

1 **VARIwise: a general-purpose adaptive control simulation framework for**  
2 **spatially and temporally varied irrigation at sub-field scale**

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24

25 **Abstract**

26 Irrigation control strategies may be used to improve the site-specific irrigation of  
27 cotton via lateral move and centre pivot irrigation machines. A simulation framework  
28 'VARIwise' has been created to aid the development, evaluation and management of  
29 spatially and temporally varied site-specific irrigation control strategies. VARIwise  
30 accommodates sub-field scale variations in all input parameters using a 1 m<sup>2</sup> cell size,  
31 and permits application of differing control strategies within the field, as well as  
32 differing irrigation amounts down to this scale.

33

34 In this paper the motivation and objectives for the creation of VARIwise are discussed,  
35 the structure of the software is outlined and an example of the use and utility of  
36 VARIwise is presented. Three irrigation control strategies have been simulated in  
37 VARIwise using a cotton model with a range of input parameters including spatially  
38 variable soil properties, non-uniform irrigation application, three weather profiles and  
39 two crop varieties. The simulated yield and water use efficiency were affected by the  
40 combination of input parameters and the control strategy implemented.

41

## 42 **Keywords**

43 Variable-rate irrigation, centre pivot, lateral move, management, automation

44

## 45 **1. Introduction**

46 Managing the irrigation of crops using physical and agronomic principles has been  
47 shown by Evans (2006) to improve efficiency of water use by 15 to 44%. The  
48 irrigation application determined using these principles may be automatically  
49 implemented on a lateral move or centre pivot irrigation machine. Irrigation control  
50 strategies can use historical data or quantitative measurements of crop status, weather

51 and soil, or some combination of these, to automatically adjust the irrigation  
52 application. However, irrigation is traditionally applied uniformly over an entire field,  
53 although not all plants in a crop may require the same amount of water at any given  
54 time. It follows that differential application of water (and possibly fertiliser, applied  
55 via fertigation) will be required according to plant requirements at different locations  
56 in the field.

57

58 Much of standard control theory (developed for electrical and chemical applications,  
59 for example) assumes that a system does not vary with time and has fully-defined  
60 dynamics (Zaknich 2005). However, these assumptions are not valid for many  
61 agricultural systems. For example, crop growth, pests and weather vary within and  
62 between crop seasons and these alter the optimal irrigation amount to be applied to the  
63 plants. For every change in conditions a standard feedback control system would  
64 have to be manually redesigned and this is labour-intensive. Furthermore,  
65 determination of the appropriate local irrigation amount may require differing local  
66 irrigation strategies (e.g. as a result of varying soil properties). Hence, control  
67 strategies which accommodate temporal and spatial variability in the field and which  
68 locally modify the control actions (irrigation/fertigation amounts) need to be  
69 'adaptive' (McCarthy et al., 2008; Smith et al., 2009).

70

71 Adaptive control systems automatically and continuously re-adjust ('retune') the  
72 controller to retain the desired performance of the system (e.g. Warwick 1993).  
73 Similarly, adaptive control strategies may be used to accommodate the various levels  
74 of data complexity normally found in irrigation (i.e. for the various combinations of  
75 plant, soil and weather data depending on data availability). By comparing adaptive

76 control strategies, we may identify superior and hopefully optimal control strategies  
77 for irrigation, sensor variable requirements, and temporal and spatial scales  
78 requirements. The conceptual components of an adaptive control system for variable-  
79 rate irrigation are illustrated in Figure 1.

80

81

*{Insert Figure 1 here}*

82

83 Adaptive irrigation control strategies (Figure 1) can use both historical data and real-  
84 time quantitative measurements of crop status, weather and soil, either singly or in  
85 combination, to locally adjust the irrigation application, as required, to account for  
86 temporal and spatial variability in the field. It should be noted that in Figure 1, the  
87 ‘decision support system’ embodies the control strategy; ‘actuation’ is the action of  
88 adjusting the irrigation volume and/or timing; and ‘application’ is the resulting  
89 physical amount and timing of water and fertiliser applied to the crop.

90

91 Considerable work is reported in the literature toward the development of variable-  
92 rate applicators for lateral move and centre pivot irrigation machines to achieve site-  
93 specific irrigation (e.g. King and Wall 2005), some of which include a wireless sensor  
94 network (e.g. Pierce et al. 2006; Coates and Delwiche 2008) and irrigation system  
95 self-monitoring capabilities (e.g. Chávez et al. 2009a; Chávez et al. 2009b). The  
96 evaluation of these applicators typically consisted of a predetermined irrigation  
97 prescription map; however, King and Wall (2005) utilised digitised remote images of  
98 the field to withhold water from non-cropped areas. Commercial irrigation control  
99 systems also commonly apply pre-determined, spatially-varied irrigation volumes  
100 derived from historical data (e.g. field maps) when indicated by sensed data (e.g.

101 Dukes and Perry (2006) evaluated the commercial ‘Farmscan’ variable-rate irrigation  
102 system).

103

104 Control systems have been used to determine spatially-variable irrigation application  
105 using measured soil data (e.g. Capraro et al. 2008; Kim and Evans 2009; Kim et al.  
106 2009; Park et al. 2009) and plant data (e.g. Peters and Evett 2008). The system  
107 developed by Kim et al. (2009) divided the field into five areas based on a soil  
108 electrical conductivity map and the irrigation volume applied to each area was  
109 proportional to the soil water deficit that was remotely sensed in each area (i.e. no  
110 irrigation was applied if the soil water deficit was at a minimum). The irrigation  
111 events were triggered when the deficit of any of the five soil sensors reached mid-  
112 range. Park et al. (2009) developed a model predictive controller that determined  
113 spatially variable irrigation volumes (applied by changing the machine speed of a  
114 centre pivot) to maintain soil moisture. This controller predicted soil moisture  
115 responses and irrigation applications using real-time weather and a soil model  
116 calibrated using measured soil moisture. Capraro et al. (2008) reported a closed-loop  
117 neural network-based irrigation controller for drip irrigation in which a soil model  
118 was developed using a neural network and soil moisture data gathered during a  
119 sequence of irrigation events. The soil model was then used to estimate the irrigation  
120 application to regulate soil moisture. Another controller for variable-rate centre pivot  
121 irrigation using soil moisture data feedback was conceptualised by Moore and Chen  
122 (2006). In this case, an iterative learning controller adjusted the irrigation application  
123 flow rate to control the water or concentration of nutrients in the soil. Peters and  
124 Evett (2008) used crop stress as the indicator of irrigation requirement via an array of

125 infrared thermometers mounted on the centre pivot which permitted the adjustment of  
126 the irrigation application for each of 48 areas of the field.

127

128 The majority of these control strategies are one-dimensional (using only soil or plant  
129 data for irrigation management). However, local microclimate, plant genetics and  
130 pest infestations in the crop may result in one area having a different optimal yield  
131 relative to another area of the field, and if the control strategy aims for uniform yield  
132 across the field then the yield cannot be maximised.

133

134 The control systems described above respond (and adjust the irrigation control) only if  
135 the need to change control settings is manifest in the sensed variables. Soil data has  
136 been utilised in the majority of the irrigation control systems currently in the literature  
137 (as discussed above), whilst weather data has been used to manage irrigations under  
138 limited water supply (e.g. Rao et al. 1992) and plant data has been utilised for  
139 automatic irrigation control (Peters and Evett 2008). However, soil and weather  
140 sensors may not provide the most accurate indication of crop status; rather, the plant  
141 may be the best indicator of water availability (e.g. Kramer and Boyer 1995; Wanjura  
142 and Upchurch 2002; Jones 2004). This is because the plants essentially integrate the  
143 atmospheric and soil factors that affect plant water status. Because of the relatively  
144 short time constant associated with the evaporative demand (and hence transpiration  
145 response) of plants, an irrigation control system using plant growth data should enable  
146 input of parameters with appropriately short time constants (e.g. weather which  
147 affects sub-daily dynamics of crop response) as well as data with long time constants  
148 (e.g. change in soil water status). Hence, it is likely that the incorporation of multiple

149 sensed variables (i.e. plant, soil and weather data) will normally be required for an  
150 optimal irrigation control system.

151

152 A general-purpose irrigation simulation framework is required to develop, simulate  
153 and evaluate alternative site-specific irrigation control strategies incorporating  
154 multiple sensor variables. This paper reports the development of a framework,  
155 ‘VARIwise’, for site-specific irrigation and illustrates its capability using a case study  
156 on the irrigation of cotton.

157

## 158 **2. Specification of a Variable-rate Irrigation System Simulation/Control**

### 159 **Framework**

160 The framework must: (i) simulate alternate irrigation control strategies to determine  
161 optimal strategies; and (ii) enable optimised control strategies to be executed in real-  
162 time and provide data outputs (i.e. irrigation volume and/or timing) in an appropriate  
163 form for control actuation. Optimal adaptive control strategies to decide irrigation  
164 volume and timing may be identified by simulating and evaluating adaptive control  
165 strategies using a framework. For both control strategy simulation and real-time  
166 control, the framework must enable data input for a range of field conditions in which  
167 data (e.g. weather, soil type, irrigation machine type) is available at various spatial  
168 and temporal scales. Smith et al. (2009) discuss the various conditions and the  
169 capabilities of simulation software for adaptive irrigation control.

170

171 The framework should accommodate data entry as text (e.g. daily Australian Bureau  
172 of Meteorology SILO patched point environmental data; QNRM 2009) or images (e.g.  
173 aerial and in-field photos or EM38 maps) as well as numerical values. The minimum

174 resolution of the imported images should correspond to the spatial scale specified for  
175 the field in the framework (e.g. if the field is divided into 10 m<sup>2</sup> cells, then the pixels  
176 in the image should cover a maximum of 10 m<sup>2</sup>). Image file formats should include  
177 all commonly used formats, including TIFF, JPEG and BMP. Certain data collected  
178 across the field or otherwise imported may be at a high spatial resolution (e.g. from an  
179 electromagnetic soil moisture (EM38) survey), whereas others may only be available  
180 as widely-separated point measurements (e.g. from in-field soil moisture probes). It  
181 follows that the framework must be able to interpolate sparse spatial data to estimate  
182 field data at a higher spatial resolution.

