Handedness as a Marker of Cerebral Lateralization in Children with and without Autism

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Abstract

We employed a multiple case studies approach to investigate lateralization of hand actions in typically and atypically developing children between 4 and 5 years of age. We report on a detailed set of over 1200 hand actions made by four typically developing boys and four boys with autism. Participants were assessed for unimanual hand actions to both objects and the self (self-directed behaviors). Individual and group analyses suggest that typically developing children have a right hand dominance for hand actions to objects and a left hand dominance for hand actions for self-directed behaviors, revealing a possible dissociation for functional specialization of the left and right hemispheres respectively. Children with autism demonstrated mixed-handedness for both target conditions, consistent with the hypothesis that there is reduced cerebral specialization in these children. The findings are consistent with the view that observed lateralized motor action can serve as an indirect behavioral marker for evidence of cerebral lateralization.

Keywords: cerebral lateralization, handedness, autism, self-directed behaviors

1 **1. Introduction**

2 There are different functional specializations of the left and right hemispheres for processing 3 sensory information [see 1 for a review]. The division of labor between the two hemispheres is proposed to be an advantageous evolutionary adaptation found in both vertebrates [e.g. 2, 4 5 3] and invertebrates [e.g. 4] providing the brain with increased neural efficiency. Cerebral 6 lateralization allows for disparate specialized processing to operate in parallel within the left 7 and right hemispheres, which decreases the duplication of functioning across hemispheres and 8 eliminates the initiation of simultaneous and incompatible responses [5-7]. Not only do the 9 left and right hemispheres appear to have distinctive roles, the organization of the human 10 brain is such that the innervations of the musculature that originate from the motor cortices 11 extend contralaterally [8]. As a result, the left hemisphere controls the right side of the body 12 and the right hemisphere controls the left side of the body. Thus, hemispheric specialization 13 can manifest as contralateral physical actions [e.g. 9].

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15 The most prominent examples of a shared lateral bias for human anatomical and functional 16 hemispheric specialization is handedness, and the neural regions associated with speech 17 production [e.g. inferior frontal gyrus: 10] and comprehension [superior temporal gyrus: 11]. 18 It is commonly reported that the human population exhibits approximately 90% right-19 handedness [e.g. 12] and, within the right-handed population, approximately 95% of 20 individuals have language-processing regions situated in the left hemisphere of the brain [13]. 21 Therefore, left hemisphere specialization is prominent in right-handed individuals [e.g. 14]. 22 However, the existence of a left hemisphere dominance for both language processing and 23 manual activities cannot presume that these cerebral asymmetries are correlated. Some studies 24 have shown weak correlations between the strength of handedness and cerebral specialization 25 for language in adults [15], and even an absence of a significant correlation between 26 handedness for manipulative actions and language performance in very young children [e.g. 27 16, 17]. Additionally, 70% of left-handers also demonstrate left cerebral hemisphere 28 dominance for language [14, 18], indicating a complex relationship between anatomical and

functional hemispheric specialism. However, as there is no unified system for measuring
handedness, it is also possible that differences in handedness patterns across studies may be
symptomatic of the vast range of measurement techniques [e.g. 19].

32

33 Handedness is often assessed through subjective self-reporting and surveys [e.g. Edinburgh 34 Handedness Inventory; 20], and has been defined using a variety of terms and measures 35 across fields of study. Handedness is commonly considered to be the hand that is preferred for 36 a specific task, regardless of performance, however it can also reflect hand efficiency with 37 respect to speed and accuracy [e.g. 21]. Handedness can be categorized as right, left or mixed 38 along a gradient that ranges from strongly left-handed to strongly right-handed [e.g. 19, 22]. It 39 is generally established by the time typically developing children start school [23-25]. As in 40 adult populations, associations have been drawn between hemispheric asymmetries associated 41 with language and hand biases in children [26]

42

43 Some investigations of child handedness suggest that left-handedness can be an indicator of 44 decreased cerebral lateralization [e.g. 27-30]. However, other studies involving children, 45 suggests that stronger hand dominance (left or right) correlates with both earlier language 46 acquisition [31] and the successful hemispheric specialization for language [1]. For example, 47 hand dominance (left or right) for manipulative tasks (e.g. drawing) has been associated with 48 typical neurodevelopment, whereas inconsistent hand dominance has been associated with 49 significantly lower developmental assessment scores in children, using the Viennese 50 Development Test (WET) [32]. A growing body of evidence now indicates that reduced 51 cortical lateralization is associated with impaired cognitive function and can manifest 52 behaviorally as mixed-handedness [e.g. 33-37]. 53

54 The frequency of mixed-handedness appears to rise within populations of individuals with 55 autism (autistic spectrum disorder, ASD). ASD is a Pervasive Developmental Disorder [38], 56 marked by symptoms that commonly include reduced language and social skills. Most

57 children with ASD present impairments in receptive and expressive language [39], which can 58 be the most obvious behavioral symptom of the disorder leading to a diagnosis [40]. 59 Diagnosis is generally established in early childhood, but can be severely delayed when 60 symptoms are subtle presenting alongside relatively intact language [e.g. 41]. While mixed-61 handedness makes up approximately 3-4% of the general population [e.g. 42], populations 62 with ASD reveal mixed-handedness at proportions of between 17% and 47% [for a review see 63 43]. However, it has been reported that children with ASD who possess either left or right 64 hand dominance, generally tend to have stronger language capabilities, compared with mixed-65 handed children with ASD. A further investigation suggests that in addition to language 66 difficulties, mixed-handed children have a greater likelihood of having scholastic and mental 67 health problems that persist into adolescence [44]. While a causal relationship between hand 68 dominance and cognitive performance remains uncertain, measures of mixed-handedness 69 could facilitate the recognition of children who are at risk for reduced cognitive function. 70

