CMOS DESIGN ENHANCEMENT TECHNIQUES FOR RF RECEIVERS

Analysis, design and implementation of RF receivers with component enhancement and component reduction for improved sensitivity and reduced cost, using CMOS technology

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Submitted for the degree of Doctor of Philosophy

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2010
Abstract

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Keywords
RF Receivers, Inductors, Noise Figure, Component Quality Factor, CMOS, Sensitivity, Compression Point, LNA, UMTS

Silicon CMOS Technology is now the preferred process for low power wireless communication devices, although currently much noisier and slower than comparable processes such as SiGe Bipolar and GaAs technologies. However, due to ever-reducing gate sizes and correspondingly higher speeds, higher Ft CMOS processes are increasingly competitive, especially in low power wireless systems such as Bluetooth, Wireless USB, Wimax, Zigbee and W-CDMA transceivers. With the current 32 nm gate sized devices, speeds of 100 GHz and beyond are well within the horizon for CMOS technology, but at a reduced operational voltage, even with thicker gate oxides as compensation.

This thesis investigates newer techniques, both from a systems point of view and at a circuit level, to implement an efficient transceiver design that will produce a more sensitive receiver, overcoming the noise disadvantage of using CMOS Silicon. As a starting point, the overall components and available SoC were investigated, together with their architecture.

Two novel techniques were developed during this investigation. The first was a high compression point LNA design giving a lower overall systems noise figure for the receiver. The second was an innovative means of matching circuits with low Q components, which enabled the use of smaller inductors and reduced the attenuation loss of the components, the resulting smaller circuit die size leading to smaller and lower cost commercial radio equipment. Both these techniques have had patents filed by the University.

Finally, the overall design was laid out for fabrication, taking into account package constraints and bond-wire effects and other parasitic EMC effects.
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# Abbreviations

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<th>Abbreviation</th>
<th>Description</th>
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<tbody>
<tr>
<td>3GPP</td>
<td>Third Generation Partnership Project</td>
</tr>
<tr>
<td>AC</td>
<td>Alternating Current</td>
</tr>
<tr>
<td>AM</td>
<td>Amplitude Modulation</td>
</tr>
<tr>
<td>ASIC</td>
<td>Application Specific Integrated Circuit</td>
</tr>
<tr>
<td>CDMA</td>
<td>Code Division Multiple Access</td>
</tr>
<tr>
<td>CMOS</td>
<td>Complimentary Metal Oxide Silicon Transistor</td>
</tr>
<tr>
<td>dB</td>
<td>Decibels</td>
</tr>
<tr>
<td>dBm</td>
<td>Decibels with reference to one milliWatt</td>
</tr>
<tr>
<td>dBW</td>
<td>Decibel Watts</td>
</tr>
<tr>
<td>DC</td>
<td>Direct Current</td>
</tr>
<tr>
<td>DCR</td>
<td>Direct Conversion Receiver</td>
</tr>
<tr>
<td>DRC</td>
<td>Design Rules Check</td>
</tr>
<tr>
<td>DSP</td>
<td>Digital Signal Processing</td>
</tr>
<tr>
<td>ESD</td>
<td>Electrostatic Discharge</td>
</tr>
<tr>
<td>ESR</td>
<td>Effective Series Resistance</td>
</tr>
<tr>
<td>FEM</td>
<td>Front-End Module</td>
</tr>
<tr>
<td>Ft</td>
<td>Unity Gain Point of Frequency Transition</td>
</tr>
<tr>
<td>GaAs</td>
<td>Gallium Arsenate</td>
</tr>
<tr>
<td>GMD</td>
<td>Geometric Mean Distance</td>
</tr>
<tr>
<td>IF</td>
<td>Intermediate Frequency</td>
</tr>
<tr>
<td>I/V</td>
<td>Current versus Voltage</td>
</tr>
<tr>
<td>IIP2</td>
<td>Input second Order Intercept Point</td>
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<tr>
<td>IIP3</td>
<td>Input third Order Intercept Point</td>
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</table>
LIF  Low Intermediate Frequency
LNA  Low Noise Amplifier
LO   Local Oscillator
MIM  Metal Insulation Metal (capacitor)
MOSFET  Metal Oxide Semiconductor Field Effect Transistor
NF   Noise Figure
NMOS N-Type Metal Oxide Silicon Transistor
PA   Power Amplifier
PMOS P-Channel Metal Oxide Silicon Transistor
PVT  Process Voltage and Temperature
Q    Quality Factor
RF   Radio Frequency
SAW  Surface Acoustic Wave
SiGe Silicon Germanium
SoC  System on Chip
SPICE Simulation Program with Integrated Circuit Emphasis
S.R.F Self Resonance Frequency
SW   Switch/Duplexer
UMTS Universal Mobile Telecommunications Standard
V    Voltage
VCO  Voltage Controlled Oscillator
WCDMA Wide-Band Coded Division Multiple Access
WLAN Wireless Local Area Network
WPAN Wireless Personal Area Network
ZIF  Zero Intermediate Frequency
1 Introduction

The unmistakable trend over the last decade and a half in mobile hand terminal design has been an ever-increasing amount of integration as a means of reducing cost and power consumption, especially for the cellular telecommunications industry.

A little over a decade ago, a single-band GSM cellular hand terminal would have consisted of over 3000 components for the RF, Baseband and DC circuitry. However, an equivalent entry-level quad-band cellular hand terminal today consists of around 30 components and is a clear indication of the amount of integration that has already taken place over a relatively short time.

Impressive though the design integration has been, the overall design has still maintained the principal division between analogue and digital circuitry, and consequently is centred on two core ASIC chipsets. This is in contrast to related but different WPAN and WLAN standards for which there has been greater success in bridging this divide, to create the much-coveted System on Chip (SoC) design product.

The success in WPAN and WLAN has been in part due to the lower specification requirements set by the relevant standards, particularly in terms of transmit power and receiver sensitivity.

Therefore, the aim of this project was to enhance the RF analogue structures, thus enabling Silicon CMOS technologies to be used more effectively in the implementation of front-end cellular mobile hand terminals, especially for WCDMA 3G UMTS hand terminals, designed to comply with 3GPP specification number 3GPP.TS.25.101 [1].
1.1 References

1.2 Aims and Objectives

1.2.1 Introduction

The aim of the work that was carried out was to design a complete receiver to comply with the 3GPP specification [1] and in the process refine the system architecture and circuit design methodology to provide overall improvements in the transceiver.

Given the complexities of the design requirements, it was envisaged that not all components of the architecture could be implemented to achieve a true single-chip SoC solution. This is in part due to the type of technology being used, and also to the cost involved in fabrication.

Furthermore, given that the complete front-end has now been integrated by specialist component makers like Murata/EPCOS and sold as a Front-End Module (FEM), it makes far more sense to incorporate these developments.

Typically a FEM includes a front-end switch/Diplexer and SAW/band-pass filters, and come matched to a characteristic impedance of 50 Ω.

1.3 Review of Literature

A number of books and papers have been reviewed including most of the IEEE journals on solid state devices particularly from 1999 onwards. A number of IC manufacturers have also relevant literature, downloadable from their websites. These include Skyworks, Infineon, RFMD, Maxim, Triquint and TI.

The initial CMOS design for the receiver architecture is based on the preliminary CMOS work carried out by Thomas H. Lee [2], with the design suggestions described by Behazad Rezavi [3]. According to the LNA design described in [2], inductors at the
gate and source of the CMOS LNA are manipulated to achieve the required impedance values. The source inductor $L_s$ was used to achieve the real part of the required impedance transformation and the phase was achieved by the gate inductor $L_g$. Furthermore, load impedance was provided by the drain inductor and the load capacitor. The gain-boosting techniques described in [4] were studied for their potential to improve the received gain characteristics. Various transistor topologies were investigated based on the work carried out by Boom Kyu Ko [5]. Noise models described by Jerome Le Ny in [6] were studied for their implications for the receiver design.

The mixer/down-converter architectures were based on the work already carried out on a BiCMOS design by Ranjit Gharpurey [7]. The direct conversion SiGe BiCMOS receiver designed by Madjid Hafizi [8] was also studied and various design issues stated taken onboard.

Finally, various test methods for accurately establishing the performance of the final design were investigated. The very useful information provided by John Lukez in [9] was particularly studied and used to verify the simulation data.

1.4 Layout of the Thesis

The thesis is laid out such that the reader is guided through each chapter, beginning with the fundamental theory for CMOS technology and ending with the measurement results and detailed analysis of the design. Chapter 2 describes the fundamental theory of the CMOS technology, providing a quick but yet detailed insight into the technology, highlighting a number of issues that influence high frequency designs in the technology.
Chapter 3 describes the WCDMA wireless receiver design requirements as defined in 3GPP [1] and the different circuit components that are required in order to meet the requirement specification. Whilst also emphasising the practical limitations of the technology. Chapter 3 also introduces one of the fundamental goals of this thesis, namely the reduction of overall components by employing novel design concepts into the receiver design architecture.

Chapter 4 provides a detailed summary of the merits and drawbacks of various receiver architectures and ends by justifying the most suitable architecture in order to achieve the goals of this receiver designed in this thesis.

Chapter 5 provides a detailed analysis of the receiver design in order to meet the 3GPP specification [1] and the design of the receiver itself based on these calculations. The core circuits are the LNA and the Direct Conversion Image Reject Mixer and these two circuits together with the various inductors and capacitors are thus part of the design that is analysed in detail.

Chapters 6 & 7 provide a further detailed design analysis of the high compression point LNA and the simulation and measurement results of this LNA. Chapter 8 provides a detailed design analysis of the Image Reject Receiver Mixer together with the simulation and measurement results. Chapter 9 provides a detailed account of the physical layout of the receiver design whilst emphasising various design issues.

Chapter 10 provides the final post layout design simulation of the entire receiver and finally Chapter 11 summaries all the results and discusses the significance of them.
1.5 References


2 Theory

2.1.1 CMOS and the Silicon Process

Digital circuitry has for long been the domain of CMOS technology. Its unsuitability for analogue circuitry derives from its inferior speed and noise performance and is well documented in [1-4].

Whilst a 0.35 µm SiGe Bipolar outperforms a similar 0.35 µm CMOS process in terms of NF, Gain and current usage, CMOS still performs sufficiently well enough to design substantial amounts of RF circuits[5]. This is further enhanced with improvements in technology, namely the submicron and nanometre processes.

CMOS technology is now proving attractive for the design of analogue integrated circuits [6], as a means of completely integrating the analogue and digital parts into a single System on Chip (SoC). Current gate sizes have reduced to 60 nm for production purposes giving a process $F_t$ in excess of 60 GHz [7].

The cross section of a typical NMOS device is illustrated as in Figure 2-1 [8]:

---

Page 5
The MOSFET consists of two heavily doped n-type regions called the source and drain. In between these heavily doped regions, a gate consisting of a heavily doped polysilicon layer is placed. For an NMOS device, the entire structure sits in a lightly doped p-type substrate, also known as the bulk. For a PMOS device, this structure sits in an n-well, itself sitting within the lightly doped p-type substrate.

In an NMOS device, when a positive voltage is applied to the gate, holes are repelled and at some threshold level of voltage, $V_{th}$, the channel becomes completely depleted of charge. Further increases in voltage cause a gate-induced inversion layer of electrons forming a conduction layer, which joins drain and source together. This conduction layer is commonly known as the channel.

Ignoring the charge doping in the oxide layer, $V_{th}$ can be expressed as follows [9]:

$$V_{th} = \phi_{ms} + 2\phi_F + \frac{Q_{dep}}{C_{ox}}$$  \hspace{1cm} (1)

where,

$\phi_{ms}$ is the difference between the polysilicon gate and the silicon substrate,
Theory

$Q_{dep}$ is the charge in the depletion region and is equal to

$$
\sqrt{4q\varepsilon_s|Q_F|N_{sub}}, \quad (2)
$$

$C_{ox}$ is the gate oxide capacitance per unit area,

$$
\phi_F = \frac{kT}{q} \ln\left(\frac{N_{sub}}{n_i}\right), \quad (3)
$$

Where

$q$ is the electron charge,

$N_{sub}$ is the doping concentration of the substrate, and

$\varepsilon_s$ is the dielectric constant of silicon.

2.2 Derivation of the I/V characteristics

2.2.1 First order effects

Consider the semiconductor bar carrying current $I$ in Figure 2-2, where $I$ can be represented as [9]

$$
I = Q_d \nu \quad (4)
$$

in which $Q_d$ is the charge density in Coulombs per metre and $\nu$ the velocity of the charge. At the onset of inversion, when the gate voltage $V_{gs} > V_{th}$, any excessive charge
that appears on the gate is mirrored by the channel [9], providing a channel charge equal to

\[ Q_d = W C_{ox}(V_{gs} - V_{th}) \]  \hspace{1cm} (5)

where \( C_{ox} \) is the gate oxide capacitance per unit area and \( W \) is the width of the channel.

If the drain voltage \( V_D \) is of a value greater than zero and the source voltage \( V_S \) is zero volts, then for an applied gate voltage \( V_G \) the channel potential varies from \( V_G \) to \( V_G - V_D \).

At a point \( x \) along the channel, the charge density is given by [9],

\[ Q_d(x) = W C_{ox}(V_{gs} - V(x)) - V_{th} \]  \hspace{1cm} (6)

For semiconductors, \( v = \mu E \) where \( \mu \) is the electron mobility and \( E \) the electric field.

The electric field is also described as the rate of change of voltage per unit distance [9] i.e.

\[ E(x) = -dV/dx, \text{ so} \]

\[ I_D = W C_{ox} [V_{GS} - V(x)] - V_{th} \mu_n [d(V(x))/dx] \]  \hspace{1cm} (7)

Imposing boundary conditions \( V(0) = 0; V(L) = V_{DS} \) and integrating on both sides

\[ I_D = \mu_n C_{ox} \frac{W}{L} [(V_{GS} - V_{th})V_{DS} - \frac{1}{2}V_{DS}^2] \] \hspace{1cm} (8)

At saturation \( V_{DS} = (V_{GS} - V_{th}) \) \hspace{1cm} (9)

which implies that \( I_D = \mu_n C_{ox} \frac{W}{L} \frac{1}{2} [V_{GS} - V_{th}]^2 \) \hspace{1cm} (10)

For PMOS devices a negative sign is added to the above equation. Hole mobility is 1/2 to 1/3 the mobility of electrons, which implies a lower current drive capability.

From equation (10) the transconductance \((g_m)\) of the device can be derived as,

\[ g_m = \frac{\partial I_D}{\partial V_{GS}} \]  \hspace{1cm} (11)

At conditions of saturation, the \( g_m \) is derived as
\[ g_m = \mu_n C_{ox} \frac{W}{L} (V_{GS} - V_{th}) \],

and in the linear triode region

\[ g_m = \mu_n C_{ox} \frac{W}{L} V_{DS}. \]

### 2.2.2 Second order effects

For submicron devices, the second order effects must be taken into account, in order to ascertain the true functionality of the devices.

