

# Robust adaptive nonlinear control of microgrid frequency and voltage in the presence of renewable energy sources

by

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# **ROBUST ADAPTIVE NONLINEAR CONTROL OF MICROGRID FREQUENCY AND VOLTAGE IN PRESENCE OF RENEWABLE ENERGY SOURCES**

Hamed TAHERI LEDARI

## **SUMMARY**

Global warming of the planet and air pollution have prompted an increased use of renewable energy sources for power generation. These new sources of clean energy are now very much in demand for setting up microgrids that provide energy independence to communities far from major urban centers. These microgrids should be able to operate either in isolated mode or to be connected to the main power grid. These requirements pose significant challenges. Indeed, in isolated mode, small and medium power grids are very sensitive to fluctuations in consumer power use as well as changes in the power produced by generators. In grid-connected mode, renewable energy sources do not contribute to the grid's stability and robustness as well as conventional generators do.

Photovoltaic power plants pose some challenges when integrated with the power grid. The PV plants always focus on extracting the maximum power from the arrays. This makes the PV system unavailable for helping in regulating the grid frequency as compared to conventional generators. One of the main objectives of this research is to develop a robust adaptive nonlinear control technique which provides frequency regulation functionality to PV systems as well as voltage regulation.

A small-scale power microgrid incorporating photovoltaic generators, synchronous generator and load is considered in our study. Dynamic models of the proposed microgrid were determined. The final model highlights the interactions between the sources of renewable energy and the rest of the network. A new robust adaptive nonlinear (exact input-output feedback linearization) control strategy was developed in order to meet the requirement of frequency regulation as well as voltage regulation. The new control strategy allows the PV system to have a similar response to changes in microgrid frequency as that of a conventional generator. The controller is also self-adjusting (adaptive) as well as robust in order to compensate the perturbation due to the changes in users' power consumption, or any defects

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in the MG electrical network. The performance of the proposed solutions was evaluated in simulation using the Matlab/Simulink. For further verification, a small-scale laboratory experimental prototype of proposed microgrid was developed in laboratory to implement the proposed technique.

This research may be regarded as an important basis for the development of microgrid power station for remote communities isolated from the main power system or large-scale power network with higher penetration of renewable energy sources.

**Keywords:** microgrid, photovoltaic, synchronous generator, frequency and voltage regulation, robust adaptive nonlinear control.



# **CONTRÔLE ROBUSTE NON LINÉAIRE ADAPTATIF DE LA FRÉQUENCE ET LA TENSION DU MICRORÉSEAU EN PRÉSENCE DES SOURCES D'ÉNERGIE RENOUVELABLES**

Hamed TAHERI LEDARI

## **RÉSUMÉ**

Le réchauffement climatique de la planète et la pollution de l'air ont incité une utilisation accrue des sources d'énergie renouvelables pour la production d'électricité. Ces nouvelles sources d'énergie propre sont maintenant très demandées pour la mise en place d'un micro réseau qui fournit l'indépendance énergétique aux communautés éloignées des grands centres urbains. Ces micro réseaux doivent être capables de fonctionner soit en mode isolé ou d'être connectés au réseau électrique principal. Ces exigences posent des défis importants. En effet, en mode isolé, petits et moyens réseaux électriques sont très sensibles aux fluctuations de la consommation d'énergie ainsi que des changements dans la puissance produite par des générateurs. En mode connecté au réseau, les sources d'énergie renouvelables ne contribuent pas à la stabilité et la robustesse des réseaux tels que des générateurs conventionnels font.

Centrales photovoltaïques représentent des défis lorsqu'ils sont intégrés avec le réseau électrique. Les installations photovoltaïques se concentrent toujours sur l'extraction de la puissance maximale. Cela rend le système de PV plus disponible pour aider à réguler la fréquence du réseau par rapport aux générateurs conventionnels. L'un des principaux objectifs de cette recherche est de développer une technique de contrôle non linéaire adaptative robuste qui fournit des fonctionnalités de régulation de fréquence pour les systèmes photovoltaïques, ainsi que la régulation de tension.

Un micro réseau à petite échelle est pris en compte dans notre étude incorporant des générateurs photovoltaïques, générateurs synchrones et la charge. Les modèles dynamiques du micro réseau proposé ont été déterminés. Le modèle final met en évidence les interactions entre les sources d'énergie renouvelable et le reste du réseau. Une nouvelle stratégie de contrôle non linéaire adaptatif robuste (exacte d'entrée-sortie rétroaction linéarisation) a été développée afin de répondre à la fois à l'exigence de régulation de la fréquence ainsi que celle

de la tension. La nouvelle stratégie de contrôle permet au système de PV d'avoir une réponse similaire à des changements dans la fréquence du micro réseau que celle d'un générateur classique. Le contrôleur est également autoréglage (adaptatif), ainsi que robuste pour compenser la perturbation due à l'évolution de la consommation d'énergie des utilisateurs, ou des défauts dans le micro réseau électrique. La performance des solutions proposées a été évaluée en utilisant la simulation Matlab / Simulink. Pour de plus amples vérifications, un prototype de laboratoire expérimental du micro réseau petite échelle proposé a été élaborée en laboratoire pour la mise en œuvre de la technique proposée.

Cette recherche peut être considérée comme une base importante pour le développement de la centrale du micro réseau pour les collectivités éloignées isolées du réseau principal d'alimentation ou d'un réseau d'électricité à grande échelle avec une plus forte contribution des sources d'énergie renouvelables.

**Mots clés:** micro réseau, photovoltaïque, générateur synchrone, régulation de la fréquence et la tension, contrôle non linéaire adaptative robuste.

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## LIST OF ABBREVIATIONS

AC	Alternating Current
ANN	Artificial Neural Network
AVR	Automatic Voltage Regulator
EMS	Energy Management System
DC	Direct Current
DE	Differential Evolution
DES	Distributed Energy Storage
DFIG	doubly-Fed Induction Generator
DG	Distributed Generation
DQ	Direct Quadrature
FA	Firefly Algorithm
FLC	Fuzzy Logic Control
IC	Incremental Conductance
IEEE	Institute of Electrical and Electronics Engineers
IM	Induction Motor
KCL	Kirchhoff's Current Law
KVL	Kirchhoff's Voltage Law
MG	Microgrid
MIMO	Multi-Input Multi-Output
MPPT	Maximum Power Point Tracking
PCC	Point of Common Coupling
PI	Proportional Integral
PLL	Phase Lock Loop
P&O	Perturb and Observe
PSO	Particle Swarm Optimization
PSS	Power System Stabilizer
PV	Photovoltaic
PWM	Pulse Width Modulation
SC	Soft Computing

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SCM	Standard Conditions of Measurement
SG	Synchronous Generator
SISO	Single-Input Single-Output
SMC	Sliding Mode Control
SVM	Space Vector Modulation
RE	Renewable Energy
VSI	Voltage Source Inverter

## LIST OF SYMBOLS

### Base units

Time	s	second
Mass	kg	kilogram
Power	w	watt
	VAR	volt-ampere reactive
	VA	volt-ampere
Voltage	V	volt
Current	A	ampere
Angle	rad	radian
Temperature	°C	Celsius
	K	Kelvin

### Mechanical units

Friction	F	Newton/rad/s
Inertia	J	kgm <sup>2</sup>
Velocity	m/s	meter per second
	rad/s	radian per second
	$\omega_r$	mechanical angular velocity in rad/s
	$\omega_s$	synchronous angular velocity in rad/s
Acceleration	rad/s <sup>2</sup>	radian per second squared
Angle	$\theta$	angular position of the rotor in rad
	$\theta_{ref}$	synchronously rotating reference in rad
Torque	N.m	Newton-meter
	$T_m$	mechanical torque in N.m
	$T_a$	accelerating torque in N.m
Irradiance	w/m <sup>2</sup>	watt per meter squared

**Electrical units**

Velocity       $\omega_e$       electric angular velocity in rad/s

Torque       $T_e$       electrical torque in N.m

# INTRODUCTION

## 1. Overview

During recent years, the utilization of renewable energy sources has been promoted quickly to fulfill increasing energy demand and to deal with global climate change. Due to growing penetration of renewable energy sources into power grid systems, motivations of studies on advanced control systems are increasing to support voltage and frequency of microgrid (Phadke, Thorp *et al.*) when significant contingencies occur (Ekanayake, Holdsworth *et al.* 2003). In the traditional grid-connected mode of microgrid (MG), the changes at output of renewable energy sources (*i.e.* active or reactive powers) can be transferred to the grid system. In this case, the frequency and voltage are compensated by the grid. Traditionally, microgrids are disconnected from the upstream grid when a fault occurs. In this situation, if active and reactive power generated by renewable sources remain unchanged, it could increase the risk of instability for the entire microgrid especially when the net amount of generated power becomes significant (Mauricio, Marano *et al.* 2009). The imbalance between generated power and load power causes over frequency or over voltage that can trip off the inverter integrated into MG design.

More particularly, photovoltaic power plants, as one of the most significant family of renewable energy resources, pose important challenges when integrated into the microgrid. Photovoltaic (PV) inverters always focus on extracting maximum power from the PV array system; this makes the PV system unavailable to contribute in regulating the microgrid frequency as compared to the conventional generators (Taheri, Akhrif *et al.* 2012). The problem of making PV systems have similar behavior as that of the conventional generators remains a big challenge (Datta, Senjyu *et al.* 2010).

In this context, this research mainly provides advanced strategies of voltage and frequency control for islanded microgrid system in the presence of PV generators. This thesis (i) states the problems that result from integrating PV systems into microgrids, (Saito, Niimura *et al.*),

(ii) gives a literature review of advanced control methodologies of MG frequency and voltage such as nonlinear, adaptive and robust control, (iii) suggests a nonlinear model of PV/battery generator, (iv) proposes innovative strategies based on improved linear, nonlinear and robust adaptive nonlinear control techniques and (v) finally discusses the results regarding implementing the proposed advanced control using both simulation in Matlab/Simulink and experimentation in laboratory for validation purposes.

## **2. Motivation of research**

Constant growth in global energy demand remains a serious concern between energy and environment. Ideally energy resources that cause no environmental impact must be utilized by a society looking for a sustainable development. However, every energy resource leads to some negative environmental impact. These negative impacts of energy can be in part overcome through increased energy efficiency. In addition, in the electrical energy generation sector, electric utilities are facing other challenges such as rising fuel costs, aging assets, and pressure to adopt renewable portfolio standards. Most of these issues can be overcome without any imperil of overall performance and quality of the power systems. Renewable energies have drawn the most attention in comparison with conventional energy generations in recent years. Among renewable energy sources, photovoltaic as clean, pollution free and inexhaustible energy resource is expected to be one of the biggest contributors of electricity generation by 2050. Figure 0.1 shows a rapidly increasing of the global PV installation during years between 2010 and 2017. In 2010, installation of PV was 20GW while IHS research expects the PV installation to grow up to 61GW by 2017 (Beehler 2008, IHS 2013). Looking further ahead, if the United States of America is to achieve the ambitious target of an 83% reduction in carbon emissions by 2050, this will require that renewable sources contribute at least 50% of the energy used for electricity generation (Eric Martinot 2007). With a rise in the PV penetration and its progress into the global market, PV systems with advanced features to create an efficient economic system are required.



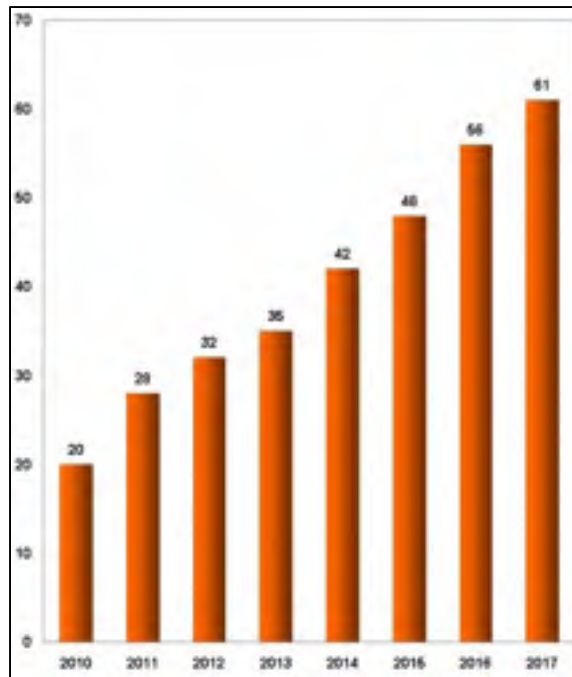


Figure 0.1 IHS Worldwide Photovoltaic Installation Forecast (Gigawatts)  
Taken from IHS (2013)

### 3. Problem statement

Generally, a PV system is categorized into stand-alone and grid-connected types. In the global market, a large proportion of power is supplied by the grid-connected type because of its investment saving, high efficiency, convenient topology and simple control strategy (Chunqing, Yong et al. 2009, Lee, Kim et al. 2009).

The problem is that the outputs of renewable generators such as PV and wind generators are enormously affected by weather conditions. In fact, this intermittent power from the renewable sources consequently has some severe impacts on power system operation. Frequent voltage regulation in distribution lines may cause voltage fluctuation which accordingly damages the voltage-regulating devices (ElNozahy and Salama 2013). They also may cause frequency deviation of power systems which depreciate high PV power penetration (Rikos, Tselepis et al. 2008). In fact, the more distributed generation grows the more storage capacity is required. It infers that the spinning reserve for frequency control

also decreases. If renewable energies are to provide a huge amount of microgrid power, they will need to maintain some power in reserve. On the other hand, the sunlight or wind is not always present or predictable. Therefore, a consideration of energy reserve facilities supplementing a virtual spinning reserve seems to be one of the challenges of providing frequency responsiveness and dispatchability to PV or wind systems. In fact, they store power during normal operation and inject power during a fault, to maintain the proper micro grid frequency and voltage. These storage facilities are newly deployed as distributed energy storage (DES). However, they require extra cost and the sophisticated energy management is necessary (Kakimoto, Takayama et al. 2009, Sow, Akhrif et al. 2011, Watson and Kimball 2011).

Another important challenge of current PV systems is that they are not well designed to participate in the frequency regulation of the microgrid when it is affected by large disturbances. Indeed, in conventional power systems, each synchronous generator (SG) could respond to the frequency deviation because of the kinetic energy stored in rotor. However, PV generator doesn't have this rotating part to provide spinning reserve for frequency regulation. Moreover, the typical concept of maximum power point tracking (MPPT) conflicts with frequency regulation. Unlike the power deloading concept in conventional power system, the MPPT algorithm doesn't leave any power reserve to compensate the frequency deviation. This results in a significant reduction of the robustness and frequency regulation capabilities under higher PV penetration into microgrid system. As a consequence, the frequency may change abruptly due to disturbances and parameters uncertainties in generation or loads. Therefore, new trends of PV systems in a microgrid require being equipped with an advanced and robust control unit which is able to contribute not only to voltage but to frequency regulation as well (Yan, Jianhui et al. 2011). To be able to achieve high performance renewable sources interacting appropriately with traditional micro power grids, PV systems require reacting like conventional generators, such as synchronous generator. Power network needs these types of renewable generators to support both voltage and frequency regulation. To simultaneously support renewable generators with MPP

tracking and frequency regulating, PV systems should operate in conjunction with storage components to have some power in reserve.

Considering storage devices in parallel with PV systems in a microgrid, the concepts of energy management become necessary. Intelligent mechanisms are required to make PV and microgrid interact properly. On the other hand, control techniques used in conventional PV systems are mainly linear. However, to have PV and storage elements working together, sophisticated switching power electronics devices are required. In the case when the application calls for less power losses or large power transfer, it is necessary to use different types of converters with more power electronics switches. Since the aforementioned system (hybrid PV-battery generator) has severe nonlinear behavior, the use of a simple linear controller is not adequate for such applications and doesn't provide good performances.

#### **4. Objectives of research**

The main objective of this research is to make the PV-battery system behave like a conventional generator *e.g.* synchronous generator while automatically managing power sharing between different modules using an advanced and innovative control strategy. This minimizes the costs and problems associated with the presence of rotating machines. On the other hand the generated power (active or reactive) by PV-battery system increases when both the MG frequency and voltage decrease respectively due to load demand increment, and vice versa.

##### **Specifically, this research aims to:**

- ensure high performance voltage and frequency regulation in the presence of fluctuations and load variations (Objective.1);
- integrate a conventional MPPT to ensure the PV operates at its maximum power point (Objective.2);
- coordinate the power delivery among different units i.e. PV and battery system in a MG without the need for a separate energy management system (Objective.3).

The overall expected configuration of the microgrid system associated with above-mentioned objectives is presented in Figure 0.2.

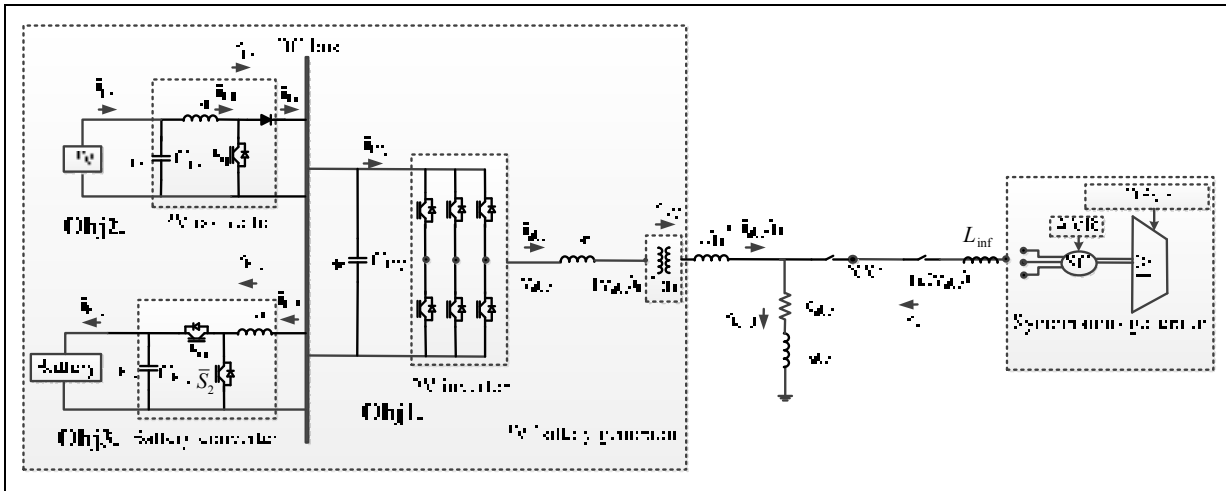


Figure 0.2 Suggested microgrid system

## 5. Methodology

To achieve the objectives stated in previous section, this study is structured based on modeling, control design and validation using both simulation and experimental investigation at GREPCI laboratory. The proposed methodology is briefly described below:

First, in order to integrate photovoltaic, battery, synchronous generator using corresponding power electronics converters and isolation transformers into a microgrid and to design a unified controller for this system, a detailed model of the system is required. Hence an accurate and nonlinear multi-input multi-output (MIMO) dynamical model of system is extracted based on mathematical relationship among physical components. This model is used for the design of an advanced control scheme i.e. robust adaptive nonlinear control.

Second, an advanced and innovative voltage and frequency control strategy which is robust, adaptive and nonlinear is proposed via nonlinear dynamics of system. These controllers are designed to drive switching converters such that the perturbation, uncertainty and

nonlinearity of the system as well as power sharing by battery are taken into account in control design. To extract the optimum power of PV generator, a maximum power point tracking (MPPT) algorithm is integrated into the controller.

Finally, validations of the proposed strategy are respectively conducted in two steps; simulation and laboratory experimentation. To implement the proposed control methods, a simulation model (see Figure 0.2) of the proposed system is developed in Matlab/Simulink software. This includes modeling of controllers, PV array, power electronics converters, lead-acid battery, transformer, load and synchronous generator. Some test methods are applied to the simulation model to validate the control performance such as sun insolation variation and load changes. Then, a hardware test bench is developed to verify the effectiveness of control method. This experimental setup includes a PV array emulator, lead-acid battery, synchronous generator, induction motor (IM) developed by Lab-Volt, drive system with speed controller developed by ABB, three-phase transformer, DC-DC converter, three-phase inverter and load. The developed control scheme is programmed and implemented using Texas Instrument TMS320F28335 microcontroller. In fact, the C code generated from the proposed controller through Simulink will be downloaded to the microcontroller board, where it is executed in real time. The system is tested under different scenarios in order to ensure the effectiveness of the proposed control methods such as insolation and load changes.

## **6. Statement of the originality of the thesis**

The lack of a systematic strategy for maintaining the voltage and frequency of microgrid when PV system largely will be used has motivated the present study, which aims at developing an 8<sup>th</sup> order dynamical model of the proposed PV-battery system (the first contribution). The novelty of this model is the integration of the nonlinear dynamics of the microgrid frequency, delivering powers and voltages. Using this comprehensive state variables representation, the second contribution of this thesis is the design of unified multivariable controllers based on techniques such as an improved linear, a nonlinear (an exact input-output feedback

linearization scheme) and a robust adaptive nonlinear control for the hybrid photovoltaic-battery source in a MG system. These unique controllers (i) guarantee proper voltage and frequency regulation in the presence of uncertainties, nonlinearities and disturbances (Saito, Niimura et al.), (ii) integrate a conventional MPPT to ensure the PV operates at its maximum power point and (iii) coordinate the power sharing among different units of the PV-battery system.

## **7. Structure of the thesis**

This thesis discusses major topics dealing with contribution of the PV system into the frequency and voltage regulation of the microgrid system, as follows:

**Chapter 1** is dedicated to the review of the recent approaches used for modeling, control and implementation of microgrid with discussion on their specialties and abilities.

**Chapter 2** describes thoroughly the full mathematical modeling of the selected configuration of PV-battery generator in a MG.

**Chapter 3** presents the design of the modified linear control for frequency and voltage regulation in a microgrid including PV, battery system as well as synchronous generator. The method is validated using simulation.

**Chapter 4** proposes the design of a nonlinear control, based on exact input-output feedback linearization for frequency and voltage regulation of a hybrid PV-battery system in parallel with synchronous generator. The proposed nonlinear control is validated using both simulation and laboratory experimentation.

**Chapter 5** presents the design of a robust adaptive nonlinear control for frequency and voltage support by a hybrid PV-battery system in parallel with synchronous generator. The simulation result is included for validation of the proposed system.

**Chapter 6** gives the general conclusions of this work and also highlights several recommendations for future researches.





## CHAPTER 1

### LITERATURE REVIEW

#### 1.1 Introduction

A literature review pertinent to recent methods on the performance of microgrid and its control systems in the presence of renewable energy (RE) generators, in particular, photovoltaic systems are presented in this chapter. Strengths, weaknesses as well as existing challenges of state-of-the-art methods, categorized into the following four general subjects, are well addressed.

First, different types of MG models suggested in literature including linear and nonlinear models are presented. Second, various methods of classical and modern controls with the contribution of photovoltaic generator into frequency and voltage regulation of the MG are described. Third, several conventional maximum power point tracking (MPPT) methods, used to harvest maximum energy from photovoltaic systems, are presented. Last, a set of experimental testbeds of the MG energized by RE resources is discussed.

#### 1.2 Overview of Microgrid Modeling

Different mathematical models have been so far suggested by researchers for a microgrid system with various components consisting of power electronics converters, storage devices, renewable energy sources, conventional generators and loads. The microgrid modeling changes from one structure to another on the basis of used components. This section reviews the main models which have been presented to date under the structure of both linear and nonlinear models.

A first-order transfer function of each microsource in a microgrid consisting of photovoltaic system, wind turbine, fuel cell, diesel engine generator, battery and flywheel storage system is suggested in (Senjyu, Nakaji et al. 2005) as:

$$G = \frac{K}{1 + sT} \quad (1.1)$$

where  $G$ ,  $T$  and  $K$  represent respectively the transfer function, the time constant and the transfer function gain of the model of each generator. This method introduces a simple approximation of microgrid model commonly for large-scale system and its power flow analysis. The weakness of this method is that the exact dynamics of the system such as power converter modeling are not considered. In other words this model doesn't represent the real behavior of MG to be used for control design. To address this drawback, a bunch of researches have focused on dynamic modeling of microgrid. For example in (Berridge 2010, Karimi, Davison et al. 2010, Bidram, Davoudi et al. 2013), a microgrid structure, consisting of a single DC source connected to a voltage-source converter (VSC) with inductive L filter and passive RLC load, is selected as illustrated in Figure 1.1.

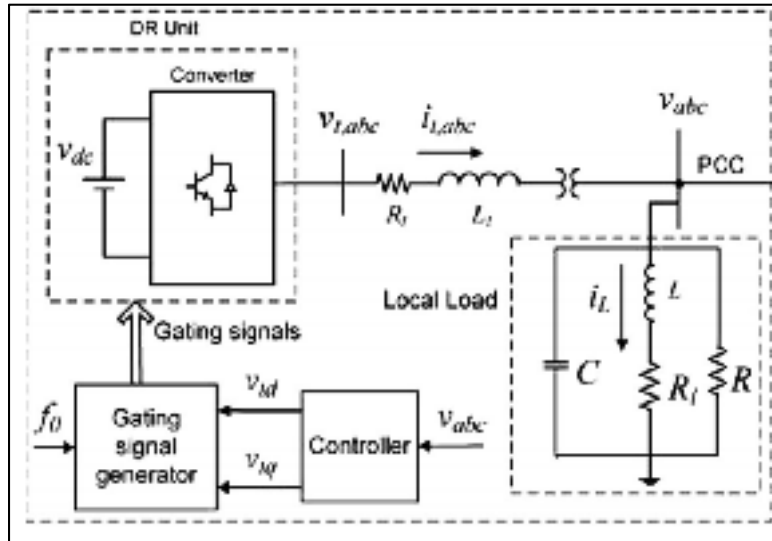


Figure 1.1 Microgrid model  
Taken from Karimi, Davison et al. (2010)

In these works, the dynamics of MG illustrated in Figure 1.1 is modeled by a nonlinear equation in d-q (direct-quadrature) frame to obtain the standard state space model. The high switching frequency harmonics, considered as disturbance signals, are added to the non-polluted input control signals.

The idea of representing microgrid with a DC source is extended for two generators interfaced in parallel while the passive load is connected at point of common coupling (PCC) (Marwali and Keyhani 2004, Moradi, Karimi et al. 2010, Babazadeh and Karimi 2011). The configuration of this MG is illustrated in Figure 1.2. The nonlinear dynamical equations of microgrid are then obtained by applying Kirchhoffs Voltage Law (KVL) and Kirchhoffs Current Law (KCL) in dq-frame. These models are used for designing the decentralized control where each generator is equipped with a separate controller. In these two previous methods, distributed generators dynamics are neglected by a DC source for the sake of simplicity. Since the dynamics of DGs affect the system performance especially for control design, other studies choose a complete configuration as shown in Figure 1.3.

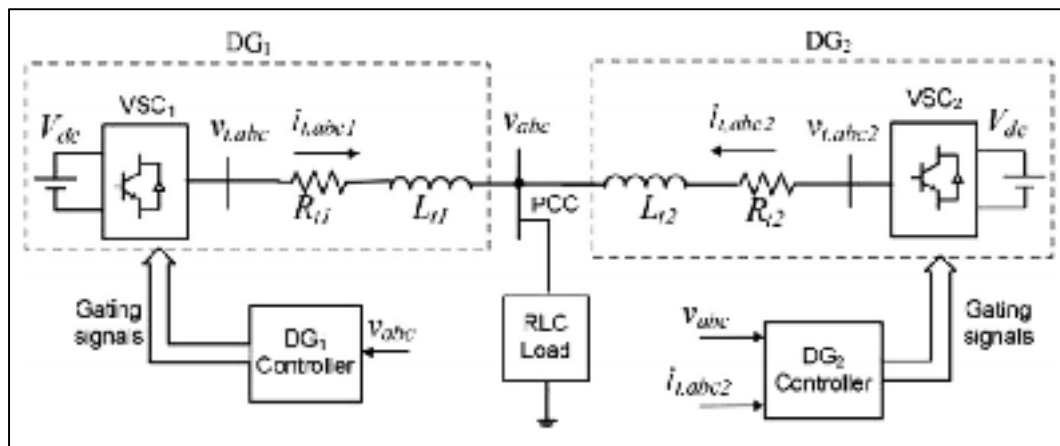


Figure 1.2 The microgrid structure with two generators in parallel  
Taken from Babazadeh and Karimi (2011)

The microgrid architecture in (Pogaku, Prodanovic et al. 2007, Nejati, Nobakhti et al. 2013) includes the model of distributed generators such as photovoltaic array, fuel cell and micro-turbine i.e. synchronous generator in addition to the converter models.

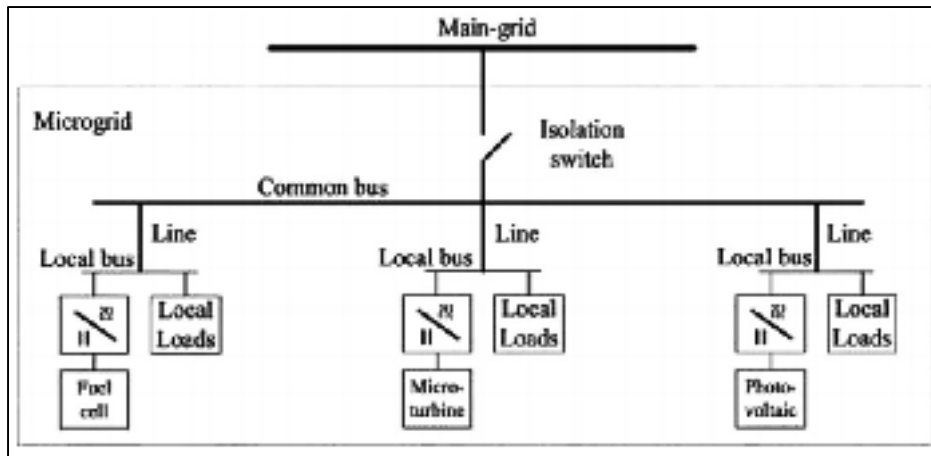


Figure 1.3 Configuration of a complete microgrid system  
Taken from Pogaku, Prodanovic et al. (2007)

In recent years, the contribution of the renewable energy-based generators as of conventional generators to frequency support of a microgrid has taken some attention. Therefore a complete microgrid model including the frequency model supported by renewable energy generators is of interest. In (Sow, Akhrif et al. 2011) authors suggested a nonlinear model of DFIG-based wind generator to contribute to the primary and secondary frequency support of MG. In this work, the rotor speed dynamics are added to the dynamics of inverter to increase inertia of the system. Authors consider a transformer for connecting the DFIG to high voltage system, while the dynamics of transformer and its parameters such as leakage inductance are neglected in the model. The model in this work is limited to a very specific application of wind generator. For other types of RE generators with no rotating part such as photovoltaic arrays, this model is not effective.

Authors in (Okou, Akhrif et al. 2012) suggest a general frequency dynamics for PV application independent of the speed dynamics. In fact the inertia is added by deloading the PV power. The drawback of this approach is that it adds some unknown parameters, such as the equivalent droop coefficient of power system and the time constant, to the MG model. Therefore it needs a modern controller to compensate the uncertainties. In addition there remains a trade-off in meeting both requirements of the MPPT and frequency regulation due to the lack of an actual storage system.

The most recent researches addressed this problem by suggesting Synchroconverters, i.e., inverters that mimic synchronous generators (Zhong 2010, Qing-Chang and Weiss 2011, Qing-Chang, Phi-Long et al. 2014). This model of inverter behaving like a synchronous generator can be used in a traditional power system where a significant proportion of the generation is inverter-based. Similar to the previous method, the model poses several unknown parameters which authors leave the methodology of choosing these parameters and their impact on real power system as a future work. Therefore, this model remains a challenge for control design engineers.

Table 1.1 summarizes the state-of-the-art methods in microgrid modeling in recent years. It presents a comparison of different methods in terms of modeling of power electronics converters, distributed generator, load and frequency.

Table 1.1 MG modeling and analysis

<b>MG modeling method</b>	<b>Power converter modeling</b>	<b>DG modeling</b>	<b>Load modeling</b>	<b>Frequency dynamic</b>	<b>Modeling type</b>
First-order dynamics(Senjyu, Nakaji et al. 2005)	×	✓	✓	×	linear
Inverter with DC source(Karimi, Davison et al. 2010)	✓	×	✓	×	nonlinear
Paralleled inverter with DC sources(Marwali and Keyhani 2004)	✓	×	✓	×	nonlinear
Inverter-based DGs(Nejati, Nobakhti et al. 2013)	✓	✓	✓	×	nonlinear
Inverter-based DGs with frequency dynamics(Qing-Chang, Phi-Long et al. 2014)	✓	✓	✓	✓	nonlinear

### 1.3 Overview of MG Control methods

Microgrid control can be classified into three layers as of the primary, secondary and tertiary control (De Brabandere, Vanthournout et al. 2007). The primary layer or field level, which is the main concentration of this thesis, is principally performed on a local control of power converters ensuring frequency and voltage regulation based on the reference signals received. On this layer there is no communication among devices. The secondary layer (Katiraei, Iravani et al. 2008) is responsible for modifying the commanded signals (desired frequency and voltage) to be sent to the primary level control, according to the grid synchronization (via phase locked loop PLL), frequency and voltage restoration techniques. The tertiary control is responsible for dispatching power on the basis of economic and availability of the generators in distribution network operation. On the other hand, the tertiary control task is the management of the importation or exportation of active and reactive power to or from the grid by sending reference signals to the secondary control (Vasquez, Guerrero et al. 2010, Mohamed and Radwan 2011). Figure 1.4 demonstrates the architecture of a typical microgrid control.

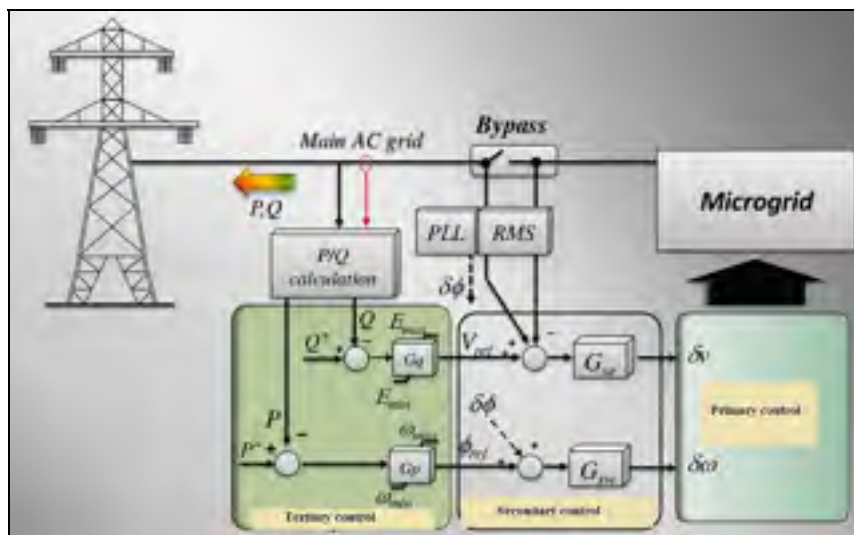


Figure 1.4 A typical microgrid control architecture  
Taken from Vasquez, Guerrero et al. (2010)

Since this PhD work concentrates on MG islanded mode operation, recent primary control approaches in a microgrid with participation of renewable energy generators (i.e. photovoltaic (PV) generator) including frequency and voltage regulation along with MPPT methods are mainly presented in this section.

### **Frequency and voltage control strategies: A primary control level**

In autonomous (islanded) mode, where the microgrid system is not supported by the robustness of the main grid, several works have been carried out on MGs' frequency and voltage control in recent years. These controllers are categorized into two groups: classical and modern control approaches which are presented as follow.

#### **A. Classical control**

The conventional techniques of the voltage and frequency control without the presence of communication protocols are based on droop controls (Chandrokar, Divan et al. 1994, Piagi and Lasseter 2006, Barklund, Pogaku et al. 2008, De and Ramanarayanan 2010). The conventional droop control of MG is based on mimicking the Synchronous Generator (SG) operation. In conventional generator like SG, the measured power,  $P$ , is changed by droop control as a function of frequency,  $P(f)$ . When the output ac power is larger than input mechanical power of SG, the generator slows down due to its inertia. As a consequence, the frequency (and on the other hand the phase angle) at SG terminal lowers. In fact, inverter based MG lacks the inertia. The droop controls in these types of MGs are based on the line characteristics.

The droop control technique in MGs avoids the requirement of complex and costly supervisory system. In addition, the plug-and-play feature of each unit makes the expansion of these systems easier. However, the droop controls have shown some drawbacks such as dependencies on inverters output impedance and trade-off between the accuracy of power sharing and voltage/frequency deviation (with respect to nominal set-points). Some following

modifications of the droop control based on the line characteristics and power flow between buses have been proposed to lessen the effect of these problems. Active and reactive powers (P and Q respectively) flowing between sources  $E_1 \angle \delta_1$  and  $E_2 \angle \delta_2$  with the line impedance  $Z=R+jX$  are calculated as (Yun Wei and Ching-Nan 2009):

$$P = \frac{E_1}{R^2 + X^2} [R(E_1 - E_2 \cos(\delta_1 - \delta_2)) + XE_2 \sin(\delta_1 - \delta_2)] \quad (1.2)$$

$$Q = \frac{E_1}{R^2 + X^2} [-RE_2 \sin(\delta_1 - \delta_2) + X(E_1 - E_2 \cos(\delta_1 - \delta_2))]$$

For inductive line impedance with negligible relative phase angle (i.e.  $R = 0$ ,  $(\delta_1 - \delta_2)$  small) the above equations are simplified to,

$$P \approx \frac{E_1}{X} [E_2(\delta_1 - \delta_2)] \quad (1.3)$$

$$Q \approx \frac{E_1}{X} [E_1 - E_2]$$

Therefore, for inductive network the active and reactive power values are controlled directly by the phase angle and the voltage amplitude respectively. Due to a linear relationship between the angle and the frequency, the frequency is used in control purposes for the sake of simplicity (Phadke, Thorp et al. 1983). Therefore, the generator power is controlled by measured frequency (P(f)). Unlike in SGs where the frequency depends on the rotating speed, in inverter-based MG the frequency is controlled independently. In addition, the power measurement is easier than the instantaneous frequency measurement in microgrid (Arboleya, Diaz et al. 2010). Therefore the drop of frequency as a function of active power is proposed f(P)

$$f = f_0 - k_p(P - P_0) \quad (1.4)$$



where  $f$ ,  $f_0$ ,  $P$  and  $P_0$  represent the actual and reference of frequency as well as active power respectively. The coefficient  $k_p$  is the slope of the frequency droop characteristics. Figure 1.5 shows typical droop characteristics.

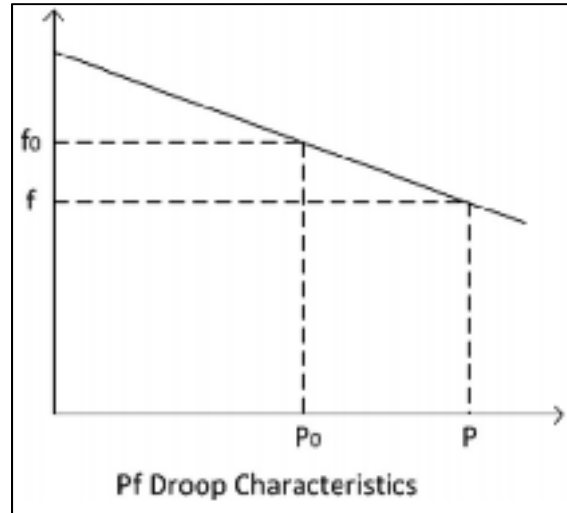


Figure 1.5 p-f droop characteristics

Similarly, the voltage amplitude of microgrid terminal is controlled by reactive power such that,

$$v = v_0 - k_q(Q - Q_0) \quad (1.5)$$

where  $v$ ,  $v_0$ ,  $Q$  and  $Q_0$  represent the actual and reference of voltage amplitude as well as reactive power. The coefficient  $k_q$  is the positive droop gain. Figure 1.6 illustrates the configuration of the traditional droop control methods based on Q-v and P-f for two VSCs with sharing a common load.

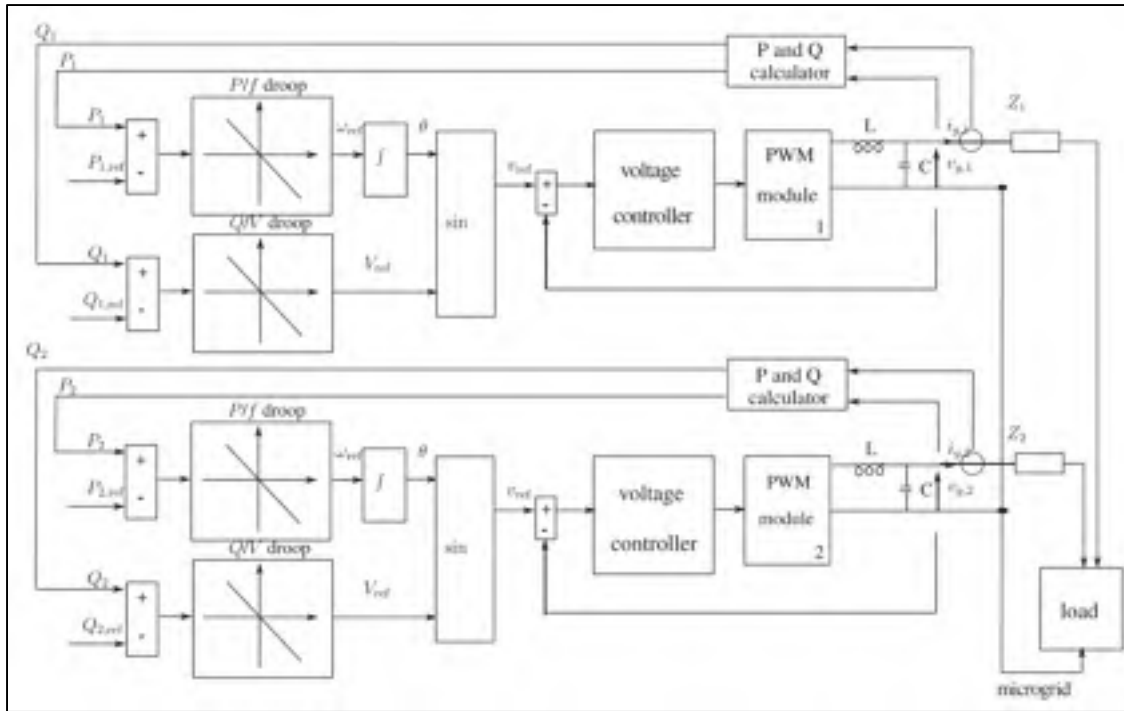


Figure 1.6 Traditional P-F and Q-V droop control methods for microgrid  
Taken from Hu, Kuo et al. (2011)

In fact, the droop gain is chosen such that to compromise between high gain which causes system instability due to the big frequency/voltage drop in steady state regime and low value gain which increases the settling time. Moreover big load change can vary the frequency/voltage far from its nominal value which reduces the MG stability or even makes it unstable. This approach poses a steady state frequency/voltage drop with respect to load changes (Guerrero, Matas et al. 2006, Guerrero, Vasquez et al. 2011). Despite the droop control being a decentralized control approach, the autonomous power sharing of output power converters are highly dependent on the inverter output impedances (Tuladhar, Hua et al. 2000). These impedances are unknown or vary from each design to another (Lee, Chu et al. 2013). A slow dynamic response of system with conventional droop control is obtained due to the low pass filter which is used for the calculation of average active and reactive powers.

To remove the static error and to reduce the dependency of the conventional droop on the load changes, the integral term is added in previous works (Katiraei and Iravani 2006, Lee and Wang 2008, Haruni, Gargoom et al. 2010, Ray, Mohanty et al. 2010, Jayalakshmi and Gaonkar 2011, Kasal and Singh 2011) as,

$$f = f_0 + k_p(P - P_0) + \frac{k_{p,i}}{s}(P - P_0) \quad (1.6)$$

$$v = v_0 + k_q(Q - Q_0) + \frac{k_{q,i}}{s}(Q - Q_0) \quad (1.7)$$

in which, the parameters  $k_{p,i}$  and  $k_{q,i}$  are the coefficients of the integral terms. To improve the transient response of the system, a derivative term is added to the conventional droop control as, (Goya, Omine et al. 2011).

$$f = f_0 + k_p(P - P_0) + k_{p,d} \frac{d}{dt} P \quad (1.8)$$

$$v = v_0 + k_q(Q - Q_0) + k_{q,d} \frac{d}{dt} Q \quad (1.9)$$

where the parameters  $k_{p,d}$  and  $k_{q,d}$  are the coefficients of the derivative terms. This method is effective for a small microgrid with large load change through avoiding large start-up transience (Mohamed and El-Saadany 2008). However, the high derivative gain causes noise amplification in control system. One common approach is to use a washout filter which is in fact a high pass filter  $\left(\frac{K_d T_W s}{s + T_W}\right)$  with time constant  $T_W$  and derivative gain  $K_d$ . Although washout filters have been successfully used in many control applications, there is no systematic way to choose the constants of the washout filters and the control parameters (Hassouneh, Lee et al. 2004). This causes a trade-off between high frequency attenuation (a satisfactory damping using  $K_d$ ) and the error of the fundamental components ( $T_W$ , high enough allowing the input signals to pass) (Farahani 2012).

Another problem facing the conventional droop control is the active and reactive power (P-Q) coupling. To overcome this problem a method based on virtual output inductance is proposed.

According to Equation (1.3), to have a decoupled droop characteristics, line impedance should be inductive. Therefore, on the control design stage, a virtual inductor is included at the inverter output without the information of line impedance (Funato, Kamiyama et al. 2000, Dranga, Funato et al. 2004). The reference voltage in voltage controller of Equation (1.9) is modified as,

$$v_0^{new} = v_0 - L_{vir} \frac{di_{inv}}{dt} \tag{1.10}$$

where  $v_0$ ,  $v_0^{new}$ ,  $L_{vir}$ , and  $i_{inv}$  are the reference and modified reference voltage of the droop control, virtual inductance and line inverter current. Although this method is effective in decoupling the active and reactive powers (He and Li 2011, Cheng, Li et al. 2012, Savaghebi, Jalilian et al. 2012), it causes high frequency noise amplification due to the derivative term as well as reactive power sharing error due to the increased voltage drop as shown in Equation (1.10). In (Yun Wei and Ching-Nan 2009), the reactive power control is enhanced by the estimation of the inductor voltage drop as well as the load estimation. Droop control with virtual inductor control is shown in Figure 1.7.

