- 1 Compliance to step count and vegetable serve recommendations mediates weight
- 2 gain prevention in mid-age, premenopausal women: findings of the 40-Something RCT
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32 Highlights

The RCT evaluated a 12 month obesity prevention intervention in mid-age women
Compliance to 10 diet and exercise recommendations were measured at three months
Compliance scores were assessed in mediation models for 12 and 24 month weight loss
Compliance to the 10,000 steps/day guideline mediated 12 and 24 month weight loss
Compliance to the five vegetables serve/day guideline mediated 24 month weight loss

38

39 Abstract

40 The 40-Something RCT aimed to determine if a 12-month health professional-led 41 intervention could modify diet and physical activity behaviour for obesity prevention, in 44-50 year old, non-obese (BMI=18.5-29.9kg/m²) premenopausal women. Women were monitored 42 43 for an additional 12 months to determine if effects could be maintained. This paper aimed to 44 explore dietary and physical activity behavioural mediators hypothesized to be causally 45 associated with weight change. Fifty-four women were randomised to a Motivational Interviewing Intervention (MI) (n=28; five health professional consultations) or a Self-Directed 46 47 Intervention (n=26; written advice). Compliance to 10 study recommendations was measured 48 at three months by a four-day weighed food and physical activity record including pedometer-49 measured step counts, self-reported exercise minutes and sitting time. The 10 compliance 50 scores were independently assessed in mediation models for 12- and 24-month weight 51 change. The MI effect on step count was an increase of 0.99 points on the 10-point 52 compliance scale (p≤0.01). This MI effect on step count significantly mediated the 12 and 24 53 month effect on weight (12 months AB=-0.74, 95%CI=-1.95, -0.14; 24 months AB=-1.06, 54 95%CI=-2.56, -0.36), accounting for 37.23% and 53.79% of the effect, respectively. The MI 55 effect on vegetable serves was an increase of 1.50 points on the compliance scale (p=0.02). 56 The MI effect on vegetable compliance significantly mediated the effect on weight at 24 57 months (AB=-0.54, 95%CI=-1.50, -0.04), accounting for 24.92% of the effect. The remaining

- 58 eight dietary and physical activity compliance scores did not significantly mediate weight
- 59 loss. Encouraging women to take 10,000 steps and eat five vegetable serves per day may be
- 60 a promising strategy to achieve long-term weight control at mid-life.
- 61
- 62 Key words: nutrition, pedometer, mediator, obesity prevention, motivational interviewing

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63 Background

In the last few decades, there has been a strong interest in effective weight gain prevention strategies to combat rising obesity prevalence.[1-7] Not surprisingly, the worldwide weight gain trend correlates with decreasing levels of adherence to population diet and physical activity recommendations.[8, 9] Behavioural treatments that incorporate diet and physical activity lifestyle changes are recommended for weight control interventions.[10-12] Weight loss interventions have had small yet clinically important effects,[3-6] however, few interventions have been successful in facilitating weight loss beyond two years.[13]

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72 In addition to evaluating whether an intervention is effective, it has been recognized that 73 understanding how interventions achieve their results is important.[14] Understanding how 74 'successful' intervention participants achieve weight loss provides insight into effective weight 75 control treatment.[15] Mediation analysis is emerging as an important statistical tool in weight 76 loss research as it provides evidence on the mechanism of change in a behavioural 77 intervention.[16] This provides an opportunity for researchers to understand associations 78 between complying with diet, physical activity and sedentary behaviour recommendations 79 and achieving weight loss.[17] Determining which intervention recommendations are more 80 effective will enable the development and refinement of more targeted weight management programs[18, 19] and will enable researchers to modify intervention resources to support 81 82 recommendations that are associated with weight loss success.[15]

83

The '40-Something' Randomised Controlled Trial (RCT) aimed to determine whether a 12month health professional-led intervention, employing motivational interviewing as the counselling framework, could result in diet and physical activity behaviour change for weight control in non-obese, premenopausal mid-age women.[20] Weight gain prevention advice for all participants was based on 10 weight control recommendations. Seven recommendations

89 related to dietary intake for vegetable, fruit, meat, dairy, wholegrains and extras (non-90 discretionary food) serves as well as the number of meals eaten outside the home. Two 91 recommendations related to physical activity for minutes of moderate-to-vigorous physical 92 activity and step count, and one to sedentary behaviour (Figure 2). This paper aimed to 93 examine whether compliance to the 10 weight control recommendations of the 40-Something 94 study significantly mediated the long-term effect of the intervention on weight loss at 12 and 95 24 months. The 40-Something Study methods paper [20] and the 12-month weight outcomes 96 paper (which also presents the waist circumference, percentage body fat, percentage lean 97 muscle mass, blood cholesterol level, fasting glucose and blood pressure findings)[21] have 98 been published. This paper presents the findings from our mediation analysis to determine 99 how successful intervention women achieved weight gain prevention and provides evidence 100 of the mechanism of behaviour change.