#### 2.2.2.1 The body effect or the back gate effect (\( \gamma \))

The bulk voltage \( V_B \) can act as a second gate in CMOS devices. As \( V_B \) becomes more negative, the holes in the channel are attracted to the substrate connection leaving negative charge behind. This causes the depletion region to become wider than intended. Also, as \( V_B \) increases then \( V_{th} \) also increases. This is expressed as follows [10]:

\[ V_{th} = V_{th0} + \gamma (\sqrt{2}\phi_p + V_{SB} - 2\phi_F) \]  

where \( \gamma = (\frac{\sqrt{2} q \varepsilon_{si} N_{sub}}{C_{ox}}) \)

\( N_{sub} \) and \( C_{ox} \) are usually balanced out to give reasonable values of \( \gamma \).

#### 2.2.2.2 Channel length modulation (\( \lambda \))

As the potential between the gate and the drain increases, the actual length of the channel decreases. In other words, the effective channel length \( L \) is a function of \( V_{DS} \) [11].
where \( L' = L - \Delta L \) and \( \frac{\Delta L}{L} = \lambda V_{DS} \).

Thus \( I_D = \mu_n C_{ox} \frac{W}{L} \frac{1}{2} [V_{GS} - V_{th}]^2 (1 + \lambda V_{DS}) \).

The channel length modulation becomes more pronounced for shorter channel lengths, making the device behave less like an ideal current source.

### 2.2.3 Parasitic model (MOS device capacitance)

As illustrated in Figure 2-3 and Figure 2-4, between each pair of the four terminals of a MOSFET there exists a capacitance that is both layout and bias dependent [12].

---

**Figure 2-3:** Symbolised MOS Device Parasitic Capacitance

**Figure 2-4:** MOS Device Parasitic Capacitance (Layout)
2.2.3.1 Oxide capacitance C1

C1, oxide capacitance between the gate and the channel, is given by [12]

\[ C1 = W \cdot L \cdot C_{ox} \]  

(18)

2.2.3.2 Depletion capacitance C2

C2, depletion capacitance between the channel and the substrate, is given as [12].

\[ C2 = W \cdot L \cdot \sqrt{q \epsilon_{m} N_{sub} / 2 \phi_{F}} \]  

(19)

Capacitance C1 and C2 added together are commonly referred to as the gate bulk capacitance \( C_{GB} \).

2.2.3.3 Overlap capacitances C3 and C4

C3 and C4 are the gate-poly overlap capacitances with the source (\( C_{GS} \)) and drain (\( C_{GD} \)) region respectively, and are layout and process dependent. \( C_{OV} \), the overlap capacitance per unit area is used to calculate this value [12]

\[ 2/3WL C_{ox} + WC_{OV} \]

\[ WLC_{ox} \]  

\[ + WC_{OV} \]

\[ 2 \]

\[ V_{TH} \]

\[ V_{TH} \]

\[ V_{GS} \]

\[ V_{DS} \]

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When the device is in the deep triode region, the gate voltage draws equal amount of charge from both the Drain and Source [12]. Thus,

\[ C_{GD} = C_{GS} = \frac{W L C}{2} + W C_{OV} \]  

(21)

where \( W \) is the width of the channel and \( L \) is the length of the channel specified in \( \mu \text{m} \) and overlap capacitance \( C_{ov} \) is specified in fF [12]. However, when the device is in saturation,

\[ C_{GS} = \frac{2}{3} W L C + W C_{OV} \]  

(22)

### 2.2.3.4 Junction capacitance

\( C_5 \) and \( C_6 \) are the junction capacitances between the source and the drain area and are further subdivided as the bottom plate junction capacitor, denoted by \( C_j \), and the sidewall junction capacitor [13], denoted \( C_{jSW} \).

Each of the junction capacitors can be expressed as

\[ C_j/[1 + V_R/\Phi_B]^m \]  

(23)

\( V_R \) is the reverse voltage across the junction and \( \Phi_B \) is the junction built-in potential, with \( m \) typically 0.3 to 0.4.

### 2.2.4 MOS small signal model

Small signal models are derived by producing small signal increments in bias point and calculating the resulting increments in other bias parameters.

From equation (8), it was established the \( I_D \) is primarily a function of \( V_{GS} \) and from equation (11) it was established that \( g_m \) is the derivative of \( I_D \) with \( V_{GS} \). In equation (17) the concept of channel length modulation, which was dependent on \( V_{DS} \), was
introduced. However, a current source that is dependent upon the voltage across it can be represented by a resistor $r_o$, where,

$$r_o = \frac{\delta V_{DS}}{\delta I_D} \quad (24)$$

In equation (14) the body effect was derived and its effect on the threshold voltage was described. With all other parameters held constant, it could be concluded that the body effect acts as a second current source and that $I_D$ is a function of the bulk voltage. These can then be modelled by voltage-dependent current sources and together with the device capacitances, the complete MOS small signal model [14] can be sketched as follows in Figure 2-6

\begin{figure}[h]
\centering
\includegraphics[width=\textwidth]{mos_device_spice_model.png}
\caption{Spice Model of MOS Device}
\end{figure}
2.3 References


[7] Available online at www.TSMC.com


3 Wireless Receivers

3.1 Introduction

For high frequency designs, advanced technologies exist that produce far better performance than obtainable with Silicon CMOS. However, for consumer product applications, costs are of paramount importance and therefore key to technology selection. In other words, it is the circuit designer’s responsibility to try to obtain the best possible performance from less than ideal technology.

CMOS technology is attractive due to its low cost, high-level integration, and even higher performance in terms of cut-off frequency [1],[2]. However, one of the more fundamental problems with CMOS technology is that at high frequencies, low transconductance and signal loss through the conducting silicon substrate make the technology much harder to work with.

For a wireless transceiver the front-end losses, Gain and thermal noise all dominate the entire system’s noise figure (NF) and thereby the sensitivity of the receiver. In other words, the best receiver design consists of a low loss filter and duplexer, a high Gain low noise amplifier (LNA) with a very low thermal noise contribution, all without compressing the signal in the process. However, high frequency design with CMOS technology inevitably implies higher signal losses through the drain and source due to substrate parasitics that severely degrade the NF and the Gain of the amplifier [3]-[6].

To enable the efficient construction of RF blocks for a transceiver it is essential to consider the whole system in order to optimise the various design segments. Figure 3-1 illustrates the various system components of a direct conversion receiver.
3.1.1 Antenna

The antenna is used to receive and transmit electromagnetic signals. The return loss of an antenna is centred at the frequency of operation. In modern transceiver architectures, the same antenna is used for transmission and reception and therefore is centred to cover both frequency bands. For the circuit being considered, i.e. a 3G UMTS transceiver, this frequency range is 1920 MHz to 2170 MHz.

Given the power requirements and frequency of operation, the integration of such a device into a transceiver chip is neither impractical nor cost-effective. Therefore, this part of the circuit is not considered further.

3.1.2 Front-End Duplexer

Often the term Duplexer is confused with diplexer, an error even the most experienced engineers make. Diplexers are designed for singular operation and are not for use when the transmitter and receiver are ON at the same time.
A Duplexer on the other hand is principally designed for simultaneous transmission and reception. Since the UMTS WCDMA specification calls for such simultaneous operation, such a device is considered as part of the system calculation.

Though it is practically possible to design such a device to be included in an integrated circuit, such a design is likely to be:

- very large in comparison with other circuit components and likely to exceed any reasonable attempt to justify the cost
- unlikely to possess the required circuit Q due to high substrate losses

For these reasons, this circuit is also not considered any further. For systems calculations an EPCOS component was considered. Table 3-1 illustrates this specification [7]: see also Figure in the appendix.

<table>
<thead>
<tr>
<th></th>
<th>Typical (dB)</th>
<th>Maximum (dB)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Tx insertion loss</td>
<td>1.2</td>
<td>1.5</td>
</tr>
<tr>
<td>Rx insertion loss</td>
<td>1.8</td>
<td>2.0</td>
</tr>
<tr>
<td>Tx Band Attenuation</td>
<td>50</td>
<td>53</td>
</tr>
<tr>
<td>Rx Band Attenuation</td>
<td>45</td>
<td>47</td>
</tr>
</tbody>
</table>

Table 3-1: Duplexer Performance table

### 3.1.3 Band-Pass filter

The principal function of a band-pass filter is to allow signals within the frequency band of interest to pass, whilst rejecting unwanted signals that may cause interference to the detection of the wanted signal.

The level of rejection of unwanted signals dictates the order of the filter, i.e. how many poles the filter should possess. The more out-of-band rejection that is required, the
higher the number of poles in the filter. Given the absence of large component Q’s in CMOS technology, the component requirement will be an order of magnitude greater than for alternative technologies, due to the low ESR value per component in CMOS technology. This in turn implies larger losses at the wanted frequency band for the Silicon CMOS technology that is being considered for his work.

Given 3GPP specification requirements as stated in [8], a very large filter design would have to be considered in order to fulfil the system requirements. Such a circuit is thought impractical to implement in silicon and hence is not considered further.

However, from a system’s perspective of this design, the EPCOS filter B7752 is considered.

This component has the following specification as illustrated in Table 3-2 [7]:

<table>
<thead>
<tr>
<th></th>
<th>Typical (dB)</th>
<th>Maximum (dB)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Rx insertion loss</td>
<td>2.4</td>
<td>2.8</td>
</tr>
<tr>
<td>Tx Band Attenuation</td>
<td>35</td>
<td>40</td>
</tr>
</tbody>
</table>

*Table 3-2: RX Filter Performance table*

### 3.1.4 Power Amplifier and Low-Pass Filter

Low-pass filters are usually implemented as part of the matching circuit to a power amplifier design. The principal function of the low-pass circuit is to suppress harmonics of the wanted signal. Again, the order of the filter is dictated by the linearity of the power amplifier, and also by the requirements set by the 3GPP specification [8].

The 3GPP standard requires that the PA produces a signal at the wanted frequency band of 1920 to 1980 MHz and that the power level at the input of the antenna be 27 dBm
and without AM compression. Given the weight and size requirements of a handheld device, the battery power availability is at a premium and hence amplifier linearization techniques need to be implemented in order to improve efficiency. Adaptive pre-distortion is widely considered the most practical method in amplifier linearization [9].

Initially a pre-amplifier for this was constructed as part of this project, and could be implemented as part of the complete transceiver. However, including the PA has not been considered any further due to the radiation issues resulting from the large power levels, and also the complexity involved in constructing a linearization circuit.

3.1.5 Low Noise Amplifier

The principal function of an LNA is to amplify the received level whilst adding as little thermal noise to it as possible, thus enabling the incoming signal to be detected despite the noise of the subsequent stages [10].

Furthermore, it should be able to accommodate large signals without distortion and without compressing the mixer/demodulator circuit that follows it. The LNA is also required to present a characteristic impedance to match that of the filter and mixer and to do so whilst maintaining stability.

It is recognised as vitally important that the port impedances of the LNA are conjugately matched and do not cause instability in the amplifier. Frequently the optimum input impedance match for minimum NF differs from this conjugate match. Such a mismatch will often cause a ripple effect in the pass band of the receiver.
3.1.6 Mixer/Demodulator

As illustrated in Figure 3-2, the received signal is directly down converted to a base-band signal indicating Zero Intermediate Frequency (ZIF) or a Low Intermediate Frequency (LIF). This is indeed the case for the up-conversion of the transceiver.

However, performance issues are far more significant for the down-conversion than for the up-conversion. These include flicker noise (1/f noise), Direct Current (DC) rejection, IIP2 and IIP3.

The IP2 is particularly problematic [11] in direct conversion receivers, where the front-end 2nd order non-linearity also demodulates the AM component of the amplitude modulated blocker down to baseband, reducing either in part or in full to the receivers blocking margin.

Local oscillator (LO) feed-through is crucially important in ZIF receivers as the LO and RF signals are often at the same frequency. To avoid this, the LO is usually operated at twice the frequency required and then divided to the wanted frequency at the demodulator input.
Due to the intermodulation attenuation requirement, the down-converter requires a high IIP3 performance. Also, due to the possible presence of closely spaced interferers, the down-converter also requires a high IIP2. Whilst the IIP3 can be improved by adjusting bias levels, the IIP2 improvements are typically achieved by improving the symmetry of the design, improving the quality of the LO signal and also by improving the LO to RF isolation.

Given that Enhancement-Mode CMOS transistors are essentially surface devices, they exhibit far more 1/f noise due to the phenomenon of charge trapping that can run to 100 MHz. This has a direct impact on the system’s NF as it causes un-removable FM phase noise that in return restricts the data that can be received by the discriminator circuit.
Aims and Objectives

Again different implementations were considered. The Direct Conversion transceiver architecture proposed by [12], [13] was chosen as part of this project, to be implemented for compliance with the 3GPP standard.

3.2 Conclusion

It is not practically possible to add all items in a mobile communications handset transceiver, particularly the power amplifier and some of the front-end passive filter requirement. Down-conversion and up-conversion is best performed directly without an intermediate step, thus reducing the component count and passive filter requirements. The issues associated with direct conversion such as 1/f noise can be resolved with high speed DSP in the baseband section of the circuit.
3.2.1 References


4 Types of Receiver Systems

4.1 Introduction

The direct conversion receiver, as opposed to the superheterodyne receiver, was first proposed in 1924 by F.M Colebrook [1]. Further work was undertaken by D.G Tucker in 1947 [2] and in 1954 [3].

In the recent decade, with the constant push by the wireless industry and in particular the mobile communications industry, together with advances in monolithic integration technology, the direct conversion radio has become a reality. Lately, several publications have appeared: in particular [4] and [5] provide a thorough insight into the direct converter and address a number of inherent problems associated with Direct Conversion Receivers (DCR’s).

4.2 Superheterodyne Receiver

This is still the most widely used reception technique for most receivers. However, in the last few years this technique has been almost entirely been replaced by the DCR technique.

Though there are several different varieties of the superheterodyne receiver [6-8], they all rely on the principle that the signal is first amplified by an LNA at the transmission frequency before being down-converted to an intermediate frequency (IF), after which it is further down-converted to a baseband signal before being passed on for digital processing. The following figure illustrates this process [9]:

As illustrated in Figure 4-1 the main concern with superheterodyne receivers is image rejection. The RF channel at a distance of IF frequency away from the main carrier is also down-converted to the IF frequency band as illustrated in the above figure. The critical importance of the 2\textsuperscript{nd} Image Reject Filter that is thus placed following the LNA. It is common to have this filter of a sufficiently high order in order to reduce the overall Noise Figure (NF) of the system, thereby maintaining sufficient sensitivity of the receiver. Therefore, the higher the IF frequency relative to the RF channel frequency, the better the filter rejection, as the image is thereby much further away from the carrier frequency.

### 4.3 Hartley/Weaver Method Receivers

Alternatively, it is possible to utilise trigonometric identities to remove the image reject mixer [7, 10]. In the method explained in [7] the signal is down-converted by two mixers into I and Q. Then Q is shifted by 90\(^0\) before recombining the two paths with opposite polarities. This way the image is cancelled out. This method is also known as the Hartley method [11], and is explained in the following Figure 4-2[12]:

![Superheterodyne Receiver Architecture](image-url)
The method, explained in [10], is based on the Weaver method [13]. As illustrated in Figure there are two receive paths in this method, one of which is shifted by $90^\circ$ and then later recombined to achieve the same results. This is illustrated in the following Figure4-3 [12]:
4.4 Direct Conversion Receivers
The above Figure 4-4 refers to direct conversion receiver [14], which is sometimes also referred to as the Zero IF (ZIF) or Low IF (LIF) receiver. This type of receiver has many advantages especially in multi-band, multi-standard receivers. A particular advantage with this type of receiver is that the image of the desired channel is the channel itself, due to the fact that the IF has zero value, thereby removing the need for an extra image-reject filter. Furthermore, the only front-end filtering required is for interference rejection.

