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1 Observation of new particle formation in subtropical urban environment

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- 3 H.C. CHEUNG, L. MORAWSKA* and Z.D. RISTOVSKI
- 4 International Laboratory of Air Quality and Health, Queensland University of Technology,
- 5 GPO Box 2434, Brisbane QLD 4001, Australia

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- 7 *Corresponding Author:
- 8 Telephone 61 7 3138 2616
- 9 Fax 61 7 3138 9079
- 10 E-mail <u>l.morawska@qut.edu.au</u>

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12 Abstract

The aim of this study was to characterise the new particle formation events in a subtropical urban environment in the southern hemisphere. The study measured the number concentration of particles and its size distribution in Brisbane, Australia during 2009. The variation of particle number concentration and nucleation burst events were characterised as well as the particle growth rate which was first reported in urban environment of Australia. The annual average N_{UFP} , N_{Aitken} and N_{Nuc} were 9.3 x 10^3 , 3.7 x 10^3 and 5.6 x 10^3 cm⁻³, respectively. Weak seasonal variation in number concentration was observed. Local traffic exhaust emissions were a major contributor of the pollution (N_{UFP}) observed in morning which was dominated by the Aitken mode particles, while particles formed by secondary formation processes contributed to the particle number concentration during afternoon. Overall, 65 nucleation burst events were identified during the study period. Nucleation burst events were classified into two groups, with and without particles growth after the burst of nucleation mode particles observed. The average particle growth rate of the nucleation events was 4.6 nm hr⁻¹ (ranged from 1.79 – 7.78 nm hr⁻¹). Case studies of the nucleation burst events were characterised including i) the nucleation burst with particle growth which is associated with the particle precursor emitted from local traffic exhaust emission, ii) the nucleation burst without particle growth which is due to the transport of industrial emissions from the coast to Brisbane city or other possible sources with unfavourable conditions which suppressed particle growth and iii) interplay between the above two cases which demonstrated the impact of the vehicle and industrial emissions on the variation of particle number concentration and its size distribution during the same day.

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1. Introduction

3 Understanding the formation process of atmospheric particles is vital because of the significant impact of particulate matter on human health and climate change (Charlson et al., 4 1992, Donaldson et al., 1998). Atmospheric particles can be formed by nucleation process via 5 a number of different mechanisms (e.g. Kulmala 2003; Kulmala et al., 2004), such as binary 6 nucleation (involving H₂SO₄ and water vapour), ternary nucleation (involving NH₃, H₂SO₄ 7 and water vapour) and ion-induced nucleation for charged particles, depending on the 8 environmental conditions. To date, numerous studies have been conducted in different 9 locations, in order to investigate particle formation processes in different environmental 10 settings, including the free troposphere (e.g. Weber et al., 2001), boreal forests (e.g. 11 Vehkamäki et al., 2004) and coastal areas (e.g. O'Dowd et al., 1999; Lee et al., 2008). 12 However, most of these studies focused on particle formation in rural settings and in colder 13 climates, with very few studies conducted in urban environments, especially in the southern 14 hemisphere (Kulmala et al., 2004). A limited number of studies were conducted in 15 continental (e.g. Woo et al., 2001; Moore et al., 2007; Wu et al., 2008) and coastal (Pey et al., 16 2008; Rodríguez et al., 2008; Fernández-Camacho et al., 2010; Pérez et al., 2010) urban areas. 17 These studies examined the variation of particle number concentration in urban environments. 18 The major influence on particle number concentration was vehicle exhaust emissions during 19 20 the traffic peak hours (e.g. Pey et al., 2008; Pérez et al., 2010) and new particle formation by photochemical reactions (e.g. Pey et al., 2009), as well as the influence of power plant and 21 industrial emissions from an area upwind from the urban site (Gao et al., 2009). The few 22 examples include studies on particle formation associated with natural emissions from a 23 Eucalypt forest in South-East Australia (Ristovski et al., 2010; Suni et al., 2008), which 24 concluded that natural emissions were in fact a source of particle formation. In addition, new 25 particle formation was observed in the coastal area of Eastern Australia (Johnson et al., 2005; 26 Modini et al., 2009), the result of which showed that new particles were formed by the 27 condensation of sulphate and/or organic vapours onto sulphate clusters to form an observable 28 particle. Guo et al. (2008) conducted a short-term intensive study on particle formation in the 29 rural environment of Eastern Australia, in which particle formation was suggested to be 30 influenced by the photochemical processes of the urban air plume. The findings of Guo et al. 31 (2008) provided an insight to the impact of urban pollution on nucleation processes. For the 32 urban environment, Mejía et al. (2009) characterised the favourable atmospheric conditions 33 for nucleation burst events in a coastal urban area in Brisbane, which is the only nucleation 34 study conducted in an urban area in the southern hemisphere to date. The study showed that 35 the nucleation events mostly occurred during the summer and it also suggested cleaner air 36 37 masses of a local origin mixing with traffic exhaust emission after the events. However, Mejí 38 a et al. (2009) did not investigate the nucleation growth process after the nucleation burst events, and thus particle formation parameters, such as particle growth rate, are not available 39 for the urban environment in southern hemisphere. The particle growth rate is an important 40 factor for the calculation of climate forcing. 41

To further investigate the characteristics of the particle formation processes in a subtropical urban environment, we conducted a one year-long measurement of the size distribution of particles in the size range 4 - 110 nm, at an urban area of Brisbane, Australia. The aim of this study was to characterise the temporal variation of particle number concentration, and to

- 1 explain the controlling factors that influenced new particle formation processes in the
- 2 subtropical urban environment.

