

Climate Hazard Assessment for Stakeholder

Adaptation Planning In New York City

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Abstract

This paper describes a time-sensitive approach to climate change projections, developed as part of New York City’s climate change adaptation process, that has provided decision support to stakeholders from 40 agencies, regional planning associations, and private companies. The approach optimizes production of projections given constraints faced by decision makers as they incorporate climate change into long-term planning and policy. New York City stakeholders, who are well-versed in risk management, helped pre-select the climate variables most likely to impact urban infrastructure, and requested a projection range rather than a single ‘most likely’ outcome. The climate projections approach is transferable to other regions and consistent with broader efforts to provide climate services, including impact, vulnerability, and adaptation information.

The approach uses 16 Global Climate Models (GCMs) and three emissions scenarios to calculate monthly change factors based on 30-year average future time slices relative to a 30-year model baseline. Projecting these model mean changes onto observed station data for New York City yields dramatic changes in the frequency of extreme events such as coastal flooding and dangerous heat events. Based on these methods, the current 1-in-10 year coastal flood is projected to occur more than once every 3 years by the end of the century, and heat events are projected to approximately triple in frequency. These frequency changes are of sufficient magnitude to merit consideration in long-term adaptation planning, even though the precise changes in extreme event frequency are highly uncertain.

40 **1. Introduction**

41 This paper describes a methodological approach to stakeholder-driven climate hazard
42 assessment developed for the New York Metropolitan Region (Fig. 1). The methods were
43 developed in support of the New York City Panel on Climate Change (NPCC; NPCC 2010).
44 The NPCC is an advisory body to New York City's Climate Change Adaptation Task Force
45 (CCATF), formed by Mayor Michael Bloomberg in 2008 and overseen by the Mayor's Office of
46 Long Term Planning and Sustainability. As described in NPCC (2010), the CCATF is
47 comprised of stakeholders from 40 city and state agencies, authorities, regional planning
48 associations, and private companies, divided into four infrastructure workgroups
49 (communication, energy, transportation, and water and waste), and one policy workgroup.

50 The CCATF effort was motivated by the fact that New York City's population and
51 critical infrastructure are exposed to a range of climate hazards, with coastal flooding associated
52 with storms and sea level rise the most obvious threat. Approximately 7 % (11%) of NYC area
53 is within 1 meter (2) of sea level (Weiss et al. 2011). A recent study ranked NYC 7th globally
54 among port cities in exposed population and 2nd globally in assets exposed to storm surge
55 flooding and high winds (Nicholls et al. 2008). Furthermore, because NYC, like much of the
56 U.S. (ASCE, 2009), has aging infrastructure, climate vulnerability may be enhanced. By
57 showing leadership in the infrastructure adaptation process, the NYC effort may be able to
58 provide lessons to other cities as they plan adaptation strategies.

59 Stakeholder input regarding climate information was collected in several ways. Between
60 September of 2008 and September of 2009, each CCATF sector working group held monthly
61 meetings in conjunction with the Mayor's Office of Long Term Planning and Sustainability.
62 During the initial meetings, representatives from each sector identified key climate hazards; they

63 also interacted iteratively with the scientists, seeking clarification, and requesting additional
64 information. They commented on draft documents describing the region’s climate hazards, and
65 climate seminars were held with individual agencies as requested. The climate hazard
66 assessment process was facilitated by prior collaborative experience between the NPCC’s
67 climate scientists and stakeholders in earlier assessments, including the Metro East Coast Study
68 (MEC; MEC 2001), as well as work with the New York City Department of Environmental
69 Protection (NYCDEP; NYCDEP 2008; Rosenzweig et al. 2007) and the Metropolitan Transit
70 Authority (MTA; MTA 2007).

71 The climate hazard approach is tailored towards impact assessment; it takes into
72 consideration the resource and time constraints faced by decision makers as they incorporate
73 climate change into their long-term planning. For example, the formal write-up of the climate
74 risk information was needed within less than 8 months of the NPCC’s launch (NRC 2009); given
75 this time frame and the broad array of stakeholders in the CCATF, a standardized set of climate
76 variables of broad interest were emphasized, with the understanding that future studies could
77 provide climate information tailored to more unique applications¹.

78 Within this framework, the NPCC worked with stakeholders to pre-select for analysis
79 climate variables and metrics most likely to impact existing assets, planned investments, and
80 operations (Horton and Rosenzweig 2010). For example, the number of days below freezing was
81 identified as an important metric for many sectors, due to the impacts of freeze-thaw cycles on
82 critical infrastructure (New York City Climate Change Adaptation Task Force, 2008-2009). Due

¹ A tailored assessment of changes in snow depth and timing of snow melt in the Catskill Mountains approximately 100 miles north of New York City (NYC DEP, 2008) would be of interest to managers of only a small but important subset of infrastructure—reservoirs and water tunnels. Such a fine-scale assessment would benefit from more complex downscaling approaches than those applied here.

83 to the diversity of agencies, projections were requested for multiple time periods spanning the
84 entire 21st century.

85 Stakeholders also helped determine the presentation of climate hazard information. For
86 example, because NYC stakeholders are used to making long-term decisions under uncertainty
87 associated with projections of future revenues, expenditures, and population trends, for example,
88 they preferred projection ranges to a single ‘most likely’ value (New York City Climate Change
89 Adaptation Task Force, 2008-2009).