However, as a drawback, the LO and the wanted signal are at the same frequency and hence may self-mix, thereby producing an unwanted DC component. Another problem would be that the DC value of the wanted signal would be effected in de-coupling the DC voltage from the demodulation. However, it is possible to measure the DC value of the channel during an idle period and then to store it in a capacitor to be subtracted from the signal path when the signal path is active.

An alternative method is to use LIF by offsetting the frequency such that any possible image bands lie in a dead-zone, that is to say in a frequency band so close to the carrier that no channel has been allocated to them.

Modern receivers all tend to use more sophisticated DSP techniques to compensate for channel losses due to AC coupling. LO leakage and isolation can be achieved by means of careful layout and also by running the VCO at twice the receiver frequency and then using a divide by two for the demodulator LO port.

Non-thermal noise considerations are also a major worry in direct conversion receivers (DCRs). In particular, the flicker noise becomes a major issue. To make matters worse,
Types of Receiver Systems

CMOS mixers contribute far more heavily to flicker noise than bipolar technology does. One way to reduce the flicker noise is to increase the device size thereby increasing the gate capacitance, which ultimately reduces the flicker noise. However, by increasing the effective capacitance the effective RF gain is reduced significantly due to the decoupling effects of the junction capacitor.

For this reason, many of the current generation of DCRs are actually low-IF receivers, whereby the IF is at 100 MHz rather than zero, and this is then processed using high-speed DSP techniques. Newer techniques used in industry, especially for the low-signal requirement transmission schemes such as Bluetooth, employ passive FET mixers that do not contribute flicker noise. These mixers are being implemented currently by companies such as NXP in their latest Bluetooth chipsets. However, the exploration of this type of technology is beyond the scope of this present work.

4.5 Conclusion

Having considered the merits of various receiver architectures it is found that the direct conversion architecture with LIF is best suited for the requirements of designing a UMTS receiver chipset in CMOS technology. The image frequency issues associated with LIF can be eliminated by making sure that the image band is in an unallocated part of the spectrum, where no other transmission frequency has been allocated.
4.6 References


5 Design Investigation

5.1 Introduction

In this chapter the principal receiver design requirement is defined against the sensitivity requirement specified in the 3GPP requirements specification for UMTS receivers for mobile handsets. Based on this requirement and the requirements defined in chapter 3, 4 and 5, a suitable receiver circuit is designed and analysed, introducing new and innovative methods the components and circuit in a performance limiting technology such as the 0.35 µm CMOS Technology.

5.2 Sensitivity requirements for WCDMA Receivers

The ability of the receiver to receive radio frequency signal transmission depends on its sensitivity. The receiver’s function therefore is to amplify the signal to a higher level so that the detector circuit can detect and decode the signal whilst adding as little noise as possible. The noise can be categorised as thermal noise, flicker noise and losses in signal path. [1]

\[
\text{Thermal Noise Power} = kT
\]  \hspace{1cm} (25)

where \( k \) is Boltzman’s constant = 1.38 x 10\(^{-23} \) JK\(^{-1} \),

and \( T \) is room temperature in Kelvin = 290 K

Therefore thermal noise (in dB) = -203.9 dBW.

which in dBm is -203.9 + 10 \log_{10} 1000 = -174 dBm
Channel bandwidth = 3.84 MHz which in dB is 65.843 dB, where the Channel Bandwidth in the bandwidth of the modulated signal without the carrier present.

Thermal noise at the antenna is therefore = \(-174 + 65.8\) dB = \(-108.2\) dB

For the 12.2 kbps (for voice) WCDMA modulation scheme, the code length is 128 bits. Therefore, the thermal noise is reduced by this amount due to the de-spread. In dB this is \(10 \log (1/128) = 21.072\) dB.

The effective noise power is therefore \(-108.2 - 21.1 = 129.3\) dBm

For a 0.1 % BER, the required SNR (Es/No) is 0.9 dB
(Note: that for Eb/No this is 0.9 + 4, which is approximately 5 dB)

Therefore the required noise power at the antenna cannot be less than \(-128.4\) dBm and the WCDMA requirement is for \(-117\) dBm

This translates to a maximum noise contribution in the receiver of \(-117 - (-128.4) = 11.4\) dB. Allowing for a margin of error of 2 dB, the maximum noise contribution must not exceed 9.4 dB in the receiver [2].

This is summarised in the following table:

<table>
<thead>
<tr>
<th>Thermal Noise</th>
<th>@290K</th>
<th>-174 dBm/Hz</th>
</tr>
</thead>
<tbody>
<tr>
<td>For Receive Band Noise</td>
<td>3.84 MHz</td>
<td>65.843 dB</td>
</tr>
<tr>
<td>Code De-Spread Noise Reduction</td>
<td>For code length 128 bits</td>
<td>-21.072 dB</td>
</tr>
<tr>
<td>Required SNR</td>
<td>Eb/No (for voice channels)</td>
<td>+5 dB</td>
</tr>
<tr>
<td>Margin of Error</td>
<td></td>
<td>+2 dB</td>
</tr>
<tr>
<td>WCDMA Requirements</td>
<td>For Hand Terminals</td>
<td>-117 dBm</td>
</tr>
<tr>
<td>Allowed Maximum Receiver Noise</td>
<td>174-(65.8+21.1-5-2)-117</td>
<td>9.4 dB</td>
</tr>
</tbody>
</table>

*Table 5-1: Systems Noise Budget for Receiver*
5.3 The LNA

5.3.1 Typical Cascode LNA

A typical cascode LNA is implemented to reduce miller effect and proved high gain with lowest thermal noise contribution [3]. The Common Gate Transistor M2, in effect isolates the $C_{gd}$ capacitance of the common source transistor M1 from the output of the amplifier.

A cascode LNA is designed as follows:

![Cascode LNA Circuit Diagram](image)

$\text{Figure 5-1: Cascode LNA and equivalent circuit}$

At resonance the following equations can be used [4]

\[
\frac{V_{out}(\omega_0)}{V_S} = A_v = \frac{I}{2} \left( \frac{L_1}{L_s} \right) \times \left[ \frac{1}{1 - \omega_0^2 \frac{L_s}{R_b} C_{gd2}} \right] \times \left[ \frac{1}{1 + j\omega_0 \frac{C_{gr1}}{g_{m2}}} \right]
\]  
(26)

which can be further approximated to
Design Investigation

\[ A_r \approx \frac{1}{2} \left( \frac{L_1}{L_s} \right) \times \frac{1}{1 + j \left( \frac{\omega_0}{\omega_T} \right)} \]  \hspace{1cm} (27)

and the Noise Figure can be calculated as

\[ NF = 1 + \Gamma \left[ 1 + \frac{4\omega_0^3 C_{g}^2}{g_m g_{m2}} \right] \] \hspace{1cm} (28)

The capacitive effects of the NMOS transistor is resonated out with the gate inductor \( L_{B1} \) and the degenerative inductor \( L_{B2} \) provides the real impedance for the input match. The load inductor \( L_1 \) together with the load capacitor \( C_1 \) provide the load at resonance frequency.

The common gate transistor \( M2 \) is tied up to provide an open gate between the drain of the first transistor \( M2 \) and the output of the amplifier. \( M1 \) is then biased to provide the required gain.

**5.3.2 Low biased cascode LNA with Drain Follower**

The proposed LNA consist of a low biased cascode LNA stage consisting of high-mobility NMOS devices and followed by a more linear but higher noise contributing PMOS drain follower stage. This configuration is illustrated in the following diagram:
Figure 5-2:  Low Biased Cascode LNA with Drain Follower

A number of improvements were made in the above circuit. They are as follows:

1. Input capacitance is added in parallel to the bond-wire inductance to provide higher value inductances. This is further explained in the following Figure 5-3:
The effect of this arrangement is that the effective Q of the component is increased by reducing the resonance frequency near but not below the required frequency band. Thus thicker bond-wires can be used, that have a lower overall inductance value but significant also have a lower effective series resistance value as well.

2. The input cascode stage of the LNA is biased lightly, thus providing a low $g_{m}$ but higher compression point [6]. This has the added effect of lowering the first stage noise figure but also considerably lowering its gain.

3. The second stage LNA consisting of the Drain follower PMOS device, which provides the vast majority of the gain and isolates the first stage LC parallel resonant load from the output load requirements of the LNA.

The biasing at the input stage could further be explained as that of a “deep” class AB design, lately being used as the preferred technique in modern Linear PA techniques, but in this case it was utilised for the receiver LNA. By keeping the bias very low, barely enough to switch the amplifier on, higher signal strengths were tolerated by the LNA.

Designing this in two stages, used the low mobility stage PMOS transistor in the second stage of the design. Even though this second stage had a high NF, this effect was reduced by the first stage amplification.
5.3.3 Balanced Lightly Biased Cascode LNA with Drain Follower

This is the same as the single-ended version of the LNA but is balanced at the input. This method is explored in order to utilise a single component balanced filter at the input, rather than having to implement a Balun at the stage following the LNA. Balanced inputs are required as the de-modulator is an image reject double balanced Gilbert cell type circuit. Such a configuration is required as this design is that of a Low-IF configuration rather than that of a Zero-IF, and hence image rejection is a real and serious issue.

5.4 The Mixer (De-Modulator) Circuit

The down-conversion mixer is a quadrature Gilbert-type direct conversion mixer and is designed as shown in the following Figure 5-4.

![Figure 5-4: Quadrature Image Reject Mixer/ Demodulator]

As can be seen from the above diagram, the image reject mixer consists of 8 switching transistors and 4 transconductors, all arranged in symmetry. One pair of switching transistors and a transconductor constitutes a single balanced mixer. For analytical purposes, the following diagram is that of one such balanced mixer.
Each differential input to the mixer has a transconductor stage and this consists of a single NMOS device. The functionality of the transconductor is to convert the available voltage into current to be mixed by the upper section of the switches, operated at the LO frequency.

The upper switching section of the mixer is controlled both by a gate bias and by the LO signal at its input. The applied gate bias thus acts as an offset voltage to the mixing action of the circuit. Sharp transitions in the LO signal provide a better zero crossing noise contribution and nonlinearity.

Flicker noise is contributed by two mechanisms [5]:

1. Zero crossing of the tail current (the direct method).
2. Induced current in the tail capacitance (the indirect method).

Larger capacitive gates and floating on-chip inductors tend to reduce the flicker noise as they filter out some of the noise [6]. The width of the transistor has been set to 1.5 mm, which is the recommended width for optimum $F_T$ from the graph in Figure (appendix section). The benefit of the floating inductor method described in [6] remains doubtful as simulations tended to show little or no benefit when implemented in the mixer circuit.

The widths of all the transistors are for maximum $F_T$ (or $\omega_r$) and hence minimum NF as illustrated in the following equation [7]:

$$F_{\text{min}} = 1 + 2R_T[G_{\text{opt}} + G_c] \approx 1 + \frac{2\omega_r}{\omega_r \sqrt{5}} \sqrt{\gamma.\delta(1-|c|^2)}$$  \hspace{1cm} (29)

where

$$\omega_r = 2\pi F_I$$  \hspace{1cm} (30)
and $\gamma$ is the body coefficient, $\delta$ the gate noise coefficient and $c$ the correlation coefficient.

Due to the direct conversion requirements placed on these mixers, reactive components at frequencies close to zero are not possible, and active loads would provide excessive noise. For these reasons, resistive loads are placed, even though they consume valuable voltage headroom.

### 5.5 The Capacitor

In the CMOS 35 $\mu$m Austriamicrosystems™ process there are two main types of capacitors available. One is the Poly1-Poly2 capacitor and the other is the Metal to Metal capacitor also know as the Metal Insulator Metal (MIM) capacitor. The Poly1-Poly2 capacitor has a higher variation of +11% to -9%, which has to be taken into account in the matching circuit. In most cases it was found more prudent to use these poly capacitors only for de-coupling and coupling requirements as the capacitance per unit area was much greater than the MIM variety. The ESR value was also much larger in Poly-Poly capacitors, with component Q of 54 at 1 pF and 13.5 at 4 pF at a frequency of 2.14 GHz [8].

The MIM capacitor is highly tolerant to process and temperature variations. With a capacitance range of 0.1 pF to 1 pF, it has a tolerance of better that 5% over all PVT variations. The minimum component Q factor of this device is 100 [8].

### 5.6 The Resistor

Poly resistors and high poly resistors were both used. Poly resistors tended to have greater accuracy and were therefore used accordingly. In addition N-well resistors were
also used for biasing circuits where the signal had to be prevented from entering the DC bias domain.

The frequency response of these resistors is consistently far above 6 GHz [8]. Since the actual high frequency response of these devices is approximately constant, further explanation is deemed unnecessary.

5.7 The Inductor

The accuracy of an inductor model is extremely important in RF circuit matching, as it determines the performance of the whole circuit. In blocking applications, the effective series resistance of the inductor creates an effective voltage drop that limits the overall voltage swing.

Warren and Fordemwalt [9] proved in 1965 that planar inductors cannot be used for IC design. However, Nyugen and Meyer [10] published in 1990 the use of planar inductors, which have been used extensively in IC design. However, these early inductors had extremely low Q values, with [11] achieving a Q value of just 3 at 1GHz for a 9.7 nH inductor.

Since then, thicker metals with low series resistance and much higher substrate resistances have been developed. The model developed by [12] achieved a Q of 12 for a 2.88 nH inductor at 3 GHz, with metal thickness of 14 µm, spacing of 4 µm and with only two turns. The area needed for these inductors is obviously very high in terms of silicon real estate.

The general conclusion of this investigation was that the fewer the number of turns, the larger the inner diameter and the thicker the metal, then the better the Q and hence the ESR value of the device. However, until [13] in 1996, previous models have all been mostly based on numerical and curve fitting methodologies. The model described in [13] is as follows:
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Figure 5-5: 
*Spiral Inductor and its Parasitic Model*

\[ L = L_{\text{eff}} + M_{\text{eff}}^+ + M_{\text{eff}}^- \quad (31) \]

where \( L_{\text{eff}} \) is the self-inductance of the coil and \( M_{\text{eff}}^+ \) is the positive mutual inductance and \( M_{\text{eff}}^- \) is the negative effect of the mutual inductances.

From this

\[ L_{\text{eff}} = 2l \left( \ln \frac{2l}{w + t} + 0.5 + \frac{w + t}{3l} \right) \quad (32) \]

where \( w \) is the wire width, \( t \) the wire thickness, and \( l \) the wire length all in cm and \( L_{\text{eff}} \) is in nH.