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2. Methodology

- 5 2.1 The topography and meteorology of Brisbane region
- 6 Brisbane is the capital city of the state of Queensland, Australia, located at 27'30°S and 153°E.
- 7 Brisbane city is surrounded by mountains from south to north, and faces the Pacific Ocean to the East.
- 8 It is the fastest growing urban region in Australia (2 million inhabitants). The major pollution
- 9 sources affecting the CBD region are traffic exhaust emissions generated in the inner city,
- and aircrafts, ships and industrial emissions transported from the lower reaches of Brisbane
- River, approximately 15-18 kms NE of the CBD. The Brisbane River meanders through the
- 12 Brisbane region.
- Morawska et al. (1998) provided a description of the wind patterns in the Brisbane region,
- which are mostly governed by synoptic flows from the SE. A NE sea breeze is also a daily
- 15 feature throughout the year. In addition, an overnight SW drainage flow from the mountain
- range to the West carries air parcels from the plateau region and the Western coastal plain
- towards the city. On the rare occasion when gradient winds are blowing from the NW, the
- 18 combination of the light synoptic NW flow and the overnight SW drainage flow can
- sufficiently delay the onset of the sea breeze to cause recirculation of the city emissions,
- 20 leading to photochemical smog events.
- 2.2 The QUT study site
- 22 The measurements were conducted at the International Laboratory of Air Quality and Health
- 23 (ILAQH), Queensland University of Technology (QUT), which is within the CBD of
- Brisbane (**Figure 1**). The monitoring site was about 10 m above ground level on the top floor
- of a QUT campus building, located to the SE of the city centre, with a major highway (the
- 26 Pacific Motorway) situated along the SW side of the campus. Therefore, the pollution
- 27 associated NE winds could be attributed to industrial emissions (from the airport, oil refinery
- and Port of Brisbane), while the pollution associated with S to NW winds could be attributed
- 29 to local traffic exhaust emissions.
- 30 2.3 Measurement techniques
- 31 The size distribution of ultrafine particles (UFPs) was measured at the QUT monitoring site
- from 1st January to 31st December 2009. Particle size distribution in the range 4 110 nm was
- measured by a Scanning Mobility Particle Sizer (SMPS) system, which consisted of two parts,
- an Electrostatic Classifier (EC) (TSI 3080) and a Condensation Particle Counter (CPC) (TSI
- 35 3781). The EC was equipped with a nano-differential mobility analyser, which can separate
- 36 the poly-disperse particles into selected mono-disperse particles according to their particle
- 37 mobility. The number concentration of the mono-disperse particles was then counted by the
- 38 CPC. Each ambient sample was drawn into the SMPS system from outside the building
- 39 through a 0.635 cm (inner diameter) conductive tube and a sampling duration of 5 mins was
- 40 adopted for each particle size distribution sample. Multiple charge correction was applied to
- 41 the particle size distribution measurements by using an internal algorithm from the Aerosol
- 42 Instrument Manager Software.

- In this study, the size distribution data was classified into three groups, i) UFPs, including 1
- particles ranging from 4 110 nm (N_{UFP}); ii) Aitken mode with particles (N_{Aitken}), which 2
- ranged from 30 110 nm; and iii) nucleation mode particles, which were < 30 nm (N_{nuc}). In 3
- addition to the above particle measurements, meteorological parameters, including wind 4
- speed and direction, temperature and relative humidity (RH) were monitored at Kangaroo 5
- Point (1 km East of QUT) by the Queensland Bureau of Meteorology. The QUT and 6
- 7 Kangaroo Point sites were not blocked by high rise buildings and therefore the use of wind
- data measured at Kangaroo Point was representative of the synoptic wind direction of the 8
- study region. It should be noted that global solar radiation was measured at the Queensland 9
- Environmental Protection Agency site (Rocklea), about 10 km south of QUT. 10

2.4 Data processing and analysis 11

- In this study, the raw particle size distribution and meteorological data were synchronised 12
- into 10 min averaged data for data analysis and figure plotting. According to Mejía et al. 13
- (2007) the lower limit of the particle size distribution dataset was set to 1 cm⁻³. The upper 14
- limit was set to 5 x 10⁵ cm⁻³. Some data were removed from the database based on several 15
- criteria such as i) zero value for particle concentration; ii) particle concentration higher than 5 16
- x 10⁵ cm⁻³ and iii) data collected during instrument malfunction. During the one year 17
- measurement campaign, 28 % of the data was removed based on the above data reduction 18
- procedures and due to instrument maintenance. Correlations between the parameters were 19
- tested using the Pearson-product moment correlations test with a 95% confidence level (p < 20
- 21 0.05). The linearity of the tested parameters was indicated by Pearson's coefficient, r, with a
- perfect linear correlation between two parameters indicated by an r value close to 1. 22

3. Results and Discussion

3.1 Overall results 25

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- The overall average concentration of ultrafine particles (N_{UFP}), Aitken mode (N_{Aitken}) and 26
- nucleation mode (N_{nuc}) measured in this study were 9.3 x 10^3 (± 15.3 x 10^3), 3.7 x 10^3 (± 5.1 x 27
- 10^3) and 5.6 x 10^3 cm⁻³ (± 12.6 x 10^3), respectively. The values obtained in this study are 28
- similar to those observed in similar environments in Northern Europe (Hussein et al., 2004). 29
- The few studies conducted in Southern European countries showed much higher 30
- 31 concentrations than those which were reported in this study (Pey et al., 2008, Rodríguez et al.,
- 2008; Fernández-Camacho et al., 2010). Similar values of N_{Aitken} and N_{nuc} were obtained in 32
- the urban areas of Helsinki, Finland, which were $4.0 \times 10^3 6.5 \times 10^3 \text{ cm}^{-3}$ and $5.5 \times 10^3 -$ 33
- 7.0 x 10³ cm⁻³, respectively (Hussein et al., 2004). The N_{UFP} measured in Brisbane was 34
- relatively lower than that in other coastal urban areas, including the Yangtze River Delta, 35
- China (Gao et al., 2009), Barcelona (Pey et al., 2008) and Huelva and Santa Cruz de Tenerife, 36
- Spain (Rodríguez et al., 2008; Fernández-Camacho et al., 2010), which were 28.5 x 10³, 14.2 37
- $\times 10^{3}$ and 22.0 26.3 $\times 10^{3}$ cm⁻³, respectively. 38
- The results of this study were also compared to those of a previous study conducted in the 39
- Brisbane urban region from 1995 to 2000 (Mejía et al., 2007). The N_{UFP} and N_{nuc} measured in 40
- this study were about 8% and 60% higher than those measured by Mejía et al. (2007), being 41
- $8.6 \times 10^3 \text{ cm}^{-3}$ (for particles in the size range 15 100 nm) and $3.5 \times 10^3 \text{ cm}^{-3}$ (for particles in 42
- the size range 15 30 nm), respectively. In relation to N_{nuc}, it should be noted that the 43

