

# Regionalizing Africa: Patterns of Precipitation Variability in Observations and Global Climate Models

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1 ABSTRACT

2 Many studies have documented dramatic climatic and environmental changes that have  
3 affected Africa over different timescales. These studies often raise questions regarding the spatial  
4 extent and regional connectivity of changes inferred from observations, proxies, and/or derived  
5 from climate models. Objective regionalization offers a tool for addressing these questions. To  
6 demonstrate this potential, we present applications of hierarchical climate regionalizations of  
7 Africa using observations and GCM historical simulations and future projections. First, we  
8 regionalize Africa based on interannual precipitation variability using CHIRPS data for the period  
9 1981-2014. A number of data processing techniques and clustering algorithms are tested to ensure  
10 a robust definition of climate regions. These regionalization results highlight the seasonal and even  
11 month-to-month specificity of regional climate associations across the continent, emphasizing the  
12 need to consider time of year as well as research question when defining a coherent region for  
13 climate analysis. CHIRPS regions are then compared to those of five GCMs for the historic period,  
14 with a focus on boreal summer. Results show that some GCMs capture the climatic coherence of  
15 the Sahel and associated teleconnections in a manner that is similar to observations, while other  
16 models break the Sahel into uncorrelated subregions or produce a Sahel-like region of variability  
17 that is spatially displaced from observations. Finally, we examine shifts in climate regions under  
18 projected 21<sup>st</sup> century climate change for different GCMs and emissions pathways. We find a  
19 projected change in the coherence of the Sahel, in which the western and eastern Sahel become  
20 distinct regions with different teleconnections. This pattern is most pronounced in high emissions  
21 scenarios.

## 22 **1. Introduction**

### 23 **1.1 Climate Regionalization**

24 Climate regionalization divides a region into homogeneous subregions based on one or  
25 more climatic variables. It is a very important step in climate studies because it helps in identifying  
26 the drivers of climate variability specific to each region (e.g., Dezfuli and Nicholson 2013;  
27 Nicholson and Dezfuli 2013). Applying conventional geographic boundaries for climate studies is  
28 often problematic because climate conditions and sensitivities can vary widely within a single  
29 study area (e.g., a country, a river basin). Standard climate classification systems (e.g., Köppen  
30 Climate Classification) also have limitations: (i) they represent mean climatic conditions rather  
31 than temporal variability (e.g., interannual), (ii) they are not informative for identifying drivers of  
32 variability, and (iii) as prescribed classification systems, rather than tools, they specify which  
33 variables and variable relationships are employed in the classification, rather than allowing the  
34 investigator to define characteristics of interest. Climate regionalization provides a useful  
35 alternative method for defining regions when we want to: (i) unravel drivers of climate variability  
36 specific to different regions and seasons (e.g., Badr et al. 2014a), and explore potential changes in  
37 the future, (ii) understand the spatial distribution of climate sensitivities, or (iii) employ a flexible  
38 system to understand how spatial patterns of variability differ for different climate variables (e.g.  
39 air temperatures as opposed to precipitation). These features make climate regionalization  
40 particularly valuable for applications that rely on identifying areas of common variability in a  
41 parameter of interest; for example, managing a climatically diverse hydrologic unit in the context  
42 of climate variability or change, filling in data gaps in the historic climate record, or optimizing  
43 seasonal forecast systems.

44 Climate regionalization applies an objective single- or multi-variate statistical technique  
45 such as clustering (e.g., Burn 1989; Dezfuli 2011; Gong and Richman 1995; Isik and Singh 2008;  
46 Ramachandra Rao and Srinivas 2006). Different clustering techniques have been applied in the  
47 literature (Djomou et al. 2015; Herrmann and Mohr 2011; Janicot 1992; Mahe et al. 2001;  
48 Nicholson 1986; Ogallo 1989), which are sensitive to conceptual approach, clustering algorithm,  
49 data processing, and validation criteria. It is difficult to compare the regionalization results of  
50 previous studies due to the lack of software tools that are technically designed for climate studies  
51 and meet the preprocessing and postprocessing requirements. This has motivated us to develop an  
52 open-source R package (Badr et al. 2014b) for Hierarchical Climate Regionalization (called,  
53 “HiClimR”). Badr et al. (2015) describes the methodology and technical details of HiClimR.

54 The criteria used to interpret and validate climate regionalization may vary depending on  
55 the study objectives. Here, our regionalization criteria are to find homogeneous regions that are  
56 geographically contiguous, provided that the minimum region size is reasonable with respect to  
57 the nature of the problem (i.e., it is consistent with general size constraints such as landscape  
58 structure, data coverage and density, and known climate phenomena), and that the total number of  
59 regions matches the inherent physical properties of interest (e.g., the number of regions for  
60 identifying large-scale driver variability is different from dividing a country or area into regions  
61 of coherent climate variability for national development). The optimum regionalization will  
62 always have to involve some subjective decisions (e.g., contiguity checks and geographical  
63 characteristics) since the problem is a combination of applications and statistics. However, the  
64 objective criteria aim to maximize intra-regional correlations (i.e., the average correlations  
65 between the region mean and all of its members) and minimize inter-regional correlations (i.e., the  
66 correlations between region means).

## 67 **1.2 Variability of African Precipitation**

68 Africa is a continent of climate contrasts. The general spatial pattern of variability in mean  
69 annual precipitation is widely familiar: a humid equatorial zone that includes the Congo forest,  
70 transitional savannah zones as the tropics grade into the subtropics, subtropical deserts in the north  
71 (Sahara) and southern (Kalahari) portions of the continent, and mid-latitude influences at northern  
72 and southern extremities. Temporal precipitation variability in portions of Africa can be large and  
73 is widely reported, in large part because of the social and economic impacts that hydroclimatic  
74 extremes have had on the Sahel, the Horn of Africa, and several other regions.

75 From a climate dynamics perspective, the spatial and temporal variability of precipitation  
76 present diverse challenges for process understanding, event prediction, and climate change  
77 projection, and the climate vulnerability of many communities across Africa makes the problem  
78 particularly urgent. In general, we understand variability to be a function of synoptic to mesoscale  
79 atmospheric phenomena, including migration of the Intertropical Convergence Zone (ITCZ)  
80 (Barry and Chorley 2009), the strength and location of atmospheric jets (e.g., the African Easterly  
81 Jet and the Tropical Equatorial Jet) (Flohn 1964), monsoon circulations in West and East Africa,  
82 and significant land-atmosphere interactions (Dickinson 1995), particularly in semi-arid zones.  
83 These phenomena, in turn, are influenced by the sea surface temperatures (SST) in neighboring  
84 oceans and by remote climate drivers that include the El Niño Southern Oscillation (ENSO), the  
85 South Asian Monsoon, the Indian Ocean Dipole, the Atlantic Multidecadal Oscillation (AMO)  
86 circulation, and many others. The relative influence of these dynamics and drivers varies in space  
87 and time, and even the sign of influence of important drivers like ENSO can flip from season to  
88 season or between subregions of a single country or river basin. This complexity can make it

89 difficult to characterize drivers of variability in a systematic way, or to track spatial changes in  
90 their influence over time.

91         The purpose of this study is to identify regions within Africa that are coherent with respect  
92 to interannual precipitation variability. This exercise offers an example of how regionalization can  
93 be applied to characterize and study patterns of climate variability. It also provides a set of  
94 regionalization results that can be applied to future studies of African climate. The regionalization  
95 is performed using monthly precipitation estimates from observations and outputs from global  
96 climate models (GCMs). Importantly, though perhaps not surprisingly, we find that regionalization  
97 of Africa is a seasonally and even monthly specific problem. For this reason we define regions for  
98 each season separately, where the season is defined as a combination of months for which regions  
99 are spatially stable. We also find that regions differ when using different data sources (e.g.,  
100 observations versus GCM). This paper presents the results of these seasonally and dataset-specific  
101 regionalizations and explores implications for understanding drivers of interannual precipitation  
102 variability and for projecting climate change using different GCMs.

## 103 **2. Data**

104         The Climate Hazards Group InfraRed Precipitation with Station data (CHIRPS) dataset  
105 version 2.0 (Funk et al. 2015) was used to represent the observed precipitation in the period 1981-  
106 2014. The data are distributed on a  $0.05^\circ$  grid and a primary pentad temporal resolution with the  
107 availability of aggregates (dekadal and monthly) or disaggregates (daily). In this study, we used  
108 CHIRPS data for Africa at the monthly temporal resolution. The data were resampled to  $0.25^\circ$   
109 resolution due to the computational and memory requirements for regionalization of the entire  
110 continent of Africa. In comparison with the observational datasets available, CHIRPS data

111 provides higher resolution, better station coverage over Africa, improved statistical approaches,  
112 and updated temporal coverage.

113 Extended Reconstructed Sea Surface Temperature (ERSST) v4 (Huang et al. 2015; Liu et  
114 al. 2015) monthly data ( $2^\circ \times 2^\circ$  grid resolution; 1854-present) were used to test the correlation  
115 patterns of each region's mean timeseries with global SST.

116 The outputs from five different GCMs (CCSM4, CNRM, GFDL, HadGEM2, and  
117 MIROC5) were used to demonstrate the effectiveness of regionalization in the evaluation of GCMs  
118 in terms of capturing the spatial patterns of precipitation variability and the evolution of regions in  
119 response to greenhouse gas concentration as represented by coherent regions of the four  
120 Representative Concentration Pathways (RCPs): RCPs, RCP2.6, RCP4.5, RCP6, and RCP8.5  
121 (Moss et al. 2010).

### 122 **3. Methods**

123 Climate regionalization was performed to divide Africa into smaller regions that are  
124 homogeneous with respect to interannual variability of precipitation for all months and 3-month  
125 running average seasons. The Hierarchical Climate Regionalization (HiClimR) R package (Badr  
126 et al. 2015) was used. The most appropriate clustering method was used for each case based on  
127 three main criteria: homogeneity, separability, and contiguity. The homogeneity criterion  
128 minimizes the within-region variability and is measured by the average correlation between the  
129 region mean and its members (intra-regional correlation). The separability criterion maximizes the  
130 differences between regions and is measured by the maximum correlation between the different  
131 means (inter-regional correlation). The contiguity criterion visually identifies the member of each  
132 region in geographical proximity not to have a region divided into distant subregions or members.  
133 The method that provides higher overall homogeneity, lower inter-regional correlations, and

134 contiguous regions is referred as “better,” even if the differences are small. In general, the different  
135 methods will likely generate similar regions with slightly different statistics besides the visual  
136 contiguity of the regions.