183

184 For some sensor variables, only one data reading may be available for the whole field  
185 (e.g. rainfall) and the presumption that this value is constant across the field may be  
186 questionable. For control strategy simulations, single-point field scale data may be  
187 insufficient to thoroughly evaluate irrigation control strategies at a high spatial  
188 resolution. Therefore, the framework should be able to impose additional variation  
189 (data 'noise') on chosen input data sets to estimate the spatial distribution across the  
190 field and permit the simulation of a wider variety of input conditions, in particular the  
191 effect of unmeasured variability. For example, in Australia, cotton is grown in areas  
192 dominated by unstable cumulonimbus storms which cause highly variable in-field  
193 rainfall (with a spatial scale of 10 to 100 m). A local weather station would only  
194 measure rainfall for a single nearby point, and imposing spatial variability in the  
195 rainfall data would enable the variability to be evaluated in simulation experiments.

196

197 Most field data is highly dynamic (e.g. plant water use changes throughout the day);  
198 hence, the framework should be able to handle input data at any temporal scale. It



199 follows that for control strategy simulation, crop production models appropriate for  
200 these variables must have appropriately short time steps. However, the temporal scale  
201 of the framework simulation is limited by the characteristics of the model and  
202 currently most crop production models operate at a daily time step. In this situation,  
203 the simulation inputs must be averaged daily as the model outputs are determined  
204 daily. Temporal variability of the data (i.e. data collected at different time steps) may  
205 also be evaluated for different control strategies.

206

207 Either simulated or measured in-field data should be utilised to provide feedback to  
208 the controller. Hence, the framework must be able to accumulate databases for all  
209 field data, simulation results and irrigation/fertigation applications, and retain these  
210 databases for use as historical input data in subsequent crop seasons. The simulation  
211 results of the control strategy output should be saved and graphically displayed over  
212 the crop season.

213

### 214 **3. Software Development**

215 A framework, 'VARIwise', with the capabilities outlined above, has been developed  
216 using Borland Delphi 6 (<http://www.embarcadero.com/products/delphi/>). Borland  
217 Delphi has the capability to create software frameworks that build databases and web  
218 applications, conduct image processing and statistical analysis, and execute  
219 mathematical functions and external applications (e.g. simulation models). VARIwise  
220 has the following major functional characteristics:

221 (1) the ability to input whole-of-field data;

222 (2) division of the field into variably sized cells;

223 (3) creation, accumulation and management of spatial databases;

- 224 (4) simulation of natural variability;
- 225 (5) incorporation of variable-rate application;
- 226 (6) incorporation of simulation model/s (e.g soil moisture response, plant  
227 response);
- 228 (7) implementation of control strategies; and
- 229 (8) display of control strategy output.

230

231 The transfer of data between these functional areas is illustrated in Figure 2. The  
232 following sections 3.1 to 3.5 describe processes within the framework which can be  
233 applied to both physical and simulation environments.

234

235 *{Insert Figure 2 here}*

236

### 237 *3.1 Ability to input whole-of-field data*

238 Data entry screens are provided to input farm, field and crop data. Data inputs  
239 required for the farm database include GPS location; for the field database include  
240 irrigation type and dimensions of the computational ‘cells’ (‘cells’ refer to sub-areas  
241 of the field); and for the crop database include a crop label to distinguish between  
242 crop seasons on each field. Databases are also created for irrigation machine and  
243 sensor details. One database is created for each of the following: farms, field, crops,  
244 irrigation machines and sensors.

245

### 246 *3.2 Division of the field into cells*

247 The field is automatically divided into cells according to the dimensions and number  
248 of cells specified in the field information. The cell size is also automatically adjusted

249 to fit evenly across the irrigation machine. Cells approximately 1 m wide and 1 m  
250 long for a centre pivot-irrigated and lateral move-irrigated field are displayed in  
251 Figure 3(a) and (b), respectively.

252

253 *{Insert Figure 3 here}*

254

255 A high level of control in centre pivot and lateral move irrigation application can be  
256 achieved using a Low-Energy Precision Application (LEPA) sock: LEPA socks apply  
257 water at low pressure within the crop canopy or directly onto the soil (e.g. Foley  
258 2004). For example, for a machine irrigating a cotton crop, LEPA socks may be  
259 positioned 1 to 2 m apart; hence, in VARIwise the smallest controllable area has been  
260 assumed to be 1 m<sup>2</sup>. If LEPA socks are not used on an irrigation machine, then  
261 irrigation decisions can be simulated at spatial scales larger than 1 m<sup>2</sup> and in these  
262 cases, the cells are automatically aggregated.

263

### 264 *3.3 Creation, accumulation and management of spatial databases*

265 Creating spatial databases in VARIwise requires the following characteristics of the  
266 data collection: farm label, field label, crop label, data type, sensor type, measurement  
267 units, location in the field, and date and time of measurement. Data types include  
268 nitrogen applied, soil moisture, leaf area index, plant height, temperature, rainfall and  
269 humidity. A new database file is automatically created for each unique combination  
270 of these characteristics; for example, the filename for a database containing soil  
271 moisture content data measured with an Enviroscan probe is shown in Figure 4. The  
272 databases created within the software are shown in Table 1.

273

274 *{Insert Figure 4 here}*

275

276 *{Insert Table 1 here}*

277

278 Field-scale data is entered into VARIwise either manually or imported from a data file  
279 (as text or .csv files) or image file (as BMPs or JPEGs). Input of an image requires a  
280 legend and the measurement that corresponds to the minimum and maximum legend  
281 values. For an RGB image, the data values are obtained for each cell by comparing  
282 the colour value on the image to the corresponding RGB values in a legend for the  
283 image.

284

285 The pattern of irrigation application as measured using standard catch can tests (in  
286 accordance with ASABE Standard S436.1, ASABE 2007) for a particular irrigation  
287 machine can be imported into VARIwise (commonly as a .csv file) and is  
288 automatically saved to the irrigation machine database. The application uniformity  
289 for two machines is illustrated in Figure 5.

290

291 *{Insert Figure 5 here}*

292

### 293 *3.4 Simulation of natural variability*

294 Imposing simulated variability upon the input parameters may be useful to conduct  
295 simulation experiments for control strategy simulation and evaluation. However, it  
296 should be noted that when the framework is operated in real-time control mode using  
297 measured field data, there should be no need to introduce additional variation into the  
298 data. For simulation experiments, spatial variability may be imposed to single-point

299 field data values to account for local variations (that are anticipated but not directly  
300 measured) by one of two methods in the present implementation of VARIwise. These  
301 methods are:

302 (1) For field-scale data (e.g. rainfall) representing a sub-area or (strictly) just a point  
303 in the field, any statistical distribution of variability (e.g. Gaussian, gamma,  
304 Weibull) or variability according to an imported map may be imposed. For  
305 example, given a single value of measured rainfall, the rainfall value ascribed to  
306 each cell may be chosen either randomly (to recognise rain gauge catch  
307 uncertainty) and/or as a gradient across the field (e.g. to recognise the spatial  
308 distribution of an individual storm).

309 (2) Interpolating spatial data points (e.g. soil moisture) using 'ordinary kriging' (e.g.  
310 Güyagüler & Horne 2003). Kriging is a method for estimating the value of a  
311 property at an unsampled point location (e.g. Webster & Oliver 2001); and  
312 ordinary kriging uses linear interpolation (i.e. its estimates are weighted linear  
313 combinations of the available data) without prior knowledge of the mean, and  
314 assumes that the local mean may not be closely related to the population mean (e.g.  
315 Scott 2000). Simulated variability may also be imposed on kriged data as  
316 described in (1) above.

317

318 Database files for the data modified to include variability are saved in VARIwise in  
319 the same format as the original data. However, the filename also contains:

- 320 • the text string *Variability*,
- 321 • the type of variability added (i.e. statistical probability distribution or kriging), and
- 322 • the parameters for the variability introduced (e.g. standard deviation).

323

324 *3.5 Incorporation of variable-rate application*

325 In the VARIwise framework, variable-rate irrigation in both control strategy  
326 simulations and real-time control is achieved by adjusting the output of individual  
327 outlets (to sprinklers or LEPA socks). To compensate for the change in water  
328 application hydraulics required by variable-rate irrigation, either one or both of two  
329 parameters (i) machine speed and (ii) pump flow rate, may be changed. For (i) the  
330 required machine speed is estimated in VARIwise using the machine capacity  
331 (specified in the machine database) and the total irrigation depth applied by the  
332 machine at one time. Option (ii) is not considered further in this paper.

333

334 *3.6 Incorporation of simulation model/s*

335 When VARIwise is used to generate or evaluate irrigation strategies, a simulation  
336 model appropriate to the crop and agricultural system will normally be utilised to  
337 generate synthetic field data which become inputs to the control system. Because  
338 simulation models are typically tested by comparing measured and predicted data  
339 averaged across the field over multiple years, such models are generally not calibrated  
340 or tested for their ability to appropriately represent measured spatial and temporal  
341 differences. Therefore calibrating the model using *measured* spatial and temporal  
342 data will allow for local real-time parameterisation of the model and may also  
343 improve the overall performance of the model (although it is beyond the scope of the  
344 present paper to further develop this conjecture). We note also that it is likely the  
345 calibration procedure will vary according to the model.

346

347 The input data required for complete evaluation of irrigation control strategies include  
348 crop growth (e.g. leaf area index), fruit development (for cotton), soil moisture and

349 weather data. For cotton this data set may be obtained using the crop simulation  
350 model OZCOT which is routinely used for cotton irrigation management in Australia  
351 (Richards et al., 2008). OZCOT is a cotton fruiting and leaf area growth model  
352 (Hearn & Da Roza 1985) coupled with a soil water balance sub-model (Ritchie 1972)  
353 and nitrogen uptake sub-model (Wells and Hearn 1992). The fruiting model captures  
354 the basic pattern of cotton growth and fruit development and is driven by weather data  
355 (e.g. day degrees) and soil properties (e.g. soil water deficit). The soil model  
356 calculates the components of the soil water balance, i.e. soil evaporation is estimated  
357 using the atmospheric evaporative demand and the capacity of the soil to transmit  
358 water to the surface, and transpiration is estimated using the leaf area index (Ritchie  
359 1972). Spatial customisation/calibration for OZCOT involves adjustment of  
360 parameters in the soil properties and crop variety files (which describe the rate of boll  
361 and vegetative growth): these may be adjusted iteratively based on the error between  
362 the modelled data and measured data on the measurement days.

363

364 The interfacing (i.e. input and output data requirements) of crop simulation models  
365 typically varies between each model; hence the incorporation of each model into  
366 VARIwise must be specifically programmed. The model OZCOT has been  
367 incorporated into VARIwise and was obtained as a stand-alone model from the  
368 simulation software HydroLOGIC (Richards et al. 2008). However, it is anticipated  
369 that other models will be able to be integrated into VARIwise due to the generic  
370 nature of the software structure. Again, further work here is beyond the scope of this  
371 paper.