- 1 nucleation mode particle concentration in this study covered particles in the size range 4 30
- 2 nm, and therefore, it is expected to be higher than the earlier result reported by Mejía et al.
- 3 (2007).
- 4 The seasonal variation of particle number concentrations is depicted in **Figure 2**. The
- 5 Pearson's coefficients, r, between particle number concentration of different modes and
- 6 temperature were calculated which ranged from 0.00 0.03, which indicates that there was no
- 5 statistical seasonal variation in particle concentrations. This result is similar to that presented
- 8 by Mejía et al. (2007) for the same study region, however larger variations in particle number
- 9 concentrations were observed in each mode during the summer season, as reflected by the
- 10 large interquartile range (see **Figure 2**).
- 11 Figure 3 shows the diurnal variation of particle number concentration for different modes
- with the diurnal variations of temperature and relative humidity also plotted. Two peaks were
- observed for UFP during the day, the first of which occurred from around 6 am to 8 am,
- possibly due to traffic exhaust emissions during the morning peak hours (from around 6 am
- to 8 am) in Brisbane urban region (Mejía et al., 2007). The second peak, which is much more
- important, was observed from around 12 noon to 3 pm, and this may be due to the formation
- of new particles. During the period of UFP morning peak, it was suggested that the Atiken
- mode particles contributed by the direct diesel and petrol engine emissions, which produce
- 19 particles in the size range of about 20 130 nm and 20 60 nm, respectively (Morawska et
- al., 2008). Also the nucleation mode particles could be formed during the dilution and
- 21 cooling of engine exhausted sulphuric and organic vapours by condensation onto sulphur
- clusters (Meyer and Ristovski 2007). During the period of the second UFP peak, a nucleation
- mode peak was also observed associated with highest level of solar radiation, which implies
- that new particles were produced during the early afternoon by photochemical reactions.
- 25 3.2 Relationship between particle number concentrations and meteorological parameters
- 26 3.2.1 Temperature, relative humidity and solar radiation
- 27 From Figure 3 it can be seen that temperature and relative humidity display an anti-
- 28 correlation, whereby increases in temperature were associated with decreases in relative
- 29 humidity. The influences of temperature on particle number concentration were not
- 30 confirmed in previous studies. Some studies found that high particle concentration was
- 31 related to relatively high temperatures (e.g. Kim et al., 2002), whilst others found that they
- were associated with relatively low temperatures (e.g. Olivares et al., 2007). In this study, a
- weak correlation between particle number concentrations and temperature was observed (r =
- 34 0.36 0.53; p < 0.01), however higher variations in N_{UFP}, N_{Aitken} and N_{nuc} were observed
- during warmer and lower humidity conditions (Figures 4 and 5). The highest number
- 36 concentrations of all particle sizes were associated with temperature around 32°C. The peaks
- of N_{Aitken} and N_{nuc} observed in the early afternoon (under high temperature conditions)
- 38 suggested that the contribution by new particle formation processes was the greatest,
- 39 followed by particle growth to larger particles. In addition, another peak of N_{Aitken} was
- 40 observed with temperature around 10°C (see Figure 4). Also higher N_{Aitken} concentrations
- were observed under humid conditions (see **Figure 5**). This result may be due to enhanced
- 42 coagulation and condensation effects under high humidity conditions.
- 43 In some cases, temperature data can not directly reflect the strength of photochemical
- activities which occurred on warm cloudy days. In addition, condensation vapour H₂SO₄

