

Potential climatic transitions with profound impact on Europe

Review of the current state of six
'tipping elements of the climate system'

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We discuss potential transitions of six climatic subsystems with large-scale impact on Europe, sometimes denoted as tipping elements. These are the ice sheets on Greenland and West Antarctica, the Atlantic thermohaline circulation, Arctic sea ice, Alpine glaciers and northern hemisphere stratospheric ozone. Each system is represented by co-authors actively publishing in the corresponding field. For each subsystem we summarize the mechanism of a potential transition in a warmer climate along with its impact on Europe and assess the likelihood for such transition based on published scientific literature. For summary, the 'tipping' potential for each systems is provided as a function of global mean temperature increase which required some subjective interpretation of scientific facts by the authors and should be considered as a snapshot of our current understanding.

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17 1. General concept of tipping elements

18 Definition of tipping elements for the paper In this review we follow the formal definition of
19 tipping elements given by Lenton *et al.* (2008), which was formulated less rigorously for the
20 Synthesis Report of the IARU Congress on climate change (Richardson *et al.*, 2009). For all
21 practical purposes the following concise formulation, which we will adopt for this paper, is
22 sufficient.

23

24 “Tipping elements are regional-scale features of the climate that could exhibit a threshold
25 behaviour in response to climate change - that is, a small shift in background climate can
26 trigger a large-scale shift towards a qualitatively different state of the system.”

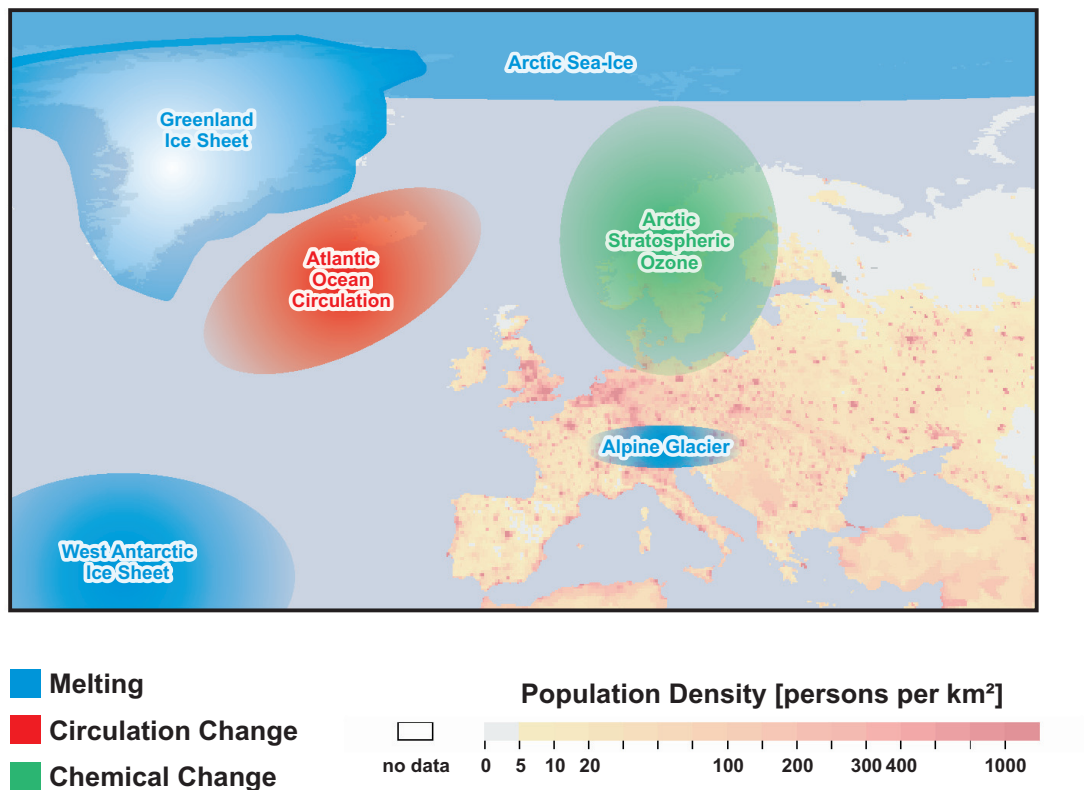


Figure 1 Potential tipping elements with direct impact on Europe as discussed in this paper.

27 It should be noted that this definition includes the possibility of irreversible shifts and
28 multiple stable states of a system for the same background climate (so-called hysteresis
29 behaviour as illustrated in figure 2). It is, however, not restricted to these.

30 Role of self-amplification for tipping elements The word *tipping element* suggests the existence
31 of a self-amplification process at the heart of the tipping dynamics. Once triggered it

32 dominates the dynamics for a certain period of time and thereby induces a qualitative change
 33 within the system, e.g. from an ice-covered to an ice-free Arctic. If existent, understanding
 34 the self-amplification process is crucial to prevent tipping. A prominent example of such
 35 self-amplification is the ice-albedo feedback (figure 2) that is discussed to be operational in
 36 the Arctic sea-ice region and on mountain glaciers such as the Alps and the Himalayas: An
 37 initial warming of snow- or ice-covered area induces regional melting. This uncovers darker
 38 ground, either brownish land or blue ocean, beneath the white snow- or ice-cover. Darker
 surfaces reflect less sunlight inducing increased regional warming¹- the effect self-amplifies.

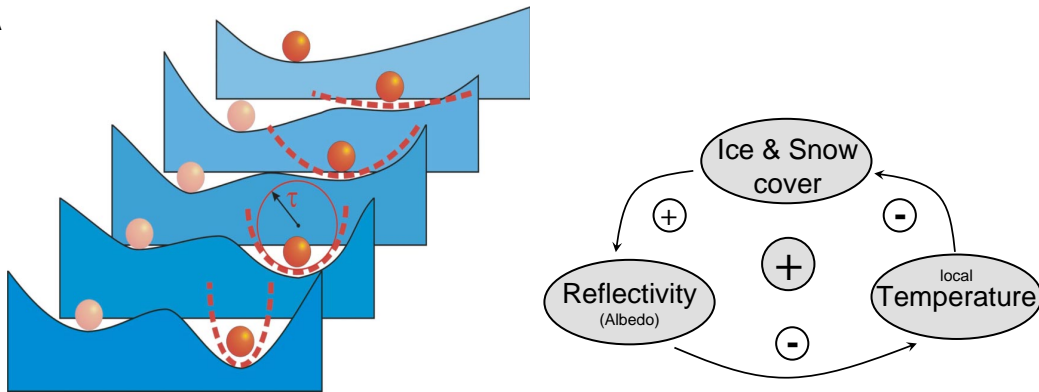


Figure 2 **Left:** Schematic illustrating the tipping of a system (Lenton *et al.*, 2008). Initially (front), the system (dark orange ball) is stable within its background climate (blue valley). Initial changes in background climate do not alter the ball's position (or system's state). At a certain threshold small changes cause the ball to roll over. The system is tipping into a qualitatively different state. **Right:** The ice-albedo feedback as an example of self-amplification which is at the heart of most *tipping elements*. A plus between two processes denotes an enhancing influence; a minus denotes reduction. For example, increased temperature reduces ice cover. An even number of minuses yields a self-amplification loop (denoted by '+' in the center of the loop).

39 Here, following Lenton *et al.* (2008), the tipping of a system is not defined through such
 40 self-amplification, but rather through the ratio of small external perturbation to strong
 41 system's response. Such a definition does not comprise any dynamic element. This is
 42 justified especially from some stakeholders' perspective (Lenton *et al.*, 2009) which are
 43 mainly interested in whether a region will undergo exceptionally strong climate-related
 44 changes. For the example of the Arctic summer sea ice, we describe below that it is currently
 45 not clear whether the Arctic sea ice decline shows signs of internal acceleration. From the
 46 stakeholders' perspective, however, internal self-amplification is of secondary importance
 47 as long as the process is abrupt. For local communities as well as Arctic ecosystems it is
 48

¹ The phrase ice-albedo feedback is commonly used and refers to the changing reflectivity or *albedo* of the surface.

49 more important that the sea ice is declining rapidly and that summer sea ice will most likely
50 vanish for a further warming of 1-2°C.

51 As mentioned above, in this paper, we adopt the stakeholders' perspective and define
52 *tipping elements* through a strong response to small external perturbations. The authors
53 emphasize however that a dynamical perspective might better reflect the public perception of
54 the word *tipping element* and thus the dynamical perspective will be emphasized whenever it
55 is applicable. In order to minimize any possible misconception, we adopt the term transition
56 as a synonym for the term 'tipping' as defined by (Lenton *et al.*, 2008).

57 **Structure of the paper and selection of tipping elements** In the following sections, six different
58 'tipping elements of the climate system' with direct relevance for Europe are discussed
59 (figure 1). Even though we can not claim completeness, the tipping elements discussed were
60 selected and sorted according to the severity of their *direct* impact on Europe. It is important
61 to note that a number of global tipping elements might have *indirect* effect on Europe possibly
62 through a major disturbance of the climate system or migration of climate-change-induced
63 refugees. The Himalayan glaciers, for example, store water which is released into the rivers
64 of India, China and neighbouring countries. Current water supply during the dry season in
65 these countries with more than two billion inhabitants depends on this storage mechanism.
66 Comparable to other mountain glaciers the Himalayas are vulnerable to global warming
67 through, for example, the albedo-feedback described in section 5. Similarly important,
68 monsoon systems in India, Asia and Africa support the livelihood of hundreds of million
69 of people by providing precipitation for regional agriculture. Since monsoon circulations
70 are sustained by a self-amplification process, they might show abrupt cessation (Levermann
71 *et al.*, 2009). Although monsoon rainfall in Asia seems to have undergone abrupt transitions
72 in the past (Wang *et al.*, 2008), their tipping potentials has not been evaluated and no robust
73 assessment can be given at this point.

74 Other processes might further amplify global warming and thereby affect also Europe. An
75 example of a tipping element with such characteristic is thawing of northern hemispheric
76 permafrost (Lashof, 1989). The associated biological activity induces the release of methane
77 and carbon dioxide from the ground. These are greenhouse gases and currently represent
78 the two strongest anthropogenic contribution to global warming. The release per degree of
79 global warming depends on a number of regional biological factors and is difficult to assess
80 but poses a potential source of additional warming. Current assessments suggest that the
81 self-amplification is, however, small (Stendel & Christensen, 2002, Lawrence & Slater, 2005).

82 In this review we focused on tipping elements with *direct* impact on Europe. It is important
83 to note that we do not seek a comprehensive assessment of the systems but restrict the
84 discussion to a potential transition into a qualitatively different state. Consequently, each
85 section briefly describes the potential tipping element and the impacts of such a transition,
86 followed by an explanation of the associated self-amplification process and a brief assessment
87 of its tipping potential. We conclude with a comparison of tipping potentials and linkages
88 between different systems.

2. Ice sheets on Greenland (GIS) & West Antarctica (WAIS)

Current sea level contribution and potential future impact on Europe Most European coast line protection was initially built for the last century's sea level conditions and has mainly been readjusted moderately since. Though the situation may strongly differ from region to region, the maximum height to which dykes may be elevated rarely exceeds 1 m. Beyond this region-specific threshold significant rebuilding is necessary to protect land against storm surges and flooding. Most coastlines can not be protected against sea level rise of several meters. Therefore it is important to assess the potential for rapid **sea level rise (SLR)** within this century and beyond due to accelerated melt of the large ice sheets on Greenland and Antarctica.