71 Recent evidence suggests that ASD is likely to have an early developmental onset 72 characterized by hypo-lateralization of brain function for expressive and receptive language 73 processes [45] long before there is visible behavioral evidence of language impairment [46]. 74 Motor behaviors provide one possible area of exploration for further investigation. Motor 75 capabilities have become a topical issue in the study of overt behavioral symptoms of children 76 with ASD. It is now suspected that aberrant pruning during the development of ASD disrupts 77 early sensory and motor processes [47], causing anomalies within these domains to become 78 visible first. For example, infants with a familial risk for developing ASD have demonstrated 79 significantly lower motor scores as early as 7 months of age [48]. A firm understanding of 80 handedness strength across development for functionally specific tasks may afford a new 81 approach to indirectly assess hypo-lateralization of brain function in children at risk for ASD. 82

Hand dominance has traditionally focused on school-aged children and left hemisphere
dominant functions (e.g. object manipulation, right-handedness). In general, these studies

85 have identified putative associations between hand dominance and cognitive performance on 86 the basis of subjective parent-report, self-report or surveys for handedness. However, 87 observational studies of naturalistic hand actions have demonstrated that hand dominance can 88 be objectively revealed much earlier than pre-school age [e.g. 24]. For example, right-handed 89 dominance for manual tasks has been observed in typically developing infants between 6 90 months and 18 months of age [49, 50 51]. Studies of observed naturalistic hand dominance in 91 children, have observed actions such as pointing gestures, unimanual grasping of objects and 92 bimanual tasks. However, hand dominance for different functional behaviors (e.g. 93 communicative and non-communicative) have not been previously shown to be correlated in 94 young children [e.g. 17, 49, 52-54]. In fact, a disparate range of experimental paradigms for 95 assessing handedness in children has resulted in a variety of patterns of asymmetries 96 depending hand action function [e.g.16]. These studies showcase an opportunity for broader 97 investigations of handedness across ages, revealing more complex patterns of handedness 98 across development than previously found employing traditional reporting approaches. 99 However, these studies also highlight the possibility that differences in handedness patterns 100 across studies may be in part due to the vast range of paradigms and measurement techniques 101 employed [e.g. 19].

102

103 In addition to early handedness evaluation, observing naturalistic handedness behaviors 104 allows for the exploration of a more comprehensive range of hand behaviors. For example, 105 the study of three preliterate cultures, using methods developed in ethology, revealed that the 106 only condition under which spontaneous hand actions were preferentially lateralized across a 107 pooled dataset of naturalistic hand actions was for object manipulation during tool use. 108 Handedness for non tool-use actions, pooling a range of hand actions to both social partners 109 (e.g. embrace) and to the self (e.g. nose wipe), demonstrated a propensity towards mixed-110 handedness [55]. The authors noted that traditional studies of handedness were narrowly 111 defined and did not represent the naturalistic actions of daily life. A recent study of children 112 also found that hand dominance varied across targets, even in those who are otherwise

113 considered right-hand dominant by parent report [56]. The authors demonstrated that while 114 typically developing right-handed boys (aged 4 to 5 years) expressed a significant right hand 115 dominance for object manipulation, no hand preference was found for hand actions directed 116 towards social partners and the self. The authors proposed that in typically developing 117 children, hand actions to object and hand actions to the self /social partners are functionally 118 different behaviors and as thus are associated with different patterns of hemispheric 119 specialization. Specifically, the authors posited that while object manipulation revealed the 120 expected left hemisphere/right hand dominance, hand actions directed to social partners and 121 the self (pooled) incorporated additional right hemisphere resources for processing social-122 emotional content. This interpretation is consistent with prevailing theories of social-123 emotional processing in humans. In humans, the right hemisphere hypothesis considers the 124 right hemisphere to be dominant in all forms of emotional expression and perception [e.g. 57]. 125 while the valence theory posits that the left hemisphere dominance is dominant for positive 126 affect and right hemisphere dominance for negative affect [e.g. 58, 59].

127

128 Self-directed behaviors (SDBs) have been labeled by a host of names (e.g. self-adaptors [60], 129 body manipulators [61]) and have a long history within the field of psychiatry. Evidence 130 suggests a link between stress and SDBs. Specifically, the frequency of SDBs have been 131 correlated with ratings of anxiety and guilt [62]. SDBs are considered to be adaptive 132 responses to counteract stressors and facilitate a return to homeostasis [63]. To date, the 133 influence of cerebral dominance associated with hand dominance for SDBs in humans has not 134 been investigated. Compared with object manipulation, SDBs may represent a functionally 135 different type of manual behavior and would benefit from further investigation separate from 136 hand actions to social partners.

137

Observed naturalistic assessment of hand dominance presents certain challenges akin to that
of the dense data approaches required for acquiring detailed observational information from
individual cases of early language development [64]. Additionally, the fine-grained coding of

141 corpus data sets is a time-consuming process that typically relies on small samples and case 142 studies. Nevertheless, this approach is a data-rich process, necessary to advance our 143 understanding of the association between neurodevelopment, behavior and prognosis. To 144 date, disparities in findings from handedness studies highlight the fact that there is no existing 145 systematic approach for the assessment for handedness. Additionally, there is a paucity of 146 studies that observe naturalistic hand actions for different functional target-types across child 147 populations, hindering our understanding of any underlying relationships between cerebral 148 lateralization and hand preference.