101

102 Methods

103 The detailed methods of the 12-month parallel-group 40-Something RCT have been reported 104 elsewhere.[20] Briefly, non-obese pre-menopausal, healthy women aged 44-50 years were 105 stratified by BMI group (18.5-24.9kg/m² and 25-29.9 kg/m²) then randomised using a 106 computer generated allocation sequence to one of two study arms: i) Motivational 107 Interviewing Intervention (MI) or the ii) Self-Directed Intervention (SDI) (Figure 1). The study 108 received institutional review and approval by the Human Research Ethics Committee of the 109 University of Newcastle (H-2010-0030) and all participants provided signed consent before 110 participating in the study. The trial was registered with the Australian New Zealand Clinical 111 Trials Registry (ACTRN12611000064909). Both interventions were based on Social 112 Cognitive Theory (SCT)[22] and targeted the hypothesized behaviour change mediators of 113 self-efficacy, perceived barriers, self-management and social support.

114 Self-Directed Intervention (control)

Print materials, including individualized written advice tailored according to the participant's assessments, were mailed to SDI women. Women in this group received the materials in two mail-outs. Participants received a weight control booklet that focused on either weight maintenance or weight loss strategies, depending on their baseline BMI. These booklets were constructed according to evidence on factors increasing the risk of weight gain in mid-

age women and centred on the 10 weight control recommendations (Figure 2).

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120

122 Motivational Interviewing Intervention

123 The MI group received the same print materials as the SDI group during four 60 minute 124 consultations with an Accredited Practicing Dietitian (APD) and one with an Exercise 125 Physiologist. The Dietitian and Exercise Physiologist delivered their consultations according 126 to a documented protocol developed by researchers developed from the Best Practice 127 Guidelines for the Treatment of Overweight and Obesity in Adults[10], the Australian Guide 128 to Healthy Eating (AGHE) [23], 10,000 steps Rockhampton community initiative[24] and the 129 Australian Physical Activity Guidelines.[25] The motivational interviewing counselling style 130 was adopted as the behaviour change counselling strategy for the health professional 131 intervention as motivational interviewing has previously been shown to be effective in weight 132 loss studies.[1, 26] The consultations adhered to the principles of motivational interviewing 133 by supporting participant autonomy, encouraging collaboration and evoking intrinsic 134 motivation.[27]

135

136 Weight control guidance

137 Within each intervention condition (MI and SDI), women with a healthy weight (BMI

138 18.5kg/m²-24.9kg/m²) were encouraged to maintain weight to within 1kg of baseline. They

139 were encouraged to consume ≤8300kJ/day, the estimated requirement for women aged 51-

140 70 years, mean height of 1.6 meters and a Physical Activity Level (PAL) factor of 1.6.

141	Overweight participants (BMI 25.0kg.m ² -29.9kg/m ²) were encouraged to lose sufficient
142	weight to place them within the healthy weight range and then to maintain this for the study
143	duration. They were encouraged to consume approximately 6300kJ/d, 2000kJ less than the
144	estimated requirement for weight maintenance (8300kJ/d), resulting in a weight loss of
145	approximately 0.5kg per week.[10]
146	
147	The women also received guidance centred on the study's 10 weight control
148	recommendations (Figure 2). The 10 weight control recommendations included seven dietary
149	recommendations, two physical activity recommendations and one sitting time
150	recommendation. More detail on how the recommendations were developed and pilot tested
151	have been reported elsewhere.[20] Briefly, women were encouraged to consume food from
152	each food group (fruit, vegetables, meat, dairy, wholegrains, and 'extra' foods) according to
153	the AGHE recommendations[23] and compliance literature (Table 1).[18, 28-32] Women
154	were also encouraged to meet the physical activity recommendations of at least 150
155	minutes/week of moderate-vigorous intensity physical activity for healthy weight women (250
156	minutes/week of moderate-vigorous intensity physical activity for overweight women)[25], to
157	take 10,000 steps per day[24] and restrict sitting time to 3 hours/d or less.[29]
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162 Measures

163 Anthropometric measurements including height and weight were collected during the

164 intervention at baseline and 12 months. Women completing the intervention to 12 months

were invited to participate in the study for an additional 12 months to assess weight control

166 maintenance, with measures taken at 24-months post-baseline (Figure 1).

167

168 Dietary intake was measured at three months using a four-day weighed food record (WFR). 169 Participants were instructed on how to record their usual dietary intake by a Dietitian, for four 170 consecutive days, including three weekdays and one weekend day. Participants were asked 171 to record the weight of all food and beverages as well as any leftovers using electronic 172 kitchen scales accurate to $\pm 0.1g$ (Soehnle Siena Electronic Kitchen Scale; Soehnle, 173 Germany) and to keep detailed descriptions of recipes, foods, snacks and drinks (including 174 alcohol). Participants were also instructed to record cooking methods (e.g. deep frying, 175 grilling or boiling), the brand names of packaged foods, and whether food was prepared 176 inside or outside of the home.