372

373 Actual field data replaces the simulation model as controller inputs when VARIwise  
374 is used as part of a decision support system in a field implementation. However, data  
375 from the simulation model may be used in a field implementation to predict the crop  
376 response for an irrigation control strategy (if required). Data from the output of the  
377 simulation model is saved to the corresponding VARIwise database files.

378

379 The procedure for updating VARIwise database files for a control strategy simulation  
380 is dependent on the constraints of the simulation model used. For example, for  
381 OZCOT the irrigation applied is entered as equivalent rainfall and measured data  
382 input variables include soil moisture, leaf area index, cotton boll count and  
383 temperature.

384

385 A simulation is executed for each cell and irrigation event and requires measured data  
386 input from the VARIwise databases to be transferred to the necessary model input  
387 files. For OZCOT this involves four steps, namely:

- 388 (1) Weather details to the OZCOT weather input file (including irrigation  
389 application determined by the control strategy which is entered as rainfall).
- 390 (2) Management details (including seed depth, row spacing, plant stand and crop  
391 variety) to the OZCOT agronomy input file and crop variety input file.
- 392 (3) Soil measurements (including measured plant available water content and soil  
393 moisture) to the OZCOT soil input file and the OZCOT observations input file.
- 394 (4) Plant measurements (including measured boll counts and leaf area index) to the  
395 OZCOT observations input file.

396

397 *3.7 Implementation of control strategies*



398 VARIwise is formulated to impose minimal (ideally zero) constraints on the control  
399 strategies that can be implemented in either simulation or physical (machine control)  
400 applications. For the purpose of illustration, this paper uses simulated data to  
401 demonstrate the following control strategies which are presently implemented in  
402 VARIwise:

403 **Strategy A: Fixed irrigation schedule** in which the dates and amounts for the  
404 irrigation events are defined by the user;

405

406 **Strategy B: Soil moisture deficit-triggered irrigation schedule** in which the  
407 irrigation amount and deficit triggering the irrigation are defined by the user; and

408

409 **Strategy C: Self-optimising irrigation management** which involves, firstly, the  
410 system inputs (i.e. irrigation application) changing iteratively such that the system  
411 output (i.e. plant and soil measurements and yield) is closer to the goal; and then,  
412 secondly, using ‘hill climbing’ to improve the irrigation decision. ‘Hill climbing’  
413 involves changing the state of the system into one that is closer to the goal in the  
414 direction of steepest gradient (Russell & Norvig 1995).

415

416 Hill climbing is typically implemented in processes which are repeatedly executed  
417 and evaluated in a small amount of time (e.g. within seconds). Therefore, direct  
418 application of this method to irrigation would not be efficient due to the different time  
419 scales (i.e. irrigations occurring days apart). However, the efficiency of hill climbing  
420 may be improved by using ‘test cells’ to evaluate a range of inputs to the system (i.e.  
421 at each irrigation event); and test cells may be selected in each area of the field with  
422 homogenous properties. For soil, the areas of homogenous properties may be

423 determined from an EM38 map, and in this paper each such area is referred to as a  
424 'zone'. Hence, the self-optimising irrigation strategy involves the following  
425 procedure:

426

427 Step 1. The field is automatically divided into zones of homogenous properties  
428 according to input data. For example, an EM38 electromagnetic survey  
429 imported into VARIwise for the irrigated area of the field as shown in Figure  
430 6(a) can be used to derive a soil moisture map shown in Figure 6(b). Figure 7  
431 shows a field divided into two zones using this EM38 map.

432

433 *{Insert Figure 6 here}*

434

435 *{Insert Figure 7 here}*

436

437 Step 2. A small number of cells (i.e. a group of 'test cells') are selected in each zone  
438 to evaluate different irrigation applications.

439

440 Step 3. The number of days until the first irrigation is determined by dividing the  
441 readily available water (*RAW*) of the soil by the daily crop water use. The  
442 *RAW* is the fraction of the total available water (specified by the user as a soil  
443 property) that can be extracted from the effective root zone before the crop  
444 suffers water stress (Chapter 8 of Allen et al. 1998) and this fraction  
445 ('depletion fraction') is estimated using Table 22 of Allen et al. (1998). The  
446 daily crop water use is estimated by calculating the crop evapotranspiration  
447 (*ET<sub>C</sub>*) from: (i) weather data (i.e. reference evapotranspiration (*ET<sub>O</sub>*) and

448 effective rainfall) entered by the user or obtained in the framework from an  
449 Australian Bureau of Meteorology data set; and (ii) crop coefficient ( $K_C$ )  
450 estimated from Table 12 of Allen et al. (1998) using the sowing date entered  
451 by the user, i.e.  $ET_C = K_C \times ET_O$  (Equation 56 of Allen et al. 1998). The crop  
452 coefficient indicates the crop coverage which changes during the growing  
453 season and affects soil evaporation (Allen et al. 1998). For example, from  
454 Table 12 of Allen et al. (1998) (which has been incorporated into VARIwise),  
455 crop coefficient estimates for cotton grown under typical irrigation  
456 management are  $K_C = 0.35$  during the initial crop stage (0 to 30 days after  
457 sowing),  $K_C = 0.35$  linearly increasing to 1.2 during the plant development  
458 stage (31 to 80 days after sowing),  $K_C = 1.2$  during the mid-season stage (81  
459 to 135 days after sowing) and  $K_C = 0.7$  during the late season stage (136 days  
460 after sowing until the end of the crop season). The interval from  
461 commencement to the first irrigation is estimated to be:

$$462 \text{ Days} = \frac{\text{RAW (mm)} + \text{Effective rainfall (mm)}}{\text{ETc (mm/day)}} \quad 463$$

464 where the effective rainfall is calculated on a daily time step basis taking into  
465 account the soil moisture deficit. The day calculated for the first irrigation  
466 may be different for each zone in the field (defined in step 1) since the soil  
467 properties, and hence the readily available water content, are spatially variable.  
468 In this situation, the field is irrigated according to the most limiting cell  
469 condition (i.e. on the earliest date calculated).  
470

471

472 Step 4. The first irrigation application is calculated for the non-test cells in each zone  
473 by aggregating the daily crop water use (calculated using weather data ( $ET_o$ )  
474 and the crop coefficient) since the crop was sown. In each test cell, the crop  
475 coefficient used to estimate the crop water use is offset from the crop  
476 coefficient used to calculate the irrigation applied to the non-test cells. For  
477 example, for  $K_C = 0.35$  and five test cells, the crop coefficients might be  
478 chosen as 0.07, 0.21, 0.35, 0.49 and 0.63 for each test cell, respectively (i.e.  
479 multiples of 0.14 on either side of the mean, 0.35).

480

481 Step 5. Before the next irrigation is applied (in this case, a fixed number of days), the  
482 crop response to the previous irrigation is evaluated. A performance index ( $PI$ )  
483 is calculated for each test cell in each zone. In VARIwise, the data used to  
484 determine the  $PI$  is specified by the user, and for a cotton crop appropriate  
485 parameters are leaf area index (LAI) and 'square count' ('squares' are flower  
486 buds on a cotton plant). The type of data specified affects how the  $PI$  is  
487 calculated.

488

489 For cotton, the LAI data should *not* simply be maximised as this would result  
490 in excessive vegetative growth rather than reproductive growth. Hence, the  $PI$   
491 for LAI can be calculated and compared to the reported LAI for an optimal  
492 crop (e.g. optimal LAI data obtained from OZCOT as shown in Figure 8). For  
493 data that follows an optimal time series data set (e.g. Figure 8), the  
494 performance index is:

495

496 
$$PI = \left| \frac{\text{Target value}(t) - \text{Current value}(t)}{\text{Target value}(t)} \right|$$

497

498 where  $t$  represents the day of the data collection.

499

500 *{Insert Figure 8 here}*

501

502 To optimise cotton yield, the  $PI$  can be calculated as the ratio of the current  
503 boll or square count to the maximum count of the test cells using:

504

505 
$$PI = \frac{\text{Current value}(t)}{\text{Maximum value}(t)}$$

506

507 Multiple data variables may be incorporated into the  $PI$  by applying weights to  
508 the performance index of each data type and summing the weighted indices.

509 For example, if leaf area index and square count are used with respective  
510 weights of 0.2 and 0.8, the total  $PI$  would be:

511

512 
$$PI = 0.2 \times P_{LAI} + 0.8 \times P_{\text{square/boll count}}$$

513

514 The  $PI$  for each test cell can be evaluated to determine the crop coefficient to  
515 be used for the ‘non-test’ cells in the next irrigation. The crop coefficient used  
516 for the next irrigation corresponds to the maximum  $PI$ : this would be obtained  
517 by finding the maximum point of a quadratic equation fitted through points  
518 plotted on a  $PI$  versus crop coefficient graph (e.g. Figure 9).

519

520

*{Insert Figure 9 here}*

521

522 Step 6. After a preset time interval, the non-test cells are irrigated with an amount  
523 calculated using the crop coefficient corresponding to the maximum  
524 performance index of the test cells from the previous irrigation and the  
525 aggregated reference evapotranspiration since the previous irrigation. The  
526 irrigation amounts applied to the test cells are calculated using crop  
527 coefficients offset (as step (4) above) from the optimal coefficient of the  
528 previous irrigation.

529

530 VARIwise automatically selects new test cells in each zone after every  
531 irrigation to ensure that the response of the test cell is indicative of the rest of  
532 the zone. This is achieved by a simple increment of the cell number (e.g.  
533 right-hand spiral for a centre pivot irrigated field) provided that the  
534 replacement cell still lies in the required zone.

535

536 Step 7. Steps 5. and 6. are repeated for each irrigation event.

537

538 By integrating a range of control strategies – the three above and others which may be  
539 added – and using different combinations of sensor variables, the user may then  
540 explore: (i) optimal control strategies for irrigation; (ii) temporal and spatial scale  
541 requirements for irrigation control; and (iii) the usefulness of additional sensors.

542

543 *3.8 Display of control strategy output*

544 All sensor variables and control strategy outputs are retained in databases and can be  
545 viewed in the software by the user for each cell throughout the crop season as either:  
546 (i) tables of values; (ii) plotted graphs; (iii) or animated field maps. Examples of  
547 these outputs are shown in Figure 10.

548

549 *{Insert Figure 10 here}*

550

#### 551 **4. Case study on the Irrigation of Cotton**

552 Simulations of the three control strategies introduced in Section 3.7 are compared in  
553 this case study with various input conditions.