Global warming of about $0.7^{\circ} \pm 0.1^{\circ}\text{C}$ during the last century has increased global sea level by about 0.15-0.2 m (Church & White, 2006). Mountain glaciers and ice caps (MGIC) were responsible for about 0.05 m of SLR during 20th century. A similar contribution was due to oceanic thermal expansion. A possible source for the missing 0.05-0.10 m are the large ice sheets on Greenland and Antarctica. Direct observational data are, however, extremely limited prior to the 1970s. In the last 10-15 years this has changed. It has now been shown that both the Greenland Ice Sheet (GIS) and the West Antarctic Ice Sheet (WAIS) have been losing mass and this loss has been accelerating (Velicogna, 2009). During this period, the much larger East Antarctic Ice Sheet (EAIS) has been approximately in balance (Rignot *et al.*, 2008). These changes in ice sheet behaviour are recent and rapid and were not predicted by any of the current generation of ice sheet models. As a consequence, the Intergovernmental Panel on Climate Change (IPCC) suggested only modest contributions from the large ice sheets in its fourth assessment report in 2007 (Meehl *et al.*, 2007). Already the observed sea level rise between 1990 and 2006 was underestimated by about 40% (Rahmstorf *et al.*, 2007). It was acknowledged in the report that ice sheet processes were not adequately incorporated into projected sea level rise due to the inadequacy of the current generation of models. As a result, the projected global SLR of 0.20 - 0.60 m by 2100 underestimates the potential contribution of the ice sheets. A semi-empirical approach that links temperature increase above pre-industrial with the rate of sea level change yields much wider uncertainty for the respective IPCC scenarios in 2100 of 0.50 - 1.40 m (Rahmstorf, 2007).

In recent years (since the mid 1990s) Antarctica exhibits net ice loss and is currently contributing about as much to global SLR as Greenland (Velicogna, 2009). An assessment of the potential contribution of the great ice sheets within this century is the subject of intense research efforts. The water stored in GIS is sufficient to raise global sea level by about 7 m. Although WAIS contains enough ice to increase global sea level by approximately 5 m, only about 3 m SLR equivalent are subject to potential self-amplifying ice discharge because they are grounded below the current sea surface (Bamber *et al.*, 2009). The East Antarctic Ice Sheet could raise sea level by another ~ 50 m. Even though also in East Antarctica large areas of bedrock are below sea level evidence for the possibility of abrupt discharge there is not established.

129 During the last glacial period (about 20 thousand years ago) large water masses were
130 stored in ice sheets on the Northern Hemisphere. Furthermore colder ocean water was
131 contracted and sea level was about 120-130 m below present levels. About 3 million years
132 ago, global temperatures were higher than presently observed and reconstructions of past
133 sea level show an elevation of 20-30 m above that seen today. Even higher temperatures
134 40 million years ago were associated with even higher levels of about 60-70 m above present
135 levels. Despite large uncertainty it is clear that, in the past, sea level has responded to
136 temperature changes of a few degrees by sea surface elevations of the order of tens of meters.
137 These changes might have occurred in steps and not gradually and over long periods of time.
138 The most recent period that was warmer than the present was the last interglacial, known as
139 the Eemian, from 130-115 thousand years before present. During this period, sea level was
140 at least 4-6 m higher than today and summer temperatures were 3-6°C warmer (CAPE-Last
141 Interglacial Project Members, 2006, Sime *et al.*, 2009). Thus, it is evident that there is a
142 profound difference between the equilibrium response of sea level to temperature and the
143 transient, centennial to millennial, response that is important here.

144 Consequently current projections of SLR for the 21st century are one to two orders of
145 magnitude smaller than the expected equilibrium response of SLR for the same temperature
146 derived from paleodata. This is due to strong inertia in the system which causes sea level
147 response to temperature changes to be relatively slow but also long lasting. The question
148 is: How quickly can sea level rise in response to rapid temperature increase? Due to their
149 potentially self-amplifying ice loss mechanisms, GIS and WAIS are particularly important in
150 a risk assessment of future SLR. Mass loss of an ice sheet is not just associated with more
151 water in the ocean. Loss of big ice masses affects Earth's gravitational field and thereby
152 regional sea level. For example, the loss of the GIS reduces the gravitational pull into the
153 North Atlantic, hence lowering sea levels and offsetting SLR in that region but enhancing
154 SLR in other regions. As a consequence the water distribution within the oceans is changed
155 which alters the sea level pattern. Figure 3 shows the combined effects of additional water
156 and associated gravitational effects for GIS and WAIS. Northern European coastlines will
157 thus be less affected by mass loss in Greenland, while a reduction in WAIS leads to even
158 stronger sea level rise on the European and North American coast compared to the global
159 mean. There are, however, also shorter-term effects related to ocean dynamics that may
160 also lead to large regional variations in sea level rise and which are superimposed on any
161 gravitationally driven changes (Yin *et al.*, 2009, Stammer, 2008, Levermann *et al.*, 2005).

162 **Mechanism: Self-amplifying ice loss from Greenland** GIS covers most of Greenland and reaches
163 a thickness of up to 3500 m. Since atmospheric temperatures decline with altitude¹, GIS's
164 highly elevated surface is significantly colder than it would be at sea level. This gives rise to

1 On average temperatures decline by about 7°C for each kilometre altitude. Locally and temporarily this 'lapse rate' depends on weather conditions, but its order of magnitude is a robust feature which is fundamentally linked to Earth's gravity.

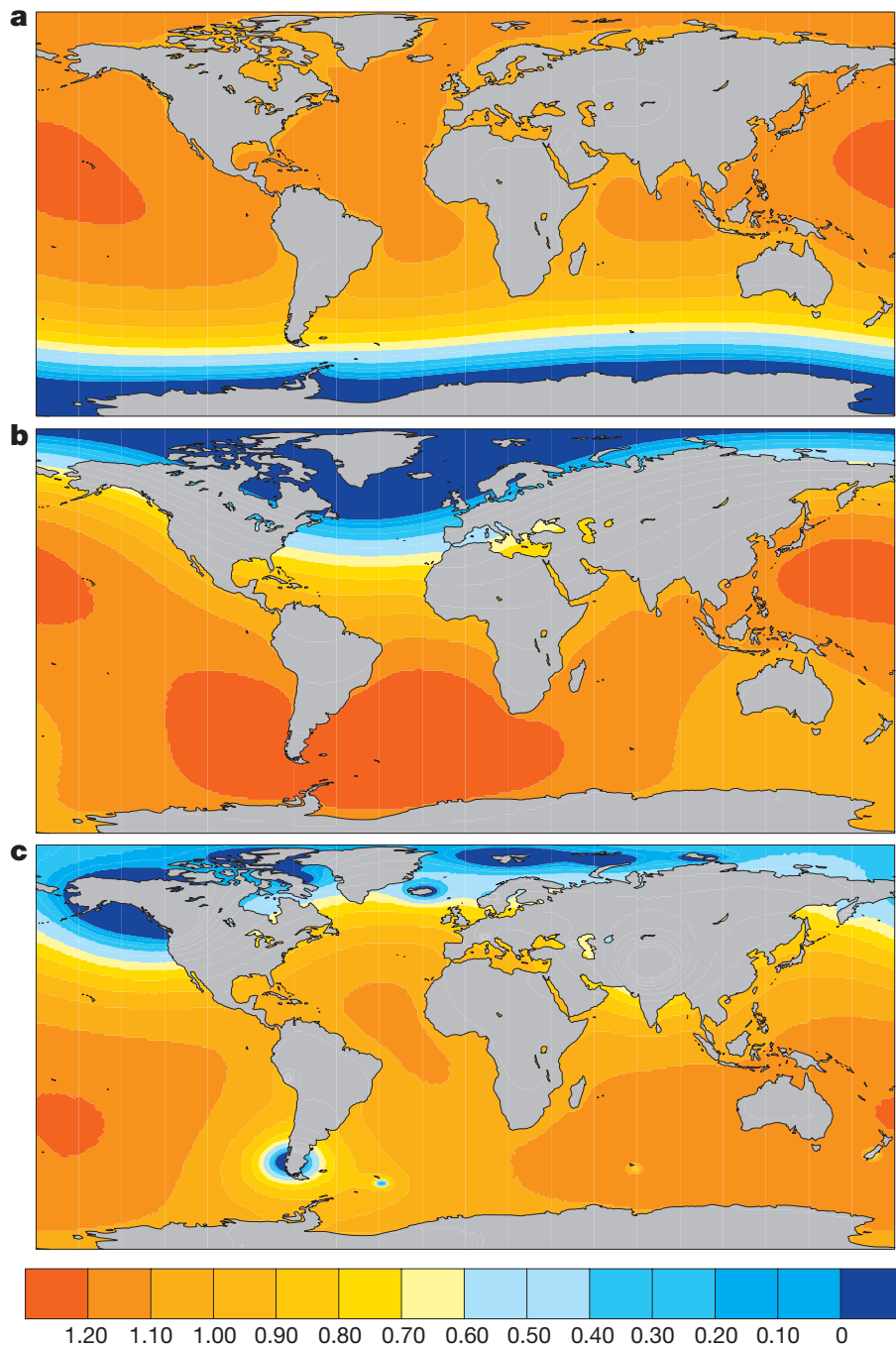


Figure 3 Regional distribution to sea level rise from (a) West Antarctic Ice Sheet, (b) Greenland Ice Sheet (GIS) and (c) mountain glaciers. Regional heterogeneity arises from gravitational effects and slight changes in Earth rotation. Actual sea level rise in meters is obtained by multiplication of values in panel a with ~ 3.5 m (Bamber *et al.*, 2009) and values in panel b with ~ 7 m. Figure from (Mitrovica *et al.*, 2001)

165 a potential self-amplification process: If GIS loses ice, its surface elevation is lowered and

166 its surface temperature increased. This enhances ice loss through melting and possibly the acceleration of iceberg discharge (**surface-elevation-feedback**).

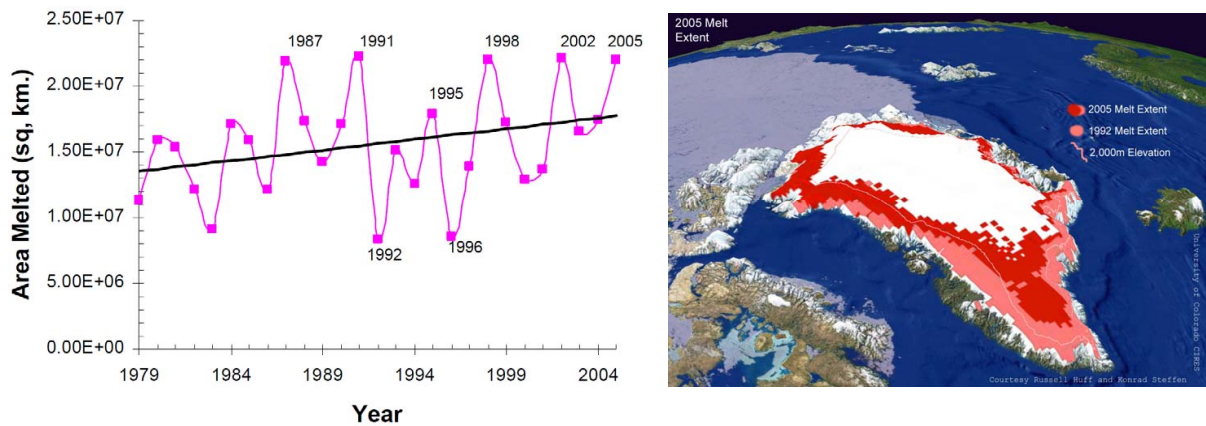


Figure 4 GIS melting area shows strong variations from year to year with some underlying trend towards larger areas of melting (left). Since 1979 with the first available satellite images of the region, the largest melting area was observed during the warmest year on record, 2005, while the smallest melting area was recorded in 1992 after the Mount Pinatubo volcanic eruption (right). Figures from K. Steffen, University of Colorado, USA.

167

168 **Assessment of tipping potential for Greenland Ice Sheet (GIS)** It is important to note that
169 due to the surface-elevation-feedback, simulations suggest that GIS would not regrow under
170 present climate conditions once it is eliminated and that its present existence is a relict
171 of the last glacial period (Toniazzo *et al.*, 2004, Ridley *et al.*, 2010). From a stakeholder's
172 perspective the relevant question, however, is whether there is a critical threshold temperature
173 at which a complete disintegration of GIS is certain. In 2007, the IPCC-AR4 estimates this
174 threshold to be $4.5 \pm 0.9^\circ\text{C}$ of warming over Greenland. Due to enhanced warming in high
175 northern latitudes (figure 8) the associated range in global mean temperature is slightly lower
176 (estimated to $3.1 \pm 0.8^\circ\text{C}$ by Gregory & Huybrechts (2006)) and depends on the rapidity of
177 Arctic sea-ice retreat (section 4) as well as atmospheric dynamics that contribute to polar
178 amplification of the anthropogenic warming signal. The IPCC-AR4 states that this threshold
179 could be crossed within this century.