177

Yamax SW200 pedometers (Yamax Corporation, Kumamoto City, Japan) were used to measure step counts, and are considered to be a valid and reliable measure of ambulatory physical activity.[33, 34] Participants were asked to maintain their usual exercise routine and record their daily step count, minutes of physical activity and minutes spent sitting for the same four consecutive days as their WFR in a written diary. Sedentary behaviour, including sitting time, was measured using a modified version of the sitting questionnaire.[35]

184

185 Data checking and analysis

Completed four-day WFRs and the physical activity records were checked for missing data
and plausibility by a Dietitian. The total serves per day for each food group (fruit, vegetables,

188 meat, dairy, wholegrains, and 'extra' foods) were calculated according to the AGHE[23] 189 serving sizes, rounding to the nearest 0.5 serve. The total number of meals eaten outside the 190 home was summed for each day. The number of minutes spent doing vigorous, moderate 191 and light physical activity each day was calculated according to the 2011 Compendium of 192 Physical Activities.[36] The step count and sitting time minutes per day were also calculated. 193 For each of the 10 recommendations, the measures (serves/minutes/steps per day) over the 194 four days were summed together and divided by four to calculate the daily average at each 195 data collection point.

196

197 Compliance score (CS)

Compliance with each of the 10 weight control recommendations was assessed based on
the AGHE[23], physical activity guidelines[24, 37] and compliance literature (Table 1)[18, 2832] and assessed using the 3-month diet and physical activity data. Scores between one and
ten (to 1 decimal place) were assigned for each of the 10 weight control recommendations,
with non-compliance indicated by a score of one and full compliance assigned a score of 10.

203

204 Statistical analysis

The mediation analysis was conducted in SPSS Statistics Version 21 (SPSS Inc, Chicago, Illinois, USA) to investigate whether the long-term (12-month and 24-month) weight loss outcomes were mediated by three-month compliance scores for each of the 10 weight control recommendations. To adjust for pre-treatment effects, baseline weight and baseline compliance scores were included as covariates in each model, with the exception of the variables 'meals eaten outside the home' (MEOH) and 'increasing wholegrain serves'. The compliance scoring system for MEOH at three months had already been calculated as a

Table 1. Protocol for evaluating compliance with each of the 10 weight control

213 recommendations.

Recommendation	Compliance score calculation	Evidence for calculation method
Eat 2 serves of fruit	For x≤0.2, y=1	AGHE recommends 2 serves/d of fruit[23, 31]
	For $0.2 < x \le 2$, $y = 5x$ For $x > 2$, $y = 10$	
Eat at least 5 serves of	For x≤0.5. v=1	AGHE recommends ≥5 serves/d of
vegetables	For 0.5 <x≤5, y="2x</td"><td>vegetables[23, 31]</td></x≤5,>	vegetables[23, 31]
	For x>5, y=10	
Eat 2-3 serves of dairy	For x≤0.3, y=1	AGHE recommends consuming ≥3 serves/d of
	For 0.33 <x≤3, (3.33x)<="" td="" y="10x/3"><td>dairy[23, 31]</td></x≤3,>	dairy[23, 31]
Choose wholegrain	For $x < 0.2$ y=1	Theoretical distribution based on calculation
varieties of bread and	For $0.2 < y = 1$	using the Maras et al method [28] Full
cereal	For $x \ge 50$, $y = 10$	compliance classified as \geq 50% of breads and
		cereals as wholegrain
Eat 1-1.5 serves of meat or	For 0.15>x>3, y=1	AGHE recommends 1-1.5 serves/d of meat and
meat alternatives	For x<1.5, y=(10/1.5)x	meat alternatives.[23, 31] Compliance based on
	For x≥1.5, y=(-6x + 19)	the maximum intakes from the data. Therefore
		sliding scale used with non-compliance classified
		as 0 serves of \geq 5 serves/0 of mean of mean alternatives
Eat 2 (1.5 for weight loss)	Weight maintenance	Based on the maximum intakes from the data.
serves or less of extra	For x≥5, y=1	Therefore non-compliance classified as ≥2.5x
foods	For x<5, y=(-9x + 50)/5	recommendation (eg. ≥3.75 serves extras/day for
	Weight Loss	weight loss) Optimum intake (full compliance)
	For x≥3.75, y=1	classified as 0 serves/d.[23, 31]
Cut down on the meals	For $x < 3.75$, $y = (37.5 - 9x)/3.75$	Full compliance election on a variation in total
cut down on the means	For $x > 50$, $y = (-9x + 950)/50$	MEOH from baseline to three month intakes
(MEOH)	For $x < 50$, $y = (-9x + 950)/50$	Optimum intake (full compliance) classified as
	101 x=00, y 10	≤50%.[32]
Engage in moderate to	Weight maintenance	Theoretically derived from the National Physical
vigorous physical activity	For x≤2.1, y=1	Activity Guidelines (based on minutes/day).[37]
for 150 (WL 250) minutes	For 2.1 <x<21, y="(10/21)x</td"><td></td></x<21,>	
per week	For x≥21, y=10	
	<u>Veignt loss</u> For $x \le 35$ $y=1$	
	For 3 5 <x<35 <math="">v=(10/35)x</x<35>	
	For x≥35, v=10	
Sit for less than 3 hours	For x≥900, y=1	Based on the recommendation of ≤3 hours sitting
each day (using average)	For 180 <x<900, +="" 720<="" 8820)="" td="" y="(-9x"><td>time/day.[29]</td></x<900,>	time/day.[29]
	For x≤180, y=10	T
Walk 10,000 steps/day	For x≤100, y=1	I heoretically derived from the recommended
	For $100 \times 10,000$, $y = (x + 1000)/1100$	≥10,000 steps/d.[18, 24, 30]
	rui x=10,000, y-10	

