenocarcinoma) was kindly made available by the Biochemistry Service of the Faculty of Medicine of the University of Coimbra.

All cells were cultured in DMEM supplemented with 10 % heat-inactivated fetal bovine serum (FBS), sodium bicarbonate (1.5 g/l), penicillin/streptomycin (100 units/mL penicillin and 100 μ g/mL streptomycin) in 75 cm² tissue culture flasks at 37 °C in a humidified atmosphere with 5 % CO₂. All cell lines used were grown in monolayers, sub-cultured every 2–3 days and harvested upon addition of trypsin/EDTA (0.05 % trypsin/EDTA solution).

Growth inhibition assays

Cell density following exposure of the K1735-M2, H9c2 and MDA-MB-231 cells to **1**, **2** and **3** at 1, 10, 25, 50 and 100 μ M was assessed, through the SRB test, for 24, 48 and 72 h incubation times. After treatment with the test compound, the incubation medium was removed, the

microplates were washed with PBS and the cells were fixed in 1 % acetic acid in ice-cold methanol during 2 h. Then, the acetic acid was discarded and the microplates were air-dried. The cells were then incubated with 0.5 % (wt/vol) SRB in 1 % acetic acid for 1 h at 37 °C. The unbound dye was removed by washing the microplates with a 1 % acetic acid solution. The dye bound to the cell's basic amino acids was extracted with a 10 mM Trizma-base solution, pH = 10, and the optical density was measured at 540 nm.

Cell viability following exposure of the MCF-7 cells to **1**, **2**, **3** and the CDs at 50, 100, 200, 300 and 500 μM was assessed for a single time point (48 h of incubation) by the MTT assay. Three independent experiments were performed, with triplicates for each concentration of the test compound. The MCF-7 cells were plated at a density of 5.0×10^4 cells/mL in 96-well microplates. Twenty-four hours after seeding, test solutions of **1**, **2**, **3** and the CDs were added to the medium and the cultures were incubated at 37 °C. After an incubation period of 48 h, 25 μL of MTT were added to each well and the microplates were left at 37 °C for 4 h, after which the MTT was removed by aspiration, and the purple formazan crystals formed in the cells were dissolved by addition of 200 μL of dmsu under stirring. The optical density was measured in a microplate reader, at a working wavelength of 550 nm.

Statistical analysis

Growth inhibition data for the K1735-M2, H9c2, MDA-MB-231 and MCF-7 cells resulted from 3 to 4 different cell preparations. The results are expressed as a percentage of the control (100 %) for each time point and represent the mean values \pm standard deviation (the corresponding error bars are displayed in the graphical plots). Statistical analysis was performed by ANOVA (analysis of variance). The Dunnett's *post-hoc* test was used for statistical comparison between the experimental data, points with *p*-value < 0.05 having been considered as significant.

Results and discussion

The guest

The complex $[\text{Ru}(\text{[9]aneS}_3)(\text{pdon})\text{Cl}]\text{Cl}$ (**1**) is part of a class of trithiacyclononane Ru(II) complexes with

planar amines, which are typically obtained in good yield by refluxing the precursor $\text{Ru}[\text{9]aneS}_3(\text{dmsu})\text{Cl}_2$ with the desired ligand and then cooling the solution to obtain a precipitate. Many of the complexes of this family form microcrystalline precipitates and thus their crystal structures were easily obtained and described over a decade ago (Goodfellow et al. 1997; Madureira et al. 2000; Santos et al. 2001). Compound **1**, however, forms a precipitate with low crystallinity and, to date, its detailed structural description, of valuable practical use for assessing possible interaction geometries with both the CD and the CNT carriers, remains unavailable. We have, thus, carried crystallisation studies of this complex aiming at obtaining suitable crystals for structural determination. Several solvents, and mixtures of solvents, were tested for the crystallisation of **1**: ethanol, methanol, water and mixtures of these. The crystallisation attempts were conducted both at room temperature and at low temperatures, first starting at 277 K. No crystals were formed at this temperature so the batch was further cooled to 269 K. At this temperature, some crystals were isolated from the ethanolic solution, but they revealed to be unstable under the X-ray beam thus preventing the complete collection of diffraction data. The difficulties found in crystallising this complex have led us to resource to crystal engineering techniques that use co-crystallisation as a way to obtain stable solid-state systems for molecules otherwise less manageable. Although the concept of co-crystal cannot be textually applied to the complex **1** due to its cationic nature (co-crystals are, by definition, composed of synlets of two neutral units), the presence of a suitable secondary compound was tested as a way to stabilise the intermolecular arrangement of the Ru(II) complexes. Medium-to-low quality crystals, able to be studied using single-crystal X-ray diffraction, were obtained using salycilic acid as adjuvant: besides being capable of both hydrogen donation and acceptance in hydrogen bonding interactions, this molecule also can engage in π - π interactions in molecular crystals being, thus, suitable to interact with the *N,N*-chelated pdon moiety in **1**. The isolated crystalline material obtained from the co-crystallisation with salycilic acid could only be solved in the triclinic centrosymmetric *P*-1 space group, with the asymmetric unit being composed of two whole cationic complexes of $[\text{Ru}(\text{[9]aneS}_3)(\text{pdon})\text{Cl}]^+$, two salycilic acid moieties plus two charge-balancing