180 This estimate is, however, based on the so-called Positive-Degree-Day (PDD) approach,
181 which employs an empirical relationship between surface melting and surface temperature.
182 This parameterization needs to be calibrated using presently observed climatic conditions and
183 it is questionable whether such calibration is valid for strongly altered boundary conditions
184 as in a markedly warmer climate. More physically based energy-balance models tend to
185 have a reduced sensitivity of the surface mass balance to increasing temperatures which
186 might shift future threshold estimates towards higher values. Nonetheless, it is certain that
187 increased temperatures in the Arctic will result in increased mass loss from the GIS. What is

188 less certain is the temperature at which the fate of the ice sheet is sealed. There is currently
 189 no evidence from model simulations or observational data that suggest that a near-complete
 190 disintegration might occur quicker than on a millennial time scale even for quite extreme
 191 warming scenarios (Ridley *et al.*, 2005).

192 Land ice models are currently not able to capture observed acceleration of ice streams on
 193 GIS as for example the doubling in ice speed in the fastest flowing ice stream in Jakobshavn
 194 Isbrae (Joughin *et al.*, 2004). Due to difficulties of current state-of-the-art models to simulate
 195 fast ice flow processes, models are likely to underestimate GIS sea level contribution of this
 196 century. Consequently scientists have employed a different approach to estimating the GIS
 197 sea level contribution within **this** century. Avoiding model simulations, Pfeffer *et al.* (2008)
 198 estimated the maximum contribution of GIS to global SLR as constrained by the maximum
 199 ice speed possible and the width of potential ice discharge outlets, to 0.54 m within this
 century.

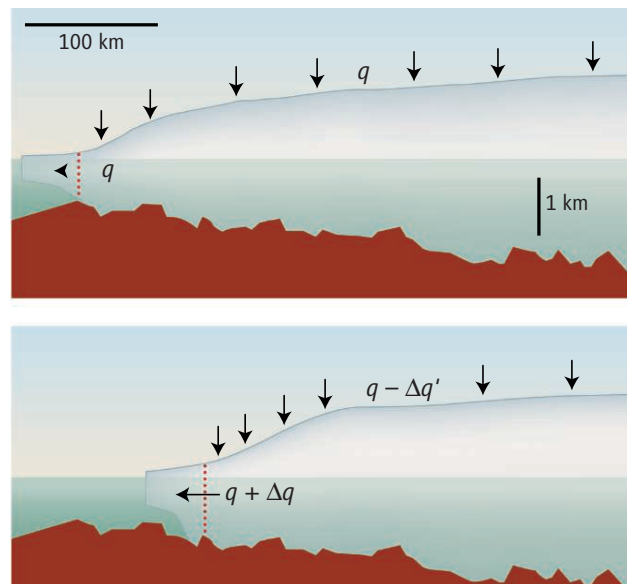


Figure 5 Tipping of the West Antarctic Ice Sheet (WAIS). Possible self-amplification process of WAIS discharge (schematic from Vaughan & Arthern (2007)). For regions in which the ice sheet is grounded below sea level ice flow across the grounding line (dashed vertical line) grows with ice thickness. If the bed is sloping down, ice discharge may self-accelerate.

200

201 **Mechanism: Self-amplifying ice loss from West Antarctica** Low temperatures in Antarctica
 202 inhibit ice-sheet melting and ice loss predominantly occurs (99%) through discharge across the

203 so-called grounding line into ice shelves¹. Ice shelves are floating ice masses of several hundred
204 meters thickness which are subject to oceanic melting and refreezing, as well as calving into
205 icebergs. Most bedrock beneath the WAIS is below current sea level. For such situations
206 (figure 5), theoretical considerations suggest that ice flow through the grounding line increases
207 with ice thickness (Weertman, 1974, Schoof, 2007b). Since bedrock is sloping down landward
208 from the coastline in most of West Antarctica, this may lead to self-amplification: A retreat
209 of the grounding line shifts its position towards regions of greater ice thickness. This enhances
210 ice flow through the grounding line and yields a thinning of the still grounded ice which
211 causes further retreat of the grounding line.

212 **Assessment of tipping potential for West Antarctic Ice Sheet (WAIS)** WAIS has collapsed
213 at least once during the Quaternary, over the last 750 thousand years. The most likely
214 period for a collapse is around 400 thousand years before present during a particularly warm
215 interglacial (Scherer *et al.*, 1998). Simulations in combination with paleo records suggest that
216 a collapse took place several times during a period of prolonged warming about 3 million
217 years ago (Pollard & Deconto, 2009, Naish *et al.*, 2009). During these periods Antarctica, as
218 a whole, contributed to global SLR by about 7m within a time interval of 1000-7000 years.
219 For a complete collapse of the WAIS it would be necessary to largely remove the biggest ice
220 shelves in Antarctica: the Filchner-Ronne and Ross. These buttress much of the vulnerable
221 inland ice and regional warming of 5°C or more may be required to achieve this (Pollard &
222 Deconto, 2009). A partial collapse or retreat of the WAIS is, however, also possible and recent
223 observations from satellites support theoretical analysis of how this might occur (Rignot,
224 1998). Particularly glaciers in the Amundsen Sea sector show strong thinning, a retreat of
225 the grounding line (Rignot, 1998) and strong mass loss (Rignot *et al.*, 2008), indicating the
226 possibility that a partial disintegration might have been initiated. The ice volume associated
227 with this region of WAIS is equivalent to a global sea surface elevation of about 1.5 m.

228 Recent observations in West Antarctica between 1992 and 1998 show a fast grounding-line
229 retreat of the Pine Island Glacier of 1.2 ± 0.3 km (Rignot, 1998), and an equally rapid
230 grounding-line retreat (1.4 ± 0.2 km) and mass loss of the Thwaites Glacier (Rignot, 2001,
231 Rignot *et al.*, 2002) between 1992 and 1998 (figure 6). Dynamic thinning along ice margins
232 has been observed for most of the West Antarctic coast line (Pritchard *et al.*, 2009) that
233 is consistent with what would be expected in the case of grounding line instability. An
234 integrated assessment of the risk of a WAIS collapse is currently not available. An estimate
235 of a maximum contribution to global SLR from WAIS using the same approach as for GIS
236 (Pfeffer *et al.*, 2008) is questionable since outlet glaciers are less constrained by topography
237 in Antarctica compared to Greenland and thus discharge is potentially quicker than on
238 Greenland.

1 The grounding line is the position at which land ice starts to float, i.e. at the grounding line the grounded ice sheet becomes floating ice shelf. Since the melting of floating ice does *not* raise sea level, it is the ice flow across the grounding line that matters for global sea level rise.

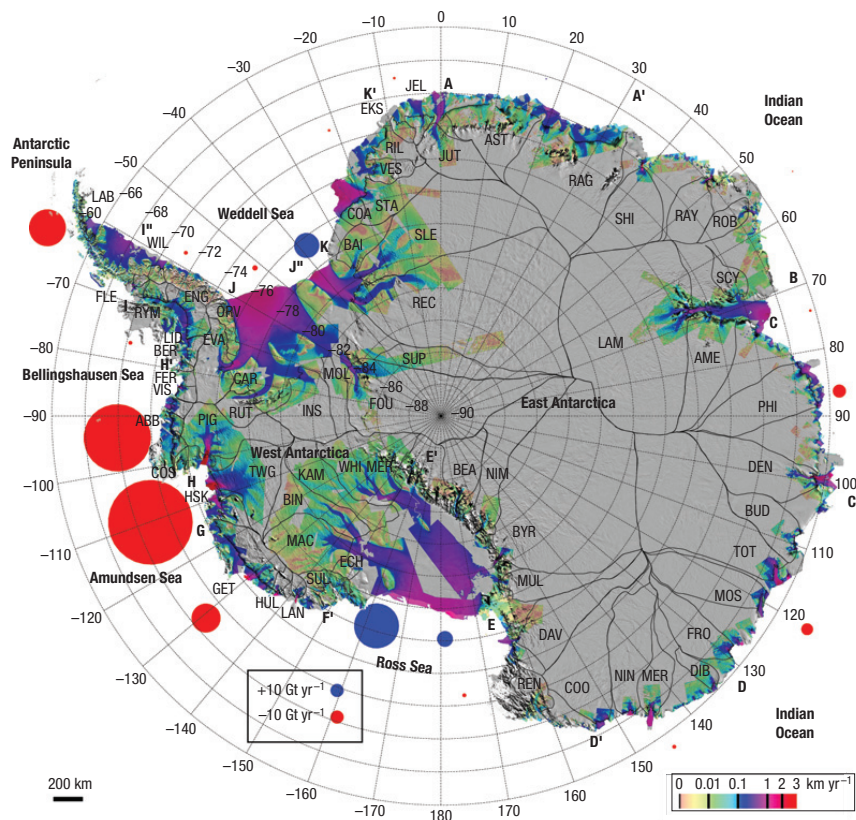


Figure 6 Ice discharge along the West Antarctic coast has increased by more than 50% in 10 years (Rignot *et al.*, 2008). Red dots indicate mass loss, blue dots mass gain.

239 3. Atlantic thermohaline circulation (THC)

240 Potential impact on Europe The Atlantic thermohaline circulation (THC) is a large-scale
 241 ocean conveyor-belt circulation which transports about $1 \text{ PW} = 10^{15} \text{ W}$ of heat towards
 242 the Nordic Seas (Ganachaud & Wunsch, 2000) and thereby contributes to milder winters
 243 in northern Europe compared to regions of similar latitudes in North America and Asia.
 244 Without this heat transport (figure 7) the Nordic Seas would be about 8°C cooler, and
 245 northern Europe, depending on atmospheric conditions and latitude, would be several degrees
 246 cooler than at present (Vellinga & Wood, 2002). Europe would suffer from significant drying
 247 and reduced precipitation. Westerly winds would shift southward with reduced winds in
 248 the northern part and increased winds in the southern half of Europe (Laurian *et al.*, 2010).
 249 Furthermore, simulations suggest that a THC collapse would increase sea level around
 250 European coast lines by up to 1m (Levermann *et al.*, 2005). This regional contribution
 251 would add on to global SLR and could be ten times quicker than presently observed rates,
 252 depending on the rapidity of the oceanic circulation changes.

253 In addition to these regional changes, the global climate system would be significantly

254 perturbed by a THC collapse. Oceanic uptake of heat and carbon dioxide could strongly
 255 decrease and thereby accelerate global warming. Atlantic ecosystems are likely to be disrupted
 256 (Schmittner, 2005, Kuhlbrodt *et al.*, 2009) and the tropical rain belt would shift by several
 257 hundred kilometres southward in the Atlantic sector affecting populated areas in West Africa
 258 and the Amazon rain forest (Stouffer *et al.*, 2006). Reconstructions of past climate suggest
 259 far reaching influences on the Asian monsoon system (Goswami *et al.*, 2006).

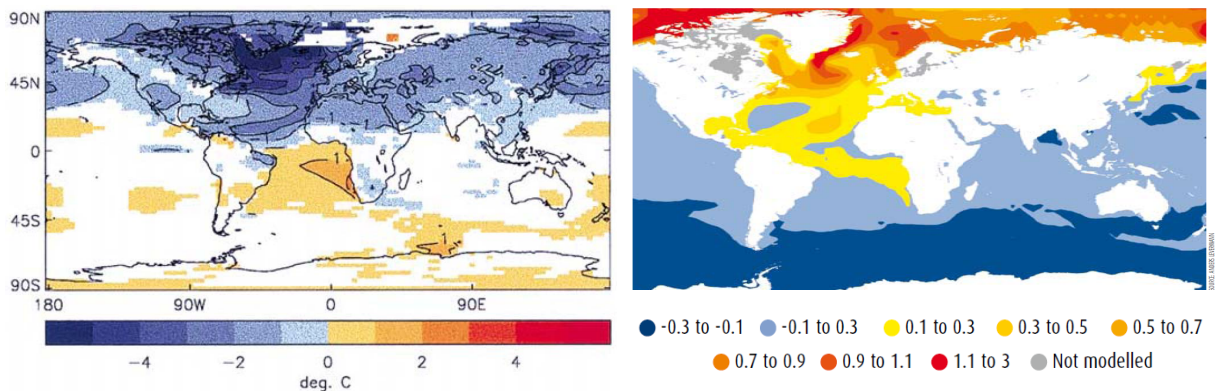


Figure 7 A collapse of the Atlantic Thermohaline Circulation (THC) would have severe global consequences. **Left:** Temperatures in the Nordic Seas would drop by up to 8°C. Depending on atmospheric transport this yields several degrees of cooling in Europe (figure from Vellinga & Wood (2002)). **Right:** In addition to global SLR due to warming, sea level would rise by up to 1m along the European and North American coast (figure from Levermann *et al.* (2005)).