- production was related to the solar radiation (Ristoviski et al., 2010). Therefore, solar 1
- 2 radiation was used to indicate the reactivity of photochemical reactions. The particle number
- concentration did not show a clear relationship with the ambient temperature. In contrast, a 3
- positive relationship between particle number concentration and solar radiation data was 4
- observed (r = 0.92-0.98; p < 0.01). This result showed that the N_{nuc} was related to the 5
- photochemical reactions. 6
- 7 3.2.2 Wind direction and speed
- 8 Figure 6 shows the particle number concentration for different particle sizes under different
- wind directions. For UFP, a sharp peak was associated with ENE wind directions, and a 9
- 10 lower broad peak was associated with SSE to WNW wind directions. An interesting result
- was also obtained when dividing the data into Aitken and nucleation modes. The sharp peak 11
- was observed in both of these two modes, however the broad peak was only observed in the 12
- Aitken mode. From **Figure 1**, it can be seen that the Brisbane Airport, oil refinery and Port of 13
- Brisbane were all located to the NE of the monitoring site, whilst the CBD and Pacific 14
- Motorway were located to the NW and SW of the monitoring site. Therefore, it is likely that 15
- the nucleation mode particles were contributed by the industrial sources located to the NE, 16
- while the Aitken mode particles were emitted from both industrial and vehicle emission 17
- sources, as well as the coagulation/condensation of smaller particles under humid conditions
- 18
- (see section 3.2.1), which will contribute to the accumulation mode. In addition, air masses 19
- blowing from the marine boundary (NE to SE directions) were relatively clean. However, the 20
- 21 inland air mass from the NE direction was contaminated by industrial emissions. This
- interpretation can be supported by higher N_{UFP} in north-easterly air masses and lower N_{UFP} in 22
- 23 easterly or south-easterly air masses (clean maritime air masses, which are thought to be
- 24 much less loaded in gaseous precursors). To better illustrate the directional dependence of the
- N_{UFP}, N_{Aitken} and N_{nuc} a wind rose plot of particle number concentration superimposed over 25
- the location map is shown in the supplementary materials section (**Figure S1**). 26
- In general, a negative correlation was observed between UFP concentration and wind speed, 27
- indicated by a Pearson coefficient of r = -0.97 (p < 0.01). Higher particle number 28
- concentration was associated with lower wind speeds (see Figure 7), which can be explained 29
- by the stronger dispersion associated with high wind speeds (Hussein et al., 2006). Similar 30
- results were also observed for Aitken and nucleation mode particles. In addition, a larger 31
- variation of N_{nuc} was associated with the moderate wind speed (~ 4 ms⁻¹). N_{nuc} usually reached it's daily peak value during early afternoon and the corresponding wind speed was ~ 32
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- 4 ms⁻¹ (see **Figure 3**). 34
- 3.3 Particle formation in subtropical urban atmosphere 35
- 3.3.1 Classification of nucleation events 36
- 37 The general definition of a nucleation event is a two-phase process involving the burst of
- 38 observed nucleation particles and the growth of these particles into accumulation mode by
- condensation and/or coagulation (Kulmala et al., 2004). To illustrate the nucleation event, a 39
- 40 "banana" shape should be observed in the contour plot of particle size distribution. The
- 41 example of a nucleation event is shown in **Figure 8**. Usually the lowest N_{nuc} is observed in
- the early morning, and then it begins to increase at around 9 am. The geometric median 42
- diameter (GMD) of the measured particles grows into an Accumulation mode during the day 43
- 44 and the evolvement of the particle size curve is often compared to a banana shape.

- 1 A number of nucleation events were observed during this study, which were classified into
- 2 different groups (Class Ia/b and II) according to the classification scheme developed by Dal
- 3 Maso et al. (2005). Class Ia/b events are defined as those events where the particle growth
- 4 rate can be determined. A typical Class Ia event demonstrates clear and strong particle
- 5 formation events with little or no pre-existing particles obscuring the observation of the
- 6 newly formed mode, while a Class Ib event is any other event where the particle growth rate
- 7 can be determined. Class II events are defined as events where the banana shape still
- 8 observable, but the data fluctuates to such an extent that formation rate calculation is
- 9 impractical.
- 10 In the urban environment, nucleation burst events have been observed with and without
- particle growth (Park et al., 2008; Gao et al., 2009). For example, in addition to nucleation
- events, we occasionally observed increases in nucleation mode particle concentration during
- the daytime, where the particles did not grow into larger particles (indicated by the near
- 14 constant GMD value during the event period). We defined this kind of event as a nucleation
- burst event, and a total of 65 burst events were identified. A more detailed discussion about
- the occurrence of nucleation events, both with and without particle growth, is provided in
- 17 Section 3.3.2 and 3.4 below.
- 18 3.3.2 Growth rate during nucleation events
- 19 During this study, there were several gaps in the dataset due to instrument
- 20 malfunction/maintenance. For example, if there were more than 3 hrs of missed data between
- 8 am to 6 pm (the period during which the nucleation usually occurs), this was not counted as
- a valid daily dataset. After the removal of invalid daily datasets, a total of 252 days of data
- were counted.
- Figure 9 shows the monthly averaged particle growth rate and solar radiation, as well as the
- 25 monthly occurrence of nucleation events. This data provided information regarding the
- 26 influence of photochemical activity on particle formation in Brisbane. Higher particle growth
- 27 rate was found to be associated with higher solar radiation and the results showed a positive
- relationship (r = 0.76, p < 0.05) between the particle growth rate of nucleation events and
- relationship (r = 0.70, p < 0.03) between the particle growth rate of nucleation events and
- solar radiation. Similar findings were obtained in previous studies, which showed that particle
- 30 growth rates were associated with the strength of solar radiation (e.g. Kulmala et al., 2004;
- Vehkamäki et al., 2004). The number of nucleation events classified as Class Ia, Ib, and II were 4, 13, and 23, respectively. The nucleation events (Class I and II) occurred throughout
- most of the year, except in November, and only one Class II event was observed in December.
- 34 Although infrequent nucleation events were observed during November and December, the
- nucleation bursts (without particle growth) were found to be closely associated with NE wind
- directions. In addition to the seasonal variation of temperature, the dominant wind direction
- measured during November and December was different to other months. NE winds
- dominated during these warmer months, while the main wind direction was from the SE-SW
- 39 during other months. The influence of wind direction on the nucleation events will be
- 40 discussed in the case studies below. The mean growth rate for the nucleation events was
- calculated by the slope of GMD against time during the period of particle growth under 30
- nm. The growth rates of Class I events measured in this study ranged from 1.79 7.78 nm hr⁻¹
- 43 (average 4.6 nm hr⁻¹), which are comparable to other urban studies such as those conducted in
- 44 Atlanta (2.86 22.0 nm hr⁻¹) (Woo et al., 2001) and East St. Louis (average 6.7 nm hr⁻¹)
- 45 (Qian et al., 2007).

2 3.4 Case studies of nucleation burst events

In the above sections, it was shown that nucleation mode particle concentrations were strongly associated with NE/SW winds. Further analysis of the daily variation of particles and wind direction showed that nucleation events with particle growth were usually associated with SW winds, while the nucleation burst events without particle growth were associated with NW winds. In this section, case studies relating to types of three nucleation events are discussed, including: i) new particle formation by nucleation processes; ii) a nucleation burst without particle growth; and iii) the interplay between these two situations.

