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10 **Strategies to improve the bacteriocin protection provided by lactic acid bacteria**

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27 **Abstract**

28 Lactic acid bacteria (LAB) produce a wide variety of antimicrobial peptides  
29 (bacteriocins) which contribute to the safety and preservation of fermented foods.  
30 This review discusses strategies that have or could be employed to further enhance the  
31 commercial application of bacteriocins and/or bacteriocin-producing LAB for food  
32 use.

### 33 **Introduction**

34 Bacteriocin production is a desirable trait among LAB from the perspective of  
35 controlling microbial populations in fermented foods in order to extend product shelf-  
36 life and safety. Bacteriocins produced by LAB are a diverse group of ribosomally-  
37 synthesized antimicrobial peptides which may be divided into two main groups i.e.  
38 class I peptides, which contain post-translational modifications, and class II, or  
39 unmodified, peptides [1]. Broad spectrum bacteriocins, such as nisin (class I), inhibit  
40 Gram positive food-borne pathogens and spoilage microbes and, when combined with  
41 additional hurdles, Gram negative targets [2]. Narrow spectrum bacteriocins can also  
42 be of value, for example, lactococcin A (class II) has a lytic effect on sensitive  
43 lactococci which, through the release of key enzymes, can accelerate cheese ripening  
44 and enhance the development of important organoleptic properties [3]. Bacteriocins  
45 may be introduced into a food *via in situ* production by bacterial starter or adjunct  
46 strains in fermented foods, by the addition of purified or semi-purified preparations  
47 (e.g. nisin containing powders such as Nisaplin) or as an ingredient based on a  
48 fermentate of a bacteriocin producing strain (such as ALTA2431 which contains  
49 pediocin PA1). However, the commercial application of specific bacteriocins can be  
50 hindered by low or inconsistent production levels, high production costs, a non-ideal  
51 antimicrobial spectrum and potency, the risk of the emergence of resistance and the  
52 poor/lack of growth of some producing strains in particular foods. This review  
53 discusses some of the strategies developed to overcome such limiting factors.

54

### 55 **Influence of growth parameters**

56 Many studies have been dedicated to optimising bacteriocin production by  
57 manipulating growth media composition, temperature or pH [4-6]. Investigations of

58 alternative carbon, nitrogen and mineral sources have successfully led to increased  
59 bacteriocin yields or more cost effective production [7-9]. Another strategy has been  
60 the inclusion of additional stimuli. In the case of *Lactobacillus plantarum* NC8, a  
61 starter strain used in Spanish-style green olive fermentations, and *Leuconostoc*  
62 *citreum* GJ7, a kimchi isolate, this occurs through the addition of specific adjunct  
63 strains that induce bacteriocin-production [10,11].

64

### 65 **Use of conjugation to transfer a bacteriocin producing phenotype**

66 Conjugation provides a natural mechanism by which genes can be transferred from  
67 one LAB to another while maintaining the food grade status of the recipient strain. As  
68 many bacteriocins are plasmid encoded, this approach has been widely exploited to  
69 disseminate bacteriocin-producing phenotypes. This is exemplified by the generation  
70 of over 30 food grade *Lactococcus* strains that produce the broad spectrum two-  
71 component class I bacteriocin lacticin 3147 through the transfer of pMRC01, a  
72 conjugative plasmid which contains the corresponding genetic determinants [12].  
73 Although the presence of pMRC01 may impose an additional metabolic burden on  
74 LAB, which can increase cell permeability and autolysis, it does not impact on the  
75 acidification capacity of the strain [13]. In addition to the transfer of the bacteriocin  
76 producing phenotype itself, bacteriocin production (and the associated bacteriocin  
77 immunity phenotype) can also serve as a food grade selectable marker when  
78 transferring additional, plasmid-linked, industrially relevant traits. Indeed, while  
79 conjugal transfer of pMRC01 to the lacticin 481 producing host *Lactococcus lactis*  
80 DPC5552 generated a co-producing transconjugant which exhibited synergistic  
81 activity [14], both bacteriocins have served as effective food grade selectable markers  
82 for the transfer of bacteriophage resistance phenotypes to important commercial dairy

83 starter strains, thereby reducing phage sensitivity [15,16]. It should also be noted that  
84 the conjugal transfer of plasmids encoding other traits, such as lactose utilisation and  
85 protease activity, can facilitate increased bacteriocin production by adapting the  
86 producing strain for better growth in food environments. Indeed, Garcia-Parra et al,  
87 reported a 40-fold increase in nisin production by one such transconjugant in milk  
88 [17]. The genetic determinants for nisin are also located on a conjugative transposon  
89 enabling its food-grade transfer to other LAB [18], and the nisin resistance phenotype  
90 has also been widely exploited as a selection marker for food grade improvement of  
91 starter strains [19,20].