260 **Mechanism: Self-amplified slow-down of THC** The Achilles heel of the THC is deep water
 261 formation in the North Atlantic which is an essential component of the circulation. The
 262 density of North Atlantic water determines the strength of deep water formation and thereby
 263 of the THC. In the North Atlantic densification occurs through heat loss and salinity inflow
 264 which is partly provided by the circulation itself through import from the south. An initial
 265 reduction of the circulation thus reduces salinity transport to the north and further weakens
 266 the circulation (Rahmstorf, 1996). Through the release of salt during sea ice formation (brine
 267 rejection) there is a strong link of the North Atlantic salinity budget to Arctic winter sea ice
 268 extent.

269 **Assessment of THC tipping potential** There are three lines of scientific reasoning on which
 270 the risk of a THC collapse is based. First, if the THC does indeed transport salt to the North
 271 Atlantic, the associated self-amplification process is based on robust large-scale features of
 272 the circulation and it is likely to have a significant influence. Observational data suggest that
 273 the present-day THC does transport salt into the Atlantic basin (Rahmstorf, 1996, Weijer
 274 *et al.*, 1999). Secondly, rapid reorganizations of the North Atlantic ocean circulation have
 275 occurred during the last glacial period (McManus *et al.*, 2004). These were associated with

276 strong global climatic disruptions (Rahmstorf, 2002, Clark *et al.*, 2002) and occurred on
277 decadal to centennial time scales. Freshwater fluxes that caused past circulation changes
278 have been estimated (Ganopolski & Rahmstorf, 2001) to be of the order of expected melt
279 water contributions from Greenland (Huybrechts *et al.*, 2004) and potential future changes
280 in North Atlantic precipitation (Miller & Russell, 2000, Winguth *et al.*, 2005). It is, however,
281 possible that stability properties of the Atlantic overturning are different under glacial and
282 interglacial boundary conditions (Ganopolski & Rahmstorf, 2001, Weber & Drijfhout, 2007).
283 Thirdly, a variety of coupled climate models at different levels of complexity have shown
284 abrupt THC collapse in response to systematically increased artificial Atlantic freshwater
285 forcing (Rahmstorf *et al.*, 2005). More complex and thus computationally less efficient
286 models which were used for the IPCC-AR4 future projections are not able to perform this
287 kind of systematic analysis. In these models a less systematic approach has been taken in
288 order to assess the stability properties of the THC (Stouffer *et al.*, 2006). Freshwater was
289 externally applied for a period of one hundred years which forces a THC collapse. The
290 cessation of the freshwater flux led to a resumption of the circulation in all of these models.
291 Furthermore none of the IPCC-AR4 models show a THC cessation even for the strongest
292 global warming scenarios (Gregory *et al.*, 2005). These results seems to hold even when
293 taking GIS melt water inflow into account (Jungclauss *et al.*, 2006).

294 One needs to keep in mind that this does *not* prove that the models do not have two stable
295 states. Neither is it certain that the models properly represent stability properties of the real
296 ocean. In fact Weber *et al.* (2007) showed that while in the real ocean the THC transports
297 salt into the Atlantic basin, this is not the case in all of these models. Thus state-of-the-art
298 models seem to have a bias towards mono-stability (Hofmann & Rahmstorf, 2009). Under
299 global warming scenarios, all IPCC AR4 models, for which salt and freshwater fluxes are
300 available, show an increased salt import into the Atlantic, i.e. the modeled circulations are
301 moving towards a potential critical threshold (Drijfhout *et al.*, 2010).

302 Furthermore, it is possible that the THC is vulnerable not only to large scale freshening of
303 the North Atlantic as has been observed in recent decades (Dickson *et al.*, 2002) potentially
304 as part of a decadal oscillation (Hátún *et al.*, 2005), but also to small-scale changes in the two
305 main deep water formation regions in the central Greenland Sea and the central Labrador
306 Sea. In both places open ocean convection in winter induces deep water formation in the
307 region. In the Greenland Sea the process takes place in a very limited region of the gyre
308 centre near 75°N 0°W, and was greatly assisted by the fact that the area over the site was
309 covered for several months in winter by a locally-formed ice cover of pancake ice known as
310 the Odden ice tongue, growing in the cold water of the Jan Mayen Polar Current which
311 diverts east from the East Greenland Current. The brine retention by the ocean during
312 pancake ice formation produced a negative buoyancy flux which models showed (Wilkinson
313 & Wadhams, 2003) to be the major factor in inducing overturning, which took place by
314 means of convective chimneys extending to 2500 m (Wadhams *et al.*, 2002, 2004). Since 1998
315 changes in the atmospheric circulation, and warming of the ocean, have caused the Odden

316 ice tongue to disappear, and this is likely to have led to a decrease in the depth and volume
317 of convection. This is therefore a further self-amplified mechanism for THC slow-down and
318 is a tipping point in that convection cannot return to its previous level unless winter ice
319 growth of the Odden ice tongue resumes.

320 An elicitation of experts on THC stability provided no clear picture on the risk of a future
321 THC collapse. Subjective probabilities of different experts for triggering a breakdown within
322 this century ranged from 0% - 90% (Zickfeld *et al.*, 2007). A more recent expert elicitation
323 conducted by Kriegler *et al.* (2009) suggests less uncertainty and a clear increase of tipping
324 potential with global warming (figure 13). The IPCC AR4 assesses the probability of a THC
325 collapse within this century to 10% (Jansen *et al.*, 2007).

326 Similar to the situation for WAIS also the north Atlantic circulation could exhibit a partial
327 reorganization. While so-called Dansgaard-Oeschger events of the last glacial period might
328 have been associated with abrupt transitions in the meridional circulation (Rahmstorf, 2002),
329 also abrupt transitions in the horizontal circulation can not be ruled out (Levermann & Born,
330 2007). A wealth of paleo-records for the so called 8.2K event at the beginning of the present
331 interglacial can be explained by an abrupt strengthening of the north Atlantic subpolar gyre
332 (Born & Levermann, 2010). In model simulations such transitions require significantly less
333 external perturbation than a collapse of the Atlantic overturning circulation. While it is
334 clear that there is a strong link between the meridional and the horizontal circulation, it is
335 not yet established how the respective tipping mechanisms are related.

336 4. Arctic sea ice

337 **Potential impact on Europe** While global mean temperature has risen by about $0.7^\circ \pm 0.1^\circ\text{C}$
338 during the last century, Arctic warming has locally been two to four times higher. This polar
339 amplification has a number of causes one of which is melting Arctic sea ice and associated
340 surface-albedo changes (van Oldenborgh *et al.*, 2009, Winton, 2006a). As a consequence,
341 Europe has also warmed more than the global average - an effect that is going to persist
342 under future increase of atmospheric greenhouse gas concentration (figure 8) and would
343 accelerate during accelerated deglaciation of Arctic sea-ice cover. Although models do not
344 provide a uniform picture, sea-ice retreat can influence the North Atlantic atmospheric
345 pressure system and thereby the Atlantic storm track into Europe (Kattsov & Källén, 2004).
346 Honda *et al.* (2009) have shown that strong reduction in Arctic summer sea-ice cover is
347 associated with anomalously cold Eurasian winters and Petoukhov & Semenov (2010) found
348 an up to three-fold increased probability of extreme cold events on northern continents in
349 response to Barents-Kara sea ice reduction in winter. Furthermore, reduced sea-ice cover has
350 profound impact on Arctic ecosystems. This includes marine mammals such as polar bears,
351 seals, walrus and narwhales (Loeng, 2004). Strongly reduced sea-ice cover yields improved
352 accessibility to the Arctic including access to potential resources of fossil fuels in the region.
353 The US Geological Survey estimates that about 25% of global oil resources may be found in

354 the Arctic. The estimates are highly uncertain and the error bars range from 0% to 60%
355 (<http://www.usgs.gov/>). However, potential recovery of these reservoirs will have significant
356 environmental and geo-political implications.

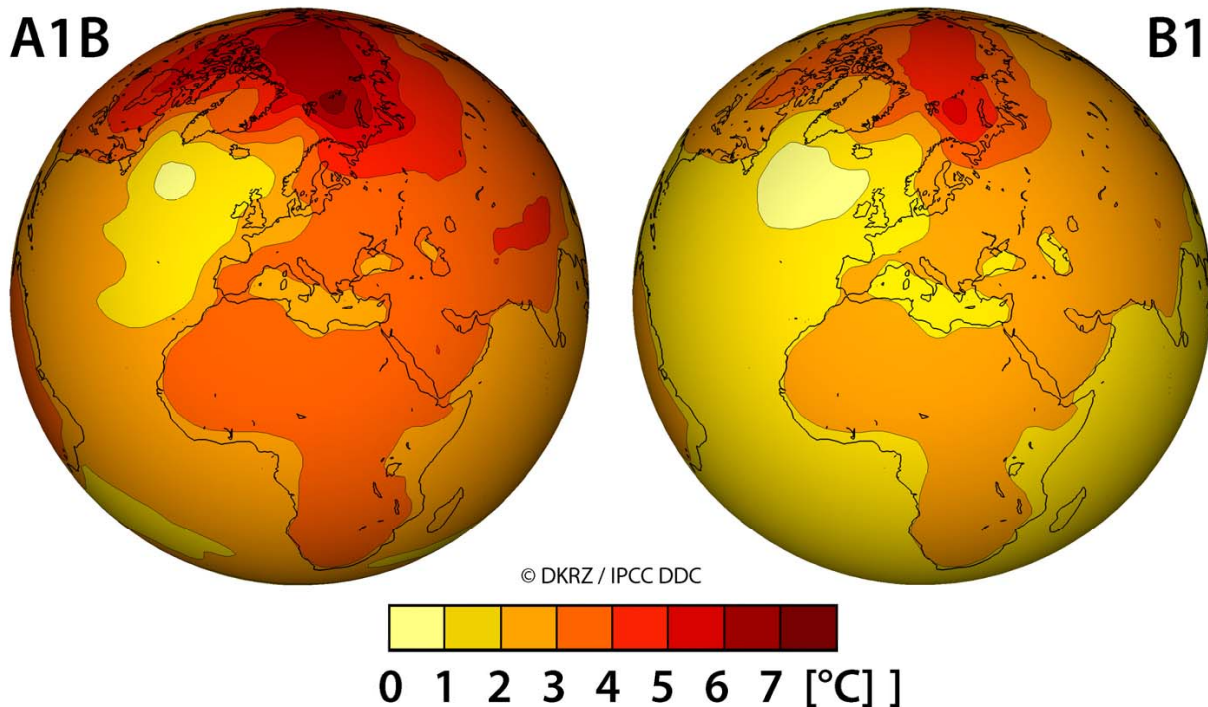


Figure 8 Polar warming amplification partially caused by sea-ice melting for two scenarios (A1B (left) and B1 (right)). Temperature anomalies for the time period 2080–2099 compared to the period 1980–1999 were averaged over all models participating in the IPCC AR4 (Solomon *et al.*, 2007). (Visualisation: M. Boettinger, DKRZ, Hamburg, Germany)

357 **Mechanism: Self-amplification of northern sea-ice melt** Possible self-amplification of Arctic
358 sea-ice melt could arise from the aforementioned ice-albedo feedback (figure 2), one of four
359 fundamental climatic feedbacks discussed to be responsible for enhanced global warming in
360 response to increasing greenhouse gas concentrations (Soden & Held, 2006). The mechanism
361 for the ice–albedo feedback is simple to understand: An initial temperature increase in high
362 northern latitudes leads to melting of sea ice. As a consequence, less of the dark ocean is
363 covered by highly reflective ice and snow, which leads to more absorption of sunlight at
364 Earth’s surface. This in turn causes more local warming and hence more melting of ice and
365 snow. This self-amplification is mainly relevant for the Arctic summer sea-ice cover, since
366 high-latitudinal solar insolation is strongly reduced in winter and much of the extra ice lost
367 in summer can be regained during winter.

