

Data Assimilation to Extract Soil Moisture Information From SMAP Observations

J. Kolassa^{1,2}, R. H. Reichle¹, Q. Liu^{1,3}, S. H. Alemohammad⁴ and P. Gentine⁴

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- (1) Global Modeling and Assimilation Office, NASA Goddard Spaceflight Center
- (2) Universities Space Research Association, GESTAR
- (3) Science Systems and Applications
- (4) Columbia University in the City of New York

- Motivation
- Method
 - SMAP NN Retrievals
 - Data Assimilation Experiments
- Results
 - Impact on Soil Moisture Climatology
 - Evaluation vs. In Situ Measurements
 - Impact on Evaporation and Runoff
- Conclusions

Objective:

Efficiently assimilate SMAP observations into the NASA Catchment model.

Issue:

Localized observation rescaling removes some independent information from very skillful SMAP retrievals.

Compare which rescaling method uses independent satellite information most efficiently.

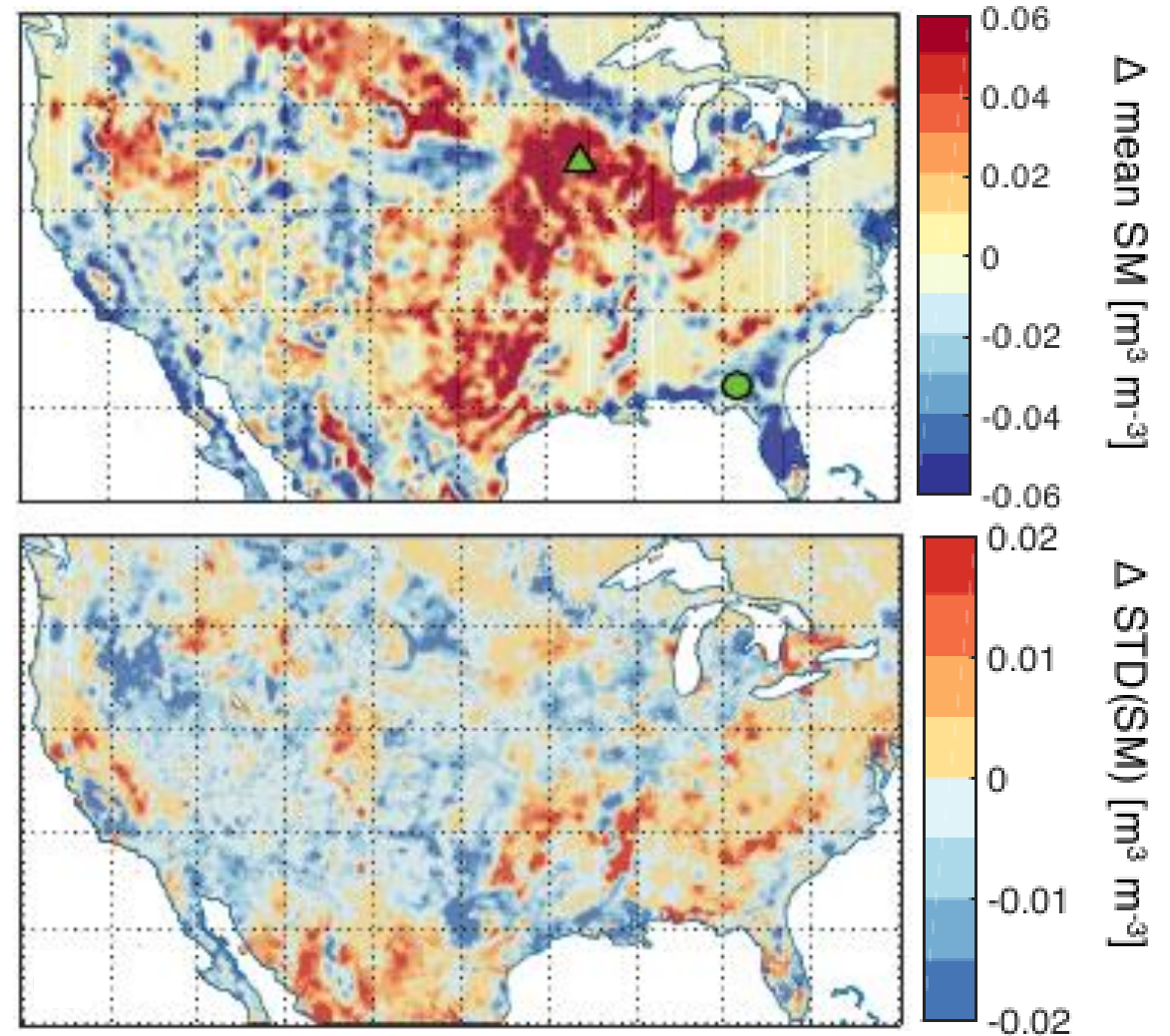


Fig 1. Effect of localized bias correction (CDF-matching) on soil moisture retrieval.

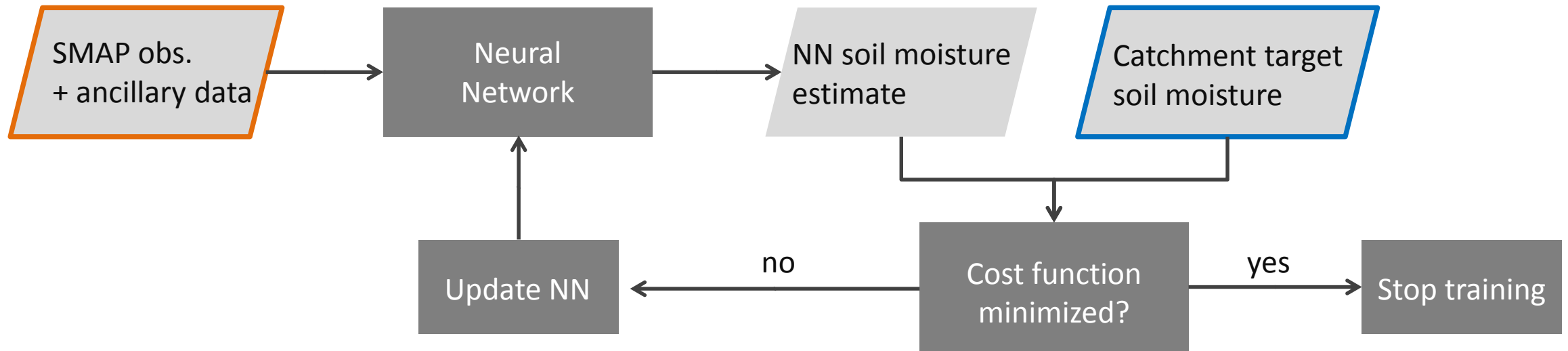


Fig 2. NN training procedure.

- Neural Networks (NN) retrieve soil moisture in model climatology (mean, variance, higher moments) (*Kolassa et al. 2017, in review*)
- **Global** dynamic range and bias from [model \(GEOS-5\)](#)
- Spatial and temporal patterns from [observations \(SMAP + ancillary data\)](#)

Can NN retrievals reduce the need for further bias correction prior to assimilation and thus avoid removing independent satellite information?

Experiments

- OL Model-only simulation (no assimilation)
 - **DA-NN:** Assimilate NN retrievals **without further bias correction**
 - **DA-NN-CDF:** Assimilate NN retrievals with **local bias correction**
 - **DA-L2P-gCDF:** Assimilate L2 passive retrievals (*O'Neill et al., 2015*) with **global bias correction**
 - **DA-L4:** Assimilate **locally rescaled brightness temperatures in SMAP L4_SM system**
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- April 2015 – March 2017
 - 9 km EASE v2 grid
 - Contiguous United States
 - 3-hourly analysis

→ Assess skill improvements of DA over OL at SMAP core validation sites

(Jackson et al., 2016; Colliander et al., 2017)