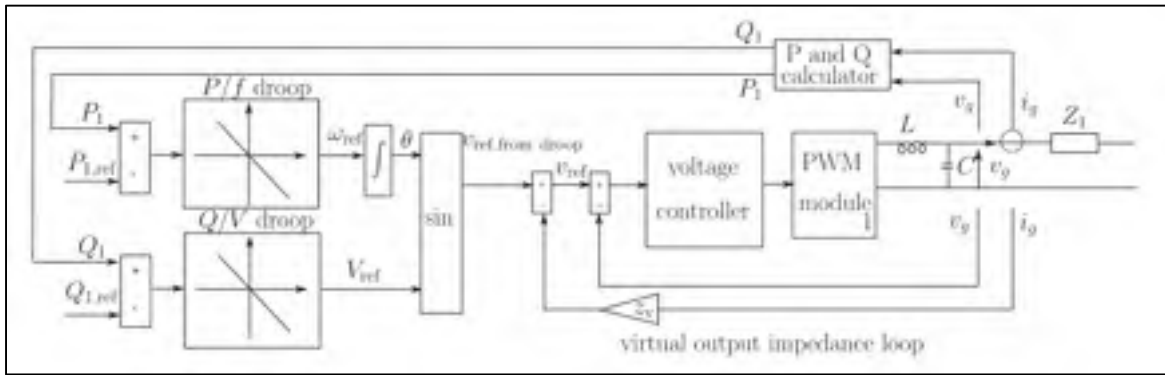


Figure 1.7 Droop control with virtual inductor control  
 Taken from Cheng, Li et al. (2012)

The previous methods are essentially devoted to the high voltage application of microgrid. In traditional power system with high voltage (Holland, Kirschvink et al.) transmission line where  $X \gg R$ , the voltage is directly controlled by reactive power and frequency is controlled by active power (conventional P-f and Q-v droop control). However, in low voltage (Vallvé, Graillot et al.) microgrid where the feeder impedance is not inductive, the line resistance should not be neglected (Laaksonen, Saari et al. 2005), because  $R \gg X$ . In case of resistive line impedance the power flow becomes,

$$P \approx \frac{E_1}{R} [E_1 - E_2] \quad (1.11)$$

$$Q \approx \frac{E_1}{R} [-E_2(\delta_1 - \delta_2)]$$

Thus the active and reactive powers are controlled respectively by voltage and angle (or frequency) resulting in P-v and Q-f droop control for low voltage MGs (Sao and Lehn 2006, Sao and Lehn 2008, Au-Yeung, Vanalme et al. 2009) as,

$$f = f_0 + k_q(Q - Q_0) \quad (1.12)$$

$$v = v_0 + k_p(P - P_0) \quad (1.13)$$

One of the main challenges of the conventional droop control methods is that the tuning of controller gains as well as system coefficients are not systematic. To avoid the difficult task in finding the controller parameters in previous works, Fuzzy control technique was proposed in (Salhi, Doubabi et al. 2010) to tune the proportional-integral (PI) controller gains according to changes in the system parameters. Similarly a particle swarm optimization (PSO) technique is applied in (Das, Roy et al. 2011) to tune the PI controller gains. However, the online implementation of these approaches is complicated. Fuzzy control needs a trial-and-error process in finding its membership function. In addition, PSO is very dependent on the randomly generated vectors and the initial parameters which their convergences are not mathematically guaranteed.

In addition to the droop control methods, some other researchers suggest different techniques based on deloading power and virtual inertia for the inverter-type microgrid system (Kakimoto, Takayama et al. 2009, Das, Roy et al. 2011). A control method based on simple fuzzy logic was proposed in (Datta, Senjyu et al. 2011) for the PV–diesel hybrid system to introduce the frequency control by the PV and to produce the output power command. Three inputs-frequency deviation (from frequency reference) of the isolated utility, average insolation, and change of insolation- are considered for fuzzy control. A control method based on a load power estimator and an energy storage system is proposed for isolated photovoltaic–diesel hybrid system to provide frequency control. In this method, photovoltaic power is controlled according to the load variation to minimize the frequency deviations. Load power is estimated by a minimal-order observer. Then, a load variation index is calculated. A base photovoltaic power, produced from the available maximum photovoltaic power using a low-pass filter, is added to the load variation index to generate the command photovoltaic power. Since the voltage source inverter along with renewable energy sources (such as PV array generators) are inertia-less, this method of deloading enhances the inertia of the MG system. The weakness of this method is that the maximum power of PV is not always available. On the other hand, PV generator permanently operates below the optimal power to provide a reserve under load power disturbance in order to participate to frequency regulation. The low pass filter used in this design which slows down the system dynamic response is another drawback of this technique.

To increase the inertia and avoid the confliction of frequency and MPPT mentioned in previous publications, frequency regulation in an island and weak power system using large battery energy storage is discussed in (Kottick, Blau et al. 1993). The sole purpose of frequency control is dependent on the battery. In (Li, Song et al. 2008), frequency control was applied to a microgrid consisting of hybrid fuel-cell/wind/PV system. Required power of the electrolyser system is supplied mainly by the wind and PV, and the hydrogen produced by the electrolyser system is stored in the hydrogen tank to be converted back to electricity in the fuel cells. This mechanism emulates storage for the MG system to increase the inertia.

In addition to frequency participation through renewable generator, PV inverters which provide the reactive power to support voltage control have drawn more attention in recent years (Farivar, Clarke et al. 2011, Jahangiri and Aliprantis 2013, Robbins, Hadjicostis et al. 2013). However there are some drawbacks that prevent the PV inverters to support reactive power in order to compensate the voltage. Based on the effective IEEE Std. 1547 the utilities do not accept the PV inverter to inject the reactive power. This conflicts with the unity power factor inverter although the new IEEE standards try to lessen some of these constraints (Basso and DeBlasio 2004). In addition, more expensive oversized inverter reduces the profit of the PV inverter owner. Moreover, the coordination between this type of PV inverter and other traditional inverters is reduced.

In conclusion to the classical control techniques in microgrid design, these model-free control works do not explicitly take into account the nonlinear behavior of MG systems incorporating renewable energy sources and power electronic interfaces. In addition, these linear controllers are not designed to perform uniformly over a wide range of operating conditions or in the presence of nonlinearities, uncertainties and disturbances. Moreover, the different control modules are designed independently. The lack of coordination among the disparate units makes it a difficult task to meet frequency and voltage regulation as well as MPPT requirements. In most applications, a complex and often costly energy management unit is needed. Table 1.2 which summarizes the traditional methods of frequency and voltage control with their different features is presented below.

Table 1.2 Classical frequency and voltage control of microgrid

MG control method	MPPT	Frequency control	voltage control	P/Q decoupling	application
Conventional P-f vs. Q-v droop control (Yun Wei and Ching-Nan 2009)	×	✓	✓	✓	HV MG
conventional droop with integral term (Ray, Mohanty et al. 2010)	×	✓	✓	✓	HV MG
conventional droop with derivative term (Goya, Omine et al. 2011)	×	✓	✓	✓	HV MG
conventional droop with virtual output inductor (Funato, Kamiyama et al. 2000)	×	✓	✓	✓	HV MG
conventional P-v and Q-f droop (Sao and Lehn 2006)	×	✓	✓	✓	LV MG
Conventional droop P-v with virtual output resistance (Guerrero, Matas et al. 2007)	×	✓	✓	✓	LV MG
Modified droop control with soft-computing techniques (Datta, Senjyu et al. 2011)	×	✓	✓	✓	HV or LV MG
Power modulation and virtual inertia control for PV inverter with storage system (Li, Song et al. 2008)	✓	✓	✓	✓	HV or LV MG

## B. Modern control

Among existing modern control methods, those commonly used in power network such as nonlinear control, adaptive nonlinear control and robust adaptive nonlinear control are presented in this section.



### **i. Nonlinear control**

Recently nonlinear control techniques such as sliding mode control, backstepping and input-output feedback linearization have drawn interest in power electronics applications since they offer systematic, powerful and easy-to-implement methods (Marino and Tomei 1996). A nonlinear frequency and voltage control based on backstepping technique is developed for PV generator in (Okou, Akhrif et al. 2012). A multi-input multi-output MIMO nonlinear frequency and voltage control based on feedback linearization technique is developed for doubly-fed induction generators (DFIG) generator connected to synchronous generator (Sow, Akhrif et al. 2011). It is shown in these studies that the nonlinear control approach improves the general system performance in both transient and steady-state regimes since the exact nonlinearity of system is taken into account in control design. The lack of storage system in both techniques causes the renewable generator to operate below its maximum power point, MPP. The effectiveness of these nonlinear control approaches is highly dependent on the system parameters. On the other hand uncertainties in parameters such as load power, terminal voltage of SG, line inductance and SG, PV, DFIG model parameters affects the controller tracking.

### **ii. Adaptive nonlinear control**

The design of adaptive control was introduced in 1950s. The first and most important applications of adaptive control were in mill industries in Sweden. Another important application of adaptive control has been the design of autopilots in flight control. The airplanes operate over a wide range of speeds and altitudes with nonlinear and time-varying dynamics. The different operating conditions of aircraft lead to the different unknown parameters in the system model. A sophisticated feedback control needs to be able to learn about parameter uncertainties. Two adaptive approaches were introduced in the literature; "direct and indirect" adaptive controls. In indirect adaptive control, the plant parameters are estimated online and used to calculate the control parameters. In direct adaptive control, the controller parameters are estimated directly without estimating the plant parameters (Krstic, Kanellakopoulos et al. 1995, Kaufman, Barkana et al. 1998, Åström and Wittenmark 2013).

In a microgrid system with renewable energy integration, the system parameters change due to the load perturbation or the fluctuation in the intermittent power of renewable generator. Moreover there are some parameters in the system model which are unknown. An adaptive control can improve the system performance by estimating the unknown parameters (Yazdani, Bakhshai et al. 2008). Some applications of adaptive control within a microgrid in recent years are listed as: the estimation of the grid frequency in a phase-locked loop (PLL) for active power filtering (Hogan, Gonzalez-Espin et al. 2014), the regulation of the common DC bus voltage with different renewable energy generators (Dragicevic, Guerrero et al. 2014), the adjustment of the weighted coefficients of active power-frequency droop (Li, Wang et al. 2015), the load sharing in a parallel-connected DC-DC converters in a DC microgrid (Augustine, Mishra et al. 2015), the protection and control (Laaksonen, Ishchenko et al. 2014) and the power balance during transition from grid-connected to islanding mode in a microgrid (Shi, Sharma et al. 2013). A nonlinear controller based on sliding mode control with adaptive voltage droop was proposed for a microgrid (Ferreira, Barbosa et al. 2013). The advantage of the adaptive nonlinear control is that it improves system behavior under both nonlinearities and uncertainties. To the best of our knowledge, no research has addressed the adaptive nonlinear control of a microgrid integrated with photovoltaic generators along with storage devices in the literature. Therefore it motivates us to investigate this subject in the next chapters of this thesis.

### **iii. Robust adaptive nonlinear control**

The adaptive laws and control discussed in previous section are designed with the assumption that the plant model is free of noise and disturbance. The designed controller is to be implemented on a practical system that is likely to differ from its mathematical and ideal models. The actual plant can be corrupted by noising measurement or any external disturbance. The discrepancies between the developed and real models may affect the system performance and robustness.

The theory of robust adaptive nonlinear control was first presented by Kokotovic and Marino in 1991 (Kanellakopoulos, Kokotovic et al. 1991). A new robust adaptive nonlinear control based on backstepping scheme for frequency and voltage regulation was designed for DFIG wind turbine (Okou and Amoussou 2008). This strategy takes into account the uncertainty, disturbance and nonlinearity of the system in the control design. However the problems associated with the microgrid lacking the storage system (i.e. MPPT vs. frequency confliction) and inertia-less generators such as PV system (i.e. virtual inertia) are not addressed.

### **Overview of the recent maximum power point tracking approaches**

The low energy conversion efficiency of PV array hinders the widespread use of PV in power systems. In order to overcome this drawback, maximum power should be extracted from the PV system. This objective can be achieved by a MPPT which identifies the optimal operation of the PV systems.

To date, several MPPT techniques have been reported which can be sorted into three categories; namely the conventional, soft computing and advanced model-based methods. Among conventional MPPT methods reported in the literature, the hill climbing (Elgendy, Zahawi et al. 2011, Ahmed, Li et al. 2012, Kumar 2012, Abuzed, Foster et al. 2014), perturb and observe (P&O) (Femia, Petrone et al. 2004, Liu and Lopes 2004, Femia, Petrone et al. 2005, Khaehintung, Wiangtong et al. 2006, Fangrui, Yong et al. 2008) and incremental conductance (IC) (Yuansheng, Suxiang et al. 2012, Guan-Chyun, Hung et al. 2013, Latif and Hussain 2014) are commonly used since they are quite simple to implement and they exhibit a good convergence speed. However, the oscillation around the MPP is the major drawback of these algorithms (Banu, Beniuga et al. 2013). The oscillatory behaviour around the MPP reduces considerably the system efficiency due to power losses. Moreover, when the atmospheric condition varies, these methods may be confused since the operating point can move away from the MPP instead of working around it. In order to minimize the oscillation, several attempts were made by reducing the perturbation step size. However, a smaller

perturbation size affects the tracking speed adversely (Sera, Mathe et al. 2013, Shah and Joshi 2013).

In order to improve the abovementioned drawback, soft computing (SC) techniques such as fuzzy logic control (FLC) (Ze, Hongzhi et al. 2010, Chin, Tan et al. 2011, Ze, Hongzhi et al. 2011, Arulmurugan and Suthanthira Vanitha 2013, Roy, Basher et al. 2014), Artificial Neural-Network (ANN) (Kaliemoorthy, Sekar et al. 2010, Phan Quoc, Le Dinh et al. 2010, Pachauri and Chauhan 2014), genetic algorithm (Ramaprabha, Gothandaraman et al. 2011, Daraban, Petreus et al. 2013, Hadji, Gaubert et al. 2014, Mohamed, Berzoy et al. 2014), differential evolution (DE) (Taheri, Salam et al. 2010, Sheraz and Abido 2012, Taheri, Taheri et al. 2012), particle swarm optimization (PSO) (Kondo, Phimmasone et al. 2010, Phimmasone, Kondo et al. 2010) and firefly (FA) (Sundareswaran, Peddapati et al. 2014) algorithms have attracted much interest over the past years. One of the distinctive features of the soft-computing MPPT techniques comparing with other MPPT approaches is that they outperform in global searching during partial shading condition in PV system. Despite of their effectiveness, SC algorithms are more highly dependent on the complexity of computing programs (Paul 2013). In FLC MPPT, the membership function is generated through a time-consuming process. One of the major criticisms of ANN MPPTs is that they are considered as black boxes. Therefore no satisfactory explanation of their behaviour is offered. In stochastic techniques the decision variable, either the duty cycle of the power electronic converter or the reference voltage of the controller, is employed by the random vectors during the execution of algorithm. Therefore the global MPP convergence cannot be mathematically guaranteed.

In order to overcome the problems of previous methods, in particular, the oscillatory behaviour and complexity of existing MPPT algorithms, a new trend of MPPTs based on model-based approaches has been suggested in very recent years. Sliding mode controller MPPTs (SMC-MPPTs) (Siew-Chong, Lai et al. 2007, Siew-Chong, Lai et al. 2008, Yan Ping and Fang Lin 2009, Pradhan and Subudhi 2015) possess robustness of tracking control and

stability against internal system parameters and load uncertainties. Adaptive MPPT based on variable scaling factor has been suggested in using proposed small-signal model (Kui-Jun and Rae-Young 2012, Kui-Jun and Rae-Young 2012). Robust adaptive sliding mode control scheme has been developed for MPPT in (MacKunis, Reyhanoglu et al. 2012). Unlike the MPPTs based on soft computing techniques such as DE, PSO, and FA MPPTs which outperform under partial shading condition (the existence of the multiple peaks on PV characteristics), the model-based MPPTs (i.e. nonlinear MPPT controller) suggested in the literature are not ready for PV system exposed in partial shading condition. Therefore these types of model-based MPPTs can be improved for global optimization. The comparison of recent MPPTs is listed in the following table.

Table 1.3 Comparison of MPPTs in PV application

MPPT methods and Criteria	Conventional MPPTs (P&O, IC, etc.)	Soft-computing MPPTs (ANN, FLC, DE, PSO, etc.)	Modern control (adaptive, SMC, nonlinear MPPTs)
Convergence in uniform condition	Yes	Yes	Yes
Convergence in partial shading condition	No	Yes	No
Design complexity	simple	complex	moderate
Convergence speed vs. accuracy	very low	high	high
Dependency on initial parameters	moderate	high	moderate

#### 1.4 Microgrid testbeds

This section lists the recent development in the industrial and academic microgrid testbeds and example cases as of either remote MG or utility MG supplied by both diesel and renewable DGs for different applications such as military, remote area, uninterruptable power supply, emergency power, electric vehicle and residential application.

### Boston Bar – British Columbia (BC) Hydro, Canada:

Boston Bar substation is connected to BC Hydro grid through a 60Km, 69KV transmission line and a 14MVA transformer. This traditional transmission faces frequent blackouts due to climate conditions. To provide improved reliability on rural feeders, a microgrid with three feeders has been interconnected to the 69KV by a 69KV/25KV substation since 1995. The MG includes two hydropower generators 8.75 MVA, 0.8pf. Although no physical storage system is considered in the design of microgrid, the inertia of generators is enlarged (Peralta, Iosfin et al. 2009). Figure 1.8 demonstrates the configuration of the Boston Bar microgrid.

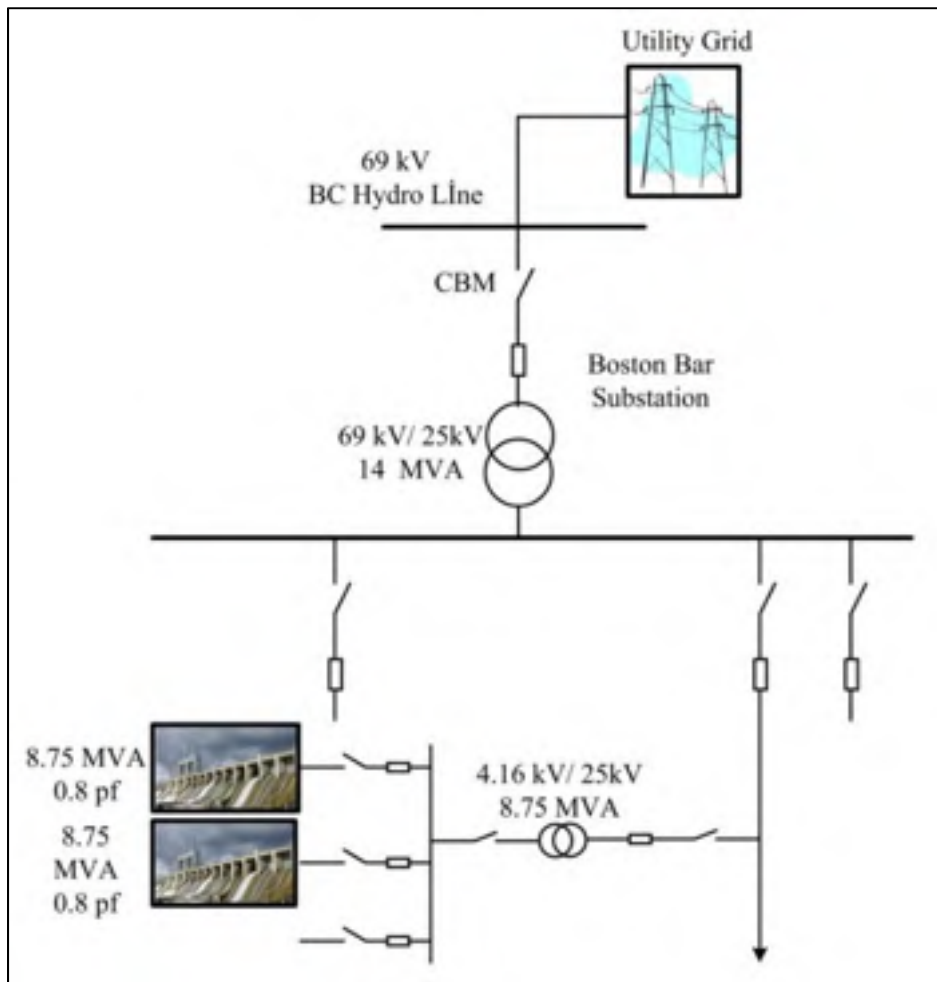


Figure 1.8 The Boston Bar BC hydro microgrid  
Taken from Katiraei, Abbey et al. (2008)

### **Boralex islanding plant at Senneterre Substation-Hydro Quebec (HQ):**

The objective of HQ microgrid is to support 55-year-old, 40Km, 120KV transmission line that serves 3000 clients. The arrangement of the Boralex islanding plant is shown in Figure 1.9. The microgrid is managed by Boralex (thermal power plants) 31 MVA, 0.85 pf through 13.8KV/120KV transformer. Since this system is operated with a single, comparatively large generator and is operated in an islanding mode during the planned system maintenance, it does not use any storage or communication system (Katiraei, Abbey et al. 2008).

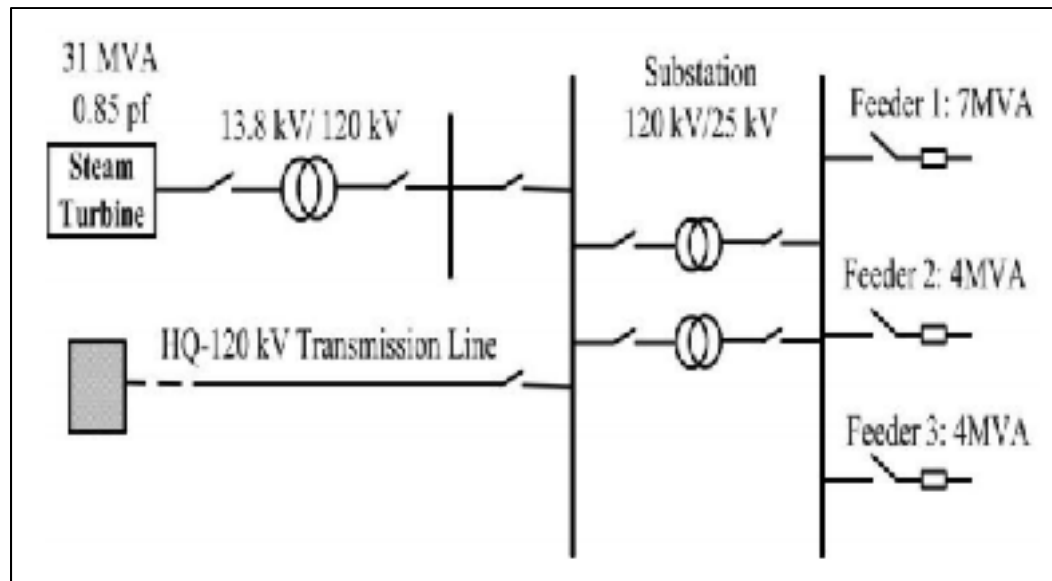


Figure 1.9 Boralex islanding plant at Senneterre Substation-Hydro Quebec, HQ  
Taken from Bakken (2014)

### **Converter fed MG at University of Toronto:**

The converter fed microgrid testbed comprises both static and motor-types loads and capacitors. At point of common coupling, the MG is connected to the utility. Multiple VSCs are used to control the voltage and frequency in islanded mode while the grid helps the power quality during the grid-connected mode (Sao and Lehn 2008). The scheme of the MG is shown in Figure 1.10. The voltage-power or frequency-reactive power droop control is used in this project. Each VSC shares the common load power as determined by droop coefficients.

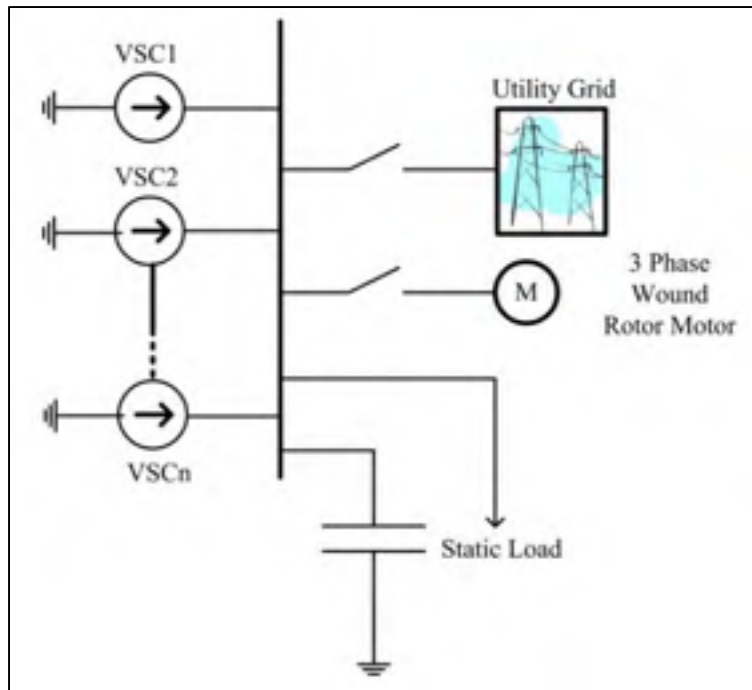


Figure 1.10 Converter fed MG at Toronto  
Taken from Sao and Lehn (2006)

### Microgrid testbed at Rochester Institute of Technology (RIT) New York:

This testbed integrates energy production and storage resources within a single microgrid. The microgrid testbed has several units including wind turbines, solar panels, 400KW fuel cells, 50KWh battery bank, geothermal heating/cooling system with intelligent energy tracking system and research data center as illustrated in Figure 1.11. The power generated by RIT microgrid is used for vehicle charging station, building lighting and electric outlets (RIT, 2015).



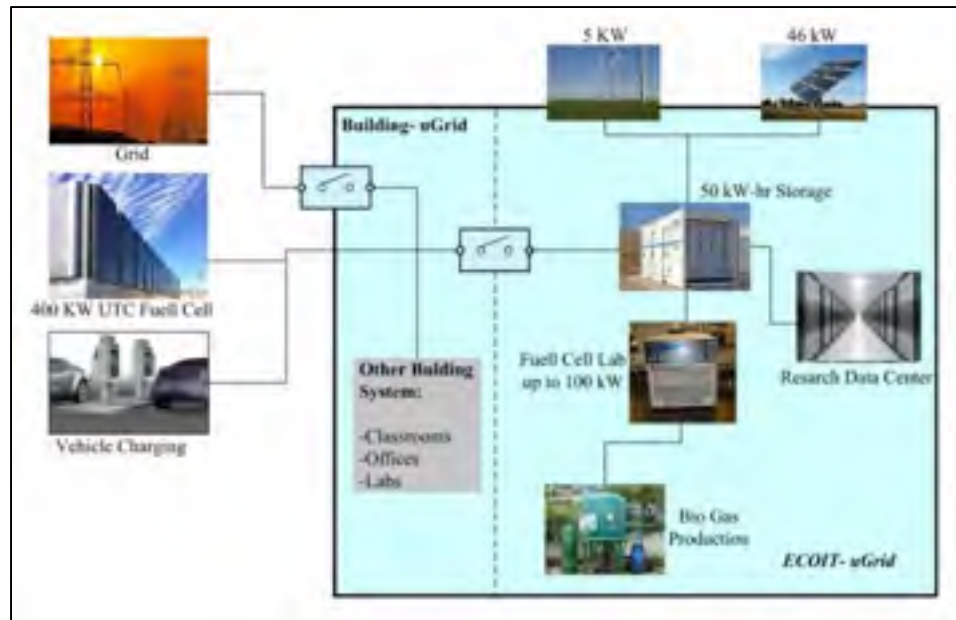


Figure 1.11 Microgrid testbed at Rochester Institute of Technology (RIT) New York  
Taken from RIT (2015)

### Microgrid project at Los Alamos, NM:

A reliable power supply testbed is developed from PV/battery system under unstable cloud coverage conditions and real time pricing into the charging/discharging of the batteries (Los Alamos, NM, 2015). The MG project under US-Japan collaboration includes 1MW PV, 1.8MW battery and EMS system with integration to US distribution system as shown in Figure 1.12. The optical fiber is used for communication purposes.

Table 1.4 shows a list of the world's largest photovoltaic power plant projects with the generation capacity range from 250 MW to 579 MW.

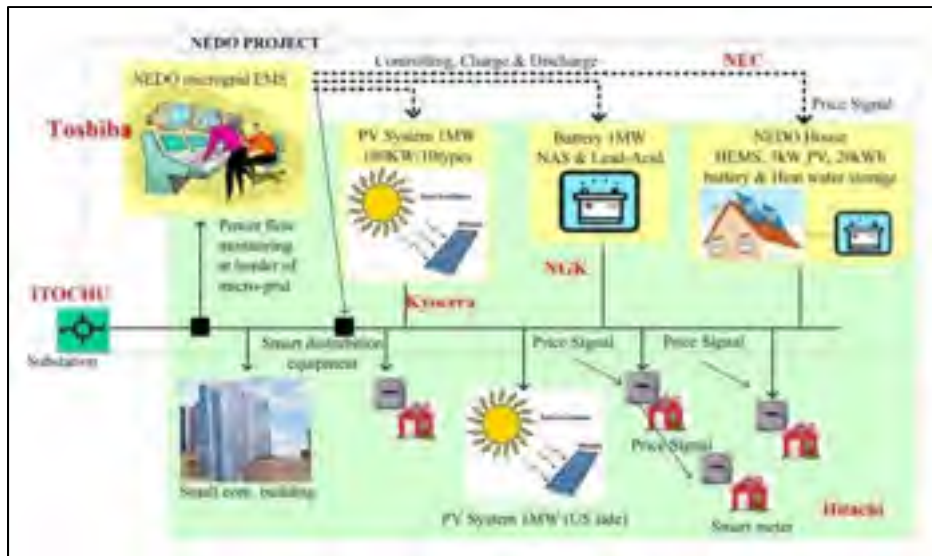


Figure 1.12 Microgrid project at Los Alamos, NM  
 Taken from Los Alamos, NM, (2015)

Table 1.4 The world's largest photovoltaic power plant projects  
 Taken from Dara Jegede (2017)

Photovoltaic power plant projects	Country	Generation capacity
Solar Star Projects, California	USA	579MW
Desert Sunlight Solar Farm, California	USA	550MW
Topaz Solar Farms, California	USA	550MW
Longyangxia Dam Solar Park, Qinghai	China	530 MW
Golmud Solar park, Qinghai	China	500MW
Copper Mountain Solar Facility, Nevada	USA	458 MW
Charanka Solar Park, Gujarat	India	345MW
Cestas Solar Farm, Bordeaux	France	300 MW
Agua Caliente Solar, Arizona	USA	290MW
California Valley Solar Ranch (CVSR) in San Luis Obispo	USA	250MW

## CHAPTER 2

### MATHEMATICAL MODELING

#### 2.1 Introduction

This chapter presents a number of models associated with the microgrid elements, developed at GREPCI laboratory during this Ph.D. project. As sketched in Figure 2.1, the model comprises a PV/battery generator consisting of a photovoltaic array in series with a DC-DC boost converter, a storage device in series with a bidirectional DC-DC boost converter, a three-phase DC-AC inverter, smoothing inductors and a step-up transformer. The secondary of the transformer is connected to an AC load and a synchronous generator (SG) via a transmission line. This chapter is structured in two general sections as follows: a commonly used model of a PV system (i.e. PV cell/module/array), power electronic converters (i.e. modulation techniques, steady-state and dynamic analysis), synchronous generator (i.e. voltage vs. speed control, the transient and saturated model and swing equation) and frequency model is described. The model includes a novel nonlinear dynamical model (of 8<sup>th</sup> order) of the PV/battery generator along with power conditioning system. This model will be used for the design of advanced control schemes such as the nonlinear and robust adaptive nonlinear controllers in next chapters.

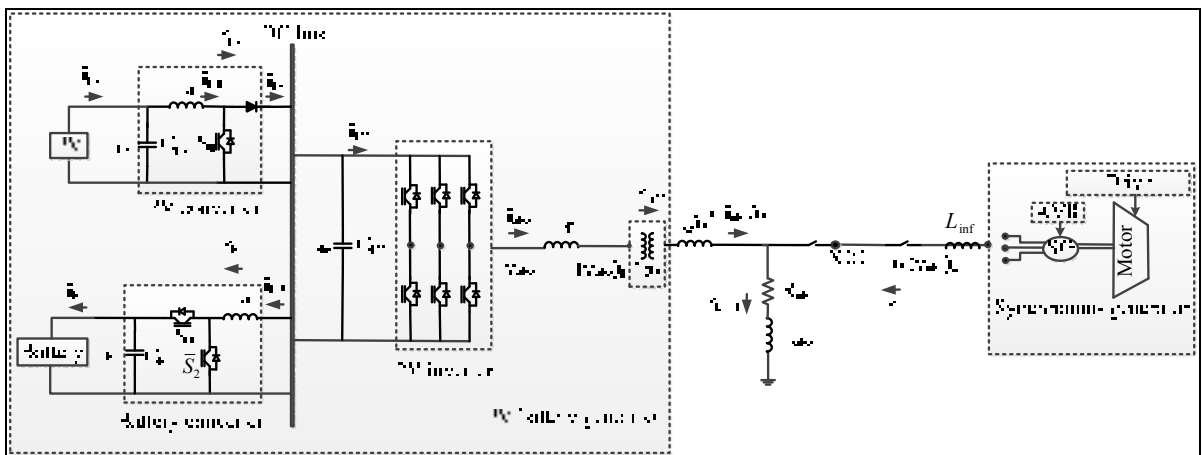


Figure 2.1 Microgrid system

## 2.2 Photovoltaic (Cell/module/array) model

### 2.2.1. Solar cells

Solar cells are constructed by semiconductor materials (e.g. silicones) which form an electric field positive on backside and negative towards the sun. In the dark, the solar cell treats as a diode device. If it is connected to an external voltage source, it generates a current  $I_D$  which is named diode or dark current. When exposed to the light, photons (solar energy) are absorbed by the semiconductors and create a current  $I_{ph}$  proportional to the incident irradiance (Lorenzo 1994). Figure 2.2 depicts one of the more commonly used solar cell equivalent circuit models (Liu and Dougal 2002).

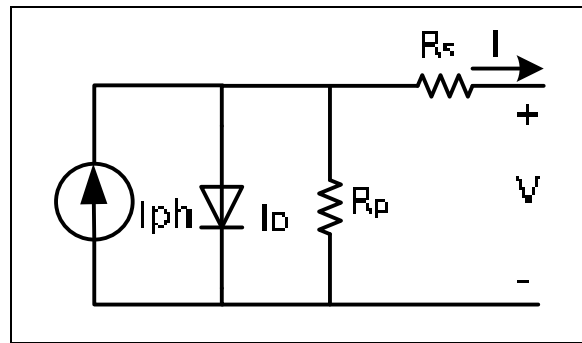


Figure 2.2 PV cell equivalent circuit

This circuit simplifies the PV cell by a model including a current source  $I_{ph}$ , a diode, the series and parallel resistances  $R_s$  and  $R_p$  respectively. In order to extract the parameters of the equivalent electrical circuit, it is required to know the PV current-voltage or power-voltage curve in standard conditions of measurement (SCM) as illustrated in Figure 2.3 (a). Short-circuit current  $I_{SC}$  is the current flowing into PV cell when a short circuit performed at its terminal. The open-circuit voltage  $V_{OC}$  is the maximum voltage that PV cell can generate when no current flows. The maximum power  $P_{max}$  is the product of the voltage ( $V_{mp}$ ) and

current ( $I_{mp}$ ) at the maximum operating point of power-voltage characteristics (see Figure 2.3 (b, c)).

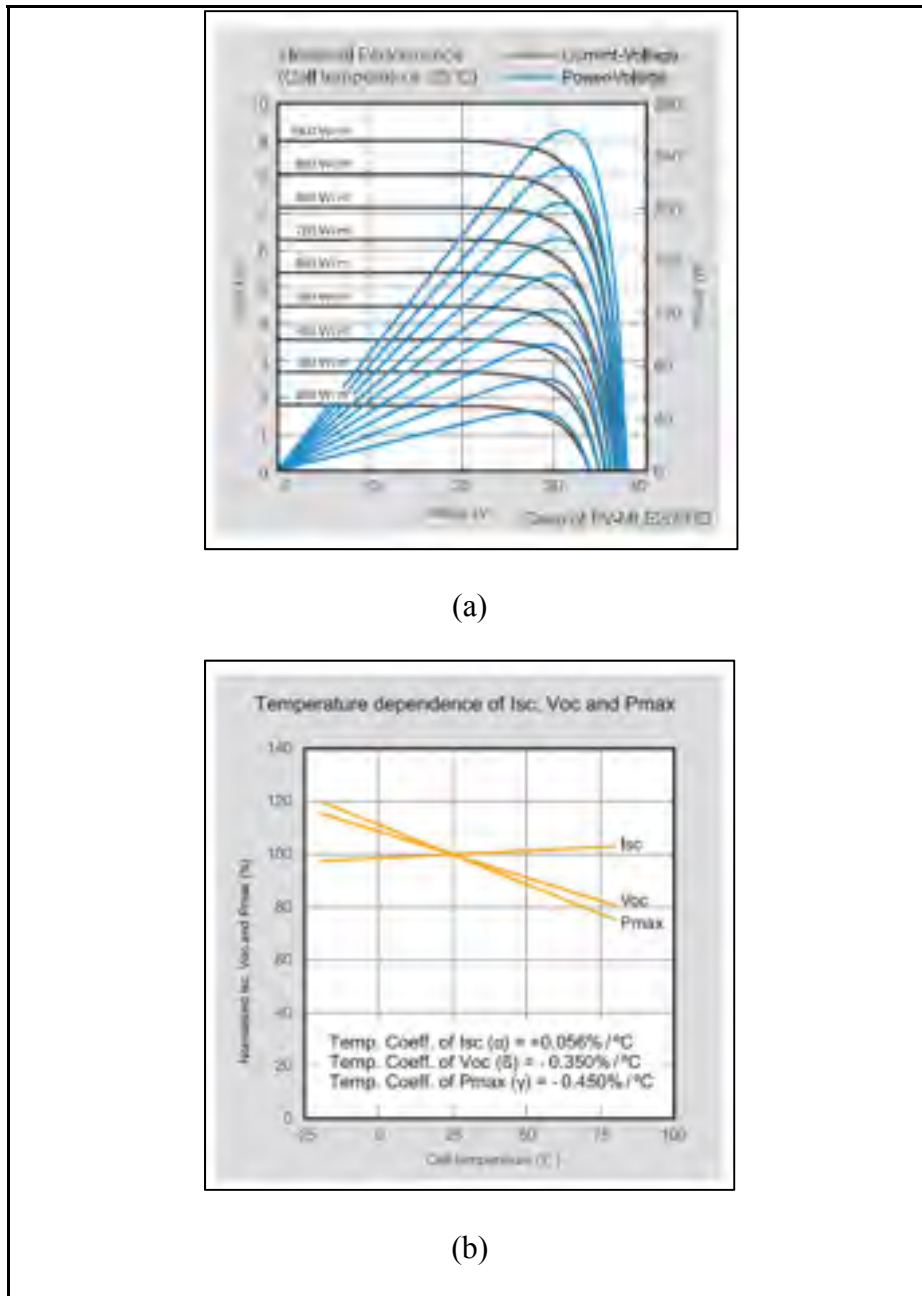


Figure 2.3 Electrical Characteristics of Mitsubishi Electric Photovoltaic Module, 260Wp  
 (a) PV current-voltage curves, (b) Temperature dependence of  $I_{sc}$ ,  $V_{oc}$  and  $P_{max}$   
 Taken from PV-MLE260HD, Mitsubishi (2013)

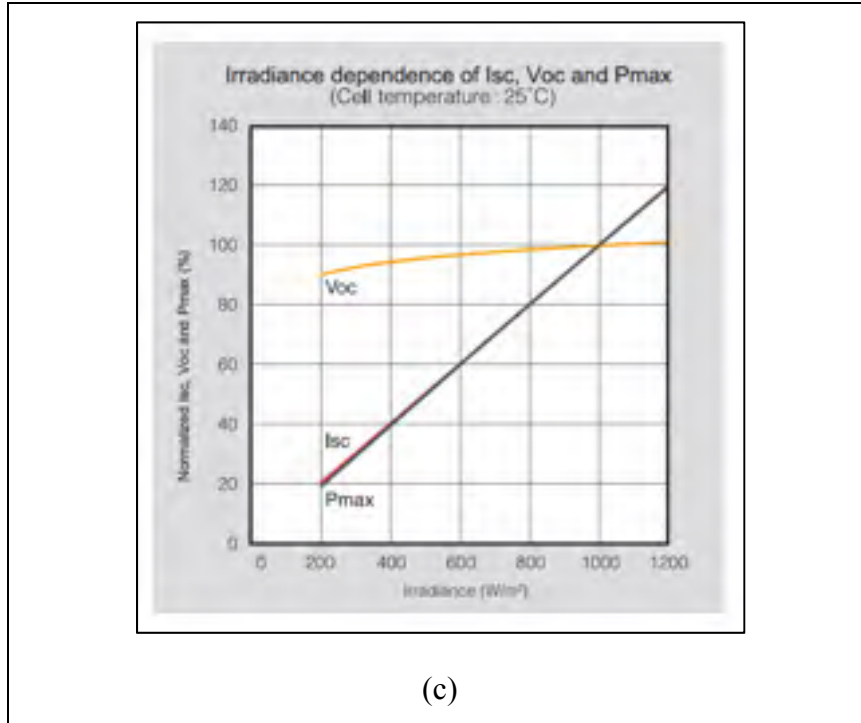


Figure 2.3 Electrical Characteristics of Mitsubishi Electric Photovoltaic Module, 260Wp  
 (c) Irradiance dependence of  $I_{sc}$ ,  $V_{oc}$  and  $P_{max}$   
 Taken from PV-MLE260HD, Mitsubishi (2013)

The output current of the PV cell is represented by Equation (2.1) as:

$$I = I_{ph} - I_0 \left( e^{\frac{V+IR_s}{mV_{th}}} - 1 \right) - \frac{V + IR_s}{R_p} \quad (2.1)$$

where  $V_{th} = \frac{kT}{q}$ . The parameters in Equation (2.1) are introduced as:

$I$ : PV cell output current,

$V$ : PV cell output voltage,

$I_{ph}$ : photo current,

$I_0$ : saturation current of diode,

$R_s$ : series resistance,

$R_p$ : parallel resistance,

m: ideality factor of diode,

$V_{th}$ : thermal voltage,

k: Boltzmann's constant,

q: electron charge,

T: temperature in Kelvin.

Equation (2.1) can be simplified by a high value of  $R_p$  in SCM as (Walker 2001):

$$I = I_{sc\_scm} - I_{0\_scm} \left( e^{\frac{V+IR_S}{mV_{th}}} - 1 \right) \quad (2.2)$$

$I_{ph}$  is equal to the short-circuit current ( $I_{sc\_scm}$ ) in SCM. Considering open-circuit voltage ( $V_{oc\_scm}$ ) and cell temperature ( $TC\_scm$ ) in SCM, the saturating current  $I_{0\_scm}$  can be obtained as,

$$I_{0\_scm} = \frac{I_{sc\_scm}}{\frac{q \cdot V_{oc\_scm}}{e^{m \cdot k \cdot T_{c\_scm}} - 1}} \quad (2.3)$$

The series resistance of  $R_s$  is calculated by an empirical relation between  $V_{oc\_scm}$  and  $I_{sc\_scm}$  as,

$$R_s = \left( 1 - \frac{FF}{FF_0} \right) \frac{V_{oc\_scm}}{I_{sc\_scm}} \quad (2.4)$$

$FF_0$  is defined as the fill factor of the ideal PV cell such that (Molina and Espejo 2014)

$$FF_0 = \frac{v_{oc} - \ln(v_{oc} + 0.72)}{v_{oc} + 1} \quad (2.5)$$

where  $v_{oc} = \frac{V_{oc\_scm}}{V_{th}}$ . The fill factor of PV cell in SCM is calculated as

$$FF = \frac{V_{mp\_scm} \cdot I_{mp\_scm}}{V_{oc\_scm} \cdot I_{sc\_scm}} \quad (2.6)$$

where  $V_{mp\_scm}$  and  $I_{mp\_scm}$  are voltage and current of an operating point which delivers a maximum power in SCM.

The changes in irradiance and cell temperature affect the values of short-circuit current and open-circuit voltage (see fig. 2.3) as,

$$I = I_{sc\_scm} \frac{G}{G_{C\_scm}} (1 + \alpha(T_C - T_{C\_scm})) \quad (2.7)$$

$$I_0 = \frac{I_{sc\_scm} \frac{G}{G_{C\_scm}} (1 + \alpha(T_C - T_{C\_scm}))}{e^{\frac{q(V_{oc\_scm} + \beta(T_C - T_{C\_scm}))}{m \cdot k T_{C\_scm}}} - 1} \quad (2.8)$$

The cell temperature  $T_C$  depends on ambient temperature  $T_a$  and irradiance  $G$  according to the following empirical equation,

$$T_C = T_a + CG \quad (2.9)$$

where the coefficient  $C$  is computed under nominal operating condition as,

$$C = \frac{T_C^{NOC} - 20^\circ C}{800w/m^2} \quad (2.10)$$

$T_C^{NOC}$  is the cell nominal temperature at ambient temperature  $20^\circ C$  and irradiance  $800w/m^2$  (Tsai, Tu et al. 2008).



### 2.2.2. Solar module

The manufacturers provide PV cells into modules including  $N_{pm}$  branches in parallel with  $N_{sm}$  PV cells in series as illustrated in fig. 2.4 in order to achieve the desired output current and voltage. The PV module current  $I^M$  is implicitly described in (Chenni, Makhoulouf et al. 2007):

$$I^M = I_{sc}^M \left[ 1 - \exp\left(-\frac{V^M - V_{oc}^M + R_s^M \cdot I^M}{V_{th}^M}\right) \right] \quad (2.11)$$

where:

$I_{sc}^M = N_{pm} \cdot I_{sc}^C$ , module short-circuit current,

$V_{oc}^M = N_{sm} \cdot V_{oc}^C$ , module open-circuit voltage,

$R_s^M = \frac{N_{sm}}{N_{pm}} \cdot R_s^C$ , module equivalent series resistance,

$V_{th}^M = N_{sm} \cdot V_{th}^C$ , thermal voltage of module,

$V^M$ , PV module voltage.

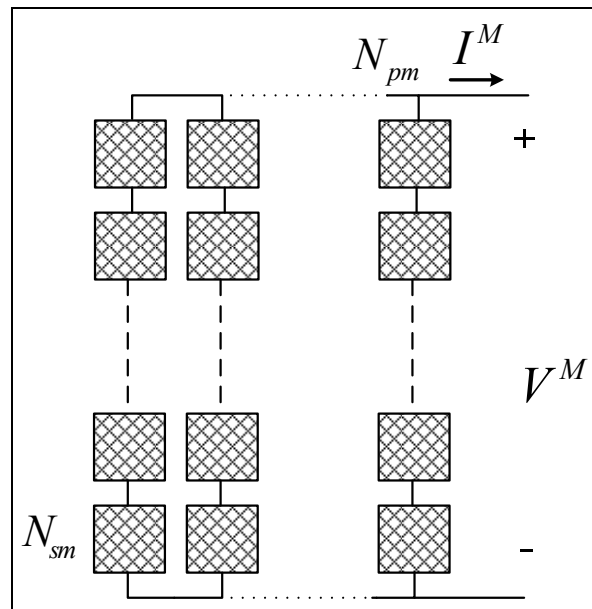


Figure 2.4 PV cells configuration in a module

Under standard (irradiance  $1000\text{W/m}^2$ , ambient temperature  $25^\circ\text{C}$ ) or normal (irradiance  $800\text{W/m}^2$ , ambient temperature  $20^\circ\text{C}$ , wind speed  $1\text{m/s}$ ) conditions, the PV module characteristics are given by manufacturers as illustrated in fig. 2.3.