90 Itemized risks associated with each climate variable were ultimately mapped to specific
91 adaptation strategies. For example, more frequent and intense coastal flooding due to higher
92 mean sea level was linked to increased seawater flow into New York City’s gravity-fed and low-
93 lying Wastewater Pollution Control Plants (WPCP), resulting in reduced ability to discharge
94 treated effluent (NPCC 2010; NYCDEP 2008). NYCDEP is reducing the risk at the Far
95 Rockaway Wastewater Treatment Plant by raising pumps and electrical equipment to 14ft above
96 sea level based on the projections described here (NYC Office of the Mayor, 2009).

97 Climate hazard assessment was only one component of the NPCC’s impact and
98 adaptation assessment. Vulnerability of infrastructure (and the populations that rely on it) to
99 climate impacts can be driven as much by its state of repair (and how it is used) as by climate
100 hazards (NRC, 2009). Climate adaptation strategies should be based on many non-climate related
101 factors, such as co-benefits (e.g., some infrastructure investments that reduce climate risks will
102 also yield more efficient and resilient infrastructure in the face of non-climate hazards; NRC
103 2010a) and co-costs (e.g., adapting by using more air conditioning increases greenhouse gas
104 emissions). NPCC experts in the risk management, insurance, and legal fields provided guidance
105 on these broader issues of vulnerability and adaptation, developing for example an eight step

106 adaptation assessment process and templates for ranking relative risk and prioritizing adaptation
107 strategies (NPCC, 2010). This paper focuses on the provision of stakeholder-relevant climate
108 information in support of the broader NPCC assessment.

109 Section 2 describes the methodology used for the NPCC's climate hazard assessment.
110 Section 3 compares climate model hindcasts to observational results for the New York
111 Metropolitan Region. Hindcast results are a recurring stakeholder request, and they helped
112 inform the global climate model (GCM)-based projection methods. Section 4 documents the
113 regional projections, in the context of stakeholder usability. Section 5 covers conclusions and
114 recommendations for future work.

115

116 **2. Methodology**

117 *a. Observations*

118 Observed data are from two sources. Central Park station data from the National Oceanic
119 and Atmospheric Administration, National Climatic Data Center, United States Historical
120 Climatology Network (NOAA NCDC USHCN) Version 1 data set (Karl et al. 1990; Easterling
121 et al. 1999; Williams et al. 2005) formed the basis of the historical analysis and projections of
122 temperature and precipitation. Gridded output corresponding to New York City from the
123 National Centers for Environmental Prediction / Department of Energy (NCEP/DOE) Reanalysis
124 2 output (Kanamitsu et al. 2002) is also used for GCM temperature validation (section 3).

125

126 *b. Climate Projections: General Approach*

127 1) GLOBAL CLIMATE MODELS AND EMISSIONS SCENARIOS

128 Climate projections are based on the coupled GCMs used for the Intergovernmental Panel
129 on Climate Change Fourth Assessment Report (IPCC; IPCC, 2007). The outputs are provided by
130 the World Climate Research Programme's (WCRP's) Coupled Model Intercomparison Project
131 phase 3 (CMIP3) multi-model dataset (Meehl et al. 2007a). Out of 23 GCM configurations from
132 16 centers, the 16 GCMs that had available output for all three emissions scenarios archived by
133 WCRP were selected (Table 1; A2, A1B, and B1; IPCC Special Report on Emissions Scenarios;
134 SRES; Nakicenovic et al. 2000).

135 The 16 GCMs and three emissions scenarios combine to produce 48 output sets. The 48
136 members yield a model and scenario-based distribution function based on equal weighting of
137 each GCM and emissions scenario. The model-based results should not be mistaken for a
138 statistical probability distribution (Brekke et al. 2008) for reasons including the following: 1) no
139 probabilities are assigned by the IPCC to the emissions scenarios² 2) GCMs are not completely
140 independent; with many sharing portions of their code and a couple differing principally in
141 resolution only, and 3) the GCMs and emissions scenarios do not sample all possible outcomes,
142 which include the possibility of large positive ice-albedo and carbon cycle feedbacks, in addition
143 to uncertain aerosol effects. Caveats notwithstanding, the model-based approach has the
144 advantage (relative to projections based on single numbers) of providing stakeholders with a
145 range of possible outcomes associated with uncertainties in future greenhouse gas concentrations
146 (and other radiatively important agents and climate sensitivity (NRC, 2010b).

147 Some authors (see e.g., Smith et al. 2009; Tebaldi et al. 2005; Greene et al. 2006; Brekke

² It has been argued that since high global anthropogenic CO₂ emissions growth rates (3.4 % year⁻¹ between 2000 and 2008; Le Quere et al. 2009) led to 2008 estimated emissions reaching the levels of the highest SRES scenario (A1FI), other SRES scenarios may be unrealistically low.