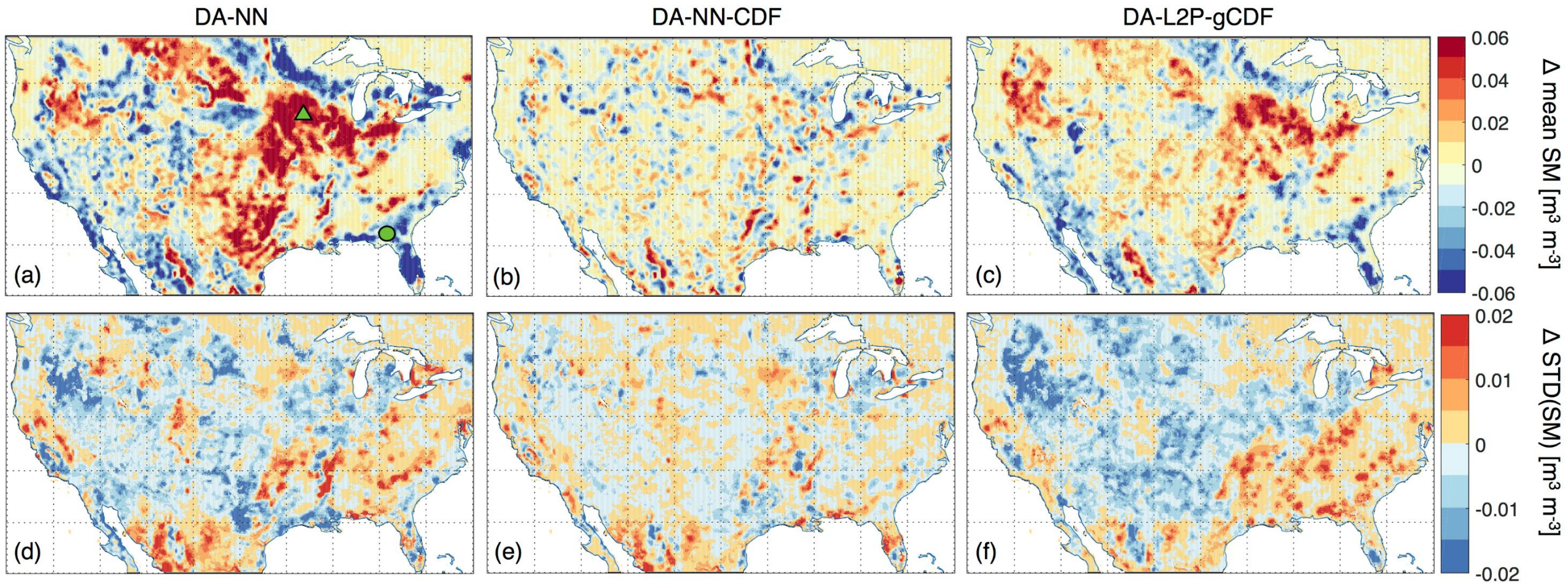
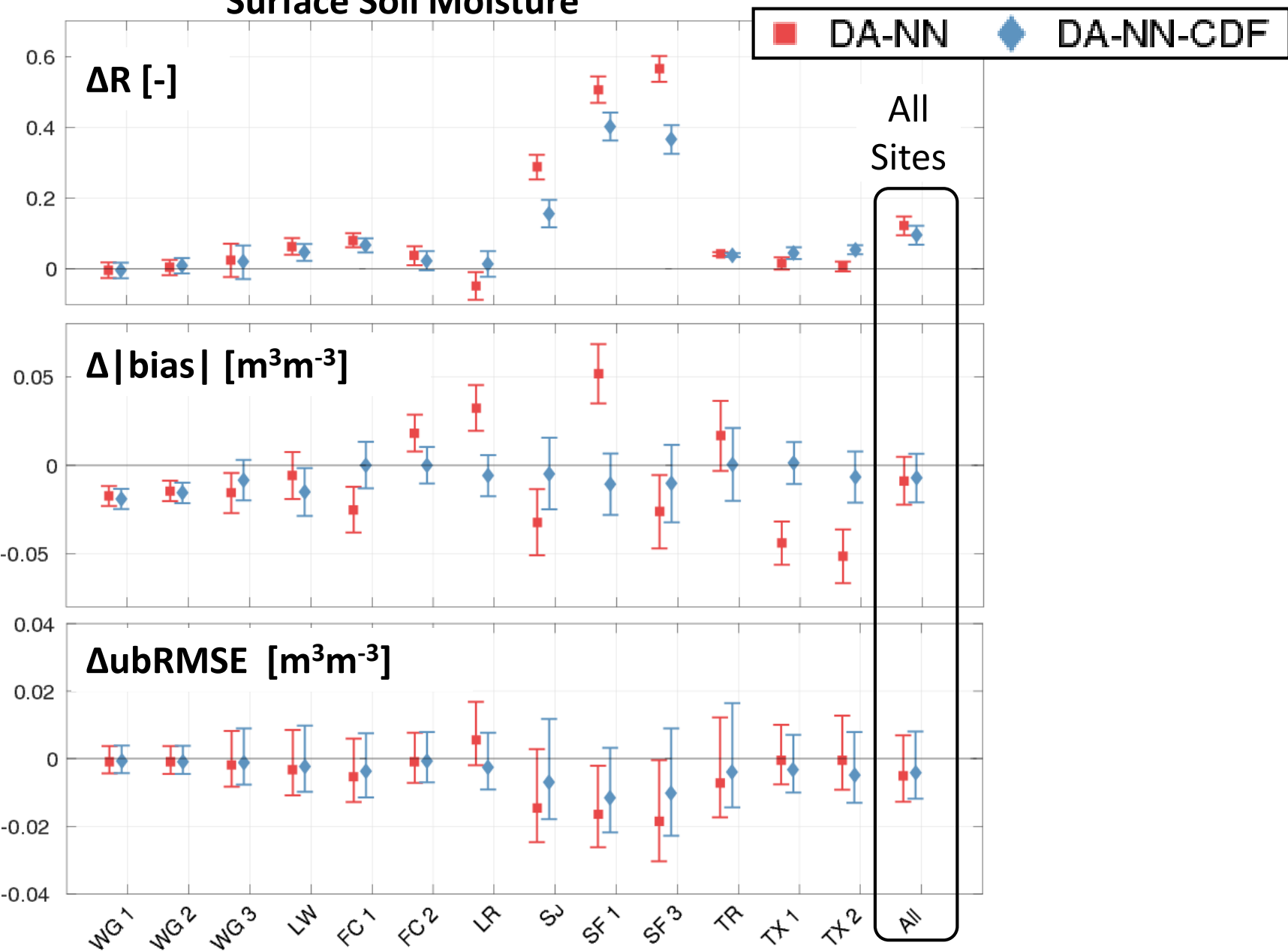


Fig 3. Difference (OL minus DA) in soil moisture (top row) mean and (bottom row) standard deviation.

Global rescaling experiments introduce more of the SMAP retrieval information.

- ▲ South Fork watershed
- Little River watershed

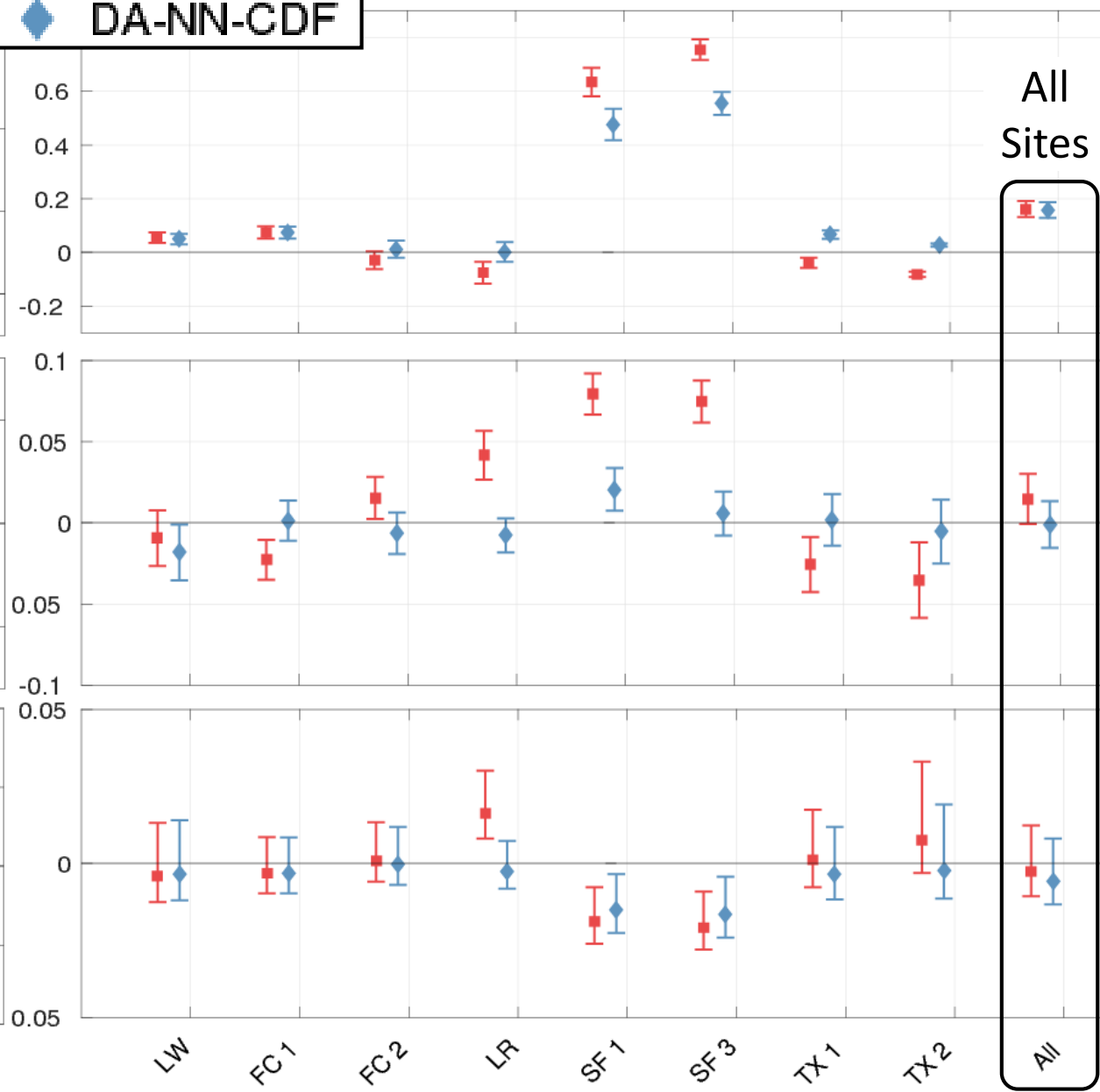
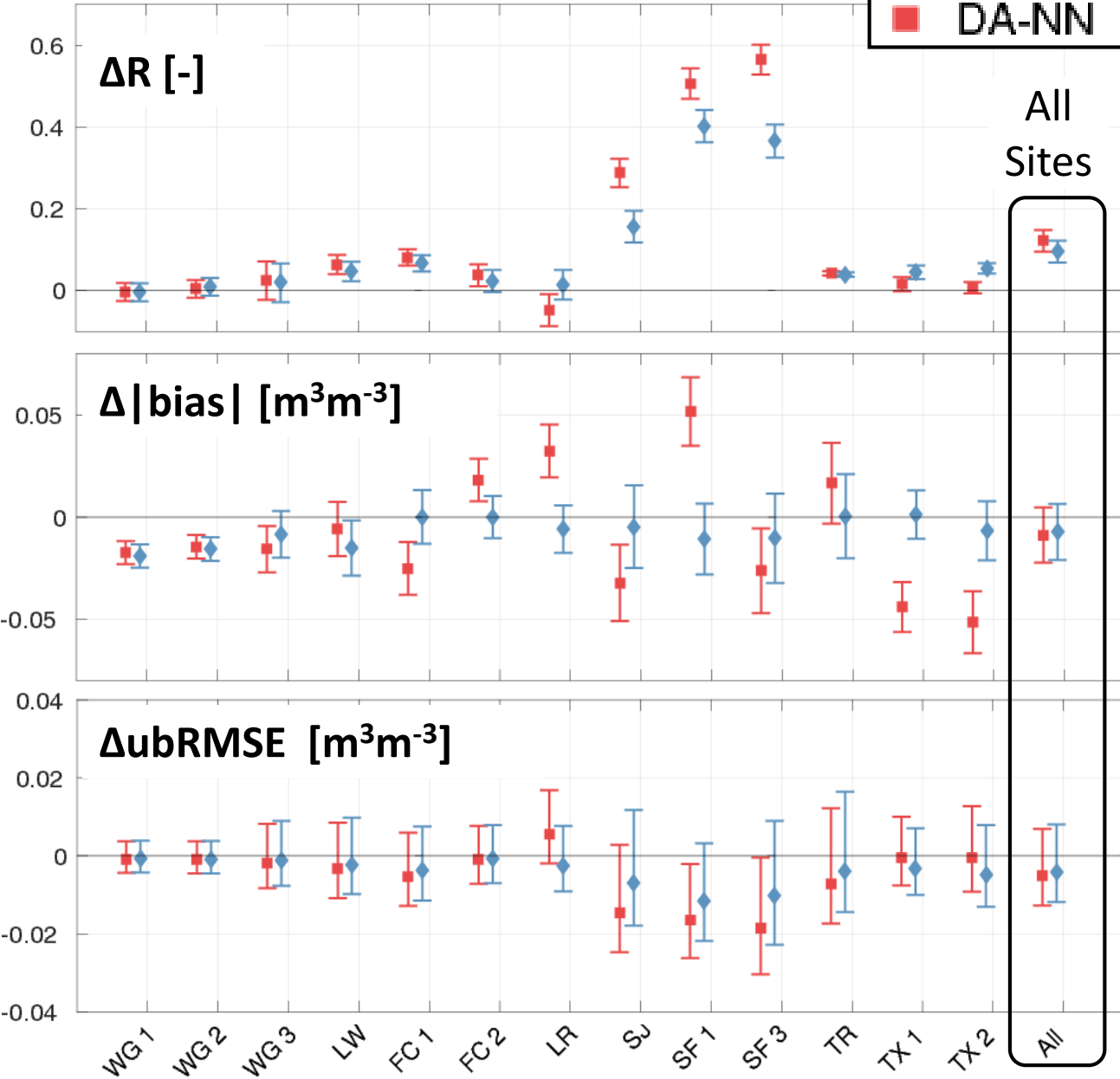
Surface Soil Moisture