### 2.2.3. Solar array

PV modules are connected in an array with  $N_{pa} \times N_{sa}$  elements as depicted in Figure 2.5. Assuming that the modules are the same and the distribution of the irradiance on all modules is uniform, the PV array terminal voltage  $V^A$  and current  $I^A$  are denoted as (Luque and Hegedus 2011):

$$\begin{aligned} V^A &= N_{sa} \cdot V^M \\ I^A &= N_{pa} \cdot I^M \end{aligned} \quad (2.12)$$

This PV model as the part of microgrid model is developed and implemented in Matlab/Simulink for simulation purposes.

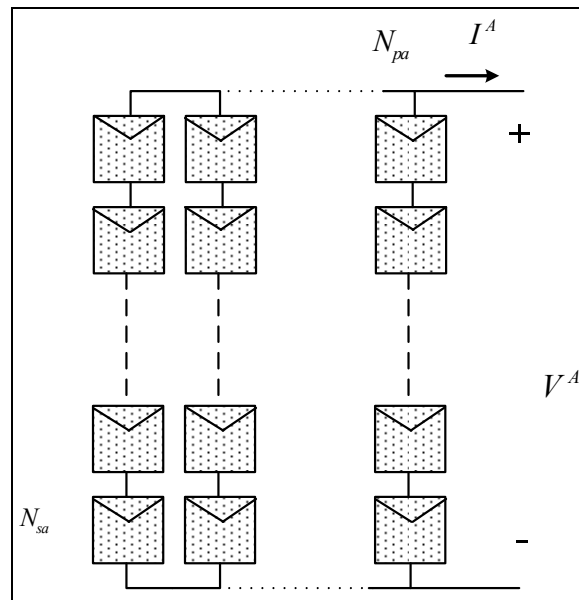


Figure 2.5 PV module configuration in an array

### 2.3 DC-DC boost converter steady-state analysis:

The DC-DC boost converter circuit consists of a semiconductor power switch, diode and inductor to transfer the energy from input to output. Control circuitry is added to the boost converter to handle the energy transfer and to maintain the output in normal operating range. The inductor stores the energy as a function of current ( $i_{L_1}$ ):

$$E = \frac{1}{2} L_1 i_{L_1}^2 \quad (2.13)$$

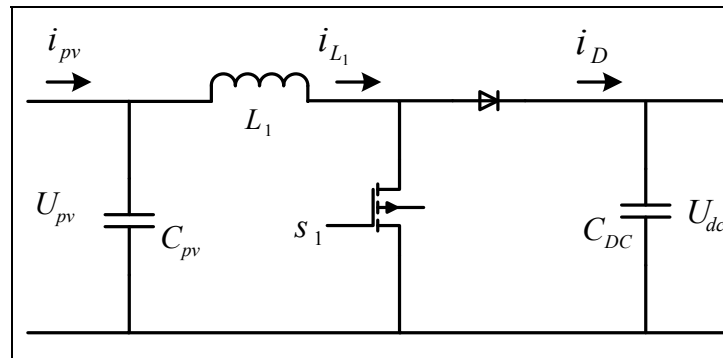


Figure 2.6 DC-DC boost converter

The slew rate of current through the power switch is limited by the inductor. As a result the stress on power switch due to the high peak current is limited by inductor. Switching converters reveal higher efficiency than the linear power supplies which use the resistive voltage drop to regulate the voltage (the power losses in the form of heat). The switching regulator has a voltage drop and corresponding current which is  $90^\circ$  phase shift. The energy as a result can be stored in charging period and recovered in discharge period respectively.

Figure 2.6 shows a simple configuration of a boost (step-up) converter. When the switch closes, the inductor is in charge mode. The input voltage  $U_{pv}$  is across the inductor so that its current ( $i_{L_1}$ ) rises linearly at a rate as:

$$\frac{di_{L_1}}{dt} = \frac{U_{pv}}{L_1} \quad (2.14)$$

It is seen that the diode prevents the output capacitor voltage ( $U_{dc}$ ) from discharging. If the switch opens, the inductor current flows into the rectification diode in order to charge the output capacitor. This results in raising the output voltage at an inductor current rate as:

$$\frac{di_{L_1}}{dt} = -\frac{V_{L_1}}{L_1} \quad (2.15)$$

In steady state the average inductor voltage ( $\langle V_{L_1} \rangle = 0$ ) is zero (equilibrium point) over the entire switching cycle ( $T_s$ ), i.e.,

$$\langle V_{L_1} \rangle = \frac{1}{T_s} \left( \int_0^{t_{ON}} V_{L_1} dt + \int_{t_{ON}}^{T_s} V_{L_1} dt \right) = 0 \quad (2.16)$$

This volt-second rule implies that:

$$V_{L_1} t_{OFF} = U_{pv} t_{ON} \quad (2.17)$$

Where  $t_{ON}$  and  $t_{OFF}$  are the charge and discharge times of inductor respectively. Consider that

$$U_{dc} = V_{L_1} + U_{pv} \quad (2.18)$$

we can simplify the equation as:

$$U_{dc} = \left(1 + \frac{t_{ON}}{t_{OFF}}\right) U_{pv} \quad (2.19)$$

Defining duty cycle  $D$  as:

$$D = \frac{t_{ON}}{t_{ON} + t_{OFF}} \quad (2.20)$$

then the output voltage of boost converter in steady state is formulated as:

$$U_{dc} = \left[ \frac{1}{1-D} \right] U_{pv} \quad (2.21)$$

## 2.4 Photovoltaic Converter Dynamics

This section presents a detailed model which considers the converter transient response. A DC-DC boost converter which connects the PV array to the DC bus is considered in Figure 2.6. The voltage of the capacitor  $C_{PV}$  at the terminal of the PV has the following dynamics,

$$\frac{dU_{PV}}{dt} = -\frac{1}{C_{PV}} i_{L_1} + \frac{1}{C_{PV}} i_{PV} \quad (2.22)$$

where  $U_{PV}$  and  $i_{PV}$  are the PV voltage and current, and  $i_{L_1}$  is the inductor ( $L_1$ ) current. The PV voltage, the inductor current and the DC bus voltage,  $U_{DC}$  are interrelated by the following formula:

$$\frac{di_{L_1}}{dt} = \left( \frac{1}{L_1} U_{PV} - \frac{1}{L_1} U_{DC} \right) + \frac{U_{DC}}{L_1} s_1 \quad (2.23)$$

where  $s_1$  is a discontinuous signal which defines the converter switch position. The inductor  $L_1$  and capacitors  $C_{PV}$  and  $C_{DC}$  are considered to be known constants. Equations (2.22) and (2.23) are essential in the design of a controller that regulates the DC-DC converter's input voltage to a reference value that corresponds to the maximum power point of the PV system.

## 2.5 Voltage Source Inverter (VSI) and Space Vector Modulation (SVM):

A two-level Voltage Source Inverter (VSI) for three-phase system is considered in this thesis as illustrated in Figure 2.7. Six power switches,  $SW_1 \sim SW_6$  with free-wheeling diodes in parallel are contrived in the inverter. Each switching group consists of two or more power switch devices (i.e. Insulated-Gate Bipolar Transistors (IGBTs) or MOSFETs) connected in series depending on the DC input operating voltage level of the inverter. The selection of the power switching devices depends on the switching frequency range, application power level and acceptable power losses of the inverter. The desired output voltage of the inverter is achieved by changing the frequency and amplitude of the reference signal. The comparison between the references and the carrier waveforms results in Pulse Width Modulation (PWM). This low level PWM signals from microcontrollers are translated to appropriate high voltage level through DC-AC inverters. Among PWM techniques sinusoidal PWM (SPWM) and Space Vector PWM (SVPWM) are known as popular modulation synthesis in inverter applications.

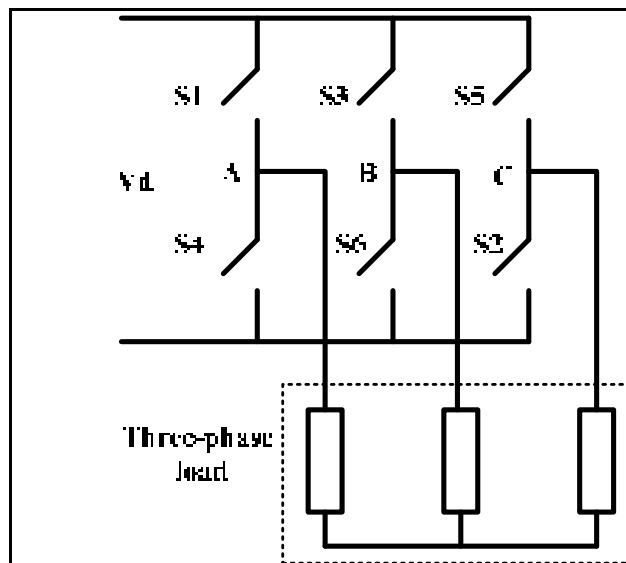


Figure 2.7 Three-phase two-level voltage-source inverter

The principle of the SPWM for VSI is illustrated in Figure 2.8 for one switching period. Considering two triangles PMQ and SMT, the corresponding length of two triangles satisfies:

$$\frac{\overline{PQ}}{\overline{ST}} = \frac{\overline{PM}}{\overline{SM}} \quad (2.24)$$

This relation can be solved to:

$$T_{on,A} = \frac{T_s}{2}(1 + V_{ref,A}) \quad (2.25)$$

If the  $V_{ref,A}$  is adjusted to zero, the duty cycle is 50%, corresponding to half of the period. Similarly the value  $V_{ref,A}$  equal to one gives the duty cycle of one (full on state) which is maximum positive voltage. At last, the value  $V_{ref,A}$  equal to -1 gives the duty cycle of zero (full off state) which is maximum negative voltage. To achieve required output voltage, an appropriate duty cycle with  $-1 < V_{ref,A} < 1$  is selected.

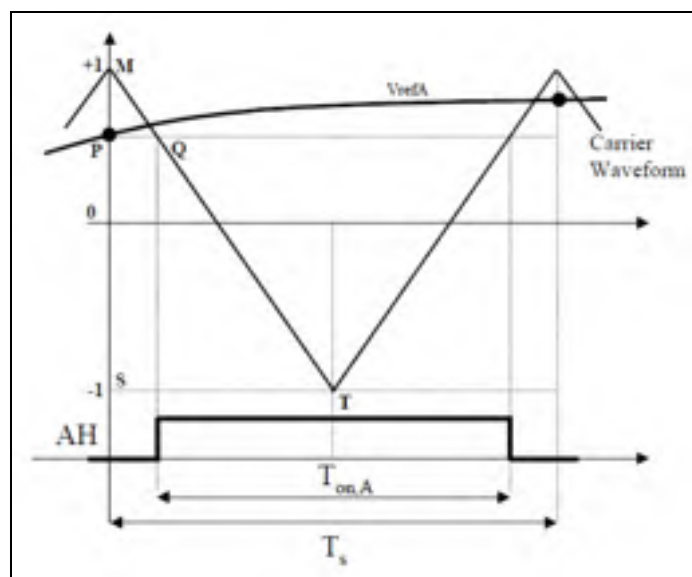


Figure 2.8 PWM signal for one switching period

Space vector modulation as one of the preferred real time modulation techniques is widely used for digital control of VSIs. The operation of the inverter switches can be defined by switching states. If the upper switches in inverter (Figure 2.7) are on, the switching state is denoted by "H". As seen in Figure 2.7, the terminal voltage ( $V_A$ ,  $V_B$  or  $V_C$ ) at each inverter leg is  $V_d$ . Switching state "L" denotes the lower switches conduct with terminal voltage equal to zero. There are eight states as listed in Table 2.1. For instance, the switching state [HLL] denotes that the switches  $S_1, S_2$ , and  $S_6$  conduct in inverter phases. Two states of [LLL] and [HHH] are defined as zero states while the others are active states. These active and zero states can be demonstrated by six active space vectors  $\vec{V}_1$  to  $\vec{V}_6$  forming a hexagon with six sectors I to VI. with the zero vector  $\vec{V}_0$  on the center of the hexagon.

Table 2.1 Space Vector Modulation

Space vector		Switching state	Switch position ("on")	Vector definition
Zero vector	$\vec{V}_0$	[HHH] or [LLL]	( $S_1, S_3, S_5$ ) or ( $S_4, S_6, S_2$ )	$\vec{V}_0 = 0$
Active vector	$\vec{V}_1$	[HLL]	( $S_1, S_6, S_2$ )	$\vec{V}_1 = \frac{2}{3}V_d e^{j0}$
Active vector	$\vec{V}_2$	[HHL]	( $S_1, S_3, S_2$ )	$\vec{V}_2 = \frac{2}{3}V_d e^{j\frac{\pi}{3}}$
Active vector	$\vec{V}_3$	[LHL]	( $S_4, S_3, S_2$ )	$\vec{V}_3 = \frac{2}{3}V_d e^{j\frac{2\pi}{3}}$
Active vector	$\vec{V}_4$	[LHH]	( $S_4, S_3, S_5$ )	$\vec{V}_4 = \frac{2}{3}V_d e^{j\pi}$
Active vector	$\vec{V}_5$	[LLH]	( $S_4, S_6, S_5$ )	$\vec{V}_5 = \frac{2}{3}V_d e^{j\frac{4\pi}{3}}$



Active vector	$\vec{V}_6$	HLH	(S <sub>1</sub> , S <sub>6</sub> , S <sub>5</sub> )	$\vec{V}_6 = \frac{2}{3}V_d e^{j\frac{5\pi}{3}}$
Reference vector	$\vec{V}_{ref}$	N.A.	N.A.	$\vec{V}_{ref} = V_{ref} e^{j\theta}$

Assuming that the inverter is in three-phase balanced operation, we have the load phase voltages as,

$$V_{AN}(t) + V_{BN}(t) + V_{CN}(t) = 0 \quad (2.26)$$

Equation (2.26) denotes that one of the phase voltages is redundant such that the third voltage can be readily calculated. Therefore it is possible to transform the three-phase voltages to equivalent two-phase voltages using Clarke transformation as:

$$\begin{bmatrix} V_\alpha(t) \\ V_\beta(t) \end{bmatrix} = \frac{2}{3} \begin{bmatrix} 1 & -\frac{1}{2} & -\frac{1}{2} \\ 0 & \frac{\sqrt{3}}{2} & -\frac{\sqrt{3}}{2} \end{bmatrix} \begin{bmatrix} v_{AN}(t) \\ v_{BN}(t) \\ v_{CN}(t) \end{bmatrix} \quad (2.27)$$

The space vector can be represented in the  $\alpha$ - $\beta$  plane as:

$$\vec{V} = V_\alpha(t) + jV_\beta(t) \quad (2.28)$$

For  $e^{j\phi} = \cos\phi + j\sin\phi$  and  $\phi = 0, \frac{2\pi}{3}$  and  $\frac{4\pi}{3}$  substituting Equation (2.27) into (2.28), we have

$$\vec{V} = \frac{2}{3} \left( V_{AN}(t) + V_{BN}(t)e^{j\frac{2\pi}{3}} + V_{CN}(t)e^{j\frac{4\pi}{3}} \right) \quad (2.29)$$

The phase voltages are calculated for the switching state [HLL] considering the balanced three-phase system as,

$$V_{AN}(t) = \frac{2}{3}V_d, V_{BN}(t) = -\frac{1}{3}V_d \text{ and } V_{CN}(t) = -\frac{1}{3}V_d \quad (2.30)$$

The space vector  $\vec{V}_1$  therefore can be found by substituting (2.30) into (2.29) as,

$$\vec{V}_1 = \frac{2}{3}V_d e^{j0} \quad (2.31)$$

similarly the other active vectors can be derived as,

$$\vec{V}_r = \frac{2}{3}V_d e^{j(r-1)\frac{\pi}{3}}, r=1,2,\dots,6 \quad (2.32)$$

the Space vector diagram for the two-phase inverter is illustrated in Figure 2.9,

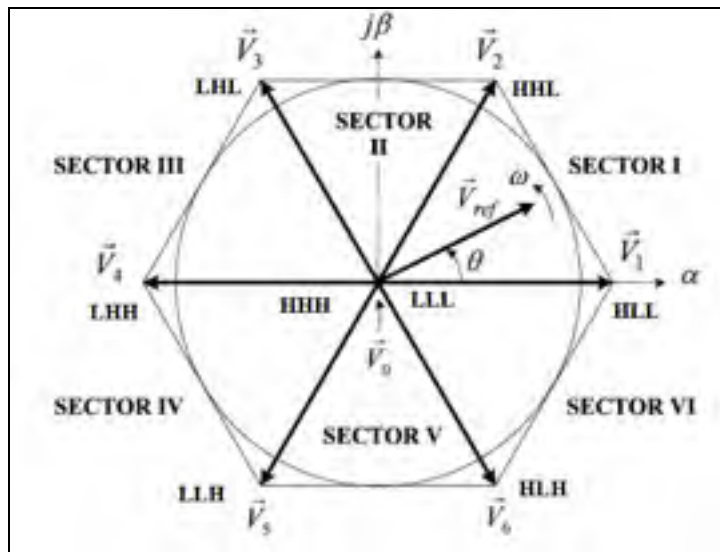


Figure 2.9 Space vector diagram for the two-level inverter

The active and zero vectors are stationary vectors and do not move in space while the reference vector as illustrated in Figure 2.9 rotates in space at an angularly velocity ( $\omega = 2\pi f$ ) where  $f$  is the fundamental frequency of the inverter output voltage. The next step is to determine the duty-cycle time (on-state time) using the reference vector.

Assuming for the sufficiently small sampling time  $T_s$ , the reference vector  $\vec{V}_{ref}$  is constant during  $T_s$ . As demonstrated in Figure 2.9  $\vec{V}_{ref}$  can be approximated by two adjacent active vectors and zero vector. Suppose  $\vec{V}_{ref}$  falls into the sector I. Therefore  $\vec{V}_{ref}$  can be obtained by  $\vec{V}_1, \vec{V}_2$  and  $\vec{V}_0$ . According to the volt-second balance, we have

$$\vec{V}_{ref}T_s = \vec{V}_1T_a + \vec{V}_2T_b + \vec{V}_0T_0 \quad (2.33)$$

$$T_s = T_a + T_b + T_0$$

where the parameters  $T_a, T_b$  and  $T_0$  are defined as the dwell times for the corresponding vectors ( $\vec{V}_1, \vec{V}_2$  and  $\vec{V}_0$  respectively). Solving the equation according to table 2.1 yields

$$T_a = \frac{\sqrt{3}T_s V_{ref}}{V_d} \sin\left(\frac{\pi}{3} - \theta\right) \quad (2.34)$$

$$T_b = \frac{\sqrt{3}T_s V_{ref}}{V_d} \sin(\theta) \text{ for } 0 \leq \theta < \frac{\pi}{3}$$

$$T_0 = T_s - T_a - T_b$$

Defining the modulation index  $m$  such that,

$$m = \frac{\sqrt{3}V_{ref}}{V_d} \quad (2.35)$$

Equation (2.34) can be expressed as,

$$T_a = T_s m \sin\left(\frac{\pi}{3} - \theta\right) \quad (2.36)$$

$$T_b = T_s m \sin(\theta) \text{ for } 0 \leq \theta < \frac{\pi}{3}$$

$$T_0 = T_s - T_a - T_b$$

As the maximum of  $\vec{V}_{ref}$  corresponds to the radius of the largest circle in hexagon shown in Figure 2.9, the maximum magnitude of  $\vec{V}_{ref}$  is obtained,

$$V_{ref}^{\max} = \frac{2}{3} V_d \cdot \cos 30^\circ = \frac{V_d}{\sqrt{3}} \quad (2.37)$$

That is the case of the maximum modulation index,  $m_{\max} = 1$ . The modulation index for the space vector modulation is,

$$0 \leq m \leq 1 \quad (2.38)$$

The maximum fundamental line-to-line rms voltage modulated by SVM technique is therefore calculated by,

$$V_{SVM}^{\max} = \sqrt{3} \frac{V_{ref}^{\max}}{\sqrt{2}} = 0.707V_d \quad (2.39)$$

Note that  $\frac{V_{ref}^{max}}{\sqrt{2}}$  is the maximum rms of the phase voltage of the inverter. The inverter modulated by the SPWM scheme, the maximum fundamental line-to-line voltage is  $V_{SPWM}^{max} = 0.612V_d$ . The ratio of the maximum fundamental line-to-line voltage for both schemes is

$$\begin{aligned} V_{SPWM}^{max} &= \sqrt{3} \frac{V_d}{\sqrt{2}} = 0.612V_d \\ V_{SVM}^{max} &= \sqrt{3} \frac{\sqrt{3}V_d}{\sqrt{2}} = 0.707V_d \\ \frac{V_{SVM}^{max}}{V_{SPWM}^{max}} &= \frac{0.707V_d}{0.612V_d} = 1.155 \end{aligned} \tag{2.40}$$

The Equation (2.40) states that for a given dc bus voltage the maximum fundamental line-to-line voltage of the inverter produced by the SVM method is 15.5% higher than that by the SPWM.

The next step in SVM synthesis implementation is to determine the switching sequence. A typical seven-segment switching sequence is presented in Figure 2.10 for  $\vec{V}_{ref}$  in sector I which is synthesized by  $\vec{V}_0, \vec{V}_1$  and  $\vec{V}_2$ . The transition from LLL to HLL is performed by turning  $S_1$  on and  $S_4$  off that is a complementary command. These switching patterns finally generate the appropriate SVPWM signals to the corresponding IGBTs.

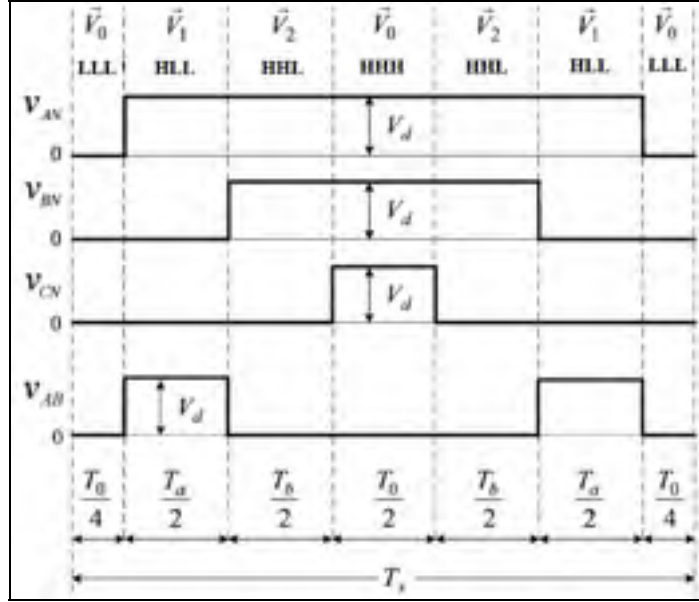


Figure 2.10 Seven-segment switching sequence

## 2.6 Inverter Voltage and Current Dynamics

A three-phase DC-AC inverter which connects the DC bus to the AC bus at the point of common coupling (PCC) is illustrated in Figure 2.1. The voltages at the AC terminals of the inverter, the currents through the filtering inductors ( $L_f$ ) and the voltages at the transformer primary are related as follows,

$$v_{abc} = L_f \frac{di_{abc}}{dt} + (v_{abc})_t \quad (2.41)$$

where  $v_{abc} = (v_a, v_b, v_c)^T$  and  $i_{abc} = (i_a, i_b, i_c)^T$  are the three-phase voltage and current at the inverter output, while  $(v_{abc})_t = (v_{at}, v_{bt}, v_{ct})^T$  is the voltage at the transformer primary side, as illustrated in Figure 2.1. Equation (2.41) is converted in the D-Q reference frame using the Park transformation as follows,

$$L_f \frac{di_d}{dt} = L_f \omega i_q + v_d - (v_d)_t \quad (2.42)$$

$$L_f \frac{di_q}{dt} = -L_f \omega i_d + v_q - (v_q)_t \quad (2.43)$$

Where  $i_d$  and  $i_q$  stand for inductance currents in the D-Q reference frame.  $v_d$  and  $v_q$  are the converter terminal voltage components. The D-Q reference frame components for the voltage at the transformer primary are  $(v_d)_t$  and  $(v_q)_t$ .  $\omega$  is defined as angular frequency which is measured by a phase-locked loop (PLL) module. When the voltage at the transformer primary is used as the reference for the Park transformation, the Q-component for the voltage at the transformer primary is zero, i.e.  $(v_d)_t = v_s$  and  $(v_q)_t = 0$ .

For voltage regulation purposes, it is essential to represent the relationship between the voltage at the transformer primary and the microgrid voltage. The following equation represents the dynamic relationship between the PV-battery system and the AC line. Note that the AC system is referred to the transformer primary,

$$(v_{abc})_t = L_s \frac{di_{abc}}{dt} + (v_{abc})_s \quad (2.44)$$

where  $L_s$  and  $(v_{abc})_s$  are the AC line inductance and the three-phase voltage referred to the transformer low-voltage side. Since the DC bus voltage is usually very low compared to the AC bus voltage level, it is realistic to assume that the transformer has a large turn ratio in this study. The dynamics of the transmission line inductance become negligible compared to the filtering inductor when it is referred to the transformer primary. As a consequence,  $L_s \frac{di_d}{dt} = 0$  and  $L_s \frac{di_q}{dt} = 0$ . Equation (2.44) simplifies to (2.45) in the D-Q reference frame. Only the D-component of the relationship is formulated as,

$$v_s = (v_d)_s - L_s \omega i_q \quad (2.45)$$

$v_s$  is the terminal voltage at the AC bus, and  $(v_d)_s$  represents the d-component of the microgrid AC voltage.

## 2.7 Bidirectional Converter Voltage and Current Dynamics

The bidirectional DC-DC converter connected to the battery and the DC bus, as illustrated in Figure 2.1 plays an important role in energy management in the microgrid. The DC bus voltage ( $U_{DC}$ ) dynamics are obtained through applying the Kirchhoff Current Law (KCL) at the DC bus node,

$$C_{DC} \frac{dU_{DC}}{dt} = i_D - i_{L_2} - i_{DC} \quad (2.46)$$

where  $i_D$ ,  $i_{DC}$  and  $i_{L_2}$  are the diode current in the photovoltaic converter, the inverter input DC current and the current through the inductor  $L_2$ , respectively. Note that  $C_{DC}$  is the DC bus capacitance. This gives in steady state:

$$i_{DC} = i_D - i_{L_2} \quad (2.47)$$

Applying KVL to the battery converter circuit in Figure 2.1 gives the dynamics of the current through inductor  $L_2$ ,

$$L_2 \frac{di_{L_2}}{dt} = U_{DC} - U_{bat}s_2 \quad (2.48)$$

where  $U_{bat}$  and  $s_2$  are the battery terminal voltage and the converter switching position. The two switches ( $s_2, \bar{s}_2$ ) have complementary positions in a manner when one is closed, the other is open. In order to control the power flow among the PV, the battery, the synchronous generator and the AC loads, it is essential to find the dynamics of the power exchanged in the microgrid. These equations will relate the energy stored in the DC bus, the power generated by the PV system, the power supplied by the battery and the power conveyed to the AC bus. It can be shown that the energy stored in the DC bus ( $E_{DC}$ ) satisfies the following equation,



$$\frac{dE_{DC}}{dt} = P_{PV} - P_{bat} - P_{AC} \quad (2.49)$$

where  $E_{DC} = \frac{1}{2} C_{DC} U_{DC}^2$ .  $P_{PV}$ ,  $P_{bat}$  and  $P_{AC}$  are the power delivered by the PV to the DC bus, the power delivered from the DC bus to the battery and the power sent to the AC side, respectively. When the converter losses are ignored, the power at the battery output has the following expression in terms of the inductor current and the DC bus voltage,

$$P_{bat} = U_{DC} i_{L_2} \quad (2.50)$$

Differentiating (2.50), multiplying both sides of the equality by  $C_{DC} U_{DC}$  and substituting (2.48) and (2.49) yield,

$$\frac{dP_{bat}}{dt} = \frac{i_{L_2}}{C_{DC} U_{DC}} (P_{PV} - P_{bat} - P_{AC}) + \frac{2E_{DC}}{L_2 C_{DC} U_{DC}} (U_{DC} - U_{bat} s_2) \quad (2.51)$$

Similarly when the DC-AC converter losses are neglected, the power exchanged between the DC bus and the AC bus is equal to the power expression at the transformer primary. This power has the following expression,

$$P_{AC} = \frac{3}{2} (i_d(v_d)_t + i_q(v_q)_t) \quad (2.52)$$

where  $(v_d)_t = v_s$  and  $(v_q)_t = 0$  represent the terminal voltage at the primary side of the transformer.

## **2.8 Synchronous machine model**

This section aims to present the general structure of a conventional compensator of a synchronous generator (SG) including excitation system and speed controller.

### **2.8.1 AVR, PSS and speed governor modules**

One of the traditional excitation controls is the Automatic Voltage Regulator (AVR) that compensates the terminal voltage. The AVR normally augments the field voltage to restore the terminal voltage to its pre-fault level. Under large disturbances, due to a three-phase fault, the terminal voltage of SG could be very low. After the faults are cleared the generator reconnects to power system; however, this recovery causes post-fault oscillations due to the energy stored in the generator rotor. Therefore another basic function of the excitation system is to damp the oscillations. With addition of power system stabilizer (PSS) to the AVR, the excitation system could eliminate any negative effect of damping post-fault oscillations (due to the fast recovery of terminal voltage using the AVR).

The commonly used control signal of the PSS is the speed deviation as an additional feedback signal for introducing a damping torque control component. Generally the PSS includes the phase compensation module, which provides the phase-lead characteristic to compensate for the phase lag between the exciter input and the generator electrical torque, the high-pass wash-out filter, and the gain which determines the amount of damping introduced by PSS. The PSS can generate a component of electrical torque which is in phase with the rotor speed deviation. Thus, the oscillations corresponding to the rotor speed can be detected and damped by the PSS. Figure 2.11 shows the excitation control system which is combination of the PSS and the AVR.

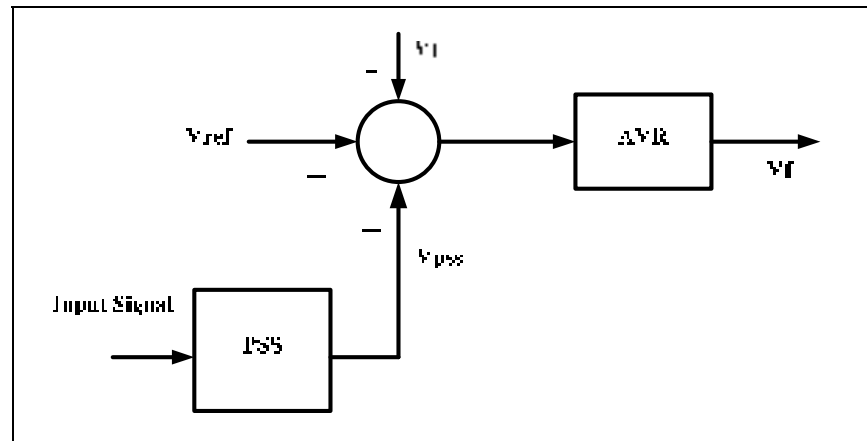


Figure 2.11 PSS and AVR configuration in SG system

The basic function of the speed governor is to adjust prime mover output power for changes in system speed. If the frequency decreases, due to load increment or loss of generation, the speed of each connected generator will also decrease. The deviation in speed with respect to its nominal value will be used to modify the position of the fuel valve. The objective is to allow each generator to share the load power in interconnected power system. The droop control and speed regulation are commonly used methods in synchronous generator governor (see Figure 2.12). The term droop is the amount of the speed change necessary to cause the prime mover control to move from fully closed to fully open position. In small isolated SGs, the zero droop is considered such that the governor keeps the valve open until the speed is restored to its nominal value. Therefore, speed droop is used to control the magnitude of governor response for a given frequency change so all generators will share response after a disturbance. On the other hand the definition of the speed regulation refers to the amount of speed variation that is necessary to cause the output of the synchronous generator to change from zero output to full output.

In contrast with droop, this term focuses on the output of the generator, rather than the position of its valves.

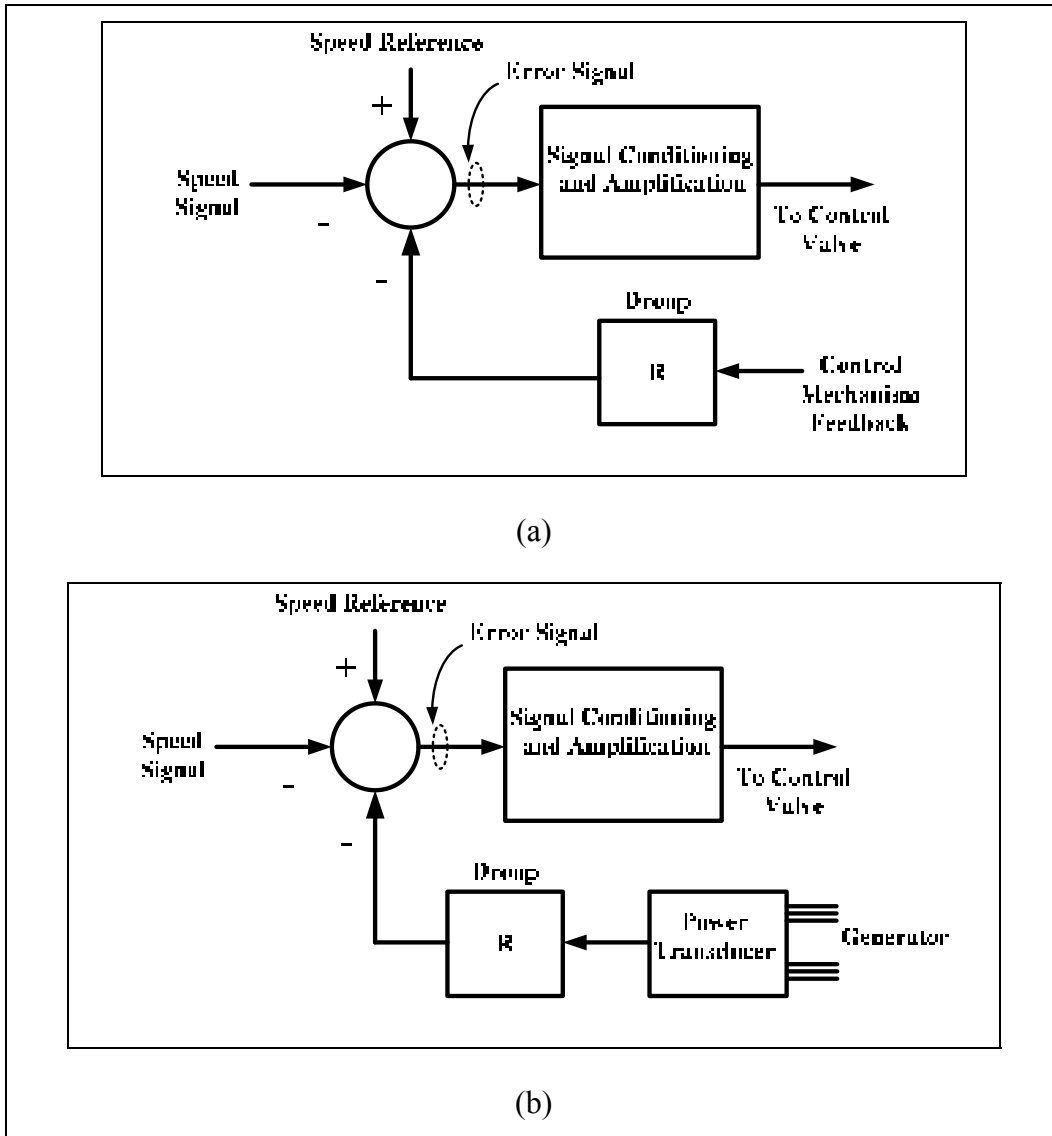


Figure 2.12 Governor Control: Droop governor (a), speed regulation (b)

### 2.8.2 Transient Model of Saturated Salient Pole Synchronous Machine:

This section presents the traditional model for the transient analysis of Saturated Salient Pole Synchronous Machine. Figure 2.13 shows the complete equivalent d-q circuit model of a Salient Pole Synchronous Machine.

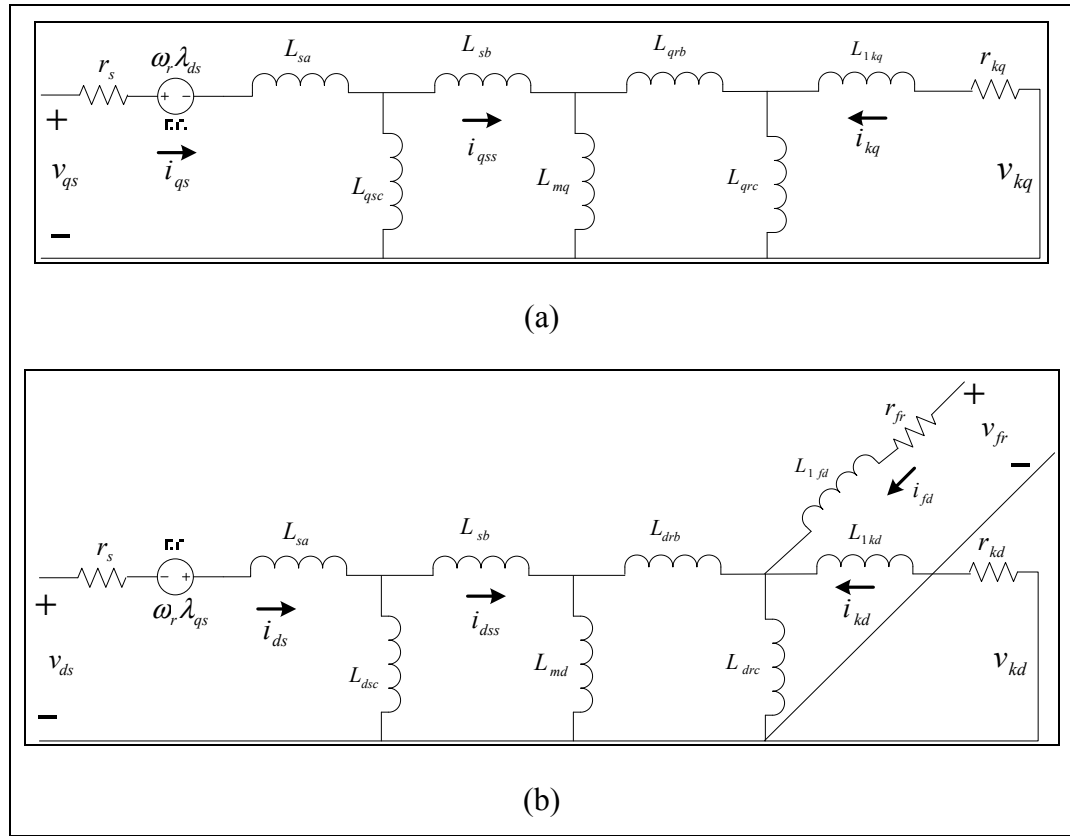


Figure 2.13 Salient Pole Synchronous Machine Model: Equivalent q-axis (a), Equivalent d-axis (b)

The d-q voltage equations in the rotor reference frame remain as follows:

$$\begin{aligned}
 v_{qs} &= r_s i_{qs} + \frac{p}{\omega_b} \psi_{qs} + \frac{\omega_r}{\omega_b} \psi_{ds} \\
 v_{ds} &= r_s i_{ds} + \frac{p}{\omega_b} \psi_{ds} - \frac{\omega_r}{\omega_b} \psi_{qs} \\
 v_{kq} &= r_{kq} i_{kq} + \frac{p}{\omega_b} \psi_{kq} \\
 v_{kd} &= r_{kd} i_{kd} + \frac{p}{\omega_b} \psi_{kd} \\
 v_{fd} &= r_{fd} i_{fd} + \frac{p}{\omega_b} \psi_{fd}
 \end{aligned} \tag{2.53}$$

where operator  $p$  is the time derivative  $d/dt$ . The flux linkages incorporating the effect of saturation are given as follows:

$$\begin{aligned}
 \psi_{qs} &= X_{sa} i_{qs} + \psi_{qsc}(sat) \\
 \psi_{qsc}(sat) &= X_{sb} i_{qss} + \psi_{mq}(sat) \\
 \psi_{ds} &= X_{sa} i_{ds} + \psi_{dsc}(sat) \\
 \psi_{dsc}(sat) &= X_{sb} i_{dss} + \psi_{md}(sat) \\
 \psi_{kq} &= X_{1kq} i_{kq} + \psi_{qrc}(sat) \\
 \psi_{qrc}(sat) &= X_{qrb} i_{qrr} + \psi_{mq}(sat) \\
 \psi_{kd} &= X_{1kd} i_{kd} + \psi_{drc}(sat) \\
 \psi_{drc}(sat) &= X_{drb} i_{drr} + \psi_{md}(sat) \\
 \psi_{fd} &= X_{1fd} i_{kd} + \psi_{drc}(sat)
 \end{aligned} \tag{2.54}$$

The flux linkages  $\lambda_{qs}$  and  $\lambda_{ds}$  have been multiplied by a base frequency  $\omega_b$  to yield the d-q stator flux linkages  $\psi_{qs}$  and  $\psi_{ds}$ . The variables  $\psi_{qsc}$ ,  $\psi_{dsc}$  and  $\psi_{qrc}$  are the d, q stator core and rotor core flux linkages respectively. The variables  $\psi_{kd}$  and  $\psi_{fd}$  are the d-axis flux linkages of the damper and field windings respectively, and  $\psi_{kq}$  represents the q-axis damper flux linkage. The machine currents are derived as follows:

$$\begin{aligned}
i_{qs} &= \frac{[\psi_{qs} - \psi_{qsc}(sat)]}{X_{sa}} \\
i_{qss} &= \frac{[\psi_{qsc}(sat) - \psi_{mq}(sat)]}{X_{sb}} \\
i_{ds} &= \frac{[\psi_{ds} - \psi_{dsc}(sat)]}{X_{sa}} \\
i_{dss} &= \frac{[\psi_{dsc}(sat) - \psi_{md}(sat)]}{X_{sb}} \\
i_{kq} &= \frac{[\psi_{kq} - \psi_{qrc}(sat)]}{X_{1kq}} \\
i_{qrr} &= \frac{[\psi_{qrc}(sat) - \psi_{mq}(sat)]}{X_{qrb}} \\
i_{kd} &= \frac{[\psi_{kd} - \psi_{drc}(sat)]}{X_{1kd}} \\
i_{drr} &= \frac{[\psi_{drc}(sat) - \psi_{md}(sat)]}{X_{drb}} \\
i_{fd} &= \frac{[\psi_{fd} - \psi_{drc}(sat)]}{X_{1fd}}
\end{aligned} \tag{2.55}$$

By substituting the (2.55) into (2.53) the flux equations are obtained as:

$$\begin{aligned}
\frac{p}{\omega_b} \psi_{qs} &= v_{qs} - r_s \frac{[\psi_{qs} - \psi_{qsc}(sat)]}{X_{sa}} - \frac{\omega_r}{\omega_b} \psi_{ds} \\
\frac{p}{\omega_b} \psi_{ds} &= v_{ds} - r_s \frac{[\psi_{ds} - \psi_{dsc}(sat)]}{X_{sa}} + \frac{\omega_r}{\omega_b} \psi_{qs} \\
\frac{p}{\omega_b} \psi_{kq} &= v_{kq} - r_{kq} \frac{[\psi_{kq} - \psi_{qrc}(sat)]}{X_{1kq}} \\
\frac{p}{\omega_b} \psi_{kd} &= v_{kd} - r_{kd} \frac{[\psi_{kd} - \psi_{drc}(sat)]}{X_{1kd}} \\
\frac{p}{\omega_b} \psi_{fd} &= v_{fd} - r_{fd} \frac{[\psi_{fd} - \psi_{drc}(sat)]}{X_{1fd}}
\end{aligned} \tag{2.56}$$

The unsaturated magnetizing flux in the q and the d axes are as follows:

$$\begin{aligned}\psi_{mq}(unsat) &= X_{mq}(i_{qss} + i_{qrr}) \\ \psi_{md}(unsat) &= X_{md}(i_{dss} + i_{drr})\end{aligned}\quad (2.57)$$

The saturated magnetizing flux in the q and the d axes are given:

$$\begin{aligned}\psi_{mq}(sat) &= \psi_{mq}(unsat) - \Delta\psi_{mq} \\ \psi_{md}(sat) &= \psi_{md}(unsat) - \Delta\psi_{md} \\ \Delta\psi_{mq} &= (1 - K_{mq})\psi_{mq}(unsat) \\ \Delta\psi_{md} &= (1 - K_{md})\psi_{md}(unsat)\end{aligned}\quad (2.58)$$

where the  $K_{mq}$  and  $K_{md}$  are the saturation factors for magnetizing flux in the q and the d axes. The total unsaturated magnetizing flux is given as:

$$\psi_m(unsat) = \sqrt{\psi_{mq}^2(unsat) + \psi_{md}^2(unsat)}\quad (2.59)$$

By substituting the (2.55) into (2.57) the unsaturated flux equations are obtained as:

$$\begin{aligned}\psi_{mq}(unsat) &= X_{mq} \left[ \frac{\psi_{qsc}}{X_{sb}} + \frac{\psi_{qrc}}{X_{qrb}} \right] + X_{mq} \left[ \frac{1}{X_{sb}} + \frac{1}{X_{qrb}} \right] \Delta\psi_{mq} \\ \psi_{md}(unsat) &= X_{md} \left[ \frac{\psi_{dsc}}{X_{sb}} + \frac{\psi_{drc}}{X_{drb}} \right] + X_{md} \left[ \frac{1}{X_{sb}} + \frac{1}{X_{drb}} \right] \Delta\psi_{md}\end{aligned}\quad (2.60)$$

where



$$X_{mqq} = \frac{1}{\left[ \frac{1}{X_{mq}} + \frac{1}{X_{sb}} + \frac{1}{X_{qrb}} \right]} \quad (2.61)$$

$$X_{mdd} = \frac{1}{\left[ \frac{1}{X_{mq}} + \frac{1}{X_{sb}} + \frac{1}{X_{drb}} \right]}$$

The unsaturated core flux equations are given:

$$\begin{aligned} \psi_{qsc}(\text{unsat}) &= X_{sc}(i_{qs} - i_{qss}) \\ \psi_{dsc}(\text{unsat}) &= X_{sc}(i_{ds} - i_{dss}) \\ \psi_{qrc}(\text{unsat}) &= X_{qrc}(i_{kq} - i_{qrr}) \\ \psi_{drc}(\text{unsat}) &= X_{drc}(i_{kd} + i_{fd} - i_{drr}) \end{aligned} \quad (2.62)$$

By substituting the current equations (2.55) into (2.62) the above unsaturated flux equations are obtained as:

$$\begin{aligned} \psi_{dsc}(\text{unsat}) &= X_{sc} \left[ \frac{\psi_{ds}}{X_{sa}} + \frac{\psi_{md}}{X_{sb}} \right] + \left[ \frac{1}{X_{sa}} + \frac{1}{X_{sb}} \right] \Delta \psi_{dsc} \\ \psi_{qsc}(\text{unsat}) &= X_{sc} \left[ \frac{\psi_{qs}}{X_{sa}} + \frac{\psi_{mq}}{X_{sb}} \right] + \left[ \frac{1}{X_{sa}} + \frac{1}{X_{sb}} \right] \Delta \psi_{qsc} \\ \psi_{drc}(\text{unsat}) &= X_{drc} \left[ \frac{\psi_{kd}}{X_{1kd}} + \frac{\psi_{fd}}{X_{1fd}} + \frac{\psi_{md}(\text{sat})}{X_{drb}} \right] + X_{drc} \left[ \frac{1}{X_{1kd}} + \frac{1}{X_{1fd}} + \frac{1}{X_{drb}} \right] \Delta \psi_{drc} \\ \psi_{qrc}(\text{unsat}) &= X_{qrc} \left[ \frac{\psi_{kq}}{X_{1kq}} + \frac{\psi_{fq}}{X_{1fq}} + \frac{\psi_{mq}(\text{sat})}{X_{qrb}} \right] + X_{qrc} \left[ \frac{1}{X_{1kq}} + \frac{1}{X_{1fq}} + \frac{1}{X_{qrb}} \right] \Delta \psi_{qrc} \end{aligned} \quad (2.63)$$

where

$$\begin{aligned}
X_{sc} &= \frac{1}{\left[ \frac{1}{X_{sc}} + \frac{1}{X_{sa}} + \frac{1}{X_{sb}} \right]} \\
X_{drcc} &= \frac{1}{\left[ \frac{1}{X_{drc}} + \frac{1}{X_{1kd}} + \frac{1}{X_{1fd}} + \frac{1}{X_{drb}} \right]} \\
X_{qrcc} &= \frac{1}{\left[ \frac{1}{X_{qrc}} + \frac{1}{X_{1kq}} + \frac{1}{X_{qrb}} \right]}
\end{aligned} \tag{2.64}$$

The changes in the flux linkages in the core due to saturation are given as:

$$\begin{aligned}
\Delta \psi_{qsc} &= (1 - K_s) \psi_{qsc}(\text{unsat}) \\
\Delta \psi_{dsc} &= (1 - K_s) \psi_{dsc}(\text{unsat}) \\
\Delta \psi_{qrc} &= (1 - K_{qr}) \psi_{qrc}(\text{unsat}) \\
\Delta \psi_{drc} &= (1 - K_{dr}) \psi_{drc}(\text{unsat})
\end{aligned} \tag{2.65}$$

The saturated core flux linkages are written as:

$$\begin{aligned}
\psi_{qsc}(\text{sat}) &= \psi_{qsc}(\text{unsat}) - \Delta \psi_{qsc} \\
\psi_{dsc}(\text{sat}) &= \psi_{dsc}(\text{unsat}) - \Delta \psi_{dsc} \\
\psi_{qrc}(\text{sat}) &= \psi_{qrc}(\text{unsat}) - \Delta \psi_{qrc} \\
\psi_{drc}(\text{sat}) &= \psi_{drc}(\text{unsat}) - \Delta \psi_{drc}
\end{aligned} \tag{2.66}$$

where the parameter  $K_s$  is the saturation factor for the stator core and is a function of the total unsaturated stator core flux linkage as:

$$\psi_{sc}(\text{unsat}) = \sqrt{\psi_{qsc}^2(\text{unsat}) + \psi_{dsc}^2(\text{unsat})} \tag{2.67}$$

The rotor saturation factors  $K_{dr}$  and  $K_{qr}$  are defined similarly.