92 Strategies have also been adapted to mobilize bacteriocin-encoding non-conjugative  
93 plasmids for the improvement of starter strains in a food grade manner [21,22]. Such  
94 plasmids, unable to mediate their own transfer, require the sequence of the origin of  
95 transfer (*oriT*) and *mobA* gene, while the genes encoding other conjugal functions are  
96 supplied *in trans* from a conjugative plasmid or sex factor. *L. lactis* IFPL359  
97 transconjugants generated in this way to harbour the lactacin 3147-encoding non-  
98 conjugative plasmid pBaC105 successfully accelerated proteolysis and development  
99 of sensory characteristics of semi-hard goat cheese [21].

100 Aside from the ‘donor’ strain from which these plasmids and transposons are being  
101 mobilised, it should also be noted the genetic composition of recipient strains can  
102 ultimately influence the success of the conjugative approach. Indeed, *L. lactis* subsp  
103 *lactis* IL1403 is frequently selected for studies of lactococcin A (LcnA; class II)-type  
104 bacteriocins as this strain contains chromosomal analogues of the genes involved in  
105 LcnA secretion and maturation (i.e. *lcnC* and *lcnD*). Indeed, the extent of bacteriocin  
106 production by this strain following the conjugal transfer of pS140, a plasmid  
107 harbouring the genetic determinants for a lactococcin A-like bacteriocin, was greater

108 than that of other lactococcal transconjugants, presumably as a consequence of the  
109 additional copies of *lcnCD* already present [23].

110

### 111 **Subcloning and expression of bacteriocin genes or gene clusters**

112 Subcloning and expression of bacteriocin genes and gene clusters has also been  
113 applied as a means of conferring a bacteriocin positive phenotype on LAB strains or  
114 to facilitate over-production in a strain that is already a natural bacteriocin producer.  
115 Indeed, a particularly effective strategy employed for the overproduction of various  
116 class I bacteriocins has been the introduction of additional copies of biosynthesis-  
117 associated genes to an existing bacteriocin-producing host. This has led to greater  
118 yields of nisin [24,25] and of the individual lactacin 3147 component peptides, Ltn $\alpha$   
119 and Ltn $\beta$ , as well as improved yields of bioengineered lactacin 3147 variants [26].

120 Such studies have also established that the introduction of additional copies of  
121 immunity (self-protection) genes can be important to overcome self-toxicity-  
122 associated limitations when overproducing these peptides.

123 The heterologous production of class II bacteriocins by LAB is dependant on several  
124 factors such as the host strain, the expression and secretion systems employed,  
125 plasmid stability and copy number and the presence of the cognate bacteriocin  
126 immunity genes. While expression systems employing constitutive promoters and  
127 inducible promoters (such as the nisin-inducible promoter, ( $P_{nisA}$ , of the NIsin  
128 Controlled gene Expression (NICE) system [27]) have both been highly exploited,  
129 inducible systems have in general been more successful. Regardless of promoter, the  
130 strategies involved have varied from cloning of the entire, intact bacteriocin  
131 biosynthetic gene cluster [28,29] to the creation of gene fusions (to facilitate efficient  
132 bacteriocin transport) through the exploitation of bacteriocin leader or secretion

133 signals [30-37]. Yeast based platforms have also shown considerable promise. These  
134 may be useful for the large-scale production of bacteriocins or for yeast based  
135 fermentations [38-41].

136 Although these recombination techniques can facilitate increased levels of bacteriocin  
137 production and activity, and the construction of improved multi-bacteriocin producing  
138 strains, they remain genetically modified organisms (GMO) which may limit their  
139 application in the wider Food Industry.

140

#### 141 **Bioengineering of bacteriocin peptides**

142 There have been a number of instances in which bioengineering of bacteriocin  
143 structural genes (through manipulation of the gene in a natural producer or in a strain  
144 which produces the bacteriocin heterologously) has been employed with a view to  
145 expanding or altering the associated antimicrobial spectrum. This strategy initially  
146 evolved from a desire to gain a better appreciation of the importance of specific  
147 residues or domains within these peptides, i.e. to assess the negative consequences of  
148 mutating these regions. However, this approach has evolved such that strains with  
149 greater antimicrobial potency have resulted which can potentially provide for the  
150 better control of spoilage or pathogenic microbes.

151 Bioengineering-based strategies were first applied to LAB producers of class I  
152 bacteriocins, with the targeting of nisin [42-44] being of greatest relevance to this  
153 review. Subsequent, manipulations of nisin were crucial with respect to elucidating  
154 the mechanism of action of the peptide [45-48]. From these, and more recent studies,  
155 some bioengineered nisins are notable by virtue of possessing enhanced antimicrobial  
156 activity against at least one, albeit non-pathogenic, Gram positive target [49-52].  
157 Other bioengineered derivatives of nisin have been identified which more effectively