148 et.al. 2008; Georgi and Mearns 2002) have explored alternate approaches that weight GCMs
149 based on criteria including hindcasts of regional climate or key physical processes. That more
150 complex approach is eschewed here in favor of equal GCM weighting for several reasons. First,
151 because model ‘success’ is often region and variable-specific, and stakeholders differ in their
152 climate variables and geographical ranges of interest³, production of consistent scenarios based
153 on model weighting is a major research effort beyond the scope of New York City’s initial
154 assessment. Second, while long-term research could be geared towards developing optimized
155 multivariate (and/or multi-region) weighting, research suggests that compensating biases tend to
156 yield comparable model performance (Brekke et al. 2008). Third, historical accuracy may have
157 been achieved for the ‘wrong’ reasons (Brekke et al. 2008) and GCM hindcasts did not share
158 identical forcing, especially with respect to aerosols (Rind et al. 2009). Fourth, shifting climate
159 processes with climate change may favor different models in the future. Finally, eliminating
160 ensemble members reduces the representation of uncertainty relating to climate sensitivity.

161

2) TIMESLICES

163 Because current-generation GCMs used for climate change applications have freely
164 evolving ocean and atmospheric states, they are most appropriate for detection of long-term
165 climate and climate change signals. The 30-year timeslice applied here is a standard timescale
166 (WMO 1989) that represents a middle ground, allowing partial cancellation of currently
167 unpredictable interannual to interdecadal variability (maximized by including many years), while
168 maintaining relatively monotonic anthropogenically-induced forcing trends (maximized by
169 including few years). The ‘1980s’ timeslice represents baseline conditions between 1970-1999;

³ New York City’s task force included corporations with national and international operations.

170 future timeslices for the 2020s, 2050s, and 2080s are similarly defined.

171

172 3) CLIMATE CHANGE FACTORS AND THE DELTA METHOD

173 Mean temperature change projections are expressed as differences between each model's
174 future timeslice simulation and its baseline simulation; mean precipitation is based on the ratio of
175 a given model's future to its baseline values. This approach offsets a large source of model bias:
176 poor GCM simulation of local baseline conditions (section 3b) arising from a range of factors
177 including the large difference in spatial resolution between GCM gridboxes and station data.

178 Because monthly averages from GCMs are generally more reliable than daily output
179 (Grotch and MacCracken 1991), monthly mean GCM changes were projected onto observed
180 1971-2000 daily Central Park data for the calculation of extreme events⁴. This simple and low-
181 cost downscaling approach is known as the delta method (Gleick 1986; Arnell 1996; Wilby et al.
182 2004). Like more complex statistical downscaling techniques (e.g., Wigley et al. 1990), the delta
183 method is based on stationarity (see e.g., Wilby et al. 1998 and 2002; Wood 2004), and largely
184 excludes the possibility of large variance changes through time, although for the Northeast U.S.
185 such changes are uncertain⁵.

186 More complex statistical approaches, such as those that empirically link large-scale
187 predictors from a GCM to local predictands (see e.g., Bardossy and Plate 1992) may yield more
188 nuanced downscaled projections than the delta method. These projections are not necessarily

⁴ For coastal flooding and drought, the 20th century was used as a baseline, due to high interannual/multidecadal variability and policy-relevance of 1-in-100 year events.

⁵ An exception may be short-term precipitation variance, which is expected to increase regionally with the more intense precipitation events associated with a moister atmosphere (see e.g., Emori and Brown 2005; Cubasch et al. 2001; Meehl et al. 2005)

189 more realistic, however. Historical relationships between large-scale predictors and more
190 impacts-relevant local predictands may not be valid in a changing climate (Wilby et al. 2004).
191 GCM development and evaluation has also historically been more focused on seasonal and
192 annual climatologies than the daily and interannual distributions that drive analogue approaches.
193 Table 2 provides a set of stakeholder questions to inform the choice of downscaling technique, a
194 topic that is discussed further in Section 5.

195

196 4) SPATIAL EXTENT

197 The projections are for the land-based GCM gridbox covering New York City. As shown
198 in Fig. 2, the 30-year averaged mean climate changes are largely invariant at sub-regional scales;
199 the single grid box approach produces nearly identical results to more complex methods that
200 require extraction of data from multiple gridboxes and weighted spatial interpolation. As shown
201 in section 4e, for the metrics evaluated in this study, the GCM gridbox results also produce
202 comparable results to finer resolution statistically and dynamically downscaled products. Since
203 baseline climate (as opposed to projected climate change) does differ dramatically over small
204 spatial scales (due to factors such as elevation and surface characteristics), and these fine-scale
205 spatial variations by definition cannot be captured by course-resolution GCMs, GCM changes
206 are trained onto observed Central Park data using the procedures described above.

207

208 5) NUMBER OF SIMULATIONS

209 For 13 of the 16 GCMs' Climate of the 20th Century and future A1B experiments, and 7
210 of the 16 B1 and A2 future experiments, multiple simulations driven by different initial
211 conditions were available. Analysis of hindcasts (Table 3a) and projections (Table 3b) from the

212 available NCAR CCSM coupled GCM simulations⁶ revealed only minor variations in 30-year
213 averages, suggesting that one simulation per model is sufficient. Using an ensemble for each
214 GCM based on all the available simulations with that GCM is an alternative approach; however,
215 the effort and data storage needs may not be justified given the similarity of the ensemble and
216 individual simulation results shown in Table 3. Furthermore, ensemble averaging unrealistically
217 shrinks the temporal standard deviation⁷.