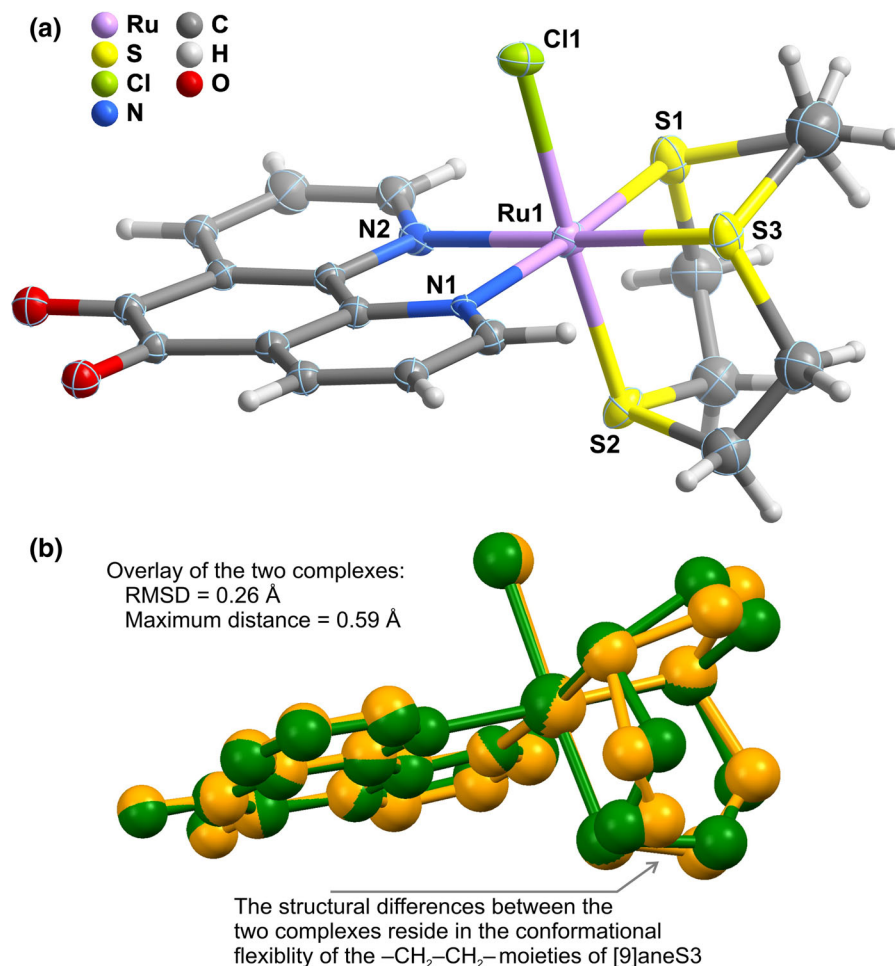


Fig. 1 **a** Schematic representation of one cationic $[\text{Ru}(\text{9}]\text{aneS}_3)(\text{pdon})\text{Cl}]^+$ complex present in the crystal structure of $[\text{Ru}(\text{9}]\text{aneS}_3)(\text{pdon})\text{Cl}]\text{Cl}(\text{salicylic acid})\cdot x(\text{solvent})$. Non-hydrogen atoms are drawn as thermal ellipsoids (at the 50 % probability level) and hydrogen atoms as small spheres with arbitrary radii. The atomic labelling for the first coordination

sphere of Ru1 is provided. For selected bond lengths (in Å) and angles (in °) see Table 2, which also contains the analogous values for the other crystallographically independent complex composing the asymmetric unit. **b** Overlay of the two cationic $[\text{Ru}(\text{9}]\text{aneS}_3)(\text{pdon})\text{Cl}]^+$ complexes composing the asymmetric unit of $[\text{Ru}(\text{9}]\text{aneS}_3)(\text{pdon})\text{Cl}]\text{Cl}(\text{salicylic acid})\cdot x(\text{solvent})$

chloride anions structurally disorder over five distinct crystallographic positions. As detailed in the Experimental Section dedicated to these studies, the poor quality of the diffraction prevented a sensible location and refinement of the solvent molecules which co-crystallise in the interstitial spaces between the aforementioned moieties. The two crystallographically independent cationic $[\text{Ru}(\text{9}]\text{aneS}_3)(\text{pdon})\text{Cl}]^+$ complexes (Fig. 1a) have the same structural arrangement around the Ru(II) metallic centre, with the main differences residing solely on the chelating [9]aneS₃ moieties which exhibit slightly distinct conformations

for the $-\text{CH}_2-\text{CH}_2-$: as depicted in Fig. 1b, the overlap between the two complexes is almost perfect for most of the atoms with the sole differences being in these carbon atoms. The two Ru(II) metallic centres exhibit almost identical slightly distorted octahedral coordination environment, $\{\text{RuClN}_2\text{S}_3\}$: while the equatorial plane being composed of the *N,N*-chelated pdon moiety and two sulfur atoms, the apical positions are instead occupied by the coordinated chlorido anion and the additional sulfur atom from [9]aneS₃ (Fig. 1a). We note that the octahedral distortion of the complexes is mainly attributed to the various types of the

coordinating atoms (arising from three distinct coordinating ligands), which impose a wide range of bond lengths: the Ru–N bonds to the *N,N*-chelated pdon moieties are the shortest ranging from 2.104(5) to 2.136(9) Å, which contrast with the Ru–Cl bonds which are almost identical for the two complexes (Table 2); the Ru–S bonds to the [9]aneS₃ moieties were found in the 2.290(3)–2.306(4) Å range. On the other hand, the *cis* and *trans* octahedral angles are significantly more regular, being found in the 77.5(4)–97.6(3)° and 174.3(3)–177.33(13)° ranges, respectively (Table 2; please note: the shortest *cis* angle corresponds, as expected, to the bite angle of a *N,N*-chelated pdon ligand).

Interaction with CNTs

The present work used oxidised CoMoCAT CNTs (oxCNTs) with a high aqueous dispersibility, which are good candidates for the development of a CNT-based drug delivery system. The complex **1** was incubated for 24 h with these oxCNTs at different drug/CNT weight ratios. The binding rate for the incubated mixtures with a drug/CNT weight ratio of 100:1, 50:1 and 20:1 was monitored by UV/Vis spectroscopy (details in the Experimental Section) and exhibited very low values: less than 5 % of the complex **1** was loaded onto the CNTs (Fig. 2). Given that drug-CNT interactions are typically of the π - π type, these results can be perceived as evidence that the conjugated π -electron system of the pdon fragment is insufficient for proper anchoring onto the

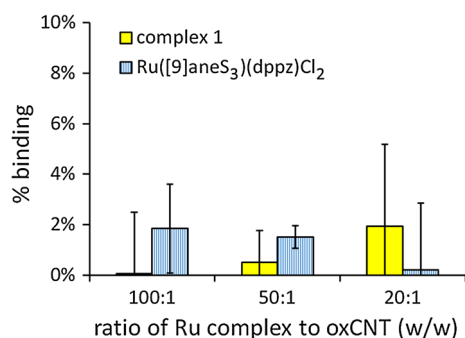


Fig. 2 Loading rate (in %) of two ruthenium complexes, **1** and its analogue [Ru([9]aneS₃)(dppz)Cl]Cl, onto oxCNTs in function of the complex/oxCNT weight ratios ($n = 3$). Only the ratios which translate into concentrations of oxCNT useful for therapeutics are shown

CNT carriers. Further tests were conducted using the same experimental conditions on another Ru(II) complex bearing, in the place of pdon, a more extended conjugated ligand, dppz (dipyrido[3,2-*a*:2',3'-*c*]phenazine). Still, the binding rate for this complex has increased only very slightly, with a loading rate below 10 %. These results indicate that CNTs cannot be used as carriers for this family of ruthenium(II) complexes.