- change score during the compliance scoring system (Table 1) as it measured a change intotal from baseline to three months. Wholegrain serves were not calculated at baseline.
- 218

Intention-to-treat principles were applied, using the expectation maximisation imputation technique in SPSS for weight and compliance scores in the mediation analysis except for MEOH (as this was calculated as a change score) and wholegrain serves (not calculated at baseline). In sensitivity analysis the mediation analysis was repeated using last observation carried forward (LOCF) to account for missing data (supplementary file 1) as this method was outlined a priori in the study methods paper.[20]

225

226 Each of the 10 compliance scores hypothesized to mediate the effect of weight change were 227 independently assessed in single mediation models for 12-month and 24-month weight 228 change. The INDIRECT SPSS Macro[38] was used to i) calculate the regression coefficients 229 for the effect of the intervention on compliance score (inferential statistics provided for the 230 measure of between group change in compliance scores) (Pathway A) ii) examine the 231 association between changes in compliance and changes in weight, independent of group 232 assignment (Pathway B) and iii) estimate the total (Pathway C), direct (Pathway C') and 233 indirect (Pathway AB) intervention effects. To test the significance of the indirect effect, the 234 macro generates bias-corrected bootstrapped 95% confidence intervals, which may be 235 asymmetrical.[38] Significant mediation was established if the confidence intervals around 236 the indirect effect did not include zero. Using the bias-corrected bootstrap procedure to test 237 for mediation, the sample size provided sufficient power to detect medium-to-large mediation 238 effects in the current analysis.[39] This method of bootstrapping is recommended for studies 239 with small sample sizes.[40]

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241 Results

Fifty-four women met the inclusion criteria and were enrolled (Figure 1) with 28 randomised to MI and 26 to SDI group. The women had a mean (SD) age of 47.3 (1.8) years, a weight of 68.7 (7.9) kg, BMI of 25.1 (2.4) kg/m² and percent body fat of 35.8 (5.6) % (Table 2). Ninetyone percent, 74% and 56% of the participants were retained at 3-, 12- and 24 months respectively. Two women completed the 3-month weight measurement but not the 3-month diet and physical activity measurements, giving a retention rate of 87% for 3-month diet and physical activity outcomes.

249

250 Mediation analysis

251 As the mediation analyses were conducted separately for each compliance score at 12 and 252 24 months, each model contained different covariates (i.e. baseline weight and the baseline 253 score for the compliance area in the model). As such, the main effect of the MI intervention 254 on weight change was slightly different in each model. However, a significant main effect of 255 the MI intervention on weight change was observed at 12- and 24 months in all mediation 256 analyses (all p≤0.05). The effect of the 40-Something RCT on the potential compliance score 257 mediators (Table 3). At 12 months, significant group-by-time effects were observed for 258 several of the hypothesised compliance score mediators, favouring the intervention group 259 (Table 4; Path A). The associations between the changes in mediators and the changes in 260 weight are shown in Table 4. After controlling for baseline values and changes in the SDI 261 group, the MI intervention effect on step count compliance was an increase of 0.99 points on 262 the 10 point compliance scale (p≤0.01), which represents an increase of 990 steps/day. 263 There was a significant inverse association between the compliance score for steps taken 264 and weight change at 12 and 24 months (p=0.02 and p≤0.01, respectively) demonstrating 265 that increased compliance to the step count recommendation in the first three months was 266 associated with greater weight loss at 12 and 24 months, regardless of group allocation. The 267 MI intervention effect on step count compliance significantly mediated the effect on weight at 268 both 12 and 24 months (12 months

269 AB=-0.74, 95%CI=-1.95 to -0.14; 24 months AB=-1.06, 95%CI=-2.56 to -0.36). The 270 mediating effect of compliance to step count recommendations was found to account for 271 37.23% and 53.79% of the intervention effect on weight change at 12 and 24 months 272 respectively. The effect of the MI intervention on compliance to the vegetable serve 273 recommendation was an increase of 1.50 points on the compliance scale (p=0.02), which 274 represents an increase of 0.75 serves of vegetables/day. The MI intervention effect on 275 vegetable serve compliance significantly mediated the effect on weight at 24 months (AB=-276 0.54, 95%CI=-1.50 to -0.04), accounting for 24.92% of the intervention effect on weight 277 change.

278

279 No other dietary or physical activity compliance scores significantly mediated weight loss. 280 While there was a significant inverse association between dairy serves per day and weight 281 change at 12 months (p=0.01) indicating that women who consumed at least three serves of 282 dairy foods per day reduced their weight, compliance to the dairy serve recommendation did 283 not mediate weight change in the MI intervention. After controlling for baseline values and changes in the SDI, the MI intervention effect on fruit serve compliance was an increase in 284 285 1.65 points on the 10 point compliance scale (p=0.02), an increase of one third of a serve of 286 fruit. However this intervention effect on fruit compliance did not significantly mediate weight 287 loss at 12 or 24 months (12 months AB=0.02, 95% CI=-0.94 to 0.76; 24 months AB=-0.15, 95% CI =-1.37, 0.61). 288