368 **Assessment of tipping potential for Arctic sea-ice cover** While all IPCC models agree that
369 Arctic sea ice will decline in a warmer climate, these models do not show an irreversible or
370 self-amplifying meltdown of Arctic summer sea ice (Winton, 2006b). Hence, any slow down
371 or even reversal of global warming will have a corresponding effect on Arctic summer sea ice
372 (Notz, 2009).

373 There are at least three factors which compensate the self-amplifying ice–albedo feedback
374 and stabilise the Arctic sea ice cover such that its retreat is not self-amplified or irreversible:
375 First, for a reduced summer sea-ice cover more open water is exposed to the atmosphere
376 at the onset of winter. Because during winter ocean water is warmer than the surrounding
377 sea ice, the ocean releases large amounts of heat to the atmosphere. In this way, the heat
378 that has accumulated in the water in summer because of the ice–albedo feedback is released
379 to the atmosphere during winter. Hence, the heat that accumulated in one summer is not
380 carried over to the next summer (Tietsche *et al.*, 2010). Second, thin ice grows much faster
381 than thicker ice also because of the rapid loss of heat. Hence, after an extreme summer
382 minimum the rapid growth of thin ice in winter is a stabilizing feedback that counter-acts
383 the destabilizing ice-albedo feedback. Again, this resets the sea-ice extent each year and
384 thereby reduces the tipping potential for Arctic summer sea ice (Eisenmann & Wettlaufer
385 (2009); figure 9b). Third, in areas that become ice free during summer, the snow that falls
386 at the onset of winter (when snowfall rates are highest) does not accumulate on the ice but
387 simply falls into the water. Hence, snow thickness on the ice that forms late in the season
388 will be greatly reduced. Since snow is a very efficient insulator, such reduced snow cover also
389 allows the ice to recover somewhat during winter.

390 However, these stabilising feedbacks are only functioning as long as there is still significant
391 ice formation during winter. In an even warmer climate with a much reduced sea-ice cover
392 also during winter, a tipping point for the loss of winter sea ice might well exist. In such
393 climate, Arctic winter sea ice vanishes abruptly and thereby constitutes a qualitatively
394 different tipping element (figure 9b).

395 Notwithstanding the low probability for a tipping of Arctic summer sea ice in the dynamical
396 sense of a self-acceleration, Arctic sea ice is currently undergoing a significant transition both
397 with respect to its areal extent and to its thickness. Satellite observations show a reduction
398 in ice extent of almost 50% over the last 50 years (figure 9). Also ice thickness has reduced
399 significantly in past decades (Haas *et al.*, 2008). Due to very strong interannual variability
400 of sea ice extent especially in response to atmospheric pressure conditions and associated
401 winds (Deser & Teng, 2008), it is difficult to assess whether the retreat of Arctic sea ice is
402 currently accelerating. Sea ice in the Arctic Ocean retreated at an annually-averaged rate of
403 2.8% per decade from 1979 to 1996, as measured by microwave satellites (Parkinson *et al.*,
404 1999), which sped up to 10.1% per decade from 1996 to the record-low year in 2007 (Comiso
405 *et al.*, 2008). We do not know if this accelerated rate of loss will continue. Some IPCC AR4
406 models show the most rapid decline in summer sea ice when the summer extent is roughly
407 half of the preindustrial extent while others show a more linear, on average, decline (Wang

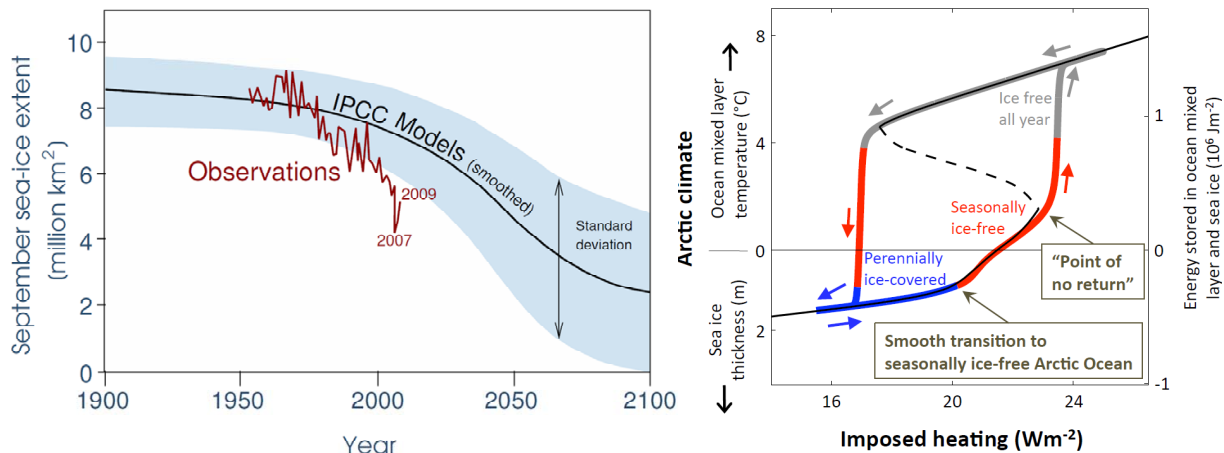


Figure 9 Left: Observed decline in minimum Arctic sea-ice cover typically reached in mid-September of each year (red line, in million square kilometres). The year 2007 showed an anomalously strong reduction of $\sim 23\%$ compared to the previous record in 2005. 2008 exhibited a mild recovery, but 2009 summer sea-ice extent was back on the previous trend before 2007. IPCC model simulations of 2007 (shading) strongly underestimated (currently observed) sea-ice decline (after (Stroeve *et al.*, 2007)). **Right:** Evolution of Arctic sea ice in response to warming simulated with an idealized physical model (Eisenmann & Wettlaufer, 2009). The vertical axis represents the annual mean state of the upper ocean in terms of how much energy it would take to get to this point from an ice-free ocean that is at the freezing point. Initially (bottom left) there is a perennial sea-ice cover (blue curve) with an annual mean thickness of about 1.5 meters. A transition to seasonally-ice free conditions (red curve) occurs in response to warming. At this point, cooling the climate would cause the ice cover to grow back to its original thickness. Further warming, however, causes the system to cross a point of no return and undergo a rapid transition to conditions which are ice-free throughout the year (gray curve). This transition represents an "irreversible process": considerable cooling would be required to get the ice to grow again (arrows to left along upper branch of the hysteresis loop). The stable and unstable steady-state solutions are indicated by the solid and dashed black curves, respectively.

409 The situation is further complicated by the fact that the variability of Arctic sea ice extent
 410 is probably going to increase in a warming climate and we expect larger negative and positive
 411 excursions from the mean downward trend such as that observed during the record sea-ice
 412 minimum in 2007 (Goosse *et al.*, 2009, Notz, 2009, Lindsay *et al.*, 2009). During that record
 413 summer, minimum sea-ice extent dropped by about 23% compared to the previous record
 414 in 2005. Though this decline was caused by anomalous atmospheric and ocean conditions
 415 which can not directly be attributed to global warming (Kay *et al.*, 2008, Perovich *et al.*,
 416 2008, Zhang *et al.*, 2008, Ogi *et al.*, 2008, Lindsay *et al.*, 2009), the ice in the basin was also
 417 preconditioned to be quite thin due to both anomalous wind patterns in previous years and
 418 warming winters (Lindsay *et al.*, 2009).

419 Data from submarines shows that the mean ice thickness in the Arctic Basin declined by
420 43% between the mid 1970s and the late 1990s (Rothrock *et al.*, 1999, Wadhams & Davis,
421 2000) with a loss of nearly three-quarters of the deep pressure ridges, so that at the beginning
422 of the summer season the ice cover has been thinner and therefore more susceptible to the
423 enhanced summer melt brought about by atmospheric and oceanic factors. The albedo
424 feedback mechanism appears to have acted in this case through the enhanced production of
425 surface melt pools, which preferentially absorb radiation and can melt through to form thaw
426 holes, and the easier break-up of the thinner weaker floes, both mechanisms creating open
427 water which itself absorbs radiation, warms up, and speeds up the melting of existing floe
428 bottoms.

429 The anomalous wind patterns, particularly in the early 1990's, caused much of the older
430 ice in the basin to be exported through Fram Strait so that the area covered by multiyear
431 ice is now much smaller than in previous years. Thus the average age of the ice is younger
432 and the mean thickness is thinner (Maslanik *et al.*, 2007). While ice that is less than one
433 year old rarely exceeds 2m thickness, older ice grows to an average of about 3m thickness.
434 Since a number of processes such as ice dynamics and ice transport through winds and ocean
435 currents complicate the picture, current climate models have difficulties in capturing summer
436 sea-ice evolution. Currently observed decline in Arctic sea-ice cover (figure 9) is stronger
437 than simulated by any climate model that took part in the latest IPCC intercomparison
438 (Stroeve *et al.*, 2007). This shortcoming of the models is probably caused by a combination
439 of very large internal variability of Arctic sea-ice extent that can lead to extreme minima
440 and a lack of understanding of some underlying processes that are responsible for the recent
441 sea-ice retreat. Since then models have improved and some capture sea-ice decline more
442 satisfactorily (Wang & Overland, 2009). Projections are highly dependent on the greenhouse
443 gas emission scenario. Under unmitigated climate change¹ Holland *et al.* (2006) project an
444 abrupt decline of Arctic summer sea ice starting around 2040 with a complete melting in
445 2050. This result is supported by Smedsrud *et al.* (2008) using a different model. While
446 model studies suggest that Arctic summer sea ice will vanish at an additional global warming
447 of 1 – 2°C, winter sea-ice cover is not likely to be eliminated for a warming of less than 5°C.

448 5. Alpine glaciers

449 **Potential impact on Europe** In concert with mountain glaciers world-wide (figure 10), glaciers
450 in Europe have retreated considerably over the last 150 years (Braithwaite & Raper, 2002,
451 Oerlemans, 2005, Kaser *et al.*, 2006, Zemp *et al.*, 2008, Cogley, 2009). According to most
452 recent estimates the ice volume of glaciers in the European Alps has been reduced from about
453 200-300 km³ in the year 1850 to 90 ± 30 km³ at present (Haeberli *et al.*, 2007, Farinotti

1 That is, greenhouse gas emissions follow the so-called business-as-usual scenario, A2, of the IPCC Special Report on Emissions Scenarios (SRES).

454 *et al.*, 2009). Shrinkage of Alpine glaciers and snow cover is reducing surface reflectivity
 455 and thus leads to amplified temperature increase in the region. In combination with a
 456 generally enhanced continental warming this contributed to the anomalously strong Alpine
 457 warming which was about twice as high as the global average with significant acceleration in
 458 recent years (Auer *et al.*, 2006). Glaciers are perceived as a symbol for a healthy mountain
 459 environment. Their retreat will have strong impact on tourism in Europe (Beniston, 2003).
 460 Since mountain glaciers and Alpine snow cover serve as freshwater reservoirs over seasonal
 461 to decadal time scales, glacier wastage will affect water availability in the region, in particular
 462 during summer. Generally it is observed that seasonality of run-off into rivers has increased.
 463 That is, stronger flow has been observed in the peak flow season and reduced flow or even
 464 drought in the low-flow season (Arnell, 2004). Initially, snow melt and associated glacier
 465 retreat is projected to enhance summer flow from the Alps into European rivers. When snow
 466 cover and glaciers shrink, however, summer flow is projected to be strongly reduced (Hock
 467 *et al.*, 2005, Huss *et al.*, 2008). Through reduced run-off into large rivers such as Rhine and
 468 Rhone downstream regions will be affected. A change in hydrological regime is a robust
 469 feature of future projections for the European Alps (Eckhardt & Ulbrich, 2005, Zwierl &
 470 Bugmann, 2005) and is thereby anticipated in the IPCC 2007 assessment report (Kundzewicz
 471 *et al.*, 2007). This will strongly affect hydropower production in Europe (Schaeffli *et al.*,
 2007).