It should also be noted that further increasing the amount of available CNT in relation to the drug did increase the loading rate (details in the Supplementary Information, Sect. S2), but our experiments conducted in this regard show that a very high ratio of CNT is required to achieve loadings of 100 % of the complex **1**. The end result is a final product containing a high concentration of CNT, which could generate carrier-derived cytotoxicity.

Molecular encapsulation of **1** with cyclodextrins

Inclusion of the complex **1** into the two CD hosts was carried out starting from an initial CD/guest molar ratio of 1:1 and followed a strategy based on the relative solubilities of the CDs, using ethanol/acetone as solvent for TRIMEB (Petrovski et al. 2005a, b). For β -CD, which is insoluble in organic solvents, we took advantage of the fair aqueous solubility of the guest **1** and used water as the solvent. To minimise hydrolysis, we resourced to a methodology we had previously developed for easily hydrolysable guest molecules (Braga et al. 2001), which consists of a very short co-stirring time (10 min) with subsequent snap-freezing and freeze-drying for water removal. Elemental analysis data (details in the Experimental Section) is coherent with a 1:1 host-to-guest stoichiometry in both **2** and **3**.

The initial assessment of the inclusion of the complex **1** into β CD and TRIMEB is based on FT-IR spectroscopy studies. It is common to observe some shifts in the guest bands upon the formation of inclusion compounds. In β -CD·[Ru([9]aneS₃)(pdon)Cl]Cl (**2**), shifts were observed in the major functional groups. The bands ascribed to ν (C=O) and δ (C–[C=O]–C), found at 1691 and 1295 cm^{-1} for the complex **1**, are blueshifted upon inclusion to 1698 and 1299 cm^{-1} , respectively, in **2** (Nakamoto 1966). The δ (C–H) band for the [9]aneS₃ fragment also shifts from 1418 cm^{-1} in **1** to 1423 cm^{-1} in the adduct **2**. In turn, in the spectrum of

the compound TRIMEB·[Ru([9]aneS₃)(pdon)Cl]Cl (**3**), the bands associated with the functional groups of the guest appear unshifted. The results are an initial indication that β-CD·[Ru([9]aneS₃)(pdon)Cl]Cl (**2**) is a true inclusion compound whereas in **3** there seems to occur no true inclusion (which is confirmed by powder diffraction data, as detailed below).

Powder X-ray diffraction studies of **2** and **3**

X-ray powder diffraction studies allow the identification of true inclusion compounds of cyclodextrins, mainly based on the fact that these crystallise in well-known sets of distinct three-dimensional arrangements, or “isostructural series” (Caira 2001). Figure 3 shows the patterns for the complex **1**, the hosts β-CD and TRIMEB, and their corresponding adducts **2** and **3**. The powder diffractogram for the adduct **2** presents low crystallinity, with absence of reflections associated with the uncomplexed guest **1** and an overall envelope which matches the *isostructural series 7* described by Caira (2001). This means that the most dominant phase in the adduct corresponds to an arrangement of the β-CD molecules in a cage packing mode, common in β-CD inclusion complexes of small organic guests and not much different from that found for β-CD hydrate. The existence of this type of crystal packing in compound **2** is seemingly surprising given that the guest complex **1** is somewhat bulky, yet it has been previously observed by us for the compound β-CD·[CpMo(CO)₃Cl] (Braga et al. 2006) and also for the β-CD inclusion compound with a ruthenium guest of the same family, [Ru([9]aneS₃)(phen)Cl]Cl (Marques et al. 2009a). Furthermore, the structural resemblance of the latest guest to that of the complex **1** allows us to infer that their β-CD inclusion compounds feature similar packing (see the schematics on the right side of the Fig. 3).

The XRD pattern for TRIMEB·[Ru([9]aneS₃)(pdon)Cl]Cl (**3**) is clearly dominated by reflections corresponding to the guest whilst TRIMEB is present as an amorphous phase. It can be described as a dispersion of the guest in the TRIMEB phase with a consequent disruption of the host packing thus rendering it vitreous. Indeed, in practical terms, there is not a true host–guest inclusion in **3**; this also explains the unshifted vibrational bands of the complex **1** observed in the FT-IR spectrum of **3** (as mentioned in the previous subsection).

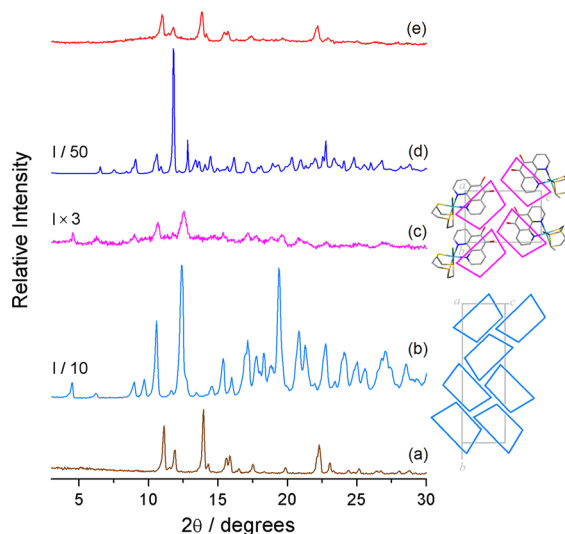


Fig. 3 Laboratory powder diffraction data for compound [Ru([9]aneS₃)(pdon)Cl]Cl (**1**) (a), β-CD (b), adduct **2** (c), TRIMEB (d) and adduct **3** (e), and schematic representation of β-CD packing for the pure hydrate and for adduct **2**

Thermogravimetric analysis

Thermogravimetric analysis is another useful tool in the investigation of the formation of inclusion compounds. The traces of the compounds **1**, **2** and **3** and of the physical mixtures (mix) of **1** with the two cyclodextrin hosts are represented in the Fig. 4. The adduct β-CD·[Ru([9]aneS₃)(pdon)Cl]Cl (**2**) features an overall thermal decomposition similar to that of the corresponding 1:1 (host:guest) physical mixture, with the only difference found for the onset of β-CD decomposition. In fact, **2** starts to decompose around 240 °C, a temperature lower than that found for the mix (250 °C) and even lower than the one of plain β-CD hydrate (270 °C, trace not shown). Such a difference is attributed to the promoting effects of the ruthenium guest on the decomposition of β-cyclodextrin, and it is an evidence of the existence of a significant host–guest interaction in **2** (Harada et al. 1989).