Characteristics	Total (n=54) Mean (SD)	Motivational Interviewing Intervention (MI) (n=28) Mean (SD)	Self-directed Intervention (SDI) (n=26) Mean (SD)	p-value for difference between MI and SDI groups	Healthy weight (HW) (n=27) Mean (SD)	Overweight (OW) (n=27) Mean (SD)	p-value for difference between HW and OW groups
Age (years)	47.3 (1.8)	47.6 (1.9)	46.9 (1.6)	0.189	47.41 (1.74)	47.11 (1.89)	0.551
Weight (kg)	68.7 (7.9)	68.7 (8.9)	68.6 (6.7)	0.982	62.91 (5.30)	74.38 (5.49)	<0.001
Height (m)	1.65 (0.06)	1.66 (Ò.0Ć)	1.65 (0.05)	0.600	1.65 (0.06)	1.66 (0.6)	0.790
BMI (kg/m ²)	25.1 (2.4)	24.9 (2.5)	25.2 (2.4)	0.641	23.03 (1.46)	27.08 (1.16)	<0.001
Body fat (%) ¹	35.8 (5.6)	35.6 (5.8)	36.2 (5.4)	0.703	31.52 (4.37)	40.06 (2.49)	<0.001
Lean muscle (%) ²	27.4 (2.7)	27.5 (2.8)	27.2 (2.5)	0.649	29.02 (2.49)	25.70 (1.59)	<0.001
Waist circumference (cm)	83.1 (7.6)	83.3 (8.2)	83.0 (7.0)	0.905	77.65 (5.66)	88.62 (4.79)	<0.001
Fruit (serves/d) ³	1.30 (0.79)	1.40 (0.82)	1.20 (0.75)	0.438	1.42 (0.85)	1.18 (0.71)	0.288
Vegetable (serves/d) ³	2.72 (1.26)	3.05 (1.40)	2.40 (1.03)	0.083	2.99 (1.49)	2.45 (0.93)	0.126
Meat/meat alternatives (serves/d) ³	1.82 (0.71)	1.89 (0.75)	1.74 (0.67)	0.451	1.78 (0.65)	1.85 (0.78)	0.729
Dairy (serves/d) ³	1.70 (0.81)	1.82 (0.84)	1.57 (0.77)	0.257	1.79 (0.80)	1.60 (0.83)	0.417
Breads/cereals (serves/d) ³	2.25 (0.62)	2.28 (0.64)	2.22 (0.60)	0.742	2.31 (0.65)	2.18 (0.59)	0.441
'Extra' foods (serves/d) 3	3.12 (1.35)	3.28 (1.41)	2.95 (1.29)	0.396	3.00 (1.21)	3.23 (1.50)	0.540
Vig. mins PA (mins/4 days) ³	43.57 (82.31)	38.52 (96.97)	48.81 (65.24)	0.654	61.81 (102.95)	24.62 (48.33)	0.100
Mod. mins PA (mins/4 days) ³	57.81 (125.16)	63.11 (161.69)	52.31 (73.01)	0.757	82.96 (165.34)	31.69 (52.51)	0.137
Steps count (steps/d) ²	9384.66 (3442.74)	10221.15 (3888.61)	8548 (2757.24)	0.103	10111.57 (3335.04)	8657.75(3457.96)	0.416
Sitting time (mins/d) ³	422.72 (146.15)	410.65 (151.24)	435.25 (142.54)	0.545	406.53 (149.91)	439.53 (143.11)	0.129

289 Table 2: Baseline characteristics of the 40-Something Study participants

290 Note. SD = Standard Deviation; d = day; BMI = Body Mass Index; PA = physical activity; mins = minutes; vig = vigorous; mod = moderate.

¹N=53 (Total), n=27 (Motivational interviewing intervention), n=26 (Self-directed intervention); n=26 (Healthy weight), n=27 (Overweight)

292 ² N=52 (Total), n=26 (Motivational interviewing intervention), n=26 (Self-directed intervention); n=26 (Healthy weight), n=26 (Overweight)

²⁹³ ³ N=53 (Total), n=27 (Motivational interviewing intervention), n=26 (Self-directed intervention); n=27 (Healthy weight), n=26 (Overweight)

294 Table 3. Mean participant compliance scores for the 10 weight control recommendations at

Variables	Motivation Interviewir	ng intervention (n = 28)	Self-directed Intervention (n = 26)		
	Baseline	3 months	Baseline	3 months	
E		Mean (SD)	Mean (SD)	Mean (SD)	
Fruit	6.60 (3.05)	8.07 (2.09)	5.57 (2.86)	6.13 (2.94)	
Vegetable	5.96 (2.50)	6.28 (2.03)	4.77 (2.05)	4.75 (2.17)	
Dairy	5.88 (2.39)	5.68 (2.23)	5.27 (2.48)	5.33 (2.24)	
Meat	6.11 (2.57)	6.71 (1.88)	6.68 (2.78)	6.36 (2.15)	
Extras	3.82 (2.03)	5.64 (1.84)	4.10 (2.28)	5.18 (2.23)	
PA minutes	3.87 (3.13)	6.31 (3.78)	4.96 (3.76)	6.05 (3.71)	
Sitting time	7.10 (1.84)	6.90 (2.02)	6.81 (1.78)	6.60 (2.05)	
Steps	8.66 (1.69)	9.33 (1.14)	8.19 (1.76)	8.27 (1.43)	
Wholegrain	-	8.23 (3.00)	-	7.21 (3.10)	
MEOH	-	6.92 (4.03)	-	7.50 (3.70)	
Possible range of	1-10 for each compliand	ce score.			