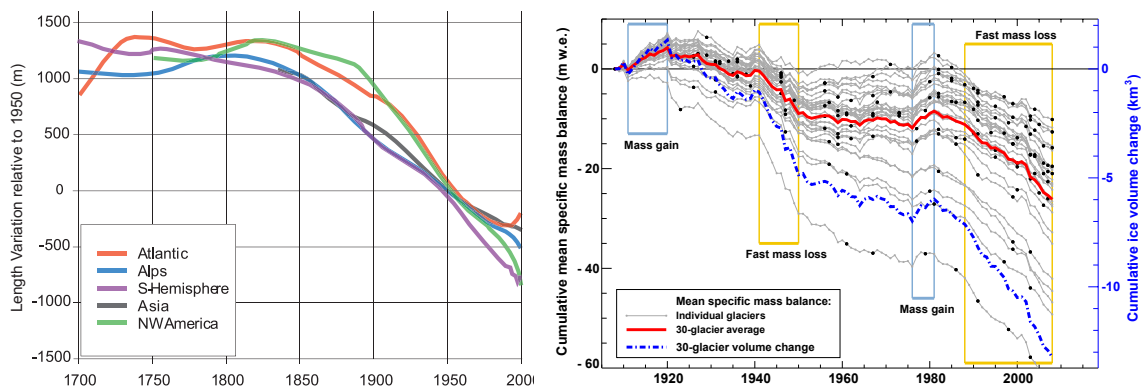


Figure 10 **Left panel:** Mountain glaciers are retreating globally. Large-scale regional mean length variations of glacier tongues (Oerlemans, 2005). (Figure from IPCC fourth assessment report (Solomon *et al.*, 2007) chapter 4, p. 357., data from various sources (reconstructions, long-term observations) extrapolated to large regions). **Right panel:** Cumulative mean specific mass balance of 30 Swiss glaciers and their total cumulative volume change in the 20th century. Series for the individual glaciers are shown in grey. The solid red line represents the arithmetic average, and the dash-dotted blue line the cumulative total volume change of the 30 glaciers. Two short periods with mass gain and two periods with fast mass loss are marked. Figure from (Huss *et al.*, 2010). The volume loss, indicated in blue, is calculated from a multiplication of thickness and area losses.

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 473

In addition, thawing of Alpine permafrost will destabilize the ground and result in land

474 slides and debris flows that have been increasingly observed in recent years (Gruber &
475 Haeberli, 2007). Although thawing of permafrost is generally a slow process, strong 20th
476 century warming in the Alps has already induced a pronounced thermal anomaly down
477 to about 50-70m below the surface (Harris *et al.*, 2009, Noetzli & Gruber, 2009). During
478 the last century melting of mountain glaciers worldwide contributed to about 25% of the
479 observed global sea level rise (Oerlemans *et al.*, 2007). Over the next decades it is expected
480 to contribute significantly although only about 0.5 m of global SLR equivalent remain in
481 mountain glaciers (Meier *et al.*, 2007). The Alpine contribution is however small compared
482 to other sources like glaciers in Alaska, Patagonia and central Asia.

483 **Self-amplification of Alpine glacier melt** Several positive feedback mechanisms amplify the
484 rate of Alpine glacier retreat: The reduction in snow- and ice covered area induces increased
485 regional warming and ice melt through the ice-albedo feedback illustrated in figure 2 (Paul
486 *et al.*, 2005). Furthermore, enhanced dust accumulation on the bare ice has significantly
487 decreased surface albedo leading to accelerated ice melt (Oerlemans *et al.*, 2009). Over the
488 last decades a prolongation of the melting season by one month has been inferred for glaciers
489 in the European Alps, and the fraction of precipitation in the form of snow has decreased by
490 more than 10% (Huss *et al.*, 2009). Both processes have significant negative effects on glacier
491 mass balance. The rapid changes in the climate system furthermore induce processes of down
492 wasting of glacier tongues and collapse rather than "active" glacier retreat. This involves
493 the disintegration of glacier systems into small individual parts, subglacial melting out of
494 large cavities and lake formation. The protective effect of increasingly strong debris cover on
495 glacier tongues cannot compensate for the above mentioned positive feedback mechanisms.

496 **Assessment of tipping potential for Alpine glacier melt** Over the last century glaciers in the
497 European Alps experienced an average annual ice thickness loss of 0.2 to 0.6 m, the best
498 estimate for the century average mass balance being -0.25 to -0.35 m water equivalent per
499 year (Haeberli & Hoelzle, 1995, Vincent, 2002, Hoelzle *et al.*, 2003). Strong variability in
500 time and space can be documented (Huss *et al.*, 2010, Paul & Haeberli, 2008): Fast glacier
501 mass loss comparable to present-day rates has already taken place in the 1940s and time
502 periods of slightly positive mass balances with intermittent glacier readvance are documented
503 for the 1890s, the 1920s and the 1970/80s (Figure 10b). The year 2003 showed exceptional
504 mass loss with a decrease in mean ice thickness of almost 3 meters over the nine measured
505 Alpine glaciers. This rate was four times higher than the mean between 1980 and 2001 and
506 exceeded the previous record of the year 1996 by almost 60% (Zemp *et al.*, 2009).

507 Glaciers in the Alps probably lost about half their total volume (roughly -0.5% per year)
508 between 1850 and 1975. Roughly another 10% (20 - 25% of the remaining amount) may have
509 vanished between 1975 and 2000 (updated after Haeberli *et al.* (2007)) and again within the
510 first decade (2000 - 2009) of our century (corresponding now to about -2% per year of the
511 remaining volume). The melting out of the Oetztal iceman in 1991 clearly demonstrated to
512 a worldwide public that conditions in the Alps have reached if not exceeded the "warm" or

513 "energy-rich" limits of glacier and climate variability during many thousands of years before
 514 (Solomina *et al.*, 2008).

515 Simulations of Alpine glacier extent over the 21st century using different model approaches
 516 indicate unequivocally that an increase in global mean air temperature of 2°C (corresponding
 517 to +3-4°C locally) leads to an almost complete loss of glacier ice volume in the Alps (Zemp
 518 *et al.*, 2006, Le Meur *et al.*, 2007, Jouvet *et al.*, 2009). Whereas small glaciers are expected
 519 to disappear in the next few decades, considerable amounts of "left-over" ice from large
 520 glaciers will persist throughout the 21st century due to thick ice bodies originating from
 521 colder conditions.

522 Mountain glaciers are highly sensitive to small changes in air temperature and precipitation
 523 and are thus excellent indicators for climate change. Their response to currently rising air
 524 temperatures is strong, and is further reinforced by self-amplification processes. Many Alpine
 525 glaciers currently experience accelerated wastage due increased net-forcing. On the basis
 526 of available data a clear tipping point in the dynamic sense can not be detected. However,
 527 potential re-growth of Alpine glaciers would require decades of cooler and wetter conditions.
 528 Near-complete deglaciation of the Alps during this century could only be avoided by strong
 529 mitigation efforts. A global limit of two degree temperature increase might not be sufficient
 to accomplish this goal.

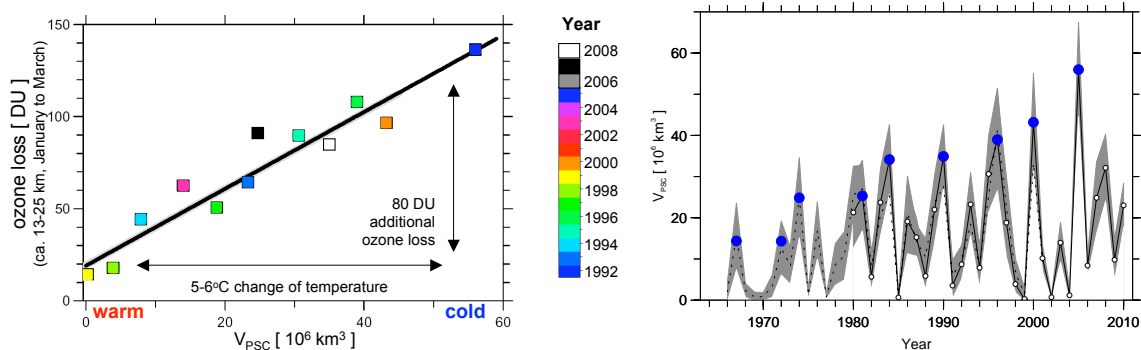


Figure 11 Polar stratospheric clouds (PSC) **Left:** PSC enhance stratospheric ozone loss (Harris *et al.*, 2008) **Right:** The volume of PSC estimated from meteorological analyses (full line ECMWF; dashed line FU Berlin) has increased in the cold stratospheric winters, but not the warm ones (update of Rex *et al.* (2004)).

530

531 6. Arctic ozone depletion

532 **Potential impact on Europe** Stratospheric ozone is absorbing **ultra-violet (UV)** solar
 533 radiation, especially UV-B radiation which is particularly harmful for human skin. The
 534 stratospheric ozone layer therefore provides protection against dermatological diseases, corneal
 535 and DNA damage. Ozone depletion especially above populated areas may enhance the risk of
 536 skin cancer and may cause immune suppression (e.g. Stick *et al.* (2006)). Due to the generally

537 very low UV-radiation in high latitudes a UV-increase has profound influence on society
 538 and ecosystems in the Arctic. The marine food chain is affected through UV-sensitivity
 539 of surface layer algae. Due to a very stable stratospheric polar vortex over Antarctica,
 540 ozone depletion in response to anthropogenic emissions has been observed in the southern
 541 hemisphere stratosphere for several decades. In contrast the Arctic vortex is less stable
 542 than over Antarctica, owing to hemispheric circulation patterns. However, for most years
 543 since 1992, ozone depletion has been observed also in the Arctic - locally up to 70% below
 544 normal [Boreal winter 1999/2000 (Rex *et al.*, 2002)] associated with enhanced UV radiation
 545 in northern and central Europe. Substantial reduction in ozone levels can be observed up to
 546 mid-latitudes (35°N) of southern Europe.

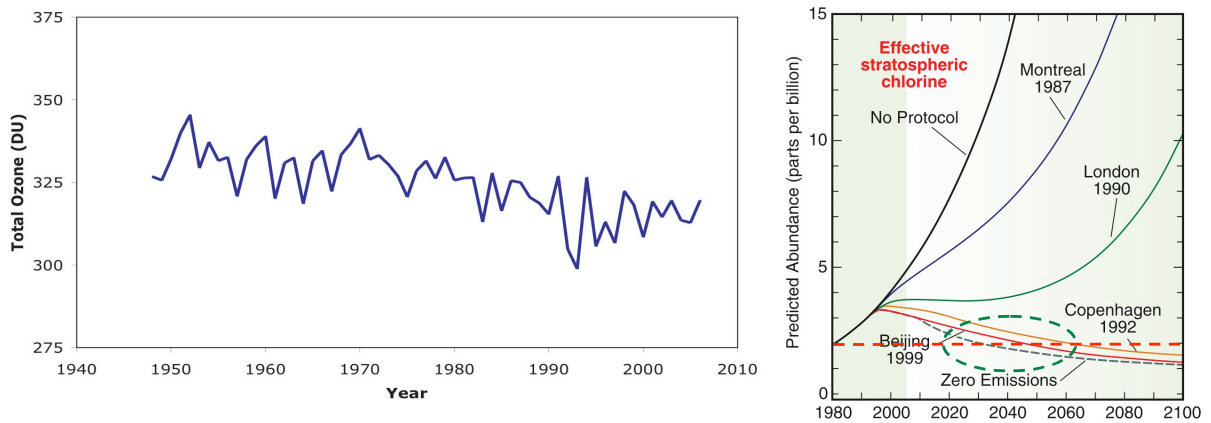


Figure 12 **Left:** Time series of annual mean values of total ozone abundance in Arosa, Switzerland ($\sim 45^\circ\text{N}$, figure from WMO (2007)). **Right:** Projected effective abundance of stratospheric chlorine in response to international treaties. The observed abundance closely follows the projected one, i.e. the line of zero-emissions will be crossed around 2030 after which Arctic ozone ceases to be a *tipping element*.