The electromagnetic torque with poles number  $P$  is given as:

$$T_e = \frac{3}{2} \frac{P}{2\omega_b} [\psi_{ds} i_{qs} - \psi_{qs} i_{ds}] \quad (2.68)$$

### 2.8.3 Swing equation:

The swing equation neglects all electrical dynamics, considered much faster than mechanical dynamics. The equation of motion of the synchronous machine rotor that is driven by a prime mover is given by:

$$J \frac{d^2\theta}{dt^2} = T_m - T_e = T_a \quad (2.69)$$

where:

$J$  is the total moment of inertia of the rotor mass in  $\text{kgm}^2$ ;

$T_m$  is the mechanical torque supplied by the prime mover in N-m;

$T_e$  is the electrical torque output of the alternator in N-m;

$\theta$  is the angular position of the rotor in rad.

If mechanical and electrical torques are exactly equal, there can be no angular acceleration, and this is the case when the machine is in synchronism, i.e.,

$$T_m = T_e \quad (2.70)$$

During this period the rotor will move at synchronous speed  $\omega_s$  in rad/s. When there is a difference between mechanical and electromagnetic torques, the machine accelerates. The amount of acceleration is proportional to the difference between  $T_m$  and  $T_e$ . This difference is called the accelerating torque  $T_a$ ,

$$T_a = T_m - T_e \quad (2.71)$$

We define  $\omega_r$  as the rated mechanical angular velocity of the shaft, in rad/sec such that

$$\omega_r = \frac{\omega_e}{P/2} \quad (2.72)$$

where P is the number of poles. We also define a synchronously rotating reference frame as:

$$\theta_{ref} = \omega_r t + \alpha \quad (2.73)$$

where  $\alpha$  is the initial angle. Derivation of Equation (2.73) gives,

$$\frac{d}{dt} \theta_{ref} = \omega_r \quad (2.74)$$

This implies that the reference speed is constant. Let us define the rotor mechanical torque angle,  $\delta_m$  as,

$$\delta_m = \theta - \theta_{ref} \quad (2.75)$$

Where  $\theta$  and  $\theta_{ref}$  designate rotor and reference positions respectively. The above relation is illustrated in Figure 2.14.

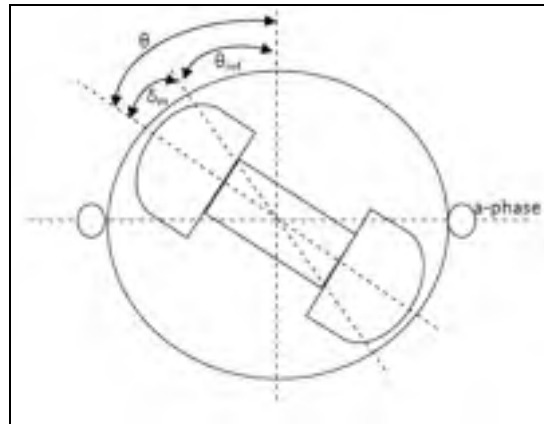


Figure 2.14 Rotor angular position with respect to the reference position in phase-a of synchronous machine

The position  $\delta_m$  is the angle of the internal voltage of a synchronous machine (see Figure 2.15) and it corresponds to the amount of power that can be transferred. This angle is called the load angle.

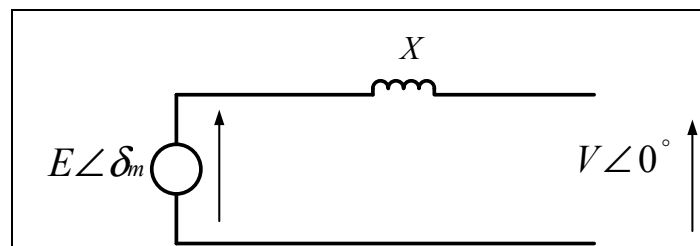


Figure 2.15 Per-phase equivalent circuit of the three-phase synchronous machine

We can rewrite the Equation (2.75) as

$$\theta = \omega_r t + \alpha + \delta_m \quad (2.76)$$

Considering the transient condition, by taking the first derivative of (2.76), we have:

$$\frac{d}{dt}\theta = \omega_r + \frac{d}{dt}\delta_m \quad (2.77)$$

The second derivative results in

$$\frac{d^2}{dt^2}\theta = \frac{d^2}{dt^2}\delta_m \quad (2.78)$$

Therefore the Equation (2.78) can be written as

$$J \frac{d^2\delta_m}{dt^2} = T_m - T_e = T_a \quad (2.79)$$

Equation (2.79) describes the behavior of the rotor dynamics and hence is known as the swing equation. For the general case of P-pole machine we have the Equation (2.79) in electrical radian as,

$$J \frac{2}{P} \frac{d^2\delta_e}{dt^2} = T_m - T_e = T_a \quad (2.80)$$

Since  $\frac{d\omega_e}{dt} = \frac{d^2\delta_e}{dt^2}$ , finally the swing equation for the synchronous machine can be written

as:

$$J \frac{2}{P} \frac{d\omega_e}{dt} = T_m - T_e = T_a \quad (2.81)$$

## 2.9 Frequency and voltage models

The frequency model is essential for the proposed model-based design strategy. Since the frequency is proportional to the synchronous generator rotor speed, the frequency dynamics are obtained from the well-known swing equation (i.e. 4-pole machine):

$$\frac{1}{2}J\omega_m \frac{d\omega_m}{dt} = P_m - P_e - B\omega_m^2 \quad (2.82)$$

where  $\omega_m$ ,  $J$  and  $B$  are the synchronous generator mechanical speed, the inertia and friction coefficient, respectively.  $P_e$  is the synchronous generator output power and  $P_m$  is the mechanical power. The synchronous generator power, the load power and the power generated by the PV-battery system are related by the algebraic relationship:

$$P_e = P_{load} + P_{AC} \quad (2.83)$$

The mechanical power is provided by a prime mover. It is assumed that the synchronous generator is equipped with its own automatic voltage regulator (AVR) and speed governor which has droop characteristics. Therefore, the following relationship between the mechanical power and the mechanical speed can be assumed,

$$P_m = -k(\omega_{ref} - \omega_m) + P_{ref} = -k\Delta\omega + P_{ref} \quad (2.84)$$

$P_{ref}$  is the reference power for the speed governor of the synchronous generator. Let the frequency

$$\omega_m = \frac{\omega}{P} = \frac{2\pi f}{P} \quad (2.85)$$

where  $P$  is the number of pole pairs.

The frequency dynamical model has the following expression:

$$\frac{d\omega}{dt} = \frac{2P^2}{J\omega} \left( -\frac{k}{P}\Delta\omega + P_{ref} - P_{load} - \frac{3}{2}i_d v_s - \frac{B}{P^2}\omega^2 \right) \quad (2.86)$$

To considerably reduce the steady state error of the voltage at the transformer primary, an integrator is added to the voltage control loop. This requires defining a new variable which is the integral of the voltage deviation from its reference value.

$$\Omega = \int_0^t (v_s - v_s^{ref}) d\tau \quad (2.87)$$

The dynamics of this new variable are given by the following equation which is added to the model presented in this section.

$$\frac{d\Omega}{dt} = (v_d)_s - L_s \omega i_q - v_s^{ref} \quad (2.88)$$

## 2.10 Nonlinear model of the entire system

Equations (2.22), (2.23), (2.42), (2.43), (2.49), (2.51), (2.86), and (2.88) represent the dynamic model of the hybrid PV-Battery generator. This model has eight states and four inputs/outputs. It can be rewritten in the following closed form,

$$\begin{aligned} \dot{x} &= f(x) + g(x)u \\ y &= h(x) \end{aligned} \quad (2.89)$$

where  $x = [U_{PV}, i_{L_1}, i_q, \Omega, E_{DC}, P_{bat}, i_d, \omega]^T$  is the vector of state variables,  $u = [s_1, v_q, s_2, v_d]^T$  is the vector of control inputs, and  $y = h(x) = [U_{PV}, \Omega, E_{DC}, \omega]^T$  is the vector of outputs. The nonlinear vector function  $f(x)$  and the matrix  $g(x)$  are given as:



$$f(x) = \begin{bmatrix} -\frac{1}{C_{PV}} i_{L_1} + \frac{1}{C_{PV}} i_{PV} \\ \frac{1}{L_1} U_{PV} - \frac{1}{L_1} U_{DC} \\ -\omega i_d \\ (v_d)_s - L_s \omega i_q - v_s^{ref} \\ P_{PV} - P_{bat} - \frac{3}{2} i_d v_s \\ \frac{i_{L_2}}{C_{DC} U_{DC}} (P_{PV} - P_{bat} - \frac{3}{2} i_d v_s) + \frac{2E_{DC}}{L_2 C_{DC}} \\ \omega i_q + v_d - \frac{v_s}{L_f} \\ \frac{2P^2}{J\omega} \left( -\frac{k}{P} \Delta\omega + P_{ref} - P_{load} - \frac{3}{2} i_d v_s - \frac{B}{P^2} \omega^2 \right) \end{bmatrix} \quad (2.90)$$

$$g(x) = \begin{bmatrix} 0 & 0 & 0 & 0 \\ \frac{U_{DC}}{L_1} & 0 & 0 & 0 \\ 0 & \frac{1}{L_f} & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & \frac{-2E_{DC}U_{bat}}{L_2 C_{DC} U_{DC}} & 0 \\ 0 & 0 & 0 & \frac{1}{L_f} \\ 0 & 0 & 0 & 0 \end{bmatrix}$$

This model is nonlinear, multivariable and highly coupled with the independent variables  $U_{PV}, i_{L_1}, i_q, \Omega, E_{DC}, P_{bat}, i_d$  and  $\omega$ . The model is used in next chapters for designing advanced nonlinear control.

## 2.11 Conclusion

This chapter firstly described the commonly used models of a PV system (i.e. PV cell/module/array), power electronic converters (i.e. modulation techniques, steady-state and dynamic analysis), synchronous generator (i.e. voltage vs. speed control, transient and saturation model and swing equation) and frequency model. It was followed by proposing a novel mathematical model (8<sup>th</sup> order) of a PV-battery generator in a microgrid paralleled with synchronous generator. This suggested model, in addition to the nonlinear model of PV array, is nonlinear, multivariable and highly coupled. This model is used for designing an innovative and advanced control technique.

## CHAPTER 3

### CLASSICAL CONTROL OF MICROGRID

#### 3.1 Introduction

This chapter proposes a classical control approach based on backstepping control technique composed of a group of several cascaded control loops. The control strategy will not use the complete nonlinear model that was presented in chapter 2. To tackle the microgrid (MG) problems discussed in the introduction of this thesis, the control scheme is structured into three controller modules: (i) three-phase dc-ac inverter (i.e. decoupled frequency and voltage controller), (ii) photovoltaic dc-dc converter (i.e. maximum power point tracking controller) and (iii) battery dc-dc converter (i.e. DC bus voltage controller). The proposed classical approach offers advantages over existing ones as the PV generator emulates the reaction of a conventional generator in frequency and voltage regulation. The motivation of the classical control is to propose a method of MG control which doesn't use the exact nonlinear model suggested in previous chapter. The frequency, as a global state variable is formulated by a droop characteristics. The charging or discharging decision is made by a simple algorithm based on the state of the power of the PV and the load. The simplicity and independence of the controllers allow for an extension to more complex and large-scale microgrid which is a significant advantage. The controller performance is evaluated in simulation using the SimPowerSystems blockset in Simulink/Matlab.

#### 3.2 Microgrid System and Control

The proposed microgrid in this chapter, as presented in Figure 3.1, includes a PV array, a battery storage, a synchronous generator and a load. The primary objective is that the hybrid PV-battery system participates in frequency and voltage regulation just as the synchronous generator does despite the power changes from either the local sources (i.e. insolation variation) or the load perturbation. This primary objective is associated with the control of the dc-ac inverter: (i) cascaded frequency and power controller and (ii) cascaded terminal

voltage and current controller. It is then followed by designing cascaded control of battery to regulate the dc bus voltage as well as the battery current. Finally the design of a classical maximum power point tracker (i.e. Perturb & Observe (P&O) method) and cascaded controller for the PV system is suggested.

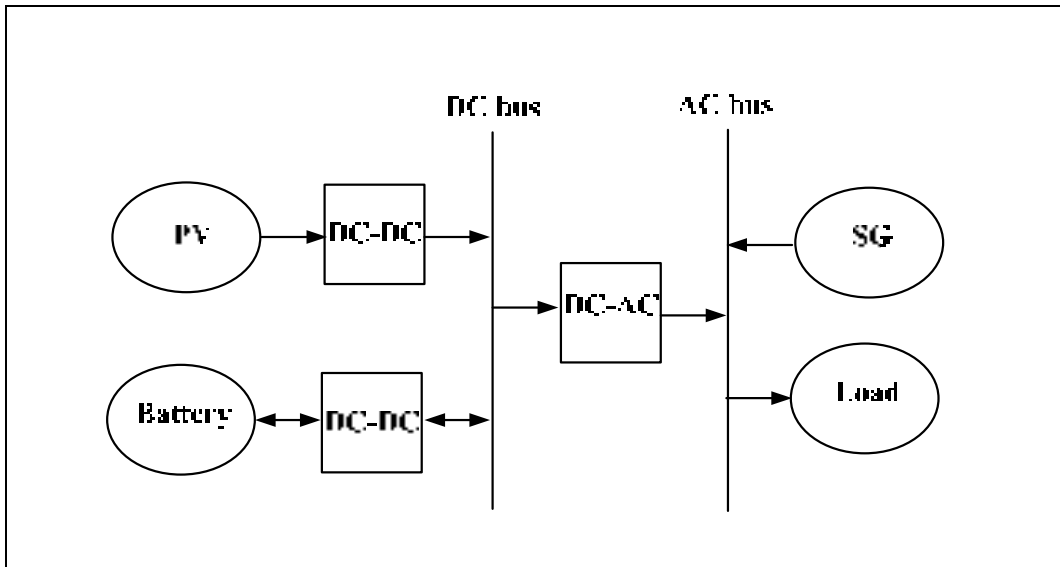


Figure 3.1 General configuration of the suggested microgrid

### 3.2.1 Inverter control

This section presents the design of frequency and terminal voltage control modules. The frequency control is based on droop technique along with the design of active power control module. The reference of the power control module is modified by suggested droop control. The terminal voltage control is based on backstepping control (Okou 2012) with inner current control loop.

#### Frequency control design:

Conventionally, the imbalance in active power between generation and demand in a microgrid (MG) causes the frequency deviation. Consider the power flow transferred by a transmission line between two generators as depicted in Figure 3.2,

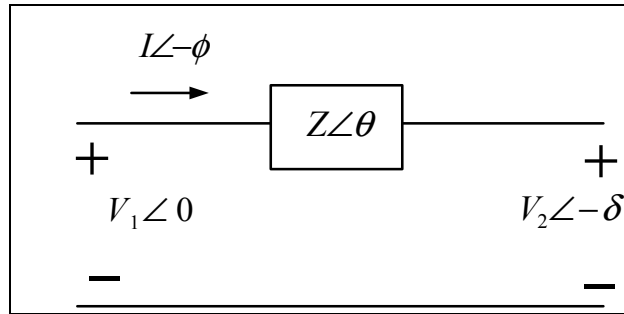


Figure 3.2 Power flow between two generators

the power flow into a power line is calculated as,

$$\bar{S} = P + jQ = \bar{V}_1 \bar{I}^* = \bar{V}_1 \left( \frac{\bar{V}_1 - \bar{V}_2}{\bar{Z}} \right)^* = \frac{V_1^2}{Z} e^{j\theta} - \frac{V_1 V_2}{Z} e^{j(\theta + \delta)} \quad (3.1)$$

where

$\theta$  : Line angle;

$\delta$  : Power angle;

$\bar{Z} = R + jX$  : Line impedance.

The active power can be obtained using Euler formula such that,

$$P = \frac{V_1^2}{Z} \cos \theta - \frac{V_1 V_2}{Z} \cos(\theta + \delta) \quad (3.2)$$

With the line reactance much greater than the resistance ( $X \gg R$  and  $\theta \rightarrow \frac{\pi}{2}$ ), the active power can be simplified as,

$$P = \frac{V_1 V_2}{X} \sin \delta \quad (3.3)$$

If the power angle  $\delta$  is small, then  $\sin \delta \cong \delta$ . Rewriting the Equation (3.3) gives,

$$P \cong \frac{V_1 V_2}{X} \delta \quad (3.4)$$

Linearizing (3.4) around equivalent points ( $\delta^0$ ) using Taylor series, assuming  $\delta^0 = 0$  gives,

$$\Delta P \cong \Gamma^p \cdot \Delta \delta \quad (3.5)$$

where coefficient  $\Gamma^p$  is given by

$$\Gamma^p = \frac{|V_1^0| \cdot |V_2^0|}{X} \quad (3.6)$$

The active power can be therefore controlled through regulation of the power angle. Frequency control leads to regulate the power angle and this in turn controls the real power flow (Alvaro et al. 2011). Assuming linear relationship (Emadi, A. et al. 2004) between the power angle and frequency ( $\Delta \delta = \alpha \Delta f$ ), Equation (3.5) can be rewritten as,

$$\Delta P \cong \alpha \cdot \Gamma^p \cdot \Delta f \quad (3.7)$$

This observation leads to droop characteristics such that,

$$\Delta P = -k_1 \Delta f \quad (3.8)$$

where  $k_1$  is the droop gain of the MG characteristics discussed in Chapter 2. The first order model of frequency deviation with decoupled active power deviation is obtained.

Considering the first-order measurement filters with small time constant  $T_1$ , the variables  $\Delta f_m$  which is the measured frequency is given as:

$$\Delta f_m = \frac{1}{1 + T_1 s} \Delta f \quad (3.9)$$

As illustrated in Figure 3.5, the deviations of active power of the PV-battery (i.e.  $\Delta p$ ) is added to the corresponding power produced by conventional generator (i.e. synchronous generator,  $\Delta p_{SG}$ ) in order to reduce the deviation in load power ( $\Delta p_L$ ). The power deviation  $\Delta p$  is defined as,

$$\Delta p = p - p_{ref} - p^* \quad (3.10)$$

Note that in above formulation, a high efficient converter design with negligible power losses is assumed to be used. This implies that the apparent power deviation  $\Delta P$  converges to zero in steady state when the power balance meets in islanded microgrid.

The proposed control offers both the dynamic and steady state power participation of PV generator to restore the frequency and voltage. In fact, a bigger compensation coefficient is needed to damp the initially fast power variation. In case of load increase or decrease, respectively a high positive or negative equivalent compensating signal is chosen to damp the deviation to a prescheduled rating range. On the contrary, the slow continuous power changes need smaller coefficient. On the other hand, very large values of a compensation index in steady state results in instabilities in the microgrid, while small values cause big frequency drop so that the inverter trips off. Therefore the selection of a dynamic index plays an important role in microgrid performance. This section proposes a multi-rate curve so that

a dynamic coefficient is chosen to damp both fast and slow power variation. A dead zone with zero droop is defined for the slight frequency variation, thus the microgrid is maintained at its rated power.

According to the compensation characteristic shown in Figure 3.3, the proposed curve is divided into three regions: (i) high load sharing (positive droop), (ii) rated load sharing (zero droop) and (iii) low load sharing (negative droop). At the beginning of the load increment, a fast power injection is supported by a big compensating gain (positive). It can therefore compensate the frequency fluctuation caused by the inrush load power until the deviation is maintained in an acceptable range. Then the PV generator supports the load by the small compensating index with its nominal power participation. This strategy provides enough time for conventional generators to participate into the load power sharing. On the contrary, in a condition when the load is decreasing or the generation power of the microgrid is higher than the load power demand, the negative compensating index updates the deviation references to avoid the over frequency and to maintain power balance. The strategy provides an automatic feature of load sharing for the PV generation unit. Note that the frequency and angle are estimated by phase-locked loop (PLL) module.



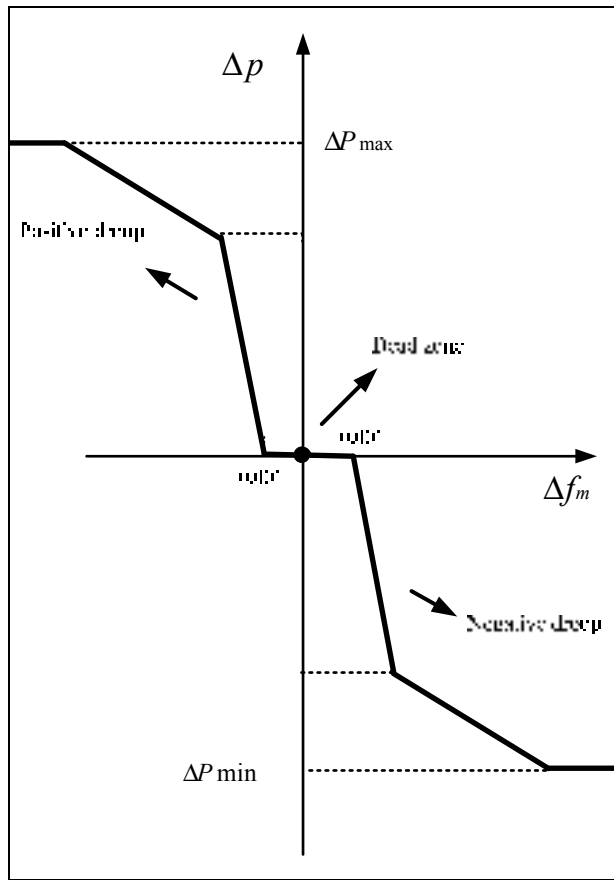


Figure 3.3 Multi-ratio compensating index  
Taken from Han, Hua, et al. (2016)

A three-phase DC-AC inverter which connects the DC bus to the AC bus at the point of common coupling (PCC) is illustrated in Figure 3.4.

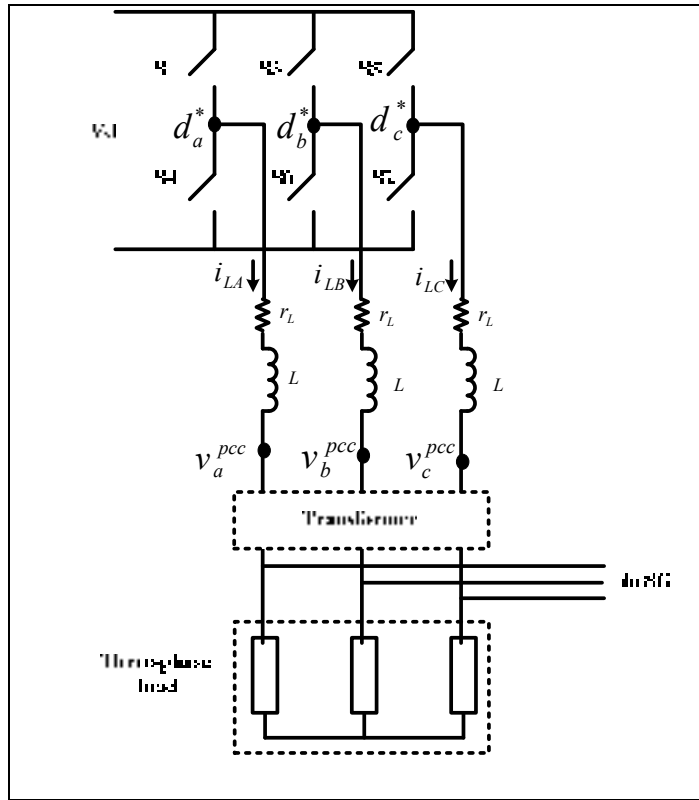


Figure 3.4 Three-phase inverter

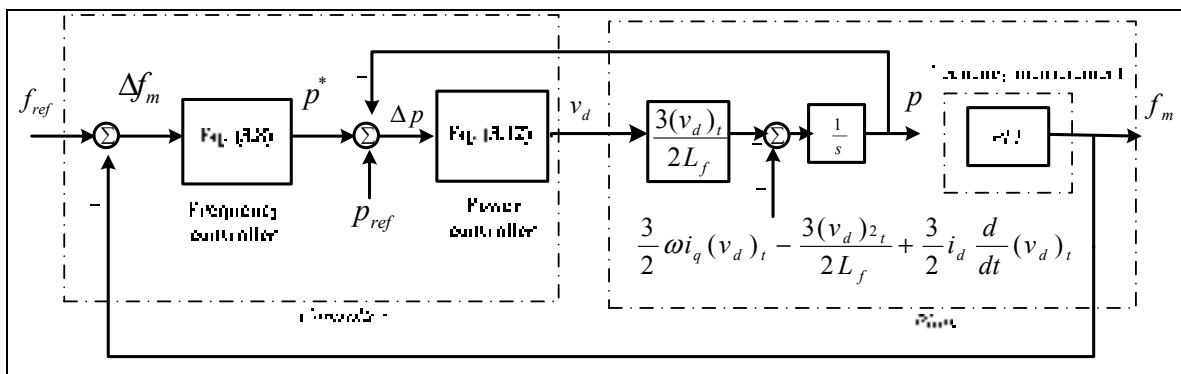


Figure 3.5 Control structure for inverter; frequency loop control with inner power loop control

### Power controller design:

To maintain the ac power generated by PV-battery generator close to its reference value, the output power dynamics are required. The dynamics for the active power have the following expression,

$$\frac{dp}{dt} = \frac{3}{2}(v_d)_t \frac{d}{dt} i_d + \frac{3}{2} i_d \frac{d}{dt} (v_d)_t \quad (3.11)$$

$$\frac{dp}{dt} = \frac{3}{2}(v_d)_t \left[ \omega i_q + \frac{v_d}{L_f} - \frac{(v_d)_t}{L_f} \right] + \frac{3}{2} i_d \frac{d}{dt} (v_d)_t$$

These power dynamics are nonlinear. Therefore, the following power control law is proposed.

$$v_d = \frac{2L_f}{3(v_d)_t} \left[ -k_p(p - p_{ref} + p^*) - \frac{3}{2}(v_d)_t \left[ \omega i_q - \frac{(v_d)_t}{L_f} \right] - \frac{3}{2} i_d \frac{d}{dt} (v_d)_t \right] \quad (3.12)$$

The parameter  $k_p$  is positive gain. Substituting (3.12) into (3.11) gives the following closed loop dynamics.

$$\frac{dp}{dt} = -k_p(p - p_{ref} + p^*) \quad (3.13)$$

The system represented by (3.13) is stable for any positive gain. The variable  $p_{ref}$  is the reference value for the active power. The reference variable  $p^*$  is modified by the frequency control module when the frequency deviation becomes large.

### Terminal voltage controller design:

To maintain the terminal voltage close to its reference value, the following variable which represents the integral of the voltage deviation is introduced.

$$\Omega_v = \int_0^t (v_s - v_s^{ref}) d\tau \quad (3.14)$$

The dynamics for this new variable have the following expression when the power expression is substituted.

$$\frac{d\Omega_v}{dt} = (v_d)_s - L_s \omega i_q - v_s^{ref} \quad (3.15)$$

Equation (3.15) is used to find the value of the q-axis current so that the terminal voltage converges to its reference value. To facilitate the design, the following error variable is given,

$$\Delta i_q = i_q - i_q^* \quad (3.16)$$

Substituting (3.16) into (3.15) gives the following dynamics,

$$\frac{d\Omega_v}{dt} = (v_d)_s - L_s \omega i_q^* - L_s \omega \Delta i_q - v_s^{ref} \quad (3.17)$$

The value that the inductor current must have so that the error dynamics converge to zero has the following expression,

$$i_q^* = -\frac{1}{L_s \omega} \{-k_{\Omega_v} \Omega_v - (v_d)_s + v_s^{ref}\} \quad (3.18)$$

The parameter  $k_{\Omega_v}$  is positive gain. Substituting (3.18) into (3.17) gives the following closed loop dynamics.

$$\frac{d\Omega_v}{dt} = -k_{\Omega_v}\Omega_v - L_s\omega\Delta i_q \quad (3.19)$$

The objective is to find the input value  $v_q$  so that  $i_q$  converges to its reference value  $i_q^*$ . The error dynamics have the following expression,

$$\frac{d}{dt}\Delta i_q = -\omega i_d + \frac{1}{L_f}v_q - \frac{d}{dt}i_q^* \quad (3.20)$$

This equation is used to find the expression for the control input so that the current error dynamics converge to zero as,

$$v_q = L_f \left\{ -k_{i_q}\Delta i_q + L_s\omega\Omega_v + \omega i_d + \frac{d}{dt}i_q^* \right\} \quad (3.21)$$

The parameter  $k_{i_q}$  is positive gain. Substituting (3.21) into (3.20) gives the following closed loop dynamics.

$$\frac{d}{dt}\Delta i_q = -k_{i_q}\Delta i_q + L_s\omega\Omega_v \quad (3.22)$$

It is simple to prove that the closed loop system which includes the recursive voltage and current controllers is stable. The closed loop dynamics have the following form:

$$\frac{d\Omega_v}{dt} = -k_{\Omega_v}\Omega_v - L_s\omega\Delta i_q \quad (3.23)$$

$$\frac{d}{dt}\Delta i_q = -k_{i_q}\Delta i_q + L_s\omega\Omega_v$$

The following positive definite Lyapunov function is used:

$$V = \Omega_v^2 + \Delta i_q^2 \quad (3.24)$$

The derivative of this Lyapunov function has the following expression

$$\dot{V} = -2k_{\Omega_v}\Omega_v^2 - 2k_{i_q}\Delta i_q^2 \quad (3.25)$$

The closed loop system (3.23) is therefore asymptotically stable since the (3.25) is negative definite. As a consequence error signals converge asymptotically to zero. Figure 3.6 demonstrates the structure of the suggested cascaded terminal voltage control based on backstepping control method (Okou 2012).

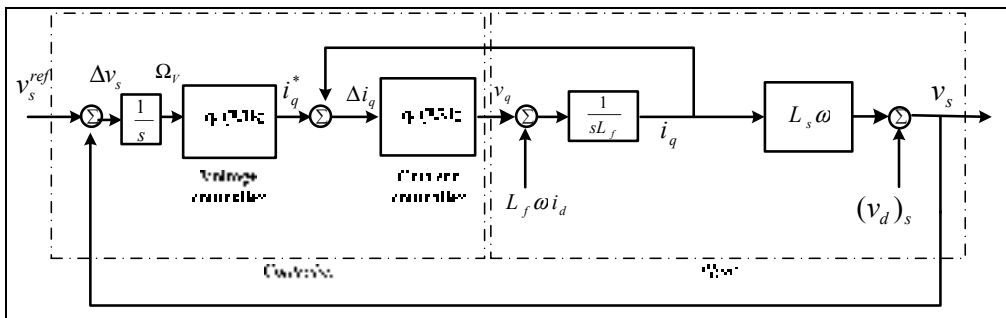


Figure 3.6 Control structure for inverter ( $v_q$ ); terminal voltage loop control with inner current loop control

### 3.2.2 Battery converter control

A backup system is considered for the proposed microgrid system to reserve power when the load demand is lower than the generation and to support the microgrid when more generation power is requested. On the other hand, to avoid the mismatching power between generation and demand, it is important to use a battery in the microgrid design rather than load dumping approaches. A DC-DC converter is used to connect the battery to the rest of the microgrid system at DC bus connection (Figure 3.7).

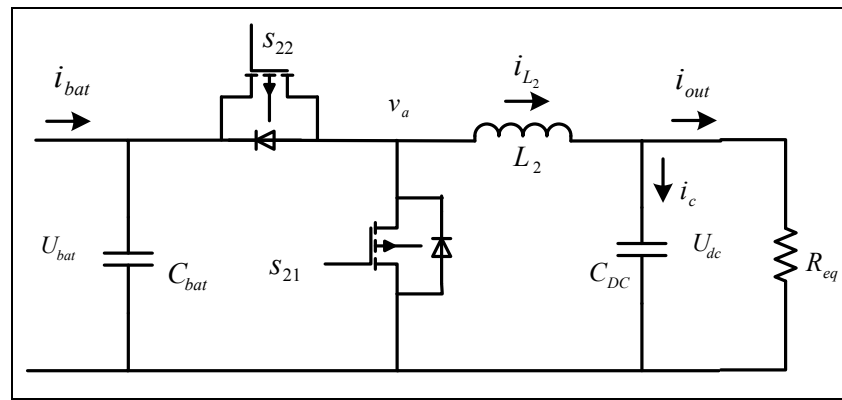


Figure 3.7 Bidirectional DC-DC converter

This section presents a control approach to charge and discharge the battery while contributing into the frequency and voltage regulation introduced in previous section. Figure 3.8 demonstrates a cascaded battery controller consisting of an outer DC bus voltage regulator and an inner current regulator. The goal to maintain the power balance at DC bus is obtained by regulating the DC bus voltage. The dc-dc converter model as presented in chapter 2 is:

$$C_{DC} \frac{dU_{DC}}{dt} = i_D - i_{L_2} - i_{DC} \quad (3.26)$$

$$L_2 \frac{di_{L_2}}{dt} = U_{DC} - U_{bat} S_2$$

**U<sub>dc</sub> controller design:**

The Equation (3.26) is used to design this controller. The objective is to find the value the inductor current  $i_{L_2}$  must have so that the DC bus voltage  $U_{DC}$  converges to its reference value  $U_{dc}^*$ . The following error variable is therefore defined to facilitate the design.

$$\begin{aligned}\Delta U_{DC} &= U_{DC} - U_{dc}^* \\ \Delta i_{L_2} &= i_{L_2} - i_{L_2}^*\end{aligned}\quad (3.27)$$

which  $i_{L_2}$ ,  $i_{L_2}^*$  and  $\Delta i_{L_2}$  are inductor current, its reference and error dynamics. The error dynamics  $\Delta U_{DC}$  have the following expression,

$$\Delta \dot{U}_{DC} = \frac{1}{C_{DC}} i_D - \frac{1}{C_{DC}} i_{L_2} - \frac{1}{C_{DC}} i_{DC} \quad (3.28)$$

The value that the inductor current must have so that the error dynamics converge to zero has the following expression,

$$i_{L_2}^* = -C_{DC} \left\{ -k_{p1}^V \Delta U_{DC} - \left( \frac{1}{C_{DC}} i_D - \frac{1}{C_{DC}} i_{DC} \right) \right\} \quad (3.29)$$

The parameter  $k_{p1}^V$  is positive gain. Substituting (3.29) into (3.28) and considering  $i_{L_2} = \Delta i_{L_2} + i_{L_2}^*$  gives the following closed loop dynamics.

$$\Delta \dot{U}_{DC} = -k_{p1}^V \Delta U_{DC} - \frac{1}{C_{DC}} \Delta i_{L_2} \quad (3.30)$$



The system represented by (3.30) is stable for any positive gain. The controller output will become the input of the inductor current controller. Next section discusses how this controller equation is obtained.

**$i_{L_2}$  controller design:**

Equation (3.26) is used for the design. The objective of the controller is to maintain the inductor current  $i_{L_2}$  to its reference  $i_{L_2}^*$  which is obtained from the previous control module. The following error variable is defined to facilitate the design.

$$\Delta i_{L_2} = i_{L_2} - i_{L_2}^* \quad (3.31)$$

The error dynamics have the following expression,

$$\Delta \dot{i}_{L_2} = \frac{1}{L_2} U_{DC} - \frac{1}{L_2} U_{bat} s_2 - \dot{i}_{L_2}^* \quad (3.32)$$

The controller equation is deduced from Equation (3.32). It has the following form,

$$s_2 = \frac{L_2}{U_{bat}} [k_{p1}^I \cdot \Delta i_{L_2} - \frac{1}{C_{DC}} \Delta U_{DC} + \frac{1}{L_2} U_{DC} - \dot{i}_{L_2}^*] \quad (3.33)$$

The parameter  $k_{p1}^I$  is positive gain. Substituting (3.33) into (3.32) gives the following closed loop dynamics.

$$\Delta \dot{i}_{L_2} = -k_{p1}^I \cdot \Delta i_{L_2} + \frac{1}{C_{DC}} \Delta U_{DC} \quad (3.34)$$

It is simple to prove that the closed loop system which includes the recursive voltage and current controllers is stable. The closed loop dynamics have the following form:

$$\Delta \dot{i}_{L_2} = -k_{p1}^l \cdot \Delta i_{L_2} + \frac{1}{C_{DC}} \Delta U_{DC} \quad (3.35)$$

$$\Delta \dot{U}_{DC} = -k_{p1}^v \Delta U_{DC} - \frac{1}{C_{DC}} \Delta i_{L_2}$$

The following positive definite Lyapunov function is used:

$$V = \Delta U_{DC}^2 + \Delta i_{L_2}^2 \quad (3.36)$$

The derivative of this Lyapunov function has the following expression

$$\dot{V} = -2k_{p1}^v \Delta U_{DC}^2 - 2k_{p1}^l \Delta i_{L_2}^2 \quad (3.37)$$

The closed loop system (3.35) is therefore asymptotically stable since the (3.37) is negative definite. As a consequence error signals converge asymptotically to zero. When the voltage at DC bus is high, the controller reduces its discharging power by reducing the current reference and vice versa. As this voltage controller provides the reference for the current controller, the outer voltage controller should be slower than the inner current controller. Note that in Figure 3.8  $R_{eq}^{batt}$  is defined as,

$$i_{eq}^{batt} = \frac{U_{DC}}{R_{eq}^{batt}} = i_{DC} - i_D \quad (3.38)$$

A "seamless" charging-discharging mechanism of the proposed bidirectional dc-dc converter is based on dc bus voltage regulation. If  $P_{pv} > P_{load}$  the converter controller turns to

charging mode that is  $s_{22} = 0$  and  $s_{21}$  is equal to modulation of  $v_a$  which is the controller output. If  $P_{pv} < P_{load}$ , the converter controller turns to discharging mode that is  $s_{21} = 0$  and  $s_{22}$  is equal to modulation of  $v_a$  which is the controller output. On the other hand this leads to energy balance at the dc bus.

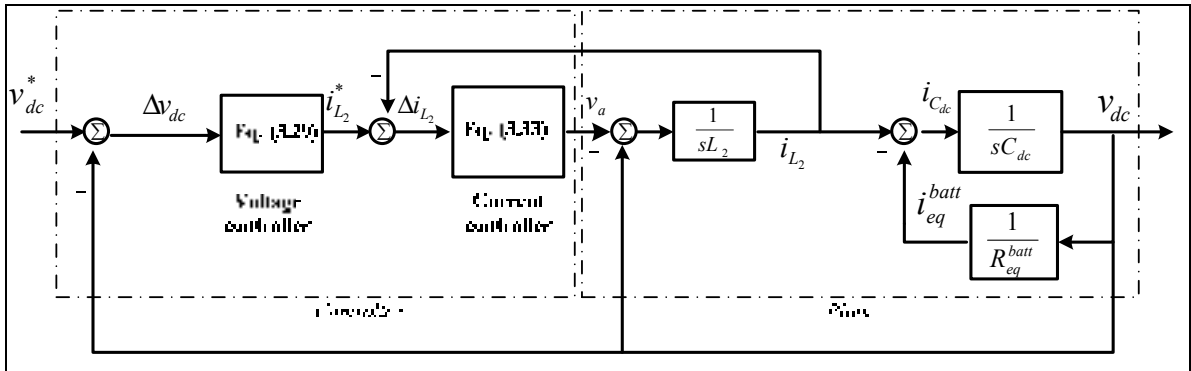


Figure 3.8 Control structure for battery converter; Voltage loop control with inner current loop control

### 3.2.3 Photovoltaic converter control

Because of the nonlinear characteristics of the PV array system and the intermittent condition of the weather, the PV optimal operating point is unknown and it should be estimated using maximum power point tracker (MPPT). This process is conducted simultaneously with a controller (a cascaded regulator) to change the duty cycle which commands the DC-DC converter connected to the PV array. Figure 3.9 shows the PV converter diagram.

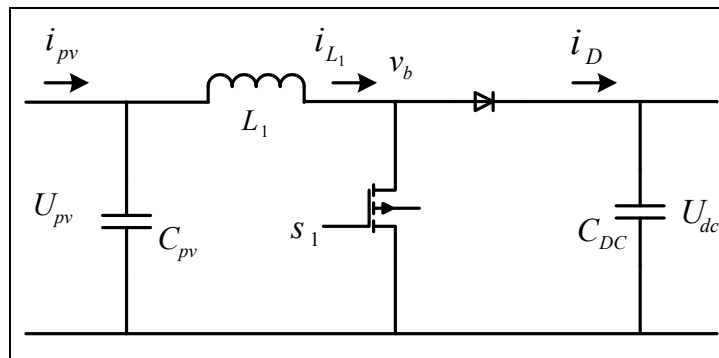


Figure 3.9 Photovoltaic dc-dc converter

As presented in chapter 2, the model of dc-dc converter is given,

$$\frac{dU_{PV}}{dt} = -\frac{1}{C_{PV}}i_{L_1} + \frac{1}{C_{PV}}i_{PV} \quad (3.39)$$

$$\frac{di_{L_1}}{dt} = \left(\frac{1}{L_1}U_{PV} - \frac{1}{L_1}U_{DC}\right) + \frac{U_{DC}}{L_1}s_1$$

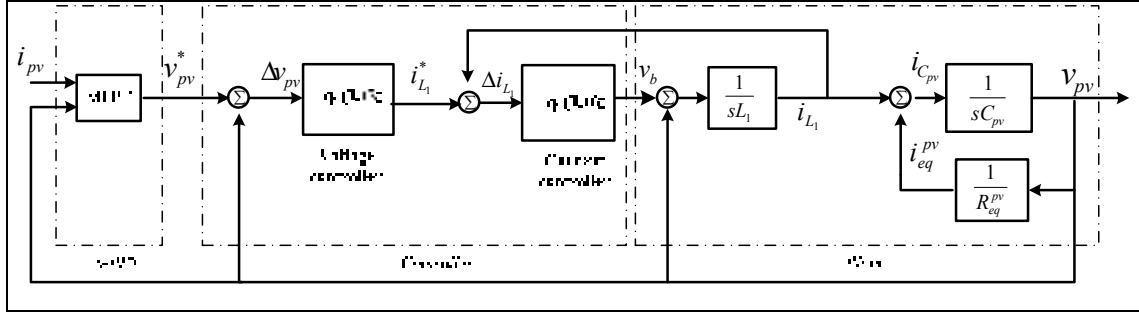


Figure 3.10 PV converter control; Maximum power point tracker (MPPT), voltage loop control with inner current loop control

### $U_{pv}$ controller design:

The Equation (3.39) is used to design this controller. The objective is to find the value the inductor current  $i_{L_1}$  must have so that the PV voltage  $U_{pv}$  converges to its reference value  $U_{pv}^*$  given by MPPT module. The following error variable is therefore defined to facilitate the design.

$$\Delta U_{pv} = U_{pv} - U_{pv}^* \quad (3.40)$$

$$\Delta i_{L_1} = i_{L_1} - i_{L_1}^*$$

which  $i_{L_1}$ ,  $i_{L_1}^*$  and  $\Delta i_{L_1}$  are inductor current, its reference and error dynamics. The error dynamics  $\Delta U_{pv}$  have the following expression,

$$\Delta \dot{U}_{pv} = -\frac{1}{C_{PV}} i_{L_1} + \frac{1}{C_{PV}} i_{pV} - \dot{U}_{pv}^* \quad (3.41)$$

The value that the inductor current must have so that the error dynamics converge to zero has the following expression,

$$i_{L_1}^* = -C_{pv} [-k_{p2}^V \cdot \Delta U_{pv} - \frac{1}{C_{PV}} i_{pv} + \dot{U}_{pv}^*] \quad (3.42)$$

The parameter  $k_{p2}^V$  is positive gain. Substituting (3.42) into (3.41) and considering  $i_{L_1} = \Delta i_{L_1} + i_{L_1}^*$  give the following closed loop dynamics.

$$\Delta \dot{U}_{pv} = -k_{p2}^V \cdot \Delta U_{pv} - \frac{1}{C_{PV}} \Delta i_{L_1} \quad (3.43)$$

The controller output will become the input of the inductor current controller. Next sections discuss how this controller equation is obtained.

