Ulm, Barthel, Rolnitzky, Schneider, Malik, Bigger Jr., Schmidt:
Risk Stratification in Post-MI Patients Based on Left Ventricular Ejection Fraction and Heart-Rate Turbulence


Online unter: http://epub.ub.uni-muenchen.de/
Risk Stratification in Post-MI Patients Based on Left Ventricular Ejection Fraction and Heart-Rate Turbulence

Kurt Ulm, Petra Barthel*, Linda Rolnitzky§, Raphael Schneider*, M. Malik†, J. Thomas Bigger Jr.§, and Georg Schmidt*

Institut für Medizinische Statistik und Epidemiologie der Technischen Universität München, München, *1. Medizinische Klinik der Technischen Universität München, München, Germany, Germany, §Division of Cardiology, Department of Medicine, Columbia University, New York, New York, USA and †St. George’s Hospital Medical School, London, UK

Correspondence to:
Prof. Dr. K. Ulm, Institut für Medizinische Statistik und Epidemiologie
Technische Universität München, Ismaninger Straße 22, 81675 München, Germany,
Tel. +49-89-4140-4123, Fax: +49-89-4140-4850
E-mail: kurt.ulm@imse.med.tu-muenchen.de

Acknowledgements:
This study was partly supported by grants from the German research Foundation (UL 94/11-1 and SFB 386), the Bundesministerium für Bildung, Wissenschaft, Forschung und Technologie (#13N7073/7) and the Kommission für Klinische Forschung (KKF).
Summary

Objectives: Development of risk stratification criteria for predicting mortality in post-infarction patients taking into account LVEF and heart-rate turbulence (HRT).

Methods: Based on previous results the two parameters LVEF (continuously) and turbulence slope (TS) as an indicator of the HRT were combined for risk stratification. The method has been applied within two independent data sets (the MPIP-trial and the EMIAT-study).

Results: The criteria were defined in order to match the outcome of applying LVEF ≤ 30 % in sensitivity. In the MPIP trial the optimal criteria selected are TS normal and LVEF ≤ 21 % or TS abnormal and LVEF ≤ 40 %. Within the placebo group of the EMIAT-study the corresponding criteria are: TS normal and LVEF ≤ 23 % or TS abnormal and LVEF ≤ 40 %. Combining both studies the following criteria could be obtained: TS normal and LVEF ≤ 20 % or TS abnormal and LVEF ≤ 40 %. In the MPIP study 83 out of the 581 patients (= 14.3 %) are fulfilling these criteria. Within this group 30 patients have died during the follow-up. In the EMIAT-trial 218 out of the 591 patients (= 37.9 %) are classified as high risk patients with 53 deaths. Combining both studies the high risk group contains 301 patients with 83 deaths (ppv = 27.7 %). Using the MADIT-criterion as classification rule (LVEF ≤ 30 %) a sample of 375 patients with 85 deaths (ppv = 24 %) can be selected.

Conclusions: The stratification rule based on LVEF and TS is able to select high risk patients suitable for implanting an ICD. The rule performs better than the classical one with LVEF alone. The high risk group applying the new criteria is smaller with about the same number of deaths and therefor with a higher positive predictive value. The classification criteria have been validated within a bootstrap study with 100 replications. In all samples the rule based on TS and LVEF (= NEW) was superior to LVEV alone, the high risk group has been smaller ( \( \bar{n} \pm s: 301 \pm 14.5 \) (NEW) vs. 375 ± 14.5 (LVEF)) and the positive predictive value was larger ( \( \bar{p} \pm s: 27.2 \pm 2.6 \) % (NEW) vs. 23.3 ± 2.2 % (LVEF)). The new criteria are less expensive due to a reduced number of high risk patients selected.
Introduction

The development of the implantable cardioverter defibrillator (ICD)\(^1\) changed the treatment of patients with malignant arrhythmias. Several randomized clinical trials\(^2-8\) have shown that arrhythmic mortality can be effectively reduced by this therapy. However, the question arises as to which patients will truly benefit from prophylactic ICD therapy.

Risk stratification is a major topic due to the large number of potential candidates and the related costs. Therefore the development of selection criteria remains a serious problem and is the target of many investigations. Usually a combination of various tests are recommended. In a recent meta-analysis\(^9\) a three step procedure was proposed based on signal averaged ECG and LVEF in the first stage followed by ambulatory ECG in the second stage and finally EPS in the third stage. The authors estimated that about 11.8 % of all post MI patients are classified as having a high risk.

Huikuri et. al.\(^10\) investigated the predictive power of various parameters in the β-blocking era. Their conclusions was that the common markers have limited power in identifying high risk patients. In a following editorial Moss\(^11\) recommended that at present it is probably the best to use LVEF as the only marker with a cut-off level of 30 % or 35 %.