547 **Mechanism: Self-amplifying northern ozone depletion** Low stratospheric temperatures support
 548 the formation of **Polar stratospheric clouds (PSC)** which generally enhance ozone
 549 depletion due to chemical reactions at their surface (figure 11). A strengthening of the polar
 550 vortex and associated lower stratospheric temperatures lead to ozone depletion which further
 551 cools the stratosphere (e.g. Weatherhead *et al.* (2004)).

552 **Assessment of tipping potential for Arctic ozone depletion** The main driver for upper strato-
 553 spheric ozone loss and for spring losses in the polar stratosphere is the chemistry associated
 554 with chlorine and bromine (Solomon, 1999). Associated chemical reactions are strongly
 555 influenced by human emissions of CFCs which have been banned with the Montreal protocol
 556 in 1987. As a consequence northern hemisphere total ozone in mid-latitudes has shown a
 557 decline from late 1970s to mid 1990s (figure 12). Since then no clear trend is detectable.

558 Interannual variability is particularly strong in the Arctic. This is mainly due to a

559 less stable polar vortex compared to the southern hemisphere and shows the influence
560 of stratospheric dynamics on the ozone layer in the northern hemisphere. Stratospheric
561 dynamics, including stability, strength and temperature of the polar vortex, determines the
562 onset of ozone depletion and also influences the rate and severity of the depletion processes.
563 Global warming of Earth's surface is associated with cooling in the stratosphere which
564 enhances polar ozone depletion. The accumulation of greenhouse gases in the troposphere
565 ($< \sim 10$ km altitude) warms Earth's surface but cools the stratosphere.

566 In the Arctic, this cooling is likely to lead to increased ozone destruction, as lower
567 temperatures result in the formation and persistence of PSCs which aid in the activation of
568 ozone-depleting compounds and can therefore accelerate polar ozone depletion. The Arctic
569 Climate Impact Assessment of 2004 thus drew the conclusion that such cooling may induce
570 self-amplification through the stabilization of the polar vortex (Weatherhead *et al.*, 2004).
571 Stratospheric cooling resulting from climate change is therefore likely to lead to an increased
572 probability of larger and longer-lasting ozone holes in the Antarctic and extensive, more
573 severe ozone losses over the Arctic (Dameris *et al.*, 1998). In an analysis of approximately
574 2000 ozone-sonde measurements, Rex *et al.* (2004) found that each 1°C cooling of the
575 Arctic stratosphere resulted in an additional 15 DU^1 of chemical ozone loss due to increased
576 PSC volume. Their findings indicate that over the past four decades, the potential for the
577 formation of PSCs increased by a factor of three, resulting in stratospheric conditions that
578 have become significantly more favourable for large Arctic ozone losses. However, a series of
579 warm, disturbed northern hemispheric stratospheric winters occurred since the late 1990s to
580 2000s due to enhanced planetary wave activity (Manney *et al.*, 2005, 2008, 2009) leading to
581 a low PSC formation and hence ozone depletion potential (figure 11, right panel). Thus, a
582 future projection of the Arctic ozone layer is highly uncertain due to the large interannual
583 variability observed in boreal winter (WMO, 2007).

584 The situation is further complicated through other radiative effects that influence the
585 ozone budget of the stratosphere. One is a potential increase in stratospheric water vapour
586 due to changes in tropopause temperatures (Evans *et al.*, 1998). Increased water vapour is
587 likely to contribute to increased ozone destruction by affecting the radiation balance of the
588 stratosphere (Forster & Shine, 2002, Shindell, 2001). Greater water vapour concentrations in
589 the stratosphere can raise the threshold temperatures for activating heterogeneous chemical
590 reactions on PSCs, and can cause a decrease in the temperature of the polar vortex (Kirk-
591 Davidoff *et al.*, 1999). Few long-term datasets of water vapour concentrations are available,
592 but previous studies of existing observations have suggested that stratospheric water vapour
593 has been increasing up to 1999 (Oltmans & Hofmann, 1995). Analyses of 45 years of data
594 (1954-2000) by Rosenlof *et al.* (2001) found a 1% per year increase in stratospheric water
595 vapour concentrations. Since 1999 there is no evidence for an increasing trend (Jones *et al.*,
596 2009, Randel *et al.*, 2004) while an overall decrease is observed which feeds back onto the

1 $\text{DU} = \text{Dobson unit}$ measures atmospheric ozone content. 1 DU corresponds to 0.01mm ozone layer thickness under standard conditions of 0°C and 1 atm. pressure.

597 tropospheric temperatures temporarily decelerating the global warming trend (Solomon
598 *et al.*, 2010).

599 On the other hand, climate change could possibly trigger an increase in planetary waves,
600 enhancing the transport of warm, ozone-rich air to the Arctic (Schnadt *et al.*, 2002). This
601 increased transport would counter the effects of heterogeneous chemistry and possibly
602 accelerate recovery of the ozone layer. Recently Tegtmeier *et al.* (2008) showed that dynamical
603 transport of ozone into the Arctic polar vortex in the past has contributed equally strong
604 to interannual variability as variations in chemical ozone loss. It is currently not possible
605 to make definite statements about the tipping point in the chemical destruction of Arctic
606 ozone. If the emission of ozone-reducing chemicals is reduced in the future following the
607 signed treaties, then the specific risk of a tipping of the Arctic ozone will become insignificant
608 between 2030 and 2060 (figure 12). After that, unabated global warming, however, may lead
609 to qualitative changes in atmospheric circulation patterns associated with the polar vortex.
610 Since the lower stratospheric wintertime circulation can strongly influence the probability
611 of extreme surface weather such as minimum daily temperatures in Europe (Scaife *et al.*,
612 2008), these circulation pattern changes have the potential to exhibit tipping-element-like
613 behaviour in a statistical sense.

614 7. Conclusions

615 An assessment of the likelihood of a major transition of different tipping elements is as
616 scientifically challenging as it is crucial for future societal, political and economic decisions.
617 Such assessment needs to be based on a thorough understanding of the systems in question
618 and might evolve while scientific insight deepens. In light of associated risks even incomplete
619 knowledge needs to be exploited to provide 'educated guesses' on the basis of available
620 information. Such assessment will, by definition, always be preliminary and will permanently
621 evolve. In light of natural climate variability, even the detection of the ongoing tipping of
622 a climatic system presents a scientific challenge. Time series of relevant observables rarely
623 exceed several decades in length which might not be sufficient to identify an acceleration
624 in the system beyond any doubt. There are, however, a number of universal precursors
625 such as enhanced variability when approaching a critical threshold which might be used for
626 monitoring systems (Scheffer *et al.*, 2009). This potential has neither been explored nor
627 applied to the largest possible extent.

628 **Linkages between tipping elements** Matters are further complicated by the fact that some
629 tipping elements are linked (Figure 14). For example, increased sea level by GIS melting will
630 elevate ice shelves in Antarctica and might thereby induce a retreat of the grounding line.
631 Most linkages, however, involve the Atlantic thermohaline circulation. A collapse or even
632 only a reduction of its meridional circulation component will cool northern high latitudes
633 which might stabilize melting of the GIS and Arctic sea-ice even though the cooling effect

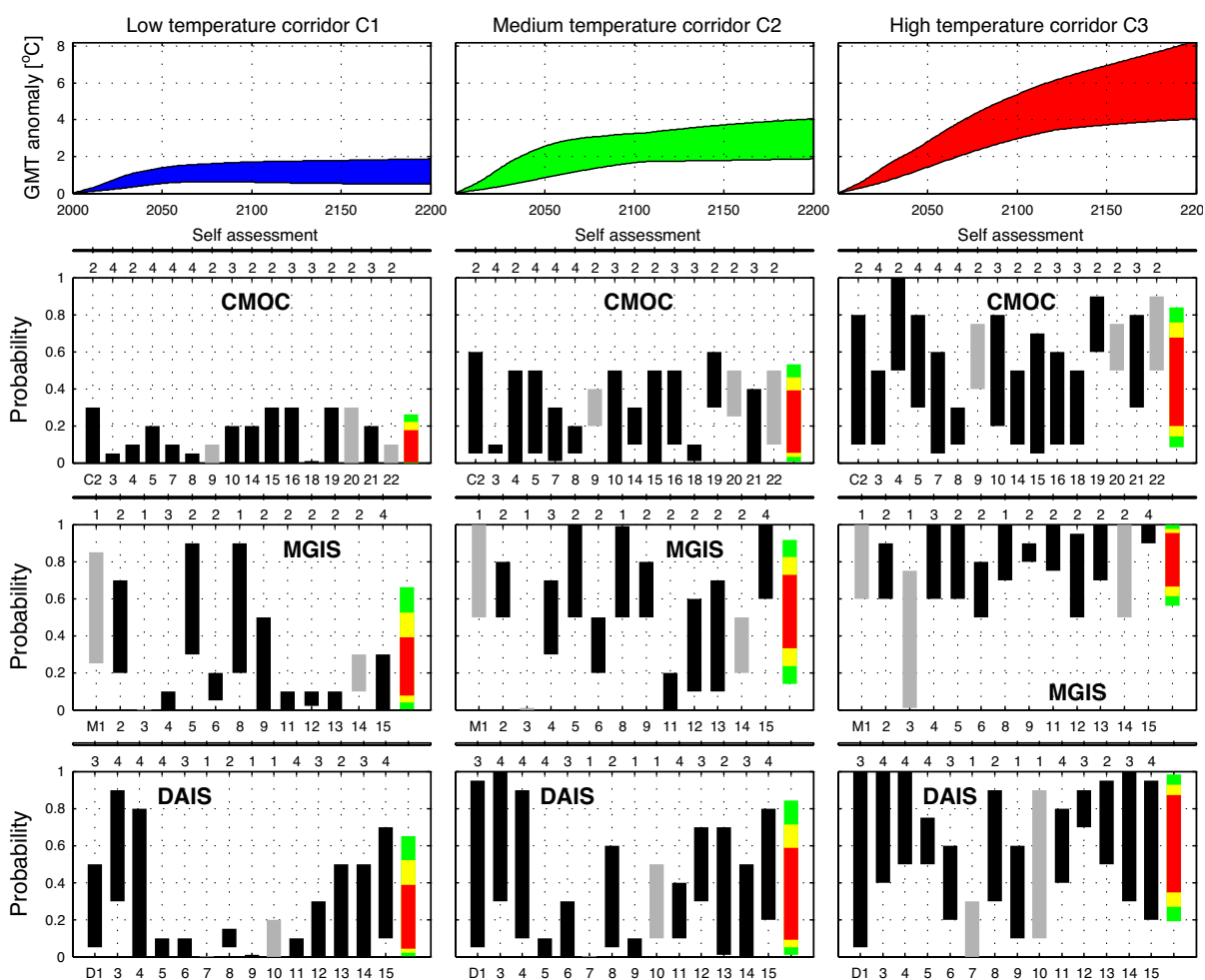


Figure 13 Subjective probabilities provided by experts for the tipping of THC (denoted CMOC), GIS (denoted MGIS) and WAIS (denoted DAIS). The x-axis provides the number of the expert. Coloured bars represent a *core group* of experts for each tipping element which are actively publishing on the subject. The upper panel row provides the corresponding climate scenarios as represented by the evolution of the global mean temperature (GMT) during the 21st and 22nd century. High emission scenarios (right panels) yield probabilities predominately above 50% for each system and even for low warming scenarios (left panels) the elicited tipping potentials are not negligible. The rightmost bar in each panel shows the aggregation of probability intervals from core experts based on increasingly restrictive assumptions about expert weights: (i) weights are allowed to vary by $\pm 100\%$ (green) or $\pm 50\%$ (yellow) around uniform weights, and (ii) unweighted average of lower and upper bounds (red). The increasing strength of assumptions leads to nested probability intervals (Red < Yellow < Green). For details confer (Kriegler *et al.*, 2009).