The Mutual inductance is given as

\[ M = 2Q_m l \quad (33) \]

where \( Q_m \) is the mutual inductance parameter, calculated from the equation

\[ Q_m = \ln \{ (l / GMD) + \sqrt{1 + (l^2 / GMD^2)} \} - \sqrt{1 + (GMD^2 / l^2)} + (GMD / l) \quad (34) \]

GMD is the Geometric Mean Distance between the two conductors and is calculated from the equation,

\[ \ln GMD = \ln s - \left\{ \frac{1}{12}.(s / w)^2 \right\} + \left\{ \frac{1}{60}.(s / w)^4 \right\} + \left\{ \frac{1}{168}(s / w)^6 \right\} + \left\{ \frac{1}{360}(s / w)^8 \right\} + \left\{ \frac{1}{660}(s / w)^{10} \right\} + \ldots \} \quad (35) \]

where \( w \) is the width and \( s \) the separation space in cm
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\[ R_s = \frac{\rho l}{w t_{\text{eff}}} \]  \hspace{1cm} (36)

where \( t_{\text{eff}} = \delta (1 - e^{-t/\delta}) \)  \hspace{1cm} (37)

and \( \delta = \frac{\rho}{\pi \mu f} \)  \hspace{1cm} (38)

where \( t_{\text{eff}} \) is the effective metal thickness and \( \delta \) the skin depth of the metal and \( \mu \) is the permeability of the metal. Since the thickness of the metal is fixed by the process, the effective thickness can be obtained from the measured values given in the process manual [14].

\[ C_p = n_w^2 \frac{e_{\text{ox}}}{t_{\text{oxM1-M2}}} \]  \hspace{1cm} (39)

where \( t_{\text{oxM1-M2}} \) is the oxide thickness between the spiral and the underpass and \( n \) the number of turns.

\[ C_{\text{ox}} = \frac{1}{2} l_w \frac{e_{\text{ox}}}{t_{\text{ox}}} \]  \hspace{1cm} (40)

where \( C_{\text{ox}} \) represents the oxide capacitance

The substrate capacitance and resistance are derived as

\[ C_{\text{si}} = \frac{1}{2} l_w C_{\text{sub}} \]  \hspace{1cm} (41)

and \( R_{\text{si}} = \frac{2}{l_w G_{\text{sub}}} \)  \hspace{1cm} (42)

where \( C_{\text{sub}} \) and \( G_{\text{sub}} \) are the substrate capacitance and conductance per unit area

Ignoring the effects of \( C_{\text{si}}, R_{\text{si}} \) and \( C_{\text{ox}} \), a table can be prepared of the approximate values of all inductors prior to final simulation.
$R_s$ can be obtained from the process manual [15] which lists RMETT, the thick, top level metal as having a 10 mΩ per square as typical value.

$C_p$ can also be obtained from the process manual [15] for layer 4 thick metal, which is 0.099 fF/µm.

$T_{mett}$ (typical) is 2.8mm for the typical thickness of the thick metal layer used to make spiral inductors in the Austria Micro Systems CMOS C35 process [15].

The component Quality Factor of the inductor can be estimated from the equation

$$Q = \frac{X_L}{R_s}$$

(43)

and the resonance frequency is

$$f_{res} = \frac{1}{2\pi\sqrt{L.C}}$$

(44)

From the RF Spice models data sheet, one can select an appropriate inductor based on initial simulation of the circuit. As can be seen from the above results, there remain discrepancies in the parallel capacitor value and in the inductance value. The calculated inductance just about tallies with the inductance value from simulation, with the slight discrepancies being attributed to the extra lead wires in and out of the inductor and in the metal 3 layer.

It is difficult to quantify the source of the extra capacitances in the simulations, but this is probably due to the N-well in which the inductor sits.
5.7.1 More accurate analysis of the inductor

Ignoring the substrate capacitor and resistor, and also the oxide capacitor, and based on the formulae above, the appropriate values can be calculated. The process data sheet was used to obtain the process parameters. The results are shown in the following table:

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Total metal area (m²)</td>
<td>3.99E-08</td>
</tr>
<tr>
<td>C_p (pF)</td>
<td>3.68E-01</td>
</tr>
<tr>
<td>R_s (Ω)</td>
<td>6.23E+00</td>
</tr>
<tr>
<td>Outer Diameter, D (cm)</td>
<td>2.50E-02</td>
</tr>
<tr>
<td>Width, w (cm)</td>
<td>8.00E-04</td>
</tr>
<tr>
<td>Space, s (cm)</td>
<td>8.00E-04</td>
</tr>
<tr>
<td>Effective Thickness, T_eff (cm)</td>
<td>2.80E-06</td>
</tr>
<tr>
<td>Inner Diameter (cm)</td>
<td>6.60E-03</td>
</tr>
<tr>
<td>Total Length (m)</td>
<td>4.99E-03</td>
</tr>
<tr>
<td>Total Length (cm)</td>
<td>4.99E-01</td>
</tr>
<tr>
<td>Resonance Frequency, f_res (GHz)</td>
<td>4.38E+09</td>
</tr>
<tr>
<td>L_eff (nH)</td>
<td>3.58E+00</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>M+ Eff (total) in nH</td>
<td>7.23E-03</td>
</tr>
<tr>
<td>M- Eff (total) in nH</td>
<td>-3.62E-03</td>
</tr>
<tr>
<td>Q at f_o</td>
<td>8.67E+00</td>
</tr>
<tr>
<td>f_o (GHz) Operational Frequency</td>
<td>2.40E+00</td>
</tr>
<tr>
<td>Total inductance (nH)</td>
<td>3.59E+00</td>
</tr>
</tbody>
</table>

*Table 5-2: Calculated Inductor values*

A comparison table between simulated and calculated results follows:

<table>
<thead>
<tr>
<th>L</th>
<th>Rs</th>
<th>C_p</th>
<th>Q@2.4 GHz</th>
<th>S.R.F</th>
</tr>
</thead>
<tbody>
<tr>
<td>Calculated</td>
<td>3.6nH</td>
<td>6.4</td>
<td>0.21pF</td>
<td>8.7</td>
</tr>
<tr>
<td>Actual</td>
<td>3.8nH</td>
<td>6.4</td>
<td>0.12pF</td>
<td>9.0</td>
</tr>
</tbody>
</table>

*Table 5-3: Inductor Comparison table*

As seen in Table 5-3, there is agreement between the calculated and simulated values, in spite of ignoring some of the less significant parasitic effects.

The thickness and space of the 4 ring square inductor on thick metal layer 4 was calculated as shown in Table 4. The width and spacing between the spirals was 8 μm
and the outer diameter was 250 \( \mu \text{m} \), and we can calculate that the inner diameter was 66 \( \mu \text{m} \) in width. The smaller inner diameter has a negative impact on the overall inductance of the device as this introduces more coupling between opposite inductive fluxes, so reducing the overall mutual inductance value.

However, decreasing the width of the conductor will increase the Effective Series Resistance (ESR) and consequently the Q factor of the component. The thickness and width of the tracks is usually a good indication of the resistance per unit length of the transmission line that is wound up to make the inductor. Therefore, the thicker the metal layer and the wider it is, the better in reducing the ESR and increasing Q.

However, this causes an increase in the size of the inductors as mentioned earlier, and so the area they require. Since for each process there is a limit on the amount of metal that can be laid on top of the substrate without causing mechanical deformation to the oxide layers, large sized inductors can thus be a problem. Increasing the width also reduces the self-inductance of the transmission line itself, requiring an even greater length of line to be used, which in turn increases the overall ESR of the component.

A number of studies have therefore concentrated on methods of maintaining maximum conductor width and diameter [16] at the cost of reducing the inner diameter of the conductor. Methods to offset the effects of negative mutual inductance vary from patterned centre areas [17] to various combinations of active and passive dopants [18] and oxides. None of these appears to have had a significant effect except of course for the general method of laying out the whole inductor in an N-well thus reducing substrate currents.
These then are the perennial problems with spiral inductor designs, as they occupy areas that are far greater than any other component in a RF circuit. Often this implies an area of up to 70% of the total die area. To keep this area to a minimum, without reducing the performance, is quite crucial.

![Positive Mutual Inductances](image)

**Figure 5-6: Positive Mutual Inductance**

In a 4 turn rectangular spiral inductor, there are typically 17 segments of transmission line. For the purposes of calculating the mutual inductances, each line segment is treated separately. The magnetic flux coupled between the two is calculated for the smaller of the two segments, to include every segment in parallel. This then is varied for each segment incrementally to include all segments of each turn. It should be noted that magnetic fluxes do not couple in a perpendicular plane to each other, so giving no contribution.

As can be seen from the plots in Figures 5-6, the further the inductor is wound the less the mutual inductance contribution becomes per sector, the contribution of the last sector providing the least mutual inductance value. The overall contribution to the total
inductance is still small when compared to the self-inductance of the inductor coil. The overall negative mutual inductance contribution can be seen to contribute an even smaller amount of negative inductance to the overall inductor value using the 4 inductor arrangement chosen here and especially with a larger inner diameter of the coil.

The thinner a piece of wire, the more magnetic flux is available external to that piece of wire, as there is less skin depth [19]. However, this is at the cost of higher resistivity and hence lower Q factor and can be deduced from equations 33 and 40.

A new method of introducing a parallel capacitor to the classical inductor equivalent circuit, described in [20], reduces the inductor’s Self Resonant Frequency (SRF). The closer the SRF of the inductor to the frequency of operation, the more responsive are its impedance characteristics.

However, in altering the SRF the bandwidth of operation is also reduced considerably. In a narrow-banded system such as UMTS, where there is 60 MHz bandwidth in each direction, such a technique is deemed appropriate, indeed advantageous.

6.6.1.1 Introducing a parallel capacitor to the inductor

The reactance of an inductor can be increased if it is made to resonate with a capacitor in parallel.

\[ X_L = j\omega L \]  
\[ X_C = \frac{1}{j\omega C} \]
where $X_L$ and $X_C$ are the reactance of an inductor and capacitor respectively. However, in parallel, the total impedance of the circuit is $Z = X_L // X_C$ which implies that

$$Z = \frac{j\omega L}{1 - \omega^2 LC} \quad (47)$$

Theoretically, the impedance can therefore be infinite at resonance, obviously the point at which the frequency is such that $1 - \omega^2 LC$ is equal to zero. Obviously, in real circuits the parasitic effects will always prevent this from becoming zero. This is best illustrated in the following Figure 7:

![Figure 7: Parallel Resonance Graph](image)

This then is a useful circuit to implement, especially when the RF energy needs to be isolated from the DC power supply and so forth. The danger is that outside the frequency of resonance, the impedance drops and therefore is applicable in narrow-band circuits only. Fortunately, the UMTS system for which the circuit was implemented has a bandwidth of 60 MHz, which then implies a Q of 40 at 2.14 GHz.
Maximum power transfer and a low noise figure are prerequisite for an LNA and to achieve these, a good impedance match with the least amount of ESR is important is important. In the case of narrow band systems, a large inductor can be reduced further by using the described parallel capacitor method. In on-chip spiral inductors, this provides a significant improvement in the reactiveness of the inductor together with a significant reduction in size.

The graph in Figure 5-7 illustrates this well, showing that even a small capacitor in parallel to the inductor provides an enormous improvement in the reactiveness of that inductor.

![Improvements in Circuit Reactance](image)

*Figure 5-8: Improvements in "Q" at Resonance with a parallel C with the L*

In the graph in Figure 5-8, the inductor has been set to 6 nH and the capacitor is varied from 0.1 pF to 1.3 pF. From this graph, the improvements in impedance transformation are obvious. The advantage is that lower value inductors can thus be implemented at the
cost of an additional capacitor. Given the typical area required by a MIM capacitor, at around 1 pF, this is minimal when compared to an inductor of any value. The method is thus extremely valuable in obtaining significantly better results.

### 5.8 Conclusion

The maximum receiver noise contribution is calculated to be 9.4 dB, based on the 3GPP receiver sensitivity requirement. Based on this a cascode LNA with a drain follower is introduced in a novel way. The LNA is designed to provide better signal tolerance to the transceivers own transmission signal, whilst maintaining the overall signal amplification and noise contribution. The value and size of the inductor at the input of the LNA is minimized by using the well understood parallel resonance method but in a novel way as part of the input match.
5.9 References


6 Analysis of the High Compression Point LNA

6.1 Initial Simulation and Analysis of High Compression Point LNA

A compression point of up to 10 dB better than comparable designs, without significantly degrading the NF or the gain, was achieved in this project (Figure 6-1).

As will be demonstrated in Table 6-1, a single-ended common mode LNA with a worst case P1dB of -14 dBm at the input have been simulated for a gain of over 17 dB and a NF of just over 2.6 dB, all using the relatively older 0.35 µm 4-metal CMOS technology.

![Figure 6-1: P1dB Compression Curve for LNA](image-url)
From [1] and [2] the maximum signal at the input is -25 dBm at the low gain setting and -43 dBm at the high gain setting.

However, W-CDMA is a full-duplex system with a maximum PA output power of 29 dBm, which will find its way to the receiver. An LNA filter therefore has to attenuate the transmitter signal by at least 43 + 29 = 72 dB, when operating the LNA at the prescribed -43 dBm, in order to prevent the LNA from being compressed by the transmitter signal.

Filter that produces 72 dB of attenuation of the transmission signal will inevitable require a considerable amount of poles, which in turn would imply that the wanted frequency band itself will be subjected to losses due to this filtering process.

By improving the linearity of the receiver path and in particular the LNA, it is possible to implement a low loss filter with few poles and thereby increase the sensitivity of the receiver.

By considering a highly linear LNA, with an input P1dB of -14 dBm or better, this filtering requirement can be relaxed to the extent that the only filtering required will be that provided by the front-end duplexer, thereby substantially reducing the receiver path losses and also reducing the component count for the receiver.

<table>
<thead>
<tr>
<th></th>
<th>Minimum</th>
<th>Typical</th>
<th>Maximum</th>
</tr>
</thead>
<tbody>
<tr>
<td>PA Output Power</td>
<td>24 dBm</td>
<td>27 dBm</td>
<td>29 dBm</td>
</tr>
<tr>
<td>Duplexer Filtering</td>
<td></td>
<td>-50 dB</td>
<td></td>
</tr>
<tr>
<td>Crest Factor</td>
<td></td>
<td>8.6 dB</td>
<td></td>
</tr>
<tr>
<td>Total</td>
<td></td>
<td>-14.4 dBm</td>
<td></td>
</tr>
</tbody>
</table>

Table 6-1 Maximum Transmitter Signal at LNA input
6.2 The method of achieving the increased compression point

The P1dB is achieved by utilising a cascode structure and a folded drain follower. The cascode amplifier is biased to a low current value, and at the point of saturation. This lowers the $g_m$ value but also provides a greater tolerance to the incoming signal. For large input signal values the bias itself is altered by the RF signal, in much the same way as a Class B or Class AB Power Amplifier would do.

However, in Power Amplifier technology, this method is used to improve the efficiency of the amplifier, but here we are using a similar method to get a better compression point for the LNA, in order to make it more tolerant of larger Transmitter Signal feed-through.