An opposite effect is observed with the adduct TRIMEB·[Ru([9]aneS₃)(pdon)Cl]Cl (**3**). While pure TRIMEB starts to melt and decompose at about 175 °C (not shown), the adduct **3** features only a very smooth mass loss starting at 180 °C until around 260 °C, which marks the onset of an abrupt mass loss. The overall thermal decomposition trace of **3** is identical to that of the physical mixture, thus showing that the host–guest interaction is of the same nature as

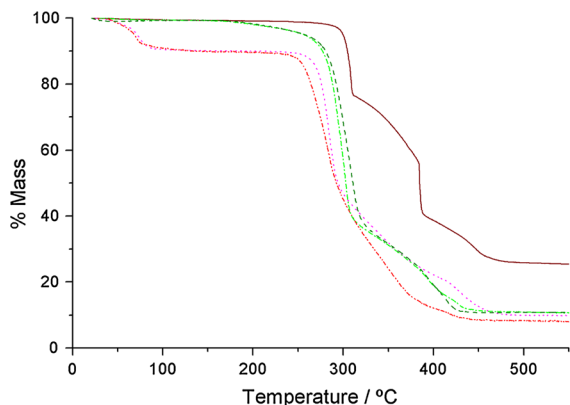


Fig. 4 TG traces for the complex $[\text{Ru}(\text{[9]aneS}_3)(\text{pdon})\text{Cl}]\text{Cl}$ (**1**) (—), the adduct $\beta\text{-CD}\cdot[\text{Ru}(\text{[9]aneS}_3)(\text{pdon})\text{Cl}]\text{Cl}$ (·····), the 1:1 physical mixture of $\beta\text{-CD}$ and **1** (- · - · - ·), the adduct $\text{TRIMEB}\cdot[\text{Ru}(\text{[9]aneS}_3)(\text{pdon})\text{Cl}]\text{Cl}$ (---), and the 1:1 physical mixture of TRIMEB and **1** (- - - -)

one that is obtained by a few seconds of mechanical grinding (for details on the preparation of the mixtures, please refer to the Experimental Section). It is worth noting that this interaction, although not of the inclusion kind (according to powder diffraction results), results in an increased thermal stability of the host, as evidenced by the absence of the expected well-defined step around 175 °C corresponding to the onset of TRIMEB decomposition. The increase in the host decomposition temperature has been previously observed for TRIMEB inclusion compounds of other Ru[9]aneS_3 complexes (Marques et al. 2008) and also with metallocene guests of formula Cp_2MCl_2 where $\text{M} = \text{Mo}, \text{Nb}$ and $\text{Cp} = \text{cyclopentadienyl}$ (Braga et al. 2006, Pereira et al. 2007).

$^{13}\text{C}\{^1\text{H}\}$ CP/MAS NMR

The spectra of **1** and the compounds **2** and **3**, depicted in Fig. 5, are described in comparison with those of the pure hosts (not shown). $\beta\text{-CD}$ hydrate typically exhibits a spectrum with multiple sharp resonances for each carbon atom associated with small variations in local geometrical parameters; in the spectrum of $\beta\text{-CD}\cdot[\text{Ru}(\text{[9]aneS}_3)(\text{pdon})\text{Cl}]\text{Cl}$ (**2**) these carbons appear mainly as single broad peaks, with little or no structure, centred around 81, 73, and 61 ppm for the C_4 , the $\text{C}_{2,3,5}$, and the C_6 carbons, respectively. The resonances for the C_1 carbons of $\beta\text{-CD}$ are represented by a set of two poorly resolved broad peaks around

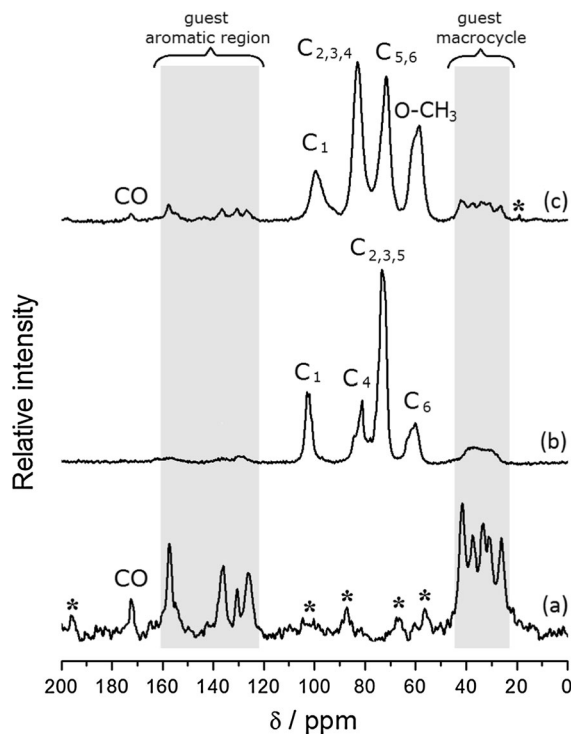


Fig. 5 $^{13}\text{C}\{^1\text{H}\}$ CP/MAS spectra for: **a** the complex **1**, **b** the $\beta\text{-CD}$ adduct **2** and **c** the TRIMEB adduct **3**. Spinning sidebands are denoted by asterisks

102.9 and 101.9 ppm. These observations are consonant with loss of crystallinity of the host, as evidenced by powder diffraction, but they have also been associated with partial symmetrisation of the $\beta\text{-CD}$ ring. In fact, the encapsulation of a guest molecule usually induces the ring to adopt a less distorted conformation, with each glucose unit in a more similar environment (Braga et al. 2001; Fernandes et al. 2005; Braga et al. 2006; Pereira et al. 2007). The guest resonances appear poorly resolved. A broad set of signals in the 40–26 ppm is ascribed to the resonances of the trithiacyclononane macrocycle. Note that this is a smaller dispersion range when compared with pure **1**, for which the $[\text{9]aneS}_3$ carbon resonances are found in the 44–24 ppm interval (grey-highlighted area on the right side of Fig. 5). We may thus infer that, as a result of a more uniform environment provided by the cavity of $\beta\text{-CD}$, the trithiacyclononane macrocycle, disordered in the pure complex **1** (as described in the previous paragraphs for the crystal structure of the co-crystal of **1** with salicylic acid), becomes less disordered, which translates into a smaller dispersion of its

carbon resonances observed by CP/MAS NMR spectroscopy. The aromatic carbon resonances in **2** appear as weak broad signals peaking at 129, 136, 156 and 161 ppm (slightly shifted in comparison to those of the complex **1**, see grey-highlighted area on the left of Fig. 5). It was not possible to clearly identify the resonances (masked in the background) for the guest CO groups in the adduct **2**.