634 will be strongest in winter while the melting occurs in summer. On the other hand GIS
 635 melting will freshen the North Atlantic and might thereby trigger a THC break-down. The
 636 resultant changes in tropospheric circulation and weather will be modulated by the lower

637 stratospheric circulation. Strong reduction in Arctic sea ice will change the salinity and heat
 638 budget of the Nordic Seas and thereby influence the Atlantic ocean circulation (Levermann
 639 *et al.*, 2007). Less sea ice cover will induce enhanced warming in high northern latitudes
 640 and increase melting on Greenland and even in the Alps. In the Southern Ocean a THC
 641 reduction will lead to a warming around Antarctica. Furthermore it might shift the subpolar
 642 wind belt (Vellinga & Wood, 2002), alter oceanic gyre circulation around Antarctica and
 643 thereby induce changes in ice shelf melting (Hattermann & Levermann, 2010) and influence
 644 the stability properties of WAIS. Though these connections exist, so far neither model results
 645 nor paleo evidence has clearly shown the tipping of one of these systems due to the tipping
 of another.

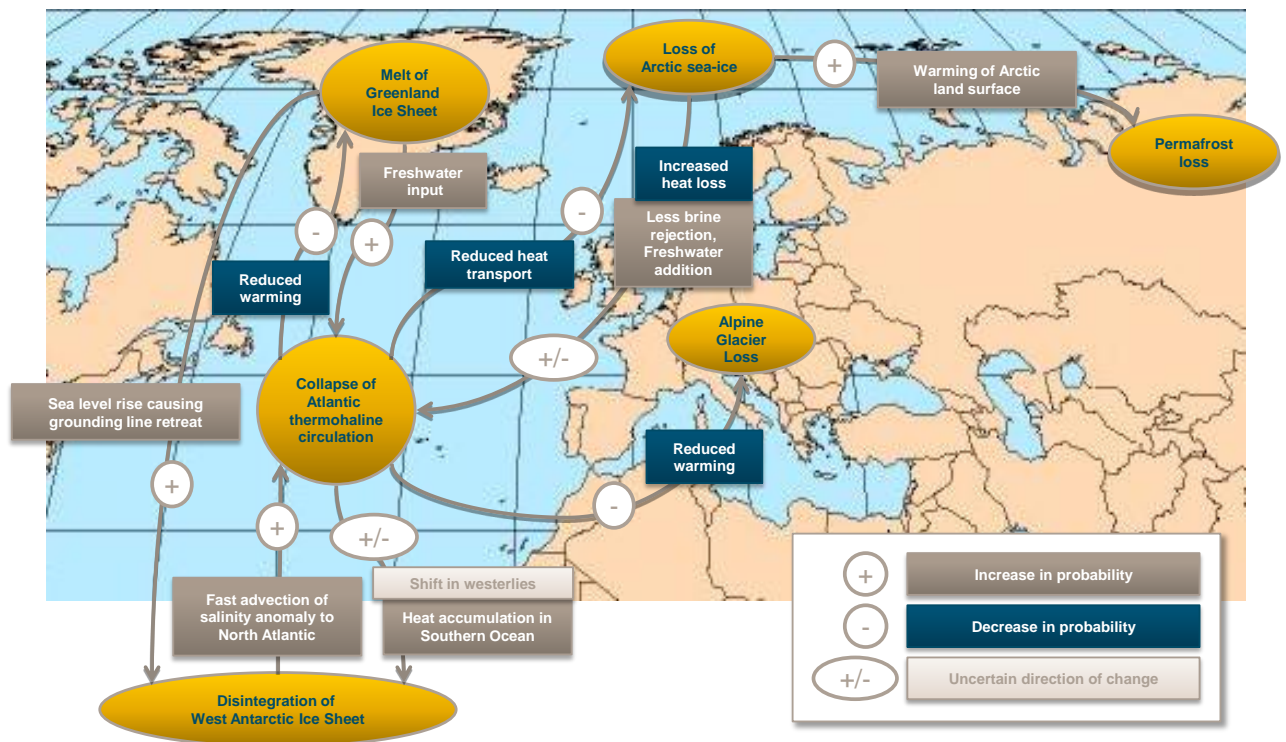


Figure 14 Potential linkages between tipping elements.

646

647 Tipping elements and their transition potential The most recent *comprehensive* assessment of
 648 a number of tipping elements and their linkage was presented by Kriegler *et al.* (2009). They
 649 conducted an expert elicitation on subjective probabilities for the tipping under different
 650 future warming scenarios (figure 13). Results show that experts consider the risk of tipping
 651 of major climatic subsystems significant. This holds especially for high warming scenarios
 652 but numbers are still far from small for a moderate temperature increase within this century.
 653 Here we provide a condensed assessment of the potential of a transition to occur in each of
 654 the subsystems in figure 15. Tipping elements are sorted according to the severity of their
 655 impact on society. The color coding represents 'tipping potential' for different global mean

656 temperature increase. The width of the columns reflects the confidence that the authors have
657 in their assessment. Naturally confidence is relatively high that no transition has occurred
658 for present-day conditions even though we can not be entirely certain about this. For most
659 systems confidence in the assessment that a transition will occur increases with increasing
660 levels of global warming. A special case is the collapse of the THC. Here a qualitative change
661 in the circulation is induced through changes in the North Atlantic salinity distribution
662 which is only indirectly related to increasing temperature through GIS melting and changes
663 in precipitation. Confidence about the likelihood of a collapse thus remains low even for
664 high temperatures.

665 The WAIS bears the potential of abrupt solid ice discharge in response to oceanic warming,
666 but currently no direct temperature estimates for such tipping is available. Paleo climatic
667 evidence (Naish *et al.*, 2009) in combination with land ice dynamics simulations (Pollard
668 & Deconto, 2009) suggest that abrupt discharge has occurred at temperatures 1-2°C above
669 present. It should be noted that also a partial WAIS disintegration is possible. Satellite
670 observations show strong glacier thinning and a retreat of the grounding line in some regions
671 (Pritchard *et al.*, 2009). At present it can not be ruled out that a partial collapse of
672 WAIS within the Amundson Sea sector equivalent to 1.5 m SLR might have been initiated
673 (Joughin *et al.*, 2009, Chen *et al.*, 2009, Pritchard *et al.*, 2009). The stability of the GIS has
674 been investigated more intensely than WAIS stability. Available estimates of the threshold
675 temperature for GIS of $3.1 \pm 0.8^\circ\text{C}$ (section 2) might however not be robust since they
676 are based on simplified parameterizations of the surface mass balance. Our current level
677 of understanding suggests that Arctic sea ice and Alpine mountain glaciers are the most
678 vulnerable to global warming of the presented short list of tipping elements even though
679 currently self-acceleration in a dynamical sense can not be detected. It is possible that even
680 mitigated climate change, which does not exceed 2°C of global warming, is not sufficient
681 to avoid qualitative change of these glacial regions. The risk of a tipping point in Arctic
682 ozone depletion will become insignificant when chlorine levels drop below 1980 levels which
683 will occur by 2060. Since it is very unlikely that global warming will exceed 4°C by 2060 no
684 assessment for higher temperatures is provided.

685 The schematic of figure 15 is based on the scientific evidence presented in this paper. The
686 assessment is, however, necessarily subjective and might change with future studies. Impact
687 associated with the tipping of each of the presented systems are of continental or even global
688 scale.

689 Acknowledgements

690 This review evolved from an expert workshop organized by the European Environmental
691 Agency. The work was partially funded by the German federal ministry for education
692 and science. N.R.P.H. thanks NERC for an Advanced Research Fellowship and the EU
693 SCOUT-O3 Integrated Project (505390-GOCE-CF2004). D.N. acknowledges funding through

⁶⁹⁴ a Research Fellowship from the German Max Planck Society.

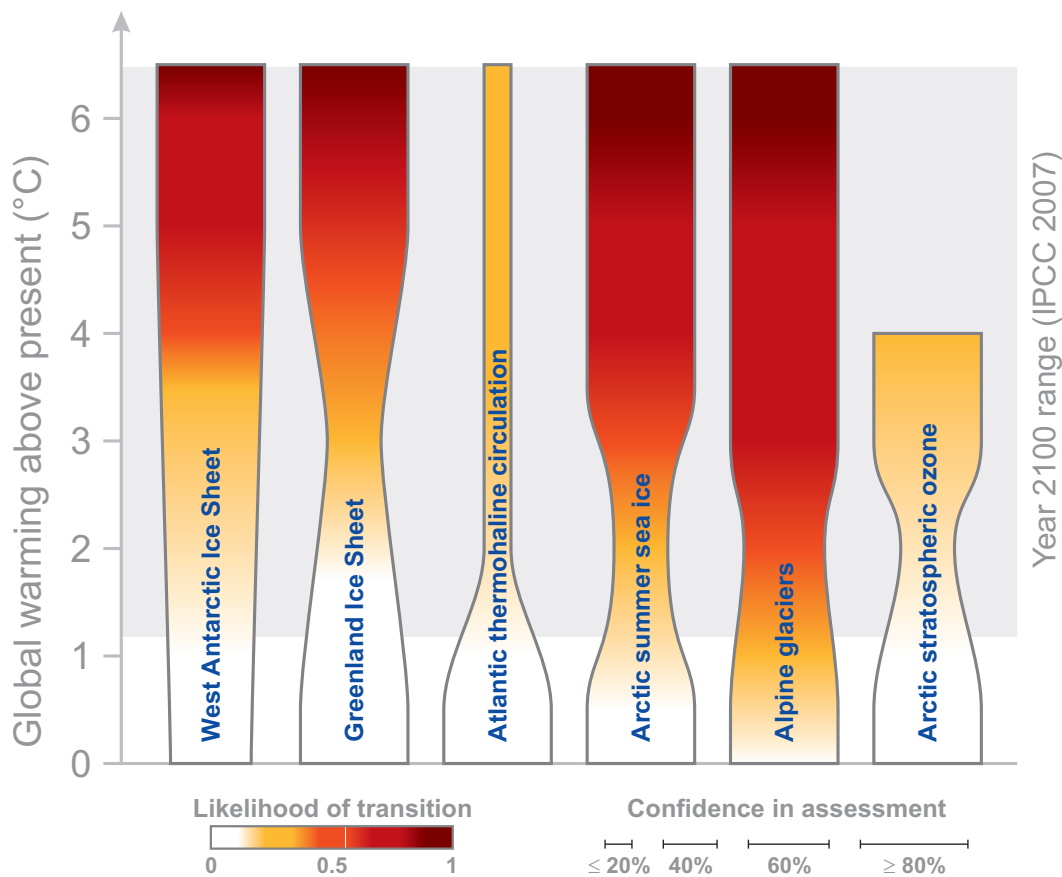


Figure 15 'Burning rivers' summarizing the authors' general assessment of the potential of a transition of each system into a state that differs qualitatively from their present state. Colour coding represents the authors assessment of the likelihood of a transition for different global temperature increase. The width of the column represents the authors' confidence in their assessment, i.e. the narrower the 'river' the less confident the experts are in their respective assessment. For most systems the risk of tipping increases with temperature along with the confidence in such an assessment. An exception is the potential collapse of the Atlantic overturning circulation. Such a transition depends on the freshwater inflow into the North Atlantic which is only indirectly related to the global mean temperature increase through Greenland melting and precipitation changes. Especially because of uncertainty with respect to future precipitation changes, confidence in the tipping potential for the THC does not increase with temperature. The risk of reaching a tipping point in Arctic ozone depletion will become insignificant when chlorine levels drop below 1980 levels which is projected to occur around 2060 (WMO, 2007, SPARC, 2010). In the specific case of ozone depletion there exist significant uncertainty on the nature of the state to which the atmospheric circulation might to. All other systems are cryospheric and thus the likelihood of a transition increases with temperature. Due to the possibility that a partial disintegration of the WAIS in the Amundson Sea sector might have been already initiated the corresponding confidence that no transition has occurred for zero temperature increase is slightly reduced.

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