158 inhibit one or more pathogenic targets. The majority of these are derivatives in which  
159 residues within a central, 3-amino acid, stretch known as the ‘hinge’ region have been  
160 altered. Here, examples include nisins N20K and M21K [53], nisin M21V [54-56],  
161 nisin K22T (figure 1), [55] and nisin N20P [54]. Recently, nisin peptides in which  
162 serine 29 has been altered have drawn attention by virtue of exhibiting enhanced  
163 activity against both Gram positive and Gram negative pathogens [57]. It should also  
164 be noted that there have also been instances in which the nisin structural gene has  
165 been altered to facilitate the production of other natural variants of nisin (nisin Z, F  
166 and Q; [58]) or in a manner that has resulted in peptides which exhibit enhanced  
167 diffusion through complex matrices [59]. Other class I bacteriocin producing LAB,  
168 i.e. the producers of lacticin 3147 and lacticin 481, have also been the focus of  
169 bioengineering-based strategies. In these cases, the outcomes have been of greater  
170 importance from a fundamental science, rather than applied, perspective [60-66], with  
171 only one example of a partial enhancement having been described to date [64]. Aside  
172 from the lantibiotics, as derivatives of the unmodified class II bacteriocins can be  
173 generated both synthetically [67,68] or through heterologous expression [69-77] with  
174 relative ease, there are relatively few examples of instances in which LAB producers  
175 of the class II bacteriocins have themselves been engineered. However, the potential  
176 exists to reconstitute production of some of the more interesting derivatives in the  
177 original host strain should the need or desire arise.

178 It is important to note that while all bioengineering based strategies are valid if the  
179 aim is to create bacteriocins for fundamental analyses or applications by the  
180 pharmaceutical industry, the application of bioengineered bacteriocin peptides as food  
181 preservatives is a bigger obstacle in some jurisdictions. Indeed, many of the strategies  
182 employed to produce the engineered bacteriocins described above involve approaches

183 that result in the producer needing to be described as a GMO. However, alternatives  
184 exist. Indeed, self cloning of non-pathogenic microorganisms is not considered to lead  
185 to a GMO as long as containment of the organism is guaranteed (directive  
186 90/219/EC). Accordingly, the temporary introduction of plasmids, the deletion of  
187 specific DNA sequences, or introduction of DNA from another micro-organism  
188 belonging to the same species fall within the definition of self-cloning. Thus, subtle  
189 alterations to bacteriocin structural genes (such as the changing of single codons)  
190 made using food grade strategies [78] fall outside the remit of the Contained Use  
191 legislation and therefore are not regulated as GMOs.

192

### 193 **Conclusions**

194 There are various methods available to improve the bacteriocin-mediated protection  
195 provided by food grade LAB. While genetic manipulation by recombinant and  
196 bioengineering based approaches offer great promise, only strains which have been  
197 modified through non-recombinant approaches can be directly added to food. In  
198 addition to the further improvement of existing strains, advances with respect to high  
199 throughput screening strategies are likely to result in the identification of novel  
200 antimicrobials with considerable potential for food applications. Few naturally  
201 occurring multi-bacteriocin producing LAB have been identified [79-83]. However,  
202 ongoing developments in traditional microbiological, mass spectrometric, molecular  
203 and bioinformatic screening techniques [84,85] has led to the isolation [86-94] and  
204 characterisation of several novel bacteriocins [95-98] reported this year alone which  
205 may find applications in food. Regardless of the strain and bacteriocin in question, it  
206 is fair to say that the application of bacteriocin producing LAB, alone or in  
207 combination with additional antimicrobial hurdles [2], continues to be a relatively

208 underutilised strategy that, through various enhancements such as those described  
209 here, could be more widely applied by the food industry.

210

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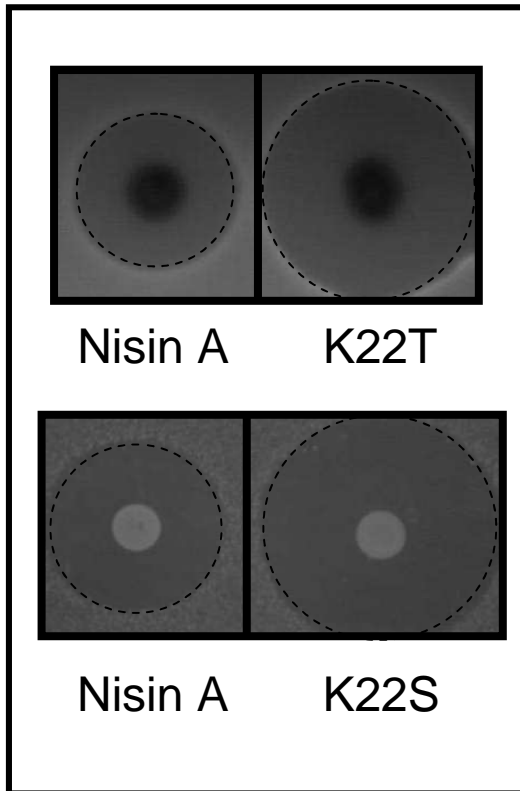
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569 **Figure 1.** Enhanced activity of bioengineered *L. lactis* producing nisin derivatives  
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571 ATCC13813 as compared with the respective nisin A producing controls (as adapted  
572 from Field et al., (2008)).