218

219 *c. Climate Projections: Sea Level Rise*

220 To address large uncertainties associated with future melting of ice sheets, two sea level
221 rise projection methods were developed: these are referred to as the IPCC-based and rapid ice
222 melt scenarios respectively.

223

224 1) IPCC AR4-BASED APPROACH

225 The IPCC Fourth Assessment (AR4) approach (Meehl et al. 2007b) was regionalized for
226 New York City utilizing four factors that contribute to sea level rise: global thermal expansion,
227 local water surface elevation, local land uplift/subsidence, and global meltwater⁸. Thermal
228 expansion and local water surface elevation terms are derived from the GCMs (outputs courtesy
229 of WCRP and Dr. Jonathan Gregory, personal communication). Local land subsidence is derived
230 from Peltier (2001) and Peltier's ICE-5Gv1.2 ice model (2007)
231 (<http://www.pol.ac.uk/psmsl/peltier/index.html>). The meltwater term was calculated using mass
232 balance temperature sensitivity coefficients for the different ice masses, based on observed

⁶ This GCM was selected because it provided the most 20th and 21st century simulations

⁷ This is a general criticism; for the particular case when the delta method is used (as here) shrinking of the temporal standard deviation has no bearing on the results

⁸ Only seven GCMs provided outputs for sea level rise projections; see Horton and Rosenzweig (2010) for additional information.

233 historic relationships between global mean surface air temperature, ice mass, and rates of sea
234 level rise (Meehl et al. 2007b)⁹. Regionalization of sea level rise projections, based on the four-
235 components described above, have been used in other studies (e.g., Mote et al. 2008).

236

237 2) RAPID ICE MELT SCENARIO

238 Because of large uncertainties in dynamical ice sheet melting (Hansen et al. 2007; Horton
239 et al. 2008), and recent observations that ice sheet melting has accelerated within this past decade
240 (e.g., Chen et al. 2009), an alternative sea level rise scenario was developed. This upper bound
241 sea level rise scenario allowing for rapid ice melt was developed based on paleo-sea level
242 analogues, in particular the ~10,000-12,000-year period of rapid sea level rise following the end
243 of the last ice age (Peltier and Fairbanks 2006; Fairbanks 1989). While the analogue approach
244 has limitations (most notably, the continental ice supply is much smaller today; Rohling et al.
245 2008), past rapid rise is described below since it may help inform discussions of upper bounds of
246 future sea level rise.

247 Average sea level rise during this more than 10,000-year period after the last ice age was
248 9.9 to 11.9 cm decade⁻¹, although this rise was punctuated by several shorter episodes of more
249 rapid sea level rise. In the rapid ice-melt scenario, glaciers and ice sheets are assumed to melt at
250 that average rate. The meltwater term is applied as a second-order polynomial, with the average
251 present-day ice melt rate of 1.1 cm decade⁻¹ for 2000-2004 used as a base. This represents the
252 sum of observed mountain glacier (Bindoff et al. 2007) and ice sheet melt (Shepherd and
253 Wingham 2007) during this period. The rapid ice-melt scenario replaces the IPCC meltwater
254 term with the modified meltwater term; the other three sea level terms remain unchanged. This

⁹ Corrections were not made to account for reductions in glacier area over time.

255 approach does not consider how rapid ice melt might indirectly influence sea level in the New
256 York region through future second-order effects including gravitational, glacial isostatic
257 adjustments, and rotational terms (e.g. Mitrovica et al. 2001, 2009).

258

259 *d. Climate Projections: Extreme Events*

260 Based on stakeholder feedback, quantitative and qualitative projections were made using
261 the extreme events definitions stakeholders currently use. For example, temperature extremes
262 were defined based on specific thresholds, such as 90°F (~32°C), that the New York City
263 Department of Buildings uses to define cooling requirements, whereas coastal flooding was
264 defined by frequency of occurrence (Solecki et al. 2010).

265

266 1) QUANTITATIVE PROJECTIONS: COASTAL FLOOD EXAMPLE

267 The coastal flooding projections are based on changes in mean sea level, not storms.
268 Projected changes in mean sea level (using the IPCC AR4-based approach) were superimposed
269 onto historical data. For coastal flooding, critical thresholds for decision-making are the 1-in-10
270 year, and 1-in-100 year flood events (Solecki et al. 2010). The latter metric is a determinant of
271 construction and environmental permitting, as well as flood insurance eligibility (Sussman and
272 Major 2010).

273 The 1-in-10 year event was defined using historical hourly tide data from the Battery tide
274 gauge, lower Manhattan (<http://tidesandcurrents.noaa.gov>; for more information, see Horton and
275 Rosenzweig 2010). The 1-in-100 year flood was analyzed using flood return period curves
276 based on data provided by the U.S. Army Corps of Engineers for the Metro East Coast Regional
277 Assessment (see Gornitz 2001 for details).

278 Because interannual variability is particularly large for rare events such as the 1-in-10
279 year flood, a base period of more than the standard 30 years was used. Similarly, since each year
280 between 1962 and 1965 was drier in Central Park than the driest year between 1971 and 2000,
281 the entire 20th century precipitation record was used for the drought analysis. More rigorous
282 solutions for the rarest events await better predictions of interannual to multi-decadal variability,
283 better understanding of the relationship between variability at those timescales and extreme
284 events (see e.g. Namias 1966; Bradbury et al., 2002) and the growing event pool of realizations
285 with time.