Like plain β -CD hydrate, the $^{13}\text{C}\{^1\text{H}\}$ CP/MAS NMR spectrum of the TRIMEB host (not shown) also shows multiple resonances for each type of carbon atom, caused by a collapsed shape for the macrocycle, with one glucose in the ring inverted to the $^1\text{C}_4$ conformation in monohydrated TRIMEB molecules, and by an overall asymmetry in the local environment of the glucose units due to self inclusion of two primary methoxyl groups from a neighbouring molecule in the anhydrous TRIMEB molecules (Caira et al. 2004). The multiplicity is lost in the adduct **3** and only broad peaks are observed, most likely due to loss of crystallinity of this host, as shown by powder diffraction studies. The resonances ascribed to the guest have suffered no significant chemical shifts, as would be expected due to lack of inclusion interactions in **3**, and are somewhat less resolved, which is consistent with the reduction of crystalline material corresponding to the guest, also observed in the powder XRD trace of **3**.

Biological activity of the complex **1** and the adducts **2** and **3**

Thermal denaturation of *ct*-DNA

This study provides evidence for the intercalation of small molecules into the DNA double helix, measurable from the increase of the DNA melting temperature (T_m), i.e., the temperature at which double stranded DNA denatures into single stranded DNA. The melting curve of *ct*-DNA in the absence and presence of different concentrations of the Ru(II) complex **1** are presented in Fig. 6. Under our experimental conditions, the T_m value of *ct*-DNA was found to be 76.53 °C in the absence of **1** and, in the presence of the complex **1**, at a ratio of $[\textit{ct}\text{-DNA}]/[\mathbf{1}] = 10:1$, the melting temperature was enhanced. The ΔT_m value of 2.3 °C is indicative of an intercalative agent ($\Delta T_m > 2$ °C). The complex **1** has a low intercalative affinity, below that of its dppz-bearing analogue, $[\text{Ru}(\text{[9]aneS}_3)(\text{aa})(\text{dppz})]^+$,

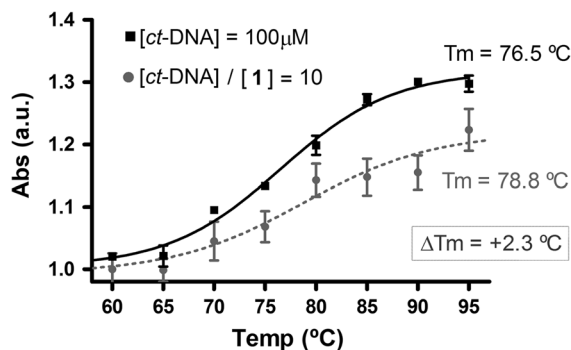


Fig. 6 Comparison of the thermal denaturation curves for (A_{260} vs. temperature) for pure *ct*-DNA and the mixture *ct*-DNA/complex **1** using a 10:1 molar ratio

with a ΔT_m value of 7.0 °C, and also below that of another literature complex, $(\eta^6\text{-}p\text{-cymene})\text{Ru}(\text{aa})(\text{dppz})^+$, with a ΔT_m value of 5.7 °C and where aa = methoxymethionine and dppz = diprido[3,2- α :2',3'-*c*]phenazine, (Frodl et al. 2002). Most likely, this happens because the length of the pdon ligand is somewhat short to facilitate a deep intercalation between the base pairs of the DNA, whereas the extended planar aromatic amine ligand dppz affords stronger intercalative interactions. The ΔT_m value of the complex **1** matches that of the metallointercalator $[\text{Ru}(\text{dmb})_2(\text{bdppz})]^+$ (bdppz = dppz-benzomethanone), postulated to present a modest affinity to intercalate with DNA as a result of steric hindrance arising from the dimethylbipyridine (dbm) ligands (Kumar et al. 2009). It can thus be concluded that the ΔT_m value of the complex **1** is a combined result of the steric effect of the face-capping ligand, [9]aneS₃, with the small area of π - π interactions arising from the short length of the planar aromatic ligand, pdon.

Cytotoxicity on mouse melanoma and rat cardiomyoblast lines

The cytotoxic action of compounds **1–3** was first evaluated on cultured mouse melanoma K1735-M2 and rat H9c2 cardiomyoblasts using the colourimetric assay sulforhodamine B, which measures cell mass, having thus direct correspondence with cell viability. The K1735-M2 melanoma cell line, when incubated with different complex **1** concentrations and at different times (Fig. 7), showed a significant growth inhibition for the higher concentration (100 μM), which started at 24 h and increased until 72 h of incubation; toxicity was also

found for 24 h for 50 μM . After 96 h of incubation, no significant alterations in cell proliferation were found for K1735-M2 cells incubated with **1**, suggesting cell recovery for longer times. $\beta\text{-CD}\cdot[\text{Ru}(\text{[9]aneS}_3)(\text{pdon})\text{Cl}]\text{Cl}$ (**2**) was more potent in decreasing cell viability, which may indicate a potentiating effect of $\beta\text{-CD}$ on the antiproliferative activity of $[\text{Ru}(\text{[9]aneS}_3)(\text{pdon})\text{Cl}]\text{Cl}$. The strongest inhibitory effect was observed for the incubation time of 48 h, with concentrations of 10–50 μM decreasing cell viability by 25–40 %. For the shortest time point (24 h), only the concentrations of 50 μM and 100 μM had a significant effect, while for the 72 h time point, 50 μM of the adduct **2** decreased cell viability (by about 35 %). We note also that, similarly to the results obtained with the pure complex $[\text{Ru}(\text{[9]aneS}_3)(\text{pdon})\text{Cl}]\text{Cl}$ (**1**), no inhibition occurred at the longest incubation time, which is ascribed to the recovery of the cells for longer treatment times. Cell inhibition properties of $\text{TRIMEB}\cdot[\text{Ru}(\text{[9]aneS}_3)(\text{pdon})\text{Cl}]\text{Cl}$ (**3**) are very similar to those of **1**, which is interpreted as a result of the absence of a true inclusion

interactions in **3**. A consistent inhibition of cell proliferation at 100 μM concentration for all of the incubation times was observed. This included the 96 h time point, which was not observed for **1**. Also, the inhibitory effect was slightly stronger, which may be associated with the promoting effects of TRIMEB on drug solubility and cell membrane permeability (Ramos et al. 2012).