The consequence of a smaller $g_m$, due to the above mentioned method, means that the gain is also smaller. This gain is then boosted by having a PMOS device as a second stage amplifier. This 2$^{nd}$ stage amplifier designed with a PMOS device means that there is:

1. Isolation between 1$^{st}$ stage load and mixer/de-modulator load.
2. Maximum load impedance $R_L$ for 1$^{st}$ stage cascode amplifier as $A_v = g_m R_L$.
3. PMOS device hole mobility is typically ¼ of the electron mobility of the NMOS device, but has a much larger Thermal Noise effect.
4. Total Noise effects of PMOS device is reduced by the previous stage NMOS Cascode device.

The channel length modulation for small signals can be equated to a small resistor of value $r_o$ [3].
where \( r_o = \frac{\delta V_{DS}}{\delta V_{GS}} \approx \frac{1}{\lambda I_{DS}} \) \hspace{1cm} (48)

and \( \lambda = \frac{\Delta L}{L} \) \hspace{1cm} (49)

and also \( \Delta L = L - L' \) \hspace{1cm} (50)

where \( L \) is the stated length of the channel and \( L' \) the effective length of the channel.

When \( V_{GS} \) is decreased, then \( V_{DS} \) in effect increases across the Common Source device. This in turn increases the value of \( r_o \), effectively increasing the value of the signal that the amplifier can accept.

The transconductance \( g_m[3] \) can similarly be equated as

\[
g_m = \frac{\delta I_{DS}}{\delta V_{GS}} \cdot \frac{\delta V_{GS}}{\delta V_{DS}} \tag{51}
\]
From Figure 6-2, shunning the current re-use arrangement as is traditionally applied in most designs, and biasing the telescopic first stage cascode structure with a low current, provides a sufficient voltage gain. The input stage has a large voltage $V_{DS}$ across the drain and the source terminals due to the small gate voltage. This provides a large voltage gain.

Note that though the gate voltage is small, the channel is not fully depleted of holes, and therefore the conductivity of the channel is reduced. This then enables higher signals to be present at the gate. In other words, equation (51) can be re-written as:

$$g_m = \frac{\delta I_D}{\delta V_{GS}}$$  \hspace{1cm} (52)
which implies that the transconductance is low.

However,

\[ r_0 = \frac{\delta V_{DS}}{\delta I_D} \]  

(53)

indicating a large resistance [ohms law].

For the cascode structure to remain in saturation, the following conditions have to be met:

At \( V_{DS} \) almost 2 volts and \( I_D \) just over 1mA for the first stage cascode amplifier \( r_0 \) is quite large in value. However, \( Av \) is large since \( V_{DS} \) is large when compared to the input voltage of 0.65 volts.

Figure 6-3  Drain Follower with equivalent AC circuit

The drain follower in Figure 6-3, which is the second stage of the LNA, provides the common gate part of the cascode amplifier in Figure , with a very large impedance, due
Analysis of the High Compression Point LNA

to the fact that the parallel resonant LC structure is also of high impedance. Therefore, there is a good inter-stage matching between the two sections of the LNA circuit. From the schematic diagram in Figure 6-4:

M1: \( V_x \geq V_{in} - V_{th1} \) Since \( V_{th1} \) is around 0.6 volts and \( V_{in} \) is only marginally greater, at 0.65 volts where \( V_x \) is the drain source voltage of the common source stage of the cascode.

For each transistor to be in the saturation region, the drain source voltage has to be greater than the difference between the gate voltage and the threshold voltage of the gate.

Since \( V_x = V_b - V_{GS2} \), we can conclude that in the LNA circuit M1 is in saturation as \( V_{GS2} \) is tied to the top voltage rail at 2.7 volts.

Now for M2 to also be in the saturation region, \( V_{out} - V_x \geq V_b - V_{th2} \) which can be expanded to \( V_{out} \geq (V_{in} - V_{th1}) + (V_{GS2} - V_{th2}) + V_x \).

Therefore, for the LNA cascode section to be in saturation the above conditions have to be satisfied. From initial DC simulations the following are the values obtain:

<table>
<thead>
<tr>
<th>( V_{th1} = V_{th2} )</th>
<th>0.56V</th>
</tr>
</thead>
<tbody>
<tr>
<td>( V_b )</td>
<td>2.7V</td>
</tr>
<tr>
<td>( V_{DS2} )</td>
<td>0.69V</td>
</tr>
<tr>
<td>( V_{DS1} )</td>
<td>1.98V</td>
</tr>
<tr>
<td>( V_{out} )</td>
<td>2.7V</td>
</tr>
<tr>
<td>( V_{in} )</td>
<td>0.65V</td>
</tr>
</tbody>
</table>

*Table 6-2: LNA Bias Voltages*
From the above values it is clear that M1 is in the saturation region since $V_x$, which is in effect $V_{DS1} = 1.98\nu$, much greater than $V_{in} - V_{th1} = 0.05$ volts

Also, M2 is in the saturation region since $V_{out} \geq V_b - V_{th2} = 2.7$ volts, which is greater than 2.1 volts

**Figure 6-4:** Schematic Diagram of LNA i/p Circuit

By providing a lower mobility PMOS device as a second stage “drain follower” with maximum current gain, it is then possible to provide a better overall gain in terms of both voltage and current, i.e. power, at the output of the LNA. The lower hole mobility of the PMOS device produces a better compression point for the second stage.
Analysis of the High Compression Point LNA

The lower hole mobility of the PMOS device does produce a larger noise contribution. However, since the PMOS is a second stage device, its overall effect on the noise figure is considerably restricted.
6.3 References


7 Design and Simulation of the LNA

Three different types of LNA discussed in this section, together with the relevant design and simulation results. All the results were performed by matching the input and output ports to 50 Ω and 200 Ω respectively.

However, in the final implementation of the complete receiver discussed in Chapter 10, output port of the LNA was left unmatched, but the input port of the mixer/de-modulator was conjugately matched to the unmatched LNA port, thus providing optimum coupling of signal between the two circuits, including “ideal” Balun circuits were relevant.
Figure 7-1: Single Section of the Balanced LNA Cadence Schematic Entry
The circled section in Figure 7-1 shows the output matching for a 200 ohm load. In the final systems implementation the input of the mixer is conjugately matched to the unmatched output of the LNA. This is illustrated in the following Figure:

![S-Parameter Response Diagram]

\[ \rho = 1.0 \]

**Figure 7-2: Conjugate Plot of LNA Output and Mixer Input**

In Figure 7-2 the S22 port reflection coefficient and S33 port reflection coefficient represent the LNA output and Mixer input port values respectively.

### 7.1 Typical Cascode LNA

A typical cascode LNA is designed as described in section 5.3.1.2 and Figure 5-1, but with the cascode LNA itself being biased to provide all the required gain. The following simulation results were performed at ambient temperature, using true models from the Austriamicrosystems™ PDK. These include equivalent circuits for the bondwires and pads and extracted layout parasitics.
Variations to process, voltage and temperature were added to the simulations for final verification of the design. However, all simulation data presented in this report considers only typical values.

7.1.1 Input and output return losses

Figure 7-3: Typical Cascode LNA Input and Output Port Voltage Reflection Coefficients

The input and output port voltage reflection coefficients are shown in Figure 7-3. The input impedance is matched to 50 Ω and the output impedance is matched to 200 Ω. The output matching is almost purely resistive and can be deduced from the narrow spread of the S22 value, indicated in red.
The log magnitude graph above in Figure 7-4 provides the same results of that obtained in the smith chart plot of Figure 7-3, but with more quantifiable values for the return losses. As can be noted, the input return loss is below 18 dB across the frequency band of interest and the output return loss is significantly below 50 dB.

Since the simulations were not performed with substrate analysis, these values are most likely to be slightly worse, but nonetheless comfortably with the specification requirements.

### 7.1.2 LNA Forward Gain

The forward gain of the LNA is simulated with a 50 ohm reference impedance at the input and a 200 Ω reference impedance at the output. The supply voltage is set at 2.7 volts DC and the input port power is set to -40 dBm. The typical gain at room temperature is shown in Figure 7-5:
As can be seen from the Figure 7-5, the gain maintains a flatness of 17.5 dB over the frequency of interest and displays very little ripple.

### 7.1.3 LNA Noise Figure
As can be seen in Figure 7-6, the noise figure maintains a value of between 1.78 and 1.76 dB over the frequency of interest, which is virtually flat.

### 7.1.4 LNA 1 dB Compression Point

The Input P1dBm of the LNA is illustrated is simulated to obtain the linearity of the LNA and is simulated with the supply voltage set to 2.7 volts and the temperature set to typical at 27 °C. The following simulation result in figure indicates this linearity by means of the P1dBm simulation shown in following Figure 7-7:

![Figure 7-7: Typical Cascode LNA Compression Point Plot](image)

As can be seen from the above Figure 7-7, the input P1dBm is at -25.1 dBm. This indicates the maximum signal that can be tolerated by the LNA.
7.1.5 LNA Current Consumption

As can be seen from Figure 7-8, the current consumption is at around 18.25 mA for the maximum uncompressed input signal of -25 dBm. Furthermore that the small signal does not change the bias current until and even beyond the 1 dB compression point of -25 dBm. Beyond -25 dBm the current actually reduces in value due to reverse biasing.

7.2 Single-ended low biased Cascode LNA with drain follower

This design is discussed in Chapter 6 of this report. The following simulation results were performed at ambient temperature, using true models from the Austriamicrosystems™ PDK. These include equivalent circuits for the bondwires and pads and extracted layout parasitics.
Variations to process, voltage and temperature were added to the simulations for final verification of the design. However, all simulation data presented in this report assume typical values.

![LNA Diagram](image)

**Figure 7-9: Single-ended LNA Test Bench**

Figure 7-9 illustrates the test bench setup used for the single-ended LNA simulations. The results for this test bench simulation are as follows:

### 7.2.1 Proposed Single-ended LNA Input and Output Return Loss [1]

#### 7.2.1.1 Simulated Results

![Smith Chart](image)

**Figure 7-10: Input and Output Port Voltage Wave Reflection Coefficient**

The Smith chart in Figure 7-10 indicates good input and output port reflection coefficient values. The input port was matched to 50 Ω and the output to 200 Ω. The input port match
S11 is rather more frequency responsive than the output port match S22, and this is due to the Q enhancement methods used in order to reduce the input gate inductor values of the LNA circuit.

![S-Parameter Response](image)

**Figure 7-11: Simulated Input and Output Port Return Losses**

The above Figure 7-11 is the log magnitude plot of the smith chart in Figure 7-10, with more quantifiable results. The input return loss S11 is better than -16 dB across the frequency band of interest. The output return loss is better than -24 dB across the band of interest. Neither measurement takes into account the effects of substrate coupling which will further degrade the simulation results due to their parasitic effects.
7.2.1.2  Measured Results

![Graph showing return losses of the LNA](image)

**Figure 7-12 Measured Input and Output Return Losses of the Single ended LNA**

The above Figure 7-12 is the network analyser measured input and output return losses of the LNA. The input return loss S11 is better than -12 dB and the output return loss S22 is better than -9.8 dB over the receive frequency range: 2.11 – 2.17 GHz. The measured result marginally differ from the simulated results as they display a slight shift in centre frequency resonance and is most probably due to a variation in the estimated bondwire inductance values.

7.2.2  Proposed Single-ended Forward Gain

7.2.2.1  Simulated Results
Figure 7-13: Simulated Forward Voltage Gain of the proposed single-ended LNA

The forward gain plots shown in Figure 7-13 indicate a consistently flat gain over the frequency of interest at between 17 and 17.25 dB gain, with the gain peaking at around 2.15 GHz, which is the centre of the receive frequency band.

7.2.2.2 Measured Results

Figure 7-14 Measured Forward Voltage Gain of the proposed single-ended LNA
The forward gain plots shown in Figure 7-14 indicates a forward gain between 16.75 dB and 16.9 dB over the frequency of interest. The gain increase at the higher end of the wanted frequency band is primarily due to the better input return loss at that frequency.

### 7.2.3 Proposed Single-ended LNA Noise Figure

#### 7.2.3.1 Simulated Results

![Simulated Noise Figure of the proposed Single-ended LNA](image)

*Figure 7-15: Simulated Noise Figure of the proposed Single-ended LNA*

The above Figure 7-15 shows that the NF is at just under 2.4 dB across the frequency band of interest and is uniformly flat. This is desirable as it is a further indication of a uniform sensitivity of the receiver for the entire receive frequency band.
7.2.3.2 Measured Results

![Graph showing CMOS LNA NF plot](image)

**Figure 7-16: Measured Noise Figure of the proposed Single-ended LNA**

The measured LNA noise figure (NF) in Figure 7-16 shows that the NF is less than 2.45 dB for the frequency band of interest and falls by less than 0.1 dB at the higher frequency of 2.17 GHz.
7.2.4 Proposed Single-ended LNA Compression Point Curve

7.2.4.1 Simulated Results

Figure 7.17: Simulated Results for Proposed Single-ended LNA Compression Curve

Figure 7-17 is that of the input referred 1dB compression point of the proposed single-ended LNA. The simulation was performed at the frequency 2.14 GHz, which is the mid-channel frequency for the UMTS downlink receiver channel.

The -10.8 dBm value is comfortably better than the calculated requirement value of -14.4 dBm in Table 6-1
7.2.4.2 Measured Results

![Graph showing measured results for Proposed Single-ended LNA Compression Curve]

**Figure 7-18: Measured Results for Proposed Single-ended LNA Compression Curve**

Figure 7-18 is that of the input referred 1dB compression point of the LNA. The simulation was performed at the centre frequency 2.14 GHz, and was measured to be -11.6 dBm. It is comfortably larger than the calculated requirement of -14.4 dBm (Table 6-1) but slightly worse than the simulated value of -10.8 dBm. The compression point curve also shows a slight gain expansion prior to compression and is a characteristic commonly seen in class AB power amplifiers.
7.2.5 Proposed Single-ended LNA Current Consumption Analysis

**Figure 7-19**: Proposed Single-ended LNA Current Consumption vs Input Power Curve

Figure 7-19 is that of the current consumption of the LNA. Since the bias is that of an AB type, the small signal input signal has an effect on the bias point. The current is therefore dependent on the input signal for higher values. It can also be noted that for signals above -25 dBm, the current varies with signal level. For power consumption purposes, the maximum current consumption at 2.7 Volts supply is 20.25 mA.

7.3 Balanced low biased Cascode LNA with drain follower

This design is a balanced version of the previously discussed LNA, with an “ideal” Balun fitted to its input. The following simulation results were performed at ambient temperature, using true models from the Austriamicrosystems™ PDK. These include equivalent circuits for the bond-wires and pads and extracted layout parasitics.
Variations to process, voltage and temperature were added to the simulations for final verification of the design. However, all simulation data presented in this report is only for typical values.

The Forward Gain simulations were performed for each individual section of the LNA from the Balun input. The Noise Figure was measured, as discussed in [1]. The simulations were performed with the following circuit test bench setup shown in Figure 7-20:

*Figure 7-20: Balanced LNA Test Bench*
7.3.1 Proposed Balanced LNA Input and Output Return Loss

The Input and Output Port Voltage Reflection Coefficients are centred in the middle of the smith chart as illustrated in Figure 7-21. S11 indicates the input port to the differential LNA, S22 and S33 indicate the port match for the two outputs of the balanced LNA.