554

##### 555 *4.1 Case study inputs*

556 In a simulation, cotton was sown on a 400 m diameter centre pivot-irrigated field on 4  
557 October and was irrigated until 14 March of the following year. Nitrogen application  
558 was 120 kg/ha at the start of the season and a cell size of 100 m<sup>2</sup> was specified. Both  
559 the low and high uniformity irrigation machine application data of Figure 5 were  
560 utilised for the fixed irrigation schedule, and only the low uniformity data was used  
561 for the soil moisture deficit-triggered irrigation schedule. These two irrigation  
562 schedules were simulated using the Sicot 73 crop variety and a weather profile  
563 ('Weather Profile 1'). The self-optimising irrigation strategy was evaluated for two  
564 crop varieties (Sicot 73 and Sicot 71B) and under the three weather profiles in which  
565 Weather Profile 1 is hot and wet late in the crop season, Weather Profile 2 is hot and  
566 wet early in the crop season, and Weather Profile 3 is hot early in the crop season with  
567 limited rainfall, and with respective GPS locations of -28.18°N 151.26°E, -29.50°N  
568 149.90°E and -30.09°N 145.94°E. Daily weather profiles for these sites were

569 obtained from Australian Bureau of Meteorology SILO data (QNRM 2009) for  
570 2004/2005.

571

572 The spatially varied soil properties (i.e. plant available water content) produced the  
573 underlying variability for the simulations presented in this case study (Figure 6). For  
574 the soil moisture deficit-triggered irrigation schedule, irrigation events (in which 20  
575 mm was applied) were triggered when a 30 mm soil moisture deficit was predicted  
576 (using the OZCOT model) in the three cells shown in Figure 11.

577

{Insert Figure 11 here}

579

580 For the self-optimising irrigation strategy, the field was automatically divided into  
581 two zones (Figure 7) using the EM38 map imported into VARIwise (Figure 6) and  
582 five test cells. The target LAI derived from a VARIwise simulation of soil moisture  
583 deficit-triggered irrigation with the highest yield (triggered by Point 3 in Figure 11)  
584 was used and is shown in Figure 8.

585

586 The performance index was calculated using leaf area index and square count with  
587 respective weights of 0.2 and 0.8. This data was obtained from the OZCOT model  
588 one day before the next scheduled irrigation event. Irrigations were applied every six  
589 days following the first scheduled irrigation event.

590

#### 591 *4.2 Case study output and discussion*

592 The simulation output using three alternative control strategies is shown in Figures 12,  
593 13 and 14 in which 'IWUI' denotes Irrigation Water Use Index and is the ratio of the



594 crop yield (bales) to the irrigation water applied (ML) (BPA 1999). The simulations  
595 demonstrated the effect (on yield and irrigation water use index) of the fixed irrigation  
596 schedule and machine uniformity (Figure 12), the location of the trigger point used for  
597 soil moisture deficit-triggered irrigation schedule (Figure 13) and the variable-rate,  
598 self-optimising irrigation strategy (Figure 14). Inspection of these results indicates:

- 599 • For the fixed irrigation schedule, the yield generally improved when the irrigation  
600 volume was increased.
- 601 • The uniformity of the machine affected the simulated yield: a low uniformity  
602 machine which applied large volumes of irrigation in some areas of the field  
603 resulted in higher yields.
- 604 • For the soil moisture deficit-triggered irrigation schedule, the location of the  
605 trigger point used to initiate irrigation events significantly affected the yield. The  
606 spatial variability of the yield was a function of the non-uniformity of the  
607 irrigation machine and the relationship between the location of the trigger point  
608 and the machine.
- 609 • The simulated yield for the self-optimising irrigation strategy was higher than the  
610 fixed and soil moisture deficit-triggered irrigation schedules under the same input  
611 conditions and when the weather and crop properties were varied. The spatial  
612 variability of the yield was caused by the spatial variability of the soil properties  
613 and the ‘test’ irrigation volumes being applied to various cells across the field.

614

615 The irrigation strategies and input conditions simulated in VARIwise in this case  
616 study show significant differences in yield and water use. These differences  
617 demonstrate the potential value of VARIwise as a variable-rate irrigation simulation  
618 framework and for further investigations of adaptive irrigation control strategies.

619

620 It is intended that VARIwise will be used as part of a decision support system in real-  
621 time field implementations, i.e. a computing system would be mounted on a lateral  
622 move or centre pivot and transmit control actions to variable-rate irrigation hardware.  
623 VARIwise could be interfaced with input data sources including an automatic weather  
624 station, wireless sensor networks of soil sensors, on-the-go plant sensors, field  
625 observations from the irrigation manager or agronomist (e.g. plant stress) and flow  
626 meters for machine water applications. It is anticipated that computing the irrigation  
627 application and/or timing for one cell would take 2 seconds (which is the execution  
628 time for an algorithm in Borland Delphi 6 on an Intel Core 2 Quad Q9400 (2.66 GHz)  
629 processor and Windows XP operating system), enabling real-time implementation on  
630 a lateral move or centre pivot moving at 2 metres per minute.

631

632 Future work will involve comparing the irrigation control strategies and management  
633 constraints (e.g. limited water situations), comparing the simulation results with field  
634 data, integrating measured field data with in-built simulation models, and exploring  
635 the data requirements for irrigation control and the optimal spatial scales and time  
636 steps for measurements (e.g. soil moisture, LAI, weather). For the field evaluations,  
637 data would be collected by an agronomist from in-field sensors.

638

## 639 **5. Conclusion**

640 The simulation framework VARIwise has been created to aid the development,  
641 evaluation and management of spatially and temporally varied site-specific irrigation  
642 control strategies. The input, database and output can provide resolutions of 1 m<sup>2</sup>  
643 (cell size) and sub-daily time steps, and the framework accommodates simulation

644 models according to crop type and alternative control strategies. A case study for the  
645 irrigation of cotton demonstrated that VARIwise accommodates field-scale variations  
646 in input parameters, a standard cotton plant model (OZCOT) and evaluation of  
647 adaptive control strategies which have the potential to improve yield and irrigation  
648 water use index. Further work in VARIwise will entail an analysis of the control  
649 strategy outputs and exploration of the strategies using input data with various spatial  
650 scales and time steps.

651

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655 author; and for critical comments on the manuscript provided by our colleague Prof R  
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657

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790 **Table caption**

791

792 Table 1: Databases within VARIwise

793

794 **Figure captions**

795

796 Figure 1: Conceptual adaptive control system for variable-rate irrigation – the basis of  
797 the simulation framework VARIwise

798

799 Figure 2: Flow chart for VARIwise software

800

801 Figure 3: VARIwise cells for field irrigated by a: (a) centre pivot; and (b) lateral move

802

803 Figure 4: Example filename of spatial database in VARIwise

804

805 Figure 5: Examples of centre pivot uniformity for fixed and soil-moisture deficit  
806 triggered irrigation schedules (obtained from Raine et al. (2008) and as used in the  
807 cotton irrigation case study presented in this paper)

808

809 Figure 6: EM38 map: (a) to be imported in VARIwise; and (b) with electrical  
810 conductivity values assigned to each cell for the area circled in (a)

811

812 Figure 7: Zones for self-optimising irrigation strategy in VARIwise derived from the  
813 soil electrical conductivity data of Figure 6(b)

814 Figure 8: Target leaf area index used for self-optimising irrigation strategy for cotton  
815 in VARIwise

816

817 Figure 9: VARIwise determination of maximum *PI* using a quadratic fit to the  
818 available data points

819

820 Figure 10: Example simulation output for soil moisture deficit-triggered irrigation: (a)  
821 graph of soil moisture during crop season in one cell; and (b) yield map for last day of  
822 season

823

824 Figure 11: Trigger points for soil moisture deficit-triggered irrigation schedule in  
825 VARIwise

826

827 Figure 12: Output of the fixed irrigation schedule for Weather Profile 1 and Sicot 73  
828 and legend for yield maps in Figures 12-14

829

830 Figure 13: Output of the soil moisture deficit-triggered irrigation schedule for  
831 Weather Profile 1 and Sicot 73 (where legend for yield maps is in Figure 12)

832

833 Figure 14: Output of the self-optimising irrigation strategy with variable-rate  
834 irrigation machine (where legend for yield maps is in Figure 12)