The H9c2 cell line is a model for muscle tissue, being also a valuable in vitro model to investigate drug-induced cardiac toxicity (Sardão et al. 2009; Branco et al. 2011, 2012). Incubation of the compounds **1–3** with cells from this cell line (Fig. 8) shows that both the complex **1** and its $\beta\text{-CD}$ adduct, **2**, did not present any toxicity, and only **3** decreased cell viability. Indeed, **3** presented growth inhibition for 100 μM for all time points and 50 μM for 72 h. The combination of such results suggests that these compounds are good candidates for anti-cancer therapy, especially **1** and **2**, which are relatively innocuous on the non-tumoural H9c2 cell line. **3** is also worthy of further studies since it is less toxic against the H9c2

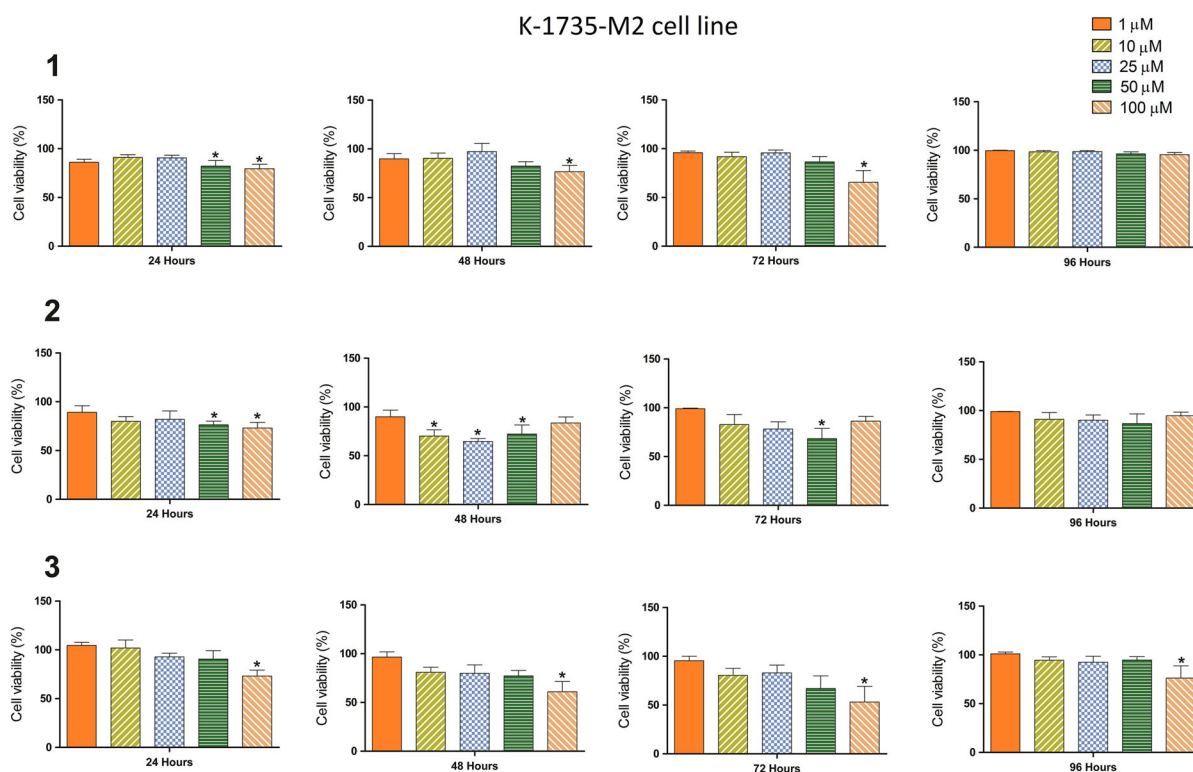


Fig. 7 Antiproliferative effect of the complex **1** and of the adducts **2** and **3** against K1735-M2 mouse melanoma cells for concentrations ranging from 1 to 100 μM and incubation times of 24, 48, 72 and 96 h. Cell number was analysed by using the

SRB colourimetric assay. Data represent mean \pm SEM of 4 different cell preparations. The asterisk represents statistically significant growth inhibition by Dunnett's *post-hoc* test after one-way ANOVA (**p*-value < 0.05)

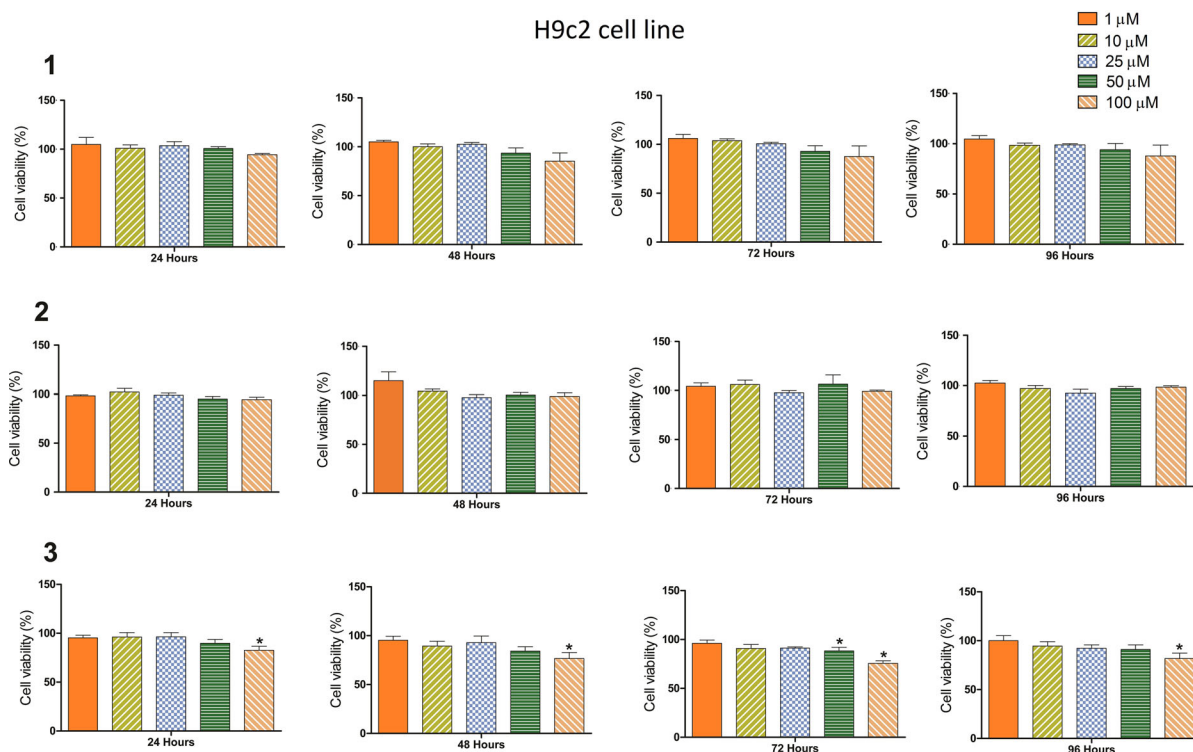


Fig. 8 Antiproliferative effect of the complex **1** and of the adducts **2** and **3** against rat H9c2 cardiomyoblast cells for concentrations ranging from 1 to 100 μM and incubation times of 24, 48, 72 and 96 h. Cell number was analysed by using the

SRB colourimetric assay. Data represent mean ± SEM of 4 different cell preparations. The asterisk represents statistically significant growth inhibition by Dunnett’s *post-hoc* test after one-way ANOVA (**p*-value < 0.05)

line than against the murine K1735-M2 melanoma cell line.