### **$i_{L_1}$ controller design:**

Equation (3.39) is used for the design. The objective of the controller is to maintain the inductor current  $i_{L_1}$  to its reference  $i_{L_1}^*$  which is obtained from the previous control module. The following error variable is defined to facilitate the design.

$$\Delta i_{L_1} = i_{L_1} - i_{L_1}^* \quad (3.44)$$

The error dynamics have the following expression,

$$\Delta \dot{i}_{L_1} = \left( \frac{1}{L_1} U_{PV} - \frac{1}{L_1} U_{DC} \right) + \frac{U_{DC}}{L_1} s_1 - \frac{d}{dt} i_{L_1}^* \quad (3.45)$$

The controller equation is deduced from Equation (3.45). It has the following form,

$$s_1 = \frac{L_1}{U_{DC}} \left[ -k_{p2}^I \cdot \Delta i_{L_1} + \frac{1}{C_{PV}} \Delta U_{pv} - \left( \left( \frac{1}{L_1} U_{PV} - \frac{1}{L_1} U_{DC} \right) - \frac{d}{dt} i_{L_1}^* \right) \right] \quad (3.46)$$

The parameter  $k_p^V$  is positive gain. Substituting (3.46) into (3.45) gives the following closed loop dynamics.

$$\Delta \dot{i}_{L_1} = -k_{p2}^I \cdot \Delta i_{L_1} + \frac{1}{C_{PV}} \Delta U_{pv} \quad (3.47)$$

It is simple to prove that the closed loop system which includes the recursive voltage and current controllers is stable. The closed loop dynamics have the following form:

$$\Delta \dot{i}_{L_1} = -k_{p2}^I \cdot \Delta i_{L_1} + \frac{1}{C_{PV}} \Delta U_{pv} \quad (3.48)$$

$$\Delta \dot{U}_{pv} = -k_{p2}^V \cdot \Delta U_{pv} - \frac{1}{C_{PV}} \Delta i_{L_1}$$

The following positive definite Lyapunov function is used:

$$V = \Delta U_{pv}^2 + \Delta i_{L1}^2 \quad (3.49)$$

The derivative of this Lyapunov function has the following expression

$$\dot{V} = -2k_{p2}^V \Delta U_{pv}^2 - 2k_{p2}^I \Delta i_{L1}^2 \quad (3.50)$$

The closed loop system (3.48) is therefore asymptotically stable since the (3.50) is negative definite. As a consequence error signals converge asymptotically to zero. Note that in Figure 3.10  $R_{eq}^{pv}$  is defined as,

$$i_{eq}^{pv} = \frac{U_{DC}}{R_{eq}^{pv}} \quad (3.51)$$

#### **$v_{pv}^*$ MPPT design:**

A conventional MPPT (Hua, Chihchiang et al. 1998) is chosen in this chapter to guarantee that the PV array operates at the optimal voltage while delivers its peak power. The perturb and observe (P&O) method is probably the most often used MPPT algorithm today, due to its simplicity and generic nature. It is based on the fact that the derivative of power in function of voltage is zero at MPP. At an operating point on the P–V curve, if the operating voltage of the PV array is perturbed in a given direction and  $\Delta P_{pv} > 0$ , it is known that the perturbation moved the array's operating point toward the MPP. The P&O algorithm would then continue to perturb the PV array voltage in the same direction. If  $\Delta P_{pv} < 0$ , then the change in operating point moved the PV array away from the MPP, and the P&O algorithm reverses the direction of the perturbation. Figure 3.11 demonstrates the P&O operation. Note that  $k$  and  $k-1$  are the actual and previous sampling instances respectively. Since the P&O technique is

based on sequential perturbation, unwanted disturbance or noise on sensors could confuse this MPPT. To avoid this problem, a very small threshold  $\eta$  is considered in this MPPT design. As known, the operating point oscillates around the MPP with amplitude of  $C$  (see Figure 3.11). The output of this  $U_{pv}$ -reference generator becomes the input of the  $U_{pv}$ -controller whose design was presented in the previous sections.

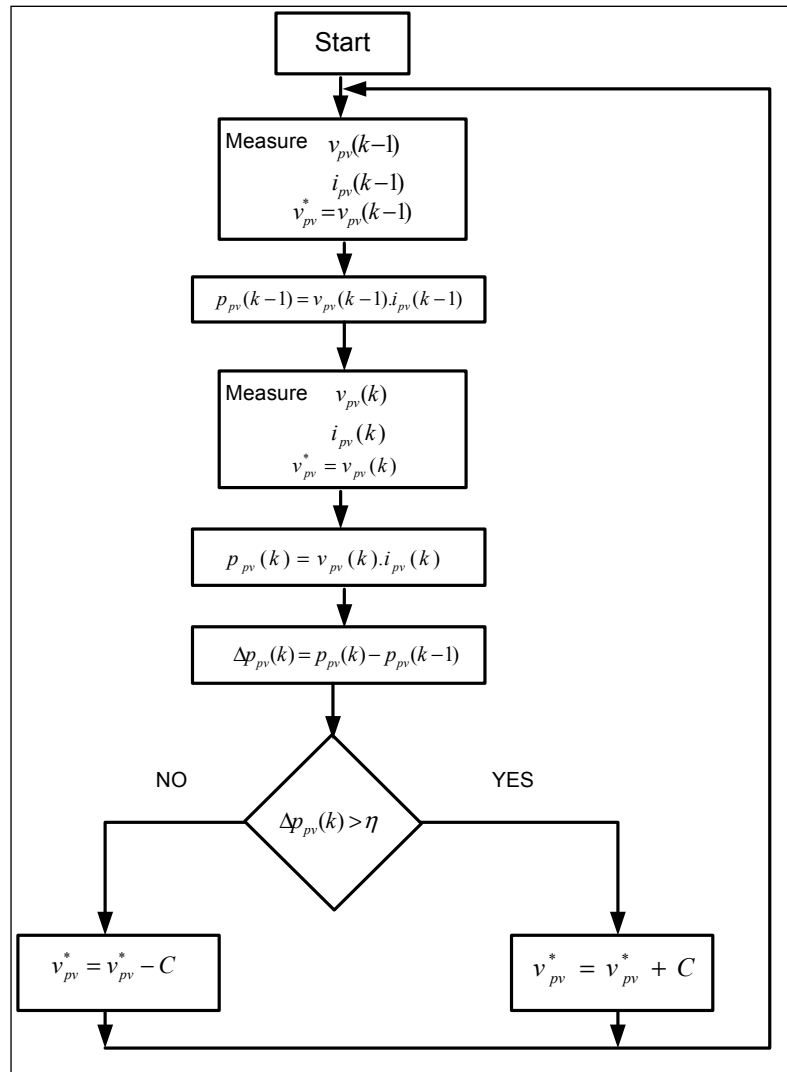


Figure 3.11 Flowchart of the MPPT based on P&O method



### 3.3 Results and Discussion

To validate the proposed control system, a microgrid is simulated in MATLAB/SIMULINK using its detailed model. Considering the diagram illustrated in Figure 3.1, the microgrid includes a synchronous generator, photovoltaic array generator, battery unit and load. The system parameters are presented in Table 3.1. Several scenarios with changes of loads and insolation are considered in this study in order to examine the performance of the control system with frequency and voltage control of microgrid and the load sharing capability between DC and AC microgrid. The synchronous generator is assumed to have its own speed governor as well as automatic voltage regulator (AVR).

Both active and reactive power of the load increase 20% at time 100s to evaluate the performance of the frequency and voltage controller.

The amplitude of AC bus voltage at the point of common coupling, PCC is controlled as shown in Figures 3.12 during adding of the load power (time 100s). Figure 3.12 shows that the terminal voltage starts to deviate during a transient time 0.8 s after load disturbance at time 100 s but it maintains at its nominal set point by contribution of reactive power (see Figure 3.14) from both PV and SG.

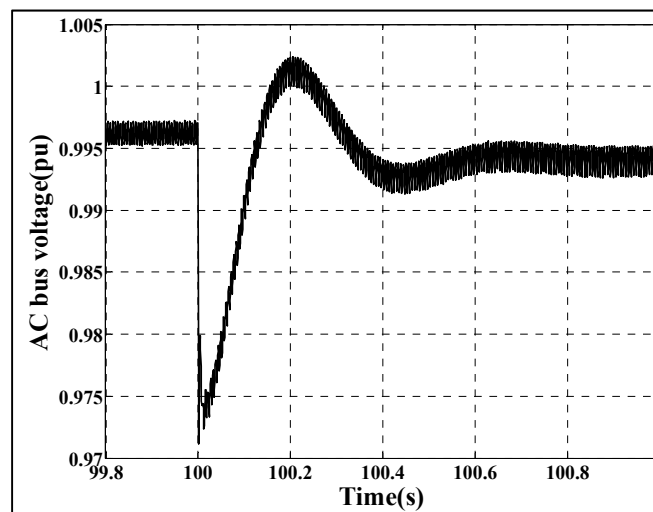


Figure 3.12 Terminal voltage performances under load increment

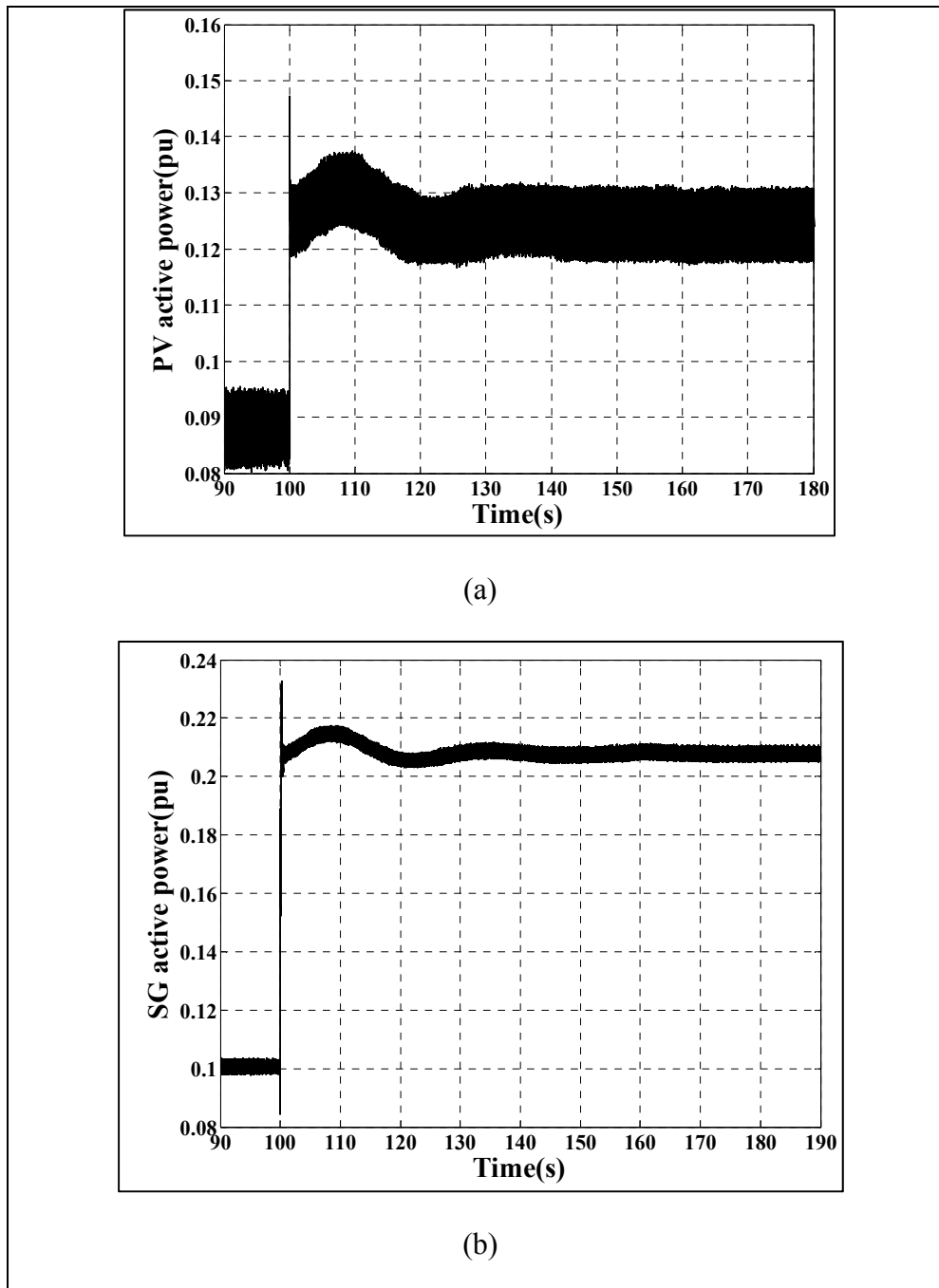


Figure 3.13 Active powers variation; hybrid PV & battery (a), synchronous generator (b) under load increment

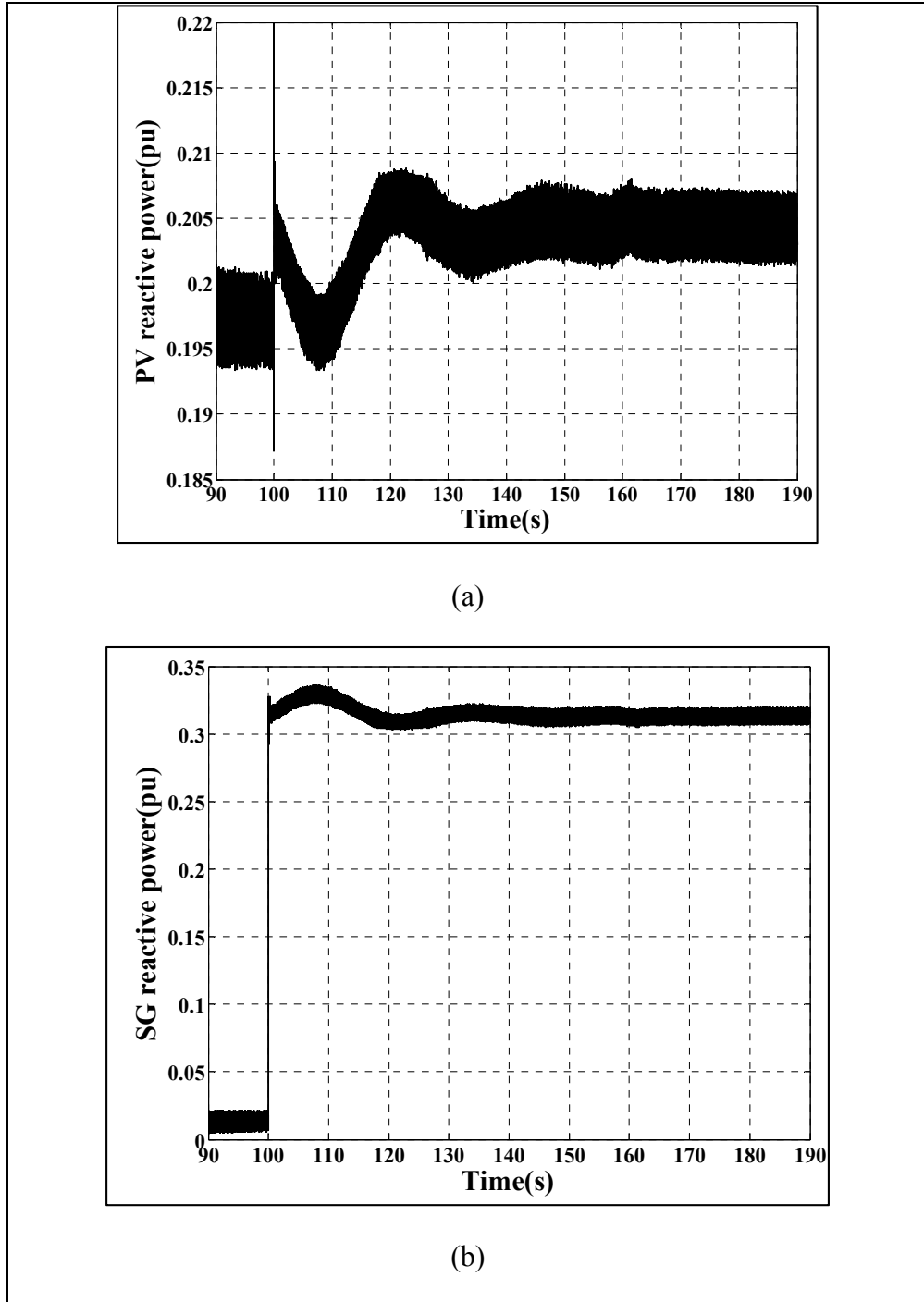


Figure 3.14 reactive powers variation; hybrid PV & battery (a), Synchronous generator (b) under load increment

The generators (PV and SG) increase their output power, both active and reactive power to support the load active and reactive power increment respectively (see Figure 3.13 and Figure 3.14). This guarantees the power sharing between different generators.

Figure 3.15 confirms that the frequency is regulated during the increment of load power at time 100s. Figure 3.15 shows that the frequency starts to deviate after load disturbance at time 100 s but it maintains at its nominal set point by contribution of active power (see Figure 3.13) from both PV and SG. Therefore this test shows that PV generator participates into frequency regulation as that of synchronous generator.

Figure 3.16 shows the droop control output  $p^*$  which modifies the power reference of the power control module. It is seen that the small frequency variation is neglected by zero droop. Larger variation is augmented and added to the power reference. Figure 3.17 indicates that control efforts  $v_d$  and  $v_q$  are bounded during this scenario (load step up).

Figure 3.18 shows the current  $i_d$  and  $i_q$  during the increase in load power to participate in active and reactive power regulation. Figure 3.19 shows the total dc current by PV-battery generator is increased to support frequency and voltage regulation. The energy balance at dc link is observed in figure 3.20(a). The control effort  $s_2$  shown in Figure 3.20(b) is bounded during the disturbance.

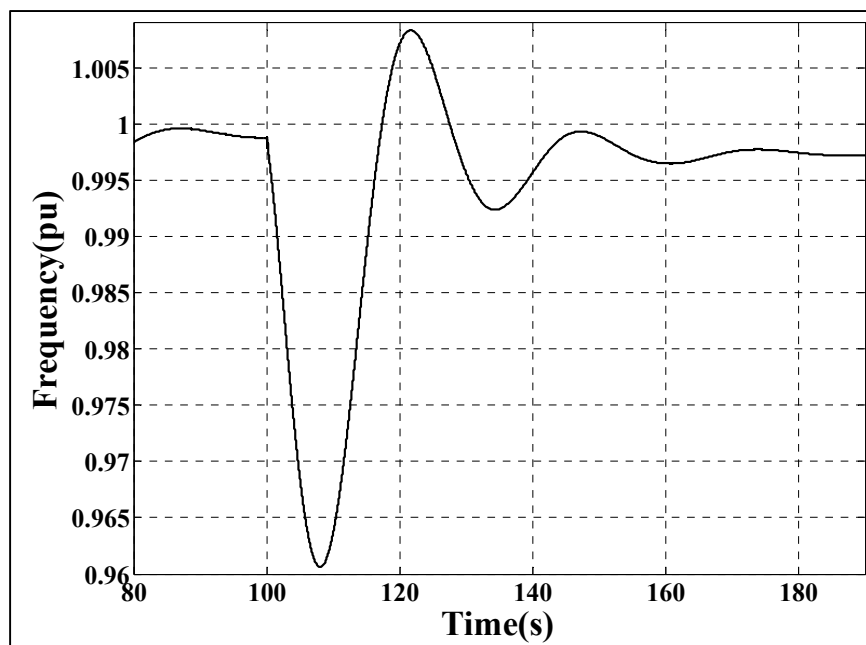
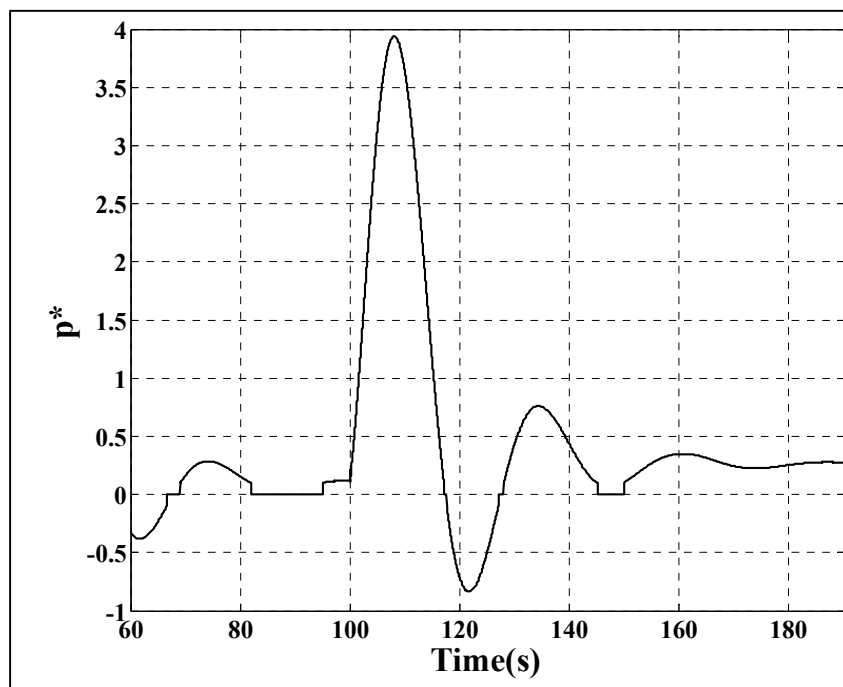
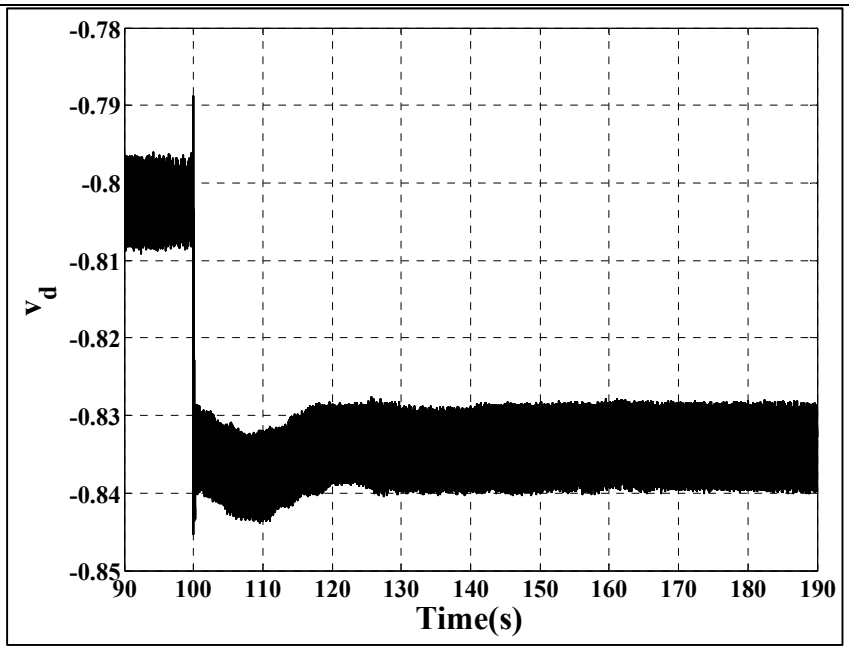
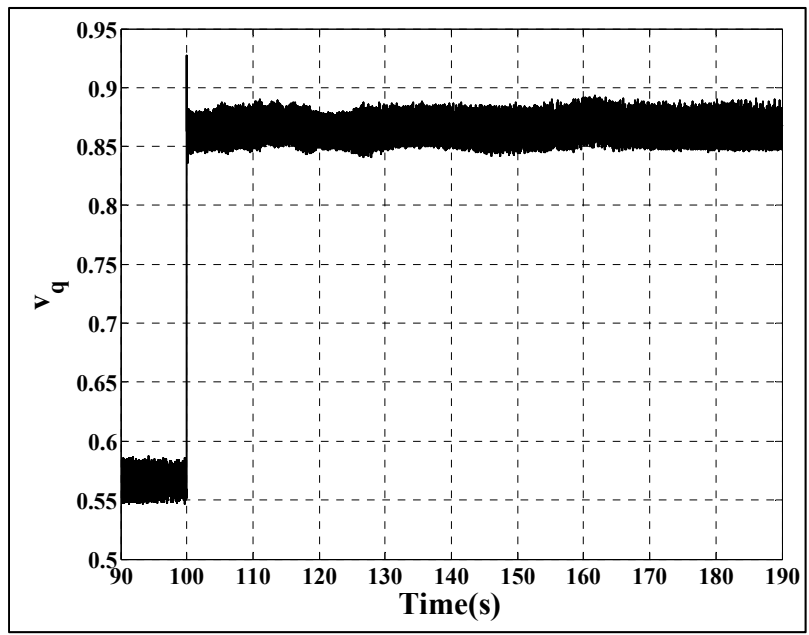


Figure 3.15 Frequency performance

Figure 3.16 Droop performance  $p^*$

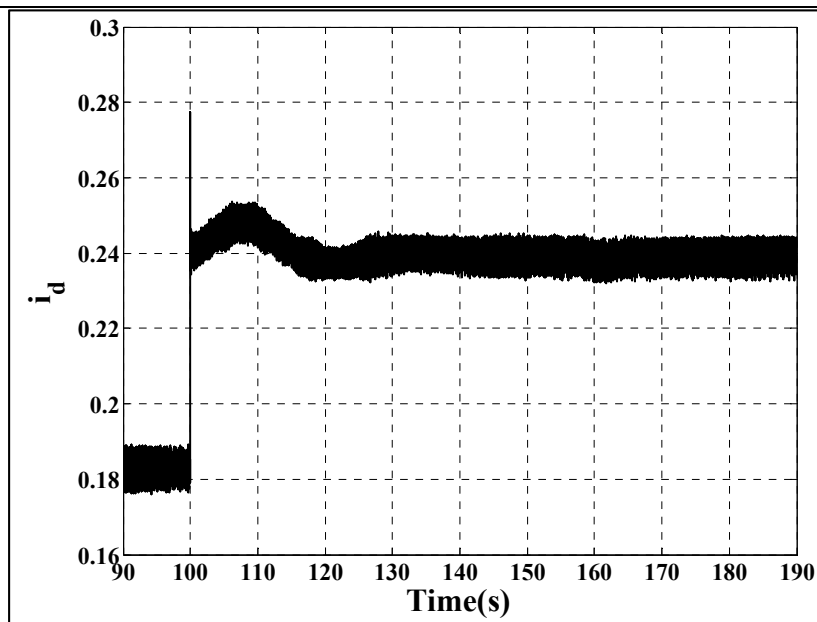


(a)

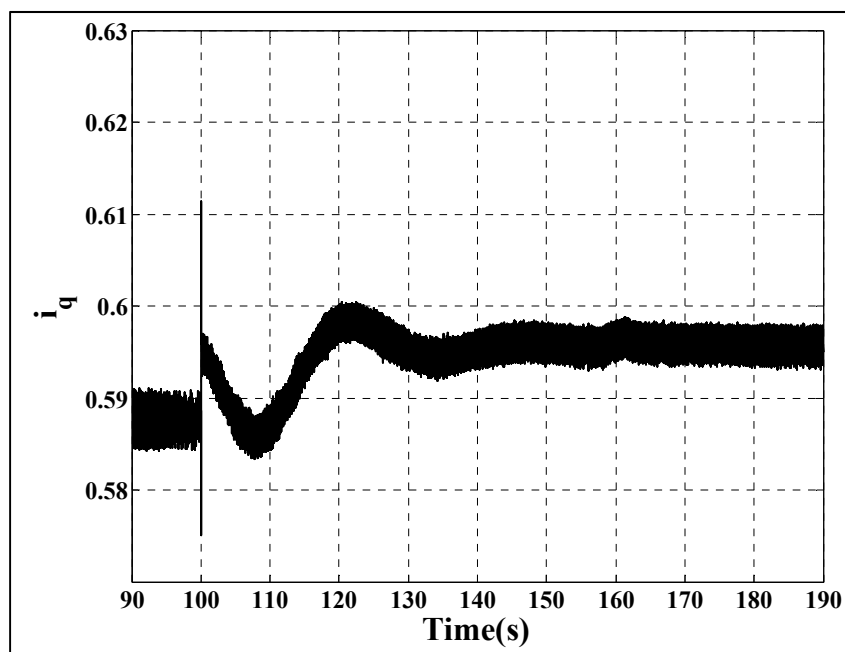


(b)

Figure 3.17 Control effort during load step up (a)  $v_d$  (b)  $v_q$



(a)



(b)

Figure 3.18 PV-battery a.c. current; (a)  $i_d$ ; (b)  $i_q$

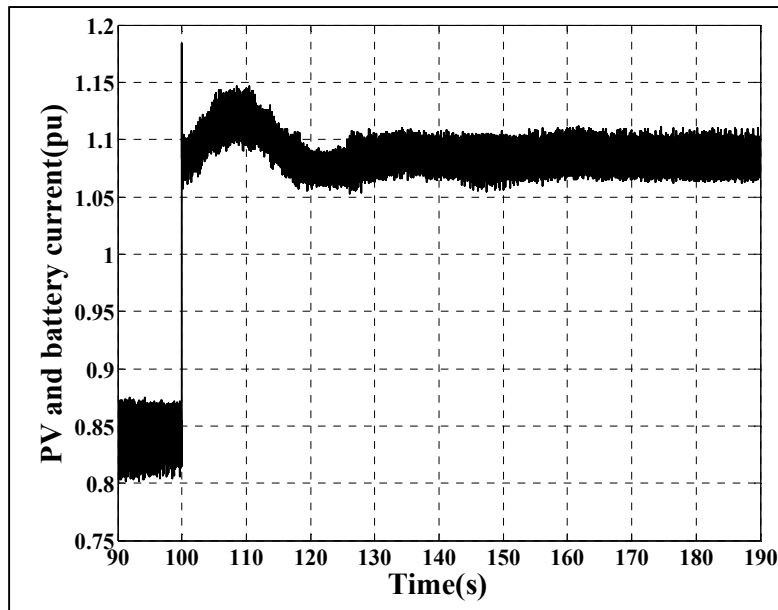
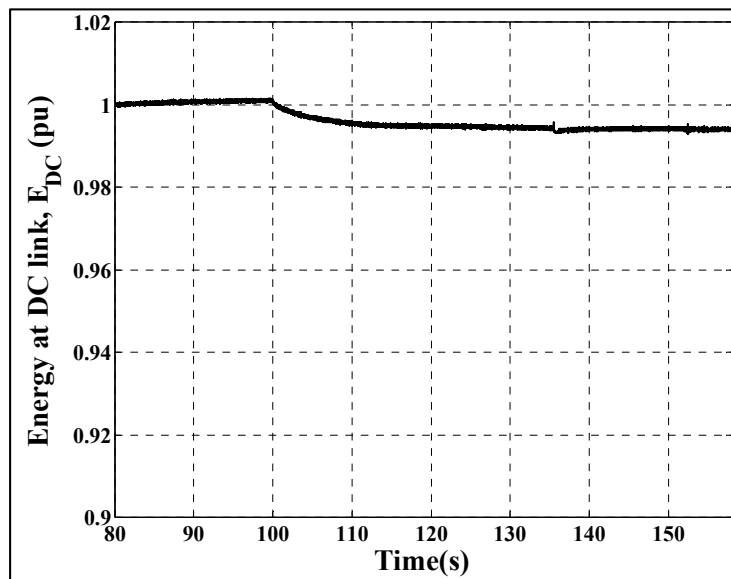


Figure 3.19 PV-battery d.c. current

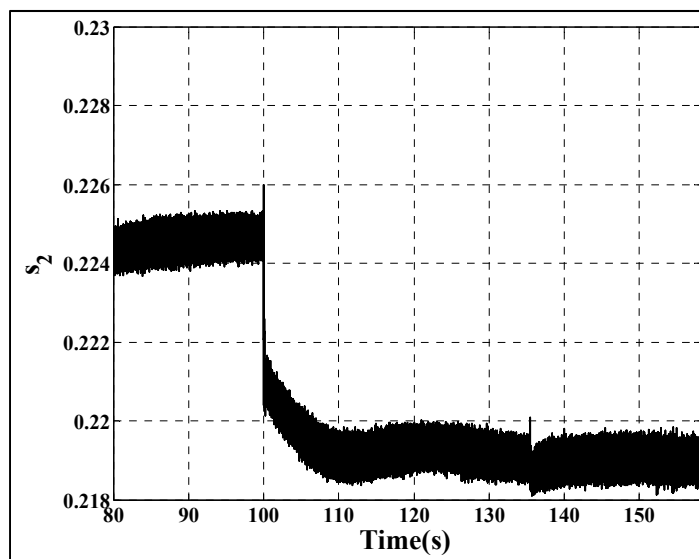
In addition, to test the PV performance in tracking the efficient power (maximum power point tracking, MPPT) an insolation step change is applied to the system ( $1000\text{w/m}^2$  to  $600\text{w/m}^2$ ) at times 96s and ( $600\text{w/m}^2$  to  $1000\text{w/m}^2$ ) 96.5s. The PV power is tracked as shown in Figure 3.21 (a) under applied insolation scenario. Figure 3.21 (b) shows the tracking of PV voltage  $v_{pv}$ . Small oscillation is seen on the tracking power due to the drawback of P&O MPPT during fast insolation changes.

Figure 3.21 (c) demonstrates that the battery power compensates the load power under PV power changes. The load power sharing is therefore provided by both PV-battery power even under insolation changes.





(a)



(b)

Figure 3.20 Energy performance under load change (a)  $E_{DC}$ ; (b)  $s_2$

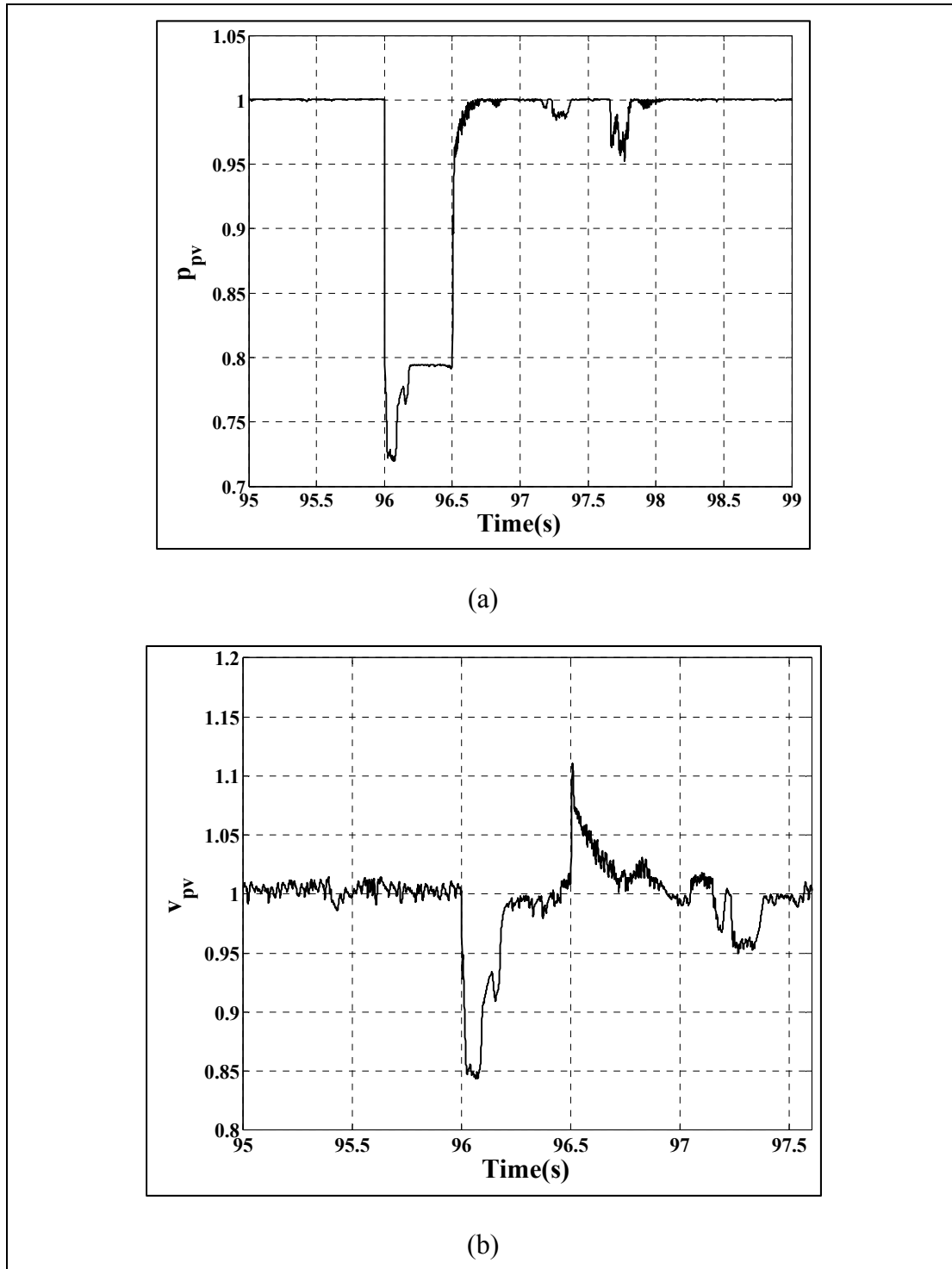


Figure 3.21 MPPT algorithm performance; (a) PV power; (b) PV voltage

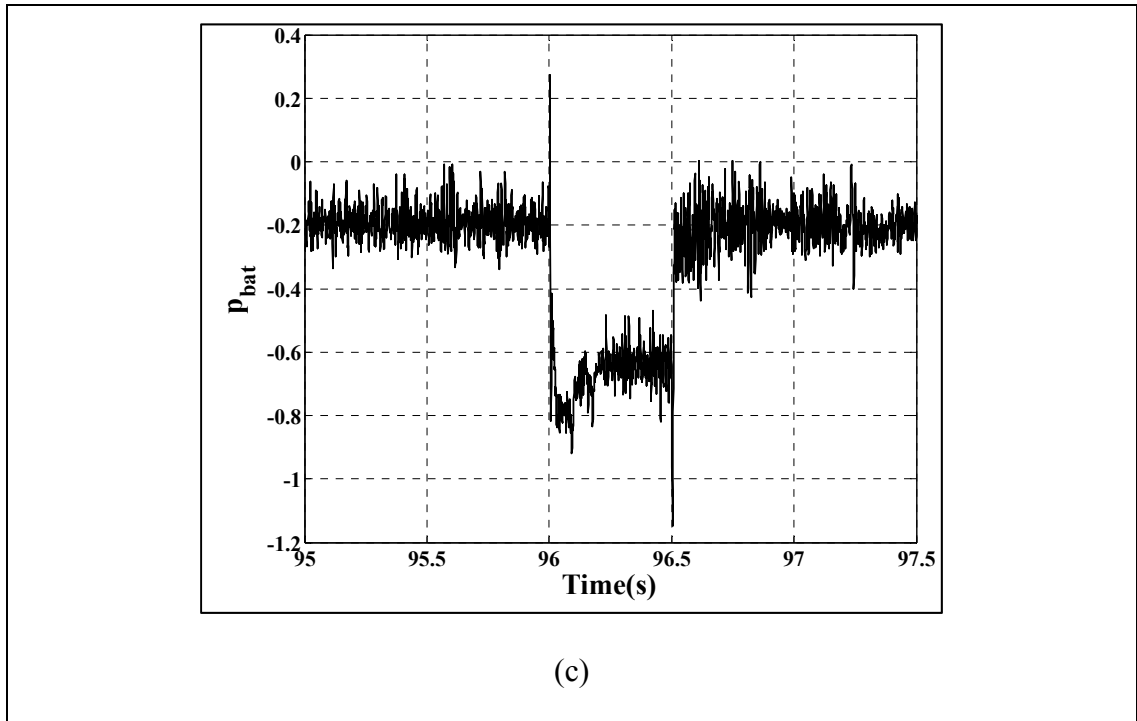


Figure 3.21 MPPT algorithm performance; (c) battery power

Table 3.1 MG parameters used for simulation

MG Parameters	Value
PV terminal capacitor, $C_{pv}$	$590 \mu F$
Battery terminal capacitor, $C_{bat}$	$590 \mu F$
DC link capacitor, $C_{DC}$	$1320 \mu F$
Boost converter inductance, $L_1$	$1 mH$
Battery boost converter inductance, $L_2$	$1 mH$
Filtering inductance, $L_f$	$1 mH$
Nominal frequency, $f_n$	$60 Hz$
Switching frequency, $f_{sw}$	$10 kHz$

Microgrid rated power, $P_n$	540 W
Microgrid rated voltage, $V_n$	120 V
STC PV power rating, $P_{MPP}$	140 W
Speed governor gain, $k$	0.0017
AVR gain	0.0011
$k_{\Omega_v}$	100
$k_{i_q}$	5000
$k_{p1}^I$	10000
$k_{p1}^V$	1100
$k_{p2}^I$	10000
$k_{p2}^V$	1000

### 3.4 Conclusion

This chapter proposed an islanded microgrid consisting of a PV array, a battery storage and a synchronous generator. A classical cascaded control approach based on backstepping control with a group of cascaded control loops was proposed in order for the microgrid to participate into: (i) voltage and frequency support, (ii) battery control and (iii) PV control. A classical droop characteristics are suggested for frequency regulation along with the active power control. The charging or discharging is made by implementing a simple algorithm based on the state of the PV and load power. In addition an efficient load sharing was guaranteed. The microgrid was validated using simulation with different scenarios such as static load power variation (both active and reactive power) as well as insolation changes. The results show good performance of proposed controller in frequency and voltage control.

## CHAPTER 4

### NONLINEAR CONTROL OF MICROGRID

#### 4.1 Introduction

This chapter presents the design and implementation of a unified multivariable nonlinear controller for a hybrid PV/battery system in a microgrid with two sources, as shown in Figure 4.1 consisting of a hybrid photovoltaic-battery source and a conventional synchronous generator, equipped with its own Automatic Voltage Regulator (AVR) and speed governor. This nonlinear controller (i.e. exact input-output feedback linearization) is designed using the exact nonlinear model suggested in chapter 2. The main contributions of the suggested nonlinear controller are to ensure high performance voltage and frequency regulation in the presence of fluctuations and load variations and to integrate a conventional MPPT to enable the PV to operate at its maximum power point. As a result of the proposed control strategy, it is possible to use the PV-battery system to emulate a conventional synchronous generator while automatically managing power sharing between the different units in the MG. This feature minimizes the costs and problems associated with the presence of rotating machines. The chapter is structured as follows: firstly the control design is presented in terms of decoupling and linearizing control laws along with the stabilizing linear control laws. It is followed by simulation studies. Finally, the experimental validations are presented and discussed.

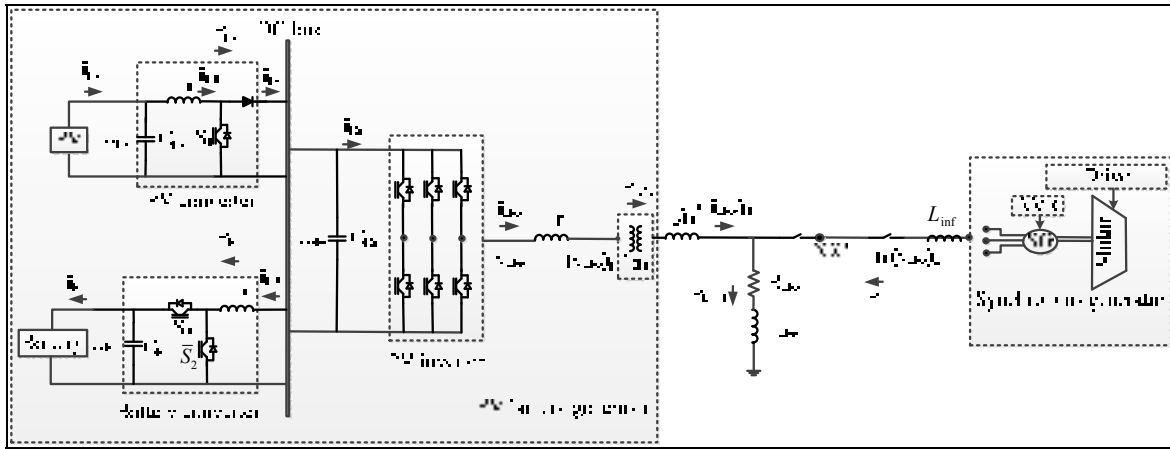


Figure 4.1 Microgrid system

## 4.2 Controller Design

In this section, the design of a nonlinear voltage and frequency controller for the hybrid PV-battery generator is discussed. The controller is designed to regulate the voltage at the transformer primary and to participate in the regulation of the microgrid frequency close to its reference value, while allowing the PV to operate at its maximum power point. This implies that the control system will integrate a MPPT routine while implicitly managing the power flow between the PV, the load and the battery. These multiple objectives are addressed simultaneously via the multivariable design approach adopted to obtain the controller equations. As described in chapter 2, the nonlinear model of PV-battery generator with eight states and four inputs/outputs is represented by the following closed form,

$$\dot{x} = f(x) + g(x)u \quad (4.1)$$

$$y = h(x)$$

where  $x = [U_{PV}, i_{L_1}, i_q, \Omega, E_{DC}, P_{bat}, i_d, \omega]^T$  is the vector of state variables,  $u = [s_1, v_q, s_2, v_d]^T$  is the vector of control inputs, and  $y = h(x) = [U_{PV}, \Omega, E_{DC}, \omega]^T$  is the vector of outputs. The nonlinear vector function  $f(x)$  and the matrix  $g(x)$  are given as:

$$f(x) = \begin{bmatrix} -\frac{1}{C_{PV}} i_{L_1} + \frac{1}{C_{PV}} i_{PV} \\ \frac{1}{L_1} U_{PV} - \frac{1}{L_1} U_{DC} \\ -\omega i_d \\ (v_d)_s - L_s \omega i_q - v_s^{ref} \\ P_{PV} - P_{bat} - \frac{3}{2} i_d v_s \\ \frac{i_{L_2}}{C_{DC} U_{DC}} (P_{PV} - P_{bat} - \frac{3}{2} i_d v_s) + \frac{2E_{DC}}{L_2 C_{DC}} \\ \omega i_q + v_d - \frac{v_s}{L_f} \\ \frac{2P^2}{J\omega} \left( -\frac{k}{P} \Delta\omega + P_{ref} - P_{load} - \frac{3}{2} i_d v_s - \frac{B}{P^2} \omega^2 \right) \end{bmatrix} \quad (4.2)$$

$$g(x) = \begin{bmatrix} 0 & 0 & 0 & 0 \\ \frac{U_{DC}}{L_1} & 0 & 0 & 0 \\ 0 & \frac{1}{L_f} & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & \frac{-2E_{DC}U_{bat}}{L_2 C_{DC} U_{DC}} & 0 \\ 0 & 0 & 0 & \frac{1}{L_f} \\ 0 & 0 & 0 & 0 \end{bmatrix}$$

The selection of the variables that represent the system outputs is related to our objectives; voltage and frequency regulation. Indeed, the variables  $\Omega$  and  $\omega$  are selected as outputs since two of the controller design objectives are the regulation of the voltage at the transformer primary and the microgrid frequency respectively. By regulating the variables  $U_{PV}$  and  $E_{DC}$  the controller ensures that the PV system operates at its maximum power point and manages the power flow among the PV module, the battery and the load. Equation (2.23) clearly shows that the power balance among these three elements is achieved when the variation of the energy stored in the DC bus is maintained at zero. The following paragraphs present the

different steps used to obtain the controller equations using the input-output feedback linearization technique. This method consists of a change of coordinates and a nonlinear feedback control law to cancel system nonlinearities, in order to obtain a linear system that will be mathematically equivalent to (4.1).