Surface Soil Moisture

Root-Zone Soil Moisture

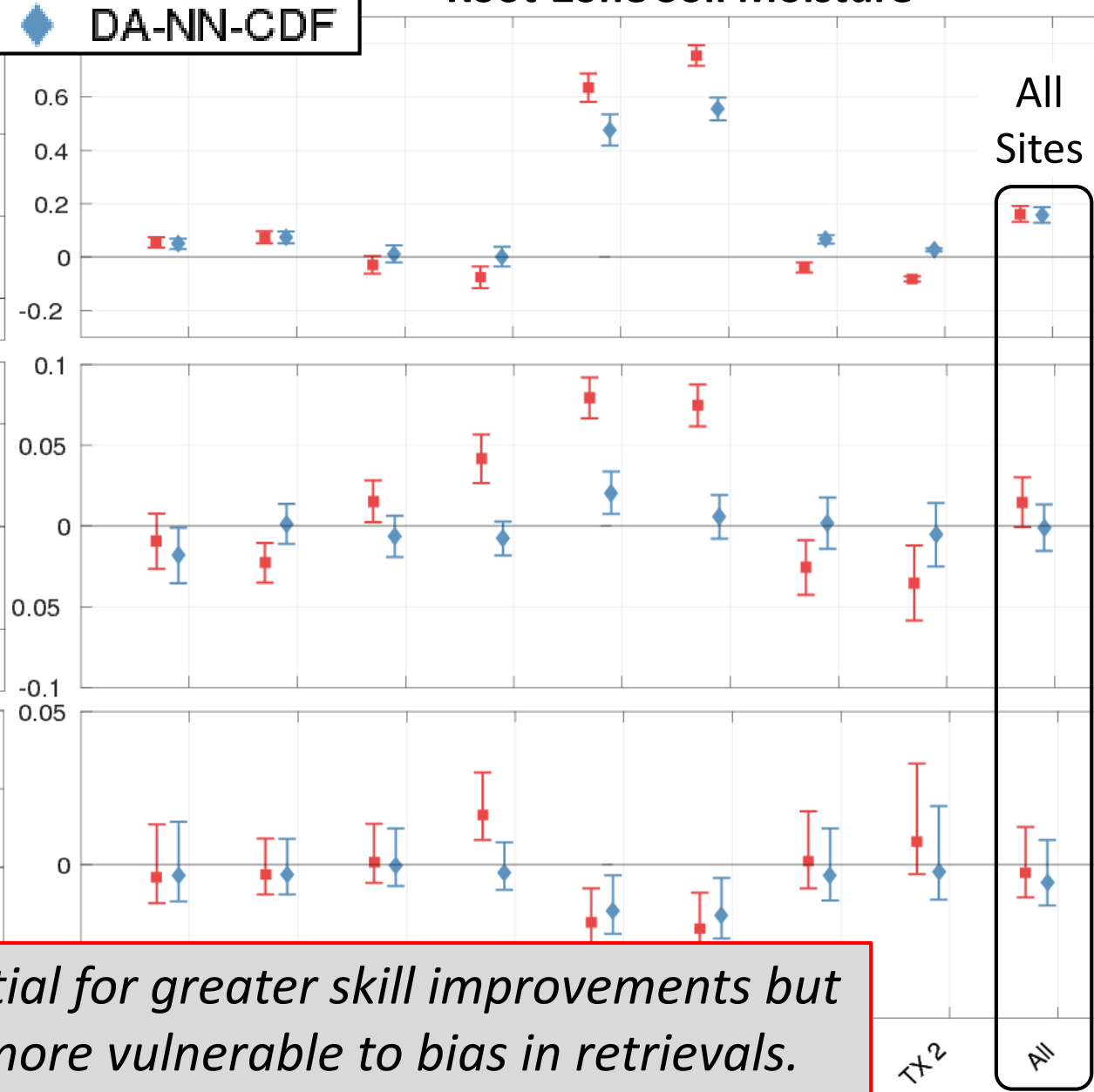
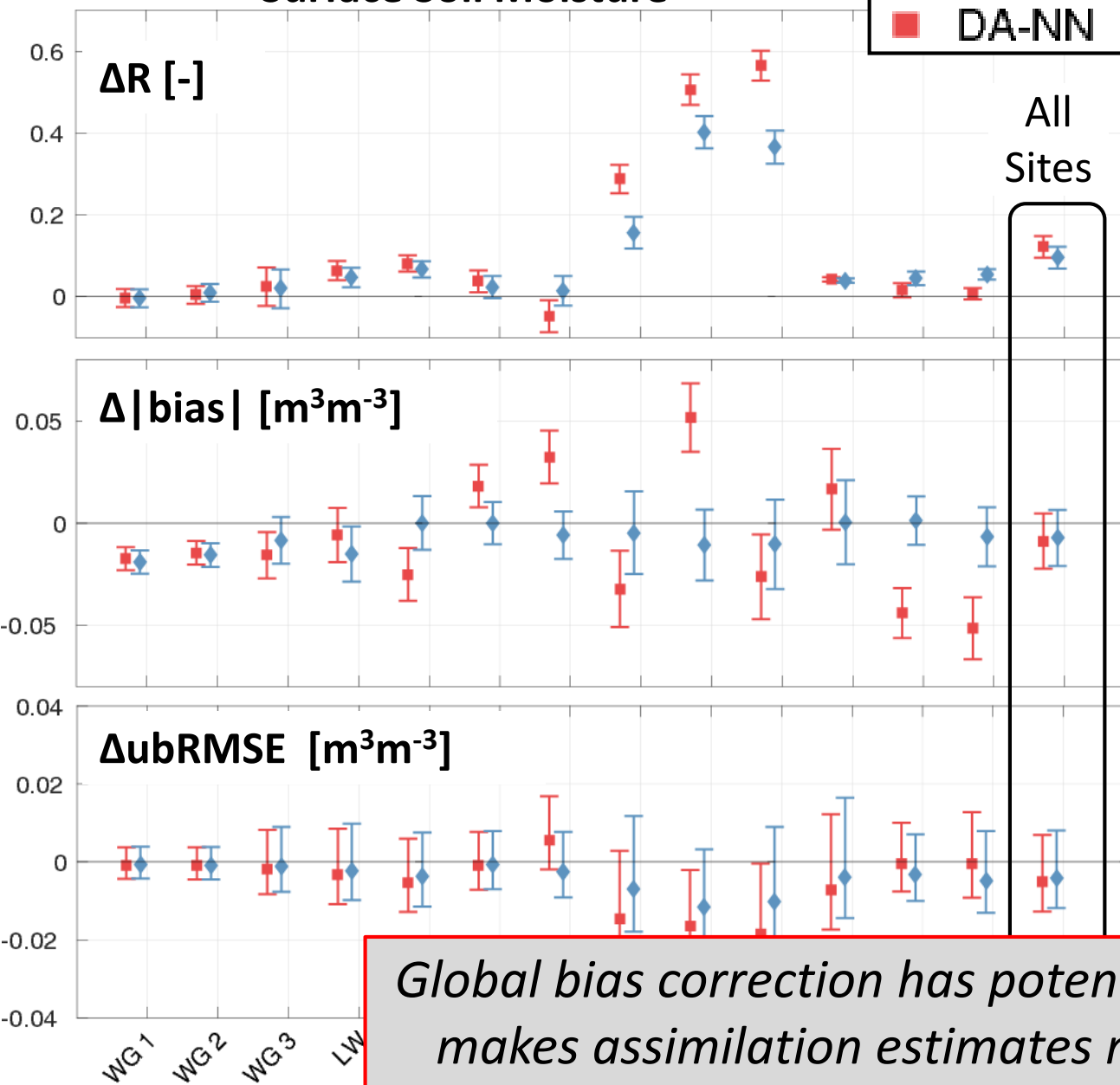
■ DA-NN ◆ DA-NN-CDF