137 We choose the method with better statistics and better contiguity. Specifically, results from  
138 two clustering methods are presented: Ward’s method (Murtagh 1983; Ward Jr 1963), which  
139 minimizes the error sum of squares between all members within a region after merging, and  
140 Regional Linkage (Badr et al. 2015), which minimizes the inter-regional correlation between  
141 region means at each merging step. Ward’s method tends to generate well-proportioned regions  
142 with high homogeneity regardless the inter-regional correlations, while regional linkage  
143 emphasizes separation of systematically dissimilar regions. An added advantage of regional  
144 linkage is that separating systematically dissimilar records isolates noise in the dataset—i.e.,  
145 stations or very small clusters with completely different variability that cannot be merged into any  
146 of the regions and are not correlated with other stations or clusters. The noisy stations or clusters  
147 can be the result of bad data or may represent a phenomenon at a different scale (e.g., local effect).  
148 For regionalization at continental scale, both forms of noise are undesirable as they are not  
149 representative of the broad regions that are being defined. The isolation of that noise can also help  
150 in the quality control of the data.

151 Several preprocessing options in HiClimR have been utilized to find the “optimal” regions  
152 and to test the sensitivity of regionalization. Geographic masking was used to mask all stations  
153 outside the continent of Africa. Grid cells with near-zero precipitation variability and/or very low  
154 mean precipitation are masked out to avoid any negative impacts on the quality of regionalization.  
155 The final regions are generated from detrended and standardized data to account only for the  
156 interannual precipitation variability without any possible effects of the linear trend or precipitation



157 totals. The entire CHIRPS record available at the time of analysis (1981-2014) was used for all  
158 regionalizations.

159 For GCM regionalization we focus on boreal Summer (July-September, JAS). We present  
160 only one season in order to make the results digestible, and we choose JAS because of its  
161 importance as the primary rainy season in the Sahel. Results are presented for the Historical  
162 simulations of five GCMs (CCSM4, CNRM, GFDL, HadGEM2, and MIROC5; 1960-1990). A  
163 unified time period of 30 years (1960-1990) was used for all GCMs; this period is used as a  
164 baseline to compare the historical and future simulations of GCMs such as the reports of  
165 Intergovernmental Panel on Climate Change (IPCC). The “Historical” simulations include historic  
166 observations of greenhouse gases and other external forcings but are fully coupled to the ocean  
167 and are not initialized from observations; as such climatological patterns and long-term trends are  
168 expected to match historical patterns but specific year-to-year and even decade-to-decade  
169 variability does not align with the observed climate record.

170 The possible effects of greenhouse gas concentration on spatial patterns of interannual  
171 precipitation variability are examined by performing regionalization for the four Representative  
172 Concentration Pathways (RCPs: RCP2.6, RCP4.5, RCP6, and RCP8.5) simulated by CCSM4  
173 model for different 30-year periods within the 2006-2100 projection, and for the entire 2006-2100  
174 time period. Additionally, to compare between the regions generated from observations (1981-  
175 2014) and CCSM4 using the same time period, we combined the CCSM4 historical simulation  
176 (which runs through 2005) with the RCP 4.5 projection (2006-forward) to create a 1981-2014  
177 CCSM4 record. The RCP 4.5 emissions are reasonably consistent with observation for this period,  
178 and the impact of emissions trajectory on climate response on such a short time scale is small  
179 relative to internal variability. CHIRPS data were regridded to match the coarse model resolution.

## 180 **4. Results & Discussion**

### 181 **4.1 Data Preprocessing**

182 HiClimR implements several features to facilitate spatio-temporal analysis applications,  
183 including data filtering with geographic masking and/or mean/variance thresholds, data  
184 preprocessing via detrending and standardization. These were applied as described below.

#### 185 *4.1.1 Data Filtering*

186 Fig. 1 shows the effect of masking options on regionalization results for the interannual  
187 variability of precipitation over Africa in January using Ward's clustering method, and Fig. 2  
188 shows the associated clustering dendrograms for: no masking (Fig. 1A), geographic masking of  
189 Africa (Fig. 1B), and masking with filtering to remove all noncontiguous subregions, including  
190 Northern Africa (Fig. 1C). Filtering in this application included excluding the stations above 10N,  
191 in order to focus on sub-Saharan Africa, and removing small spatially discontinuous regions, such  
192 as Ethiopia in the result shown in Fig. 1C. This filtering is additional to the automatic filtering  
193 techniques in HiClimR that remove stations with near-zero variance and/or very low mean defined  
194 by a mean threshold (the mean threshold is typically selected as a very small fraction of the average  
195 monthly total precipitation where reasonable changes in its value do not affect the regionalization  
196 results; for CHIRPS data, a mean threshold of 12 mm/month was selected). The geographic  
197 masking improves the results because it excludes the artifacts introduced by Europe and small  
198 islands around Africa while filtering cleans up the regions and increases overall homogeneity.

199 Fig. 2 clarifies the regionalization quality in each case. The vertical axis represents the  
200 clustering height. The units of this axis depend on the clustering method, but the metric generally  
201 needs to be minimized to achieve maximum intra-regional homogeneity. For example, Region 4,  
202 which is mainly in Europe, has an artifact subregion in the border between Angola and Namibia

203 that disappears after geographic masking. For Ward’s clustering, the y-axis on the dendrogram is  
204 the sum of squared distances within all regions and is a measure of intra-regional variance. This is  
205 different from the regional-linkage method that minimizes the maximum inter-regional correlation  
206 between regions as a measure of region separation. To get the “optimal” number of regions for  
207 final regionalization, we need to minimize the inter-regional correlations (region separation) and  
208 maximize the intra-regional correlation (region homogeneity). Hence, we add a horizontal axis on  
209 the left of the dendrogram plots in Fig. 2 to show the maximum inter-regional correlation at each  
210 dendrogram cut that defines the number of regions. It is clear that the overall clustering height  
211 decreases when applying the geographic masking from Fig. 2A to Fig. 2B and further with filtering  
212 the data from Fig. 2B to Fig. 2C, which is consistent with the regionalization qualities in Fig. 1A-  
213 C.

214 We emphasize that our selection of geographic extent and masking procedure is specific to  
215 the objectives of this study—to provide a stable and informative regionalization of Africa at  
216 continental scale. Regionalization could just as easily be applied to a global domain, including  
217 land and ocean, in order to study global scale response to major modes of climate variability, for  
218 example. This would change the regionalization of Africa but might yield other insights on climate  
219 variability.

#### 220 *4.1.2 Detrending and Standardization*

221 Fig. 3 shows the effects of data detrending and standardization on regionalization results  
222 for the interannual variability of precipitation over Africa in January using Ward’s clustering  
223 method. Fig. 4 shows the associated clustering dendrograms. We found that standardization had  
224 no visible effect on regionalization results in this month (not shown; this may be different when  
225 using a different data set or at another temporal scale, when there is large spatial variability in

226 precipitation magnitudes), while detrending affects regions that have strong similarities or  
227 differences in linear trends. In this application, detrending tends to shift the borders between  
228 regions without fundamentally altering the character of the map (Fig. 3). But these shifts are  
229 systematic: for example, regions 3 and 4 share a positive linear trend that tends to increase the  
230 correlation between regions if detrending is not applied. These regions also show the highest inter-  
231 regional correlation in the analysis, so the maximum inter-regional correlation figures shown on  
232 the left side of Fig. 4 reflect higher, trend-influenced correlations between these regions in the raw  
233 data (0.58) and a lower correlation when data are detrended (0.48). Note that the region merging  
234 in Fig. 4 is based on Ward’s method, which minimizes the variance within regions.

## 235 **4.2 Dissimilarity Measures**

236 The dissimilarity measure—or the nature of the temporal dimension used to regionalize  
237 spatial data in HiClimR—is a crucial decision, and the choice depends on the specific application.  
238 For example, regions can be generated based on interannual, intraseasonal, or daily variability or  
239 on seasonal cycle. Fig. 5 shows 12 regions generated for precipitation over Africa using Ward’s  
240 clustering method based on the interannual variability (Annual Mean; left) and seasonality (Annual  
241 Cycle; right). It is clear that the differences in seasonality don’t always align with differences in  
242 interannual variability: regions based on seasonality tend to align zonally, following the seasonal  
243 migration of the intertropical convergence zone within tropical Africa, while those based on the  
244 annual mean show both zonal and meridional structure, reflecting differing influences of remote  
245 climate drivers.

## 246 **4.3 Monthly-Specific Regions**

247 Fig. 6 shows results of regionalization on interannual precipitation variability performed  
248 separately for each month of the year. This is an optimized version of regionalization that applies

249 masking, filtering, standardization and detrending, as described above. These options were  
250 selected for this application based on the sensitivity analysis described in Section 4.1. Ward's  
251 method provided the best regionalization results in winter months (December-March) and very  
252 similar regions in May. However, it was sensitive to region size, which sometimes results in  
253 dividing a large but highly homogeneous region into two or more regions. In contrast, the regional  
254 linkage method is able to identify big homogenous regions like the Sahel in summer and to filter  
255 out noisy data. In winter months/seasons, the regions have relatively similar size and Ward's  
256 method performs well: even though the method optimizes for intra-regional homogeneity rather  
257 than inter-regional separability, the correlation between regions was reasonably low, indicating the  
258 separation of regions was meaningful. In summer and most transitional months, however, regional  
259 linkage provides more climatically meaningful regions, while Ward's tends to split regions based  
260 on size, producing multiple regions that have high inter-regional correlation. In May, both methods  
261 generated very similar regions (not shown) and Ward's method was selected for the slightly better  
262 overall homogeneity. Note that the numbering of regions is arbitrary; the regionalization process  
263 simply distinguishes between regions based on dissimilarity measure, and the analyst must  
264 interpret association of regions across datasets or regionalization methods.