Figure 7-21: Balanced LNA Input and Output Port Voltage Reflection Coefficient
Figure 7-22: Balanced Input and Output Port Voltage Reflection Coefficient

Figure 7-22 indicates a log magnitude plot, which provides a more quantifiable measurement of the Smith chart plot of Figure 7-21.

The input port match S11 is rather more frequency responsive than the output port match S22, and this is due to the Q enhancement technique used in order to reduce the input gate inductor values of the LNA circuit.
7.3.2 Proposed Balanced LNA Forward Gain

From the above Figure 7-23, it can be seen that the two outputs have similar gain values that vary between 14.7 dB and 15.1 dB over the bandwidth of interest.
7.3.3 Proposed Balanced LNA Noise Figure

As can be seen from the above Figure 7-24 the noise figure is almost constant at just below 3 dB across the frequency band of interest, which indicates a good match and good thermal properties.
7.3.4 Proposed Balanced LNA Compression Point Curve

The above simulations in Figure 7-25 demonstrate the input compression point, which stands at -10.25 dBm at the input. The above compression point simulations was performed at the frequency 2.14 GHz, which is the mid channel frequency for the UMTS downlink receiver channel.

Figure 7-25: P1dB compression point at the Balun input to the LNA
7.4 References


8 Design and Simulation Results of Mixer/De-Modulator

The Mixer/ De-modulator specification [1] is derived from the overall systems requirement for the receiver and also the LNA design specification. Given that 3GPP [2] specifies a maximum of -43 dBm at the input for high gain selection, this then provides the following calculations:

<table>
<thead>
<tr>
<th>Maximum Input Signal at high Gain Mode</th>
<th>-43 dBm</th>
</tr>
</thead>
<tbody>
<tr>
<td>Maximum Crest Factor</td>
<td>8.6 dB  [ref 155]</td>
</tr>
<tr>
<td>Maximum LNA Gain</td>
<td>17.25 dB</td>
</tr>
<tr>
<td>Maximum Input Receive Band Signal at Mixer is</td>
<td>-43+8.6+18=-17.15 dBm</td>
</tr>
</tbody>
</table>

Table 8-1: Mixer Linearity Requirement Calculations for Receive Signal only

However, since the design is concerned with transmitter signal arriving at the receiver which will also be amplified by the LNA and present itself to the down-conversion mixer/demodulator.

The following diagram is a wideband gain plot to include the $T_X$ Band which is 1920 MHz to 1980 MHz.
As can be seen in Figure 8-1, the LNA gain at Transmit Frequencies 1.92 GHz to 1.98 GHz is 15.8 dB or less.

The maximum signal present at the Mixer/De-modulator input can therefore be calculated as follows:

\[
\text{Maximum Input Signal at high Gain Mode} = 29 \text{ dBm}
\]

\[
\text{Duplexer Attenuation} = 50 \text{ dB}
\]

\[
\text{Crest Factor} = 8.6
\]

\[
\text{Maximum LNA Gain} = 15.8 \text{ dB}
\]

\[
\text{Maximum Input Receive Band Signal at Mixer is} = +29-50+8.6+15.8=+3.4 \text{ dBm}
\]

\[
\text{Table 8-2: Mixer Linearity Requirement Calculation with Transmitter Signal present}
\]

The conversion gain \( G_c \) of a proposed mixer can be calculated from the following equation [3]:

\[
G_c = \frac{2}{\pi} \cdot g_m = \frac{2}{\pi} G_m
\]  \hspace{1cm} (54)
Since Voltage Gain \( A_v \) is Output Voltage divided by input voltage

\[
A_v = \frac{V_{RF}}{V_{IF}} = \frac{2}{\pi} \cdot \frac{1}{\left( \frac{1}{R_{souce}} + \frac{1}{g_m} \right)} R_L \tag{55}
\]

If the de-generation resistance \( R_{source} = 0 \) then \( A_v \approx \frac{2}{\pi} \cdot g_m \cdot R_L \) \( \tag{56} \)
Figure 8-2: Complete Receiver De-Modulator (Mixer)

Optimum transistor width ($w_{opt}$) is derived from the following equation [4]
Design and Simulation Results of Mixer/De-Modulator

\[ w_{opt} = \frac{1}{3 \omega L C_{ox} R_s} \]  

(57)

From which we can deduce that the larger the value of \( R_s \), the smaller the \( w_{opt} \) and the lower the \( C_{gs} \) and \( C_{gd} \) capacitance [5]. The lower the junction capacitance, the better the \( F_T \), which in return implies a better Noise Figure.

For the transconductor, if we assume that the input impedance is 50 ohm, then the transconductor stage of the mixer will have \( R_{source} \) of 50 Ω; \( f=2.1 \) GHz; from [5] \( C_{ox}=0.86 \) fF/µm and \( L_{eff}=0.38 \) µm

This gives a \( W_{opt} = 1.54 \) mm, rounded to 1.5 mm. (derived from equation 56). We have from [6]

\[ \omega_T = \frac{g_m}{C_{gs} + C_{gd}} \]  

(58)

And from [7]

where \( g_m = \sqrt{2\mu_n C_{ox} \frac{W}{L} I_D} \)  

(59)

Given that the device is intended to operate in the saturation region, [8]

\[ C_{gs} = \frac{2}{3} C_{ox} W L + W C_{ov} \]  

(60)

and \( C_{gd} = W C_{ov} \) n

(61)

From the process manual [48] \( C_{ov}=0.12 \) fF/µm and \( \mu_n = 370 \) cm²/Vs

From this \( C_{gd}=180 \) fF and \( C_{gs}=481 \) fF and since the \( F_T \) is 25 GHz (Appendix Figure)

\[ g_m = 2\pi F_T (C_{gs} + C_{gd}) \]  

(62)
That gives us a $g_m$ of 104 mS. If we assume that the output of the mixer is to be matched to a load impedance of 200 $\Omega$, then the voltage gain $A_v = g_m \times R_L$ which gives a voltage gain of over 20 dB or 26 dBv, which is quite significantly high.

Note that since the P1dB of the mixer is fairly large at +3 dBm, the current across the device has to be reduced. This is to maintain the device in the unsaturated region or even in the weak inversion layer, in order that it behaves as a mixer but also so that the transconductance stage of the mixer acts also like a resistor.

### 8.1 Mixer Calculations

Let us initially design the mixer so that the gain $A_v$=-5 dB or $A_v$=0.3 (linearly).

If we assume $R_L$=450 $\Omega$, then from Equation (55),

$$A_v = \frac{2}{\pi} g_m \times R_L$$

Using the above equation the following can be calculated, as illustrated in Table 8-3:

<table>
<thead>
<tr>
<th>Vdd (V)</th>
<th>2.7</th>
<th>$V_{dd}$</th>
</tr>
</thead>
<tbody>
<tr>
<td>n (stages)</td>
<td>2</td>
<td></td>
</tr>
<tr>
<td>$A_v$ (dB)</td>
<td>-5</td>
<td>Voltage Gain</td>
</tr>
<tr>
<td>$A_v$ (Linear)</td>
<td>0.3162278</td>
<td>Anti-log $A_v$dB</td>
</tr>
<tr>
<td>$R_L$ (Ohm)</td>
<td>450</td>
<td>Load Resistor</td>
</tr>
<tr>
<td>Res</td>
<td>0</td>
<td>Resonator value</td>
</tr>
<tr>
<td>$G_m$</td>
<td>0.0011033</td>
<td></td>
</tr>
<tr>
<td>$I_d$ (mA)</td>
<td>0.0005966</td>
<td>$I_d$ can be expressed as $V_{DS} \times g_m$ less the voltage drop across the load resistor</td>
</tr>
<tr>
<td>$I_{d}$ in mA</td>
<td>0.5966137</td>
<td></td>
</tr>
<tr>
<td>$2I_d$</td>
<td>1.1932274</td>
<td>Sum of both Balanced Mixers in mA</td>
</tr>
</tbody>
</table>

**Table 8-3:** Initial Mixer Bias Calculations

### 8.2 Simulated Performance

The simulated performance in comparison with the above calculated results is as follows in Table 8-4:
The P1dB of the mixer is given from the following equation [9]

\[
P_{1dB} W \approx 0.29 \frac{V_{sat} \cdot L}{\mu_i \cdot R_s} \cdot V_{od} [1 + \frac{\mu_i \cdot V_{sat}^2}{4 \cdot V_{sat} \cdot L}] \cdot [1 + \frac{\mu_i \cdot V_{sat}^2}{2 \cdot V_{sat} \cdot L}]^2
\]  

(63)

where \( \mu_i = \mu_0 + 2.0 \cdot V_{sat} \cdot L \)  

(64)

The important point to note in the above equation is that the P1dBW \( \propto \frac{1}{R_s} \) where \( R_s \) is the source resistance of the transconductor stage of the mixer as indicated in Figure 8-2.

Since the mixer is a direct conversion mixer, the output ports are at or near DC. In order that the signal can be coupled out, rejecting the drain current from the mixer becomes an issue. Real life direct conversion mixers therefore have numerous methods of solving this problem, and most of them involve some sort of Digital Signal Processing (DSP). Implementation of those processes is deemed beyond the scope of this thesis. Therefore, a very large capacitor of 10 \( \mu \)F is used in simulations to decouple the DC current from the mixer. Quite obviously, a 10 \( \mu \)F capacitor is extremely difficult to implement in CMOS and is therefore assumed to be an external device. The output port at the down-converted end is set to 5 kΩ in order not to load the port.
Larger LO power requirements indicate a larger drain current requirement through the switching quads. However, the larger the value of drain current, the greater the flicker noise. Therefore, there is merit in lowering the drain current and increasing the load resistors to improve the gain. DC biasing is usually implemented in order to compensate for LO power-levels. In other words, the common-mode voltage can be increased in order to compensate for the higher switching LO power level requirements.

Two important points to bear in mind in biasing the mixer is to ensure that:

(a) When there is no voltage at the gate of the transconductor stage of the mixer, the current through the mixer should be zero.

(b) The common mode voltage of the switching mixer is the zero crossing point. And at this point the mixer current should be zero. Therefore, better switching is always performed by an ideal square wave signal.

Though for the purposes of this thesis the signal is assumed to be fed externally, most integrated systems will have this via a frequency divider. This produces what is called a square sine wave signal: the frequency divider acts as an over-saturated amplifier that clips the sine wave thereby causing it to appear more square in appearance.

The external LO signal supplied for the purposes of this work is specified as $P_{LO} = -5 \pm 2$ dBm

Flicker noise on the other hand is difficult to filter and is expressed by the following equation [10].

$$ V_n = \sqrt{\frac{k}{2 \cdot W_{eff} \cdot L_{eff} \cdot C_{as} \cdot f}} $$

(65)
The termination port of the mixer was also assumed to be 5 kΩ, which is a typical value for a CMOS op-amp circuit, as would be the case for the follow-on sample-and-hold circuitry.

The losses through the circuit, shown in Figure 8-3, at 1 MHz offset, are less than 0.2 dB in simulations.

Variations in LO power was simulated, and produced the following results as illustrated in Figure 8-4:
From Figure 8-4, it can be seen that the LO power level does affect the mixer noise figure performance, but for power levels above -3 dBm, the improvement is only small. The explanation is that beyond a certain power level, the switching gates are sufficiently open and the resistive contribution of the mixer is reduced. In other words, the mixer is switching between an ON state and an OFF state. Beyond this point, the extra reduction in noise figure may be due to the improved $R_{on}$ of the mixer, where $R_{on}$ is given by the equation:

$$R_{on} = \frac{1}{\mu_n C_{ox} \frac{W}{L} (V_{gs} - V_{th})}$$  \hspace{1cm} (66)$$

and possibly to second order effects, such as the Early effect, channel length modulation given by Equation 17, which is

$$I_D = \frac{1}{2} \mu_n C_{ox} \frac{W}{L} (V_{gs} - V_{th})^2 (1 + \lambda V_{DS})$$
8.3 Other Design Issues

Another issue to examine is the contribution to the noise figure of the gate biasing circuits. This is illustrated in the following diagram;

![Bias Circuit Diagram]

Figure 8-5: Transconductor and Switch of Single Section Mixer

The de-coupling capacitor C3 and C4 and RF block bias resistors R2 and R3 were varied to look for any noise contribution. It was found that for values of bias resistance of 5 kΩ and above, the de-coupling capacitors in general had no visible effect on noise figure or indeed on the gain. The de-coupling capacitor was thus removed from the circuit.
8.4 Schematic Diagram of the Mixer

8.4.1 Transconductor section of the Mixer

The circuit is symmetrical in design to ensure LO and harmonic suppressions, and also better IP2 values. The inputs are matched to the conjugate impedance of the proceeding LNA circuit, to ensure maximum signal transfer at the wanted frequency band.
8.4.2 The upper switching section of the mixer

![Diagram of the upper switching section of the mixer]

*Figure 8-7: Upper Switching Section of Mixer (In Cadence Schematic Entry)*

The widths of the NMOS transistors were not scaled down but maintained at the maximum 1.5 mm for optimum $f_T$, even though the current through the lower switching transconductors is half the value of the currents in the lower transconductor stage of the mixer.

This is in order to minimise flicker noise whilst maintaining conditions for optimal thermal noise, as indicated in Equation 29.

Poly-capacitors are placed for de-coupling purposes together with poly-resistors. N-well resistors were only utilised for gate bias de-coupling.
8.5 Simulated performance of the Mixer/De-Modulator

8.5.1 Input and Output Return Loss of the Mixer

![Diagram of Smith Chart](image)

\[ \rho = 1.0 \]

Figure 8-8: Mixer Input Port Voltage Reflection Coefficient (Smith Chart)

The input port voltage reflection coefficient is centred in the middle of the smith chart as illustrated in Figure 8-8. S11 indicates that the input port is well matched to 200 Ω as it is near the centre of the Smith chart.
The above log-magnitude figure is that of the Smith chart plot in Figure 8-9 and provides a more quantifiable value for the input return loss of the image reject mixer is less than -16.5 dB across the whole frequency band.
8.5.2 Mixer/De-Modulator Gain

Figure 8-10: Voltage Gain of Mixer (Periodic Steady State response)

Figure 8-10 indicates an overall mixer gain of -5.6 dB, which tallies with the results obtained in Table 8-3. The Transconductor voltage is set at 680 mV and the switching mixers are set at 1.84 volts.
8.5.3 Noise Figure and output Noise Spectral Density

*Figure 8-11: Mixer Noise Figure*

The mixer Noise Figure is indicated in Figure 8-11 and can be seen to vary from 22 dB at 10 MHz offset to 14.7 dB at 100 MHz offset from 0 Hz DC point. The high values of noise near DC are as a direct result of the flicker noise contribution that exists in all surface devices such as CMOS technology.
Figure 8-12: Mixer Noise Spectral Density $dB/\sqrt{Hz}$

Figure 8-12 indicates the noise in terms of the spectral density indicates a value better than $-156.5\ dBc/\sqrt{Hz}$ at 10 MHz offset frequency from DC and falls to $-170\ dBc/\sqrt{Hz}$ at 100 MHz offset from DC.
8.5.4 Mixer/De-Modulator Linearity

The input compression point of the mixer/de-modulator circuit is just under +3.7 dBm at the input. This is deemed sufficient to provide a linear translation of the amplified RF signal to a Low IF frequency for detection.