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3.4.1 Case I - Photochemical formation of nucleation particles

- Case I nucleation events were observed during 28 29 April 2009. Significant strong nucleation bursts were observed consecutively during these two days (peak 10 min and hourly averaged N_{nuc} during the nucleation events were 47 x 10⁴ and 18 x 10⁴ cm⁻³, respectively). The time series plots of the particle size distribution and meteorological
- parameters are illustrated in **Figure 10**.
- During these two days, the highest temperature was about 30 C and relative humidity was 17 around 20-40 % at noon. Land and sea breeze wind circulation was observed on both days. 18 with a moderate (~ 4 ms⁻¹) SW wind (from inland) dominating in the morning and a moderate 19 NE wind (from the coast) dominating in the afternoon. The variation in concentration of 20 nucleation and Aitken mode particles clearly showed the influence of the nucleation burst on 21 particle number concentrations. In the early morning (6 - 9 am) of 28 April 2009, an Aitken 22 mode peak was observed, which could be attributed to traffic exhaust emissions during the 23 morning peak hours. At around 10 am, a sharp peak of nucleation mode particles was 24 observed and the GMD reached the lowest value of the day (~ 8 nm). The wind direction 25 changed to NE at around 1 pm, and turned back to the SW again after midnight. In terms of 26 GMD, the nucleation mode particles were growing into larger particles (GMD increased from 27 8 to 57 nm) until around midnight (~3 am on 29 April 2009). Another nucleation growth 28 29 event was observed the following day, on 29 April 2009, with similar meteorological conditions to those which were observed on the previous day. However, the concentration of 30 31 nucleation particles during the nucleation burst was lower than that observed on the previous 32 day. This result indicated that the higher number of pre-existing Aitken mode particles in the atmosphere served to diminish the nucleation processes. 33

3.4.2 Case II - nucleation burst without growth into larger particles

A Case II nucleation event was observed on 11 November 2009. As shown in Figure 11, the 35 36 variation in wind direction was similar to that observed during the Case I nucleation events, whereby land and sea breeze circulation was observed, however, the burst of nucleation 37 particles did not appear with the SW wind (associated with local traffic exhaust emissions). 38 Instead, a nucleation burst was observed with the NE wind at ~ 10 am. The GMD dropped 39 from ~ 30 nm to 10 nm and N_{nuc} increased from $\sim 7.0 \times 10^3$ to 10.0×10^4 cm⁻³ during the 40 nucleation burst, while N_{Aitken} did not show any significant variation, ranging from 2 - 5 x 10^3 41 cm⁻³. This plume disappeared at around 6 pm and GMD rose to ~ 25 nm. Based on these 42 findings, it was suggested that the plume was not directly emitted from the local traffic 43 exhaust emission or ship emission from the Port of Brisbane, since the particles from vehicle 44 and ship emissions are in the range 20 -130 nm (Morawska et al., 2008) and 60 - 120 nm 45

(Sinha et al., 2003), respectively. However, the emissions of SO₂ and VOCs from the 1 industrial sources located at the coast could be possible precursors to the formation of new 2 particles by nucleation process. Another possible source of this plume was aircraft emissions 3 from the Brisbane Airport, which was located in a NE direction from the study site. Mazaheri 4 et al. (2009) measured the particle size distribution produced by commercial aircraft at 5 Brisbane Airport and a very distinct peak of nucleation mode particles was observed at 6 around 15 nm. This result was comparable to the average GMD measured during the 7 nucleation burst events in this study, which was 14 nm (ranging from 8 - 32 nm). In addition, 8 the nucleation burst could be due to the precursors of local emissions which were similar to 9 10 that in the nucleation growth event or the re-circulated aged plumes belonging to land and sea breeze; however the particle growth process could have been suppressed due to unfavourable 11 conditions, the exact nature of which is not known. 12

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- 3.4.3 Case III Interplay between new particle formation and nucleation burst events
- A Case III nucleation event was observed on 15 March 2009. During this study, it was found
- that particle formation via nucleation processes was associated with SW winds (**Figure 10**)
- and the subsequent particle growth (banana shape of particle size distribution) was usually
- 18 followed by the presence of a NE wind. In contrast, the nucleation burst events without
- particle growth were most commonly related to emission sources from the NE (**Figure 11**).
- In some events, a partial banana shape was observed with a SW wind in the morning, but the
- 21 observation of particle growth was interrupted by a nucleation burst plume from the airport
- 22 region (**Figure 12**).
- 23 It can be seen that the nucleation process commenced at 9 am and the particles kept growing
- 24 into Aitken mode particles until 12 pm (GMD rose from 20 to 35 nm). After 12 pm, the wind
- 25 direction changed to a NE wind and an air plume enriched with nucleation mode particles
- was observed, which interrupted the observation of a banana shaped progression of the
- 27 particle size distribution curve. After the interruption, the GMD dropped suddenly to below
- 28 20 nm and several similar cases were also observed during the one year study period at QUT.
- 29 Overall, the results showed that the nucleation mode particles originated from a variety of
- 30 sources such as traffic exhaust emission in Brisbane CBD and industrial emissions located
- NE to Brisbane. Although the observation of a banana shape was interrupted by another air
- mass, the particle growth process could continue in other regions.