#### 4.2.1 Decoupling and Linearizing Control Law

The dynamics of the system outputs are obtained by differentiating each output until at least one of the inputs appears explicitly in the expression. Differentiating twice the first output which is the PV voltage ( $y_1 = U_{PV}$ ) yields the following equation,

$$\dot{y}_1 = \alpha_1(x) + \beta_1(x)s_1 \quad (4.3)$$

where  $\alpha_1(x)$  and  $\beta_1(x)$  are given in Table 4.1. The second output ( $y_2 = \Omega$ ), which was selected to achieve the objective of terminal voltage regulation, is now differentiated until one input appears in the expression. This gives the following equation,

$$\dot{y}_2 = \alpha_2(x) + \beta_2(x)v_q \quad (4.4)$$

Recall that the input signal  $v_q$  is the Q-component of the D-Q reference frame representation of the DC-AC converter terminal voltage. Similarly, the second derivative of the third output ( $y_3 = E_{DC}$ ) gives,

$$\ddot{y}_3 = \alpha_3(x) + \beta_{31}(x)s_2 + \beta_{32}(x)v_d \quad (4.5)$$

The input signal  $v_d$  is the D-component of the D-Q reference frame representation of the DC-AC converter terminal voltage. The frequency dynamics are obtained to achieve the final objective; participation in the microgrid frequency regulation. When the output ( $y_4 = \omega$ ) is differentiated until one input appears, the following equation is obtained:



$$\dot{y}_4 = \alpha_4(x) + \beta_{41}(x)v_q + \beta_{42}(x)v_d \quad (4.6)$$

The input variable  $s_2$  is the signal that will be used to control the switches of the bidirectional DC-DC boost converter connected to the battery. Note that the expressions  $\alpha(x) = (\alpha_1(x), \alpha_2(x), \alpha_3(x), \alpha_4(x))^T$  and  $\beta(x) = (\beta_1(x), \beta_2(x), \beta_{31}(x), \beta_{32}(x), \beta_{41}(x), \beta_{42}(x))^T$  are given in Table 4.1. The nonlinear model (4.1) is therefore equivalent to the following form:

$$\dot{y} = \begin{bmatrix} \alpha_1(x) \\ \alpha_2(x) \\ \alpha_3(x) \\ \alpha_4(x) \end{bmatrix} + \begin{bmatrix} \beta_1(x) & 0 & 0 & 0 \\ 0 & \beta_2(x) & 0 & 0 \\ 0 & 0 & \beta_{31}(x)\beta_{32}(x) & \\ 0 & \beta_{41}(x) & 0 & \beta_{42}(x) \end{bmatrix} u \quad (4.7)$$

Table 4.1. The parameters of control system

$$\begin{aligned} \alpha_1(x) &= \frac{1}{C_{PV}} \frac{di_{L_1}}{dt} - \frac{1}{C_{PV}} \left( \frac{1}{L_1} U_{PV} - \frac{1}{L_1} U_{DC} \right) \\ \alpha_2(x) &= -L_s i_q \frac{2P^2}{J\omega} \left( -\frac{k}{P} \Delta\omega + P_{ref} - P_{load} - \frac{3}{2} i_d v_s - \frac{B^2}{P^2} \omega \right) + L_s \omega^2 i_d \\ \alpha_3(x) &= \frac{dP_{PV}}{dt} - \frac{i_{L_2}}{C_{DC} U_{DC}} \left( P_{PV} - P_{bat} - \frac{3}{2} i_d v_s \right) - \frac{2E_{DC}}{L_2 C_{DC}} - \frac{3}{2} v_s \left( \omega i_q - \frac{1}{L_f} v_s \right) \\ \alpha_4(x) &= \frac{2P^2}{J\omega} \left[ \frac{P}{J\omega} (2(k+P) + 3PL_s i_d i_q) \left( -\frac{k}{P} \Delta\omega + P_{ref} \right) \right] \\ \beta_1(x) &= \frac{U_{DC}}{L_1 C_{DC}} & \beta_2(x) &= \frac{-L_s \omega}{L_f} & \beta_{31}(x) &= \frac{2E_{DC} U_{bat}}{L_2 C_{DC} U_{DC}} \\ \beta_{32}(x) &= -\frac{3}{2} \frac{v_s}{L_f} - P_{load} - \frac{3}{2} i_d v_s - \frac{B}{P^2} \omega^2 - \frac{dP_{load}}{dt} - \frac{3}{2} v_s \left( \omega i_q - \frac{v_s}{L_f} \right) - \frac{3}{2} L_s \omega^2 i_d^2 \\ \beta_{41}(x) &= \frac{3P^2 i_d L_s}{JL_f} & \beta_{42}(x) &= -\frac{3P^2 v_s}{J\omega L_f} \end{aligned}$$

Equation (4.7) shows the nonlinear and coupled nature of the PV/battery generator's input-output dynamics. Applying linear control directly to this model without cancelling the system nonlinearities, as traditionally done in the literature, may result in unsatisfactory steady state and transient performances, in general. The control laws, which are used to cancel the system nonlinearities while decoupling the model dynamics, have the following expression:

$$u = \begin{bmatrix} \beta_1(x) & 0 & 0 & 0 \\ 0 & \beta_2(x) & 0 & 0 \\ 0 & 0 & \beta_{31}(x)\beta_{32}(x) & \\ 0 & \beta_{41}(x) & 0 & \beta_{42}(x) \end{bmatrix}^{-1} \begin{bmatrix} v_1 - \alpha_1(x) \\ v_2 - \alpha_2(x) \\ v_3 - \alpha_3(x) \\ v_4 - \alpha_4(x) \end{bmatrix} \quad (4.8)$$

Note that the inverse of the above-mentioned matrix exists as long as its determinant ( $\beta_1(x)\beta_2(x)\beta_{31}(x)\beta_{42}(x)$ ) is nonzero or, in other words, when the frequency and voltages are nonzero. This is indeed the case in any range of operation. This determinant may become zero when a short circuit occurs at the AC bus ( $v_s = 0$ ,  $\beta_{42}(x) = 0$ ). In this case, the input will be equal to the saturating value  $u_{max} = [s_1^{max}, v_q^{max}, s_2^{max}, v_d^{max}]^T$ . For the protection of inverter under short-circuit fault the gating signals are reset to zero. The vector  $v = (v_1, v_2, v_3, v_4)^T$  is representing the new input that will be used to stabilize the following decoupled linear system, obtained when (4.8) is substituted in (4.7):

$$\begin{bmatrix} \frac{d^2 U_{PV}}{dt^2} & \frac{d^2 \Omega}{dt^2} & \frac{d^2 E_{DC}}{dt^2} & \frac{d^2 \omega}{dt^2} \end{bmatrix}^T = [v_1 \ v_2 \ v_3 \ v_4]^T \quad (4.9)$$

It can therefore be concluded that the cancellation of the nonlinear terms relating the old inputs to the outputs by the nonlinear control law (4.8) has transformed the MIMO PV/battery generator model into four Single-Input Single-Output (SISO) decoupled linear systems. This model-based approach provides a systematic approach for obtaining the controller equations using well known control design methods. The next step of the design is to obtain the expressions for the new input signals  $v_1, v_2, v_3, v_4$ .



$$\begin{aligned}
v_1 &= k_{11}e_1 + k_{12}\dot{e}_1 + \dot{U}_{PV}^r & (4.10) \\
v_2 &= k_{21}e_2 + k_{22}\dot{e}_2 + \ddot{\Omega}^r \\
v_3 &= k_{31}e_1 + k_{32}\dot{e}_1 + \ddot{E}_{DC}^r \\
v_4 &= k_{41}e_4 + k_{42}\dot{e}_4 + \ddot{\omega}^r
\end{aligned}$$

where  $e_1 = U_{PV}^r - U_{PV}$ ,  $e_2 = \Omega^r - \Omega$ ,  $e_3 = E_{DC}^r - E_{DC}$  and  $e_4 = \omega^r - \omega$ .  $U_{PV}^r$ ,  $\Omega^r$ ,  $E_{DC}^r$  and  $\omega^r$  are the reference signals of the PV terminal voltage, which are determined by the MPPT module, the terminal voltage deviation, the energy stored in the DC bus capacitor  $\frac{1}{2}C_{DC}(U_{DC}^r)^2$  and the angular frequency, respectively. The  $U_{DC}^r$  is the DC bus voltage reference signal. Note that the references are constant in this application. The controller gains  $k_{ij}$  ( $i = 1, \dots, 4; j = 1, 2$ ) are judiciously selected in such a way that the four characteristic polynomials of the decoupled linear systems obtained when (4.10) is substituted in (4.9) are Hurwitz polynomials, i.e.

$$\begin{aligned}
\Delta_i(s) &= s^2 + k_{i2}s + k_{i1} & (4.11) \\
i &= 1, \dots, 4
\end{aligned}$$

This ensures that the tracking errors converge to zero. The controller gains  $k_{i1}$  and  $k_{i2}$  are tuned in order to obtain good dynamic performance. Considering the desired characteristic polynomial of the second-order system as,

$$\Delta_d(s) = s^2 + 2\zeta\omega_n s + \omega_n^2 \quad (4.12)$$

and given natural frequency  $\omega_n$  and damping ratio  $\zeta$ , one can calculate the controller gains so that,

$$\Delta_i(s) = \Delta_d(s) \quad (4.13)$$

This results into:

$$\begin{aligned} k_{i1} &= \omega_n^2 \\ k_{i2} &= 2\zeta\omega_n \end{aligned} \quad (4.14)$$

As mentioned in Equation (4.9), the nonlinear system is converted into four decoupled linear systems with transfer functions written as,

$$G(s) = \frac{1}{s^2} \quad (4.15)$$

**Remark III:** In practice, it is not necessary to differentiate the output variables in the implementation of (4.10). For instance, the derivative of  $U_{PV}$  is implemented by taking the right-hand side of the  $U_{PV}$  dynamics,

$$\frac{dU_{PV}}{dt} = -\frac{1}{C_{PV}} i_{L1} + \frac{1}{C_{PV}} i_{PV} \quad (4.16)$$

### 4.3 Simulation Results and Discussion

In this section, the proposed voltage and frequency controller for the PV-battery generator is first evaluated through simulation. The PV panel, battery, DC-DC converters, DC-AC converter, transformer, load and synchronous generator illustrated in Figure 4.1 are represented in Matlab-Simulink using SimPowerSystems blockset elements. Table 4.3 summarizes the microgrid specifications. The PV panel maximum power is 140W at 1000W/m<sup>2</sup> with a cell temperature of 25°C.

The nonlinear controller, AVR and speed governor for the synchronous generator are implemented using Matlab-Simulink elements. The nonlinear controller gains are obtained as

$k_{i1}=10^5$ ,  $k_{i2}=1100$ . These characteristics guarantee asymptotical stability and good transient performance to the system. The PV-battery controller gains, along with the AVR and speed governor parameters, are summarized in Table 4.3.

Two case studies are considered to evaluate the functionality of the proposed controller. First, the frequency and voltage regulation capability is evaluated when the microgrid operates in islanded mode. Next, the power sharing capability is tested and discussed.

### 4.3.1 Frequency and Voltage Regulation in MG Islanding

The following scenario is used to evaluate the frequency and voltage regulation capability of the PV-battery generator. The microgrid operates in an islanded mode, and the active and reactive power demands are changed abruptly at time  $t = 100$  s. In Figures 4.3 through 4.7, the simulation results are illustrated in per unit (p.u.) using a base power of 440 W and a base voltage of 120 V. The active and reactive powers are increased by 0.2 p.u. respectively. Figure 4.3 shows that the frequency begins to deviate at time  $t = 100$  s due to the load change, but is regulated at its rated value because of the frequency support from both generators. Figure 4.4 shows that the voltage at the transformer secondary is regulated at its rated value. Figure 4.5 (a,b) shows the active power sharing between the PV-battery generator and the synchronous generator respectively. One can notice that the PV-battery generator provides 0.1 pu of the demanded active power, increasing to 0.147 p.u. to participate in load active power sharing. Similarly, the SG provides 0.04 p.u. and 0.19 p.u., respectively, before and after the load perturbation occurs. Simulation results therefore show that the PV-battery generator and SG achieve active power participation rates of 25% and 75%, respectively. Figure 4.6 (a,b) shows the reactive power sharing between the PV-battery generator and the synchronous generator respectively. One can notice that the PV-battery generator provides 0.15 pu of the demanded reactive power, increasing to 0.19 p.u. to participate in load reactive power sharing. Similarly, the SG provides 0.05 p.u. and 0.26 p.u., respectively, before and after the load perturbation occurs. Simulation results therefore show that the PV-battery generator and SG achieve reactive power participation rates of 16% and

84%, respectively. This confirms that the PV generator behaves like a conventional generator with a droop characteristic. Control signals  $v_d$  and  $v_q$ , shown in Figure 4.7, are bounded. The d-q currents  $i_d$  and  $i_q$  increase to provide the required active and reactive power by load as shown in Figure 4.8.

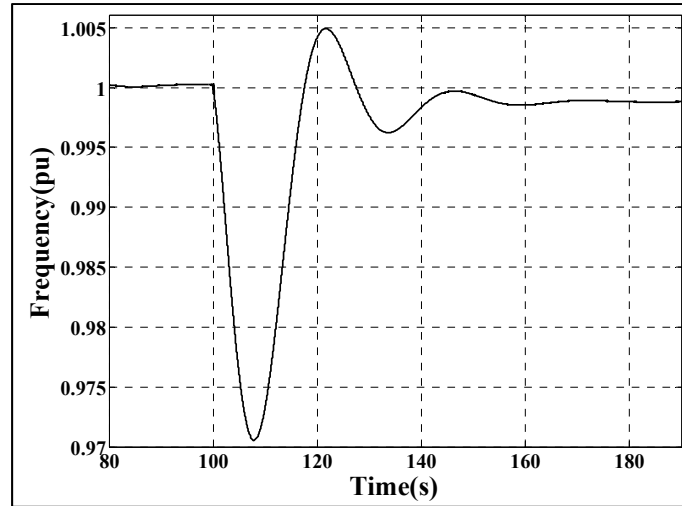


Figure 4.3 Simulation results of microgrid frequency (p.u.)

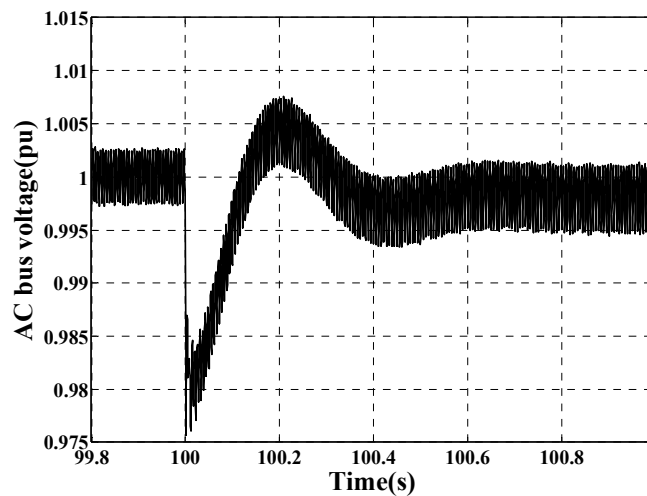
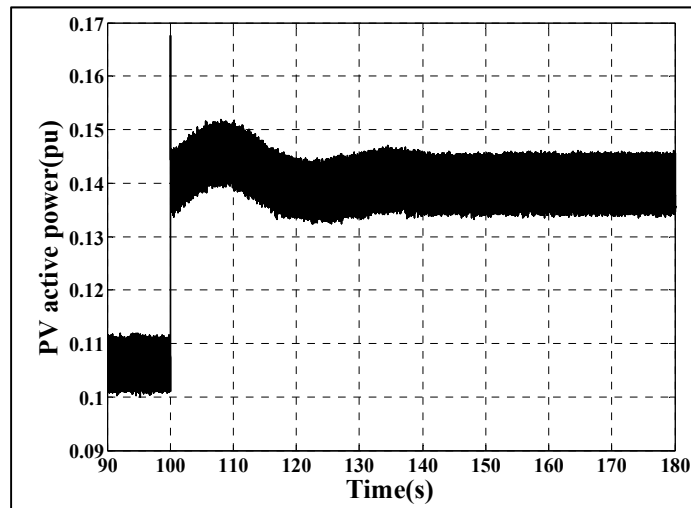
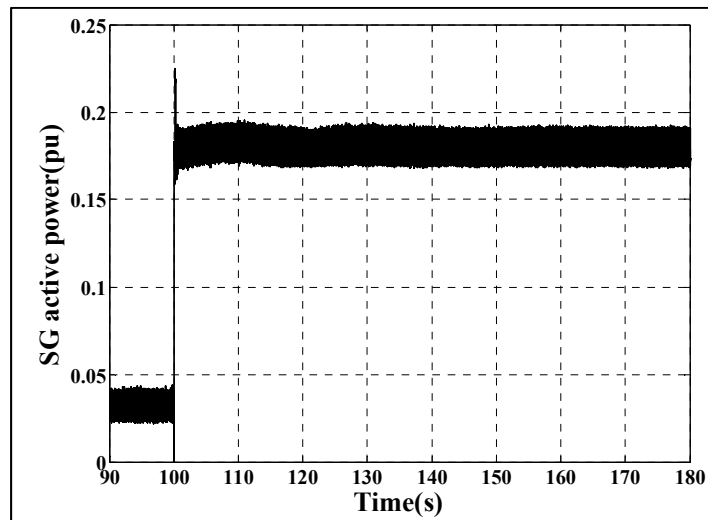


Figure 4.4 Simulation results of microgrid terminal voltage



(a)



(b)

Figure 4.5 Simulation results in MG islanding mode, active power sharing (a) PV active power, (b) SG active power



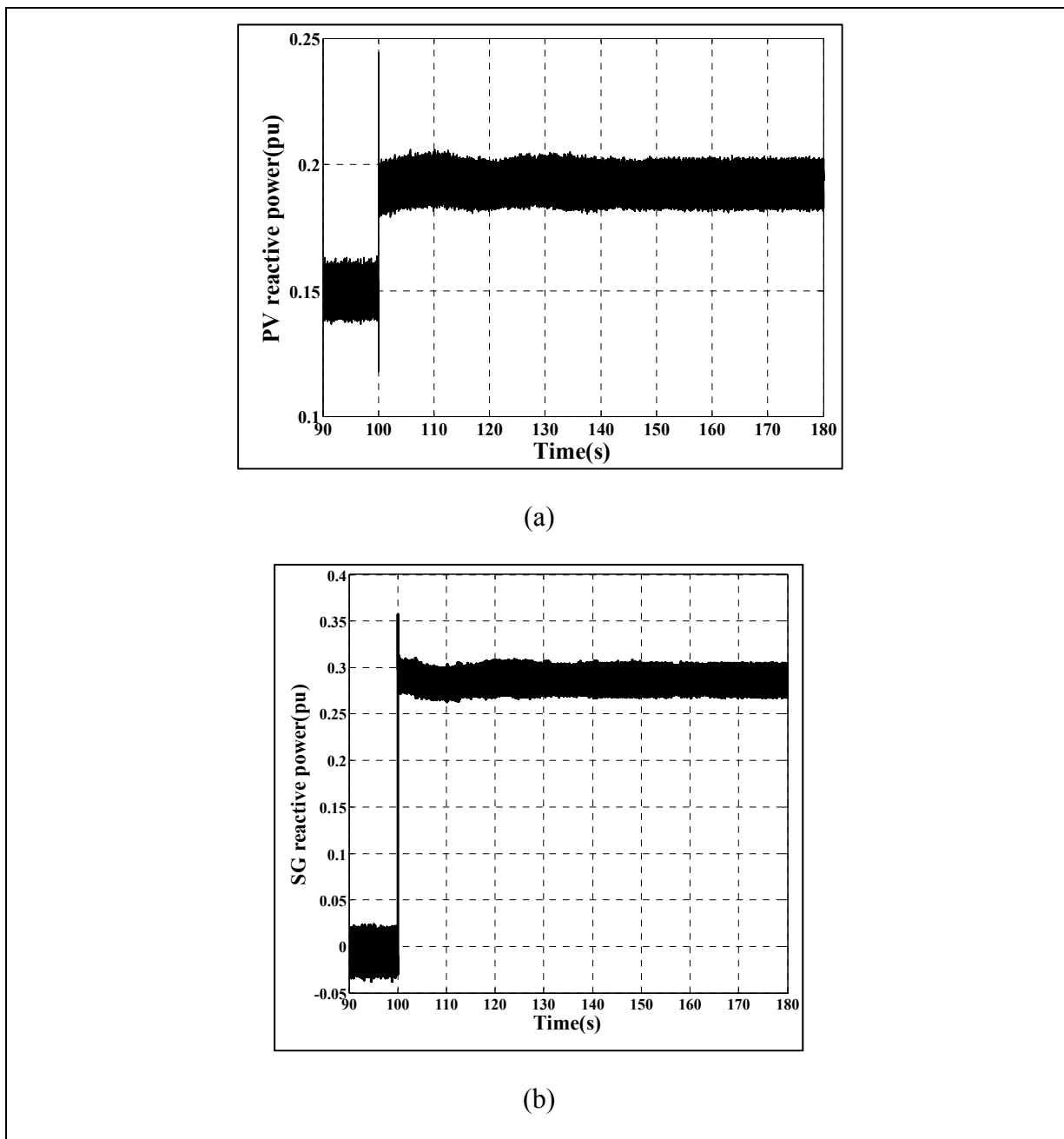


Figure 4.6 Simulation results in MG islanding mode, reactive power sharing (a) PV reactive power, (b) SG reactive power

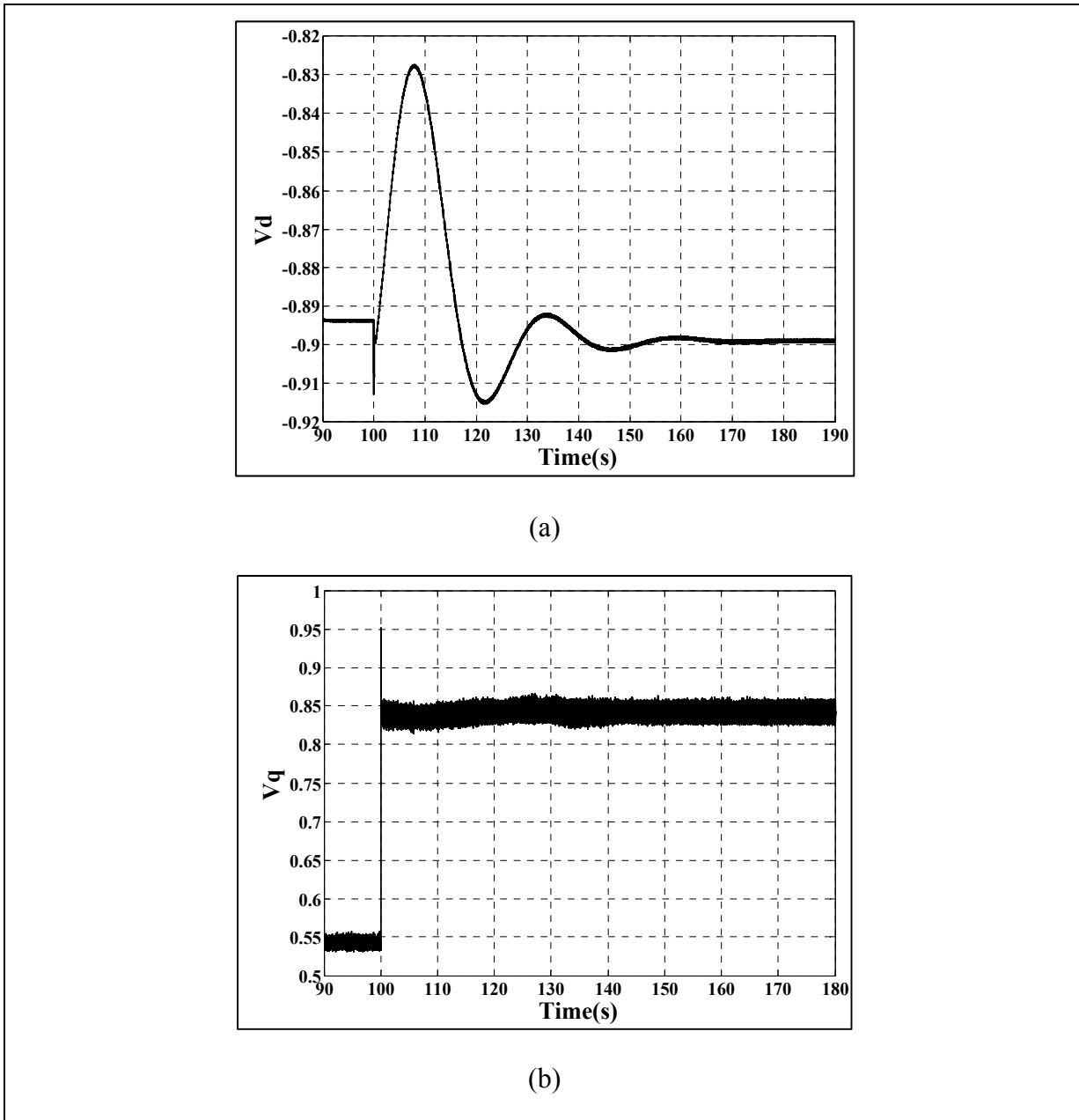


Figure 4.7 Simulation results in MG islanding mode, (a) Control action signal,  $v_d$ , (b) Control action signal,  $v_q$

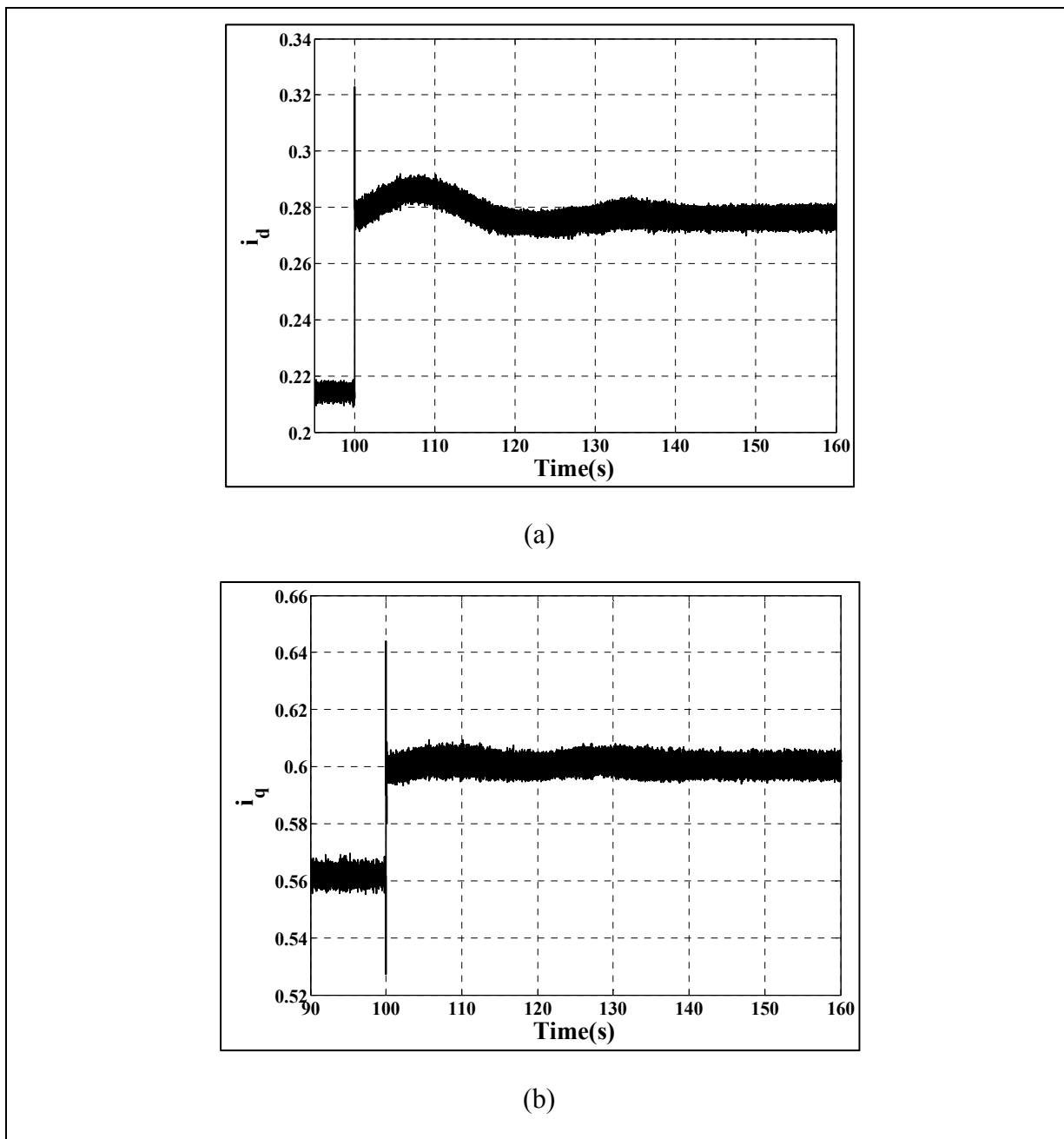


Figure 4.8 Simulation results in MG islanding mode, (a) d-axis current,  $i_d$ , (b) q-axis current,  $i_q$

### 4.3.2 Power Sharing Capabilities

The capability of the proposed control strategy to ensure adequate power sharing among different components of the microgrid is tested in this section. Two different test scenarios are used. In the first test, the insolation is changed linearly. The initial insolation is  $1000\text{W}/\text{m}^2$ . At time  $t = 12\text{ s}$ , the insolation is smoothly decreased from  $1000\text{W}/\text{m}^2$  to  $800\text{W}/\text{m}^2$ , returning to  $1000\text{W}/\text{m}^2$  at  $t = 14\text{ s}$ . Simulation results shown in Figure 4.9 represent the PV power, current and voltage. They demonstrate that the perturbation and observation (P&O) technique used for the MPPT controller can quickly and accurately track the maximum operating point of the PV panel. Figure 4.10 illustrates the control signal  $s_1$ , which is bounded. Figure 4.11 clearly shows that the battery output power is affected by the PV power variation.

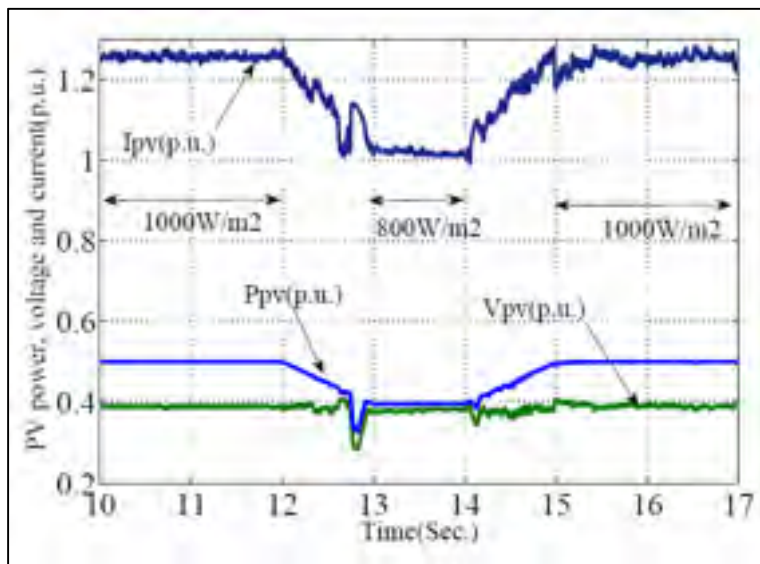


Figure 4.9 Simulation results of PV maximum power point tracking performance, PV MPPT test under insolation ramp changes, p.u.

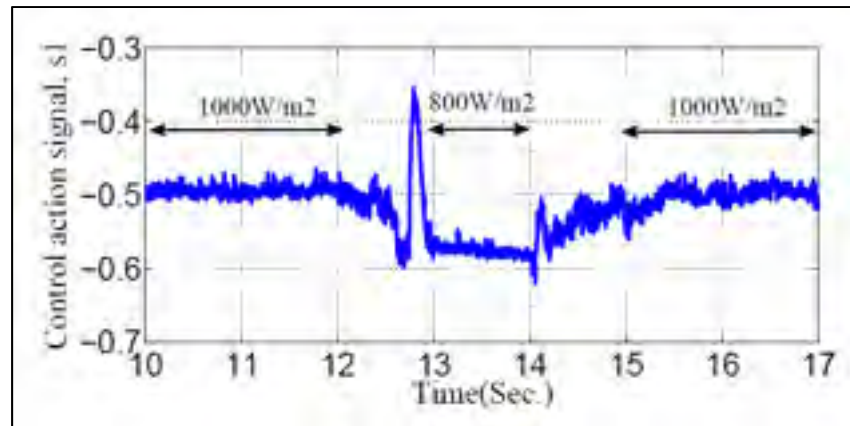


Figure 4.10 Simulation results of PV maximum power point tracking performance, control action signal,  $s_1$  during insolation ramp changes, p.u.

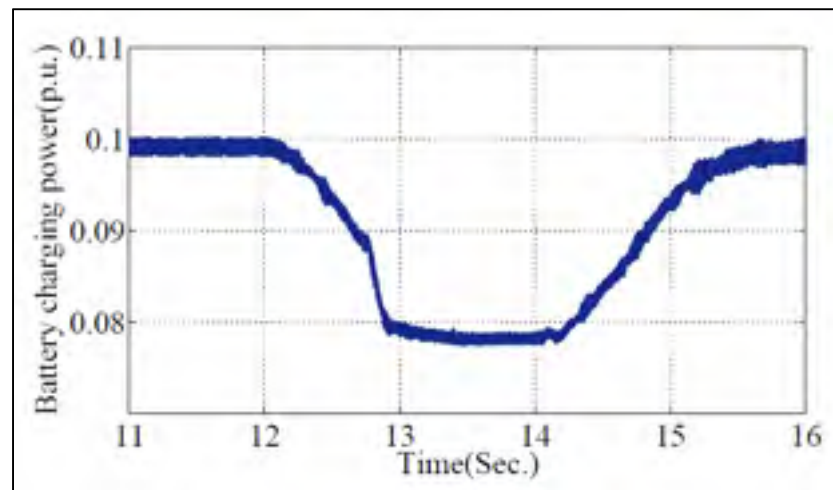


Figure 4.11 Simulation results of PV maximum power point tracking performance, battery power during insolation ramp changes, p.u.

#### 4.4 Experimental Validation

The nonlinear controller is now evaluated experimentally. Figure 4.12 illustrates the microgrid setup developed in the laboratory. It includes a PV array emulator, a lead-acid battery pack, a bidirectional DC-DC converter, a unidirectional DC-DC converter, a DC-AC converter, AC transformers, a synchronous generator, a three-phase load and a four-pole squirrel cage induction motor. The motor is used to supply the mechanical power required by the synchronous generator. The induction motor is controlled by the ABB motor drive. The

Synchronous Generator (SG) consists of a 4-pole machine rated at 2 kW. Each phase of the stator winding is accessible via the connection module to allow wye or delta connections. The rotor winding is connected to two slip rings for external connection to a dc power source. A squirrel cage damper winding is inserted in the salient-pole rotor to produce induction-motor action, making the synchronous motor self-starting. The synchronous generator specification is given in Table 4.2. The solar photovoltaic array consists of 5 panels in series and 40 panels in parallel. The open circuit voltage of each panel is 9.7V and the short circuit current is 106mA at 1000W/m<sup>2</sup>. The PV-battery controller is implemented in a Texas Instruments TMS320F28335 digital microcontroller. The switching frequency is 10 kHz. The microgrid parameter values for this experimental setup are given in Table 4.3. A three-phase breaker and the three-lamp method are used to synchronize the PV-battery generator and synchronous generator. Figure 4.13 shows the AC bus voltage before and after the synchronization.

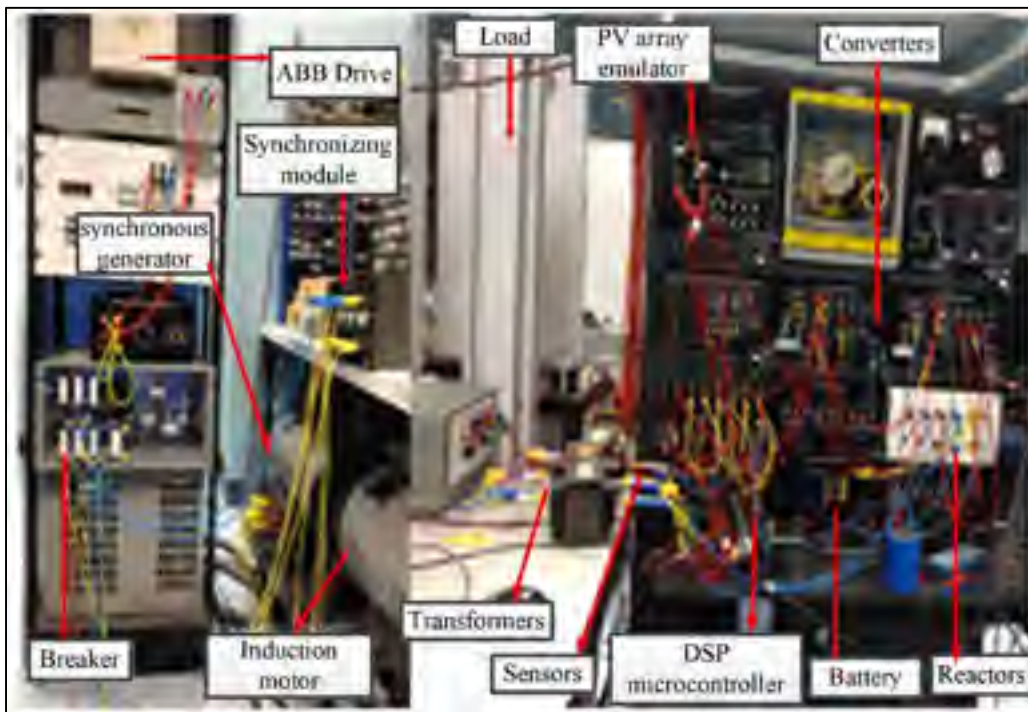


Figure 4.12 Hardware setup of MG system

Table 4.2. The synchronous generator specifications

Parameters	Value
Line voltage	120/208V 60 Hz
Power	1.5 kVA
Speed	1800 r/min
Current	4.2A-AC
Exciter current	0.9 A-DC
Torque	10.6 N.m
Efficiency	79 %
Friction and windage losses	150 W
Iron losses	125 W
Resistance Per Phase At 25°C (77°F)	
Stator	0.6 $\Omega$
Rotor	81 $\Omega$
Synchronous Reactance	18.5 $\Omega$
Exciter Inductance	6 H
Physical Characteristics	
Moment of Inertia	0.097 kg·m <sup>2</sup>
Dimensions (H x W x D)	830 x 400 x 605 mm
Net Weight	89 kg

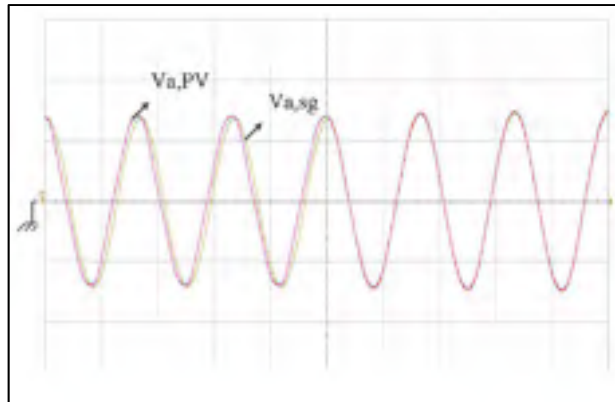


Figure 4.13 Experimental results in microgrid, synchronization of PV generator to synchronous generator, V 100V/div, 10ms/div

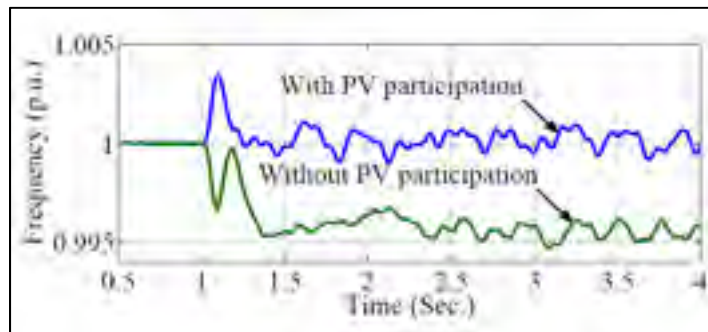


Figure 4.14 Experimental results of microgrid frequency (p.u.), with and without PV participation in MG islanding mode

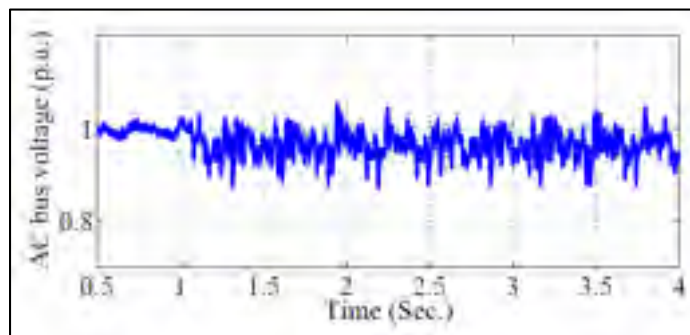


Figure 4.15 Experimental results of microgrid voltage (p.u.) at AC bus



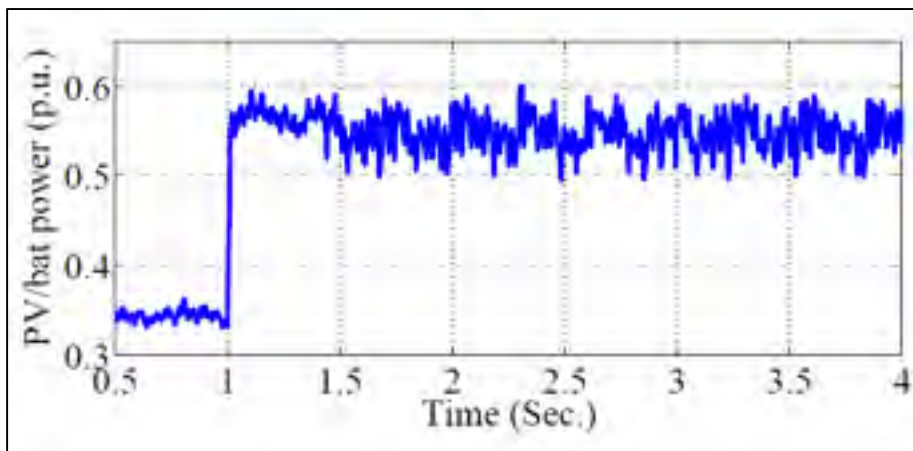


Figure 4.16 Experimental results, PV/battery generator ac power, p.u.

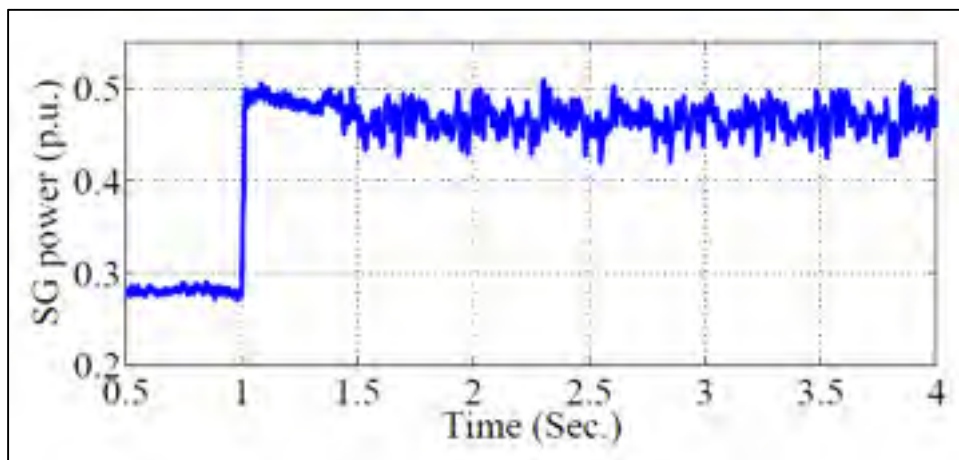


Figure 4.17 Experimental results, synchronous generator ac power, p.u.