286

287 2) QUALITATIVE EXTREME EVENT PROJECTIONS

288 The question arose of how best to meet stakeholder needs when scientific understanding,
289 data availability, and model output are incomplete; quantitative projections are unavailable for
290 some of the important climate hazards consistently identified by infrastructure stakeholders
291 and/or are characterized by such large uncertainties as to render quantitative projections
292 inadvisable. Examples in the New York City region include ice storms, snowfall, lightning,
293 intense sub-daily precipitation events, tropical storms and nor'easters. For these events,
294 qualitative information was provided, describing only the most likely direction of change and an
295 associated likelihood using the IPCC WG1 likelihood categories (IPCC, 2007)¹⁰. Sources of
296 uncertainty and key historical events were also described, in order to provide stakeholders with

¹⁰ Given the large impact of these extreme events on infrastructure, stakeholders requested information about likelihood for comparative purposes (e.g. “Which is more likely to increase in frequency? Nor’easters specifically, or intense precipitation events generally?”). Assignment of likelihood to generalized categories for qualitative extremes (based on published literature and expert judgment including peer review) was possible because predictions are general (e.g., direction of change), as opposed to the quantitative model-based projections.

297 context and the opportunity to assess sector-wide impacts of historical extremes.

298

299 **3. GCM Hindcasts and Observations**

300 The results of the GCM hindcasts and observational analysis described in this section
301 informed the development of the projection methods described in section two. Stakeholders
302 commonly request hindcasts and historical analysis (see e.g. NYCDEP 2008) as they provide
303 transparency to decision-makers who may be new to using GCM projections as a planning tool.

304

305 *a. Temperature and Precipitation Trends*

306 As shown in Table 4, both the observed and modeled 20th century warming trends at the
307 annual and seasonal scale are generally significant at the 99 percent level. While GCM 20th
308 century trends are generally approximately 50% smaller than the observed trends, it has been
309 estimated that approximately 1/3 of New York City's 20th century warming trend may be due to
310 urban heat island effects (Gaffin et al. 2008) that are external to GCMs. Over the 1970-1999
311 period of stronger greenhouse gas forcing, the observed annual trend was 0.21°C decade⁻¹, and
312 the ensemble trend was 0.18°C decade⁻¹.

313 Modeled seasonal warming trends in the past three decades and both annual and seasonal
314 precipitation trends over the entire century for New York City generally deviate strongly from
315 observations, consistent with prior results for the Northeast (see e.g. Hayhoe et al. 2007).
316 Observed and modeled trends in temperature and precipitation at a particular location are highly
317 dependent on internal variability, and therefore highly sensitive to the selection of years. For
318 example, the 1970-1999 observed Central Park annual precipitation trend of -1.77 cm decade⁻¹
319 shifts to 0.56 cm decade⁻¹ when the analysis is extended through 2007. This is especially true for

320 the damaging extreme events¹¹ (Christensen et al. 2007) that are often of particular interest to
321 infrastructure managers. In coupled GCM experiments with a freely evolving climate system,
322 anomalies associated with climate variability generally will not coincide with observations,
323 leading to departures between observed and modeled trends (Randall et al. 2007).

324 For stakeholders trained in analyzing recent local observations, it is challenging but
325 important to emphasize that: 1) trends at continental and centennial timescales are often most
326 appropriate for identifying the greenhouse gas signal and GCM performance, since
327 (unpredictable) interannual to interdecadal variability is lower at those scales (Hegerl et al.
328 2007); and 2) during the 21st century, higher greenhouse gas concentrations and other radiatively
329 important agents are expected to increase the role of the climate change signal, relative to climate
330 variability.

331

332 *b. Temperature and Precipitation Climatology*

333 Comparison of station data to a GCM gridbox is hindered by the spatial scale
334 discrepancy; New York City's low elevation, urban heat island (see e.g. Rosenzweig et al. 2006),
335 and land sea contrasts are not captured by GCMs. As shown in Fig. 3a, the observed average
336 annual temperature over the 1970-1999 period for New York City exceeds the GCM ensemble
337 by 2.6°C, and is higher than all but two of the 16 GCMs. When the GCMs are contrasted with
338 the spatially comparable NCEP Reanalysis gridbox, the annual mean temperature bias is reduced

¹¹ Among 20th Century Central Park trends in observed extremes, only trends in cold extremes have been robust. For the number of days per year with minimum temperatures below freezing, both the 100-year trend of -2 days decade⁻¹ and the 30-year trend of -5.2 days decade⁻¹ are significant at the 99% level. GCM hindcasts of extreme events were not conducted due to the small signal to noise ratio.

339 to 1.1°C. The departure of the Central Park station data from the GCM ensemble is largest in July
340 and smallest in January, indicating that the annual temperature cycle at this location is damped in
341 the GCMs (Fig. 3b).

342 While Figure 3c reveals that the GCM ensemble of average annual precipitation from
343 1970-1999 is 8% below observations for Central Park, the ensemble average lies well within the
344 range of precipitation for New York City as a whole; GCM precipitation exceeds the LaGuardia
345 Airport station by 9%. Most of the GCMs are able to capture the relatively even distribution of
346 monthly precipitation throughout the year (Fig. 3d).