Surface Soil Moisture

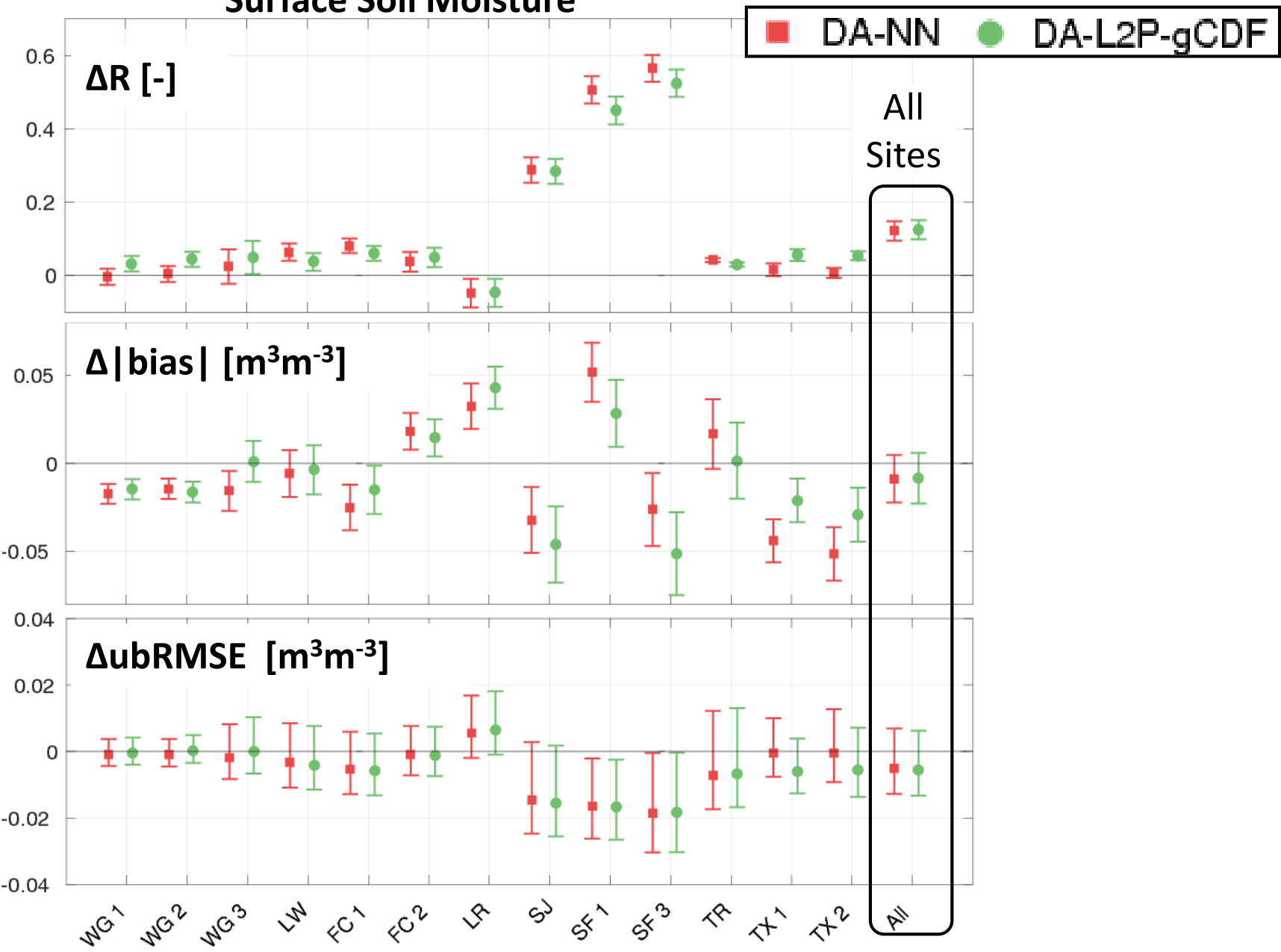
Root-Zone Soil Moisture

■ DA-NN ◆ DA-NN-CDF



Global bias correction has potential for greater skill improvements but makes assimilation estimates more vulnerable to bias in retrievals.

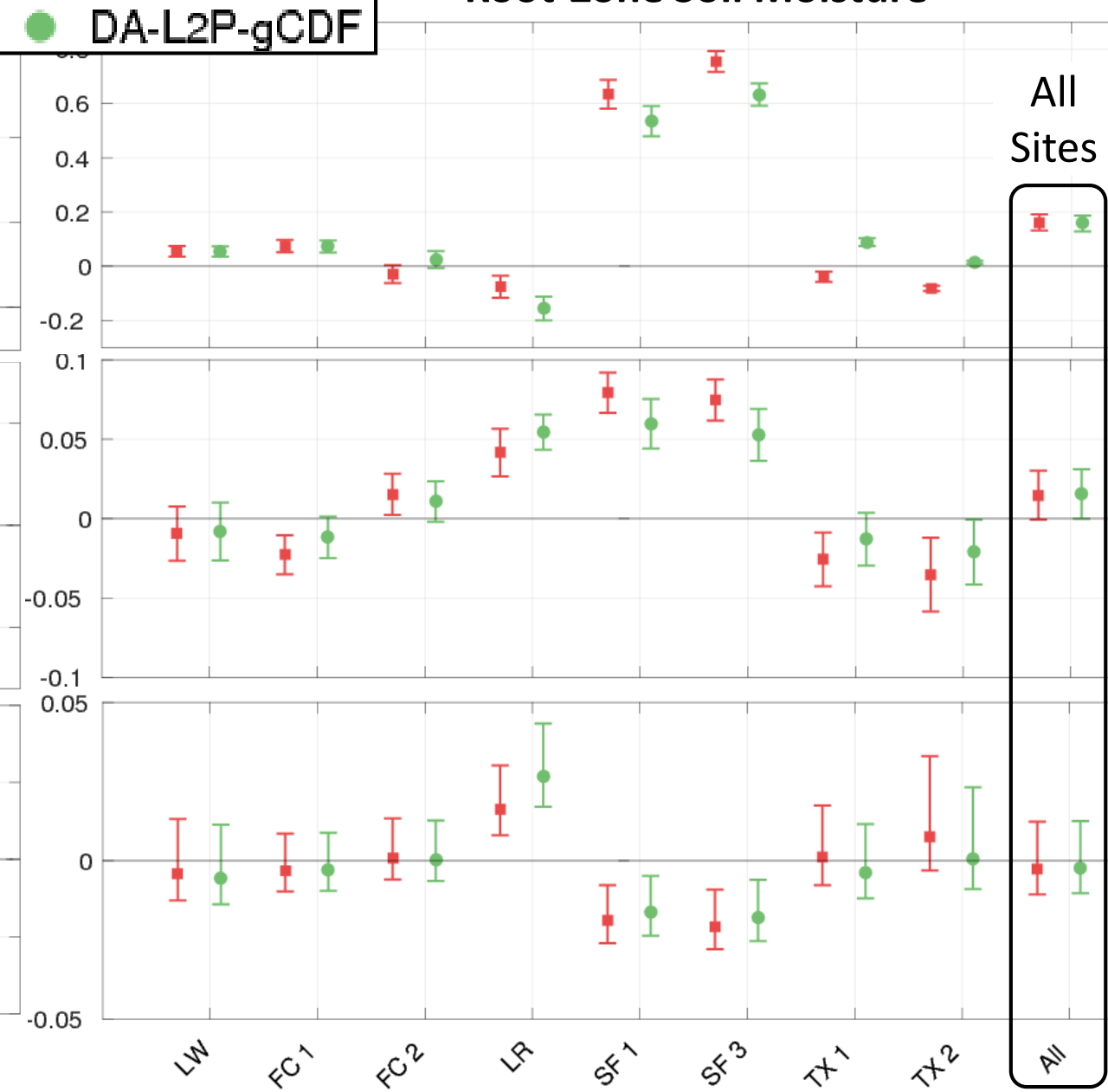
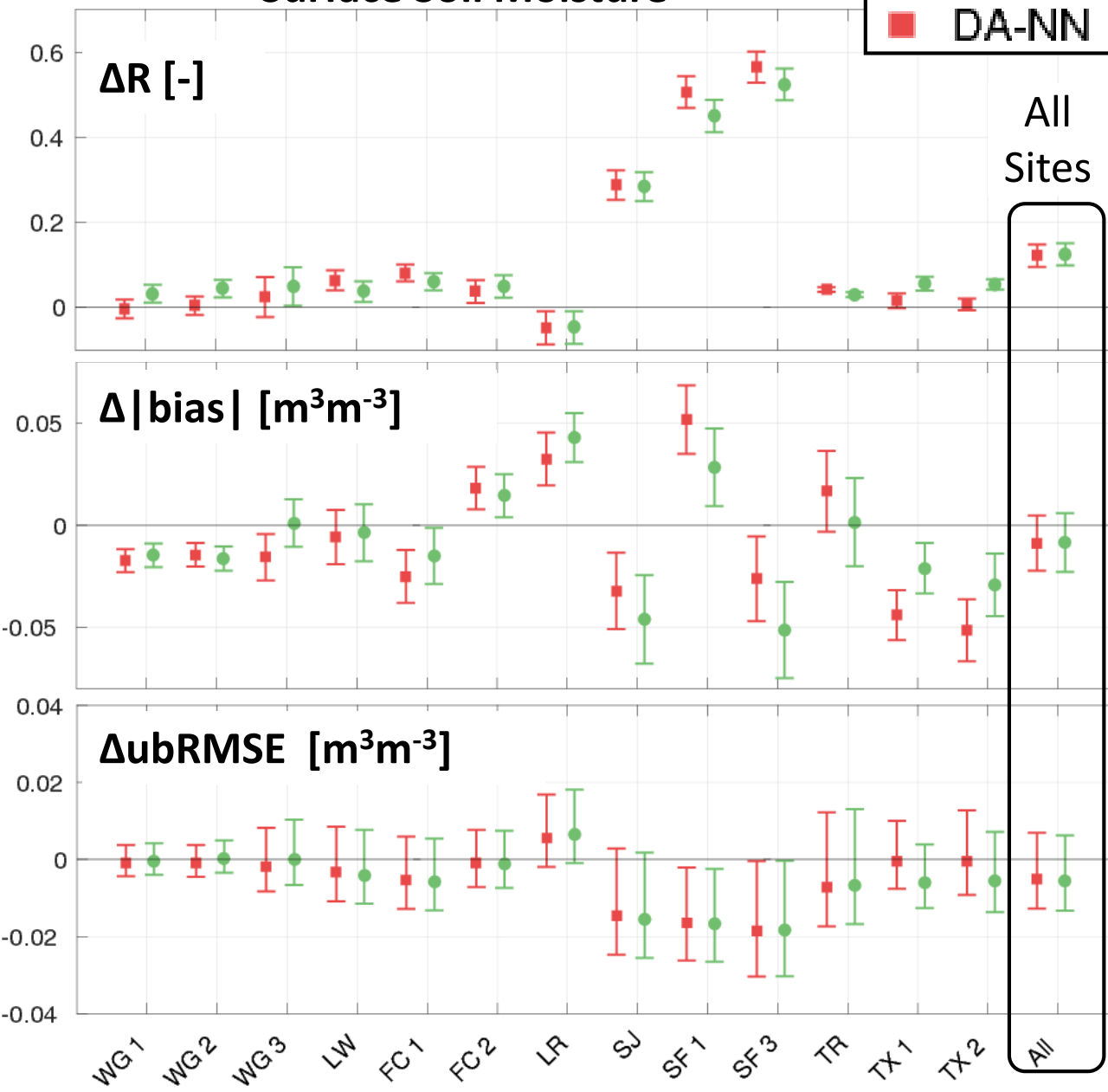
Surface Soil Moisture