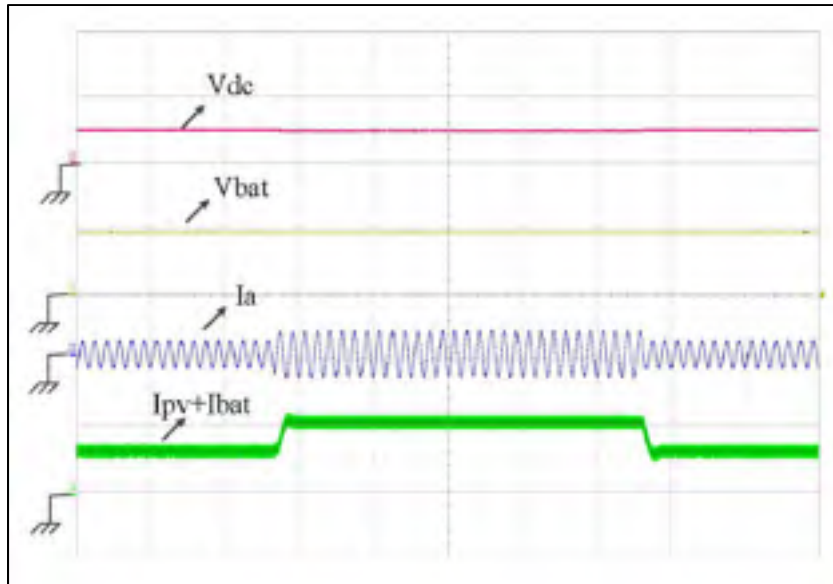


Figure 4.18 Experimental results, DC bus voltage(V) 200V/div, battery voltage(V) 50V/div, phase current(A) 5A/div and DC current (PV and battery discharging current)(A) 5A/div 200ms/div

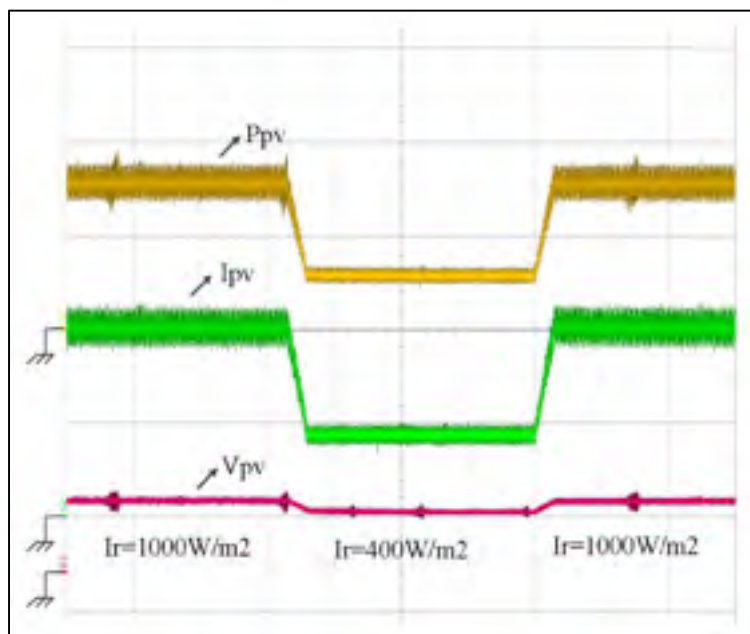


Figure 4.19 Experimental results, PV power(W) 100W/div, PV current(A) 2A/div, PV voltage(V) 50V/div 10s/div

A load step change of 0.38 p.u. is applied to the microgrid at the time instant  $t = 1$  s. Figure 4.14 illustrates frequency profiles when the PV-battery is both contributing and not contributing to the system frequency regulation. It is evident that when the PV-battery generator controller is used, the frequency steady state error is smaller. The PV/battery generator therefore improves the frequency profile in steady state. Figure 4.15 demonstrates that the line voltage at the AC bus is regulated at its nominal value 1 p.u. Figures 4.16 and 4.17 show that the output power of both the PV-battery generator and the synchronous generator increase simultaneously when the load increases. This clearly demonstrates that both generators exhibit the same behavior. Figure 4.18 represents the DC current waveform, which is the sum of the PV current and the battery current. It should be noted that this current increases when the load power increases. As a consequence, the AC current increases while the DC bus voltage is regulated at its reference value. These experimental results demonstrate both the effectiveness and robustness of the proposed model-based nonlinear controller. Indeed, the system remains stable in spite of changes in MG parameters, such as load power. Moreover, estimated values for the synchronous generator inertia and speed regulator gains are used during this experimentation.

The power sharing capability of the proposed controller is also evaluated experimentally. The PV insolation is changed linearly from  $1000\text{W}/\text{m}^2$  to  $400\text{W}/\text{m}^2$  and back to  $1000\text{W}/\text{m}^2$ . The PV power, the voltage and current waveforms are shown in Figure 4.19. These results clearly demonstrate the MPPT controller ability to track the maximum PV output power while adequately managing the power share in the PV-battery generator when the latter contributes to microgrid frequency and voltage support.

Table 4.3. The parameters of microgrid system

MG Parameters	Value
PV terminal capacitor, $C_{pv}$	$590 \mu F$
Battery terminal capacitor, $C_{bat}$	$590 \mu F$
DC link capacitor, $C_{DC}$	$1320 \mu F$
Boost converter inductance, $L_1$	$1 mH$
Battery boost converter inductance, $L_2$	$1 mH$
Filtering inductance, $L_f$	$1 mH$
Nominal frequency, $f_n$	$60 Hz$
Switching frequency, $f_{sw}$	$10 kHz$
Microgrid rated power, $P_n$	$540 W$
Microgrid rated voltage, $V_n$	$120 V$
STC PV power rating, $P_{MPP}$	$140 W$
Controller proportional gain, $k_{i1}$	$25000$
Controller derivative gain, $k_{i2}$	$1100$
Speed governor gain, $k$	$0.0017$
AVR gain	$0.0011$

## 4.5 Conclusion

This chapter proposes a nonlinear voltage and frequency regulator for a hybrid photovoltaic (PV)-battery generator through a model-based design approach. First, a multivariable input-output feedback linearization controller was developed, based on a novel multivariable nonlinear model which was suggested (see chapter 2) for the PV/battery generator, composed of a PV panel with its unidirectional DC-DC converter, a lead-acid battery with its bidirectional DC-DC converter and a DC-AC converter connected to a smoothing inductance. Then, the proposed controller was evaluated through both simulation study and hardware experimentation with a microgrid consisting of a PV-battery generator and a synchronous generator equipped with its own voltage and speed regulators. Simulation and experimental results demonstrate that the proposed control system allows the PV-Battery generator to behave like a conventional generator. It provides both frequency and voltage supports to the microgrid when the load's active and reactive power increases or decreases. Moreover, an additional module is not required to manage the power between the PV, the battery and the load. Battery output power changes automatically when the PV power changes with the insolation, or when the load power demand changes while maintaining the PV at its maximum power point.



## CHAPTER 5

### ROBUST ADAPTIVE NONLINEAR CONTROL OF MICROGRID

#### 5.1 Introduction

A robust adaptive nonlinear control approach for frequency and voltage regulation in a hybrid PV/battery generator is presented in this chapter. The detailed nonlinear dynamical model of system (hybrid PV/Battery system) suggested in chapter 2 is used. Using this model, a robust adaptive exact input-output feedback linearization controller, which takes into account the uncertainty of parameters subjected to the disturbance is designed. The load power and terminal voltage of the conventional generator are assumed to be unknown parameters of the system. One of the important feature of this controller is that the control of PV/battery system is independent to the model of the conventional power system. The system performance under different scenarios has been tested by simulation using Matlab/Simulink. The results show the validity of the proposed system. A comparison study of the proposed methods of frequency and voltage regulation is presented in this chapter.

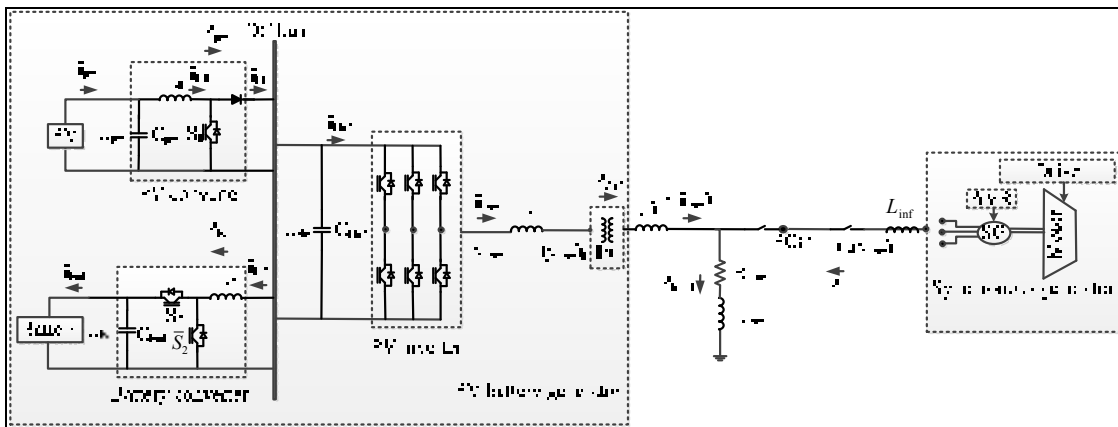


Figure 5.1 System configuration

## 5.2 System configuration and modeling

To design a robust adaptive nonlinear controller for the hybrid PV/battery system, the detailed model of system along with interfacing power electronic circuits are needed. Figure 5.1 demonstrates the structure of system suggested in this thesis that is connected to the load and conventional diesel synchronous generator at the point of common coupling (PCC). With no loss of generality this system can be extended to a larger scale power system network at PCC with different sources. The complete nonlinear state-space model of 8<sup>th</sup> order is suggested as

$$\begin{aligned}\dot{x} &= f(x, \theta) + g(x)u \\ y &= h(x)\end{aligned}\tag{5.1}$$

where the vector of the state variables  $x$ , the nonlinear vector function  $f(x, \theta)$  and the matrix  $g(x)$  are given as,

$$x = [U_{PV}, i_{L_1}, i_q, \Omega, E_{DC}, P_{bat}, i_d, \omega]^T$$



$$f(x, \theta) = \begin{bmatrix} -\frac{1}{C_{PV}} i_{L1} + \frac{1}{C_{PV}} i_{PV} \\ \frac{1}{L_1} U_{PV} - \frac{1}{L_1} U_{DC} \\ -\omega i_d \\ v_d^{syn} - L_s \omega i_q - V_s^{ref} \\ P_{PV} - P_{bat} - \frac{3}{2} i_d v_s \\ \frac{i_{L2}}{C_{DC} U_{DC}} \left( P_{PV} - P_{bat} - \frac{3}{2} i_d v_s \right) + \frac{2E_{DC}}{L_2 C_{DC}} \\ \omega i_q - \frac{v_s}{L_f} \\ \frac{2P^2}{J\omega} \left( -\frac{k}{P} \Delta\omega + P_{ref} - P_{load} - \frac{3}{2} i_d v_s - \frac{B}{P^2} \omega^2 \right) \end{bmatrix},$$

$$g(x) = \begin{bmatrix} 0 & 0 & 0 & 0 \\ \frac{U_{DC}}{L_1} & 0 & 0 & 0 \\ 0 & \frac{1}{L_f} & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & -\frac{2E_{DC}U_{bat}}{L_2 C_{DC} U_{DC}} & 0 \\ 0 & 0 & 0 & \frac{1}{L_f} \\ 0 & 0 & 0 & 0 \end{bmatrix}$$

where  $u = [s_1, v_q, s_2, v_d]^T$  is the vector of control inputs,  $y = h(x) = [U_{PV}, \Omega, E_{DC}, \omega]^T$  is the vector of control outputs and  $\theta = [\theta_1, \theta_2]^T$  is the vector of unknown variables. The parameters  $\theta_1$  and  $\theta_2$  denote the synchronous generator terminal voltage ( $v_d^{syn}$ ) and the load power ( $P_{load}$ ) to be estimated. The output variables are chosen based on the objectives to be achieved. To

meet the objectives of system to participate into voltage and frequency regulation, the variables  $\Omega$  and  $\omega$  are chosen as outputs. On the other hand, in order to satisfy photovoltaic optimization requirements and to manage power flow among PV, battery and loads,  $U_{pv}$  and  $E_{DC}$  are selected as the secondary objectives. In fact as demonstrated in chapter 2, by minimizing the variation of reserved energy at DC bus the power balance is achieved among these elements. The corresponding control action of system are the continuous-time signals to generate binary signals through PWM modules for IGBT switches of power electronic converters.

This system is nonlinear, multivariable and highly coupled with its eight state variables. Moreover it is observed that some parameters are unknown. To handle the parameter uncertainties subject to disturbance on the one hand, and nonlinearity on the other hand, a systematic approach based on a robust adaptive nonlinear control strategy is designed for this application. Next section mainly presents the design of the proposed robust adaptive exact multivariable input-output feedback linearization for the suggested nonlinear model of PV-battery system. The main objective of this chapter is to make the closed-loop system behave like a conventional generator to participate into regulation of voltage as well as frequency. The main advantages of the proposed model-based control design strategy are that these highly coupled nonlinear dynamics will be exactly compensated. As a result, the closed-loop system will behave exactly (and not in an approximate way around the operating point) like a set of decoupled linear systems that can be easily controlled by linear controllers. Good transient performances will therefore be achieved. In addition robust adaptive laws (i.e. a direct adaptive approach) are proposed in this chapter to handle the parameter uncertainty and disturbances in the system.

Next paragraphs demonstrate the controller design steps based on a robust adaptive exact input-output feedback linearization technique, consisting of linearizing the nonlinear model of Equation (5.1), and developing the robust and adaptation laws. The obtained linearized model is decoupled and therefore a linear controller is used to stabilize the system.

### 5.3 Proposed control scheme

This section discusses the design of a robust adaptive nonlinear controller in order to satisfy voltage and frequency regulation in the presence of nonlinearity, uncertainty and disturbance. On the other hand voltages at the PV terminal, DC bus and AC bus need to be controlled to their set points respectively. Additional control objectives are the regulation of system frequency close to its nominal value, while allowing the photovoltaic system to operate at its maximum power point (MPP) and to contribute into power sharing. The latter implies that the control system will implicitly manage the power flow among the PV, the battery and the load. These multiple objectives are addressed simultaneously via the proposed multivariable design approach.

#### 5.3.1 Decoupling and feedback linearization control laws

The dynamics of the system outputs are obtained by differentiating each output until at least one of the inputs appears explicitly in the expression. When the input appears at the  $r^{\text{th}}$  derivative of the output, the latter is said to have a relative degree of order  $r$ . First it is assumed that all parameters are known.

The first objective is to control photovoltaic terminal voltage to its optimized value, namely maximum power point voltage. This value is given by MPPT module, typically a conventional P&O strategy (see chapter 3). Recall that the vector of state variables is  $x = [U_{PV}, i_{L_1}, i_q, \Omega, E_{DC}, P_{bat}, i_d, \omega]^T$ . The first step in the feedback linearization technique is to apply a nonlinear change of coordinates  $z = T(x)$ . In this case the transformation is given by:

$$\begin{aligned}
z_1 &= U_{pv} \\
z_2 &= -\frac{1}{C_{PV}}i_{L_1} + \frac{1}{C_{PV}}i_{PV} \\
z_3 &= \Omega \\
z_4 &= \theta_1 - L_s \omega i_q - V_s^{ref} \\
z_5 &= E_{DC} \\
z_6 &= P_{PV} - P_{bat} - \frac{3}{2}v_s i_d \\
z_7 &= \omega \\
z_8 &= \frac{2P^2}{J\omega} \left( \frac{k}{P} \omega - \theta_2 - \frac{3}{2}v_s i_d - \frac{B}{P^2} \omega^2 \right)
\end{aligned} \tag{5.2}$$

The output vector  $y = [U_{PV}, \Omega, E_{DC}, \omega]^T$  is expressed in terms of the new variables as  $y = [z_1, z_3, z_5, z_7]^T$ . The inverse transformation  $x = T^{-1}(z)$  is given by:

$$\begin{aligned}
U_{pv} &= z_1 \\
i_{L_1} &= -C_{PV} \left( z_2 - \frac{1}{C_{PV}} i_{PV} \right) \\
\Omega &= z_3 \\
i_q &= -\frac{1}{L_s \omega} (z_4 - \theta_1 + V_s^{ref}) \\
E_{DC} &= z_5 \\
P_{bat} &= -z_6 + P_{PV} - \frac{3}{2}v_s i_d \\
\omega &= z_7 \\
i_d &= -\frac{J\omega}{3P^2 v_s} \left( z_8 - \frac{2P^2}{J\omega} \left( \frac{k}{P} \omega - \theta_2 - \frac{B}{P^2} \omega^2 \right) \right)
\end{aligned} \tag{5.3}$$

Differentiating the new defined state variables  $z$  until at least one of the inputs appears considering Equation (5.2) gives,

$$\begin{aligned}
\dot{z}_1 &= z_2 \\
\dot{z}_2 &= -\frac{1}{C_{PV}L_1}z_1 + \frac{1}{C_{PV}L_1}U_{DC} + \frac{1}{C_{PV}}\frac{d}{dt}i_{PV} - \frac{1}{C_{PV}L_1}U_{DC}s_1 = \zeta_1 + \eta_1s_1 \\
\dot{z}_3 &= z_4 \\
\dot{z}_4 &= \dot{\theta}_1 + z_7^2\frac{2L_s}{3v_s}(-z_7z_8(\frac{J}{2P^2}) + z_7\frac{k}{P} - \frac{2U_{bat}}{L_2C_{DC}U_{DC}} - \theta_2 - z_7^2(\frac{B}{P^2})) \\
&\quad - \frac{2P^2}{Jz_7^2}(-z_4 + \theta_1 - V_s^{ref})(\frac{k}{P}z_7 + \frac{z_7z_8}{2P^2/J} - \frac{2P^2}{J}z_7) - z_7\frac{L_s}{L_f}v_q = \zeta_2 + \eta_2v_q \\
\dot{z}_5 &= z_6 \\
\dot{z}_6 &= \frac{d}{dt}P_{PV} - z_6(\frac{i_{L_2}}{C_{DC}U_{DC}}) - z_5(\frac{2}{L_2C_{DC}}) - \frac{3v_s}{2L_s}(-z_4 + \theta_1 - V_s^{ref}) + \frac{3v_s^2}{2L_f} - \frac{d}{dt}\frac{v_s}{v_s}[-\frac{z_7z_8}{2P^2/J} + \\
&\quad z_7\frac{k}{P} - \theta_2 - z_7^2(\frac{B}{P^2})] - \frac{2U_{bat}}{L_2C_{DC}U_{DC}}z_5s_2 - \frac{3}{2}v_s v_d = \zeta_3 + \eta_{31}s_2 + \eta_{32}v_d \\
\dot{z}_7 &= z_8 \\
\dot{z}_8 &= -\frac{4P^4}{J^2z_7^3}[z_7\frac{k}{P} + \frac{z_7z_8}{2P^2/J} - \frac{2P^2z_7}{J}]^2 + \frac{2P^2/J}{z_7}[\frac{k^2}{P^2}z_7 + z_7z_8(\frac{kJ}{2P^3}) - \frac{2kP}{J}z_7 \\
&\quad - \dot{\theta}_2 - \frac{d}{dt}\frac{v_s}{v_s}[-\frac{z_7z_8}{2P^2/J} + z_7\frac{k}{P} - \theta_2 - z_7^2(\frac{B}{P^2})] + \frac{3v_s^2}{2L_s} - \frac{3v_s}{2L_s}(-z_4 + \theta_1 - V_s^{ref})] \\
&\quad - \frac{3v_sP^2}{JL_fz_7}v_d = \zeta_4 + \eta_{41}v_q + \eta_{42}v_d
\end{aligned} \tag{5.4}$$

The vector  $z$  in terms of the outputs is given by:

$$\begin{pmatrix} \ddot{y}_1 \\ \ddot{y}_2 \\ \ddot{y}_3 \\ \ddot{y}_4 \end{pmatrix} = \begin{pmatrix} \ddot{z}_1 \\ \ddot{z}_3 \\ \ddot{z}_5 \\ \ddot{z}_7 \end{pmatrix} = \begin{pmatrix} \zeta_1 \\ \zeta_2 \\ \zeta_3 \\ \zeta_4 \end{pmatrix} + \begin{pmatrix} \eta_1 & 0 & 0 & 0 \\ 0 & \eta_2 & 0 & 0 \\ 0 & 0 & \eta_{31} & \eta_{32} \\ 0 & \eta_{41} & 0 & \eta_{42} \end{pmatrix} \times \begin{pmatrix} s_1 \\ v_q \\ s_2 \\ v_d \end{pmatrix} \tag{5.5}$$

Since all four inputs appear in the second derivative of respective outputs, the relative vector of system is  $\bar{r} = \{2,2,2,2\}$ . Since  $\sum r_i = n = 8$  the system is fully linearizable and there are no zero dynamics.

The linearizing and decoupling control laws are obtained from (5.5). The following expression is used to cancel the nonlinear terms that appear in (5.1).

$$\begin{pmatrix} s_1 \\ v_q \\ s_2 \\ v_d \end{pmatrix} = \begin{pmatrix} \eta_1 & 0 & 0 & 0 \\ 0 & \eta_2 & 0 & 0 \\ 0 & 0 & \eta_{31} & \eta_{32} \\ 0 & \eta_{41} & 0 & \eta_{42} \end{pmatrix}^{-1} \left[ \begin{pmatrix} v_1 \\ v_2 \\ v_3 \\ v_4 \end{pmatrix} - \begin{pmatrix} \varsigma_1 \\ \varsigma_2 \\ \varsigma_3 \\ \varsigma_4 \end{pmatrix} \right] \quad (5.6)$$

where  $\vec{v} = (v_1, v_2, v_3, v_4)^T$  is the vector of auxiliary inputs that will be used to stabilize the following decoupled linear system obtained when (5.6) is substituted in (5.5):

$$\begin{pmatrix} \ddot{y}_1 \\ \ddot{y}_2 \\ \ddot{y}_3 \\ \ddot{y}_4 \end{pmatrix} = \begin{pmatrix} v_1 \\ v_2 \\ v_3 \\ v_4 \end{pmatrix} \quad (5.7)$$

Therefore the cancellation of the nonlinear dynamics by the nonlinear control law (5.6) has transformed the MIMO system model into four SISO decoupled linear systems.

The next step of the design is to obtain robust and adaptation laws for online estimation of conventional generators' terminal voltage and load power. In fact the uncertain parameter  $\theta$  is assumed to be unknown and subjected to disturbances. Thus a robust adaptive mechanism is proposed to update the nonlinear controller to improve the system responses under real situation.

### 5.3.2 Robust and adaptation laws with parameter estimation

All the computation performed so far (see Equation (5.2) to Equation (5.7)) have assumed that the parameter  $\theta = [\theta_1, \theta_2]^T$  is known. In this section we go back to the change of coordinates (Equation (5.2)) when  $\theta = [\theta_1, \theta_2]^T$  is unknown. The state variables that will be directly affected by above assumption are  $z_4$  and  $z_8$  since they explicitly depend on  $\theta_1$  and  $\theta_2$ . These state variables on the other hand affect the outputs  $z_3$  and  $z_7$  respectively. Since the same equations (see Equation (5.2) to Equation (5.4) ) hold for other variables  $z_1, z_2, z_5$  and  $z_6$ , the repetition of those equations are avoided in this section.

We use the following transformation:

$$\begin{aligned} z_3 &= \Omega \\ \hat{z}_4 &= \hat{\theta}_1 - \omega L_s i_q - V_s^{ref} \end{aligned} \quad (5.8)$$

The state variables are found as:

$$\begin{aligned} \Omega &= z_3 \\ i_q &= \frac{1}{\omega L_s} (-\hat{z}_4 + \hat{\theta}_1 - V_s^{ref}) \end{aligned} \quad (5.9)$$

The hat symbol “ $\hat{\phantom{x}}$ ” denotes the estimation of the unknown variables. Differentiating the output gives,

$$\dot{z}_3 = \dot{\Omega} = \theta_1 - L_s \omega i_q - V_s^{ref} = \hat{\theta}_1 - L_s \omega i_q - V_s^{ref} - \hat{\theta}_1 + (\theta_1^N + \Delta\theta_1(t)) \quad (5.10)$$

where  $\tilde{\theta}_1 = \theta_1^N - \hat{\theta}_1$  and  $\theta_1 = \theta_1^N + \Delta\theta_1(t)$ . The equation (5.10) simplifies to,

$$\dot{z}_3 = \dot{z}_4 + \tilde{\theta}_1 + \Delta\theta_1(t) \quad (5.11)$$

Note that  $\tilde{\theta}_1$ ,  $\theta_1^N$  and  $\Delta\theta_1(t)$  represent the estimation error, the nominal term and the small variation of the estimated parameter respectively. Differentiating the estimated output  $\hat{z}_4$ , one obtains:

$$\begin{aligned} \dot{\hat{z}}_4 &= \dot{\hat{\theta}}_1 - \omega L_s i_q - \omega L_s \frac{d}{dt} i_q \quad (5.12) \\ \dot{\hat{z}}_4 &= \dot{\hat{\theta}}_1 - L_s i_q \left\{ \frac{2P^2}{J\omega} \left( \frac{k}{P} \omega - \theta_2 - \frac{3}{2} v_s i_d - \frac{B}{P^2} \omega^2 \right) \right\} - \omega L_s \left\{ \omega i_d + \frac{1}{L_f} v_q \right\} \\ \dot{\hat{z}}_4 &= \dot{\hat{\theta}}_1 + L_s i_q \frac{2P^2}{J\omega} \theta_2 - L_s i_q \frac{2P^2}{J\omega} \left( \frac{k}{P} \omega - \frac{3}{2} v_s i_d - \frac{B}{P^2} \omega^2 \right) + \omega^2 L_s i_d - \frac{\omega L_s}{L_f} v_q \\ \dot{\hat{z}}_4 &= \dot{\hat{\theta}}_1 + \frac{2P^2 L_s i_q}{J\omega} \left( \theta_{2N} + \Delta\theta_2(t) \right) - \frac{2P^2 L_s i_q}{J\omega} \left( \frac{k}{P} \omega - \frac{3}{2} v_s i_d - \frac{B}{P^2} \omega^2 \right) + \omega^2 L_s i_d - \frac{\omega L_s}{L_f} v_q \\ \dot{\hat{z}}_4 &= \dot{\hat{\theta}}_1 + \frac{2P^2 L_s i_q}{J\omega} \hat{\theta}_2 + \frac{2P^2 L_s i_q}{J\omega} \tilde{\theta}_2 + \frac{2P^2 L_s i_q}{J\omega} \Delta\theta_2(t) + \\ &\left[ -\frac{2P^2 L_s i_q}{J\omega} \left( \frac{k}{P} \omega - \frac{3}{2} v_s i_d - \frac{B}{P^2} \omega^2 \right) + \omega^2 L_s i_d \right] - \frac{\omega L_s}{L_f} v_q \end{aligned}$$

Defining the control input  $v_q$  :

$$v_q = \frac{-L_f}{\omega L_s} \left\{ -\frac{2P^2 L_s i_q}{J\omega} \hat{\theta}_2 - \left[ -\frac{2P^2 L_s i_q}{J\omega} \left( \frac{k}{P} \omega - \frac{3}{2} v_s i_d - \frac{B}{P^2} \omega^2 \right) + \omega^2 L_s i_d \right] + v_2 \right\} \quad (5.13)$$



the  $\dot{\hat{z}}_4$  is obtained as:

$$\dot{\hat{z}}_4 = v_2 + \dot{\hat{\theta}}_1 + \frac{2P^2 L_s i_q}{J\omega} \tilde{\theta}_2 + \frac{2P^2 L_s i_q}{J\omega} \Delta\theta_2(t) \quad (5.14)$$

Similarly we use the following transformation for the last two states:

$$\begin{aligned} z_7 &= \omega \\ \hat{z}_8 &= \frac{2kP}{J} - \frac{2P^2}{J\omega} \left( \hat{\theta}_2 + \frac{3}{2} v_s i_d \right) - \frac{2B}{J} \omega \end{aligned} \quad (5.15)$$

The state variables are found as:

$$\begin{aligned} \omega &= z_7 \\ i_d &= \frac{-\omega J}{3P^2 v_s} \left( \hat{z}_8 - \frac{2P^2}{\omega J} \left( \frac{k}{P} \omega - \hat{\theta}_2 - \frac{B}{P^2} \omega^2 \right) \right) \end{aligned} \quad (5.16)$$

Differentiating the output  $z_7$  gives,

$$\begin{aligned} \dot{z}_7 = \dot{\omega} &= \frac{2P^2}{J\omega} \left( \frac{k}{P} \omega - \hat{\theta}_2 - \frac{3}{2} v_s i_d - \frac{B}{P^2} \omega^2 \right) \\ \dot{z}_7 &= \frac{2P^2}{J\omega} \left( \frac{k}{P} \omega - \frac{3}{2} v_s i_d - \frac{B}{P^2} \omega^2 \right) - \frac{2P^2}{J\omega} \left( \theta_{2N} + \Delta\theta_2(t) - \hat{\theta}_2 + \hat{\theta}_2 \right) \end{aligned} \quad (5.17)$$

where  $\tilde{\theta}_2 = \theta_2^N - \hat{\theta}_2$  and  $\theta_2 = \theta_2^N + \Delta\theta_2(t)$  one obtains:

$$\dot{z}_7 = \hat{z}_8 - \frac{2P^2}{J\omega} \tilde{\theta}_2 - \frac{2P^2}{J\omega} \Delta\theta_2(t) \quad (5.18)$$

where  $\tilde{\theta}_2$ ,  $\theta_2^N$  and  $\Delta\theta_2(t)$  represent the estimation error, the nominal term and the small variation of the estimated parameter respectively. Differentiating the estimated output  $\hat{z}_8$ ,

$$\begin{aligned} \dot{\hat{z}}_8 = & -\frac{2P^2}{J\omega} \dot{\hat{\theta}}_2 - \frac{3P^2 i_d}{J\omega} \dot{\hat{\theta}}_1 - \frac{2P^2}{J\omega} \frac{3}{2} v_s \left\{ \omega i_q - \frac{v_s}{L_f} \right\} - \frac{3P^2 L_s}{J} \omega i_d^2 - \left( \frac{3P^2}{J\omega} \frac{v_s}{L_f} \right) v_d \\ & + \left( \frac{3P^2 L_s}{J L_f} \omega i_d \right) v_q - \frac{2B}{J} \left\{ \frac{2P^2}{J\omega} \left( \frac{k}{P} \omega - \theta_2 - \frac{3}{2} v_s i_d - \frac{B}{P^2} \omega^2 \right) \right\} \\ & + \frac{2P^2}{J\omega^2} \left( \hat{\theta}_2 + \frac{3}{2} v_s i_d \right) \left( \frac{2P^2}{J\omega} \left( \frac{k}{P} \omega - \theta_2 - \frac{3}{2} v_s i_d - \frac{B}{P^2} \omega^2 \right) \right) \end{aligned} \quad (5.19)$$

$$\begin{aligned} \dot{\hat{z}}_8 = & -\left( \frac{3P^2}{J\omega} \frac{v_s}{L_f} \right) v_d + \left( \frac{3P^2 L_s}{J L_f} \omega i_d \right) v_q - \frac{3P^2 i_d}{J\omega} \dot{\hat{\theta}}_1 - \frac{2P^2}{J\omega} \dot{\hat{\theta}}_2 + \frac{4BP^2}{J^2 \omega} \tilde{\theta}_2 + \frac{4BP^2}{J^2 \omega} \Delta\theta_2(t) \\ & + \frac{4P^4}{J^2 \omega^3} \left( \hat{\theta}_2 + \frac{3}{2} v_s i_d \right) \tilde{\theta}_2 + \frac{4P^4}{J^2 \omega^3} \left( \hat{\theta}_2 + \frac{3}{2} v_s i_d \right) \Delta\theta_2(t) + \\ & \left[ -\frac{3P^2 v_s}{J\omega} \left\{ \omega i_q - \frac{v_s}{L_f} \right\} - \frac{3P^2 L_s}{J} \omega i_d^2 - \frac{4BP^2}{J^2 \omega} \left( \frac{k}{P} \omega - \hat{\theta}_2 - \frac{3}{2} v_s i_d - \frac{B}{P^2} \omega^2 \right) + \right. \\ & \left. \frac{4P^4}{J^2 \omega^3} \left( \hat{\theta}_2 + \frac{3}{2} v_s i_d \right) \left( \frac{k}{P} \omega - \hat{\theta}_2 - \frac{3}{2} v_s i_d - \frac{B}{P^2} \omega^2 \right) \right] \end{aligned}$$

Recall that  $v_q$  is given in Equation (5.13). By proposing  $v_d$  as:

$$v_d = -\frac{J\omega L_f}{3P^2 v_s} v_4 - \left( \frac{3P^2 L_s}{J L_f} \omega i_d \right) v_q - \left[ \begin{aligned} & -\frac{3P^2 v_s}{J\omega} \left\{ \omega i_q - \frac{v_s}{L_f} \right\} - \frac{3P^2 L_s}{J} \omega i_d^2 \\ & -\frac{4BP^2}{J^2 \omega} \left( \frac{k}{P} \omega - \hat{\theta}_2 - \frac{3}{2} v_s i_d - \frac{B}{P^2} \omega^2 \right) + \\ & \frac{4P^4}{J^2 \omega^3} \left( \hat{\theta}_2 + \frac{3}{2} v_s i_d \right) \left( \frac{k}{P} \omega - \hat{\theta}_2 - \frac{3}{2} v_s i_d - \frac{B}{P^2} \omega^2 \right) \end{aligned} \right] \quad (5.20)$$

the dynamics of  $\dot{\hat{z}}_8$  is obtained as,

$$\begin{aligned} \dot{z}_8 = & v_4 - \frac{3P^2 i_d}{J\omega} \dot{\hat{\theta}}_1 - \frac{2P^2}{J\omega} \dot{\hat{\theta}}_2 + \left[ \frac{4BP^2}{J^2\omega} + \frac{4P^4}{J^2\omega^3} \left( \hat{\theta}_2 + \frac{3}{2} v_s i_d \right) \right] \tilde{\theta}_2 \\ & + \left[ \frac{4BP^2}{J^2\omega} + \frac{4P^4}{J^2\omega^3} \left( \hat{\theta}_2 + \frac{3}{2} v_s i_d \right) \right] \Delta\theta_2(t) \end{aligned} \quad (5.21)$$

By defining the new variable as:

$$\begin{aligned} \rho_1 &= \frac{2P^2 L_s i_q}{J\omega} \\ \rho_2 &= -\frac{2P^2}{J\omega} \\ \rho_3 &= -\frac{3P^2 i_d}{J\omega} \\ \rho_4 &= -\frac{2P^2}{J\omega} \\ \rho_5 &= \frac{4BP^2}{J^2\omega} + \frac{4P^4}{J^2\omega^3} \left( \hat{\theta}_2 + \frac{3}{2} v_s i_d \right) \end{aligned}$$

the closed loop dynamics of the entire system is formed as,

$$\begin{aligned} \dot{z}_1 &= z_2 \\ \dot{z}_2 &= v_1 \\ \dot{z}_3 &= \hat{z}_4 + \tilde{\theta}_1 + \Delta\theta_1(t) \\ \dot{z}_4 &= v_2 + \hat{\theta}_1 + \rho_1 \tilde{\theta}_2 + \rho_1 \Delta\theta_2(t) \\ \dot{z}_5 &= z_6 \\ \dot{z}_6 &= v_3 \\ \dot{z}_7 &= \hat{z}_8 + \rho_2 \tilde{\theta}_2 + \rho_2 \Delta\theta_2(t) \\ \dot{z}_8 &= v_4 + \rho_3 \hat{\theta}_1 + \rho_4 \hat{\theta}_2 + \rho_5 \tilde{\theta}_2 + \rho_5 \Delta\theta_2(t) \end{aligned} \quad (5.22)$$

The state-space representation is obtained as,

$$\begin{aligned}
\begin{bmatrix} \dot{z}_1 \\ \dot{z}_2 \\ \dot{z}_3 \\ \dot{\hat{z}}_4 \\ \dot{z}_5 \\ \dot{z}_6 \\ \dot{z}_7 \\ \dot{\hat{z}}_8 \end{bmatrix} &= \begin{bmatrix} 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix} \begin{bmatrix} z_1 \\ z_2 \\ z_3 \\ \hat{z}_4 \\ z_5 \\ z_6 \\ z_7 \\ \hat{z}_8 \end{bmatrix} + \begin{bmatrix} 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} v_1 \\ v_2 \\ v_3 \\ v_4 \end{bmatrix} \\
&+ \begin{bmatrix} 0 & 0 \\ 0 & 0 \\ 1 & 0 \\ 0 & \rho_1 \\ 0 & 0 \\ 0 & 0 \\ 0 & \rho_2 \\ 0 & \rho_5 \end{bmatrix} \begin{bmatrix} \tilde{\theta}_1 \\ \tilde{\theta}_2 \end{bmatrix} + \begin{bmatrix} 0 & 0 \\ 0 & 0 \\ 0 & 0 \\ 1 & 0 \\ 0 & 0 \\ 0 & 0 \\ 0 & 0 \\ \rho_3 & \rho_4 \end{bmatrix} \begin{bmatrix} \dot{\hat{\theta}}_1 \\ \dot{\hat{\theta}}_2 \end{bmatrix} + \begin{bmatrix} 0 & 0 \\ 0 & 0 \\ 1 & 0 \\ 0 & \rho_1 \\ 0 & 0 \\ 0 & 0 \\ 0 & \rho_2 \\ 0 & \rho_5 \end{bmatrix} \begin{bmatrix} \Delta\theta_1(t) \\ \Delta\theta_2(t) \end{bmatrix}
\end{aligned} \tag{5.23}$$

Considering the following general form,

$$\dot{\hat{z}} = A\hat{z} + Bv + \psi\tilde{\theta} + W\dot{\hat{\theta}} + \Psi\Delta\theta(t)$$

$$\hat{z} = (z_1, z_2, z_3, \hat{z}_4, z_5, z_6, z_7, \hat{z}_8)^T, \tilde{\theta} = (\tilde{\theta}_1, \tilde{\theta}_2)^T, \dot{\hat{\theta}} = (\dot{\hat{\theta}}_1, \dot{\hat{\theta}}_2)^T,$$

$$\Delta\theta(t) = (\Delta\theta_1(t), \Delta\theta_2(t))^T$$

$$A = \begin{bmatrix} 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix}, B = \begin{bmatrix} 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}, \psi = \begin{bmatrix} 0 & 0 \\ 0 & 0 \\ 1 & 0 \\ 0 & \rho_1 \\ 0 & 0 \\ 0 & 0 \\ 0 & \rho_2 \\ 0 & \rho_5 \end{bmatrix}, W = \begin{bmatrix} 0 & 0 \\ 0 & 0 \\ 0 & 0 \\ 1 & 0 \\ 0 & 0 \\ 0 & 0 \\ 0 & 0 \\ \rho_3 & \rho_4 \end{bmatrix} \tag{5.24}$$

we choose the auxiliary control input  $v = -H\hat{z} + p(t)$  where  $H = (h_1, h_2, h_1, h_4)^T$ . The Equation (5.21) is simplified to

$$\dot{\hat{z}} = (A - BH)\hat{z} + \psi\tilde{\theta} + W\hat{\theta} \quad (5.25)$$

The gain  $H$  is chosen so that  $A - BH$  to be Hurwitz. The term  $p(t)$  is considered into the control Equation (5.22) to compensate the perturbation  $\Delta\theta(t)$  and to guarantee the robustness in control design. The objective in this stage is to obtain the robust and adaptation laws. Supposing  $A_s = A - BH$ , we define  $\hat{e}$  and  $\hat{\varepsilon}$  such that:

$$\dot{\hat{e}} = A_s\hat{e} + W\hat{\theta}, \hat{e}(0) = 0 \quad (5.26)$$

$$\hat{\varepsilon} = \hat{z} - \hat{e} \quad (5.27)$$

where  $\hat{\varepsilon}$  is the augmented error and  $\hat{e}$  is the error augmentation.

It is easy to show:

$$\dot{\hat{\varepsilon}} = A_s\hat{\varepsilon} + Bp(t) + \psi\tilde{\theta} + \psi\Delta\theta(t) \quad (5.28)$$

To determine the robust and adaptation laws, we consider the following Lyapunov candidate:

$$V = \frac{1}{2}\hat{\varepsilon}^T P \hat{\varepsilon} + \frac{1}{2}\tilde{\theta}^T \Gamma^{-1} \tilde{\theta} \quad (5.29)$$

where  $P$  is such that  $A_s^T P + P A_s = -Q$ ,  $Q > 0$  and  $\Gamma > 0$ . The derivation of  $V$  gives:

$$\begin{aligned}\dot{V} &= \hat{\varepsilon}^T (A_s^T P + P A_s) \hat{\varepsilon} + 2\tilde{\theta}^T \psi^T P \hat{\varepsilon} + 2\Delta\theta^T \psi^T P \hat{\varepsilon} + 2\hat{\varepsilon}^T P B p(t) + 2\tilde{\theta}^T \Gamma^{-1} \dot{\tilde{\theta}} \\ &= -\hat{\varepsilon}^T Q \hat{\varepsilon} + 2\tilde{\theta}^T (\psi^T P \hat{\varepsilon} + \Gamma^{-1} \dot{\tilde{\theta}}) + 2\Delta\theta^T \psi^T P \hat{\varepsilon} + 2\hat{\varepsilon}^T P B p(t)\end{aligned}\quad (5.30)$$

To find the robust and adaptation laws such that  $\dot{V} \leq 0$ , we choose  $\dot{\tilde{\theta}} = -\hat{\theta}$  so that the adaptation law is obtained as:

$$\dot{\tilde{\theta}} = -\hat{\theta} = -\Gamma \psi^T P \hat{\varepsilon}\quad (5.31)$$

where  $\Gamma$  is the vector of positive gains. Using the following relationship,

$$|X^T Y| \leq \frac{K}{4} X^T X + \frac{1}{K} Y^T Y \quad , \quad X, Y \in \mathcal{R}^p, K \in \mathcal{R}^+\quad (5.32)$$

Equation (5.27) is simplified to the inequality as:

$$\dot{V} \leq -\hat{\varepsilon}^T Q \hat{\varepsilon} + 2\hat{\varepsilon}^T P B p(t) + \frac{1}{K} \Delta\theta^T \Delta\theta + K \hat{\varepsilon}^T P \psi \psi^T P \hat{\varepsilon}\quad (5.33)$$

Choosing robust term  $p(t)$  as,

$$p(t) = -\frac{K}{2} B^T (B^T B)^{-1} \psi \psi^T P \hat{\varepsilon}\quad (5.34)$$

the inequality in (5.33) is simplified to:

$$\dot{V} \leq -\hat{\varepsilon}^T Q \hat{\varepsilon} + \frac{1}{K} \Delta \theta^T \Delta \theta \quad (5.35)$$

**Bound using Bellman-Gronwall Lemma and Transition Matrix Approach:**

The Lyapunov candidate  $V$  satisfies:

$$\dot{V} \leq -\alpha V + \frac{1}{K} \delta(t) \quad (5.36)$$

Where  $\alpha$  and  $\delta(t)$  are found using inequality (5.35) as

$$\alpha = 2\hat{\varepsilon}^T Q \hat{\varepsilon} (\hat{\varepsilon}^T P \hat{\varepsilon} + \tilde{\theta}^T \Gamma^{-1} \tilde{\theta})^{-1}$$

$$\delta(t) = \Delta \theta^T \Delta \theta$$

The term  $\delta(t)$  is called the perturbation function of inequality (5.36). The perturbed system with the differential Equation (5.28) is stable if

$$\frac{\|\delta(t)\|}{\|V\|} \leq \mu \quad (5.37)$$

The solution of (5.33) is

$$V(t) \leq e^{-\alpha(t-t_0)}v(t_0) + \frac{1}{K} \int_{t_0}^t e^{-\alpha(t-\tau)} \delta(\tau) d\tau \quad (5.38)$$

then,

$$\|V(t)\| \leq \|e^{-\alpha(t-t_0)}\| \|v(t_0)\| + \frac{1}{K} \int_{t_0}^t \|e^{-\alpha(t-\tau)}\| \|\delta(\tau)\| d\tau \quad (5.39)$$

Substituting (5.37) in the above, we have

$$\|V(t)\| \leq \|e^{-\alpha(t-t_0)}\| \|v(t_0)\| + \frac{\mu}{K} \int_{t_0}^t \|e^{-\alpha(t-\tau)}\| \|V(\tau)\| d\tau \quad (5.40)$$

**Remark:** System is exponentially stable if and only if there exists positive scalars  $h$  and  $\alpha_s$  such that for all  $t_0 \geq 0$  and for all  $t \geq t_0$  (Yedavalli 2013)

$$\|\Phi(t, t_0)\| \leq h e^{-\alpha_s(t-t_0)} \quad (5.41)$$

The matrix function  $\Phi(t, t_0)$  is defined as the transition matrix.  $\alpha_s$  is the stability degree of the system, which is a positive scalar.

$$\alpha_s = \max_i \operatorname{Re}[\lambda_i(\alpha)] \quad (5.42)$$

where  $\lambda_i(\alpha)$  is the "eigenvalue" of the complex matrix  $\alpha$ . Using the above remark:



$$\|V(t)\| \leq h \|e^{-\alpha_s(t-t_0)}\| \|v(t_0)\| + \frac{h \cdot \mu}{K} \int_{t_0}^t \|e^{-\alpha_s(t-\tau)}\| \|V(\tau)\| d\tau \quad (5.43)$$

that is,

$$e^{+\alpha_s t} \|V(t)\| \leq h e^{+\alpha_s t_0} \|v(t_0)\| + \frac{h \cdot \mu}{K} \int_{t_0}^t e^{+\alpha_s \tau} \|V(\tau)\| d\tau \quad (5.44)$$

Using the Bellman-Gronwall lemma, which says that when  $h(t) \leq c + \int_{t_0}^t k(\tau) h(\tau) d\tau$  then:

$$h(t) \leq c e^{\int_{t_0}^t k(\tau) d\tau} \quad (5.45)$$

with  $k(\tau) = \frac{h \cdot \mu}{K}$ , we obtain

$$e^{+\alpha_s t} \|V(t)\| \leq h e^{+\alpha_s t_0} \|v(t_0)\| e^{\int_{t_0}^t \frac{h \cdot \mu}{K} d\tau} \quad (5.46)$$

$$\|V(t)\| \leq h e^{-\beta(t-t_0)} \|v(t_0)\| \quad (5.47)$$

where  $\beta = \alpha_s - \frac{h \cdot \mu}{K}$ . Choosing  $\mu^* = \frac{\alpha_s K}{h}$ , we have that  $\forall \mu \in [0, \mu^*), \beta > 0$  and therefore,  $V(t) \rightarrow 0$  exponentially fast, which implies that the equilibrium state  $z_e = 0$  of (5.24) is uniformly asymptotically stable (u.a.s) in large.

The stability of the system subject to perturbations is therefore assured. The robust and adaptation laws are therefore given as,

$$\begin{aligned}
\dot{\hat{\theta}} &= \Gamma \psi^T P \hat{\varepsilon} \\
\hat{\varepsilon} &= \hat{z} - \hat{e} \\
\dot{\hat{e}} &= A_s \hat{e} + W \Gamma \psi^T P \hat{\varepsilon}, \quad \hat{e}(0) = 0 \\
p(t) &= \frac{K}{2} (B^T B)^{-1} B^T \psi \psi^T P \hat{\varepsilon}
\end{aligned} \tag{5.48}$$

The next step of the design is to obtain the expressions for the auxiliary signals that are the inputs of system (5.7).

### 5.3.3 Design of stabilizing linear control laws

In order for the outputs to track their respective references, the following stabilizing control inputs are suggested,

$$\begin{aligned}
v_1 &= h_{11} (y_1^{\text{REF}} - y_1) + h_{12} (-\dot{y}_1) + p_1(t) \\
v_2 &= h_{21} (y_2^{\text{REF}} - y_2) + h_{22} (-\dot{y}_2) + p_2(t) \\
v_3 &= h_{31} (y_3^{\text{REF}} - y_3) + h_{32} (-\dot{y}_3) + p_3(t) \\
v_4 &= h_{41} (y_4^{\text{REF}} - y_4) + h_{42} (-\dot{y}_4) + p_4(t)
\end{aligned} \tag{5.49}$$

where  $y_1^{\text{REF}}, y_2^{\text{REF}}, y_3^{\text{REF}}$  and  $y_4^{\text{REF}}$  are the set-points for the corresponding outputs. The term  $p(t)$  is included in (5.49) to assure the robustness of the controller. In order for the tracking errors to converge to zero, the positive controller gains are appointed such that the characteristic polynomials of the systems, obtained by substituting (5.49) into (5.7) are Hurwitz. The configuration of the proposed controller is demonstrated in Figure 5.2.