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835 **Table**

836

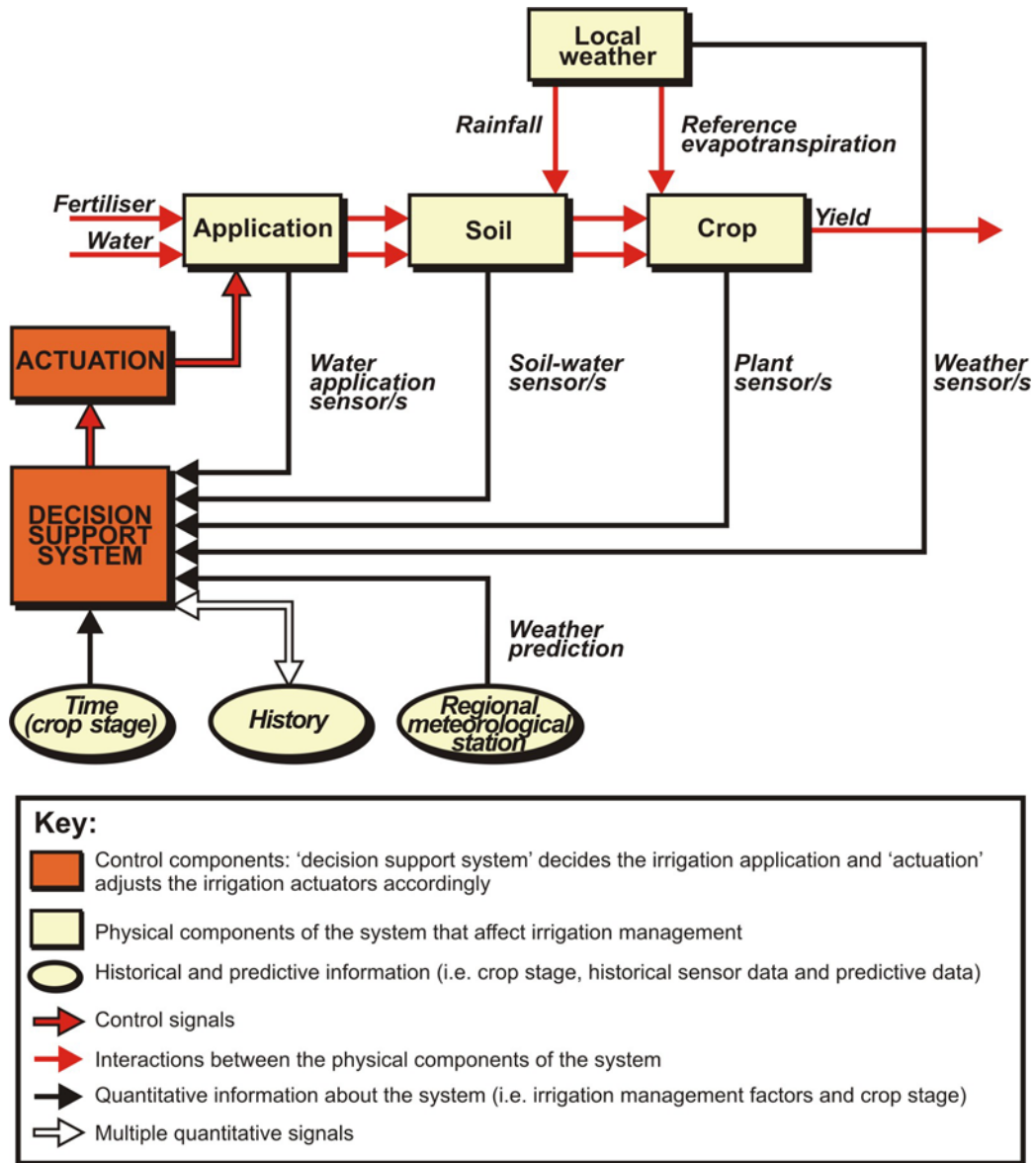
837

Table 1: Databases within VARIwise

Type of database	Input method	Database entries
<i>Static (unchanging) databases:</i>		
Farms	Input screen/ Google Maps via an embedded web browser in VARIwise	Label, GPS location
Fields	Input screen	Label, irrigation type, dimensions of computational cell, number of cells to aggregate
Crops	Input screen	Label
Irrigation machines	Input screen	Dimensions, tower positions, configuration of irrigation outlets, type of outlets (i.e. end gun, boombucks), pump flow rate, irrigation application uniformity
Sensors	Input screen	Type of sensor, data type, units, time intervals of measurement
Field-scale database ( <i>i</i> )	Input screen	A new database ( $i=1,2,3,\dots$ ) is created for each of the following variables: sowing date, defoliation date/s, harvest date, crop variety, plant available water content
Control strategy evaluation database ( <i>j</i> )	Input screen	Each database ( $j=1,2,3,\dots$ ) contains the following data for each control strategy evaluated: Type of control strategy, data variable/s to use, whether machine speed is constant or variable
<i>Temporally-modified databases:</i>		
Field-scale database ( <i>k</i> )	(As appropriate) Input screen/ text file/ image file (e.g. aerial or ground photos, EM map)/ Internet (e.g. weather data from SILO data set (QNRM, 2009) using GPS location in property database)	Each database ( $k=1,2,3,\dots$ ) contains one variable, for example: <i>Management details:</i> nitrogen application <i>Plant measurements:</i> boll counts <i>Soil measurements:</i> soil moisture, electrical conductivity <i>Weather measurements:</i> solar radiation, temperature, rainfall, evapotranspiration <i>Other:</i> yield

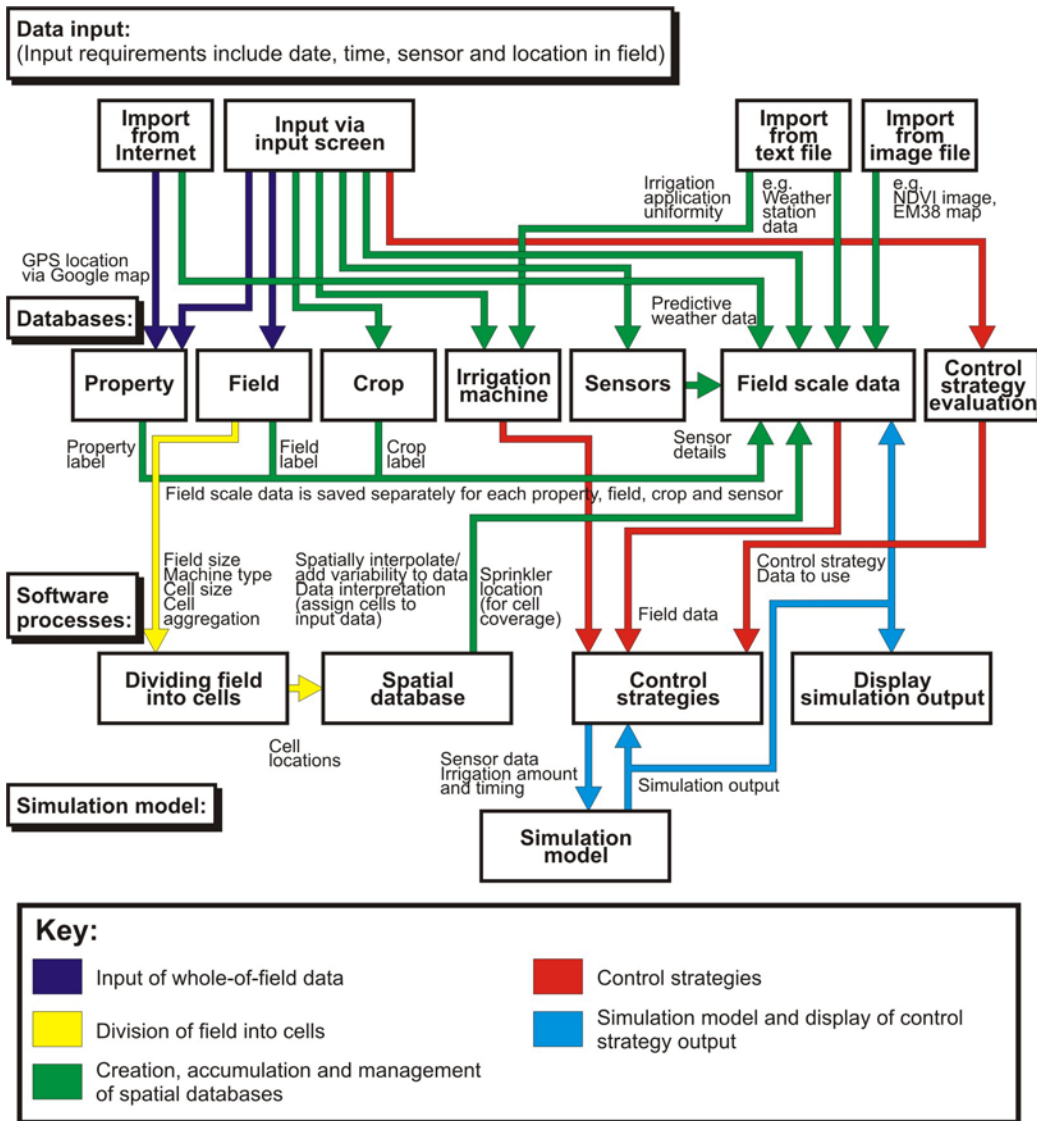
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839 **Figures**  
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Figure 1: Conceptual adaptive control system for variable-rate irrigation – the basis of the simulation framework VARIwise



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Figure 2: Flow chart for VARIwise software

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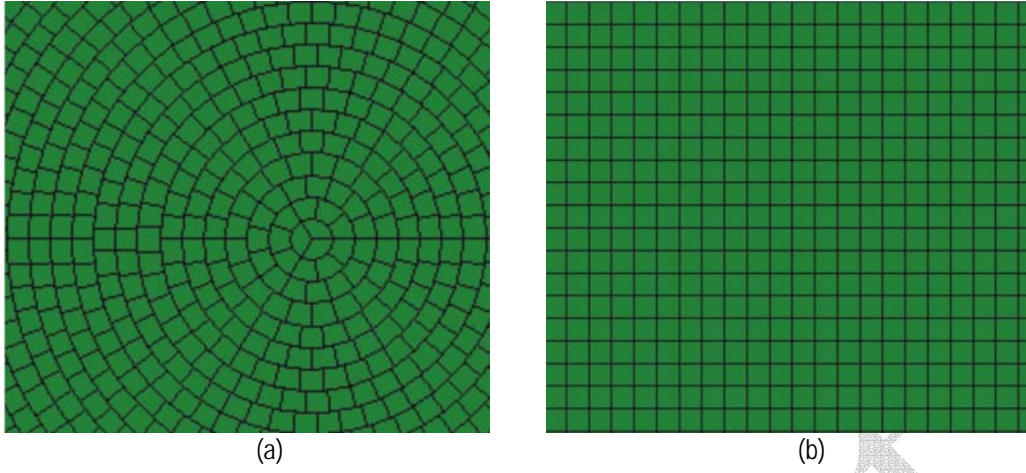
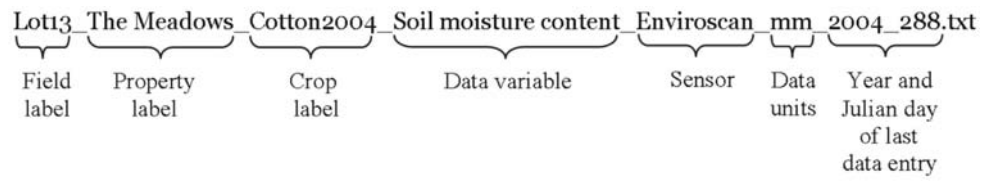


Figure 3: VARwise cells for field irrigated by a: (a) centre pivot; and (b) lateral move

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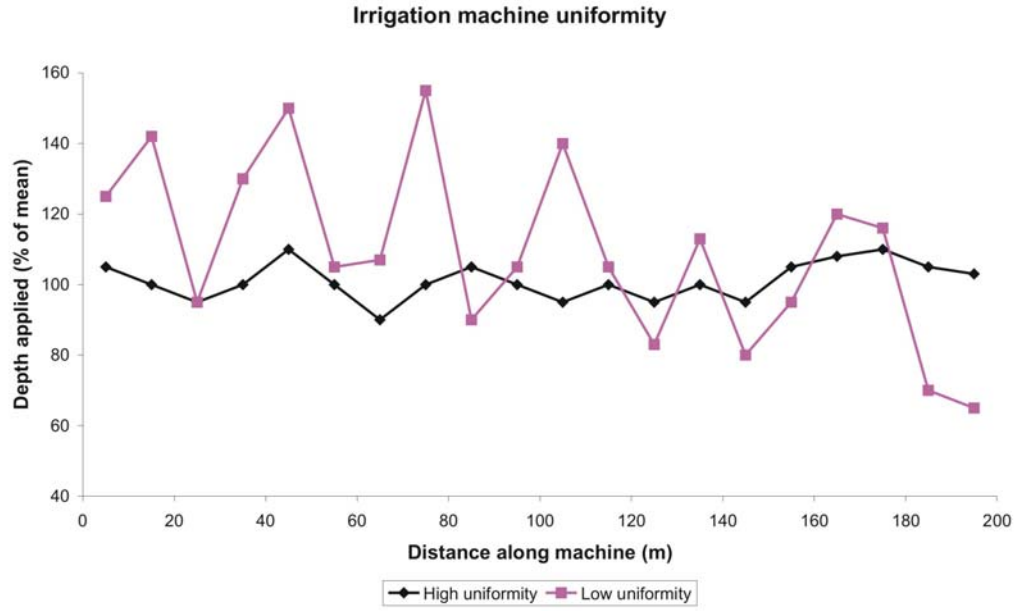