347 The above analysis reveals that mean climatology departures from observations over the
348 hindcast period are large enough to necessitate bias correction such as the delta method as part of
349 the GCM projection approach, rather than direct use of model output.

350

351 *c. Temperature and Precipitation Variance*

352 1) INTERANNUAL

353 Eleven (ten) of the 16 GCMs overestimate the 1970-1999 interannual standard deviation
354 of temperature, relative to the station data (NCEP reanalysis). The similarities between GCMs,
355 reanalysis and station data suggest that spatial-scale discontinuities may not have a large impact
356 on interannual temperature variance. All 16 GCMs underestimate interannual precipitation
357 variability relative to Central Park observations, and 14 of the 16 GCMs underestimate variance
358 relative to two other stations analyzed (Port Jervis and Bridgehampton). The large difference
359 between the GCMs and station data suggests that spatial-scale discontinuities, likely associated
360 with features like convective rainfall that cannot be resolved by GCMs, may be partially
361 responsible for the relatively low modeled interannual precipitation variance. Observed

362 interannual temperature variance is greatest in winter, a pattern not captured by seven on the 16
363 GCMs.

364

365 2) HIGH-FREQUENCY

366 The daily distribution of observed Central Park temperature (Fig.4 a-c) and precipitation
367 (Fig. 5) was compared to single gridbox output from 3 of the 16 GCMs used in the larger
368 analysis. The three models were part of a subset with daily output stored in the WCRP / CMIP3
369 repository and were selected because (of the subset) they featured the highest [Max Plank
370 Institute for Meteorology ECHAM5/MPI-OM (MPI, Jungclaus et al. 2005) and Commonwealth
371 Scientific and Industrial Research Organisation CSIRO-MK3.0 (CSIRO, Gordon et al. 2002),
372 both at 1.88°lat. x 1.88°lon.] and lowest [National Aeronautics and Space Administration
373 (NASA) / Goddard Institute for Space Studies (GISS) GISS-ER (GISS, Schmidt et al. 2006), at
374 4°lat. x 5°lon.] resolution. Analysis was conducted on summer (June-August) daily maximum
375 and winter (December-February) daily minimum temperature.

376 Summer maximum temperature distribution for the region in all three GCMs is narrower
377 than observations, and the warm tail is more poorly simulated than the cold tail. During winter,
378 CSIRO and MPI underestimate variance relative to the station data, while the GISS GCM has
379 excessive variance.

380 Figure 5 shows the number of days with precipitation exceeding 10 mm, a level of
381 rainfall that can trigger combined sewer overflow events at vulnerable sites in New York City
382 (PlaNYC 2008). Relative to Central Park data, all three GCMs underestimate the frequency of
383 daily precipitation above 50 mm--a level of precipitation that can lead to widespread flooding
384 and drainage problems including in subways (MTA 2007).

385 Given that precipitation in GCMs of this class and spatial resolution is highly
386 parameterized to the gridbox spatial scale and seasonal/decadal climate timescales, departures of
387 the distribution from observed daily station data can be expected. The low model variance at
388 daily timescales for temperature and precipitation, and at interannual timescales for precipitation,
389 reinforces the need for statistical downscaling approaches such as the delta method that apply
390 monthly mean model changes to observed high frequency data.

391

392 *d. Sea-Level Rise*

393 Sea level was also hindcast for the 20th century, based on a 1990-1999 projection relative
394 to the 1900-1904 base period.¹² The ensemble average hindcast is a rise of 18 cm, while the
395 observed increase at the Battery is 25 cm. The five-year average local elevation term in the
396 models meanders through time, frequently with an amplitude of 2-3 cm, with a maximum range
397 over the century of approximately 7 cm, suggesting decadal variability (primarily in the local
398 elevation term) and spatial resolution may explain the discrepancy between models and
399 observations.

400

401 **4. Future Projections**

402 *a. Mean Temperature and Precipitation*

¹² In this calculation, the land subsidence term was identical to that used for the 21st century projections. The same surface mass balance coefficients used by the IPCC, based on global average temperature changes over a 1961-2003 baseline were used for the 1900-1904 base period, which likely leads to a slight overestimate of the meltwater here. The effect is negligible though as the meltwater term is a minor contributor to the overall 20th century sea level rise.

403 1) ANNUAL

404 Table 5 shows the projected changes in temperature and precipitation for the 30-year
405 periods centered around the 2020s, 2050s, and 2080s relative to the baseline period. The values
406 shown are the central range (middle 67%) of the projected model-based changes.

407 Figure 6 expands upon the information presented in Table 5 in three ways. First,
408 inclusion of observed data since 1900 provides context on how the scale of projected changes
409 associated with forcing from greenhouse gases and other radiatively important agents compares
410 to historical variations and trends. Secondly, tabulating high and low projections across all 48
411 simulations provides a broader range of possible outcomes, which some stakeholders requested
412 (New York City Climate Change Adaptation Task Force, 2008-2009). Finally, ensemble
413 averaging of results by emissions scenario as they evolve over time is informative to
414 stakeholders involved in greenhouse gas mitigation (and adaptation), since it reveals the large
415 system inertia: not until the 2030s and 2040s do the B1 scenario projections begin to diverge
416 from A2 and A1B, but thereafter it diverges rapidly. Thus, a delay in greenhouse gas mitigation
417 activities greatly increases the risk of severe long-term climate change consequences, despite
418 apparent similarity in the near-term outlook.