149

150 The current study employed a behavioral observation technique (MultiDimensional Method, 151 MDM) to investigate if handedness is influenced by target type, in typically and atypically 152 developing boys. The MDM is a standardized, objective, coding framework to assess physical 153 action within space, time and context [65]. The study was designed to systematically assess 154 and compare the handedness actions of typically developing boys and boys with autism. We 155 examined how the target type of a manual action influenced the hand with which a child 156 chooses to interact with that target for both groups. Based on a previous naturalistic study 157 child handedness [e.g. 56], we hypothesized that right-handed typically developing children 158 hand choice of would vary depending on the targets type. Additionally, we hypothesized that 159 children with autism would demonstrate a weaker pattern of hand dominance consistent with 160 neuroscientific evidence of decreased lateral specialization in these individuals [45].

161

162 **2. Methods**

163

164 2.1 Participants

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Four typically developing (TD) boys (mean age 57.8, SD 5.25 months; range 53–65 months)
and four boys diagnosed with autism (mean age 60.8, SD 3.86 months; range 57–65 months)
participated in the study. Chronologically age-matched children participated as part of an

169 opportunity sampling of children who were all attending the same school. The test 170 environment was unique in that the TD boys attended the mainstream portion of a primary 171 school, and the boys diagnosed with autism attended the adjoining special needs section of the 172 same school, dedicated to children with a clinical diagnosis of ASD. Based on a subjective 173 report, parents were asked by letter to subjectively classify the children as left, right or mixed-174 handed. Additionally, teachers were verbally asked to corroborate parent classification of 175 child handedness. All children chosen to participate in the study were classified as right-176 handed. Children of this age range were chosen because evidence suggests stable handedness 177 [e.g. 24] and the cerebral processes associated with hand preference for unimanual actions 178 have been established by three years of age [49, 66]. However, strength of hand bias may 179 continue to increase until approximately seven years of age [e.g. 67]. It is not unusual that our 180 sample consisted of all boys, as there is strong evidence to suggest that more boys than girls 181 are diagnosed with ASD. The ratio of male to females diagnosed with ASDs is at least 4:1, if 182 not higher [e.g. 68]. All participants with ASD had an existing diagnosis of autism; a 183 prerequisite for admittance to the special needs school. Original diagnoses were made through 184 a variety of clinical assessments.

185

186 2.2 Data Capture

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188 The Multidimensional Method (MDM) was employed for data capture, coding and analyses 189 in order to reveal structure from signals elicited through organism-environment interactions 190 [65] and to facilitate direct comparisons with previous investigations [e.g. 56]. The MDM is 191 based on the idea that physical actions are embedded within space, time and situational 192 variables. It considers behavior as multimodal and builds on concepts of distributed cognition 193 [69-71] to provide a bottom-up, noninvasive approach to the investigation of behavior. The 194 current investigation employed a focal video sampling approach [72] in which one camera 195 recorded a close-up view of a focal individual in order to capture fine-grained manual actions. 196 Digital video cameras (Panasonic NVGS11B: UK; Sony DCR - TRV900E, IT) were tripod

mounted, but mobile, and followed a child's activity using zoom, tilt and swivel to optimize
their view. Video footage was collected at 24 frames per second. Video streams were
compressed to 15 frames/s for subsequent coding.

200

201 All participants were filmed during the administration of the standardized Autism Diagnostic 202 Observational Schedule (ADOS), conducted by a licensed clinician. The ADOS is a 203 standardized diagnostic assessment that addresses the developmental level and age of the 204 child through activities designed to elicit social interactions, communication and repetitive 205 behaviors for the purpose of diagnosing ASD [73]. Although the ADOS was not designed to 206 assess typical development, TD children were given the ADOS to standardize the situational 207 environment within which the observational data were collected. Experimental data collection 208 involved one continuous focal sampling session of each child during the participation of the 209 clinical assessment. The time taken to assess each child varied depending on the performance 210 of the child. All children completed the diagnostic assessment module whilst seated at a table 211 in a quiet room and in the presence of only the clinician and the cameraperson. Observation 212 times were between 20-35 minutes (Mean = 25.6, SD = 4.2).

213

214 Each participant was administered the module of the ADOS that was considered appropriate 215 for their level of verbal communication abilities. Modules ranged from 1 to 3 (1 for little or 216 no phase speech, 2 for some phase speech but verbally non-fluent, 3 for verbally fluent). 217 Three of four boys with autism were administered Module 1, while one boy with autism 218 completed Module 2. Three of four TD boys completed module 2, while one TD boy 219 completed Module 3. All participants completed all elements of the ADOS test procedure. 220 ADOS results confirmed a diagnosis of autism for all four boys placed within the ASD 221 participation group.

222

223 2.3 Behavioral Coding

225 Handedness assessments generally consist of either or both unimanual manipulations and 226 bimanual activities. In bimanual activities, both hands are employed in a coordinated manner 227 and are sensitive to task complexity. Bimanual activities have been reported to elicit stronger 228 patterns of hand biases in children, adults [49; 74-76] and non-human primates [for a review 229 see 77]. However, unimanual actions have been shown to be sufficient to elicit patterns of 230 hand bias for functionally different targets types in both non-human primates [78, 79] and in 231 children [56] and were most suitable in order to accommodate both of the target types for the 232 present study. Unimanual actions were classified as single-handed lateralized (left, right) 233 actions that acted upon, and made physical contact with the self or and inanimate object 234 targets, while the other hand remained at rest. Any action where one hand was already 235 engaged or was performing an act of posture support was excluded from the dataset. 236