265         It is clear that the spatial patterns of precipitation variability over Africa (as represented by  
266 homogeneous regions in Fig. 6) are specific to calendar month. This can be used as a guideline for  
267 researchers studying climate processes, as one would not want to average across months with  
268 significantly different regionalization patterns in the area of interest, just as one would not want to  
269 average across two poorly correlated regions in any given season. For example, for a large-scale  
270 study these results suggest that January and February can be treated as a coherent season, with  
271 only small changes in region boundaries in central Africa. Moving back to December or forward

272 to March, however, regions in southern Africa begin to split and shift relative to January-February  
273 in ways that merit attention before attempting to generalize across DJF or JFM in those areas. This  
274 could have implications for forecasting.

275 Table 1 lists the average intra-regional correlations (between region mean and all members)  
276 for all regions and the maximum inter-regional between region means. The winter months  
277 (December-March) and May utilized Ward's method and their rows are highlighted in bold font.  
278 All other months, especially the summer months (July-September) and months of complicated  
279 variability in transition between seasons utilize the regional linkage method and its ability to isolate  
280 noisy areas for removal. In other applications, where optimal quality control of the data is desired,  
281 statistically isolated regions (or weather stations) could be treated in a statistical or dynamical  
282 analysis to understand reasons for isolation and fill their gaps. The values of intra-regional  
283 correlations in Table 1 are affected by the very high resolution and continental scale (using coarser  
284 data would increase the overall homogeneity of the regions since larger grid cells are smoothed  
285 averages of the included finer grid cells), which indicates that each of the regions can be divided  
286 into smaller regions for finer scale applications such as hydrological analysis over one country or  
287 a smaller region of interest. However, the current results target the association of sub-continental  
288 regions with large-scale drivers of variability (teleconnections). The maximum inter-regional  
289 correlation indicates the correlation between the *most similar* regions in the regionalizations. All  
290 other regions have smaller or negative correlations between means.

#### 291 **4.4 Historical vs Future (GCMs)**

292 Fig. 7 shows the regions of Africa based on interannual variability of summer (July-  
293 September, JAS) precipitation using observations from CHIRPS (OBS; 1981-2014) and different  
294 GCM historical simulations (CCM4, CNRM, GFDL, HadGEM2, and MIROC5; 1960-1990). A

295 unified time period of 30 years (1960-1990) was used for all GCMs. To avoid regionalization  
296 sensitivity based on clustering approach, all models are treated similarly regarding the number of  
297 regions (cut-off level of the dendrogram), data thresholds (variance and mean thresholds for data  
298 filtering), and preprocessing options (e.g., detrending and standardization). The regional linkage  
299 method is appropriate for summer precipitation when using CHIRPS observations, the outputs  
300 from the two models that exhibit similar spatial variability to observations (CCSM4 and  
301 MIROC5), and CNRM. In contrast, Ward's method was relatively better for GFDL and HadGEM2  
302 as it provides slightly better overall homogeneity and contiguity, and none of the clustering  
303 methods or preprocessing options had a significant impact on the dominant patterns. The observed  
304 spatial patterns show the Sahel as one homogeneous region with strong agreement between the  
305 regions generated from observations: the Sahel region is homogeneous (intra-regional correlation  
306 =  $\sim 0.65$ ), and independent from the other three regions. It is found that CCSM4 and MIROC5  
307 have good skill in capturing the coherence of the Sahel precipitation signal in summer (strong  
308 signal of unique variability over the big green region that represents the Sahel, which cannot be  
309 divided into smaller subregions or the mean timeseries of subregions will be strongly correlated),  
310 while the other models miss this coherence and divide the Sahel into smaller regions with  
311 dissimilar interannual variability. CNRM generates random spatial patterns, GFDL simulates  
312 precipitation in summer shifted in the northwestern direction and HadGEM2 divides the Sahel  
313 region into eastern and western subregions. The observational analysis was repeated using CRU  
314 in place of CHIRPS; results were similar and are not shown.

315         The robustness of Sahel region in Summer (JAS) was examined using regionalization of  
316 different observational data sources (CHIRPS, CRU, and GPCC) and a variety of homogeneity  
317 checks such as correlation patterns between the region mean and precipitation over Africa. All

318 data sources yield similar spatial patterns and identify the Sahel as a single coherent region.  
319 Increasing the number of clusters or changing the clustering algorithm divides Sahel into two or  
320 more “very similar” regions (i.e., the inter-regional correlations between the subregions are high  
321 meaning that we need to merge them into one region).

322 Fig. 8 shows the regionalizations of Africa based on interannual variability of JAS  
323 precipitation in 1960-1990 for six different ensemble members of CCSM4. All ensemble members  
324 identify the Sahel and East Africa as homogeneous regions, consistent with the observations. The  
325 known West African dipole mode is also detected in all cases. The differences primarily appear in  
326 West Equatorial Africa, which has a strong intrinsic heterogeneity with respect to interannual  
327 variability (Balas et al. 2007; Dezfuli and Nicholson 2013).

328 To compare between the regions generated from observations (1981-2014) and CCSM4  
329 using the same time period, we combine the CCSM4 historical simulation (which runs through  
330 2005) with the RCP 4.5 projection (2006-forward) to create a 1981-2014 CCSM4 record. The RCP  
331 4.5 emissions are reasonably consistent with observation for this period, and the impact of  
332 emissions trajectory on climate response on such a short time scale is small relative to internal  
333 variability. CHIRPS data were regridded to match the coarse model resolution. It is found the  
334 spatial patterns of interannual precipitation variability captured by CCSM4 in 1981-2014 (Fig. 9),  
335 especially over the Sahel, are very similar to the unified period (1960-1990) in Fig. 7. In the next  
336 sections, we focus on CCSM4 for the GCM and use the unified period to facilitate comparison  
337 with CHIRPS.

338 Fig. 10 shows the correlation patterns of the four regions generated based on the interannual  
339 variability of JAS precipitation using CHIRPS observations with global ERSST for the mean  
340 timeseries of the region and Fig. 11 shows the correlations patterns from CCSM4 with its own



341 SST (both 1981-2014). Similarities and differences between observed regions and CCSM4 regions  
342 are strongly related to SST teleconnections. Region 3 of CCSM4, which is the largest difference  
343 between the observational and GCM-based regionalization, shows a positive correlation with the  
344 SSTs over the ENSO region. However, such an association does not exist for any of the  
345 observation-based regions; it appears to be a false ENSO teleconnection that exists only in the  
346 model. For region 1, which corresponds to the Sahel in both model and simulations, the correlation  
347 with North Atlantic SST (i.e., Atlantic Multidecadal Oscillation or AMO) is markedly different  
348 and even slightly reversed for CCSM4 (Fig. 11) relative to observations (Fig. 10). In addition, the  
349 model shows significant Region 1 correlations with Gulf of Guinea SSTs that are not seen in  
350 observations. Together these differences suggest that Sahel sensitivity to Atlantic Ocean variability  
351 is substantially different in CCSM4 than it is in observation. Region 2 of CCSM4 in Fig. 11, along  
352 the Guinean coast, shows weaker correlation with SSTs of adjacent waters than the observations.  
353 These agreements or disagreements between the regions of the observed and simulated  
354 precipitation raise many interesting questions about the underlying mechanisms and skills of the  
355 model dynamics and need further investigation.

356         The regions do not respond to unique climate drivers, but they have different variability in  
357 response to a set of large-scale and local drivers. Regionalization helps in identifying regions with  
358 coherent variability that are different from each other's. The climate drivers of a specific region  
359 can then be identified, which are greatly improved over the commonly used geographic boxes that  
360 could mix inhomogeneous regions and create unrealistic variability and associated drivers (e.g.,  
361 the mean of an area defined by a box without performing regionalization to test its homogeneity  
362 may include different –or perhaps opposite– variability that can ruin the analysis).

## 363 **4.5 Climate Projections**

364 Fig. 12 shows the possible effect of greenhouse gas concentrations on spatial patterns of  
365 interannual precipitation variability as represented by coherent regions simulated by CCSM4  
366 model for the period 2006-2100 for each of the four Representative Concentration Pathways  
367 (RCPs: RCP2.6, RCP4.5, RCP6, and RCP8.5). In these simulations the Sahel falls into two  
368 regions: regions 1 and 2 in Fig. 12. As radiative forcing increases (RCP2.6 through RCP8.5),  
369 region 2 shrinks and region 1 grows: essentially, there is a shift from a coherent Sahel band that  
370 stretches almost across the continent (region 2 in RCP2.6) to two distinct eastern and western Sahel  
371 regions in higher emissions scenarios. The changes in Equatorial West Africa and East Africa  
372 (regions 3 and 4) are small. We note that these 2006-2100 regionalization results average across  
373 variability in regions that is observed in shorter periods of analysis. The evolution of regions for  
374 the four climate projections examined at three 30-years different simulation periods (2010-2040,  
375 2040-2070, and 2070-2100) suggest that the spatial patterns vary throughout the 21st century,  
376 perhaps as a response to changes in global SST-rainfall relationships, but that there is a gradual  
377 trend towards a split between the eastern and western Sahel (not shown).

378 Fig. 13 and Fig. 14, respectively, show the correlation patterns of CCSM4 JAS region 1  
379 (western Sahel) and region 2 (eastern Sahel) precipitation from all RCPs with the corresponding  
380 SST field for the entire simulation period (2006-2100). Teleconnections in low emissions scenarios  
381 (RCP2.6, RCP4.5) are, as expected, more similar to Sahel teleconnections in historical simulations.  
382 This is particularly evident for the eastern Sahel (region 2; Fig. 14), which approximately  
383 corresponds to the unified Sahel in historical simulations (Fig. 9). For all emissions scenarios,  
384 region 1 shows weaker correlation with global SST patterns than region 2 does, but the strength of  
385 these connections increases as the region expands under higher emissions. Region 2 shows strong

386 correlations with the ENSO region and with the Indian Ocean under all RCPs, though the  
387 relationship is strongest for low emissions.