Cytotoxicity on human cancer cell lines

Following the interesting results gathered for the rodent cell lines, in particular concerning the apparent safety of the compounds **1–3**, their cytotoxicity against human breast cancer cell lines, one being estrogen-independent (MDA-MB-231) and another being estrogen-responsive (MCF-7), was investigated in the next step.

Figure 9 shows the results obtained on the estrogen-independent human breast cancer MDA-MB-231 cell line. The results contrast with those obtained with the murine K1735-M2 line, since only complex **1** and its TRIMEB product **3** showed cytotoxicity. Growth inhibition with **1** occurred only for 100 μM at the longest incubation time (96 h), while the murine K1735-M2 cell line was sensitive to **1** at the shorter incubation times and apparently recovering at 96 h.

The TRIMEB product **3** was more potent, inhibiting cell viability for 50 and 100 μM for 48 h besides the inhibition at 100 μM for 96 h of incubation. It is also noteworthy that the percentage of MDA-MB-231 cell growth inhibition after 96 h of incubation with 100 μM of complexes **1** and **3** is around 48–50 %, which allows to estimate an IC₅₀ of 100 μM against this particular cell line.

The antiproliferative effects of the compounds **1–3**, as well as the cytotoxicity of the pure hosts, β-CD and TRIMEB, were further assessed on the estrogen-dependent MCF-7 human breast cancer cell line (Fig. 10). Given that previous results showed a higher anti-proliferative activity for an incubation time of 48 h in the previously tested tumour cell lines, this time point was used for collecting further data. In addition, the tested compounds were incubated at higher concentrations (200, 300 and 500 μM), to allow the calculation of the corresponding IC₅₀ value for the MCF-7 line.

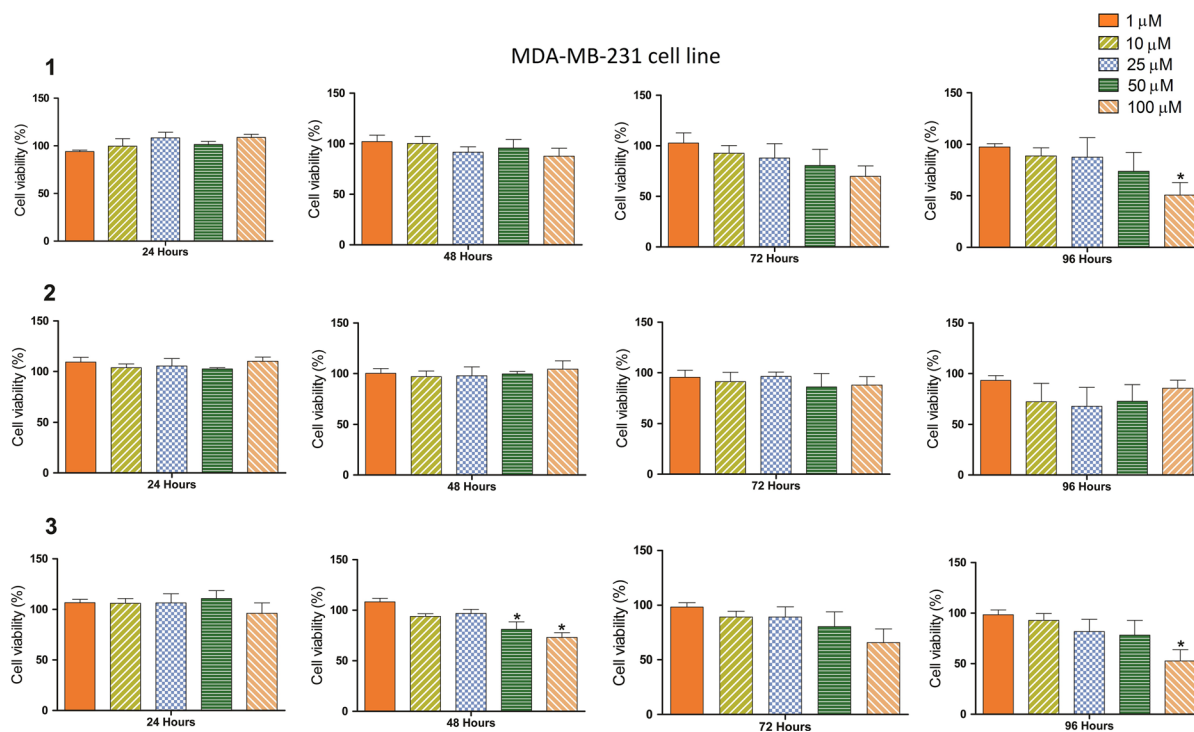


Fig. 9 Antiproliferative effect of the complex **1** and the adducts **2** and **3** against the human breast cancer MDA-MB-231 cell line for concentrations ranging from 1 to 100 μM and incubation times of 24, 48, 72 and 96 h. Cell number was analysed by using

the SRB colourimetric assay. Data represent mean \pm SEM of 4 different cell preparations. The asterisk represents statistically significant growth inhibition by Dunnet's *post-hoc* test after one-way ANOVA (* p -value < 0.05)

MCF-7 cells are affected by concentrations of 200 μM or higher of both **1** and **3**. Interestingly, and in parallel with the results observed for the MDA-MB-231 cell line, inclusion into β -CD affords a slight reduction of the activity, as the cell proliferation inhibition of **2** is only significant for dosages equal to or higher than 300 μM . This way, the IC_{50} values for **1** and **3** were calculated to be ca. 260 μM , while for the adduct **2** a value of 315 μM was obtained.

Conclusion

This report examines, for the first time, the applicability of two different carriers for the *in vitro* delivery of a model metal complex, $[\text{Ru}(\text{[9]aneS}_3)(\text{pdon})\text{Cl}]\text{Cl}$ (**1**), to tumour cells. Remarkably, interaction with the carrier was best achieved when using the molecular-sized carrier cyclodextrins, in particular the native β -CD, which formed a true inclusion compound, $\beta\text{-CD}\cdot[\text{Ru}(\text{[9]aneS}_3)(\text{pdon})\text{Cl}]\text{Cl}$ (**2**). In turn, the

CNTs, expected to carry a large number of guest molecules per CNT unit, were unsuitable for loading the complex **1** in host-to-guest ratios adequate for biological applications and instead required high carrier concentrations, deemed unsafe for chemotherapeutic use.