237 Two types of unimanual hand actions were considered. First, contact with the self (self-238 directed behaviors; SDBs) consisted exclusively of manual actions directed towards and 239 making contact with the individual's own body (e.g. supporting the head, scratching actions, 240 nose wipes, eye rubs, hair and face and body manipulations). Manual actions directed towards 241 other individuals in the room (e.g. the camera person, the clinician) were excluded. Actions to 242 social partners combined with actions to the self have previously resulted in mixed-243 handedness in gorillas [78], chimpanzees [79] and children [56]. As the present testing 244 environment did not afford social partners, the investigation provided a unique opportunity to 245 isolate and investigate SDBs as separate from actions to social partners. Second, inanimate 246 object targets comprised of all forms of manual contact with objects in the room (e.g. 247 touching, grasping, pushing). Object targets were classified as either loose or fixed non-living 248 items. However, manual contact with the table at which participants were seated was 249 excluded from analysis due to the high probability of manual contact being made with this 250 target whilst interacting with objects situated on the table. Additionally, communicative hand 251 actions, where a gesture was performed but no physical contact was made with the self or an

object, were also excluded from data capture (e.g. pointing). The task performed by the handwas not considered, only the nature of the target itself.

254

255 There is some discussion within the primate literature about whether bouts or frequencies 256 (events) of hand actions constitute the most accurate measure of manual bias for statistical 257 analysis [80, 81]. Frequencies have raised concerns for experiments investigating bimanual 258 actions, (e.g. bimanual feeding) because these actions tend to develop into sequences, thus 259 violating an independent choice of two hands for actions subsequent to the initial dominant 260 hand choice [e.g. pseudo-replication: see 82, 83]. Because both hands were required to be 'at 261 rest' for the coding of unimanual actions, we preserved independence of the two hands. Thus, 262 the measures reported here represent the more conservative measure of bouts. A unimanual 263 hand frequency count was attributed to an action in which the child reached and made contact 264 with the target.

265

266 2.4 Data Analyses

267

Analyses focused on the handedness of individual children using a dense data set of

269 naturalistic manual actions. Though participant numbers were small due to the dense data

approach, group comparisons were also considered.

271

272 2.4.1 Case Analyses

273

Handedness index (HI) scores and binomial approximations to the z-scores were calculated to highlight individual participant patterns. Handedness Index (HI) scores were calculated using the formula [HI = (R-L)/(R+L)], with R and L being the frequency counts for right and left hand dominance for unimanual actions respectively. HI values vary on a continuum between -1.0 and +1.0, where the sign indicates the direction of hand preference. When R=L, then HI is

279 zero. Positive values reflect a right hand preference while negative values reflect a left hand

280	preference. The absolute value depicts the strength of hand preference. The directional
281	strength of hand preference for each participant was calculated using z-scores such that
282	children were left handed when $z \le -1.96$, right handed when $z \ge 1.96$ and ambiguously
283	handed when $-1.96 \le z \le 1.96$. Binomial tests were performed for each individual, in order to
284	indicate whether the use of the left and right hands significantly differed for SDBs and actions
285	towards inanimate objects. Alpha was set at 0.05 and all tests were two-tailed.
286	
287	2.4.2 Group Analyses
288	
289	Group analyses were conducted using Fisher's exact tests. Paired-sample t tests were used to
290	test simple effects. Because all participants were observed during the same diagnostic
291	assessment, statistical calculations were performed on raw frequencies of manual actions.
292	However, proportions were also calculated for each participant to equalize the weighting that
293	each participant contributed to the data set. Proportions were calculated by dividing the
294	frequency of left or right hand actions by the total frequency of actions.
295	
296	3. Results
297	
298	3.1 Case Analyses
299	
300	Based on parent and teacher reports, all 8 participants were right-handed. Raw frequencies,
301	binomial approximations of z-scores for each participant (P) by lateralized target condition
302	(object, SDBs), HI scores and hand classification are presented in Table 1.
303	
304	Table 1. Frequencies, binomial approximations of the z-score and HI scores of unimanual
305	lateralized hand actions to the self and to objects.
306	
307	-Insert table 1-

3.1.1 Unimanual Actions to Inanimate Targets

3.1.1.1 Typically Developing Children: Case Analyses

313	TD1 produced 28 left-handed and 105 right-handed actions towards inanimate objects
314	resulting in a right hand HI score of 0.58 and a significant right hand bias ($p < .001$), based
315	upon the binomial approximation of the z-score ($z = 6.59$). Results from analyses of TD2,
316	TD3 and TD4 handedness followed the same pattern. TD2 produced 35 left-handed and 83
317	right-handed actions towards inanimate objects resulting in a right hand HI score of 0.41 and
318	a significant right hand bias ($p \le .001$), based upon the binomial approximation of the z-score
319	($z = 4.33$). TD3 produced 55 left-handed and 101 right-handed actions towards inanimate
320	objects resulting in a right hand HI score of 0.29 and a significant right hand bias ($p < .001$),
321	based upon the binomial approximation of the z-score ($z = 3.61$). TD4 produced 43 left-
322	handed and 86 right-handed actions towards inanimate objects resulting in a right hand HI
323	score of 0.33 and a significant right hand bias ($p < .001$), based upon the binomial
324	approximation of the z-score ($z = 3.70$).
325	
326	3.1.1.2 Children with Autism Spectrum Disorder: Case Analyses
327	
328	AS1 produced 51 left-handed and 68 right-handed actions towards inanimate targets resulting
329	in a mixed-handedness HI score of 0.14 and no significant hand preference ($p < .142$), based
330	upon the binomial approximation of the z-score ($z = 1.47$). AS2 produced 49 left-handed and
331	53 right-handed actions towards inanimate objects resulting in a mixed-handedness HI score
332	of 0.04 and no hand preference significant ($p < .764$), based upon the binomial approximation
333	of the z-score ($z = 0.30$). AS3 produced 69 left-handed and 42 right-handed actions towards
334	inanimate objects resulting a left-handed HI score of -0.24 and a significant left hand bias (P
335	< 0.014), based upon the binomial approximation of the z-score ($z = -2.47$). AS4 produced 11