388         Interestingly, the influence of tropical South Atlantic and Gulf of Guinea SSTs on both  
389 regions changes as a function of emissions, shifting from a positive correlation at low emissions  
390 to a neutral or negative correlation at high emissions. This suggests changing dynamical  
391 interactions between remote forcings like ENSO and the more local influence of SSTs in the  
392 neighboring Atlantic Ocean. It is also noteworthy that for region 2 there is an Atlantic Meridional  
393 Mode (AMM) type signal in the historical simulations and RCPs 2.6 and 4.5, but this signal  
394 disappears in RCP 8.5, where the cross-equatorial SST gradient is absent. This again points to  
395 changing relationships between the tropical Atlantic Ocean and Sahel precipitation. We do note  
396 that all results shown here are for a single GCM ensemble member, which is expected to impact  
397 results for shorter time scales and might also impact the details of these 2006-2100 results.

## 398 **5. Conclusions**

399         The different clustering methods available in HiClimR can be useful for different  
400 regionalization problems. Ward's method is sensitive to region size and tends to divide a large  
401 homogeneous region into multiple separate regions. In contrast, the regional linkage method can  
402 identify a big coherent region and filter out noisy data. For example, Ward's method was effective  
403 in winter months, when regions have relatively similar size, while regional linkage yielded cleanly  
404 separated and contiguous homogeneous regions in summer, when the interannual variability of  
405 Sahel precipitation is dominant. Regional linkage also provides more climatically relevant results  
406 in the transition seasons.

407         In the historical observational record, the Sahel expands from West to East and dominates  
408 the interannual variability of African precipitation in summer. This is confirmed with the spatial

409 correlation patterns of the region mean and precipitation over Africa using CHIRPS data. The  
410 overall homogeneity of the region is only moderately high, but it is spread across the region with  
411 highly significant correlation between any potentially identifiable subregions. This suggests that  
412 interannual variability is characterized by one dominant mode of variability for the entire region  
413 plus local modes of variability in the subregions. The winter months (December-March) are  
414 relatively stable in their regionalization, and with a few exceptions can be treated as a coherent  
415 season for climate analysis. As expected, the transitional months have complicated spatial  
416 variability.

417         We tested the potential of climate regionalization for model intercomparison and  
418 assessment. CCSM4 and MIROC5 showed good skill in capturing the precipitation signal over the  
419 Sahel in summer, while the other models miss the spatial patterns by dividing the Sahel into smaller  
420 regions with dissimilar interannual variability. CNRM generates random spatial patterns, GFDL  
421 simulates Sahel-like precipitation variability in summer shifted to the northwest and HadGEM2  
422 divides the Sahel region into eastern and western subregions. This does not mean that models like  
423 CNRM, GFDL, or HadGEM2 are not useful for projecting climate change in Africa. On the  
424 contrary, the regionalization analysis presented in this paper might allow us to interpret these  
425 simulations properly for studies of climate process and projections of climate change impacts. If  
426 GFDL systematically shifts the “Sahel” climate pattern to the northwest, then analysis of Sahel  
427 sensitivity in this model should be performed with a corresponding shift. This opens the possibility  
428 of a more meaningful, regionalization-based multi-model ensemble of climate projections for the  
429 Sahel—or for any region in which models differ in the spatial representation of variability. In the  
430 long term, these studies can also inform model development to correct spatial biases and  
431 displacements in the representation of climate variability.

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497

## Tables

498 Table 1 Intra-regional and maximum inter-regional correlations for each month..... 25

499 **Table 1** Intra-regional and maximum inter-regional correlations for each month.

MM	Intra-regional Correlations ( $R_{nn}^1$ )												$R_{mx}^2$
	$R_{01}$	$R_{02}$	$R_{03}$	$R_{04}$	$R_{05}$	$R_{06}$	$R_{07}$	$R_{08}$	$R_{09}$	$R_{10}$	$R_{11}$	$R_{12}$	
<b>01</b>	<b>0.71</b>	<b>0.49</b>	<b>0.66</b>	<b>0.61</b>	<b>0.61</b>								<b>0.48</b>
<b>02</b>	<b>0.72</b>	<b>0.51</b>	<b>0.68</b>	<b>0.64</b>	<b>0.53</b>								<b>0.43</b>
<b>03</b>	<b>0.59</b>	<b>0.49</b>	<b>0.74</b>	<b>0.60</b>	<b>0.63</b>	<b>0.62</b>							<b>0.42</b>
04	0.57	0.72	0.66	0.67	0.73	0.87	0.70	0.74	0.57	0.72	0.67	0.73	0.49
<b>05</b>	<b>0.54</b>	<b>0.67</b>	<b>0.46</b>	<b>0.64</b>	<b>0.63</b>	<b>0.57</b>	<b>0.69</b>						<b>0.49</b>
06	0.54	0.46	0.50	0.57									0.35
07	0.60	0.58	0.54	0.66									0.39
08	0.58	0.61	0.62	0.60	0.59								0.42
09	0.52	0.56	0.54	0.59	0.61								0.36
10	0.69	0.67	0.64	0.68	0.56	0.82	0.72	0.64	0.73	0.70	0.80		0.51
11	0.54	0.68	0.70	0.60	0.63	0.67	0.68	0.70					0.44
<b>12</b>	<b>0.58</b>	<b>0.47</b>	<b>0.73</b>	<b>0.57</b>	<b>0.67</b>	<b>0.68</b>							<b>0.33</b>

500

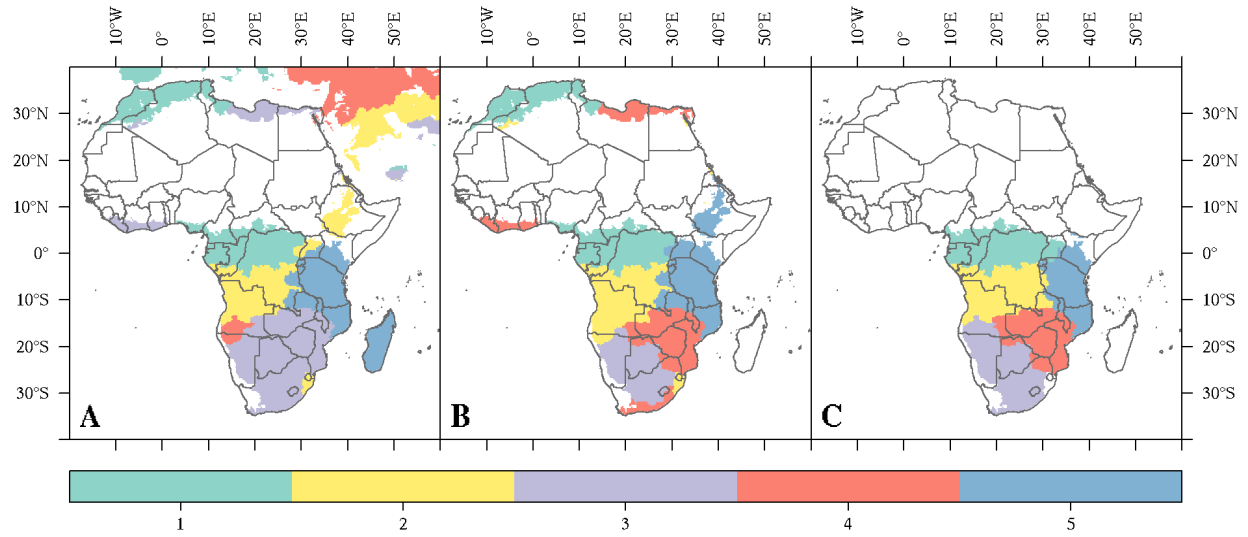
<sup>1</sup>  $R_{nn}$  is the average intra-regional correlation for region number nn, between the region mean and all members within the region.

<sup>2</sup>  $R_{mx}$  is the maximum inter-regional correlation between region means.

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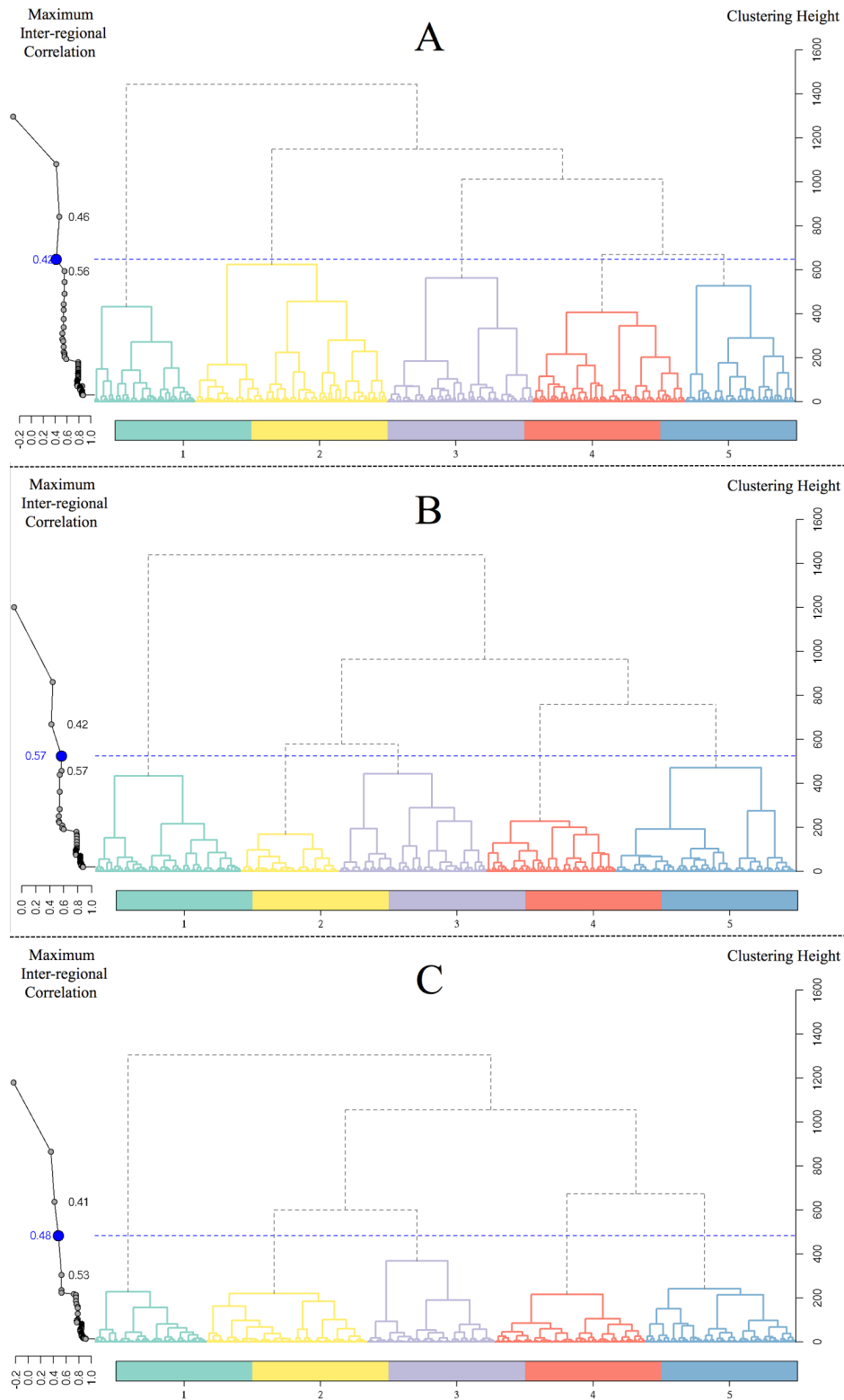
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534