The overall current consumption is less than 1.75 mA.
8.6 References


[9] Available online at www.rfic.co.uk

9 Layout

The complete receiver has been laid out to minimize losses, particularly on the signal path, whilst at the same time minimising size and maintaining the overall functionality of the chip.

Special attention was paid to the antenna effect in which the FET gate region is deformed by the charge build-up during the plasma etching process [1]. Also ESD diodes were placed at all inputs to the chip for anti-static protection.

The overall strategy has been to lay out different parts of the circuit in sections and then to perform the necessary extraction to compare the laid-out circuit against the schematic diagram. This method ensured that the laid-out circuit did not deviate from the original schematic version. The extracted circuit netlist was then re-simulated and effects of parasitics were analysed for any deviation.

Highly radiating components such as inductors have been placed in an N-well and surrounded by metal in order to minimize their effects on other circuit components and to reduce substrate coupling effects.

Particular attention has also been placed on matching components. This is especially important for the mixer/de-modulator circuit, for which any imbalances would produce second harmonic signals, which would have a detrimental effect of this type of direct conversion receiver.
Power supply lines and ground lines have also been laid out in accordance to the amount of current they carry, in order to prevent the effects of electro-migration [2].

The entire chip complies with the design rule check (DRC) provided by the Austriamicrosystem™ Foundry [3].

9.1 The LNA Layout

This was the first circuit to be laid out. The two largest components are the inductors, which were laid out to minimise both the area consumed and parasitic effects.

Poly resistors were used instead of N-well resistors due to the noise effects they had on the crucial first stage of the LNA. MIM capacitors were placed along the signal path to reduce losses due to the lower ESR value of these MIM capacitors. Poly capacitors were used for AC de-coupling purposes only.

The DC de-coupling properties of the front-end diplexer/filter were relied upon, instead of adding an extra capacitor for the same purpose, which would have contributed to pre-amplification noise in the receiver.

The entire LNA was placed within a metal enclosure but with a small gap in order to comply with the process DRC.
Figure 9-1: Layout of the Double Balanced LNA
9.2 The Mixer Layout

Transconductor sections of the mixer were laid out in accordance with matching requirements. There are four of these in the entire mixer/de-modulator circuit and they were balanced so that the electrical lengths all match each section from the output of the LNA to the input of the switching section of the mixer. This ensured minimum second harmonics and IP2, and also suppressed LO.

![Layout of the Mixer Transconductor](image)

Figure 9-2: Layout of the Mixer Transconductor

The upper switching sections of the mixer/de-modulator were laid out with the same emphasis as for the transconductor stage. There are eight such sections, four pairs, which comprise the whole receiver mixer circuit

The largest components to place were the capacitors, which needed to be large enough easily to ground any LO power after the mixing had taken place.
The overall chip is laid out as shown in Figure below. The whole receiver constitutes all the parts of the individual sections described in the previous sections of this chapter. Special emphasis was placed on ensuring that output to input feedback paths were eliminated. Also, the possibility of LO feed-through by means of radiation was also minimised.

**Figure 9-3: Layout of the Switching Section of the Mixer (De-Modulator)**

### 9.3 The Complete Receiver Layout
The Silicon die needed to be placed in an IC package. The TQFN type package JBP-X from the foundry Austriamicrosystems™ [4] was considered for parasitic effects, in other words, the estimated bond wire lengths and the capacitive effects of the plastic package.

The bond wires form part of the input inductances. These wires could be altered upward from a minimum length in order to achieve extra inductance values by altering the
length and thickness of these bondwires. This trimming is usually performed with the bare die on a PCB board prior to packaging the device. The following diagram is mainly for informational purposes.

Figure 9-5: JBP-X Package Diagram
9.5 References


10 Design and Simulation of Overall Receiver System

The overall system was implemented in Cadence as a test bench, in which all the system simulations were performed. The external parasitics, including the package effect and the bond wires, were included using available data from the Austriamicrosystems™ data sheets and process manuals.

10.1 Conventional LNA Receiver System

![Diagram of Conventional LNA Receiver Test Bench]

*Figure 10-1: Conventional LNA Receiver Test Bench*

The above test bench in Figure 10-1 is that receiver with a conventional LNA. The Balun is an ideal Balun circuit and the demodulators are terminated to a high impedance port for the purposes of simulation.

From 5-1 of Chapter 5, the required NF for the receiver should not exceed 9.4 dB. The conventional LNA requires a filter and a duplexer. The filter RX Band attenuation from 3-2 in Chapter 3 is 2.4 dB and the Duplexer attenuation from Table 3-1 also in Chapter 3 is 1.8 dB. This gives a combined front-end pre-LNA NF of 4.2 dB for typical conditions.
10.1.1 Conventional Cascode LNA Systems Gain

![Diagram](image)

**Figure 10.2: Voltage Gain of Receiver System with Conventional LNA**

Figure 10-2 indicates the gain of the wanted signal at 100 MHz and all the harmonics. To reduce simulation time 100 MHz is chosen here as an appropriate IF frequency. As can be seen, the gain is 11.7 dB and is over 30 dB greater than the next possible down-conversion signal at 200 MHz offset from DC.
10.1.2 Conventional Cascode LNA Systems Noise

Figure 10-3: Noise Figure of Receiver System with Conventional LNA

Figure 10-3 is that of the conventional LNA receiver Noise Figure at the output termination port. At 10 MHz offset, the Noise Figure can be seen to be 10.7 dB and is high mainly due to the flicker noise contribution. At 20 MHz offset this drops down to 8 dB, dropping down to 6.15 dB at the 100 MHz offset mark.
Figure 10-4: Noise Spectral Density of Receiver System with Conventional LNA

The Noise Spectral Density simulation result in Figure 10-4, which is the same result of the output, terminated Noise Figure result of Figure 10-3 but measured per square-root Hertz bandwidth.
10.1.3 Conventional Cascode LNA Receiver Linearity

The simulation result in Figure 10-5 is that of the input compression point and indicates a value of -23.9 dBm. This LNA is sufficient to handle the maximum LNA receive signal, provided that the $T_X$ signal is sufficiently filtered to be well below the compression point indicated in this simulation.
10.2 Proposed Single-ended LNA Receiver System

![Diagram of Proposed LNA Receiver System]

**Figure 10-6: Complete Receiver with Proposed LNA**

The test bench for the receiver with the proposed LNA is shown in Figure 10-6. An ideal Balun is placed after the LNA and the output terminals of the demodulator are terminated to high impedance.

**Figure 10-7: Voltage Gain of Receiver System with Proposed Single-ended LNA**

The above Figure 10-7 indicates a gain of 11.8 dB at 100 MHz offset from DC. The 100 MHz frequency in this simulation allows for faster simulations whilst providing and
accurate value down to DC, as the gain tends to get better as the frequency reduces in value.

10.2.1 Systems Noise

![Periodic Noise Response](image)

Figure 10-8: Noise Figure of Receiver System with Proposed Single-ended LNA

As indicated in Figure 10-8, the Noise Figure at 5MHz offset from DC is 13.5 dB and at 10 MHz offset is 10.7 dB. At 20 MHz this value drops down to 8.5 dB. At 100 MHz DC offset, where Flicker Noise contribution is nonexistent, the Noise Figure is just above 6.2 dB.
Figure 10-9: Noise Spectral Density of Receiver System with Proposed Single-ended LNA

The Noise Spectral Density simulation result in Figure10-9 is the same result of the output terminated Noise Figure result of Figure 10-8 but measured per square-root hertz bandwidth.

10.2.2 Receiver Linearity

Figure 10-10: Input 1dB Compression of Receiver System with Proposed Single-ended LNA
Design and Simulation of Overall Receiver System

The simulation result in Figure 10-10 is that of the input compression point and indicates a value of -11.05 dBm. This LNA is sufficient to handle the maximum LNA receive signal, also the T\text{X} signal of -14.4 dBm as indicated in Table.

### 10.3 Balanced LNA Receiver System

![Diagram of Balanced LNA Receiver System]

**Figure 10-11: Complete Cadence Implementation of Receiver Circuit**

The test bench for the receiver with the Balanced version of the proposed LNA is as shown in the above Figure 10-11. An ideal Balun is placed before the LNA and the output terminals of the demodulator are terminated to at a high impedance.

#### 10.3.1 Systems Gain

![Graph of Periodic AC Response of Receiver Gain]

**Figure 10-12: Periodic AC Response of Receiver Gain**
Figure 10-12 indicates a gain of 10.8 dB at 100 MHz offset from DC and is 30 dB above the next harmonic at 200 MHz. The 100 MHz frequency in this simulation allows for faster simulations whilst providing and accurate value down to DC, as the gain tends to get better as the frequency reduces in value.

10.3.2 Systems Noise

Figure 10-13 indicates a Noise Figure of 11.2 dB at 10 MHz offset from DC and 8.42 dB at 20 MHz offset from DC. At 50 MHz this drops down to 6.2 dB and at 100 MHZ this values is 6 dB. The higher Noise Figure values at lower offset frequencies confirms the domination of flicker noise, which disappears at higher offset values.
Figure 10-14: Receiver Noise Spectral Density

Figure 10-14 provides the output Noise per square-root hertz of the receiver using the proposed LNA.

10.3.3 Receiver Linearity

Figure 10-15: Input Compression Point
The simulation result in Figure 10-15 is that of the input compression point indicating a value of -11.05 dBm. This LNA is sufficient to handle the maximum LNA receive signal, and also the TX signal of -14.4 dBm as indicated in Table 6-2.
11 Results Summary and Discussion

In this chapter, a summary of all the simulated results and a comparison provided. The results are also compared with other published work.

The receiver requirements as stated in [1] and calculated in Table 5-1 of Chapter 5 cannot exceed 9.4 dB. The Duplexer $R_X$ band attenuation from Table 3-1 is 1.8 dB and $R_X$ Filter from Table 3-2 Chapter 3 is 2.4 dB [2]

LNA Results Summary is as follows:

<table>
<thead>
<tr>
<th></th>
<th>Gain (dB)</th>
<th>Noise Figure (dB)</th>
<th>Compression Point (dBm)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Conventional LNA</td>
<td>17.5</td>
<td>1.78</td>
<td>-25.1</td>
</tr>
<tr>
<td>Proposed LNA</td>
<td>17.0</td>
<td>2.4</td>
<td>-10.8</td>
</tr>
<tr>
<td>Balanced Proposed LNA</td>
<td>14.7</td>
<td>3</td>
<td>-10.2</td>
</tr>
</tbody>
</table>

*Table 11-1: Summary of LNA Noise Figure*

The above Table 11-1 summarises the Noise Figure of the three different types of LNAs considered.

1. The conventional LNA has a NF of 1.78 dB and a compression point of -25.1 dBm and the forward transmission gain is 17.5 dB. Since the compression point is less the -14.4 dBm as stated in Table and additional receive filter is required, to lower the $T_X$ signal to a value well below -25.1 dBm. For this, as discussed in Section 3.1.3, the EPCOS Filter B7752 is considered. The total pre-LNA filter losses are therefore 4.2 dB.

2. The proposed single-ended, low biased cascode LNA, together with the drain follower PMOS LNA has a NF of 2.4 dB, which is over 0.6 dB worse than the conventional cascode LNA. The forward transmission gain is 17.0 dB. The
Concluding Remarks and Further Work

Compression point though is -10.8 dBm, which is larger than the maximum allowable transmitter signal of -14.4 dBm as stated in Table. Therefore, the receiver path can be implemented with only the single duplexer for filtering the \( T_X \) signal in the \( R_X \) path. The total pre-LNA filter losses are therefore 1.8 dB.

3. The balanced version of the proposed low biased cascode LNA, together with the drain follower PMOS LNA has a NF of 3.0 dB, which is over 1.2 dB worse than the conventional cascode LNA. The forward transmission gain is 14.7 dB, but as for the NF this is per section of the balanced amplifier. The compression point is -10.2 dBm, which is larger than the maximum allowable transmitter signal of -14.4 dBm as stated in Table. Therefore, the receiver path can be implemented with only the single duplexer for filtering the \( T_X \) signal in the \( R_X \) path. The total pre-LNA filter losses are therefore 1.8 dB.

11.1 Results comparison and commentary against other available work:

At the start of this project, the LNA to be designed was based on the work carried out by [3] in 1997. Here, the author’s LNA was a simple cascode circuit, which achieved a Noise Figure of 3.5 dB, Gain of 22 dB and \( P_{1\text{dB}} \) of -22 dBm all using the 0.6 µm CMOS technology. Subsequent work carried out in 2002 by [4] achieved impressive results at 7 GHz but with external components.

The 2003 LNA design [5], simulated a Differential LNA at 2.45 GHz with a NF of 2.4 dB and Gain of 20 dB, with \( P_{1\text{dB}} \) at input of -21 dBm.

Subsequent work in 2003 by [6] in which a Gain of 19.3 dB was achieved at 5.2 GHz and with a Noise Figure of 2.45 dB. However, the circuit used external components.
From 2004 onwards there has been an explosion of new work. The gain boosting techniques discussed in [7] provided a Gain of 14.6 dB at 7 GHz using resistive feedback techniques. The NF achieved was, however, 4.15 dB.

The Ultra-Wideband LNA in [8] achieved as a best case result of NF of 2.3 dB, Gain of 9.8 dB and a Input P1dBm of -17 dBm between 2-4 GHz, using a feedback method to avoid the use of a gate inductor at the input. This design was implemented in 0.18 µm CMOS technology.

The Darlington Pair configuration discussed in [9] achieves a NF of 2.85dB, Gain of 21 dB and an input P1dBm of -22 dBm at 2.4 GHz. The use of a shunt inductor as opposed to the conventional series inductor provides the better NF with integrated inductor. This design was also implemented in 0.18 µm CMOS technology.

The conventional Cascode LNA design in [10] is designed with ESD diodes and achieves a gain of 13 dB, NF of 3.6 dB using the 0.13 µm CMOS technology and at 2.4 GHz. However, this design utilises an external gate inductor at the input and therefore provides a better NF than would have been the case had this inductor been integrated.

The Differential Cascode circuit implemented in [11] has a NF of 1.9 dB and a Gain of 8.4 dB at 2.2 GHz. The circuits input gate inductor is implemented externally, which helps it achieve a better NF than had it been integrated. This design also achieves a good linearity with a input P1dBm of -13 dBm, which is achieved by means of a degeneration inductor at the source terminal of the transistor. This design was also implemented in 0.35 µm CMOS technology.
The conventional Cascode circuit discussed in [12] achieves a similar NF of 1.97 dB and a Gain of 14.5 dB all at 2.14 GHz. But as was the case in [11], this design too has an external input gate inductor and achieves a P1dBm at the input of -14.8 dBm by means of a source terminal degeneration inductor. This design was also implemented in 0.13 µm CMOS technology.