336	left-handed and 26 right-handed actions towards inanimate objects resulting in a right hand HI
337	score of 0.41 and a significant right hand bias ($p < 0.021$), based upon the binomial
338	approximation of the z-score ($z = 2.30$).
339	
340	3.1.2 Unimanual Self-Directed Behaviors
341	
342	3.1.2.1. Typically Developing Children: Case Analyses
343	
344	TD1 produced 40 left-handed and 6 right-handed SDBs resulting in a left-handed HI score of
345	-0.54 and a significant left hand bias ($p < .001$), based upon the binomial approximation of the
346	z-score ($z = -3.74$). TD3 and TD4 followed the same pattern. TD3 produced 68 left-handed
347	and 28 right-handed SDBs resulting in a left-handed HI score of -0.42 and a significant left
348	hand bias ($p < .001$), based upon the binomial approximation of the z-score ($z = -3.98$). TD4
349	produced 31 left-handed and 5 right-handed SDBs resulting in a left-handed HI score of -0.72
350	and a significant left hand bias ($p < .001$), based upon the binomial approximation of the z-
351	score ($z = -4.17$). TD2 produced 22 left-handed and 14 right-handed SDBs resulting in a
352	mixed-handedness HI score of -0.22 and a non-significant left hand preference ($p = 0.242$)

353

355 3.1.2.2 Children with Autism Spectrum Disorder: Case Analyses

based upon the binomial approximation of the z-score (z = -1.17).

356

357 AS1 produced 21 left-handed and 24 right-handed SBDs resulting in a mixed-handedness

HI score of 0.07 and no significant hand preference (p = .764), based upon the binomial

approximation of the z-score (z = 0.30). AS2 produced 10 left-handed and 13 right-handed

- 360 SBDs resulting in a mixed-handedness HI score of 0.13 and no significant hand preference (p
- 361 = .674), based upon the binomial approximation of the z-score (z = 0.42). AS3 produced 10
- 362 left-handed and 9 right-handed SBDs resulting a mixed-handedness HI score of -0.05 and no
- 363 significant hand preference (p = 1.000), based upon the binomial approximation of the z-score

364 (z = 1.00). AS4	produced	12 lef	t-hande	ed and	10	right-h	anded	SBD	s resulting	g in a	mixed-	•

- handedness HI score of -0.09 and no significant hand preference (p = .834), based upon the
- binomial approximation of the z-score (z = -0.21).
- 367

368 **3.2 Group Analyses:**

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- 370 *3.2.1. Typically Developing Children*
- 371
- 372 Mean Handedness Index scores (MHI) were calculated for target categories and overall
- 373 strength of handedness (Figure 1). Typically developing children (who were reported by
- 374 parents to be right-handed individuals) demonstrated the following scores: Overall MHI = -
- 0.036, SDB MHI = -0.475, Object MHI = 0.403. A Fisher's exact test of frequencies revealed
- a significant interaction of handedness and target type (p < .0001).
- 377
- 378 3.2.2 Children with Autism Spectrum Disorder
- 379
- 380 Mean Handedness Index scores (MHI) were calculated for target categories and overall
- 381 strength of handedness. Typically developing children who were reported by parents and
- 382 teachers to be right-handed individuals demonstrated the following scores: Overall MHI =
- 383 0.051, SDB MHI = 0.015, Object MHI = 0.051. A Fisher's exact test revealed no significant
- interaction of handedness and target type (p = 1.0).
- 385

386 - Insert Figure 1-

387

388 <u>Figure 1</u>. Group results for the interaction of hand and action type (self, object). Mean

389 frequencies for typically developing child results are displayed in the left panel and results

- from children with autism are displayed in the right panel.
- 391

2 3.3 Total Frequencies of Hand Actions

393

394	For TD children, SDBs accounted for 29.1% of unimanual actions, while object actions
395	accounted for 70.9% of total unimanual actions. Similarly, for children with ASD, SDB
396	targets accounted for 22.8% of unimanual actions, while object targets accounted for 77.2%
397	of unimanual actions. These percentage of actions for objects and the self were not
398	significantly different between the two experimental groups, $x^2(1) = 0.65$, $p = .42$.
399	
400	4. Discussion
401	
402	4.1 Unimanual Actions to Objects
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404	Based on the findings from the present study, right-handed TD boys and right-handed boys
405	with ASD expressed different patterns of actions to objects. All TD boys demonstrated a
406	significant right-handed dominance for actions to objects at both the individual level,
407	replicating previously reported findings in both great apes [78, 79] and children [56]. One
408	interpretation of this seemingly robust pattern is that it represents an early evolutionary neural
409	division of labor, such that the left hemisphere and right hand are preferentially engaged for
410	hand actions for skilled sequences of hand actions (e.g. tool use) and language processes. A
411	left hemisphere dominance for action sequences that underlie both tool use and language
412	processes may be related to why stronger hand dominance has often been reported to correlate
413	with earlier language acquisition [31] and the successful hemispheric specialization for
414	language [1]. However, regardless of any causal relationship underlying hand preference, the
415	results suggest that for typically developing boys, hand preference is influenced by the target
416	to which the manual action is directed.
417	
418	In contrast to the TD boys, only two of the four boys with ASD demonstrated a significant

419 hand dominance for actions to objects and the direction of bias was split. While one boy with

ASD expressed a relatively strong right-handed bias for actions to objects (HI = .41), the
other expressed a relatively weak left-handed preference (HI = -.24). The two remaining boys
with ASD showed no lateral preference for actions for objects. This result is consistent with
arguments that ASD is characterized by reduced cerebral lateralization [e.g. 84, 85] and
marked by reduced strength of handedness for object manipulation [e.g. 33-37].