535 **Fig. 1** The effect of geographic masking and data filtering on the quality of regionalization: A)

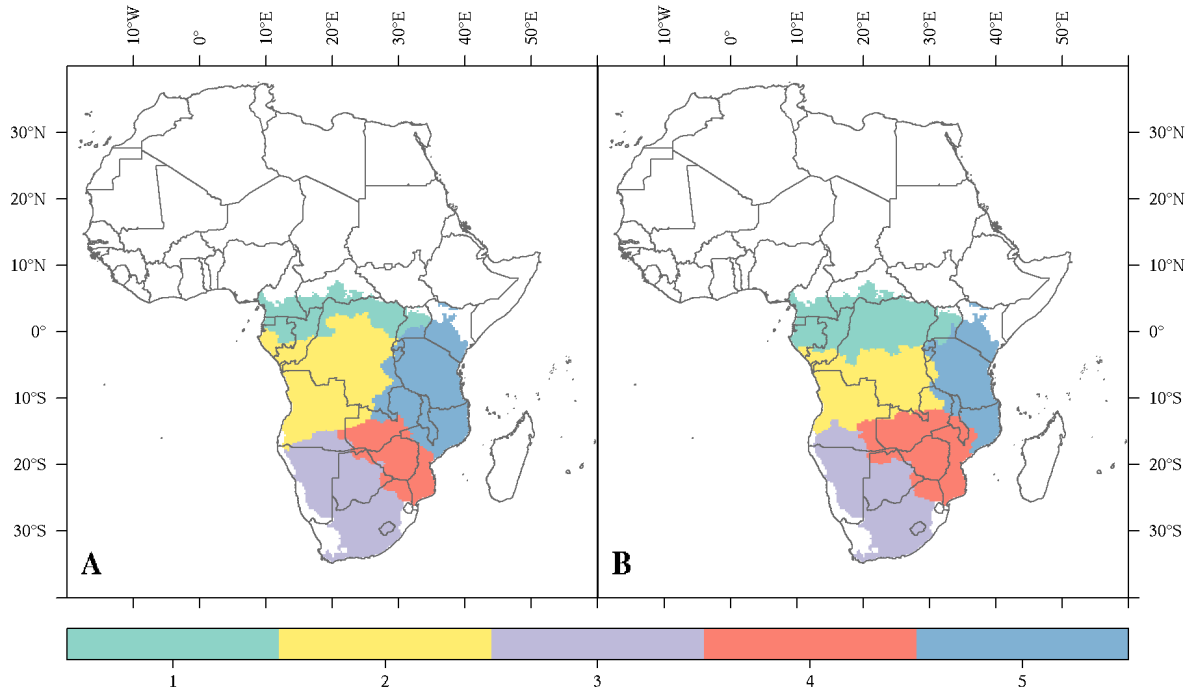
536 no masking, B) geographic masking of Africa, and C) geographic masking and data filtering.



537

538 **Fig. 2** The effect of geographic masking and data filtering on the clustering dendrogram: A) no

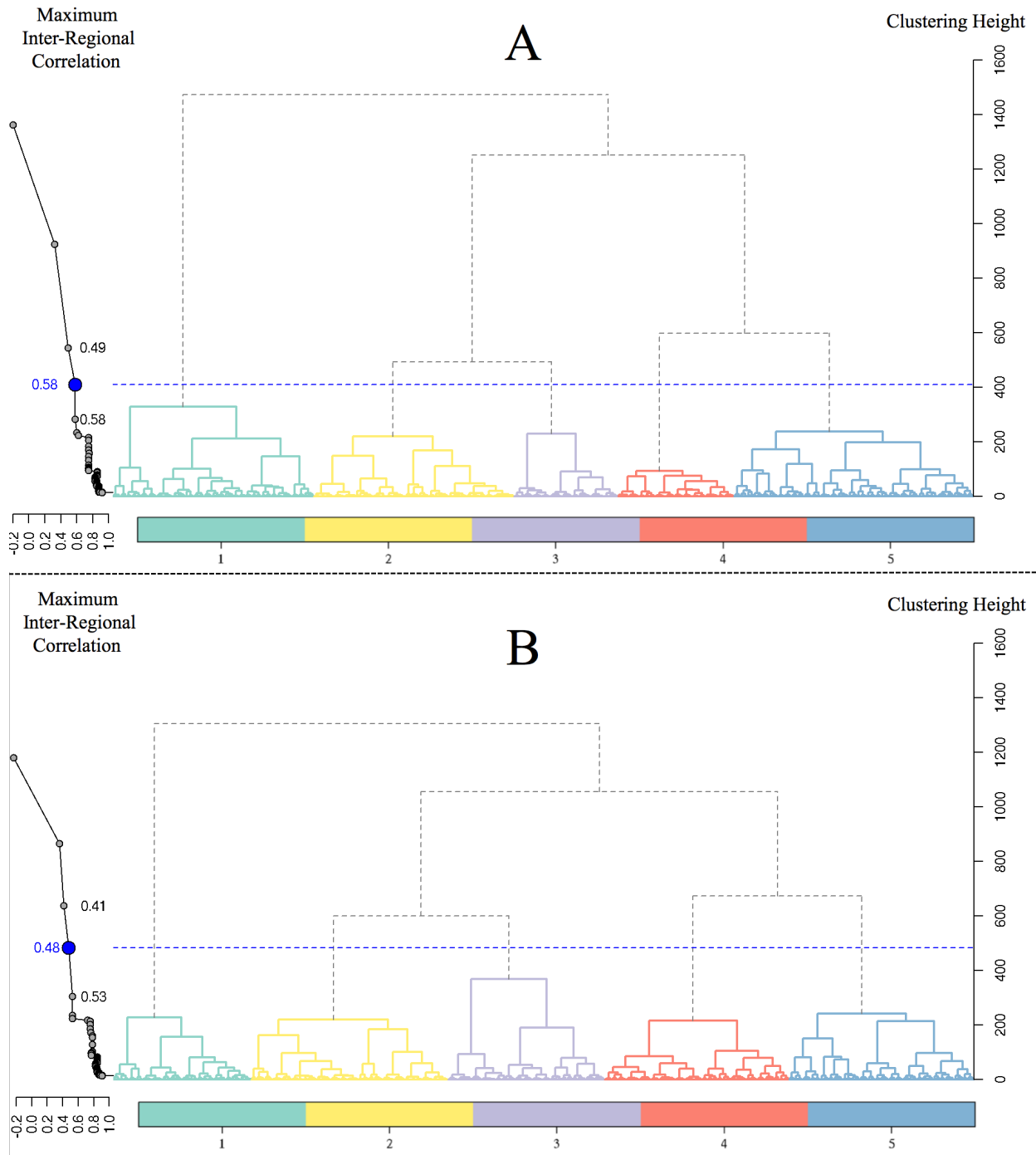
539 masking, B) geographic masking of Africa, and C) geographic masking and data filtering.



540

541 **Fig. 3** The effect of detrending and standardization on regionalization quality: A) raw data and

542 B) detrended and standardized data.

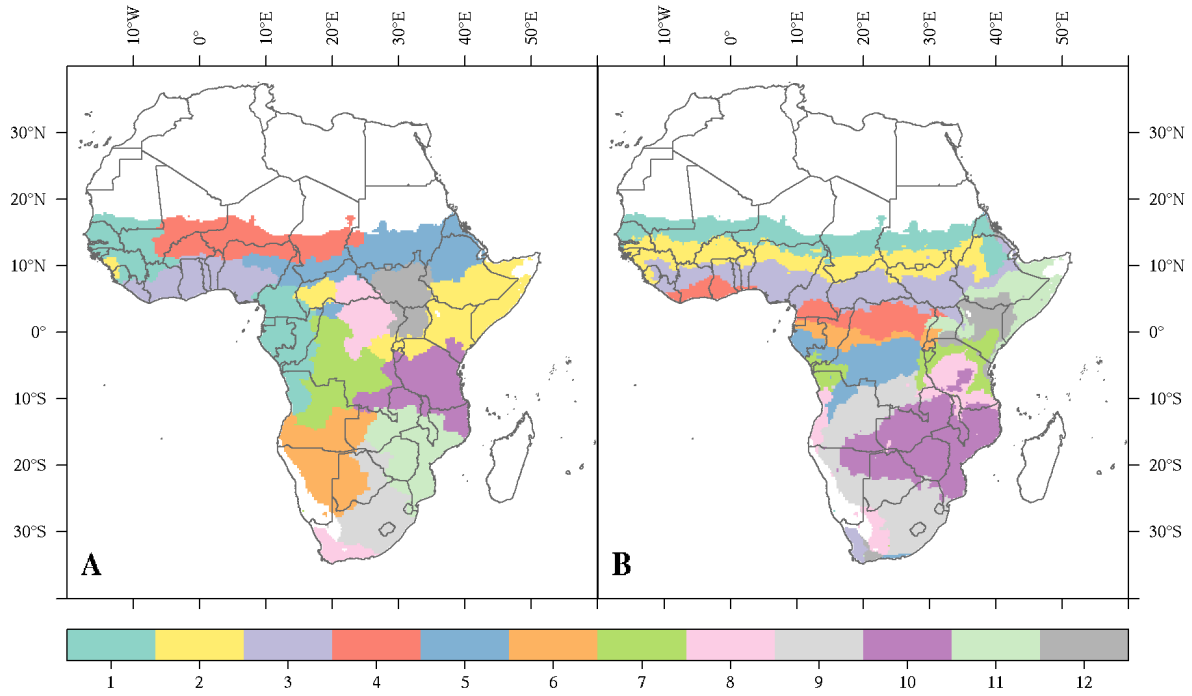


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545 and B) detrended and standardized data.