Table 4. Effect of the intervention on potential mediators and the association between changes in mediators and changes in weight (using

Hypothesized mediators	Month	Direct effect of intervention of weight	of on	Intervention e potential med	ffect on iators	Association be potential medi	etween ators and	Mediated effect		
		C' (SE) ^a	р	A (SE) ^b	р	B (SE)°	р	AB (SE) ^d	95% Cle	AB/(C' + AB) ^f
Fruit ^g	12	-2.05 (0.86)	0.02	1.65 (0.64)	0.01	0.05 (0.18)	0.77	0.02 (0.42)	-0.94, 0.76	1.18%
	24	-1.81 (0.96)	0.07	()		-0.05 (0.20)	0.82	-0.15 (0.48)	-1.37, 0.61	7.60%
Vegetable ^g	12	-1.82 (0.89)	0.05	1.50 (0.60)	0.02	≤0.01(0.20)́	0.98	≤-0.01 (0.2́8)	-0.63, 0.58	0.49%
•	24	-1.62 (0.95)	0.09			-0.35 (0.21)	0.10	-0.54 (0.35)	-1.50, -0.04	24.92%
Dairy ^g	12	2.05 (0.77)	0.01	-0.03 (0.47)	0.95	-2.05 (0.77)	0.01	≤0.01 (0.16́)	-0.26, 0.44	0.21%
·	24	-1.96 (0.90)	0.03	()		0.03 (0.27)	0.93	≤-0.01 (0.12)	-0.33, 0.22	0.48%
Meat ^g	12	-1.69 (0.79)	0.04	0.37 (0.55)	0.51	0.13 (0.20)	0.53	0.08 (0.16)	-0.11, 0.59	5.05%
	24	-1.90 (0.90)	0.04	. ,		-0.03 (0.23)	0.89	0.03 (0.15)	-0.44, 0.18	1.85%
Extras ^g	12	-1.71 (0.75)	0.03	0.56 (0.52)	0.29	0.13 (0.24)	0.59	0.06 (0.19)	-0.17, 0.75	3.83%
	24	-2.00 (0.90)	0.03			0.13 (0.24)	0.59	0.09 (0.21)	-0.18, 0.80	4.83%
PA minutes h	12	-1.35 (0.80)	0.10	1.07 (0.74)	0.16	-0.23 (0.15)	0.13	-0.23 (0.29)	-1.36, 0.07	14.75%
	24	-1.55 (0.89)	0.09			-0.32 (0.17)	0.06	-0.33 (0.36)	-1.44, 0.07	17.63%
Sitting time ^g	12	-1.91 (0.77)	0.02	0.06 (0.31)	0.85	-0.45 (0.35)	0.21	<-0.01 (0.20)	-0.64, 0.30	0.65%
	24	-2.10 (0.86)	0.02			-0.01 (0.39)	0.97	<0.01 (0.16)	-0.37, 0.33	0.18%
Steps ^h	12	-1.25 (0.81)	0.13	0.99 (0.35)	<0.01	-0.75 (0.30)	0.02	-0.74 (0.44)	-1.95, -0.14	37.23%
	24	0.91 (0.88)	0.30	0	K	-1.10 (0.33)	<0.01	-1.06 (0.51)	-2.56, -0.36	53.79%
MEOH	12	-1.77 (0.80)	0.03	-0.58 (1.06)	0.58	0.05 (0.11)	0.63	-0.02 (0.13)	-0.44, 0.13	0.97%
	24	-2.03 (0.88)	0.02			-0.12 (0.12)	0.31	0.09 (0.21)	-0.15, 0.89	4.72%
Wholegrain ⁱ	12	-1.93 (0.81)	0.02	1.07 (0.84)	0.21	0.12 (0.13)	0.39	0.17 (0.28)	-0.11, 1.15	9.96%
-	24	-1.95 (0.90)	0.04			-0.01 (0.15)	0.92	0.07 (0.29)	-0.54, 0.46	3.90%
						· · · /				

imputation for missing data).

Table design adapted from Lubans et al[17]

^a C' = unstandardized regression coefficient of the intervention predicting change in weight with mediator in the model (SE – standard error)

^b A = unstandardized regression coefficient of the treatment condition predicting hypothesized mediators

° B = unstandardized regression coefficient of the hypothesized mediator predicting weight with treatment condition included in the model