425

426 4.2 Unimanual Actions to Self

427

428 Few studies have investigated hand behaviors outside of object manipulation. The studies that 429 have considered hand actions for different target types have revealed mixed-handedness for 430 unimanual actions to animate targets that pooled actions to the self and to social partners [55, 431 56, 78, 79]. However, the present study addressed SDBs in isolation, considering the 432 possibility that hand actions to social partners and hand actions to the self, may be driven by 433 different processes. In humans, SDBs are often used as an index of emotional arousal with 434 regards to the stress response and have been considered the manifestation of 'emotional 435 leakage' [86] and as a result may have invoked more right hemisphere processing compared 436 with actions associated with objects. Analyses revealed that three of the four TD boys 437 demonstrated a significant left hand bias for SDBs, while one TD boy expressed no 438 significant lateral preference, although a non-significant right hand preference was recorded. 439 One interpretation of this pattern of results is that SDBs are preferentially controlled by the 440 right hemisphere in typically developing children. These findings are consistent with studies 441 that have reported a left-handed preference for self-directed face touching in adults who were 442 otherwise right-handed [87], and a further study that revealed that individuals reflexively raise 443 their non-dominant hand to protect their faces [88]. Although untested in the current study, it 444 is possible that SDBs might represent displacement behaviors found to be correlated with 445 levels of stress in studies of both human and nonhuman primates [89].

446

447 Although there is a paucity of human studies relating to this subject, SDBs have been 448 associated with frustration, uncertainty and anxiety in social conflict situations in a variety of 449 primate species [for a review see 90]. One study of chimpanzee SDBs demonstrated a 450 significant group-level increase in self-scratching with increased task complexity [91]. 451 Interestingly, lateral patterns associated with SDBs have also been identified in non-human 452 primates. For example, rehabilitated orangutans exhibited a significant group-level lateralized 453 preference for left-handed scratching and for the fine manipulation of parts of the face [92]. A 454 further study of chimpanzees reported that while self-directed scratching showed no hand 455 preference, there was a significant bias for scratching on the left side of the body. The authors 456 postulated that this behavior was the manifestation of a right hemisphere dominant role in the 457 regulation of the autonomic nervous system during arousal [93, but see 94 for complementary 458 methodological approaches].

459

460 The left hand bias for SDBs in TD children who are otherwise right-handed children, could be 461 acting as a biomarker for heightened emotional processing. Tasks undertaken as part of the 462 ADOS involved active role-play with the clinician and timed problem solving. Increased levels of task complexity or novel challenges (e.g. improvisation) may have increased stress 463 464 levels, resulting in an increase of right hemisphere emotional processing, eliciting left-handed 465 actions directed to the self. This interpretation is consistent with the prevailing theories of 466 social-emotional processing in humans. The right hemisphere hypothesis considers the right 467 hemisphere to be dominant in all forms of emotional expression and perception [e.g. 56]. 468 Additionally, the valence theory [e.g. 57, 58] has garnered support in a number of 469 noninvasive behavioral studies including dichotic listening tasks using affective stimuli [95, 470 96] and in divided visual field studies using facial emotion stimuli [97-99], revealing a left 471 hemisphere dominance for positive affect and a right hemisphere dominance for negative 472 affect [e.g. 100, 101].

473

474 The results of the boys with ASD were markedly different from those of the TD boys. While 475 three of the four TD boys revealed handedness index (HI) scores for SDBs indicating strong 476 left hand biases (ranging between -0.42 and -0.72). All four boys with ASD revealed no hand 477 preference for SDBs with almost equal proportions of left and right hand actions directed to 478 the self and HI scores ranging from -0.09 to +0.07. Common symptoms of ASD include 479 impairments with language and social processes yet to date, research of cerebral asymmetries 480 for function tends to be been devoted almost exclusively to understanding structural 481 asymmetries in language association areas [for a review see 35]. The influence of cerebral 482 dominance for emotive processing associated with hand dominance has not been thoroughly 483 investigated. These findings suggest that handedness for SDBs may engage the opposite 484 hemisphere to that controlling object manipulation and language processing and as such, can provide an additional and complementary marker of cognitive function and a further indirect 485 486 measure of strength of cerebral lateralization.

487

488 4.3 Target-Dependent Unimanual Handedness

489

490 Although the present study could not assess whether left hand biased SDBs and right hand 491 biased actions for objects in typically developing boys were a direct manifestation of right 492 and left hemisphere processing respectively, it is an important consideration for future 493 functional imaging studies. A functional dissociation between hand preference for controlling 494 hand actions for object manipulation and SDBs is consistent with an evolutionary functional 495 distinction between the two hemispheres such that the left hemisphere is dominant for 496 structured sequences of actions (e.g. tool use and language), and the right hemisphere is 497 dominant for actions that are the manifestation of emotive processing (fight or flight) [2]. As 498 such, handedness strength measures across functionally different targets may be a valuable 499 behavioral marker of successful hemispheric functional lateralization across both 500 hemispheres. Additionally, a lack of hand preference for functionally distinct targets may

serve as a useful biomarker for atypical lateralization of cerebral function and thus decreasedcognitive function.