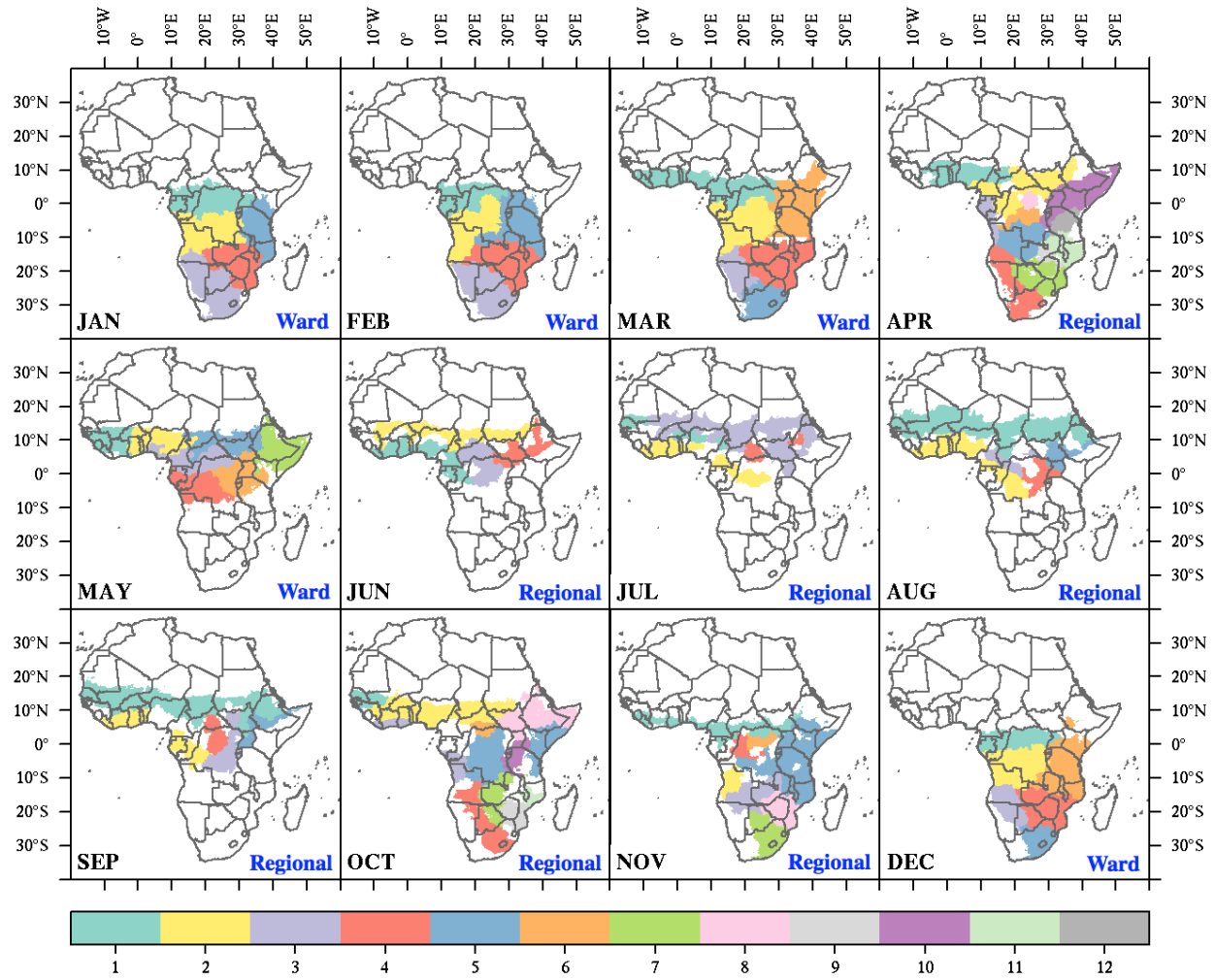




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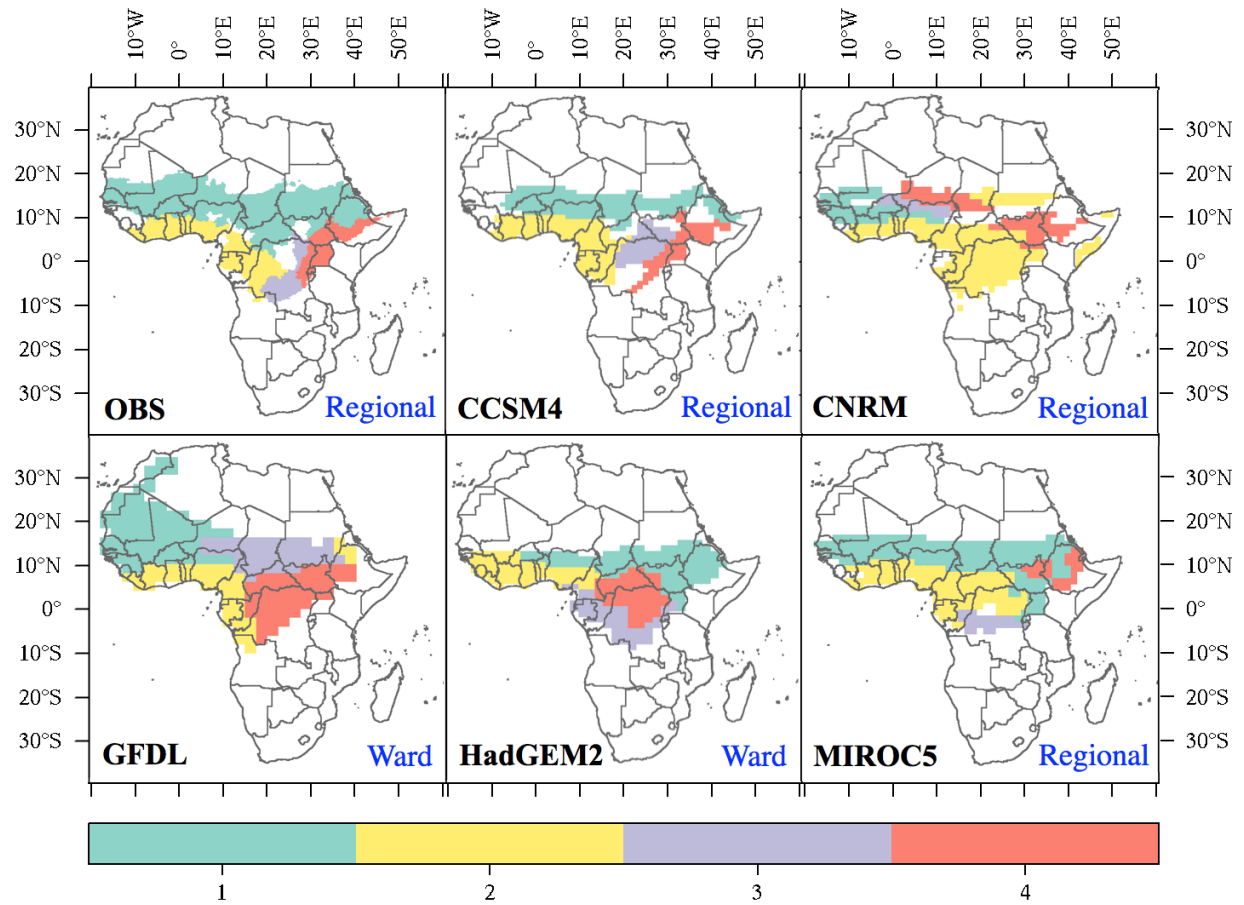
547 **Fig. 5** Regionalization based on: A) interannual variability of annual totals of precipitation and