The Task Force on Sudden Cardiac Death of the European Society of Cardiology recently recommended a risk stratification strategy which combines a marker of structural damage, such as depressed left ventricular ejection fraction (LVEF), with markers of autonomic imbalance\(^12\).

Heart-rate turbulence (HRT) is a powerful new risk predictor in survivors of myocardial infarction\(^13\) which represents a measure of the autonomic response to postextrasystolic pauses after singular ventricular premature complexes (VPC)\(^14-16\). In a study that developed the concept of HRT\(^13\), HRT and LVEF were found to be the only independent predictors of subsequent mortality in post-infarction patients.

The goal of this study was to develop a risk-stratification algorithm for the prediction of mortality in post-infarction patients that makes use of HRT and LVEF and which can be adapted to various
mortality rates and sensitivity requirements. Data from the MPIP-study\textsuperscript{17} and the EMIAT-trial\textsuperscript{18} were used to develop the algorithm for selecting high-risk patients.

### Methods

#### Patient population

**a) MPIP-trial**

The MPIP study\textsuperscript{17} enrolled survivors of acute myocardial infarction, aged $\leq 70$ years, and followed them for a period of 2.5 years. Patients were excluded from the current analysis because of atrial fibrillation, no VPB during Holter monitoring, missing LVEFs or because of technically insufficient or missing Holter recordings. Five hundred eighty-one patients were used in the analysis. Their clinical characteristics are shown in Table 1. During the follow-up period, 19\% (77 out of 581) of the patients died.

**b) EMIAT-trial placebo-arm**

The primary goal of this randomized trial was to compare the effect of Amiodarane against placebo on total mortality\textsuperscript{18}. Altogether 1168 patients have been enrolled in that study. The main inclusion crieterion had been a LVEF $\leq 40$\%. For the purpose of developing a classification rule all patients from the EMIAT-trial randomized into the placebo-arm were used (n = 591 patients with 82 deaths). The patients were followed up for about 2 years. The basic data of this sample are also shown in table 1.
Table 1: Basis data of both studies

<table>
<thead>
<tr>
<th>STUDY</th>
<th>EMIAT</th>
<th>MPIP</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>Status</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>censored dead</td>
<td>509</td>
<td>504</td>
<td>1013</td>
</tr>
<tr>
<td></td>
<td>82</td>
<td>77</td>
<td>159</td>
</tr>
<tr>
<td>Total</td>
<td>591</td>
<td>581</td>
<td>1172</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th></th>
<th>EMIAT</th>
<th>Median</th>
<th>(5% – 95%)</th>
<th>MPIP</th>
<th>Median</th>
<th>(5% – 95%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>LVEF</td>
<td>591</td>
<td>31</td>
<td>(16 – 40)</td>
<td>581</td>
<td>46</td>
<td>(24 – 72)</td>
</tr>
<tr>
<td>TS</td>
<td>591</td>
<td>4,4</td>
<td>(.7 – 17,7)</td>
<td>581</td>
<td>5,9</td>
<td>(.6 – 25,1)</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th></th>
<th>EMIAT</th>
<th>MPIP</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>TS</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>normal</td>
<td>419</td>
<td>446</td>
<td>865</td>
</tr>
<tr>
<td>abnormal</td>
<td>172</td>
<td>135</td>
<td>307</td>
</tr>
<tr>
<td>Total</td>
<td>591</td>
<td>581</td>
<td>1172</td>
</tr>
</tbody>
</table>

Assessment of the risk predictors

Holter recordings were performed in the second or third week after the index infarction. Initially, the Holter tapes were processed with a Laser Holter 8000 System (Marquette Medical Systems, WI, USA). After visual inspection and manual editing, computer files were generated listing RR interval duration (sampling frequency 128 Hz) and QRS morphological classifications on a beat-to-beat basis.

Both parameters of HRT, Turbulence Onset (TO) and Turbulence Slope (TS) were assessed using previously published methods\textsuperscript{13}. LVEF was quantified by radionuclide ventriculography.
Statistics

A Cox-Model\textsuperscript{19} was used for the analysis. Based on the results of previous analyses only LVEF (continuously) and the turbulence slope (TS) as a measure of the heart rate turbulence (HRT) have been included in the model. In order to determine the appropriate relationship between LVEF and total mortality smoothing splines and fractional polynomials\textsuperscript{20-22} have been applied.

The identification of high risk patients can be based on several criteria. One way could be to use the standard procedure (LVEF \textless= 30 \%) and to select those patients with the same risk or a greater one. Another approach could be to assess optimal cutpoints in applying the CART procedure\textsuperscript{23}.