Surface Soil Moisture

Root-Zone Soil Moisture

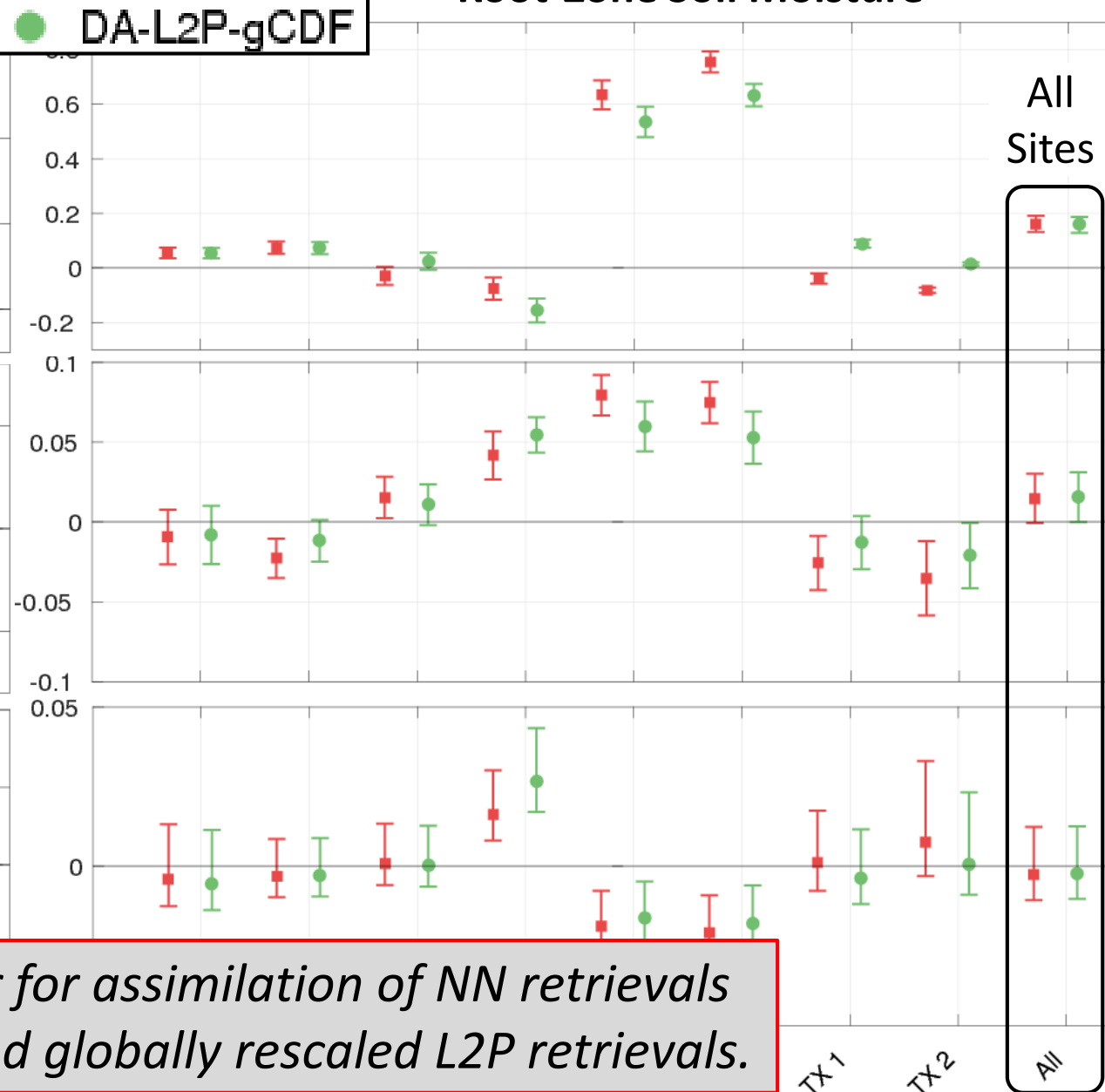
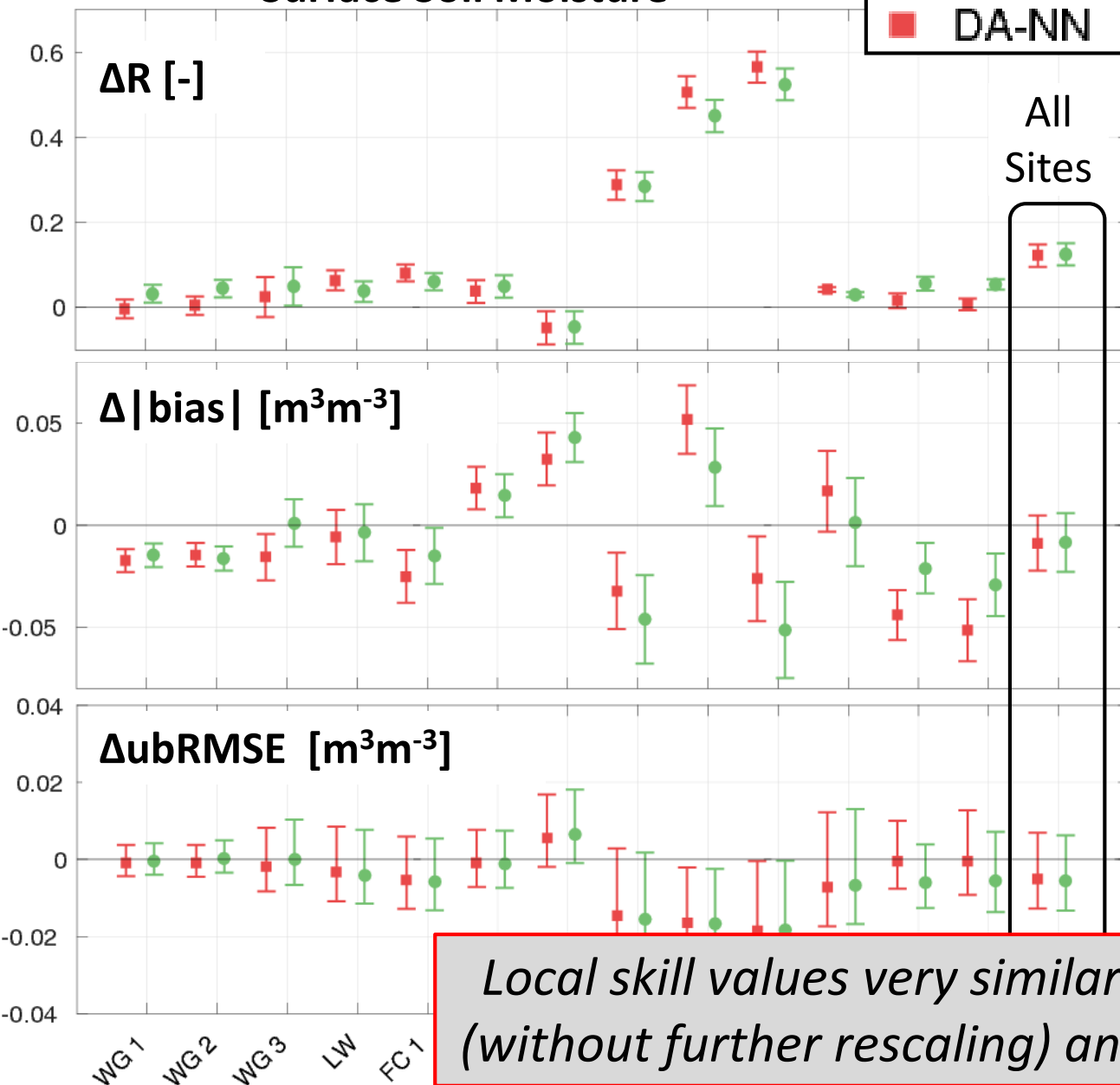
■ DA-NN ● DA-L2P-gCDF