548 B) annual cycle of precipitation over Africa using CHIRPS data v2.0 (1981-2014).



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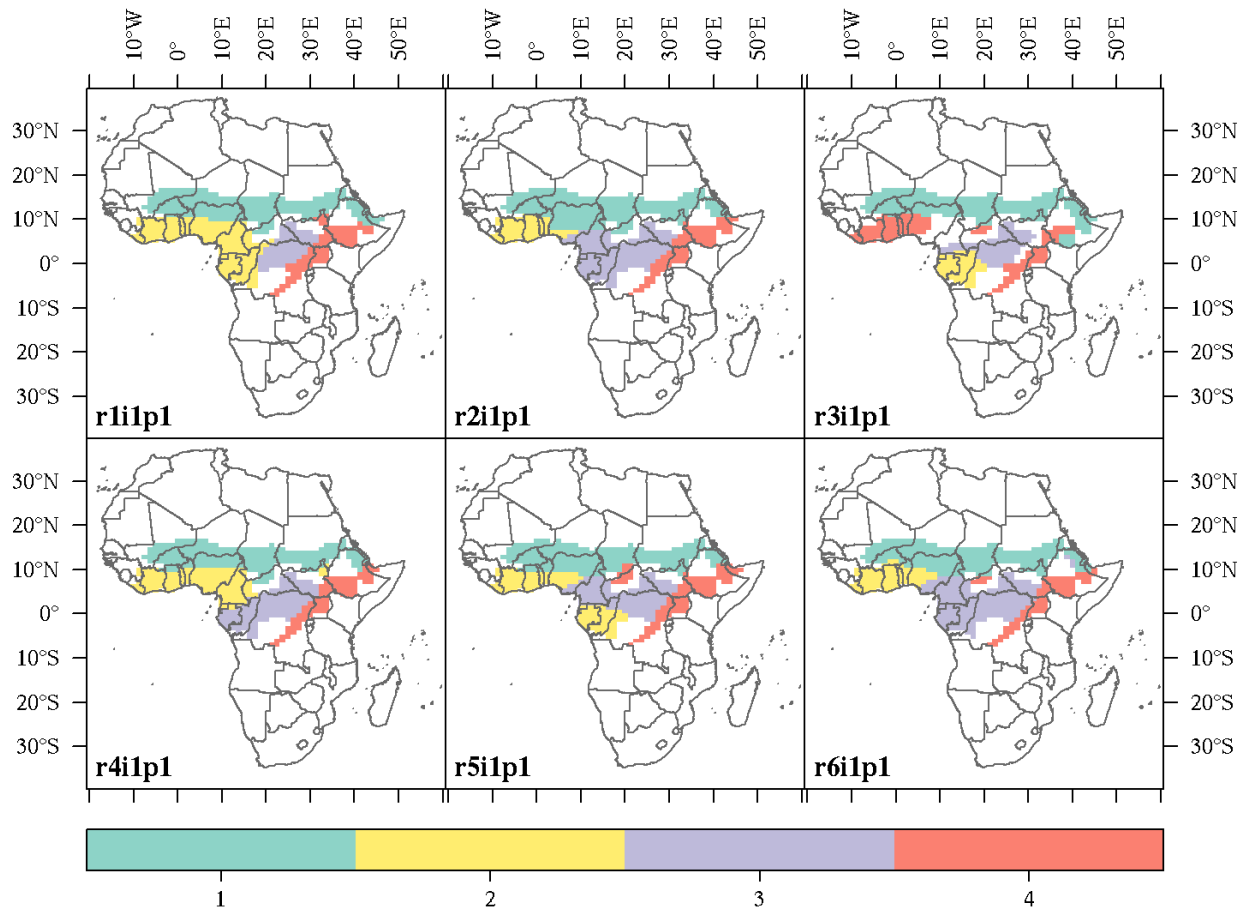
550 **Fig. 6** Regionalization of Africa based on interannual variability of monthly precipitation.



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552 **Fig. 7** Regionalization of Africa based on interannual variability of Summer (JAS) precipitation

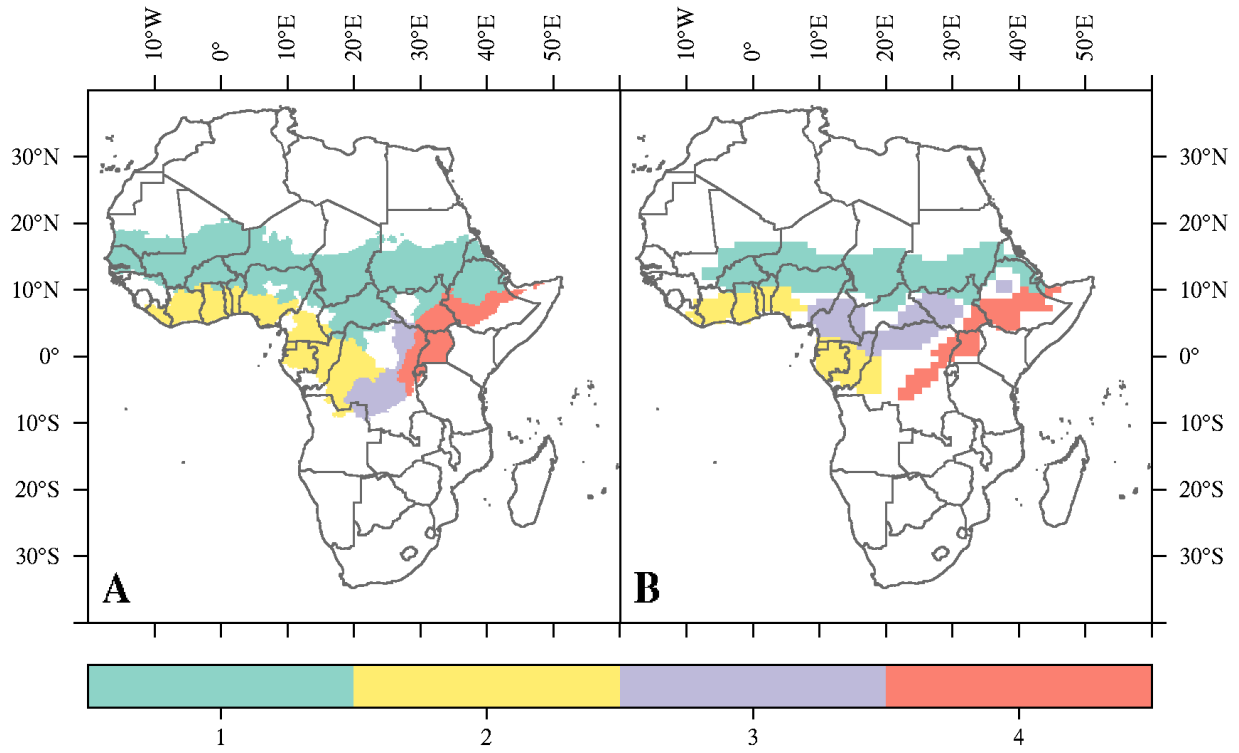
553 using CHIRPS observations (1981-2014) and different GCMs (1960-1990).