503

504 5. Conclusions

505

506 The systematic observation of spontaneous naturalistic behavior across functionally disparate 507 target-types remains a largely un-tapped area of investigation. To date, studies of handedness 508 have been confounded by disparate investigative approaches across fields of study hindering 509 comparative studies and reproducibility of findings. While we highlight a lack of consistency 510 in approaches to studies of handedness, we purport that naturalistic hand actions provide a 511 rich, observable behavior that may be a valuable marker of decreased cognitive function. 512 Observational approaches of naturalistic hand behavior allow for flexible data capture across 513 different contexts allowing for the study of an ethologically valid set of hand activities. 514 Additionally, studies of naturalistic behavior afford greater flexibility for collecting data from 515 participants of all ages, allowing for the early detection of children's weak hand dominance 516 patterns.

517

518 In the future, functional imaging of the neural processing underpinning hand actions in 519 humans and a systematic inventory of typical behavioral patterns could be used to elucidate 520 the trajectory of typical hand strength development from birth to adulthood. Additionally, the 521 investigation of lateralized motor behaviors of children with different cognitive disorders and 522 delays may help to identify early disruptions to the typical development of cerebral 523 lateralization of basic sensory motor processes that have cascading consequences for the 524 development of higher cognitive functions. Because early motor deficits are not specific to 525 autism, a systematic analysis of behavioral observations of typically developing children and 526 children with developmental delays and/or disorders is necessary to understand the interaction 527 between neurodevelopment, behavior and prognosis. This study introduces one quantitative, 528 objective approach to the investigation of handedness that can be employed to evaluate

529	handedness across different human and non-human primate populations, offering an
530	opportunity to further both developmental and evolutionary aspects of human handedness.
531	
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886 <u>Table 1</u>