For any risk stratification procedure certain parameters can be calculated in order to describe the outcome of a particular. The high risk group can be described by the sample size, the average mortality rate at a certain time $t$ and the range ($t = 2$ years have been used), the sensitivity (= percentage of deaths in that risk group divided by the total number of deaths), the positive predictive value (= percentage of deaths in that particular sample). This value is approximately the same as the average 2-years mortality rate due the small number of censored individuals within the two years follow-up.

Each decision rule has to be validated. The best way would be either to split the data available in a trainings- and a validation sample or to use new data. The disadvantage with the two studies available is the reduced number of events and the missing of new data. In the situation where no validation sample is available a technique called bootstrap can be used\textsuperscript{24, 25}. The idea is simple. Based on the data available new samples of the same size are generated by random selection with replacement. This means some of the patients are more than one times in a bootstrap-sample whereas others are missing. This procedure can be repeated as often as desired. The application of a decision rule on the bootstrap samples can be used to describe the performance in new patients in form of mean and standard deviations or range. In order to obtain these values 100 bootstrap samples have been generated.
**Results**

The optimal form to model the influence of LVEF on total mortality was obtained by using a logarithmic transformation. Fig. 1 shows the influence of LVEF and TS on the 2-years mortality rate varying between 5 % and 65 % in both samples. The effect of TS on mortality can also be seen from fig. 1. Using the recent MADIT II criterion\(^8\) (LVEF \(\leq\) 30 %), the 2-years mortality rate is 24 % or higher in the MPIP study and \(\geq\) 21 % in the EMIAT-trial.

Identifying the patients with similar 2-years mortality rates using LVEF and TS the following criteria can be obtained.

<table>
<thead>
<tr>
<th></th>
<th>MPIP</th>
<th>EMIAT</th>
<th>total</th>
</tr>
</thead>
<tbody>
<tr>
<td>TS normal:</td>
<td>LVEF (\leq) 21 %</td>
<td>LVEF (\leq) 23 %</td>
<td>LVEF (\leq) 20 %</td>
</tr>
<tr>
<td>TS abnormal:</td>
<td>LVEF (\leq) 40 %</td>
<td>LVEF (\leq) 40 %</td>
<td>LVEF (\leq) 40 %</td>
</tr>
</tbody>
</table>

Applying these criteria obtained for both samples altogether 301 patients are classified as high risk patients with 83 deaths (ppv = 27.6 %). Using the MADIT II criteria (LVEF \(\leq\) 30 %) the high risk group contains 375 patients with 85 deaths (ppv = 22.7 %) (s. Table 2).

The selection rule based on LVEF and TS is able to identify a smaller number of high risk patients by nearly identical number of deaths.
Figure 1: Influence of LVEF alone and in combination with turbulence slope (= TS) on the 2-years all cause mortality rate for both studies considered.

**MPIP**

![Graph showing the influence of LVEF on mortality rate in MPIP study]

**EMIAT - Placebo**

![Graph showing the influence of LVEF on mortality rate in EMIAT Placebo study]
Table 2: Patients in the high-risk group

<table>
<thead>
<tr>
<th></th>
<th>EMIAT n</th>
<th>dead</th>
<th>%</th>
<th>MPIP n</th>
<th>dead</th>
<th>%</th>
<th>total n</th>
<th>dead</th>
<th>%</th>
</tr>
</thead>
<tbody>
<tr>
<td>total</td>
<td>591</td>
<td>82</td>
<td>13,9</td>
<td>581</td>
<td>77</td>
<td>13,3</td>
<td>1172</td>
<td>159</td>
<td>13,6</td>
</tr>
<tr>
<td>LVEF ≤ 30%</td>
<td>278</td>
<td>53</td>
<td>19,1</td>
<td>97</td>
<td>32</td>
<td>33,0</td>
<td>375</td>
<td>85</td>
<td>22,7</td>
</tr>
<tr>
<td>TS=0, LVEF ≤ 20%</td>
<td>46</td>
<td>11</td>
<td>23,9</td>
<td>3</td>
<td>1</td>
<td>33,3</td>
<td>49</td>
<td>12</td>
<td>24,5</td>
</tr>
<tr>
<td>TS=1, LVEF ≤ 40%</td>
<td>172</td>
<td>42</td>
<td>24,4</td>
<td>80</td>
<td>29</td>
<td>36,3</td>
<td>252</td>
<td>71</td>
<td>28,2</td>
</tr>
<tr>
<td>together</td>
<td>218</td>
<td>53</td>
<td>24,3</td>
<td>83</td>
<td>30</td>
<td>36,1</td>
<td>301</td>
<td>83</td>
<td>27,6</td>
</tr>
</tbody>
</table>