^d 95% CI = 95% confidence interval; AB = product-of-coefficients estimate

^e Bootstrap bias corrected 95% confidence intervals of the mediated effect

^f Proportion of intervention effect that was mediated

^g n = 53

^h n = 52

ⁱ n = 47

MEOH = meals eaten outside of the home

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296 **Discussion**

297 This paper has revealed the potential dietary and physical activity behavioural mediators 298 causally related to weight change at 12- and 24 months in healthy weight and overweight 299 premenopausal women participating in the 40-Something RCT. The findings from the main 300 analysis using the imputation mediation model (Table 4) are primarily consistent with the 301 sensitivity analysis mediation model using LOCF (supplementary file 1). In both analyses, 302 increased compliance to the 10,000 step count recommendation by the MI intervention 303 mediated weight loss at the conclusion of the intervention phase (12 months), with 304 compliance to step count also found to mediate the effect of long-term weight loss following a 305 maintenance phase (24 months). Compliance to the vegetable serve recommendation was 306 not found to mediate weight loss in the sensitivity analysis using LOCF to account for missing 307 values. However, using a more robust approach to address the issue of missing data called 308 expectation maximisation, compliance to the vegetable serve recommendation mediated 309 longer term weight loss at 24 months. Expectation maximisation is an iterative approach to 310 imputation that uses all available information to model values for the missing data. No other 311 variables satisfied the criteria for mediation in either analysis.

312

313 The results are important given a rigorous mediation method was employed and the causal 314 mechanism was explored in a sub-group of the population who despite being at high risk of 315 weight gain (particularly abdominal obesity)[41], metabolic syndrome[42] and cardiovascular 316 disease[42], are relatively under-studied. Whilst the link between physical activity and 317 achieving and maintaining a healthy weight is well established [43-45], the findings from this 318 study highlight the importance of physical activity in weight gain prevention at this life-stage. 319 Despite finding that compliance to pedometer step recommendations mediated weight loss in 320 mid-age women, compliance to the recommendation of ≥150 minutes per week (healthy 321 weight women) or ≥250 minutes per week (overweight women) of moderate or vigorous 322 physical activity was not found to be a mediator. Current recommendations indicate that 150-

323 250 minutes per week of moderate intensity physical activity is needed for both weight gain 324 prevention and modest weight loss, however larger amounts in excess of 250 minutes per 325 week may be required for long term weight loss maintenance.[46] One study conducted in 326 the United States (US) found that 150 minutes of physical activity may be insufficient to 327 prevent weight gain, particularly in middle aged women.[47] Studies conducted in the US[18, 328 48] and Australia[18, 48] have found that sedentary mid-age women are more likely to 329 engage in physical activity when the recommendation is to walk 10,000 steps per day, rather 330 than to walk for 30 minutes per day, possibly because the pedometer may have also 331 motivated the women to increase their step count to meet their 10,000 step 332 recommendation.[18, 48] However, the 10,000 step weight control recommendation does not 333 address the issue of exercise intensity. [48] Women in the 40-Something study may have 334 either increased incidental physical activity or non-brisk structured forms of physical activity 335 which would increase step count but not moderate or vigorous physical activity minutes. 336 Pedometers may therefore be a relatively objective measure of increasing incidental physical 337 activity.

338

339 Despite recent interest in mediation analyses[16] and the benefits of investigating 340 behavioural mediators of weight loss, the focus has been on psychosocial mediators and 341 there are few studies of behavioural mediators with which to compare these results.[15, 49] 342 Lubans and colleagues[17] conducted a mediation analyses to determine the behavioural 343 mediators of weight loss in the Healthy Dads, Healthy Kids (HDHK) intervention for 344 overweight fathers and similarly found that steps/day was a significant weight loss mediator. 345 Despite assessing numerous dietary measures (such as portion size, fruit serves/day, 346 vegetable serves/day, percent energy from alcohol/day, percent energy from fat/day and total 347 energy (kcal)/day) none mediated weight loss.[17] Coughlin and colleagues[50] did find a 348 significant dietary mediation effect in their study of behaviour mediators of weight loss 349 maintenance in overweight and obese adults participating in either an individual contact

350 intervention, interactive technology intervention or a self-directed intervention. Increased fruit 351 and vegetable intake and more frequent self-weighing mediated the effect of the individual 352 contact intervention on weight loss maintenance in comparison to both the interactive 353 technology intervention and the self-directed intervention.[50] A higher level of physical 354 activity was also found to mediate the difference in weight loss maintenance between the 355 individual contact intervention and interactive technology intervention on weight loss 356 maintenance.[50] However the authors were unable to quantify the effect of the intervention 357 on behaviour change as the diet, physical activity and behaviour change mediators were 358 measured as a self-report binary categorical measure (yes/no answers).

359

360 Compliance to the vegetable serve recommendation mediated 24-month weight loss in the 40-Something RCT. At baseline MI and SDI women consumed 3.05 and 2.40 serves/day of 361 362 vegetables, respectively, well below AGHE[23] recommendation of five serves/day. 363 Vegetables are high in fibre and water and low in energy density thus increasing vegetable 364 intake can lead to higher satiety levels, reduced hunger and lower energy intakes.[51] Many 365 studies have found an association between higher vegetable intakes and weight loss. [52, 53] 366 The frequency and variety of fruit and vegetable intake has been found to inversely predict 367 six year weight gain in a sample of young women (n=4287, mean (SD) age = 27.6 ± 1.5) 368 from the Australian Longitudinal Study on Women's Health.[52] Norman et al[53] conducted 369 an RCT testing the effectiveness of a text message based weight loss intervention (2-5 diet 370 and physical activity, weight management text messages per day) in comparison to a usual 371 care group (print material) in overweight and obese adults. Collective fruit and vegetable 372 intake (measured through multiple 24 hour food recalls) and Eating Behaviour Inventory 373 mediated the effect of weight change (weight change=-3.17lb, p=0.014) at 4 months, 374 accounting for 82.6% of the total effect of the intervention on weight change (31% and 69% 375 respectively).[53] Despite evidence indicating the importance of consuming a high vegetable 376 intake for weight management, the 2011-12 Australian Health Survey found that 90% of mid-