Another Resistive Feedback design is implemented in [13], and operates over the broadband frequency range of 0.5 GHz to 7 GHz. This design is similar to that implemented in [8], in that the feedback circuit is used instead of an input gate inductor. This design was implemented in 90 nm and achieved a NF of 2.3 dB and a forward Gain of 22 dB. The input P1dBm was -21 dBm.

References [14] to [20] use different methods to achieve better linearity performances. [14] using a Meshed Array of MOSFETS, whilst [15] uses the source degeneration inductor method discussed in [8] and [13] to achieve a good linearity with an input P1dBm of -7dBm. At 2.4 GHz the Forward Gain was 10.1 dB and the NF was 2.9 dB using an integrated input gate inductor. This design was implemented in 0.18µm CMOS technology.

The Ultra Wideband LNA discussed in [16] achieves a Forward Gain of 9.5 dB and a NF of 3.5 dB using an integrated inductor in the circuit. Most impressive though is the linearity achieved, with a P1dBm at the input of -6 dBm. However, it is not clear how this was achieved.
Concluding Remarks and Further Work

The Post-linearization circuit of [17] uses a sinker circuit to sink the 3rd order intermodulation product. The effectiveness of this in restricting other intermodulation products and different frequencies has not been considered in this paper.

The distributed LNA topology in [18] achieved a P1dBm at the input of -7 dBm but with a NF of 4.2 dB or worse. The Active Post-Distortion method mentioned in [19] also achieved high linearity.

The Derivative Superposition method implemented in [20] claims to have achieved a P1dBm at the input of +11 dBm and a Forward Gain of 11.5 dBm with NF of 2.95 dB. This design was implemented in 0.35µm CMOS technology and excludes internal all passive components.

The inductor capacitor parallel circuit at the input of the amplifier discussed in this thesis in section 5.7 is also implemented in [21], in which the properties of parallel resonance are used to reduce the effective inductor value. At 2GHz [21] achieved a Forward Gain of 24 dB and an NF of 2.8 dB, all using the 0.18µm CMOS technology.

The Noise Cancellation LNA in [22] implemented a sub-threshold biasing arrangement also discussed in Chapter 6. Using this method [22] is able to achieve an input P1dBm of +3.3 dBm with a NF of 1.4 dB and a Forward Gain of 12.8 dB and all at 2 GHz. The input gate inductor though was implemented external to the chip. This design was implemented in 0.18µm CMOS technology.

11.2 Receiver Results Summary

The following table is a summary of the receiver simulation:
Concluding Remarks and Further Work

<table>
<thead>
<tr>
<th>Gain (dB)</th>
<th>Noise Figure (dB) at Offsets from DC (MHz)</th>
<th>Compression Point (dBm)</th>
<th>Filter Losses (dB)</th>
<th>Noise Figure Requirement (dB)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>10</td>
<td>20</td>
<td>30</td>
<td>50</td>
</tr>
<tr>
<td>With Conventional LNA</td>
<td>11.7</td>
<td>10.7</td>
<td>8.0</td>
<td>7.0</td>
</tr>
<tr>
<td>With Proposed LNA</td>
<td>11.8</td>
<td>10.7</td>
<td>8.5</td>
<td>7.6</td>
</tr>
<tr>
<td>With Balanced Proposed LNA</td>
<td>10.8</td>
<td>11.2</td>
<td>8.4</td>
<td>7.3</td>
</tr>
</tbody>
</table>

Table 11-2: Summary Receiver Noise Figure

From Table 11-2, the following conclusions can be drawn:

1. Even though the conventional LNA had a better NF, due to inter-stage matching between the LNA and the demodulator and the Flicker Noise contribution, this advantage does not show through.

2. The combined Filter and Duplexer losses at the front-end means that the conventional LNA will not be sufficient to meet the 3GPP specification on receiver sensitivity.

3. Both versions of the proposed LNA will have sufficiently low NF at around 20 MHz offset from DC to achieve the required 3GPP sensitivity of the receiver.

11.3 Results comparison and commentary against other available work

The UMTS receiver Design in [23] achieves a receiver NF of 5.2 dB and an input compression point of -17.5 dBm, consuming a current of 20 mA. The UMTS receiver in [24] achieves a NF of 7.3 dB and has an input referred P1dBm of -18.6 dBm. Whilst the
work in this thesis cannot better the results obtained in [23] it does get better results than [24] in terms of NF and better than both [23] and [24] in terms of linearity and therefore component count.
11.4 References


[8] Chang-Wan Kim; Min-Suk Kang; Phan Tuan Anh; Hoon-Tae Kim; Sang-Gug Lee;


Concluding Remarks and Further Work


Concluding Remarks and Further Work


12 Concluding Remarks and Further Work

12.1 Conclusions

Of the various CMOS receiver design studied as part of this research work, particularly for UMTS frequencies, almost all designs were implemented in the standard topology from a systems point of view. They included the standard duplexer filter receiver architecture and matched various sections of the receiver circuit with the standard LC topology, ending up with almost the same component count [1-4].

Having analysed the systems requirements closely, it was noted that the PA signal feed-through into the receiver path was the cause for the additional filtering. This added filtering required additional poles which in turn increased the losses along the receiver path, increasing the overall noise figure of the receiver.

A high compression point LNA was then devised at the “deep AB bias point”, now fashionable as a PA biasing techniques, to bias the device. This in return increased the compression point but only with a marginal increase in noise figure.

An additional PMOS device was then placed to increase the gain, flouting the principal of current re-use. This meant an additional 1 mA in current was consumed, but the total impact on the systems NF was much better as it facilitated the removal of one layer of filtering at the receiver.
The initial design was for a single-ended LNA, with a possible active Balun circuit to convert the common mode signal into that of a balanced signal. Balancing the signal with an active circuit proved to be very difficult and hence was abandoned as part of this thesis work. This had the drawback of having to use an extra set on inductors in the circuit.

The large component sizes and low Q-factors, particularly of the inductor circuits, proved to be quite a challenge. A simple and novel method was then implemented in which the resonance of the component was altered by adding a capacitor in parallel. This had the benefit of not only lowering the required inductor value, but also making the circuit narrow-banded and more responsive.

The mixer/de-modulator design also proved particularly challenging, particularly obtaining linearity with the limited voltage headroom of 2.7 volts. The load for the mixer was initially active in order to save valuable voltage. However, the flicker noise contribution was far too great with this approach. The current was then slightly increased, and together with a simple conjugate match with the unmatched LNA output, this provided an effective solution to the particular design problem.

LO feed-through and other harmonic issues were also problematic, but appropriate capacitor de-coupling and LO power levels adjustments rectified the situation.

The DC offset value was initially designed for 10 MHz or less, but due to the excessive flicker noise contribution from the mixer/de-modulator circuit, this was increased to 20 MHz. However, due to better DSP available, this was not thought to be a problem.
Concluding Remarks and Further Work

Layout considerations were paramount in the final implementation of the circuit. The balanced circuit was placed in complete symmetry, ensuring that all electrical lengths were exactly equal in length.

The completed circuit met the UMTS W-CDMA standard requirements for linearity and sensitivity requirements, using on-chip components for a low-IF receiver circuit.

12.2 Recommendations for further work

Several methods were investigated to reduce the overall flicker noise of the demodulator circuit. The method of using floating inductors as described in [5] was tried but with little effect on the overall performance.

Newer techniques where passive CMOS mixers are utilised [6] may produce the desired effect to realise the receiver at zero-IF rather than near zero IF as has been implemented in this design. It would be interesting to note how such a passive mixer performs in a UMTS receiver.

A significant measurement in direct conversion receivers is the IIP2 performance. This was not evaluated for this circuit. It would be interesting to measure the value for this design, particularly with the inclusion of an on-chip LO.

The differential inputs to the LNA were converted utilising an ideal Balun. Further investigations could be carried out to include this as part of the LNA input circuit or by investigating the application of such circuits. One such device is designed in [7] by Triquint semiconductor.
The LO was fed from an external signal source. However, a design that incorporated the LO would be more desirable. Also, since this investigation concentrated on the receiver side of a UMTS single-chip CMOS device, it would be more interesting to design the transmitter and integrate all on a single chip. This would then help ascertain the robustness of the design with an on-chip PA.
12.3 References


Appendix 1

Administration and maintenance of the Cadence software

The cadence design and simulation software was installed and maintained on the Bradford University Unix network consisting of 1 Sun Sprac1, 2 Sun Sprac5 and 1 Sprac10 workstations, which were from 1989 to 1991 vintage rated around 4.2 MFLOPS. Since the university could not afford to provide the necessary computer resources it was necessary to run and maintain these myself, but without root access, which was held by the Engineering school computer administrators.

Running and maintaining the Cadence software required the ability and knowledge of the following:

1. The Cadence Design Framework II
2. Installing simulation the software
4. Managing and troubleshooting licenses
5. Working with technology data
6. Design management and the Library Manager

Knowledge of Cadence Design Framework II

Since the Cadence design and simulation software is installed and run within the Cadence Design Framework II (DF II) environment, which works on the concept of a hierarchical database. This does not allow the modification of single components without it influencing to other circuits using the same cell.

The SKILL programming language is used to provide access to this complex Cadence database and allows the integration of small applications in DF II to be fulfilled with a user
transparent data flow. This includes such functionalities as providing hotkeys for the schematic and layout editor, searching the database for cells, displaying user property values etc.

The SKILL programming language was learnt for the purposes of administering the DF II using the extensive resources provided by Cadence at their website www.cadence.com.

**Installing simulation the software**

This requires a good knowledge of Unix or Linux and needs to be installed as software administrator and NOT user. The environment is configured in C shell commands and the installation itself is fairly similar and requires running in the cd1 directory the installation script SETUP.SH and following the prompts, which also includes providing a path for the decryption file.

**Managing and troubleshooting licenses**

The license file is provided by Cadence in the form of an email and has to be saved on the server running the license daemon. Configuring licensing can include:

1. Editing the license file to include the hostid and license path
2. Creating a script to start the license daemons
3. Editing the license server's boot script (optional)
4. Creating a symbolic link
5. Setting up application clients
6. Setting up users' workstations
Working with technology data

A technology file is an ASCII text file that allows the Cadence CAD toolset to be customized for specific technology processes. The technology file defines layers and devices that are available for a particular fabrication process. The layer, physical, and electrical rules for the technology are also contained in the technology file. Some Cadence applications rely on their own specific rules being defined in the technology file. The technology file is typically updated every 6 months or after a new run at the fabrication plant and the resulting corrections between the measured values and the previous parasitic values used in simulation.

Design management and the Library Manager

This requires the constant update of design and library files ensuring that ensure the simulation software and technology files are all up to date and that old files are either archived or deleted.
Appendix 2

LIST OF AUTHOR PUBLICATIONS

Journal articles (published):


Conference articles (published):

Appendix 3

NMOS optimum width selection graph

Figure A-1: $F_T$ Plot for NMOS Device - Datasheet for EPCOS Ceramic Microwave Duplexer A260, at ambient temperature.
Appendix 4

Filter Characteristics

Figure A-2: W-CDMA Front-End Duplexer for UMTS - Plots from the EPCOS Ceramic Rx Filter B7752 at ambient temperature
Figure A-3: W-CDMA Receiver Filter for UMTS
## Appendix 5

### Austriamicrosystems™ Process Noise Models

Document ENG-189. Available online at www.austriamicrosystems.com

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<table>
<thead>
<tr>
<th>ENG – 189 Rev. 5.0</th>
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<tbody>
<tr>
<td>0.35μm CMOS C35 Noise Parameters</td>
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</tbody>
</table>

### 2.3 Flicker Noise Modeling

Two types of low frequency noise models are supported: standard SPICE noise models and the more sophisticated BWXY3 noise model. The supported standard SPICE models use the following equations for the noise power density depending on the drain current, the effective geometric dimensions and the frequency:

**SPICE model 1:**

$$ S_f = \frac{1}{C_{ox}} \cdot \frac{K_F \cdot I_d^2}{f} $$

- $S_f$: current noise density [μV/Hz] 
- $f$: frequency 
- $K_F$, $K_P$: noise parameters 
- $I_d$: drain current

**SPICE model 2:**

$$ S_f = \frac{1}{C_{ox} \cdot W_{eff} \cdot L_{eff}} \cdot \frac{K_F \cdot I_d^2}{f} $$

- $S_f$: current noise density [μV/Hz] 
- $f$: frequency 
- $K_F$, $K_P$: noise parameters 
- $I_d$: drain current

$W_{eff}$: effective channel length 
$L_{eff}$: effective channel width

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### Figure A-4: Process Flicker Noise Modelling Information

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Appendix

0.35μm CMOS C35 Noise Parameters

\[ S_i = \frac{1}{C_{de}} \cdot L_{dd} \cdot f \cdot f(\text{NOIA, NOIB, NOIC, } I_d, V_g - V_t, V_d, \ldots) + \]

\[ \frac{1}{C_{we}} \cdot L_{we} \cdot W_{we} \cdot f \cdot f^2 \cdot g(\text{NOIA, NOIB, NOIC, } I_d, V_g - V_t, V_d, \ldots) \]

- \( S_i \) current noise density [\text{pA/Hz}]  
- \( f \) frequency  
- \( \text{NOIA, NOIB, NOIC} \) noise parameters  
- \( I_d \) drain current  
- \( C_{de} \) Oxide Capacitance  
- \( L_{dd} \) effective channel length  
- \( W_{we} \) effective channel width  
- \( V_g \) gate voltage  
- \( V_t \) drain-source voltage  
- \( V_d \) effective threshold voltage  

The functions \( f \) and \( g \) depend on the BSIM3V3 transistor model, on the Noise Parameters NOIA, NOIB and NOIC and on the bias voltages. The Noise Parameters NOIA, NOIB and NOIC are listed in the BSIM3V3 Device Parameter File. 

For simulation purposes, the BSIM3V3 parameters have been extracted from a Spice simulation for a transistor of the device family. 

2.4 Worst Case (WC) 1/f Noise Modeling

For MOS, the \( 1/f \) noise is modeled in the current noise model of the BSIM3V3 transistor model and the noise parameters NOIA, NOIB and NOIC are available for simulation purposes. 

Table 2.2: The combination of DC and \( 1/f \) noise worst case implemented for SPECTAC and ELDO.

Figure A-5: Process Worst Case Flicker Noise Modelling Information
2.5 Thermal Noise Modeling

For higher frequencies only thermal noise dominates (see figure 2.2).

\[ S_i = \frac{kT}{2} \left( g_{mn} + g_{ds} - g_{mb} \right) \]

- \( S_i \): current noise density [\text{A/Hz}]
- \( k \): Boltzmann constant
- \( T \): temperature
- \( g_{mn} \), \( g_{ds} \), \( g_{mb} \): transconductances

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**Figure A-6: Process Thermal Noise Curves**
Figure A-7: NMOS Noise Curves for W/L 10/10 μm
Figure A-8: PMOS Noise Curves for W/L 10/10\mu m

Fig 4.5 PMOS current noise \( \frac{\Delta I}{\Delta \omega} \), W/L=10/10, Bias Current: ID = 1, 10 and 100 \mu A
Measurement = symbols with calculated 3 sigma (black)
BSIM3V3 noise model= blue with Worst Case (3 sigma) in magenta