unimanal actions to objects

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Left	Right	Binomial	Z-score	HI	Hand	Left	Right	Binomial	Z-score	HI	Hand
F(P)	F(P)	Score		Score	Class.	F(P)	F(P)	Score		Score	Class.
28(.15)	105(.57)	<0.001*	6.59	0.58	Right	40(.22)	12(.06)	<0.001*	-3.74	-0.54	Left
35(.23)	83(.54)	<0.001*	4.33	0.41	Right	22(.14)	14(.09)	0.242	-1.17	-0.22	Mixed
55(.22)	101(.40)	<0.001*	3.61	0.30	Right	68(.27)	28(.11)	<0.001*	-3.98	-0.42	Left
43(.26)	86(.52)	<0.001*	3.70	0.33	Right	31(.19)	5(.03)	<0.001*	-4.17	-0.72	Left
51(.31)	68(.41)	0.142	1.47	0.14	Mixed	21(.13)	24(.15)	0.764	0.30	0.07	Mixed
49(.39)	53(.42)	0.764	0.30	0.04	Mixed	10(.08)	13(.10)	0.674	0.42	0.13	Mixed
69(.53)	42(.32)	0.014*	-2.47	-0.24	Left	10(.08)	9(.07)	1.000	1.00	-0.05	Mixed
11(.19)	26(.44)	0.021*	2.30	0.41	Right	12(.20)	10(.17)	0.834	-0.21	-0.09	Mixed
	F(P) 28(.15) 35(.23) 55(.22) 43(.26) 51(.31) 49(.39) 69(.53)	F(P) F(P) 28(.15) 105(.57) 35(.23) 83(.54) 55(.22) 101(.40) 43(.26) 86(.52) 51(.31) 68(.41) 49(.39) 53(.42) 69(.53) 42(.32)	F(P)F(P)Score $28(.15)$ $105(.57)$ $<0.001^*$ $35(.23)$ $83(.54)$ $<0.001^*$ $55(.22)$ $101(.40)$ $<0.001^*$ $43(.26)$ $86(.52)$ $<0.001^*$ $51(.31)$ $68(.41)$ 0.142 $49(.39)$ $53(.42)$ 0.764 $69(.53)$ $42(.32)$ 0.014^*	F(P)F(P)Score $28(.15)$ $105(.57)$ $<0.001*$ 6.59 $35(.23)$ $83(.54)$ $<0.001*$ 4.33 $55(.22)$ $101(.40)$ $<0.001*$ 3.61 $43(.26)$ $86(.52)$ $<0.001*$ 3.70 $51(.31)$ $68(.41)$ 0.142 1.47 $49(.39)$ $53(.42)$ 0.764 0.30 $69(.53)$ $42(.32)$ $0.014*$ -2.47	F(P)F(P)ScoreScore28(.15) $105(.57)$ $<0.001^*$ 6.59 0.58 $35(.23)$ $83(.54)$ $<0.001^*$ 4.33 0.41 $55(.22)$ $101(.40)$ $<0.001^*$ 3.61 0.30 $43(.26)$ $86(.52)$ $<0.001^*$ 3.70 0.33 $51(.31)$ $68(.41)$ 0.142 1.47 0.14 $49(.39)$ $53(.42)$ 0.764 0.30 0.04 $69(.53)$ $42(.32)$ 0.014^* -2.47 -0.24	F(P)F(P)ScoreScoreClass.28(.15) $105(.57)$ $<0.001^*$ 6.59 0.58 Right35(.23) $83(.54)$ $<0.001^*$ 4.33 0.41 Right55(.22) $101(.40)$ $<0.001^*$ 3.61 0.30 Right43(.26) $86(.52)$ $<0.001^*$ 3.70 0.33 Right51(.31) $68(.41)$ 0.142 1.47 0.14 Mixed49(.39) $53(.42)$ 0.764 0.30 0.04 Mixed69(.53) $42(.32)$ 0.014^* -2.47 -0.24 Left	F(P)F(P)ScoreScoreClass.F(P) $28(.15)$ $105(.57)$ $<0.001^*$ 6.59 0.58 Right $40(.22)$ $35(.23)$ $83(.54)$ $<0.001^*$ 4.33 0.41 Right $22(.14)$ $55(.22)$ $101(.40)$ $<0.001^*$ 3.61 0.30 Right $68(.27)$ $43(.26)$ $86(.52)$ $<0.001^*$ 3.70 0.33 Right $31(.19)$ $51(.31)$ $68(.41)$ 0.142 1.47 0.14 Mixed $21(.13)$ $49(.39)$ $53(.42)$ 0.764 0.30 0.04 Mixed $10(.08)$ $69(.53)$ $42(.32)$ 0.014^* -2.47 -0.24 Left $10(.08)$	F(P)F(P)ScoreScoreClass.F(P)F(P) $28(.15)$ $105(.57)$ $<0.001^*$ 6.59 0.58 Right $40(.22)$ $12(.06)$ $35(.23)$ $83(.54)$ $<0.001^*$ 4.33 0.41 Right $22(.14)$ $14(.09)$ $55(.22)$ $101(.40)$ $<0.001^*$ 3.61 0.30 Right $68(.27)$ $28(.11)$ $43(.26)$ $86(.52)$ $<0.001^*$ 3.70 0.33 Right $31(.19)$ $5(.03)$ $51(.31)$ $68(.41)$ 0.142 1.47 0.14 Mixed $21(.13)$ $24(.15)$ $49(.39)$ $53(.42)$ 0.764 0.30 0.04 Mixed $10(.08)$ $13(.10)$ $69(.53)$ $42(.32)$ 0.014^* -2.47 -0.24 Left $10(.08)$ $9(.07)$	F(P)F(P)ScoreScoreClass.F(P)F(P)Score28(.15) $105(.57)$ $<0.001^*$ 6.59 0.58 Right $40(.22)$ $12(.06)$ $<0.001^*$ 35(.23) $83(.54)$ $<0.001^*$ 4.33 0.41 Right $22(.14)$ $14(.09)$ 0.242 55(.22) $101(.40)$ $<0.001^*$ 3.61 0.30 Right $68(.27)$ $28(.11)$ $<0.001^*$ 43(.26) $86(.52)$ $<0.001^*$ 3.70 0.33 Right $31(.19)$ $5(.03)$ $<0.001^*$ 51(.31) $68(.41)$ 0.142 1.47 0.14 Mixed $21(.13)$ $24(.15)$ 0.764 49(.39) $53(.42)$ 0.764 0.30 0.04 Mixed $10(.08)$ $13(.10)$ 0.674 69(.53) $42(.32)$ 0.014^* -2.47 -0.24 Left $10(.08)$ $9(.07)$ 1.000	F(P)F(P)ScoreScoreClass.F(P)F(P)Score $28(.15)$ $105(.57)$ $<0.001^*$ 6.59 0.58 Right $40(.22)$ $12(.06)$ $<0.001^*$ -3.74 $35(.23)$ $83(.54)$ $<0.001^*$ 4.33 0.41 Right $22(.14)$ $14(.09)$ 0.242 -1.17 $55(.22)$ $101(.40)$ $<0.001^*$ 3.61 0.30 Right $68(.27)$ $28(.11)$ $<0.001^*$ -3.98 $43(.26)$ $86(.52)$ $<0.001^*$ 3.70 0.33 Right $31(.19)$ $5(.03)$ $<0.001^*$ -4.17 $51(.31)$ $68(.41)$ 0.142 1.47 0.14 Mixed $21(.13)$ $24(.15)$ 0.764 0.30 $49(.39)$ $53(.42)$ 0.764 0.30 0.04 Mixed $10(.08)$ $13(.10)$ 0.674 0.42 $69(.53)$ $42(.32)$ 0.014^* -2.47 -0.24 Left $10(.08)$ $9(.07)$ 1.000 1.00	F(P)F(P)ScoreScoreClass.F(P)F(P)ScoreScoreScore28(.15) $105(.57)$ $<0.001^*$ 6.59 0.58 Right $40(.22)$ $12(.06)$ $<0.001^*$ -3.74 -0.54 35(.23) $83(.54)$ $<0.001^*$ 4.33 0.41 Right $22(.14)$ $14(.09)$ 0.242 -1.17 -0.22 55(.22) $101(.40)$ $<0.001^*$ 3.61 0.30 Right $68(.27)$ $28(.11)$ $<0.001^*$ -3.98 -0.42 43(.26) $86(.52)$ $<0.001^*$ 3.70 0.33 Right $31(.19)$ $5(.03)$ $<0.001^*$ -4.17 -0.72 51(.31) $68(.41)$ 0.142 1.47 0.14 Mixed $21(.13)$ $24(.15)$ 0.764 0.30 0.07 49(.39) $53(.42)$ 0.764 0.30 0.04 Mixed $10(.08)$ $13(.10)$ 0.674 0.42 0.13 69(.53) $42(.32)$ 0.014^* -2.47 -0.24 Left $10(.08)$ $9(.07)$ 1.000 1.00 -0.05

unimanual actions to self

* signification for the signification of the signif

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890 <u>Figure 1</u>

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