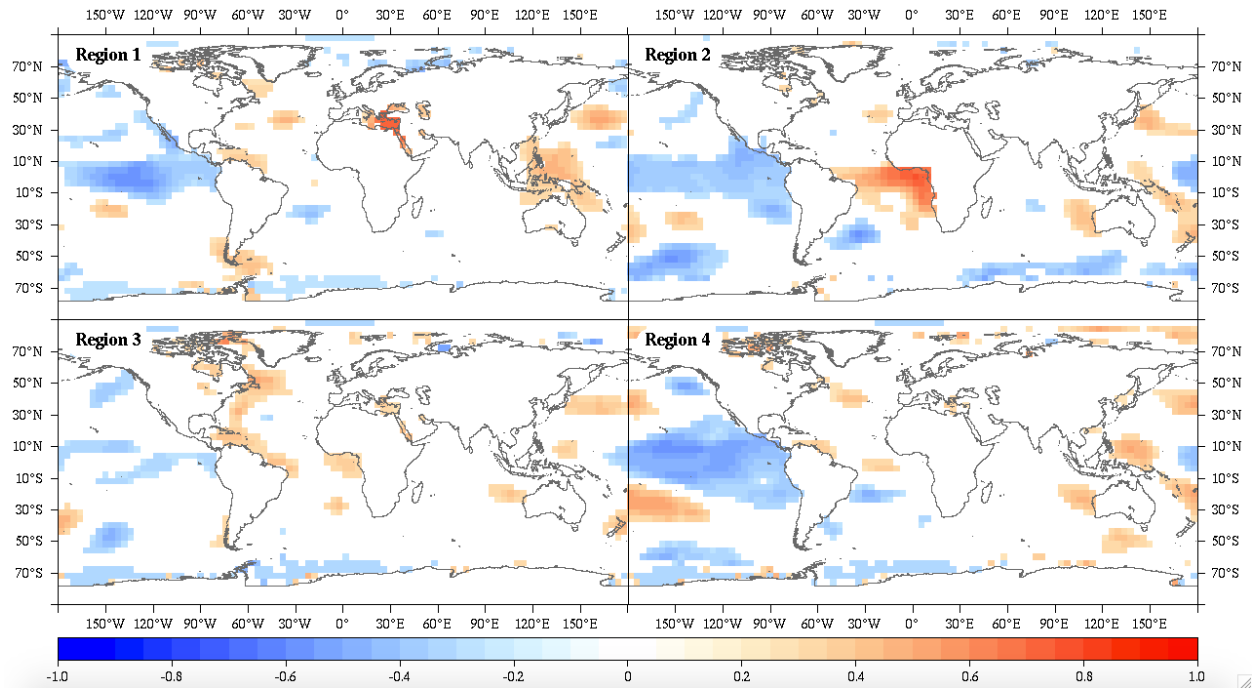
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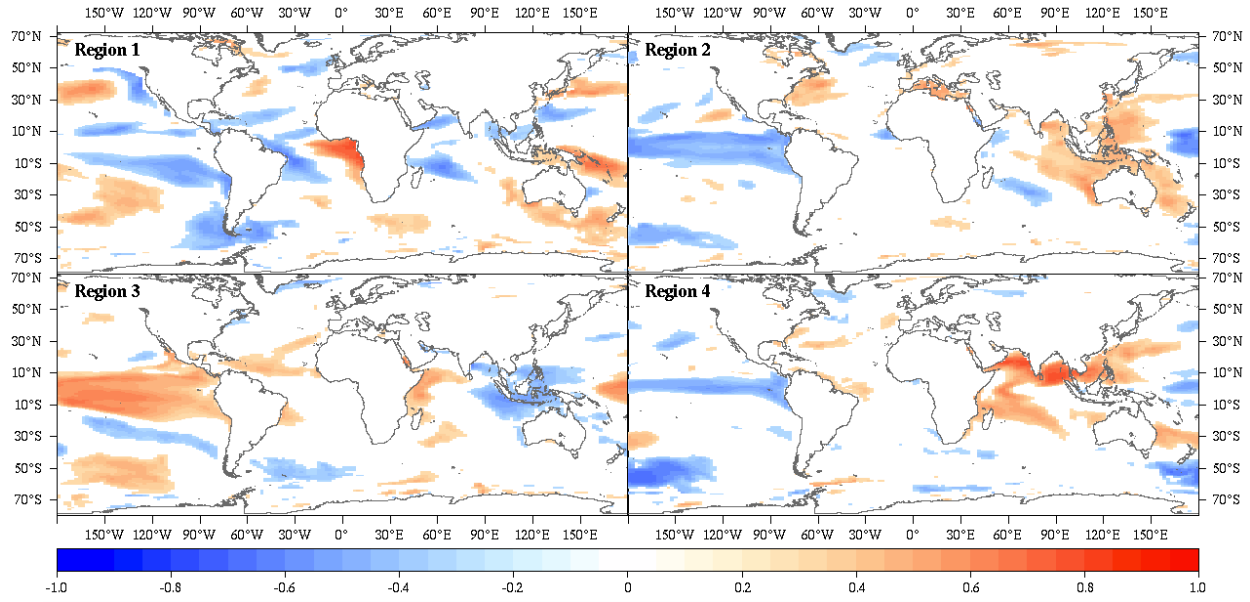
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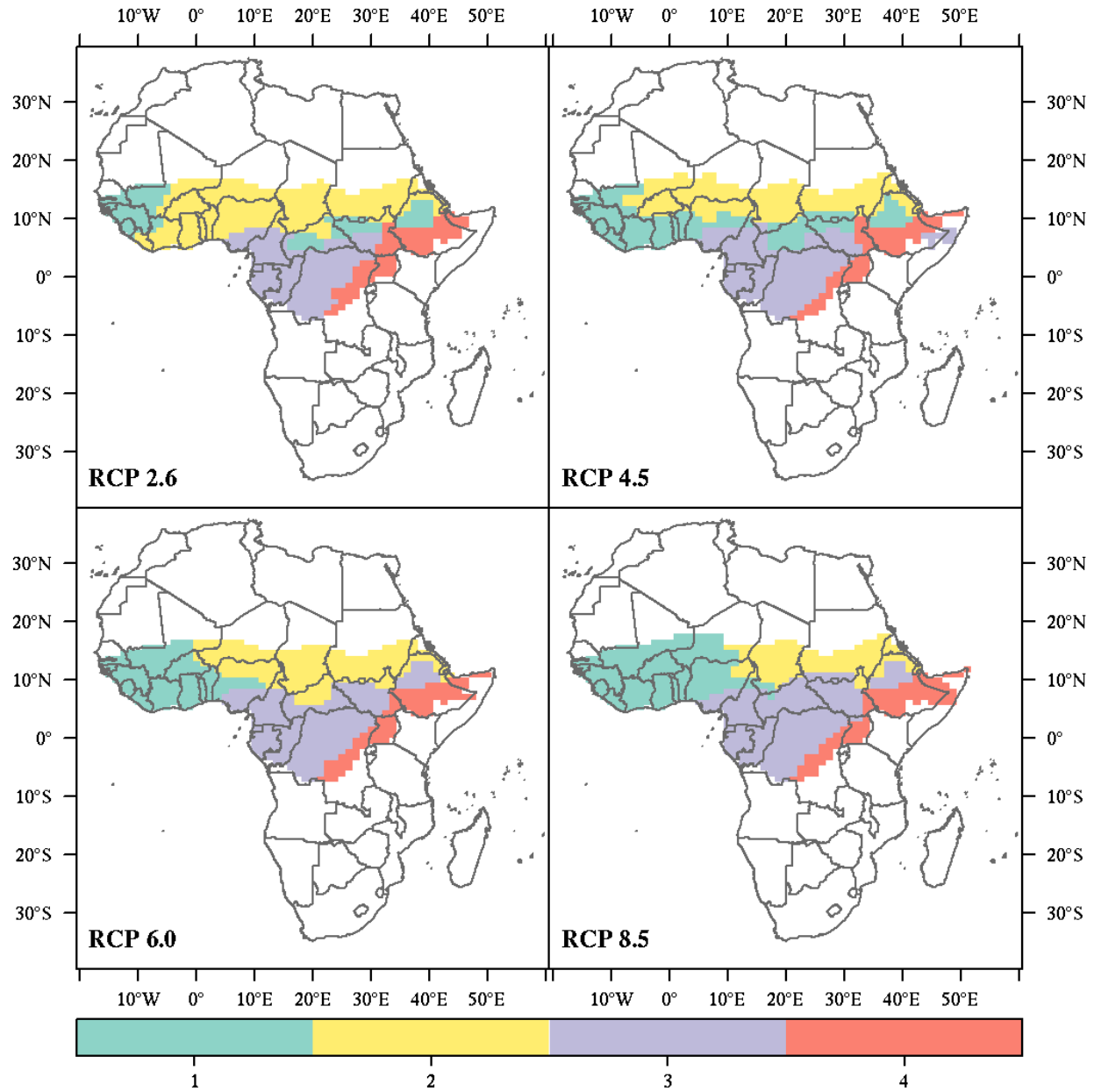


561  
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 563 of the four regions of interannual variability of JAS precipitation at the period (1981-2014). All  
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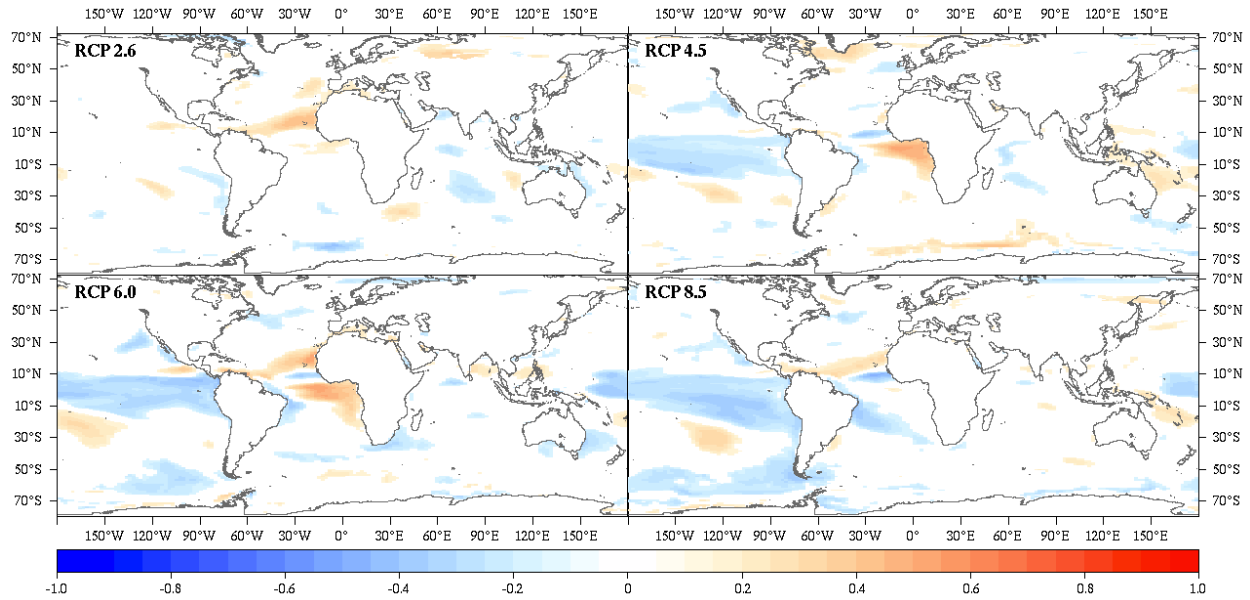


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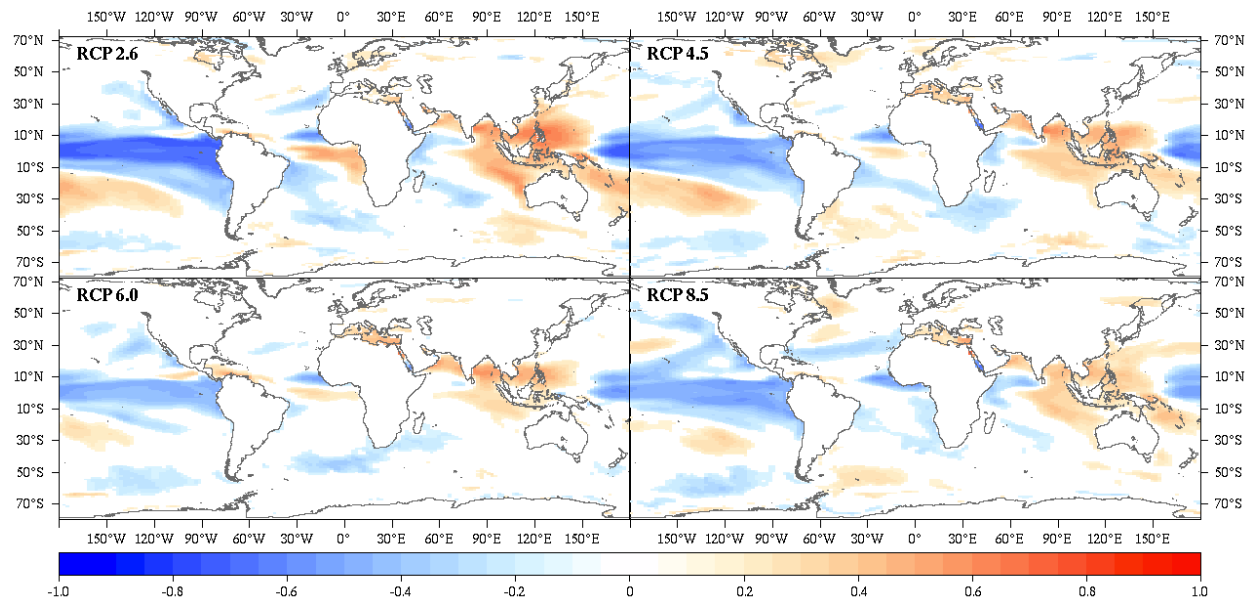
571 for different CCSM4 climate projections at the entire simulation period (2006-2100).





572

573 **Fig. 13** Correlation patterns of CCSM4 JAS precipitation from the four RCPs with the  
 574 corresponding SST for region 1 (Western Sahel in **Fig. 12**) at the period (2006-2100). All  
 575 correlations are significant at 90% confidence level.



576

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 578 corresponding SST for region 2 (Eastern Sahel in **Fig. 12**) at the period (2006-2100). All  
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