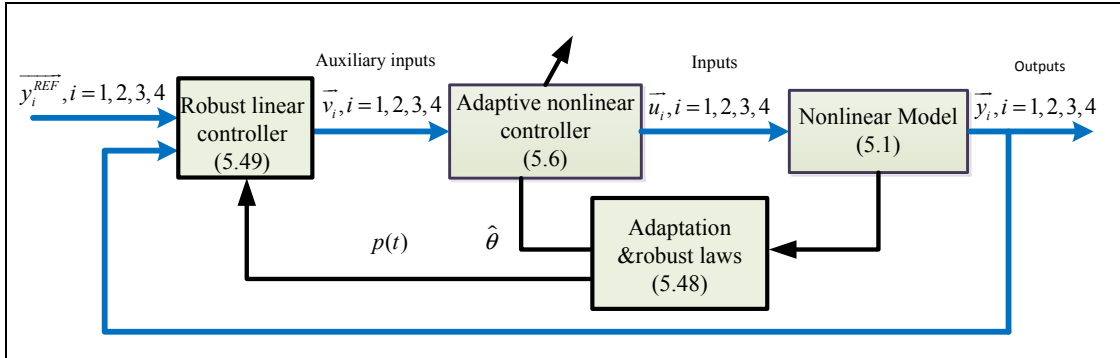


Figure 5.2 Robust adaptive exact input-output feedback linearization scheme

#### 5.4 Simulation Results

This section presents the evaluation of the suggested model demonstrated in Figure 5.1 using simulation. The tests include different scenarios such as load and insolation changes. The main objective is to verify the performance of the proposed robust adaptive nonlinear controller in frequency and voltage regulation, load sharing and MPPT. In addition comparison studies are given for three suggested methods of droop backstepping control, nonlinear control, robust adaptive nonlinear control.

The simulation model is developed in MATLAB/SIMULINK including PV array, battery, synchronous generator, power electronic converters and load (see Figure 5.1). As mentioned in previous chapters, the synchronous generator is assumed to have its own speed governor as well as automatic voltage regulator (AVR). The simulation parameters are given in Table 5.1.

To evaluate the frequency and voltage regulation capability of the PV-battery generator, the active and reactive power demands are changed abruptly at time  $t = 100$  s. Figure 5.3 (a,b) shows the active power sharing between the PV-battery generator and the synchronous generator respectively. One can notice that the PV-battery generator provides 0.11 p.u. of the demanded active power, increasing to 0.158 p.u. to participate in load active power sharing.

Similarly, the SG provides 0.03 p.u. and 0.175 p.u., respectively, before and after the load perturbation occurs. Simulation results therefore show that the PV-battery generator and SG achieve active power participation rates of 26.4% and 73.6%, respectively. Figure 5.4 (a,b) shows the reactive power sharing between the PV-battery generator and the synchronous generator respectively. One can notice that the PV-battery generator provides 0.16 p.u. of the demanded reactive power, increasing to 0.217 p.u. to participate in load reactive power sharing. Similarly, the SG provides 0.04 p.u. and 0.27 p.u., respectively, before and after the load perturbation occurs. Simulation results therefore show that the PV-battery generator and SG achieve reactive power participation rates of 19.86% and 79.04%, respectively. This confirms that the PV generator behaves like a conventional generator with a droop characteristic.

Figure 5.5 (a,b) shows that the SG terminal voltage and rotor speed which are considered unknown in control design.

The d-q currents  $i_d$  and  $i_q$  increase to provide the required active and reactive power by load as shown in Figure 5.6. Control signals  $v_d$  and  $v_q$ , shown in Figure 5.7, are bounded.

Figure 5.8 confirms that the frequency is regulated during increment of load power at time 100 s. Figure 5.9 shows the regulation of the AC bus voltage. Therefore this test shows that hybrid PV/battery generator participates into frequency and voltage regulation as that of synchronous generator.

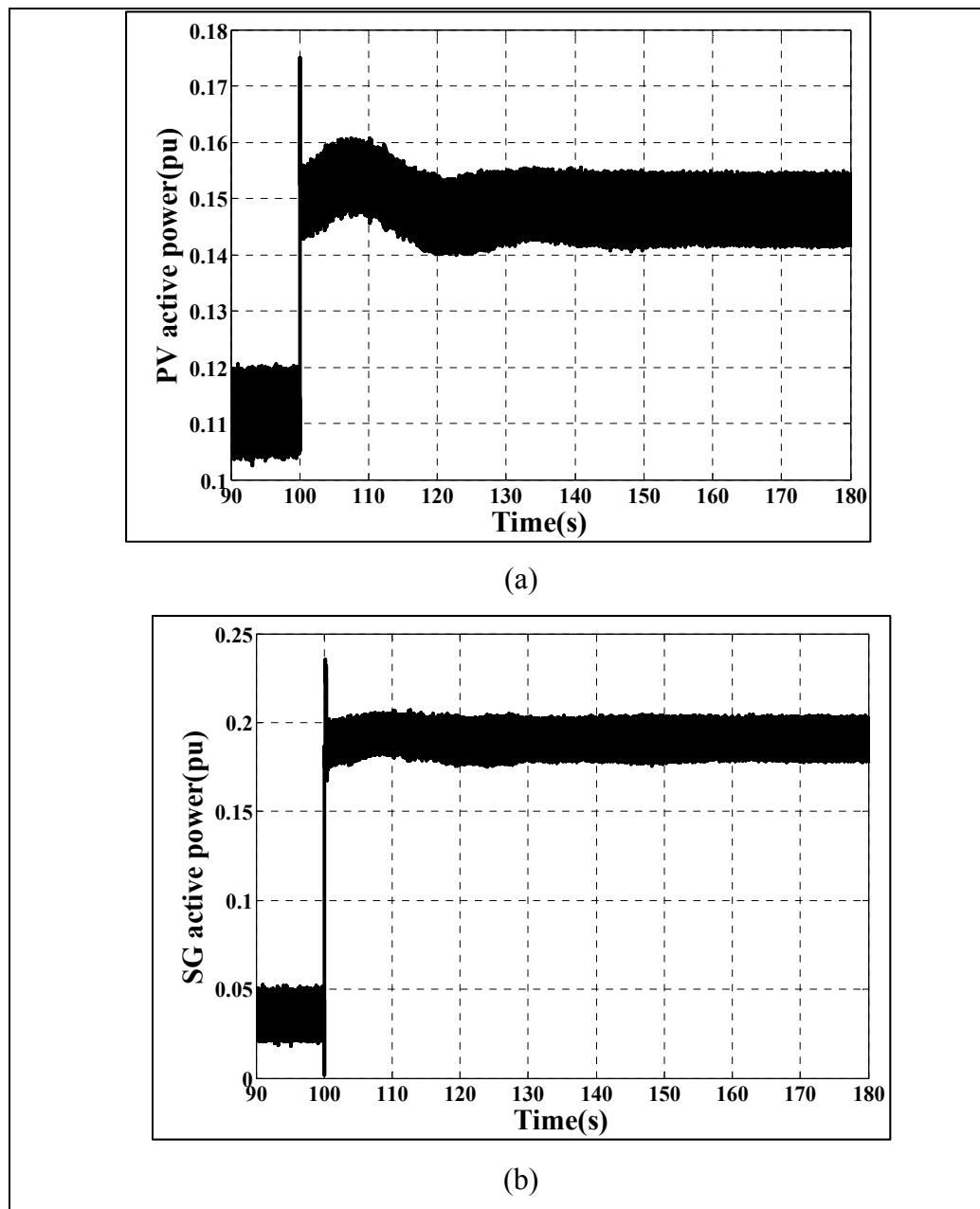


Figure 5.3 Load sharing active power; (a) PV/battery active power; (b) SG active power

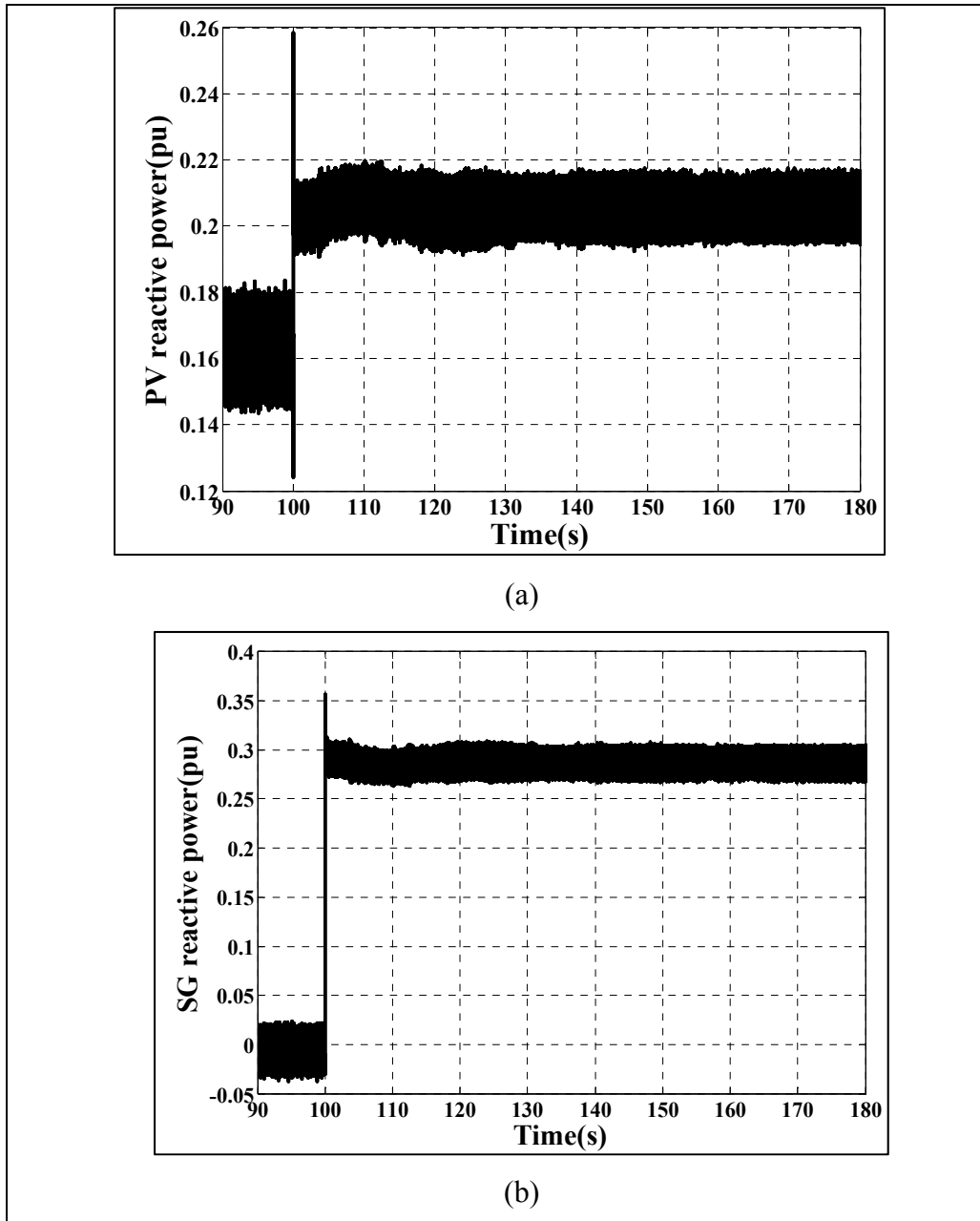


Figure 5.4 Load sharing reactive powers; (a) PV/battery reactive power; (b) SG reactive power

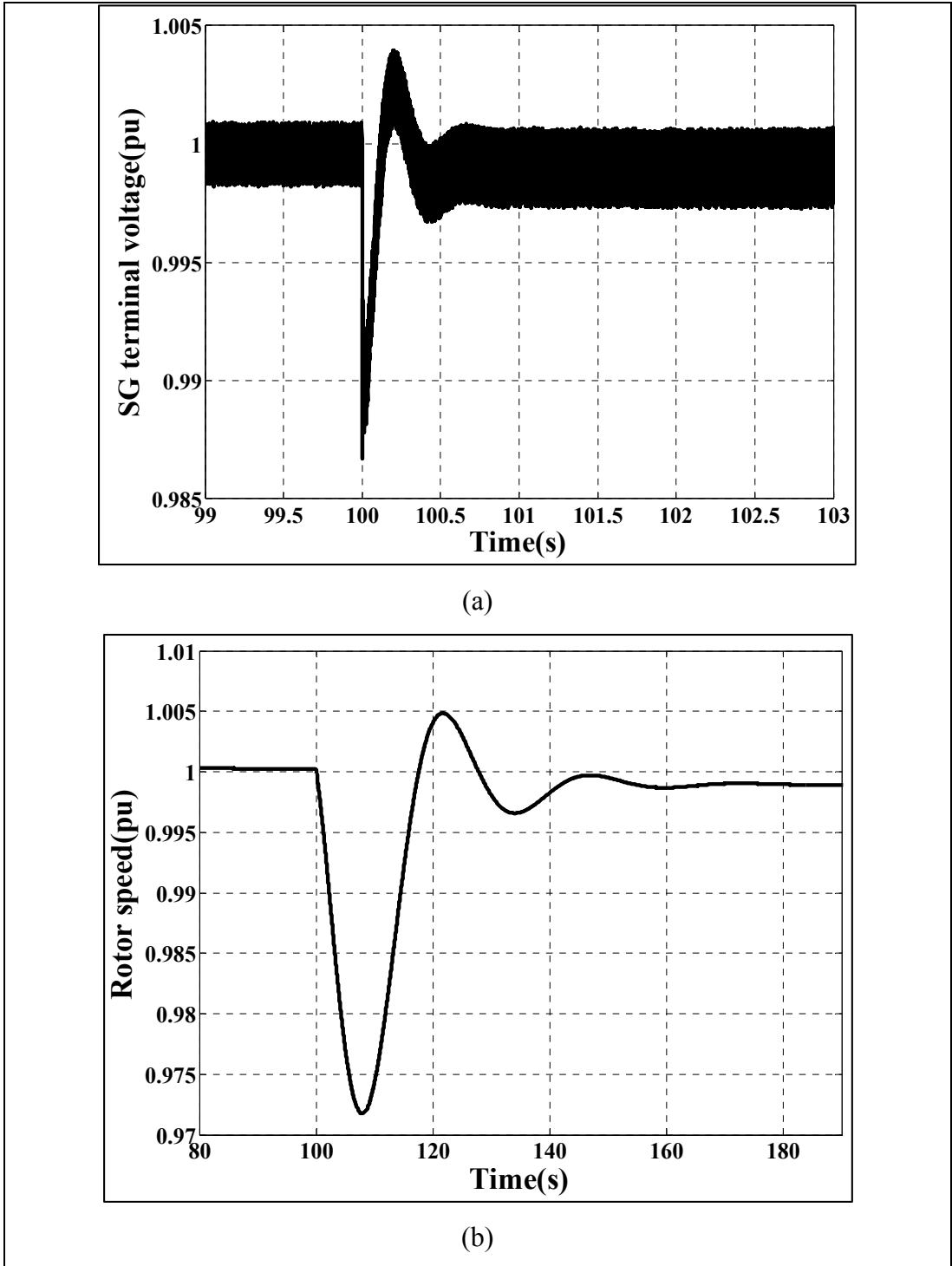
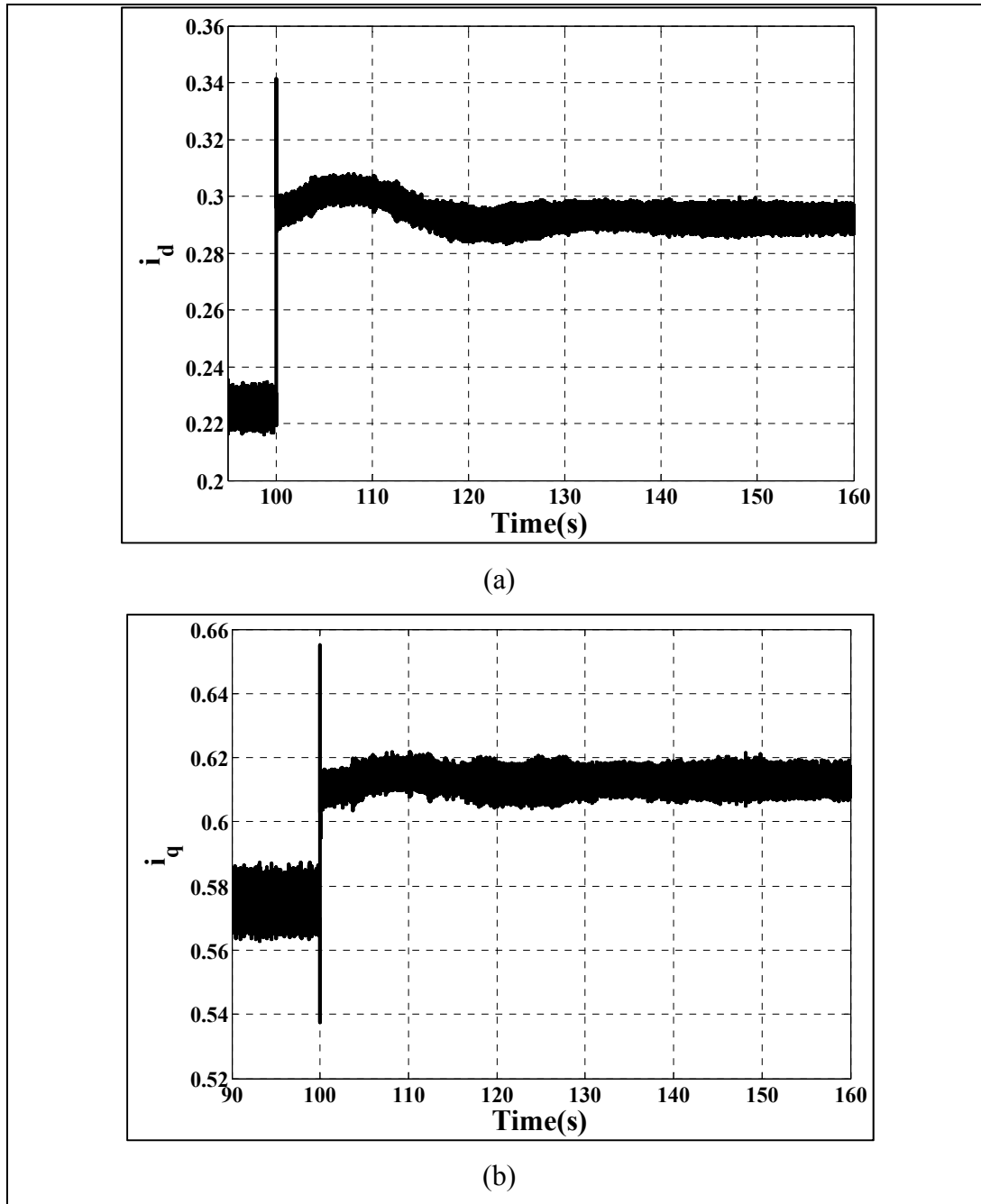


Figure 5.5 SG regulation; (a) SG terminal voltage , (b) speed regulation

Figure 5.6 inverter current regulation; (a)  $i_d$ , (b)  $i_q$



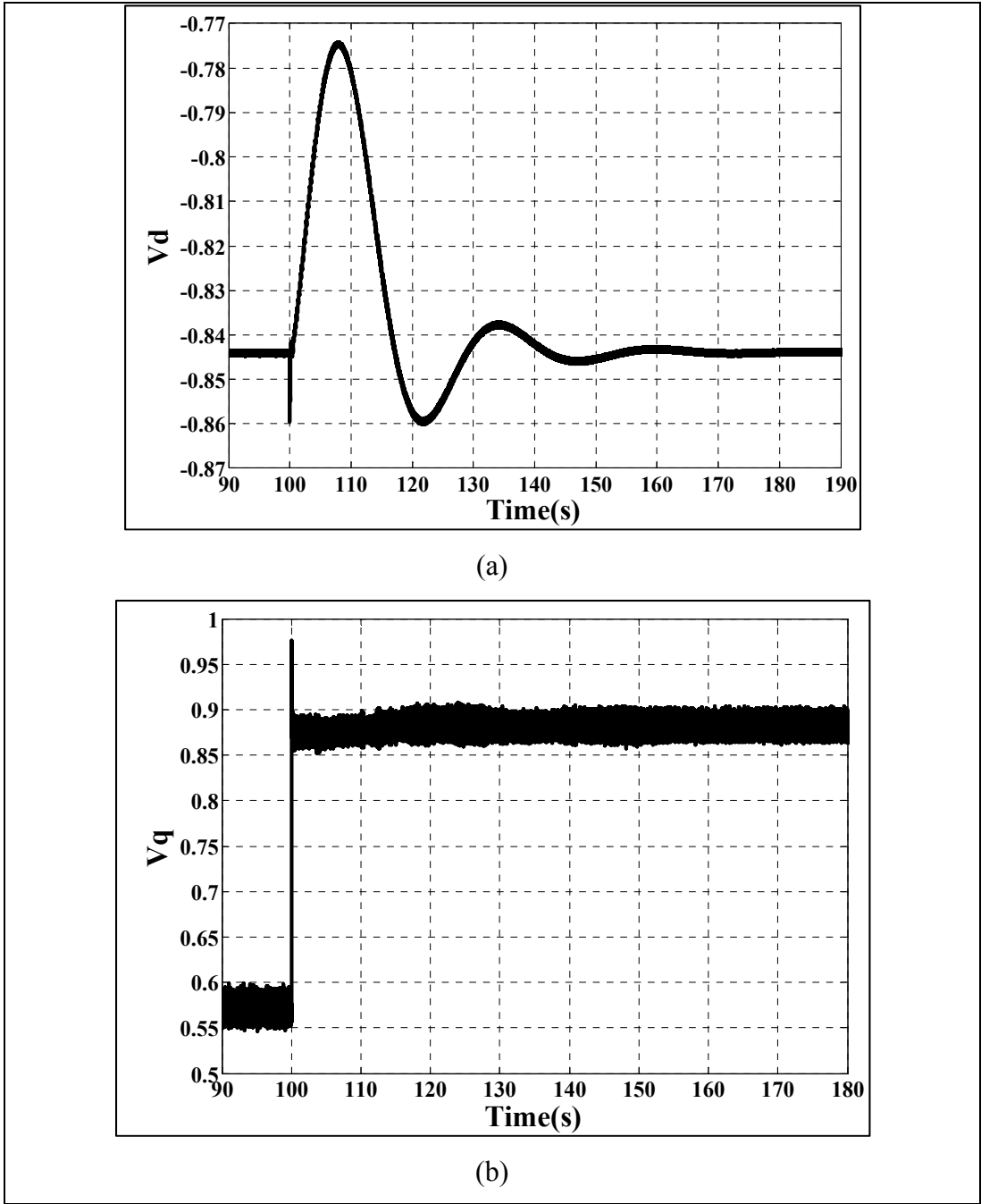


Figure 5.7 Inverter control action; (a)  $v_d$  , (b)  $v_q$

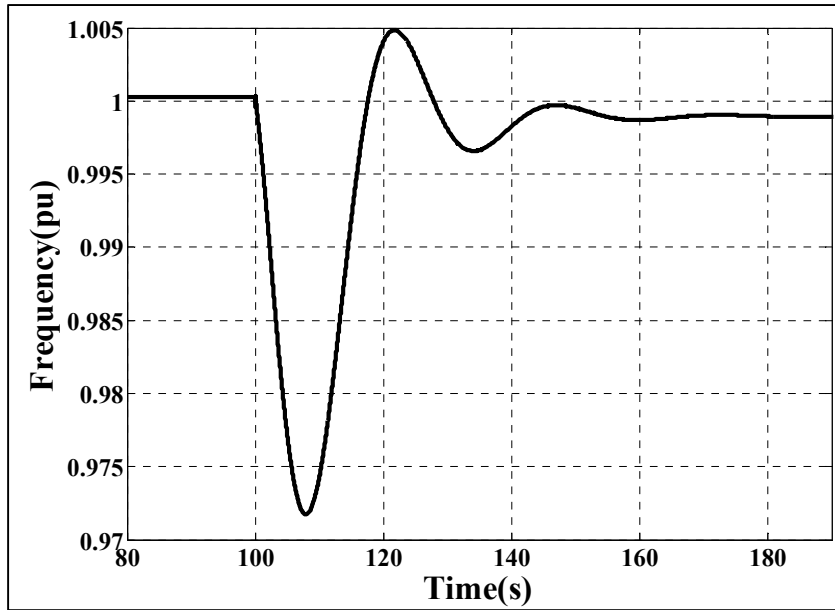


Figure 5.8 Frequency performance

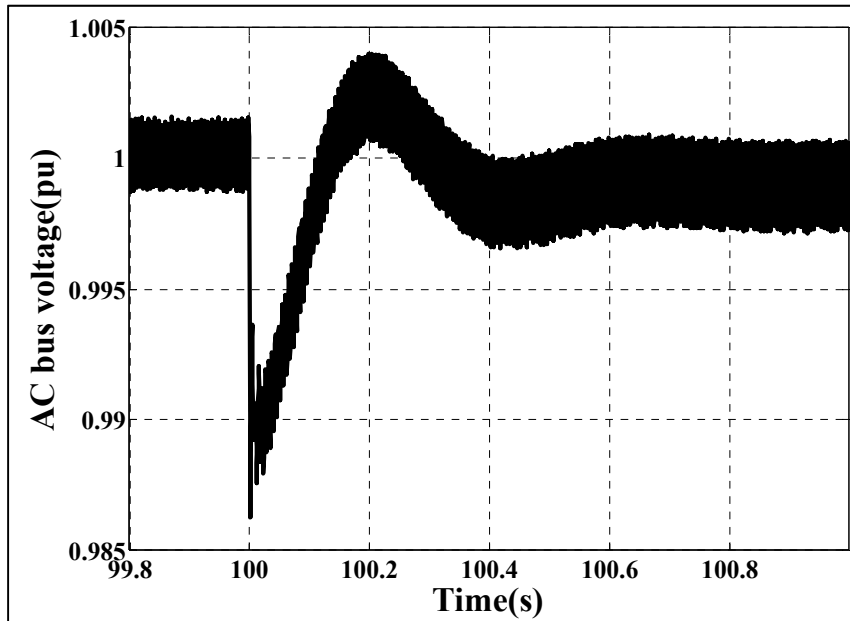
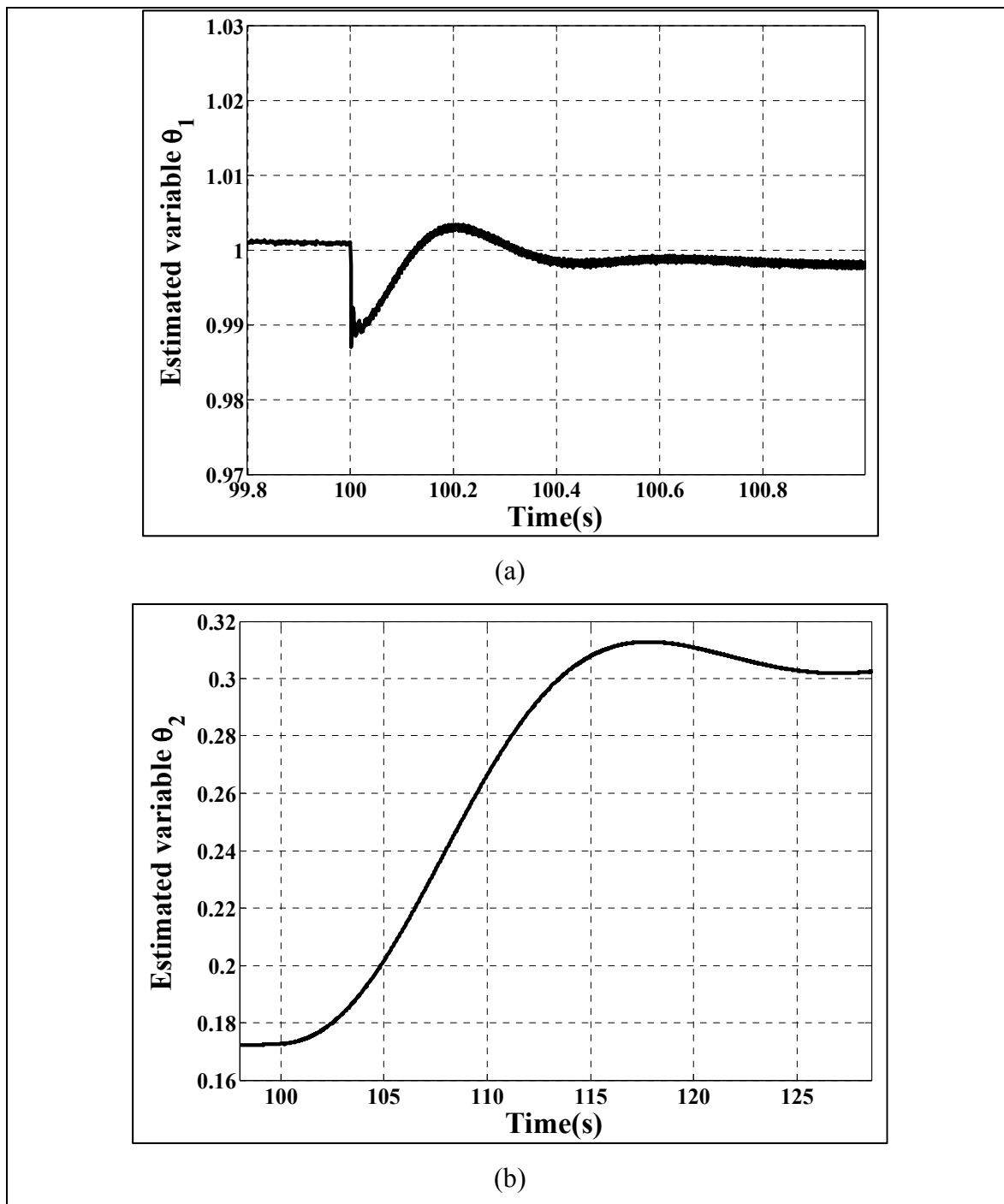


Figure 5.9 AC bus voltage performance

Figure 5.10 Parameter estimation; (a)  $\Theta_1$ , (b)  $\Theta_2$

According to robust and adaptation laws (see Equation (5.48)), the proposed controller is fed by unknown parameters  $\Theta_1$  and  $\Theta_2$ . The estimation of these parameters is presented in Figure 5.10 (a) and Figure 5.10 (b) respectively. The parameter  $\Theta_1$  which is the SG terminal voltage is well estimated at its nominal value. The parameter  $\Theta_2$  which is the load active power follows the load step change discussed in previous results. Since the Direct (robust) adaptive nonlinear controller is used, the precise estimation of unknown variables is not necessary. The objective of the direct adaptation approach is to estimate the variable such that the controller tracks the reference under uncertainty. Therefore these results confirm the proposed controller performance under disturbance and uncertainty.

In addition, to test the PV performance in tracking the efficient power (maximum power point tracking, MPPT) an insolation ramp change is applied to the system shown in Figure 5.11 (a). The PV optimal power is tracked as shown in Figure 5.12 ("a" and "b") under applied insolation scenario.

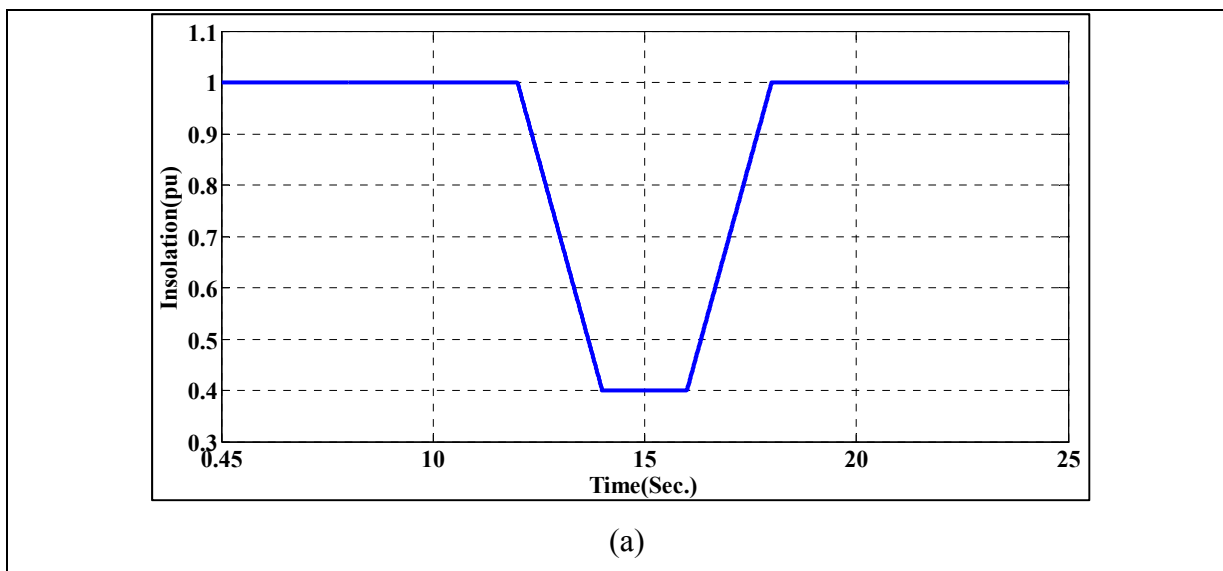


Figure 5.11 MPPT test; (a) insolation ramp change

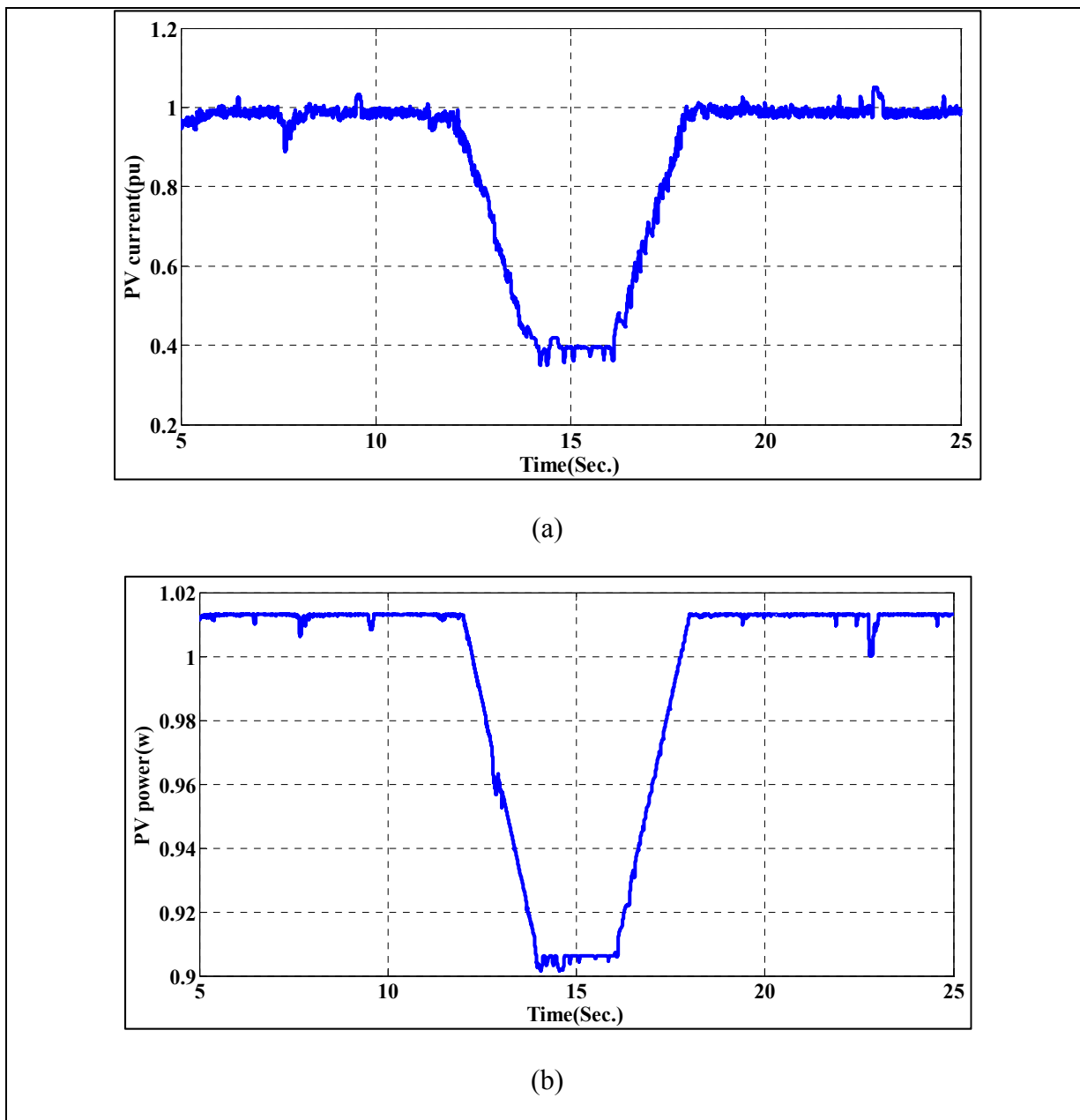


Figure 5.12 MPPT test; (a) insolation ramp change (b) PV current (c) PV power

### Comparison studies of modern control vs. classical control

In this section a comparison studies are performed for three suggested methods in this thesis: droop backstepping control, nonlinear control and robust adaptive nonlinear control. Figure 5.13 shows that the robust adaptive nonlinear control outperforms other methods in regulation of frequency. The frequency transient is improved compared to classical control approach. Moreover the steady state error is reduced by modern control. Figure 5.14 indicates an improvement in transient in AC bus voltage regulation using modern control method compared to the classical control method. These improvements in frequency and voltage regulation are resulted by accurate active and reactive power support by PV-battery system as the exact nonlinearity of system with proper estimation of unknown parameters is taken into account.

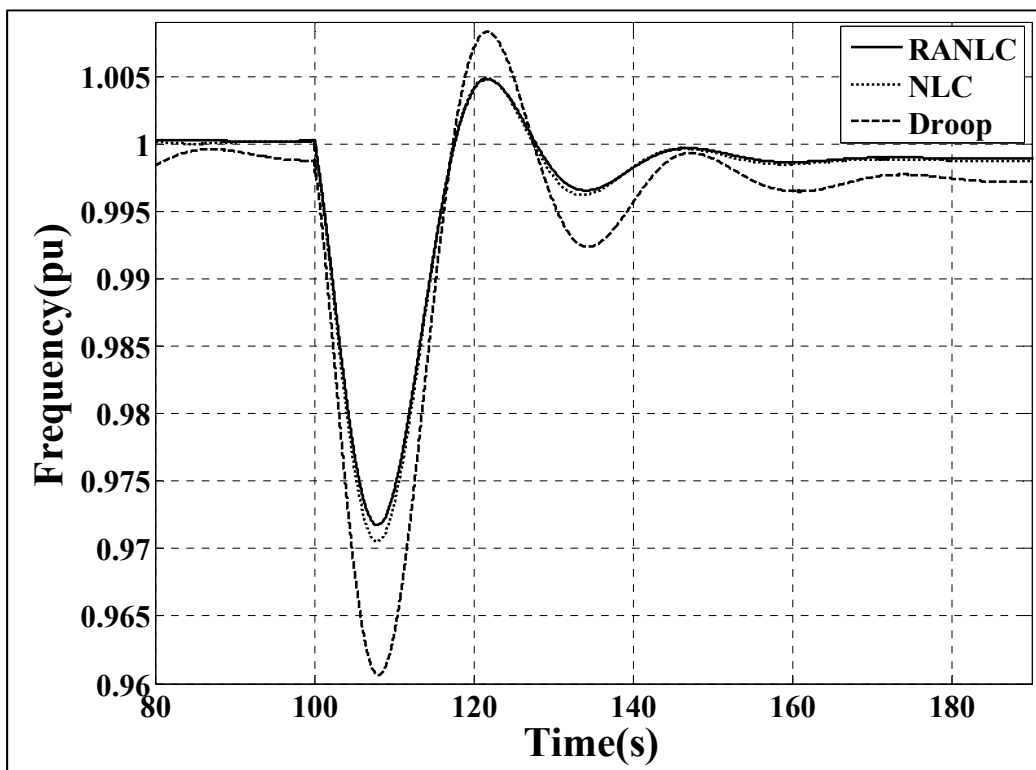


Figure 5.13 Frequency comparison between RANLC (Robust adaptive Nonlinear Control), NLC ( Nonlinear Control) and classical droop control

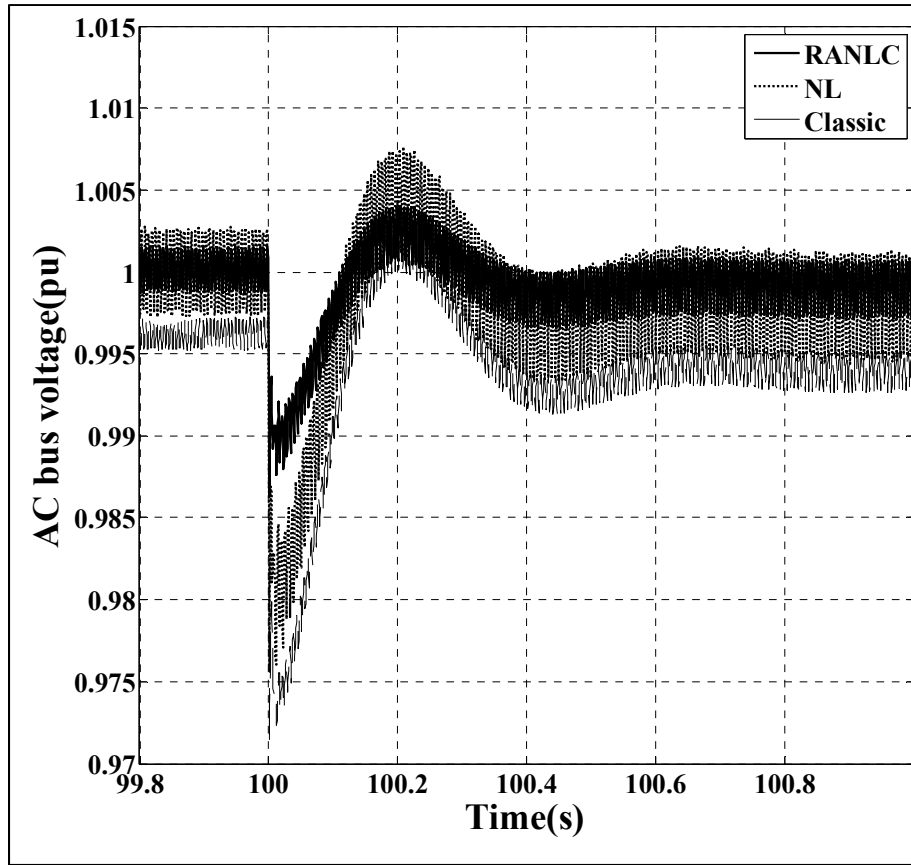


Figure 5.14 AC bus voltage comparison between RANLC (Robust adaptive Nonlinear Control), NLC ( Nonlinear Control) and classical control

Table 5.1. The parameters of microgrid system

MG Parameters	Value
PV terminal capacitor, $C_{pv}$	$590 \mu F$
Battery terminal capacitor, $C_{bat}$	$590 \mu F$
DC link capacitor, $C_{DC}$	$1320 \mu F$
Boost converter inductance, $L_1$	$1 mH$
Battery boost converter inductance, $L_2$	$1 mH$
Filtering inductance, $L_f$	$1 mH$

Nominal frequency, $f_n$	60 Hz
Switching frequency, $f_{sw}$	10 kHz
Microgrid rated power, $P_n$	540 W
Microgrid rated voltage, $V_n$	120 V
STC PV power rating, $P_{MPP}$	140 W
Controller proportional gain, $h_{i1}$	25000
Controller derivative gain, $h_{i2}$	1100
Adaptive gain, $\Gamma$	600
Robust gain, $K$	80

## 5.5 Conclusion

This chapter proposed a systematic control development based on robust adaptive nonlinear controller (exact input-output feedback linearization). The controller was designed for hybrid PV/battery generator in a microgrid including synchronous generator. The controller objectives were frequency and voltage regulation, load sharing and maximum power point tracking. The complexity of nonlinear controller (see chapter 4) to be extended in a large-scale power system is eliminated using the proposed advanced controller. On the other hand the control design is independent to the rest of power system as the unknown variables (imposed by the power system) are estimated. The controller performance was verified in simulation. The results confirmed that the proposed hybrid PV/battery generator behaves as that of conventional synchronous generators. A comparative studies were presented for three methods of classical control, nonlinear control and robust adaptive nonlinear control. The results shows that the proposed modern control outperforms other classical control methods.



## CONCLUSION

### **Concluding Remarks:**

This research thesis suggested advanced and innovative control strategies to make the PV-battery system behave like a conventional generator e.g. synchronous generator in voltage and frequency regulation while automatically managing power sharing between different modules. This thesis was structured based on modeling, control design and validation using both simulation and experimental investigation at GREPCI laboratory.

First, an accurate and nonlinear multi-input multi-output (MIMO) dynamical model of system was extracted. This model was used for the design of a systematic control scheme i.e. robust adaptive nonlinear control.

Second, a classical control approach consisting of a group of control loops was developed to tackle the problem associated with frequency and voltage regulation of PV system. Maximum power point tracking (MPPT) was integrated into the controller. While the results confirmed that the PV system participates into the frequency and voltage regulation, the exact system nonlinearity and parameter uncertainty were not taken into account in the design. The control method needed a power sharing algorithm i.e. charging or discharging battery power. A simulation was done to validate the controller performance.

Third, a systematic nonlinear voltage and frequency control strategy in which the system nonlinearity was considered in the design was proposed (according to the proposed MIMO model). The power sharing was automatically met in the control development. An MPPT was integrated to extract the maximum PV power. The parameter uncertainties subjected to disturbance were not considered in this design. The control method was validated using both simulation and laboratory experimentation.

Fourth, an advanced and innovative voltage and frequency control strategy which is robust, adaptive and nonlinear is proposed via nonlinear dynamics of system. These controllers are

designed to drive switching converters such that the perturbation, uncertainty and nonlinearity of the system as well as power sharing by battery are taken into account in control design. To extract the optimum power of PV generator, a maximum power point tracking (MPPT) algorithm is integrated into the controller. The validations of the proposed strategy were conducted in simulation. A comparison studies using simulation were performed to show the effectiveness of the proposed modern control compared to classical approach.

## RECOMMENDATIONS

- 1) Due to the lack of the synchronous generator (SG) and load models in this thesis, a primary recommendation might involve integrating the load model and the complete model of SG (transient-saturation model) to the proposed model in chapter 2;
- 2) The design and implementation of robust adaptive nonlinear control using the extended model of hybrid PV-battery-SG is recommended;
- 3) A model based control for parallel operation of PV inverters, their synchronization and load sharing in a microgrid are recommended;
- 4) Fast islanding detection method is recommended;
- 5) An automatic synchronization between the PV and SG is recommended;
- 6) The topology of the hybrid PV/battery/SG microgrid system lacks the static transfer switch. It is recommended to consider a static transfer switch (STS) module at the point of common coupling (PCC);
- 7) The design of the control and transfer algorithm for the STS is recommended;
- 8) It is recommended to develop a nonlinear MPPT method which is suitable for partial shading situation which PV characteristics impose multiple peaks;
- 9) It is recommended to develop a model of MG for unbalanced and dynamic loads and to apply robust adaptive nonlinear control for these loads.



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