Surface Soil Moisture

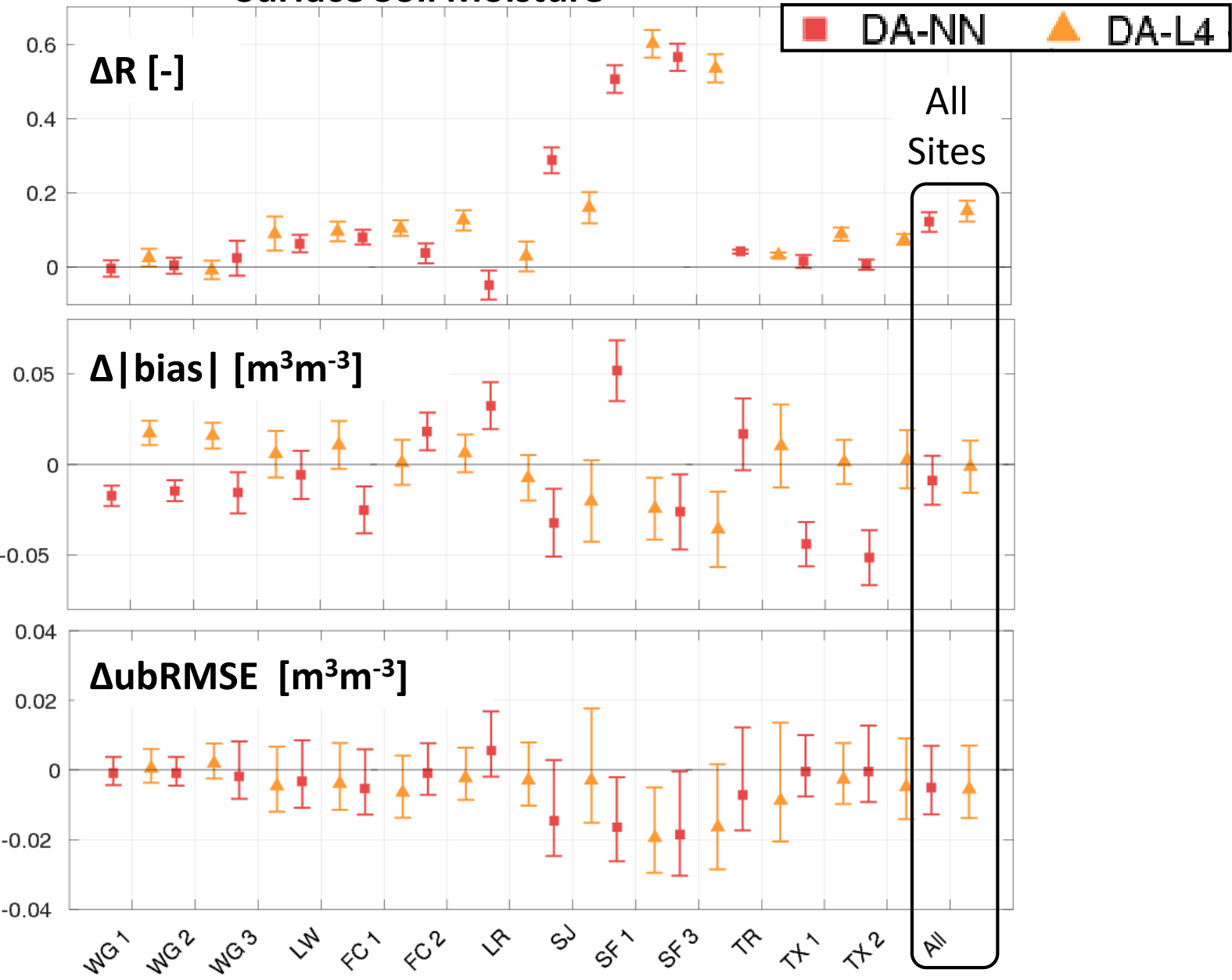
Root-Zone Soil Moisture

■ DA-NN ● DA-L2P-gCDF



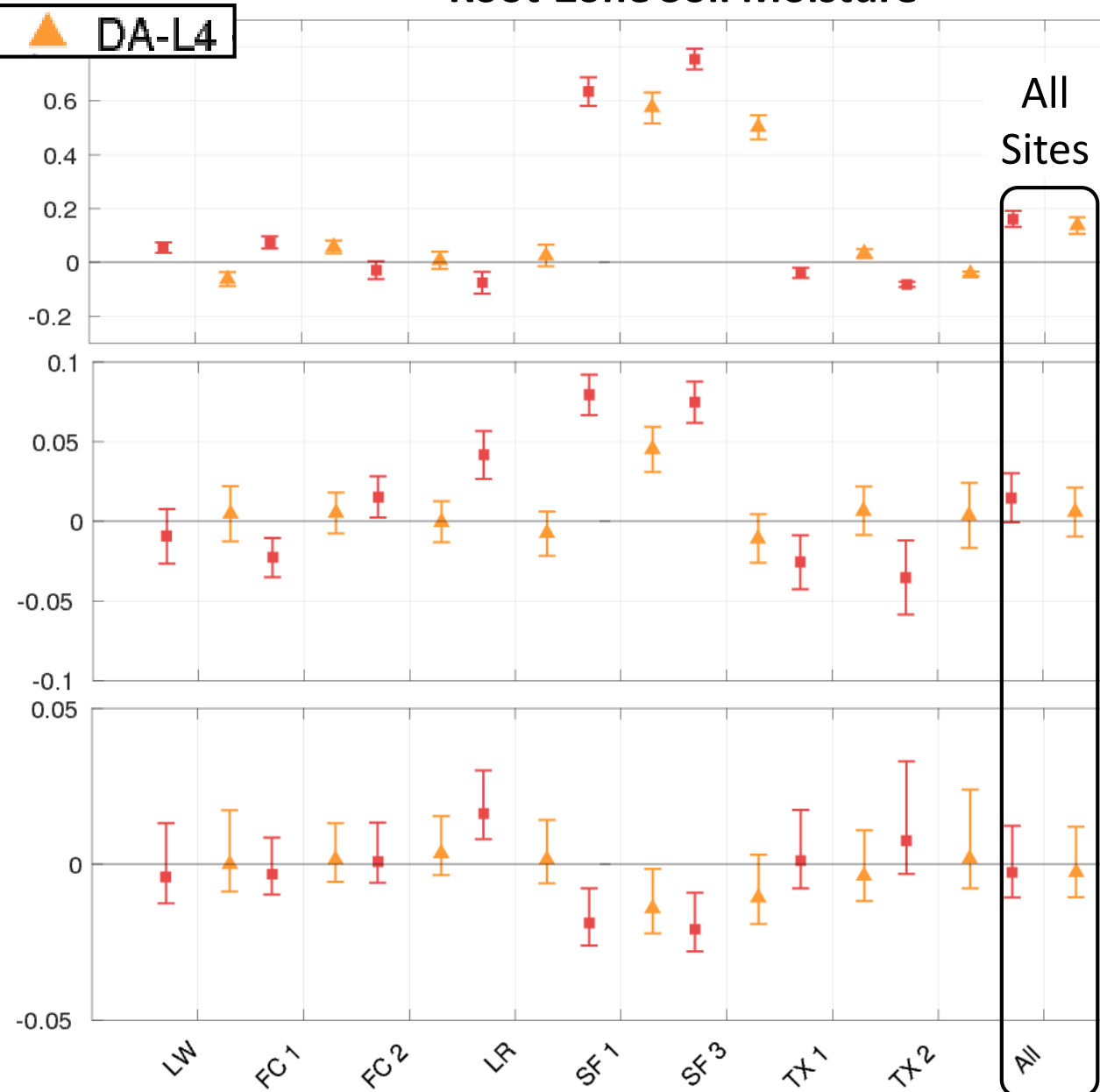
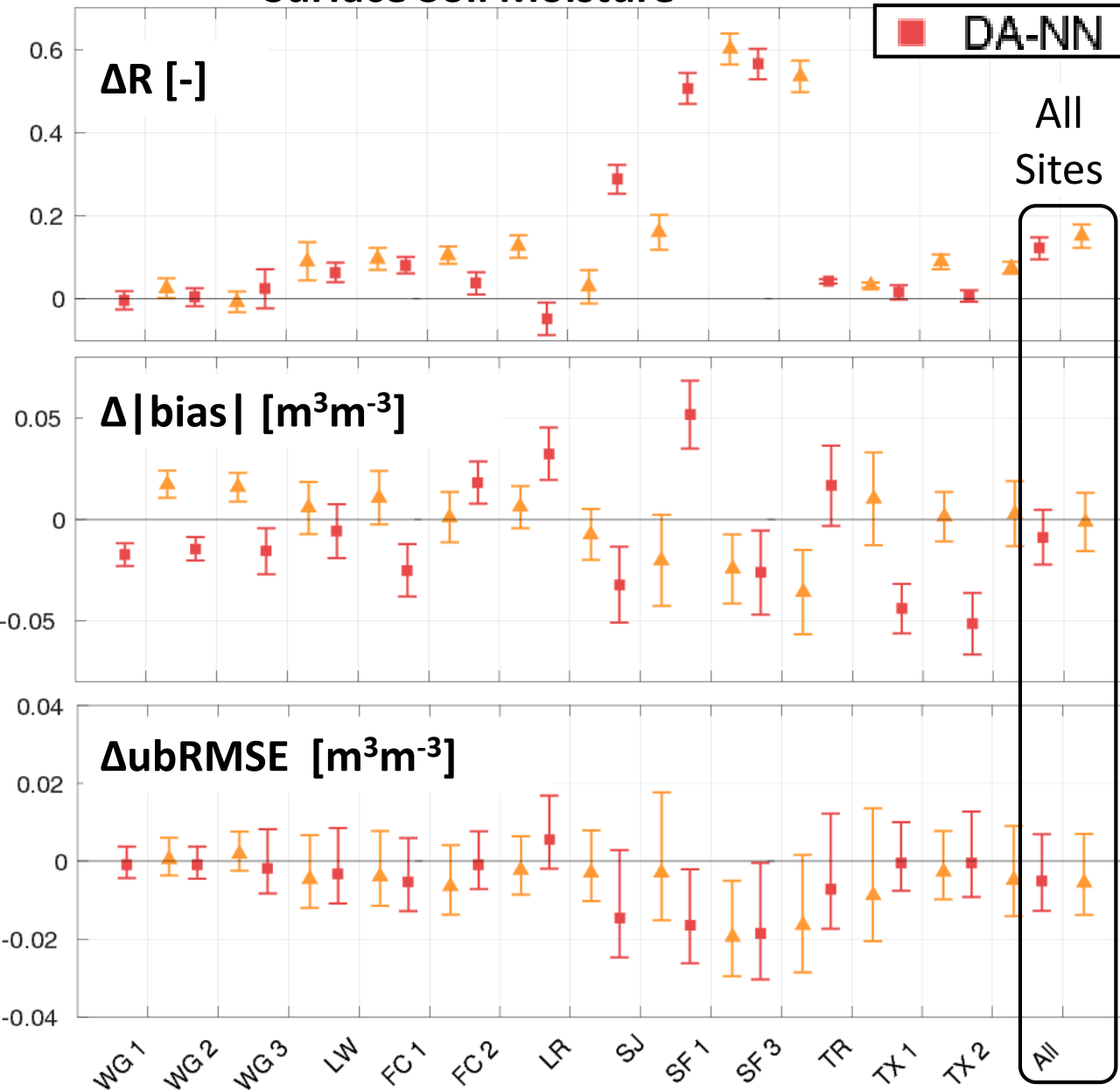
Local skill values very similar for assimilation of NN retrievals (without further rescaling) and globally rescaled L2P retrievals.