419 While the precise numbers in Table 5 and Figure 6 should not be emphasized due to high
420 uncertainty and the smoothing effects of ensemble averaging, the stakeholder sees that in the
421 New York Metropolitan Region: 1) mean temperatures and sea levels are projected to increase in
422 all simulations this century, at rates exceeding those experienced in the 20th century; 2) while
423 precipitation is projected to increase slightly in most simulations, the multi-year precipitation
424 range experienced in the past century due to climate variability exceeds the 21st century climate

425 change signal¹³; and 3) climate projection uncertainties grow throughout the 21st century, in step
426 with uncertainties regarding future emissions and the climate system response.

427

428 2) SEASONAL

429 Warming in the New York City region is of similar magnitude for all seasons in the
430 GCMs, although seasonal projections are characterized by larger uncertainties than annual
431 projections (Fig. 7a). Since interannual temperature variability is smallest in summer this
432 suggests the summer warming may produce the largest departures from historical experience.
433 Some impacts and vulnerabilities are also amplified by high temperatures. Energy demand in
434 New York City is highly sensitive to temperature during heat waves, due especially to increased
435 reliance on air conditioning. This increased demand can lead to elevated risk of power shortages
436 and failures at a time when vulnerable populations are exposed to high heat stress and air
437 pollution (Kinney et al. 2001; Kalkstein 1995; Hill and Goldberg 2001; Hogrefe et al. 2004).

438 GCMs tend to distribute much of the additional precipitation during the winter months
439 (Fig. 7b), when water supply tends to be relatively high and demand relatively low (NYCDEP
440 2008). During September and October, a time of relatively high drought risk, total precipitation
441 is projected to decrease slightly in many models.

442

¹³ The projection lines in Figure 6 depict the ‘predictable’ anthropogenic forcing component, while capturing some of the uncertainty associated with greenhouse gas concentrations and climate sensitivity at specific points in time. Because decadal variability is unpredictable in the Northeast, it was not included in the time-specific projection portion of the figure. It was however emphasized to stakeholders that while interannual variability appears greatly reduced in the projection portion of the figure, the observed portion (black line) reflects the type of unpredictable variations that have been experienced in the past and will likely exist on top of the mean change signal in the future.

443 *b. Sea Level Rise*

444 Addition of the two regional components leads to higher sea level rise projections for the
445 region than the global average (by ~15 cm for end-of-century projections; Meehl et al. 2007b;
446 Peltier 2001). This is due both to land subsidence and higher sea level rise along the northeast
447 U.S. coast, the latter largely due to geostrophic constraints associated with projected weakening
448 of the Gulf Stream (Yin et al. 2009) in many GCMs (Meehl et al. 2007b).

449 As shown in Table 6, the rapid ice melt scenario projections diverge from the IPCC-
450 based approach as the century progresses. The 2100 value of up to ~2 meters associated with
451 this scenario (not shown) is generally consistent with other recent results that roughly constrain
452 sea level rise globally (see e.g., Pfeffer et al. 2008; Rahmstorf, 2007; Horton et al. 2008; Grinsted
453 2009; Rignot and Cazenave 2009) and regionally (see Yin et al. 2009; Hu et al. 2009) to between
454 ~1m and ~2m. The consistency with other studies supports the usefulness of ~2m as a high end
455 for a risk-averse approach to century-scale infrastructure investments including bridges and
456 tunnels, rail lines, and water infrastructure.

457 At the request of agencies that manage some of these long-term investments, two
458 presentations were given to technical staff specifically describing the rapid ice melt methodology
459 and projections. While these and other stakeholders wanted to know the probability of the rapid
460 ice melt scenario relative to the IPCC-based method, it was emphasized that such probability
461 statements are not possible given current scientific understanding.

462

463 *c. Extreme Events*

464 1) STAKEHOLDER PROJECTIONS BASED ON THE DELTA METHOD

465 Table 7 shows projected changes in the frequency of heat waves, cold events, intense

466 precipitation, and coastal flooding in the New York City region. The baseline average number of
467 extreme events per year is shown, along with the central range (middle 67%) of the projections.
468 Because the distribution of extreme events around the (shifting) mean could also change while
469 mean temperature, precipitation, and sea level rise shift, stakeholders were strongly encouraged
470 to focus only on the direction and relative magnitudes of the extreme event changes in Table 7.

471 The key finding for most stakeholders is the extent to which mean shifts alone can
472 produce dramatic changes in the frequency of extreme events, such as heat events and coastal
473 storm surges. Based on the central range, the number of days per year over 90 °F is projected to
474 increase by a factor of approximately three by the 2080s. The IPCC-based sea level rise
475 projections alone, without any changes in the historical storm climatology and surge levels, lead
476 to a more than threefold increase in the frequency of the baseline 1-in-10 year coastal flood event
477 by the 2080s.

478 In contrast to relatively homogeneous mean climate changes, it was emphasized to
479 stakeholders that absolute extreme event projections like days below freezing and days with
480 more than one inch of precipitation vary dramatically throughout the metropolitan region, since
481 they depend for example on microclimates associated with the urban heat island and proximity to
482 the coast. Similarly, maps were generated for stakeholders to show that the surge heights for the
483 open estuary at the Battery are higher than corresponding heights in more protected riverine
484 settings.