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Figure 4: Example filename of spatial database in VARlwise

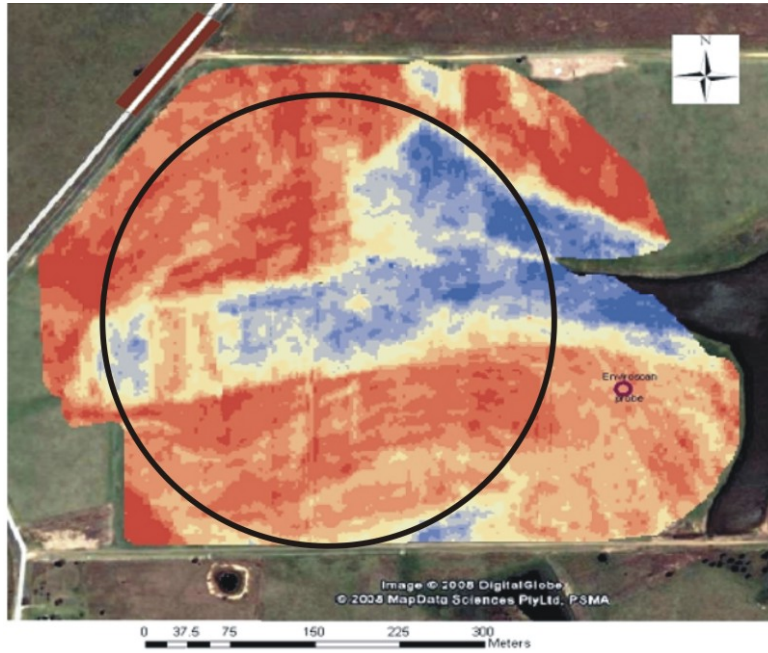
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Figure 5: Examples of centre pivot uniformity for fixed and soil-moisture deficit triggered irrigation schedules (obtained from Raine et al. (2008) and as used in the cotton irrigation case study presented in this paper)

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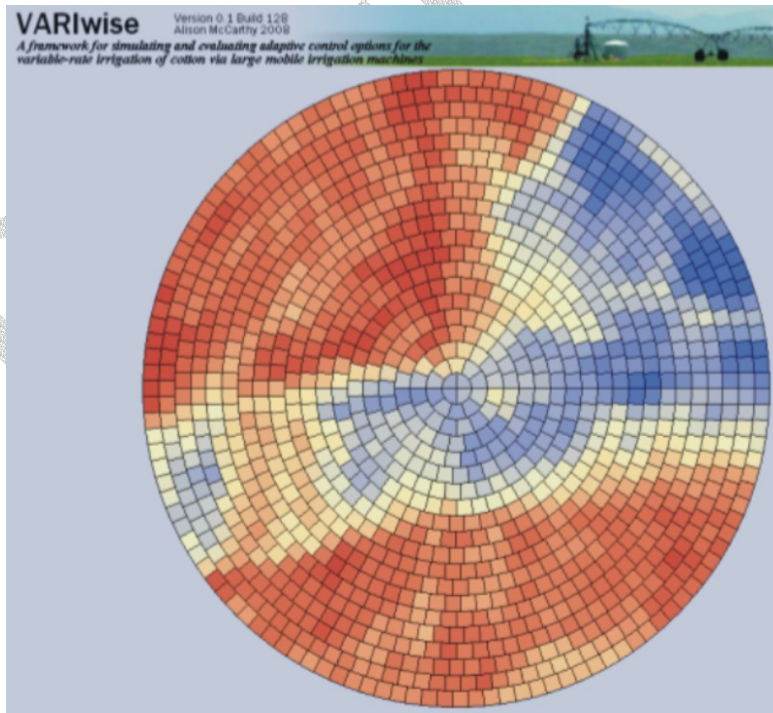
**Key:**

EM38 (mS/cm)



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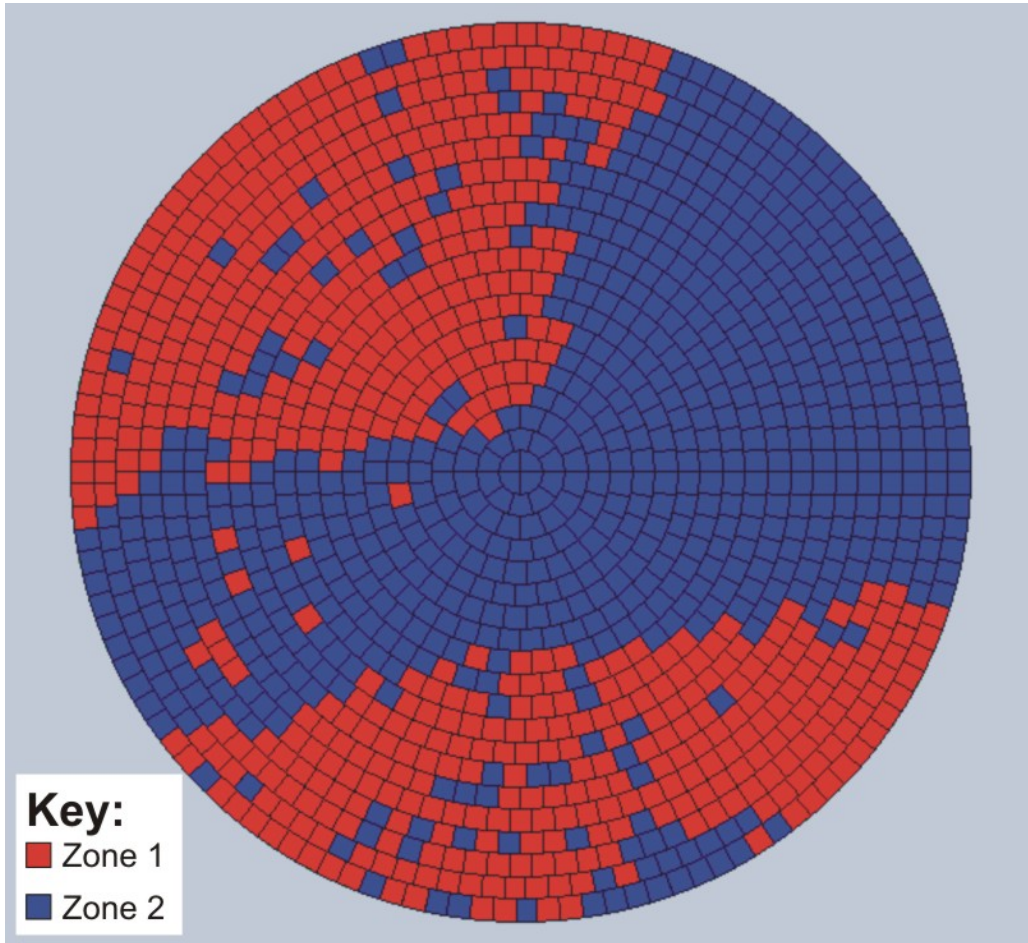
(a)



(b)

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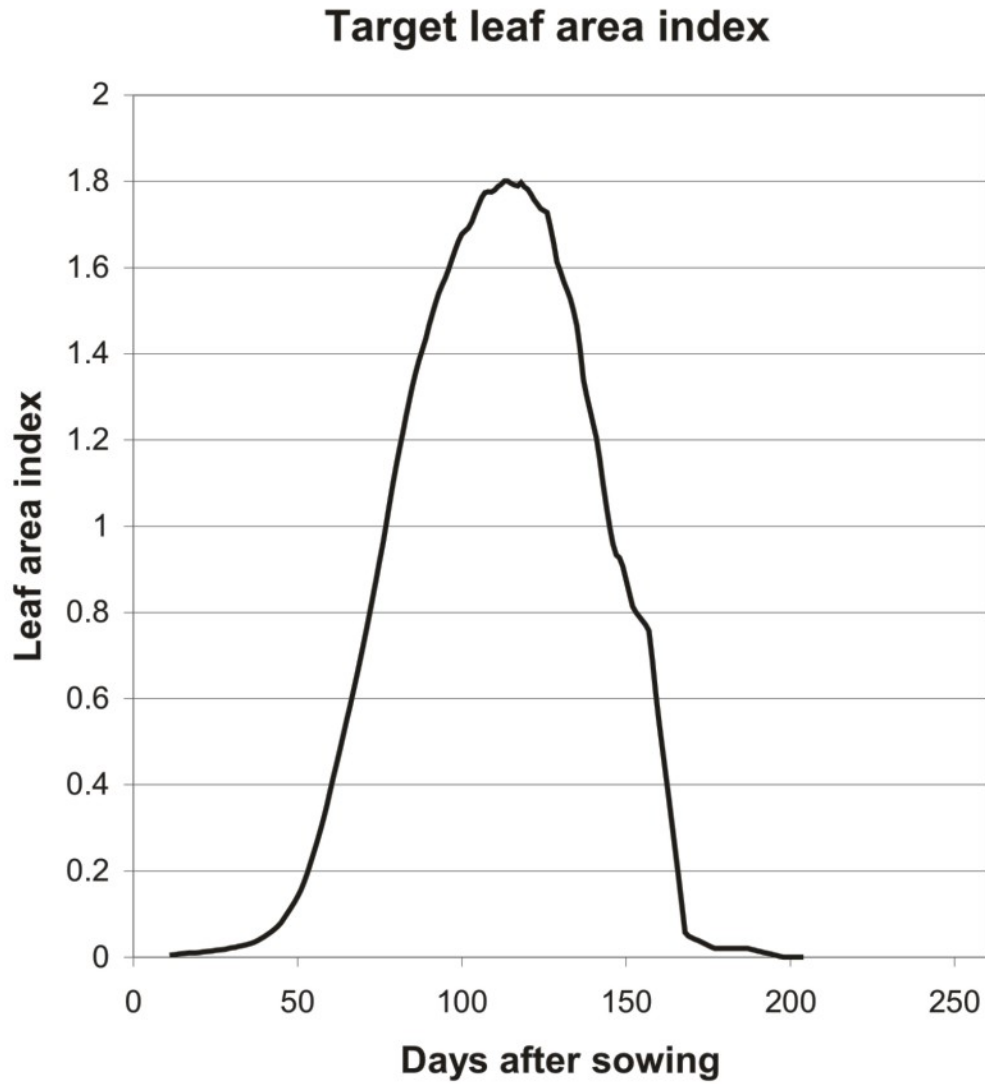
Figure 6: EM38 map: (a) to be imported in VARIwise; and (b) with electrical conductivity values assigned to each cell for the area circled in (a)