Validation

In order to validate these criteria 100 bootstrap samples all of size n = 1172 have been generated. Using LVEF ≤ 30 % for identifying high risk patients the size of that group was between 343 and 419 patients (\(\bar{n} \pm s: 375 \pm 14.5\)). Applying the new criteria the size of the high risk group varied between 265 and 346 patients (\(\bar{n} \pm s: 301 \pm 14.5\)). In all samples the high risk group based on the new criteria was smaller than using LVEF alone. The positive predictive values varied between 18 % and 28 % for LVEF alone (\(\bar{x} \pm s: 22.3 \pm 2.2\ %\)) and between 20 % and 33 % (\(\bar{x} \pm s: 27.2 \pm 2.6\ %\)) for the new criteria. Again in each bootstrap sample the ppv for the new criteria was larger than for LVEF alone (s. fig. 2).

In summary: the new criteria perform better than LVEF alone in identifying high risk patients. The number of deaths recognized by both methods are nearly identical. However the sample of high risk patients is much smaller using the new criteria with a reduction of 19.7 % and a range between 4 % and 29 %.
Figure 2: The result within 100 bootstrap samples comparing the size of the high risk group and the corresponding positive predictive value (ppv) for the two decision rules considered (LVEF ≤ 30 % and the new one). The result obtained in the original data are marked (x).

positive predictive

size of the high risk

26 28 30 32 34 36 38 40 42

16 18 20 22 24 26 28 30 32 34

TS normal & LVEF ≤ 20 %

or

TS abnormal & LVEF ≤ 40

LVEF ≤ 30

TS normal & LVEF ≤ 30 %

or

TS abnormal & LVEF ≤ 40

LVEF ≤ 30
Cost effectiveness

A major concern for identifying patients suitable for implanting an ICD are the costs\textsuperscript{26,27}.

The estimation of the total costs is based on the following assumption that the implantation of an ICD is about € 30,000.- and € 150.- are required for performing a Holter-ECG.

Using only LVEF for the decision no Holter-ECG has to be performed. In applying LVEF in combination with TS an additional Holter-EGG is necessary if LVEF is between 21 % and 40 %. If LVEF is above 40 % the patients belongs to the low-risk group and with a LVEF ≤ 20 % this is a high risk patient independent of the TS-status.

Considering both studies 705 out of the 1172 patients had a LVEF between 21 % and 40 %.

The average costs for both criterias are as follows.

a) LVEF ≤ 30 %: 375 ICD * € 30,000.- = Mio. € 11.25

b) new criteria: 301 ICD * € 30,000.- + 705 Holter * € 150.- = Mio. € 9.14

Applying the new criteria the high risk group can be reduced and therefore also in the costs of about 19 %.
Discussion

In the original study, LVEF was dichotomized at $\leq 30 \%$ vs. $> 30 \%$, and it was determined that LVEF and TS were the only significant and independent predictors of mortality. In the current analyses, we evaluated the association between LVEF and mortality and chose to use LVEF, logarithmically transformed, as a continuous variable in all analyses.

We proceeded to develop a risk stratification algorithm which utilized both risk predictors, LVEF alone and in combination with TS. The model makes use of different LVEF cut-off points in the patient subgroups with normal and abnormal TS achieving desired levels of sensitivity and positive predictive accuracy.

The optimal separation of low- and high-risk groups remains a different task. With decreasing number of patients in the high risk group the mortality rate increases. The cost-effectiveness therefore is also increasing. However one has to take into account that the so-called low-risk groups contains more and more deaths. But in any case the definition of the criteria for being a high-risk patients remains a problem. One can use the 2 year mortality rate as criterion. In this situation one gets different cutpoints for LVEF depending on turbulence slope. In order to compare the effect of the new procedure with the established one (LVEF $\leq 30 \%$), the following cutpoints have been derived using the data of both studies together (MPIP and EMIAT).

TS normal: LVEF $\leq 20 \%$

TS abnormal: LVEF $\leq 40 \%$

Using these criteria a Holter-ECG has to be performed for patients with a LVEF between 21 and 40 %. Based on these definitions of high-risk 301 patients are fulfilling these criteria with 83 deaths. On the other hand 375 patients have a LVEF $\leq 30 \%$ with 85 deaths. This means applying the new criteria the high-risk group can be reduced by about 19 % with nearly equal number of deaths or similar sensitivity.

Several authors have addressed the problems associated with risk stratification. In post-MI patients there may be a variety of causes of death. All the markers available my be correlated with cardiac death, which accounts for about 60 % of all deaths. The markers may be inadequate for
other causes. But it seems that the process of identifying high risk patients is still an important task and the problem can be solved step by step. In this way the combination of LVEF and turbulence slope is one step to reduce the number of high risk patients. The next step will be investigate whether the patients identified as having a high risk truely benefit from an ICD. There is still room for developing new and more specific markers.
References


