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Translation of Bernstein Coefficients Under an Affine Mapping of the Unit Interval

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Abstract

We derive an expression connecting the coefficients of a polynomial expanded in the Bernstein basis to the coefficients of an equivalent expansion of the polynomial under an affine mapping of the domain. The expression may be useful in the calculation of bounds for multi-variate polynomials.

1 Introduction

The set of Bernstein basis polynomials of degree n can be used to form a basis for a vector space of polynomials of degree less than or equal to n. These polynomials take the form

$$B_{\nu,n}(x) = \binom{n}{\nu} x^{\nu} (1-x)^{n-\nu}, \nu = 0, \dots, n$$
 (1)

where $\binom{n}{\nu}$ is a binomial coefficient. It is known that the coefficients of a given polynomial expressed using the Bernstein basis provide guaranteed bounds on the global minimum and maximum of the polynomial [1,2]. This result extends from the fact that these basis polynomials are non-negative over the unit interval and the values at the end points are simply

$$B_{\nu,n}(0) = \delta_{\nu 0} \quad \text{and} \quad B_{\nu,n}(1) = \delta_{\nu n}$$
 (2)

where δ is the Kronecker delta function. Furthermore, such bounds may be improved by calculating and comparing the various expansion, or Bernstein, coefficients for the polynomial over subdivisions of the domain interval, i.e. the coefficients that result from an affine mapping of a subdivision of the domain back to the original domain. The de Casteljau algorithm [3] is a method for calculating the Bernstein coefficients that are generated via a repeated halving of the domain. Muñoz and Narkawicz [4] have provided a simpler variant of this algorithm, by explicitly calculating the analytical expressions that relate the coefficients on the original and halved domains. We present here an expression connecting the Bernstein coefficients on the unit domain to those for an affine mapping of the domain. This is in a sense a generalization of the formulas given by Muñoz and Narkawicz [4]. The formula here reduces to their result in the special case of dividing the domain into halves. We also show how to apply the equation to a division of the domain into any number of intervals of equal size.

2 Derivation of the General Formula

We derive here the relationship between the coefficients of a single variable polynomial in Bernstein form on the unit interval $x \in [0,1]$, $B_{\nu,n}(x)$,

and those of the corresponding Bernstein polynomial generated by the mapping $x \longrightarrow \alpha x + \beta$. This result holds for the multivariate case as can be easily shown using Smith's representation [5]. The derivation makes use of two results: 1) the connection between the coefficients of an expansion in $B_{\nu,n}(\alpha x)$ to those of an equivalent expansion in $B_{\nu,n}(x)$ and 2) the identity

$$B_{\nu,n}(1-x) = B_{n-\nu,n}(x) \tag{3}$$

The three steps shown below create the mapping $x \longrightarrow \alpha x + \beta$. The first result is used in the first and third steps, and the second result is used for the second step.

$$x_1 = \alpha_1 x \tag{4}$$

$$x_2 = 1 - x_1 = 1 - \alpha_1 x \tag{5}$$

$$x_3 = \alpha_2 x_2 = \alpha_2 (1 - \alpha_1 x) \tag{6}$$

where $\beta = \alpha_2 \neq 0$ and $\alpha = -\alpha_1 \beta$. The derivation of the relationship proceeds similarly to the procedure in Section 2.3 of Ref. [4], and begins by determining the appropriate partition of the argument $1 - \alpha x$.

$$B_{\nu,n}(\alpha x) = \binom{n}{\nu} \alpha^{\nu} x^{\nu} (1 - x + (1 - \alpha)x)^{n-\nu}$$

$$= \binom{n}{\nu} \alpha^{\nu} x^{\nu} \sum_{k=0}^{n-\nu} \binom{n-\nu}{k} (1 - \alpha)^{k} x^{k} (1 - x)^{n-\nu-k} (7)$$

$$= \binom{n}{\nu} \alpha^{\nu} \sum_{k=\nu}^{n} \binom{n-\nu}{k-\nu} (1 - \alpha)^{k-\nu} x^{k} (1 - x)^{n-k}$$
 (8)

Using the trinomial revision formula

$$\begin{pmatrix} k \\ i \end{pmatrix} \begin{pmatrix} n \\ k \end{pmatrix} = \begin{pmatrix} n \\ i \end{pmatrix} \begin{pmatrix} n-i \\ k-i \end{pmatrix} \tag{9}$$

we have that

$$B_{\nu,n}(\alpha x) = \alpha^{\nu} \sum_{k=\nu}^{n} \begin{pmatrix} k \\ \nu \end{pmatrix} (1-\alpha)^{k-\nu} B_{k,n}(x)$$
 (10)