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3.4.4 Source identification

- 35 Gaseous data measured at Pinkenba, which is located near the lower reaches of the Brisbane
- River (close to the airport, oil refinery and port of Brisbane) and South Brisbane (about 1km
- south to QUT) were used to conduct source analysis. These gaseous measurements were
- 38 conducted by the Queensland Environmental Protection Agency. Also back-trajectories of the
- 39 nucleation growth/ burst events were calculated using the HYSPLIT model (Hybrid Single
- 40 Particle Lagrangian Integrated Trajectory, Version 4.9), in order to trace the origin of the air
- 41 masses. It should be noted that the grid resolution of the meteorological data used for back-
- 42 trajectories calculation was 1 x 1 degrees in latitude and longitude. The data resolution is not

- accurate enough to trace the detailed air mass passage over the scale of this study region, and
- 2 therefore, it only provides an indication from which region the air mass comes from.
- 3 The gaseous data available for Pinkenba included CO, NO₂, and SO₂, while only CO and
- 4 NO₂ data were available for South Brisbane. The emission ratios of CO/NO₂ and SO₂/NO₂
- 5 were calculated. On average, the daily minimum of each gaseous species, representing the
- 6 background value, almost reached zero in our study region. Therefore we did not subtract the
- 7 background data for the emission ratio calculations, as it was negligible. 48-h back
- 8 trajectories were calculated for the first two sampling hours of each event (see supplementary
- 9 figures S2 and S3) and the average CO/NO₂ and SO₂/NO₂ concentrations measured at
- Pinkenba during the event period were 89.7 and 0.57, respectively. Overall, the CO/NO₂ ratio
- 11 exceeded the ratios reported in the 2008/2009 National Pollution Inventory (from
- www.npi.gov.au, accessed on 15 January 2011) for other sources, such as vehicles (9.7), oil
- refineries (6.4), ships (0.69) and wildfires (24.6). If the pollution plume was contributed by
- single source, it was possible to identify the emission source by comparing these emission
- ratios. For example, the ratio for SO_2/NO_2 (0.57) was very close to the ship emission ratio of
- 16 0.69. Although back-trajectory analysis found that almost all trajectories originated from the
- NE sector during the nucleation burst events, air masses from the NE were influenced by a
- 18 number of different sources, such as ship, aircraft, oil refinery and the local vehicle emissions.
- number of different sources, such as sinp, afferant, off fermery and the focal vehicle emissions.
- 19 Therefore, it was difficult to identify the specific source/s which contributed to the nucleation
- 20 burst events. In addition, primary pollution plumes (e.g. CO and NO₂) were observed at
- 21 Pinkenba 1-3 hrs prior to the start of the nucleation burst events. From these results, we can
- 22 conclude that the nucleation burst events were most likely influenced by industrial emissions
- from the area NE of the sampling site. As mentioned in section 3.4.2, the nucleation burst
- event could be associated to other possible sources, however the particle growth process
- could have been suppressed by unfavourable conditions, the exact nature of which is not
- 26 known
- For nucleation growth events, the CO/NO₂ ratio obtained from South Brisbane was 10.2,
- 28 which is close to the emission inventory data for vehicles (9.7). Back-trajectory analysis also
- showed that the air masses originated from S-SW directions, except on 21/10/2009, which
- 30 suggests that vehicle exhaust emissions contributed to the nucleation growth event.

32

4. Conclusion

- 33 A year long measurement campaign of the size distribution of ultrafine particles was
- 34 conducted at subtropical urban area of Brisbane, Australia during 2009. The annual average
- 35 N_{UFP} , N_{Aitken} and N_{nuc} were 9.3 x 10³, 3.7 x 10³ and 5.6 x 10³ cm⁻³, respectively. Small
- seasonal variation in number concentration was observed, with higher particle concentrations
- 37 observed during the warmer months. Diurnal variation of N_{UFP}, N_{Aitken} and N_{nuc} showed the
- 38 influence of local traffic exhaust emissions on the particle number concentration during
- morning peak hours, and elevated nucleation mode particle levels suggested the contribution
- of new particle formation during the early afternoon. In relation to wind direction, N_{Aitken} and
- N_{nuc} were associated with NE winds, which pointed to the emission sources present at the

- 1 lower reaches of Brisbane river (such as Brisbane Airport, the oil refinery and the Port of
- 2 Brisbane). A broad peak of N_{Aitken} particles was also observed during SSE to WNW winds,
- 3 which suggested the influence of local traffic exhaust emissions, with particle size ranging
- 4 from 30 70 nm. Overall, two major sources of N_{nuc} were identified in this study, which were
- 5 new particle formation by nucleation and primary nucleation mode particles emitted from
- 6 aircraft at Brisbane Airport. New particle formation via nucleation process was frequently
- observed in this study, and the particle growth rate (average 4.6 nm hr⁻¹) was positively
- 8 related to the strength of global solar radiation. The nucleation events with particle growth
- 9 were associated with SW winds which suggested the influence of precursors emitted from
- 10 traffic exhaust emission in Brisbane city. An interesting question arose during the course of
- this study regarding the absence of nucleation particle growth in air masses originating from
- the coast. It may due to lack of nucleation particles precursor associated with coastal air mass.
- 13 To tackle this question, a further study on the new particle formation, with parallel
- measurements of particle chemical composition and gaseous pollutants, is needed.

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- 19 Meteorology for providing the meteorological data.

20

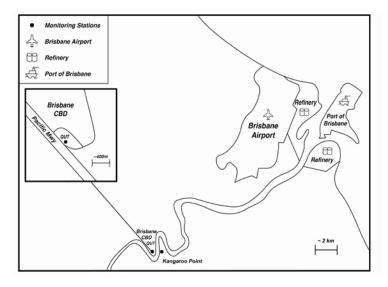
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Figure 1: Map of Brisbane.

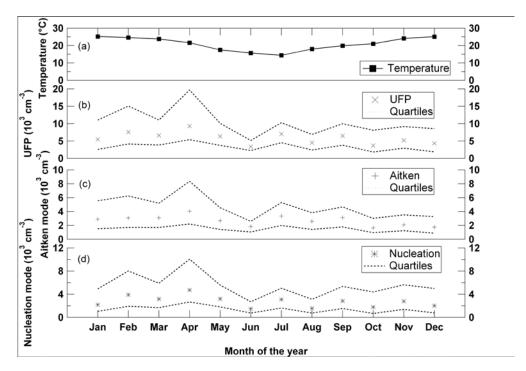


Figure 2: Monthly variations of (a) mean temperature, and particle number concentration of ultrafine (UFP) (b), Aitken mode (c) and nucleation modes particles (d). The median number concentrations and the 1st and 3rd quartiles are presented.