Surface Soil Moisture



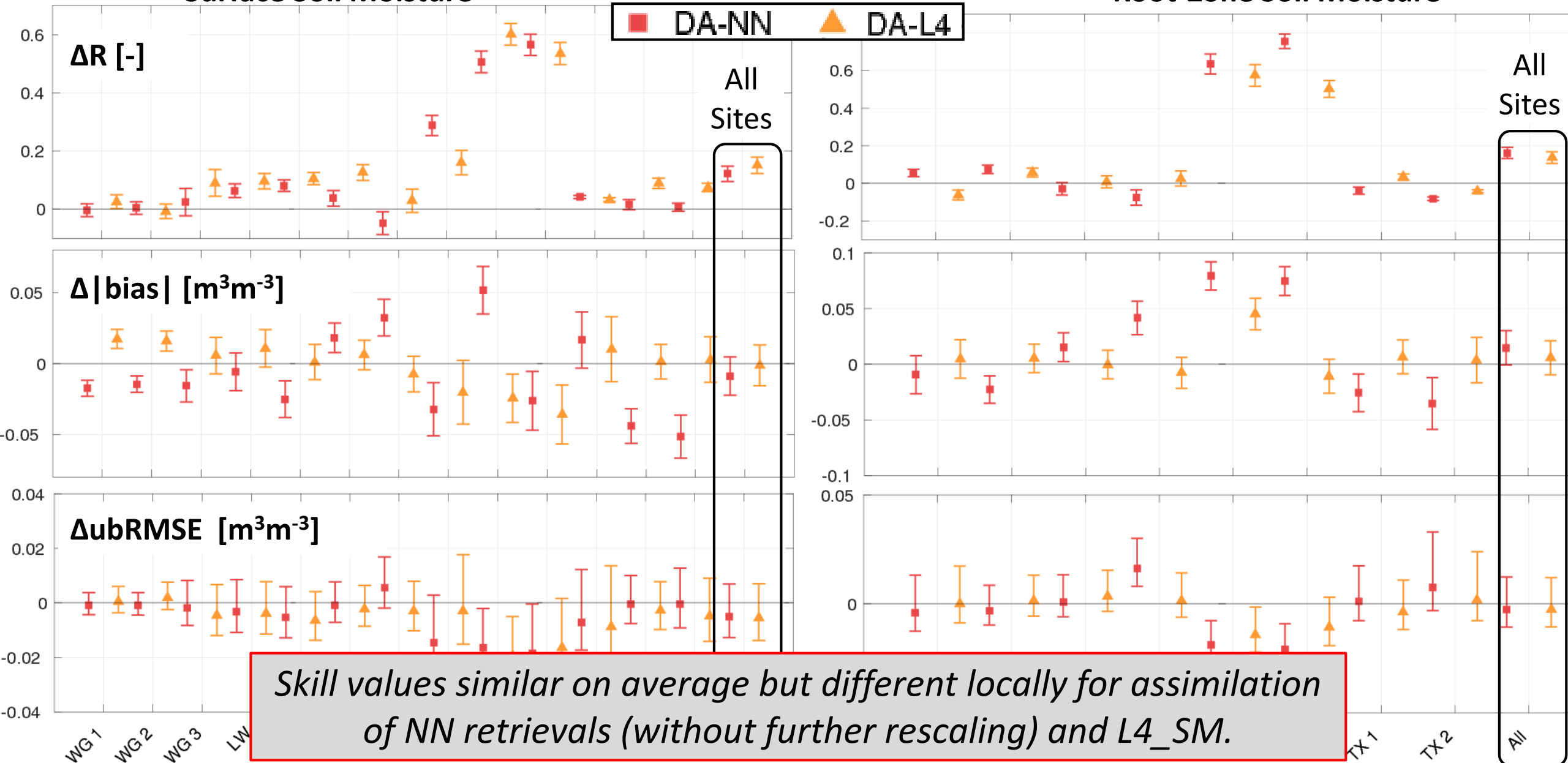
Surface Soil Moisture

Root-Zone Soil Moisture



Surface Soil Moisture

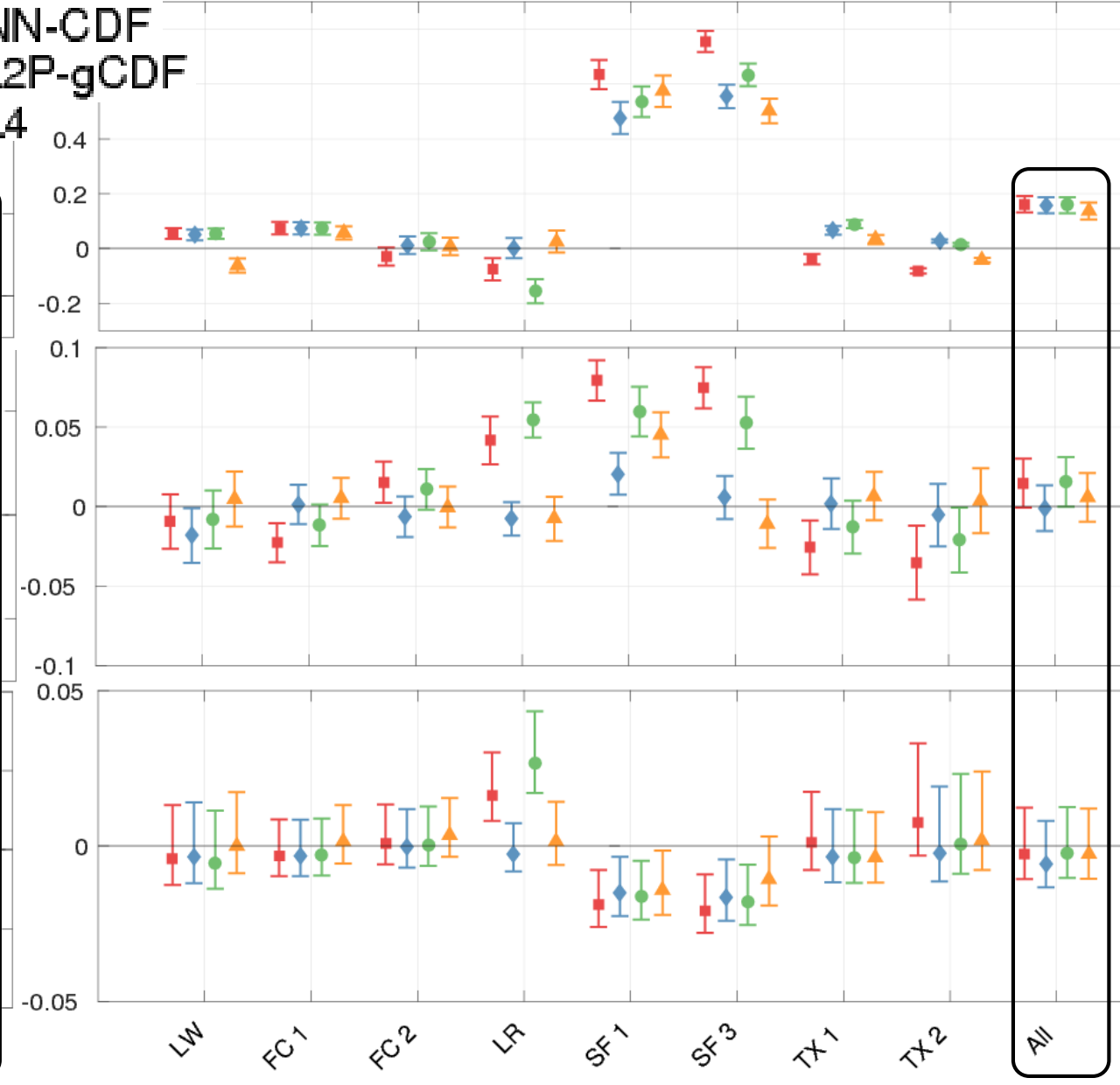
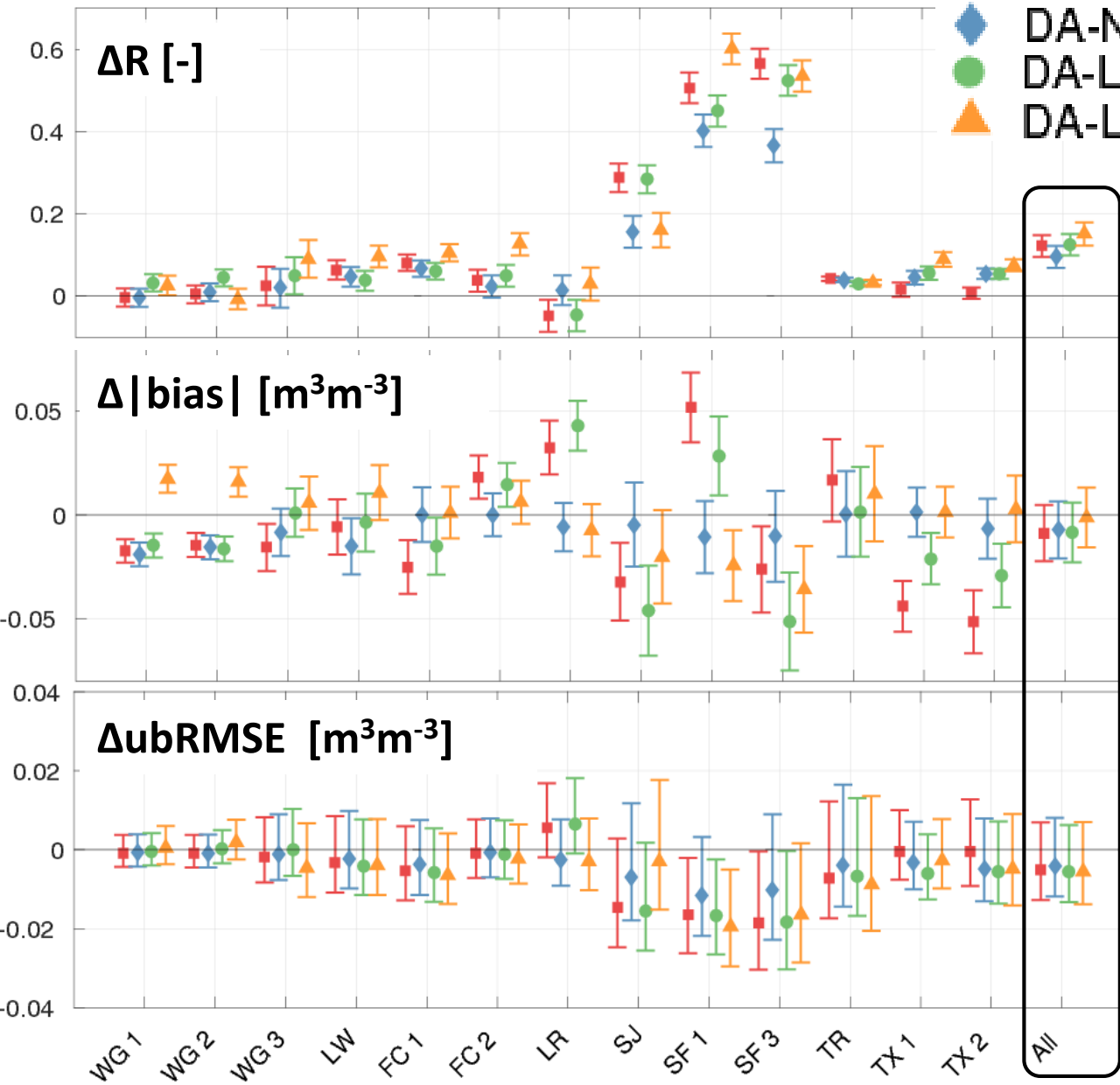
Root-Zone Soil Moisture



Surface Soil Moisture

Root-Zone Soil Moisture

- DA-NN
- ◆ DA-NN-CDF
- DA-L2P-gCDF
- ▲ DA-L4



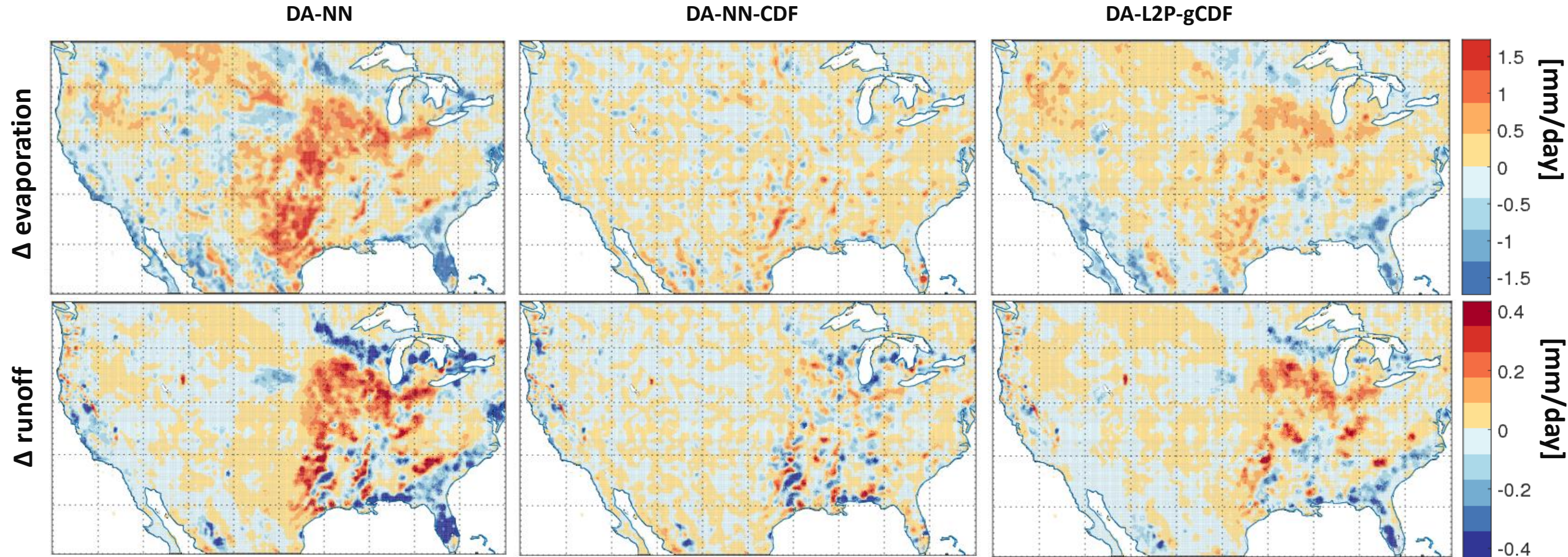


Fig 5. Difference (OL minus DA) in mean evaporation and runoff.

Evaporation and runoff changes reflect changes in soil moisture patterns where fluxes are sensitive to soil moisture.

- Global bias correction retains more independent satellite information.
 - Potential for greater improvements over model skill.
 - Assimilation skill more sensitive to retrieval bias.
 - Good QC and error characterization is crucial.
- Assimilation of NN and L2P retrievals (w/ global rescaling) results in very similar local skill values.
- Soil moisture and Tb assimilation have similar average skill with local differences.
- Evaporation and runoff changes reflect changes in soil moisture patterns.

Kolassa, J., et al. (2017a), Estimating surface soil moisture from SMAP observations using a Neural Network technique, *(in review)*.

Kolassa, J., et al. (2017b), Data assimilation to extract soil moisture information from SMAP observations *(in preparation)*.

Colliander, A., et al. (2017), Validation of SMAP surface soil moisture products with core validation sites. *Remote Sensing of Environment*, 191.

Jackson, T.J., et al. (2016), Calibration and Validation for the L2/3 SM P Version 3 Data Products, SMAP Project, *JPL D-93720*, Jet Propulsion Laboratory, Pasadena, CA.

O'Neill, P., et al. (2015), SMAP Algorithm Theoretical Basis Document: L2 & L3 Radiometer Soil Moisture (Passive) Products. SMAP Project, *JPL D-66480*, Jet Propulsion Laboratory, Pasadena, CA.