Equating two equivalent expansions for the same polynomial, one in the Bernstein basis with argument x, and one in the Bernstein basis with argument αx ,

$$\sum_{\nu=0}^{n} c_{\nu,n}^{\alpha} B_{\nu,n}(\alpha x) = \sum_{k=0}^{n} c_{k,n} B_{k,n}(x)$$
 (11)

gives the following identity involving the Bernstein coefficients $c_{\nu,n}^{\alpha}$ and $c_{k,n}$

$$c_{k,n} = \sum_{\nu=0}^{k} c_{\nu,n}^{\alpha} \begin{pmatrix} k \\ \nu \end{pmatrix} \alpha^{\nu} (1-\alpha)^{k-\nu} = \sum_{\nu=0}^{k} c_{\nu,n}^{\alpha} B_{\nu,k}(\alpha)$$
 (12)

where the α in $c_{k,n}^{\alpha}$ is not an exponent but a notational superscript. Using this result, the identity in Eq. (3), and representing now the Bernstein coefficients of an expansion in the arguments x, x_2 , and x_3 as $b_{k,n}$, $b_{k,n}^2$, and $b_{k,n}^3$ respectively, the coefficients corresponding to Eqs. (4),(5), and (6) have the following relationships:

$$\sum_{\nu=0}^{n} b_{\nu,n}^{3} B_{\nu,n}(\alpha_{2} x_{2}) = \sum_{k=0}^{n} b_{k,n}^{2} B_{k,n}(x_{2})$$
(13)

$$b_{k,n}^2 = \sum_{\nu=0}^k b_{\nu,n}^3 B_{\nu,k}(\alpha_2) \tag{14}$$

$$\sum_{\nu=0}^{n} b_{\nu,n}^{2} B_{\nu,n}(x_{2}) = \sum_{\nu=0}^{n} b_{\nu,n}^{2} B_{\nu,n}(1-x_{1})$$
 (15)

$$= \sum_{\nu=0}^{n} b_{\nu,n}^{2} B_{n-\nu,n}(x_{1}) \tag{16}$$

$$= \sum_{\nu=0}^{n} b_{n-\nu,n}^2 B_{\nu,n}(x_1) \tag{17}$$

$$= \sum_{k=0}^{n} b_{k,n} B_{k,n}(x) \tag{18}$$

The coefficients in the last expression can thus be written as

$$b_{k,n} = \sum_{\nu=0}^{k} b_{n-\nu,n}^{2} B_{\nu,k}(\alpha_{1})$$

$$= \sum_{\nu=0}^{k} \sum_{\nu'=0}^{n-\nu} b_{\nu',n}^{3} B_{\nu,k}(-\frac{\alpha}{\beta}) B_{\nu',n-\nu}(\beta)$$
(19)

This equation obviously holds only for $\beta \neq 0$. When $\beta = 0$, we can simply apply Eq. (12) instead.

3 Specific Cases

It is straightforward to compute the coefficients for the case where the unit domain is divided into halves. This division is equivalent to the mapping $x \longrightarrow \alpha x + \beta$, where $\alpha = 1/2$, $\beta = 0$, for the left half, and $\alpha = -1/2$, $\beta = 1$ for the right half. In the former case, we use Eq.(12)

$$b_{k,n} = \sum_{\nu=0}^{k} b_{\nu,n}^{3} B_{\nu,k}(\frac{1}{2}) = \frac{1}{2^{k}} \sum_{\nu=0}^{k} \binom{k}{\nu} b_{\nu,n}^{3}$$
 (20)

and in the latter, Eq.(19) yields

$$b_{k,n} = \sum_{\nu=0}^{k} \sum_{\nu'=0}^{n-\nu} b_{\nu',n}^3 B_{\nu,k}(\frac{1}{2}) B_{\nu',n-\nu}(1)$$
 (21)

$$= \sum_{\nu=0}^{k} b_{n-\nu,n}^{3} B_{\nu,k}(\frac{1}{2})$$
 (22)

$$= \frac{1}{2^k} \sum_{\nu=0}^k \binom{k}{\nu} b_{n-\nu,n}^3 \tag{23}$$

which are the same formulas given in Eq.(14) of Ref. [4].

These equations can be used to calculate the Bernstein coefficients corresponding to domains that are created by repeated halving of the unit domain. Alternatively, we may from the outset define a linear division of the domain into M equal size windows by simply setting

$$\alpha = -1/M, \quad \beta = m/M, \quad m = 1, \dots, M \tag{24}$$

Such a scheme allows one to repeatedly divide the unit domain into smaller pieces faster and perhaps in a non-even fashion if one so chooses.

4 Conclusions

We have derived an expression relating the Bernstein coefficients of a polynomial on the unit domain $x \in [0,1]$ to those for the mapped domain $x \longrightarrow \alpha x + \beta$. The expression provides a generalization of the method implemented by Muñoz and Narkawicz [4]. The full implications of this work are still being determined; for example, one may study the aforementioned linear division of the domain in the limit of infinitely small divisions, $M \to \infty$.

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