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Figure 7: Zones for self-optimising irrigation strategy in VARIwise derived from the soil electrical conductivity data of Figure 6(b)

Pro

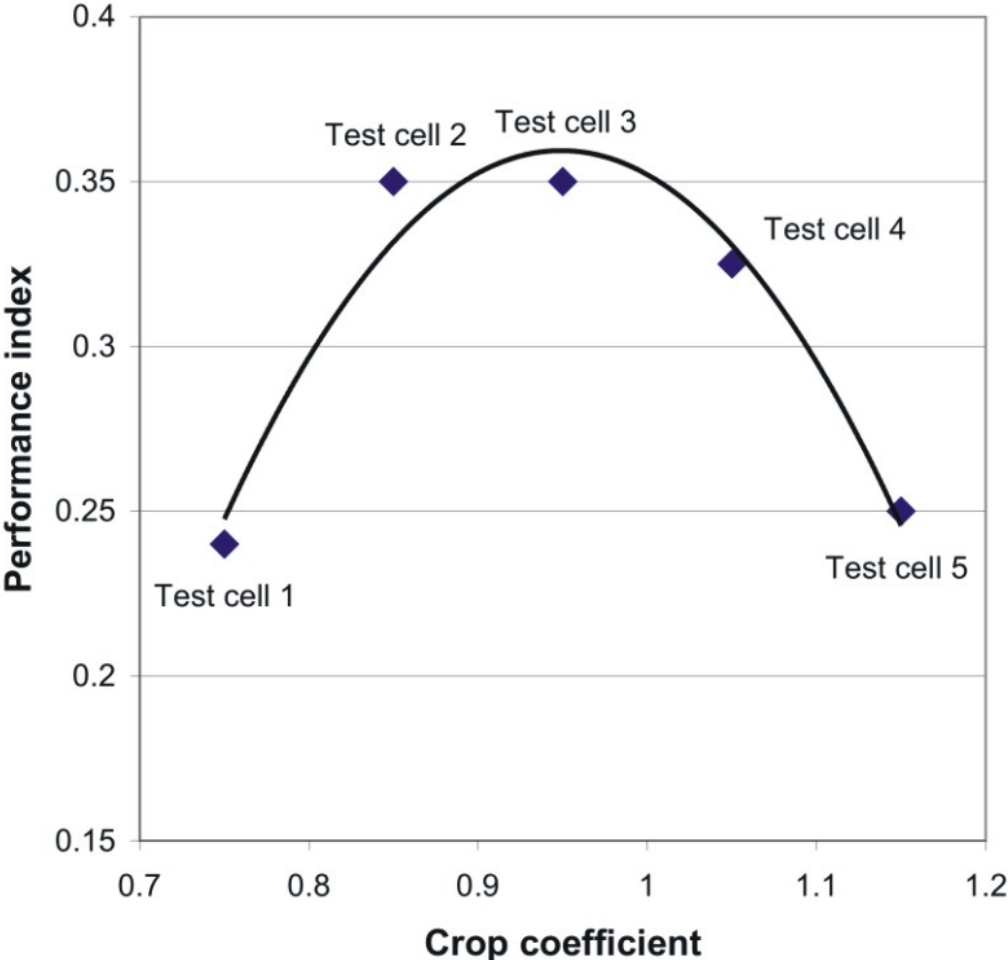


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Figure 8: Target leaf area index used for self-optimising irrigation strategy for cotton in VARIwise

PI

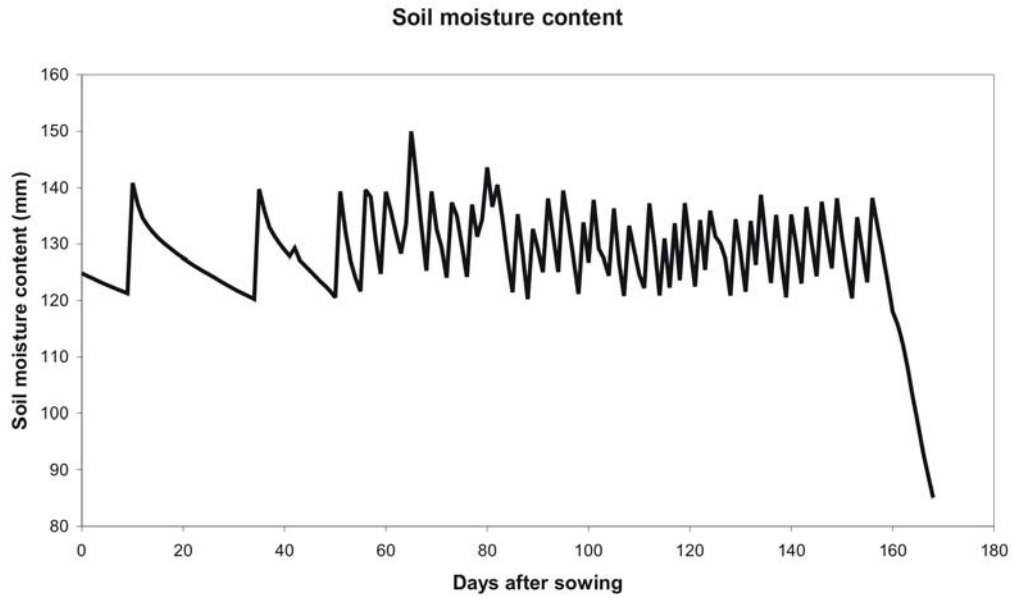
### Performance index versus crop coefficient for five test cells



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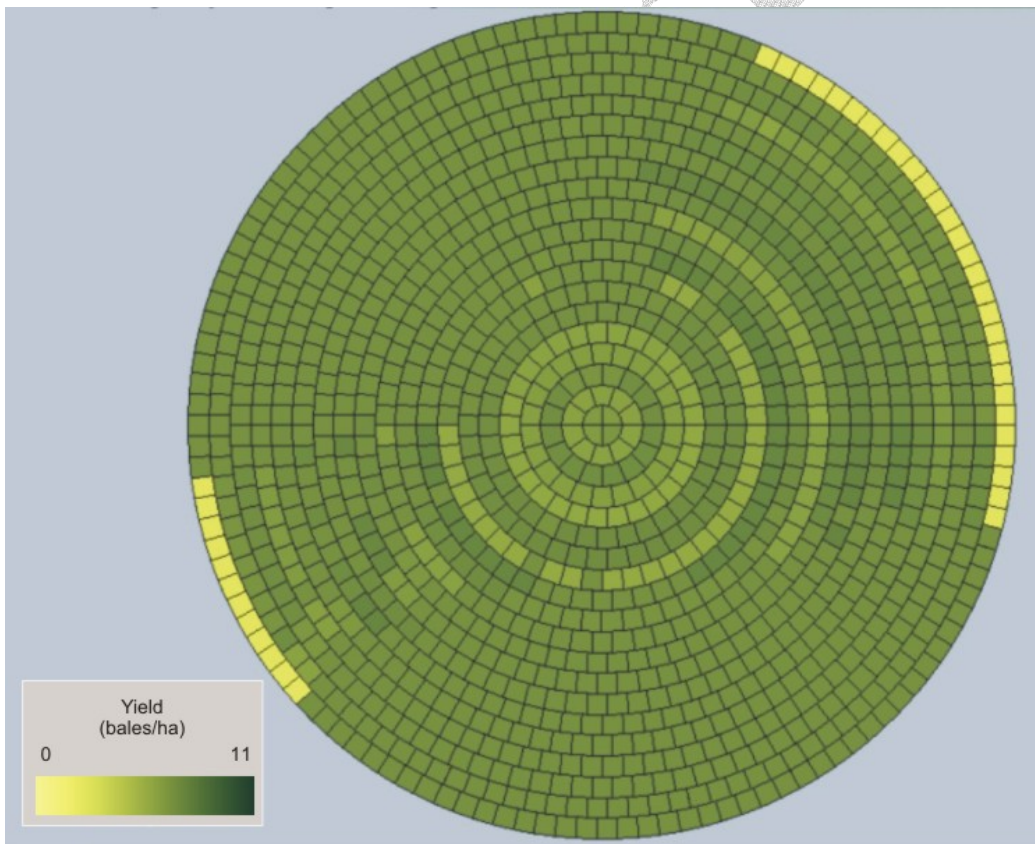
Figure 9: VARwise determination of maximum  $P$  using a quadratic fit to the available data points