377 age Australian women (45-54 years old) reported consuming inadequate serves of 378 vegetables[54] according to the Australian Guide to Healthy Eating recommendations of five 379 serves/day.[23] This finding is comparable to the 40-Something study, with 94% of women 380 reported consuming less than five serves/day at baseline More emphasis may need to be 381 placed on supporting mid-age women to consume higher vegetable intakes in future weight 382 control interventions.

383

384 There are many possible explanations for the relative lack of dietary mediators of weight loss 385 in the 40-Something RCT. Firstly, it is possible that adherence to each individual dietary 386 recommendation was responsible for some effect on weight, but these subtle contributions 387 could not be identified as the current study was only powered to detect medium-to-large 388 mediation effects. Another potential reason for lack of other dietary effects is possible 389 misreporting of dietary intake. Although WFRs aim to reduce recall bias, they rely on self-390 reported data and therefore the reliability and validity of WFRs may be influenced by 391 misreporting[55] or a social desirability bias,[56] unlike pedometer steps, which are an 392 objective measure.[57] Whilst there is evidence that WFRs are an accurate tool to measure 393 compliance amongst middle-aged women[58-60], and detailed instructions were provided by 394 a Dietitian to increase the internal validity, the method could have been further strengthened 395 by validation using biomarkers in urine or blood.

396

Alternatively, as dietary change for weight loss is quite complex, women may have complied with different combinations of the dietary recommendations to achieve weight loss, rather than each woman following the same standardized approach. Since weight loss occurs when energy expenditure exceeds energy intake[61] and the dietary compliance scores measures food group intake, it is possible that some women may have reduced their servings of meat and meat alternatives whilst others may have reduced extra foods servings to comply with recommendations. Both types of diet modifications may have resulted in weight loss but the

404 compliance instrument was not sensitive enough for the mediation analysis to detect dietary
405 changes. Dietary change is thus more complex than step count, which measures most forms
406 of modifiable physical activity, excluding times when the participant is in water or when
407 playing contact sport, in one compliance score.

408

409 This study has some limitations which need to be acknowledged. As previously mentioned 410 the WFR lacked biomarker validation. It was not possible to achieve participant blinding 411 which increases the risk of bias. Efforts were made to achieve researcher blinding, with all 412 but one researcher remaining blinded to participant's group allocation. This mediation 413 analysis was exploratory. As such, the study was only powered to detect medium-to-large 414 mediation effects and was unable to identify more nuanced effects. We were also unable to 415 perform multiple-mediator models to identify the unique contributions of each compliance 416 score to changes in weight. While a multiple mediator model would have allowed us to 417 investigate the unique contribution of each variable to weight change, we were unable to 418 perform this analyses given our sample size limitations. Despite this, simple, single-mediator 419 models are still recommended in the literature.[62] In addition, the bias-corrected 420 bootstrapped procedure we used in this study has been recommended as appropriate for 421 studies with small sample sizes [63] and aligns with recent mediation papers published in the 422 field.[17, 64-66] Although participant dropout would also have affected study power, the 423 intention-to-treat imputation approach would have minimised these effects, given that 424 estimates are provided for missing data and all participants are essentially retained in the 425 final analysis. Due to sample size constraints, we were unable to validate the compliance 426 score in mid-age women, however this will be addressed in future research. This study and 427 analysis has several strengths. The analysis investigated a comprehensive list of evidenced-428 based diet and physical activity variables hypothesized to be casually related to weight 429 change. The study design was of two years duration with a 12-month intervention period and 430 an additional 12 months of monitoring to determine weight control maintenance. The study

- 431 investigated the mediators of weight loss in an under-reported, at-risk sub group of the
- 432 population.
- 433

434 Conclusion

- 435 Encouraging mid age, pre-menopausal women to take at least 10,000 steps and consume
- 436 five serves of vegetables per day may be a promising strategy to facilitate successful
- 437 maintenance of weight loss up to 12 months following a health professional weight control
- 438 intervention based on motivational interviewing counselling principles.
- 439

440 **Conflict of interest**

- 441 The authors declare that they have no competing interests.
- 442

443 Authors' contributions

All authors made contributed to the interpretation of the results and the drafting and revision of this
manuscript. JLH, LTW, CEC and PJM were responsible for the design of the study. JLH completed
the literature review, data entry, calculated the compliance score and drafted the initial paper. LTW,
KTP and JLH developed the compliance scoring system. MDY conducted the statistical analysis.

448

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- 626 Figure 1. Flowchart outlining phase one (12-month intervention period) and phase two (12
- 627 months follow-up to assess effect maintenance) of the 40-Something study.
- Figure 2. The 10 weight control recommendations provided to both Motivational Interviewing
- 629 and Self-Directed Intervention participants.

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