The results of solid-state analysis (TGA, powder XRD and $^{13}\text{C}\{^1\text{H}\}$ CP/MAS NMR) of the cyclodextrin-containing products confirmed true inclusion interaction in **2**. In this compound, β -CD molecules are presumably arranged in a zig-zag cage-like mode similar to $\beta\text{-CD}\cdot[\text{Ru}(\text{[9]aneS}_3)(\text{phen})\text{Cl}]\text{Cl}$ (Marques et al. 2009a) as derived from the a comparative analysis of the powder diffractogram with similar reference patterns. In **3**, no evidence of inclusion interactions was observed and the powder is presumed to comprise a fine dispersion of the complex **1** into an amorphous TRIMEB matrix.

The complex **1** has a moderate intercalative affinity for DNA, which may account for its cytotoxicity, as well as that of compounds **2** and **3**, against mouse

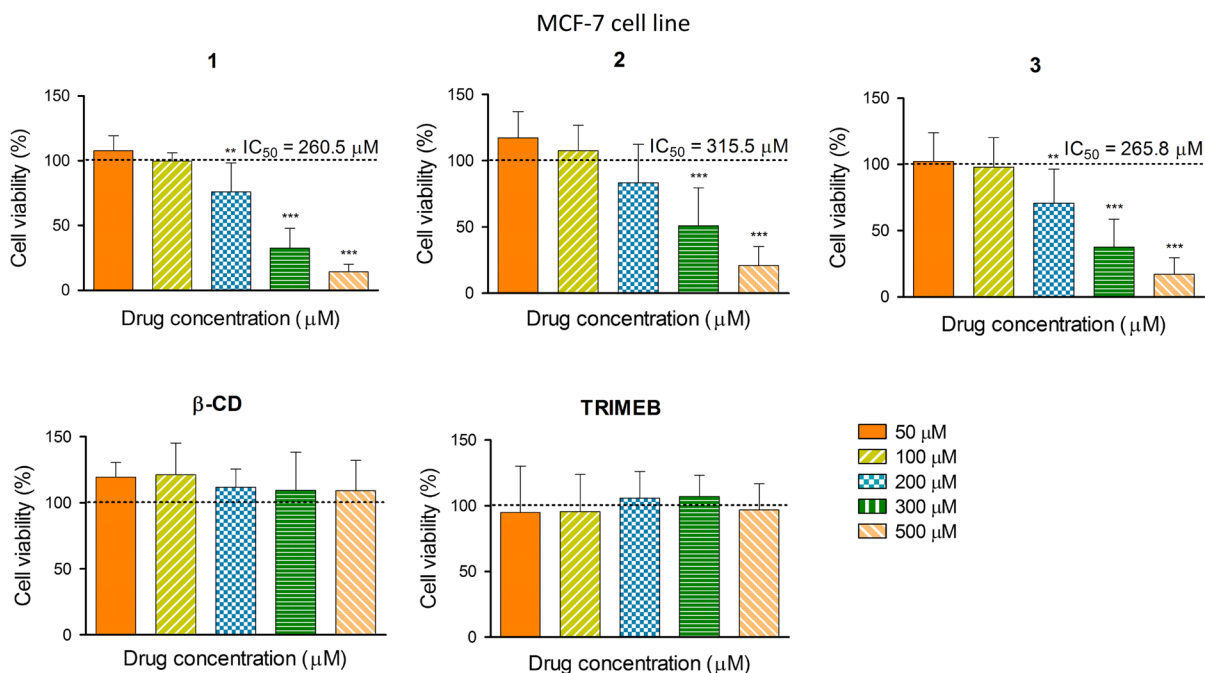


Fig. 10 Cytotoxic effect and IC_{50} values of the complex **1** and the adducts **2** and **3** against the human breast cancer MCF-7 cell line at 48 h of incubation and concentrations ranging from 50 to 500 μ M. Cells were also incubated with the pure hosts, β -CD and TRIMEB, to confirm their toxicological

safety. Cell viability was evaluated by using the MTT assay. Data represent mean \pm SD of 3 different cell preparations. The asterisks represent statistically significant growth inhibition by Dunnett's *post-hoc* test after one-way ANOVA (** for p -value < 0.01 and *** for p -value < 0.001)

melanoma cells (K1735-M2). The safety profile of compounds **1–3** is also noteworthy, since these presented little or no deleterious effects on the non-tumoural rat cardiomyoblast cell line. Interestingly, the K1735-M2 melanoma cell line is very susceptible to the compounds tested, since all of concentrations tested (except 1 μ M) were cytotoxic at the 48 h time-point.

The studies on human breast cancer cell lines, both estrogen-dependent (MCF-7) and independent (MDA-MB-231), evidenced a moderate growth inhibition effect for **1**, **2** and **3**, but not for the pure hosts, β -CD and TRIMEB (tested only on MCF-7 cells). It is also important to highlight that the IC_{50} **1** and **3** against MDA-MB-231 cells at 96 h of incubation could be estimated at 100 μ M, and that the association of $[Ru([9]aneS_3)(pdon)Cl]Cl$ with TRIMEB in the product **3**, although not being an inclusion interaction, is able to cause significant growth inhibition at a shorter incubation time (48 h) for the concentration of 100 μ M (which was not observed for the complex **1** at this time-point). On the MCF-7 line with an

incubation time of 48 h, the IC_{50} determined for **1** and **3** lay around 260 μ M.

Acknowledgments The supply of β -CD (Kleptose) by Roquette Laboratoires (Lestrem, France) is gracefully acknowledged. We are also grateful to Fundação para a Ciência e a Tecnologia (FCT, Portugal), European Union, QREN, European Fund for Regional Development (FEDER), through the programme COMPETE, for general funding to the QOPNA research unit (project PEst C-QUI/UI0062/2013; FCOMP-01-0124-FEDER-037296), to the Associated Laboratory CICECO (PEst C-CTM/LA0011/2013) and to the CNC (PEst-C/SAU/LA0001/2013-2014), and for specific funding towards the purchase of the single-crystal diffractometer. The FCT and the European Social Fund, through the Programa Operacional Potencial Humano (POPH), are acknowledged for a PhD grant to J. M. (SFRH/BD/44791/2008).

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