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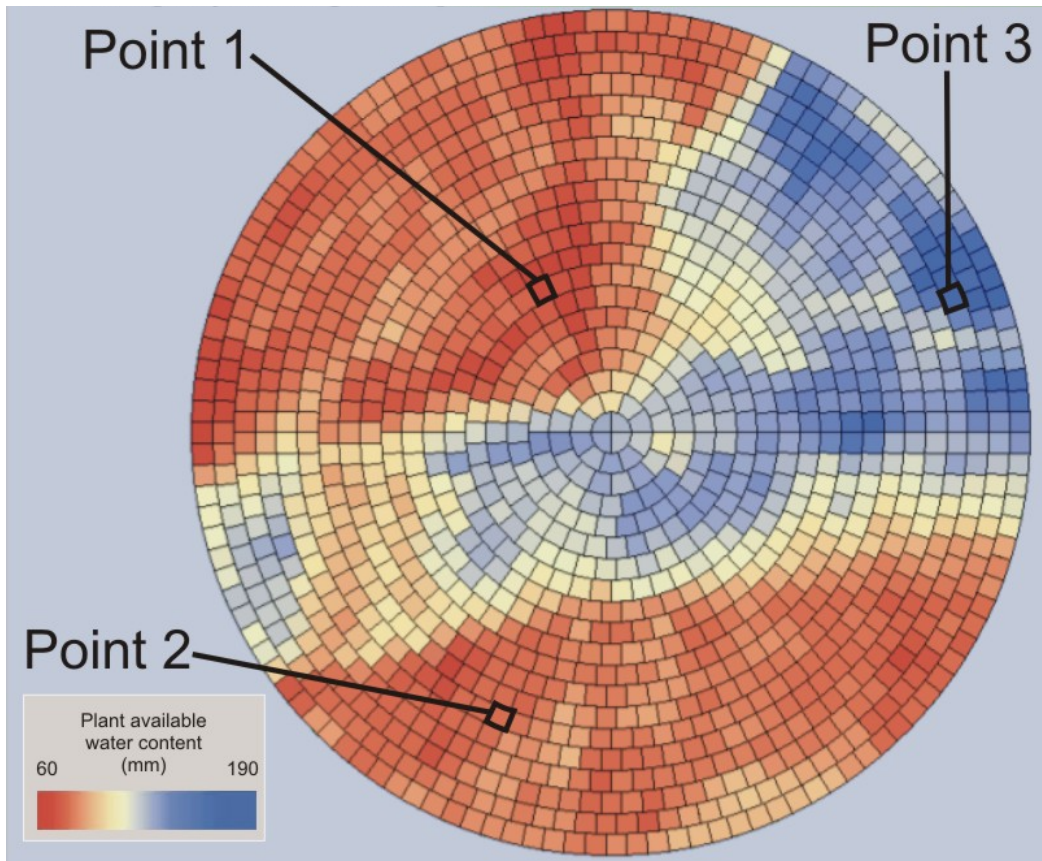
(a)



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(b)

**Figure 10:** Example simulation output for soil moisture deficit-triggered irrigation: (a) graph of soil moisture during crop season in one cell; and (b) yield map for last day of season

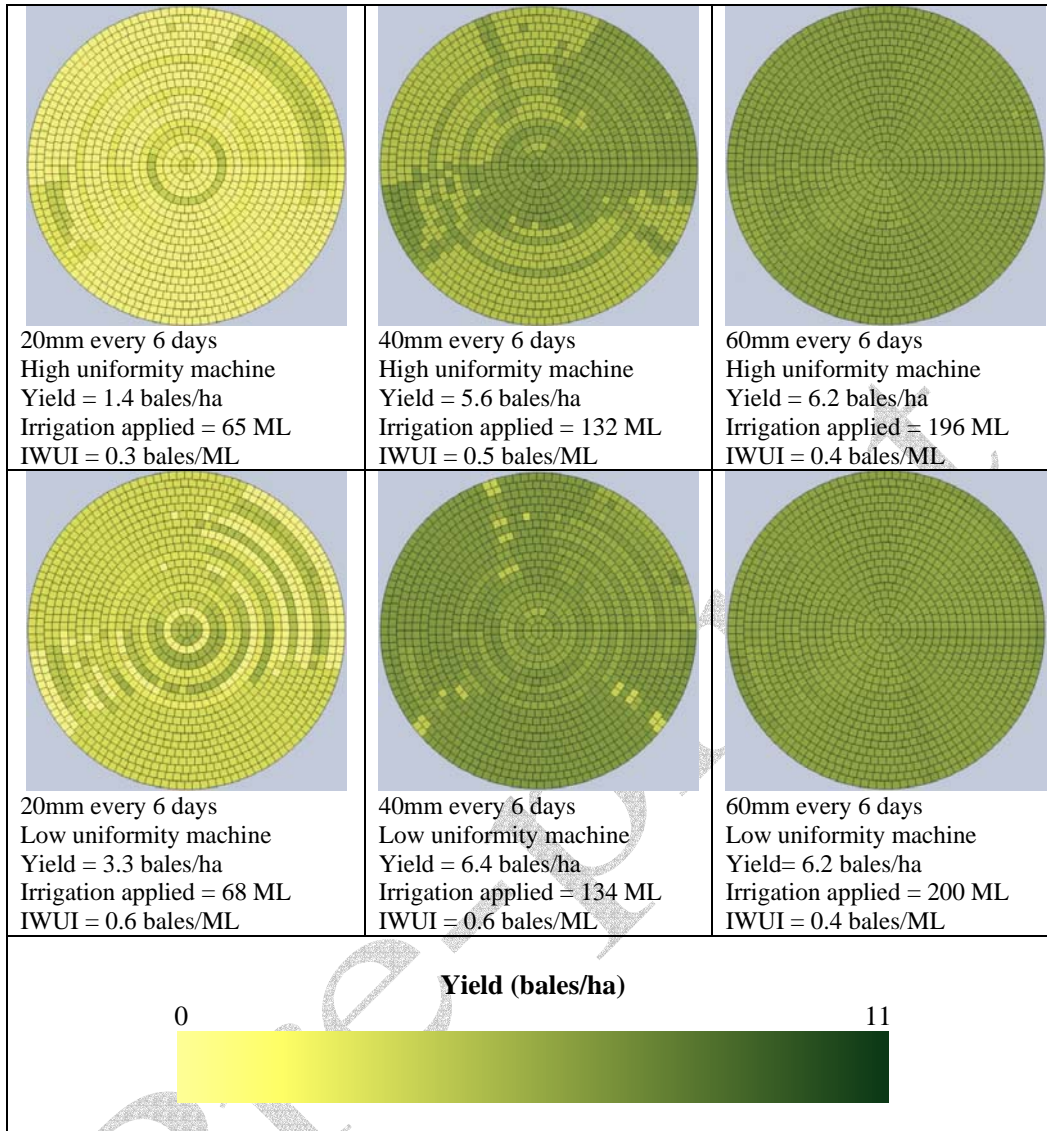


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Figure 11: Trigger points for soil moisture deficit-triggered irrigation schedule in VARIwise

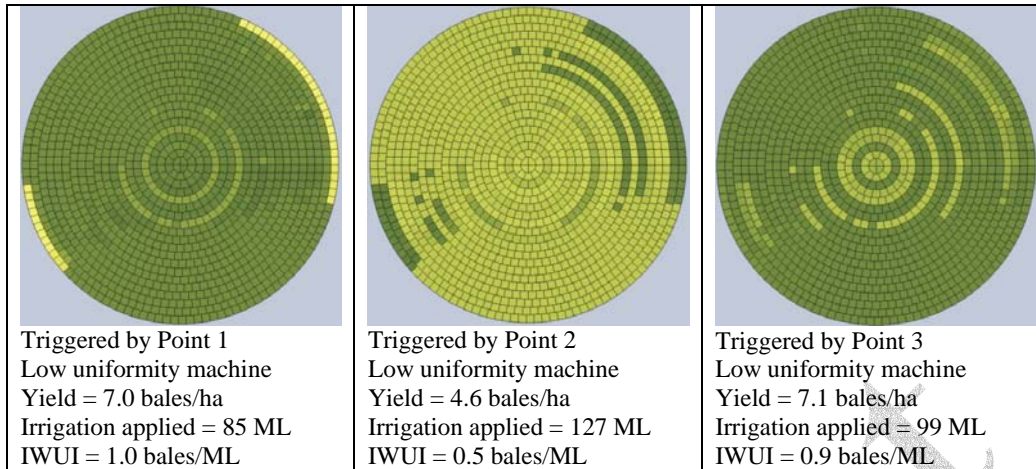
Preprint





882 **Figure 12:** Output of the fixed irrigation schedule for Weather Profile 1 and Sicot 73 and legend for yield  
883 maps in Figures 12-14

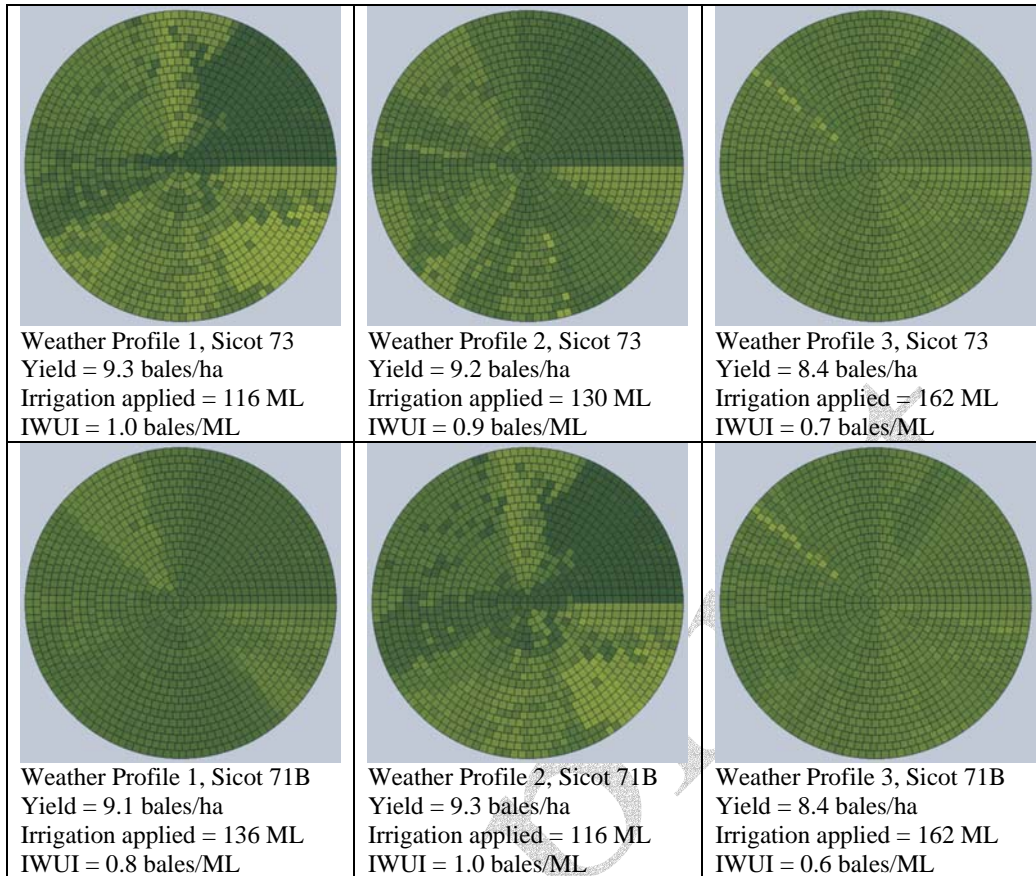
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Figure 13: Output of the soil moisture deficit-triggered irrigation schedule for Weather Profile 1 and Sicut 73 (where legend for yield maps is in Figure 12)

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Figure 14: Output of the self-optimising irrigation strategy with variable-rate irrigation machine (where legend for yield maps is in Figure 12)