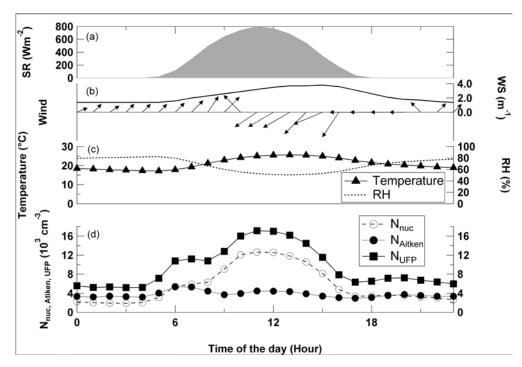


Figure 3: Diurnal variation of (a) averaged solar radiaiton, (b) averaged wind direction/speed, (c) averaged temperature and RH, and (d) averaged UFP, nucleation mode and Aitken mode particle concentrations.

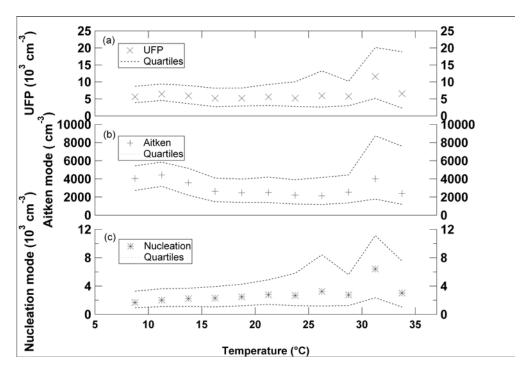


Figure 4: Particle number concentrations of (a) ultrafine (UFP), (b) Aitken mode and (c) nucleation mode particles and their variation as a function of temperature. The median number concentrations and the 1st and 3rd quartiles are presented.

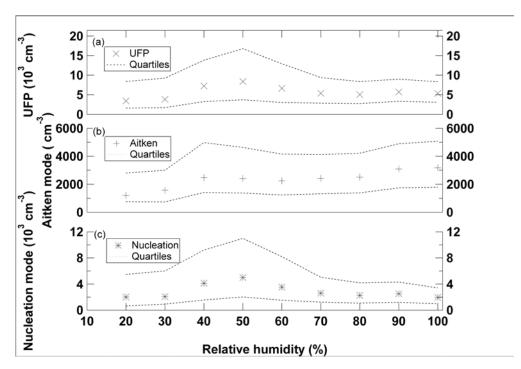


Figure 5: Number concentrations of (a) ultrafine (UFP), (b) Aitken mode and (c) nucleation mode particles and their variation as a function of relative humidity. The median number concentrations and the 1st and 3rd quartiles are presented.

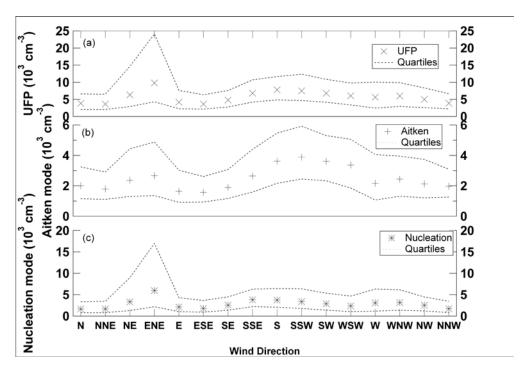


Figure 6: Number concentration of (a) ultrafine (UFP), (b) Aitken mode and (c) nucleation mode particles and their variation as a function of wind direction. The median number concentrations and the 1st and 3rd quartiles are presented.

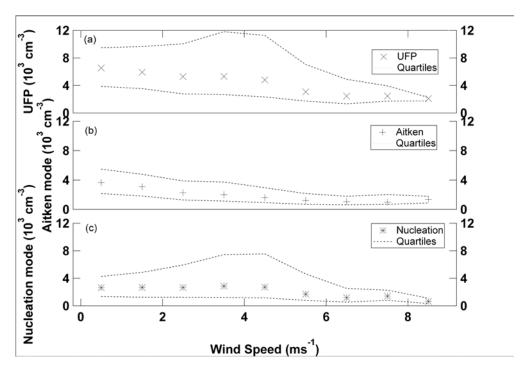


Figure 7: Number concentration of (a) ultrafine (UFP), (b) Aitken mode and (c) nucleation mode particles and their variation as a function of wind speed. The median number concentrations and the 1st and 3rd quartiles are presented.

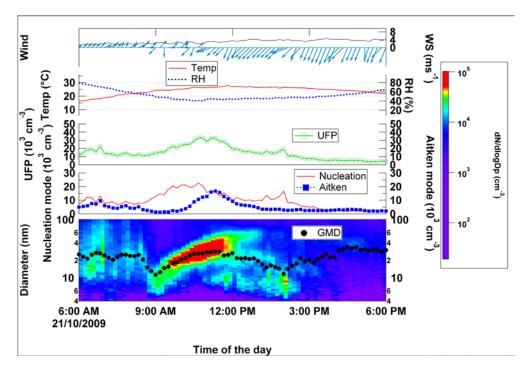


Figure 8: The time series of nucleation events observed at QUT on 21 October 2009. From bottom to top, the parameters are: i) Geometric median diameter (GMD) and contour plot of size distribution; ii) Particle number concentration of nucleation and Aitken mode particles; iii) Particle number concentration of ultrafine particles (UFP); iv) Temperature and relative humidity; and v) wind direction and speed.

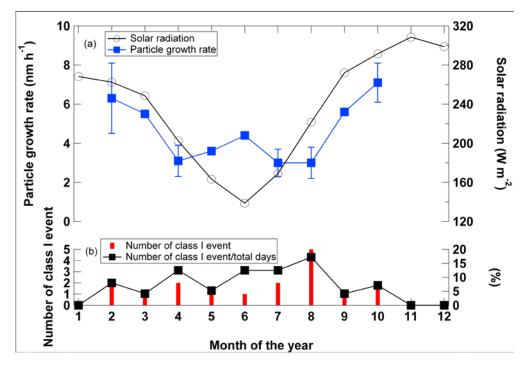
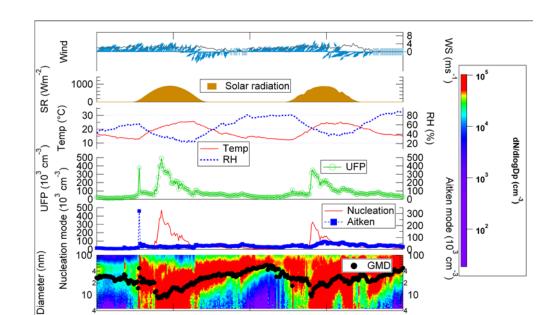


Figure 9: Seasonal variation in (a) particle growth rates and solar radiation and (b) number of class I event and the precentage ratio of class I event to total sampling days.



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12:00 AM

28/04/2009

12:00 PM

Figure 10: The nucleation events observed on 28-29 April 2009. From bottom to top, the parameters are: i) Geometric median diameter (GMD); ii) Particle number concentration of nucleation and Aitken mode particles; iii) Particle number concentration of ultrafine particles (UFP); iv) Temperature and relative humidity; v) Solar radiation; and vi) wind direction and speed.

12:00 PM

12:00 AM

30/04/2009

12:00 AM

29/04/2009

Time of the day

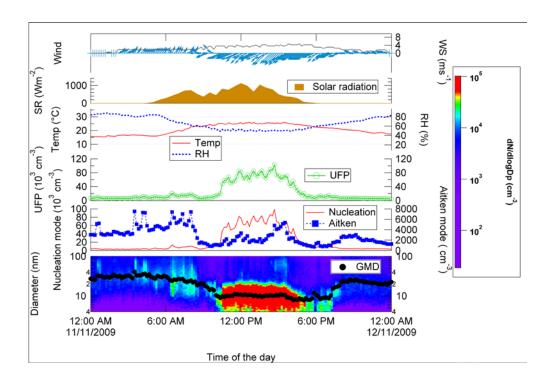


Figure 11: The nucleation bursts measured on 11 November 2009. From bottom to top, the parameters are: i) Geometric median diameter (GMD); ii) Particle number concentration of nucleation and Aitken mode particles; iii) Particle number concentration of ultrafine particles (UFP); iv) Temperature and relative humidity; v) Solar radiation; and vi) wind direction and speed.

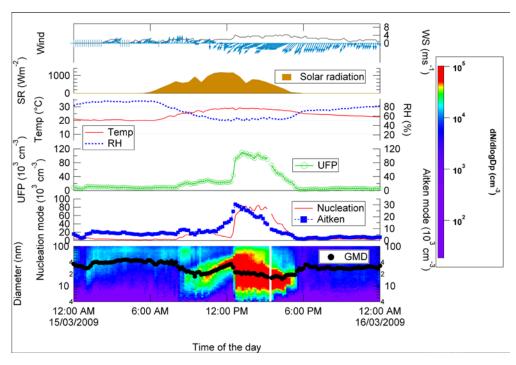


Figure 12: Contour plot of particle size distribution observed on 15 March 2009. From bottom to top, the parameters are: i) Geometric median diameter (GMD) and contour plot of size distribution; ii) Particle number concentration of nucleation and Aitken mode particles; iii) Particle number concentration of ultrafine particles (UFP); iv) Temperature and relative humidity; v) Solar radiation; and vi)wind direction and speed.

Supplmentary materials

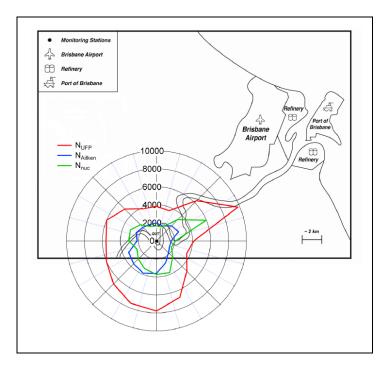


Figure S1: Wind rose plot of N_{UFP} , N_{Aitken} and N_{nuc} superimposed over the location map. The origin of the wind rose is located at QUT. N_{UFP} - red line; N_{Aitken} - blue line; N_{nuc} - green line. Unit in cm⁻³.

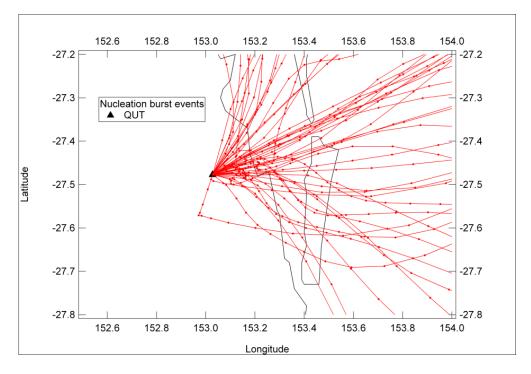


Figure S2. Back-trajectories calculated during the nucleation burst events.

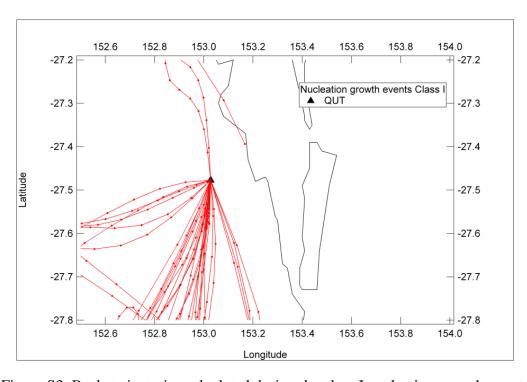


Figure S3. Back-trajectories calculated during the class I nucleation growth events