485 It was emphasized to stakeholders that due to large interannual variability in extremes,
486 even as the climate change signal strengthens, years with relatively few extreme heat events
487 (relative to today's climatology) will occur. For example, Central Park's temperatures in 2004
488 only exceeded 90°F (~32°C) twice. The delta method suggests that not until the middle of this

489 century would such a relatively cool summer (as 2004) feature more days above 90°F (~32°C)
490 than are typically experienced today.

491 High year-to-year extreme event variability may already give some stakeholders a
492 framework for assessing sector-specific climate change impacts; even if climate adaptation
493 strategies for extremes are not already in place, short-term benefits may be evident to planners.
494 For example, Central Park in 2010 experienced temperatures of higher than 90°F (~32°C), on 32
495 different days, which is consistent with projections for a typical year around mid-century. This
496 suggests that some of the infrastructure impacts of extreme heat (such as voltage fluctuations
497 along sagging power lines and increased strain on transportation materials including rails and
498 asphalt; Horton and Rosenzweig 2010) may have been experienced in 2010 to an extent that may
499 become typical by mid-century. However, adaptation strategies designed for an extreme year
500 today (such as a fixed level of mandatory energy use reductions and a fixed level of reductions of
501 train speeds) may be inadequate or unpalatable in the future due to the increase in frequency,
502 duration and intensity of extreme heat (for example) associated with climate change (see e.g.,
503 Meehl et al. 2009; Tebaldi et al. 2006; Meehl and Tebaldi 2004).

504

505 *2) GCM changes in intra-annual distributions*

506 Since high frequency events are not well-simulated in GCMs, the results described here
507 were not included in the New York City adaptation assessment; they are explored here as an
508 exercise, since there is the possibility of distributional changes in the future. The daily
509 distribution of: a) maximum temperatures¹⁴ in summer (JJA), and b) minimum temperatures in

¹⁴ Precipitation was excluded, based on the preliminary analysis of hindcast daily precipitation described in section 3d.

510 winter (DJF) are analyzed in the three GCMs described earlier (CSIRO, GISS and ECHAM5;
511 section 3d), both for the 1980-1999 hindcast and the 2080-2099 A1B experiment.

512 The results indicate that GCM temperature changes in the region in some cases do reflect
513 more than a shifting mean. The intra-annual standard deviation¹⁵ of winter minima decreases in
514 all three GCMs (in two cases by approximately 10 %), while summer standard deviation changes
515 are negligible. One tail of a season's distribution can be more affected than the other; as shown
516 in Fig. 8 for CSIRO, the winter minimum changes are more pronounced on anomalously cold
517 days than anomalously warm days. All 3 GCMs show a larger shift in the coldest 1% of the
518 distribution than the highest 1%. This asymmetry at the 1% tails is most pronounced in CSIRO,
519 where the future coldest 1% event occurs 8 times more often in the baseline, while the baseline
520 warmest 1% event occurs three times more often in the future.

521

522 *d. Comparison of GCM gridbox based-projections to other downscaling methods*

523 The GCM grid box results used for the New York assessment were compared to
524 statistically downscaled results from Bias-Corrected and Spatially-Downscaled (BCSD) Climate
525 Projections at 1/8 degree resolution derived from the World Climate Research Programme's
526 (WCRP's) Coupled Model Intercomparison Project Phase 3 (CMIP3) multi-model dataset. The
527 BCSD projections are available at: http://gdo-dcp.ucllnl.org/downscaled_cmip3_projections/
528 (Maurer, 2007). Results were also compared to simulations from four pairings of GCMs and
529 RCMs (Table 8) contributing to the North American Regional Climate Change Assessment
530 Program (NARCCAP; Mearns et al. 2009). Comparison of the three methods is limited to the

¹⁵ As calculated separately for each year and then averaged across the 20 years, to minimize the role of interannual variability.

531 2050s timeslice under the A2 emissions scenario relative to the 1970-1999 baseline, since
532 NARCCAP projections are not available for other emissions scenarios or time periods. The
533 comparison focuses on projections rather than validation, since the BCSD methodology by
534 definition includes bias correction whereby the baseline GCM outputs are adjusted to match the
535 observed mean and variance. Preliminary analysis of NARCCAP results indicates that these
536 simulations, like GCM projections, require bias correction.

537 The ensemble mean changes for the GCM gridbox, BCSD, and RCM approaches differ
538 from each other by no more than .3°C for temperature and 3% for precipitation. The intermodel
539 temperature range is slightly larger for the GCM gridbox approach than BCSD, while the
540 opposite is the case for precipitation. The four RCM simulations perhaps not surprisingly feature
541 a smaller intermodel range than the 16 ensemble members for the GCM gridbox and BCSD
542 approaches.

543 The number of days above 90 °F was evaluated as a measure of extremes events. The
544 delta method applied to the GCM gridbox and BCSD¹⁶ produce virtually identical results
545 (increases of approximately 185 and 180 percent respectively in the number of days above 90°F).
546 When actual daily values from RCMs are used the increase is approximately 170 percent. When
547 the delta method from the RCMs is applied to the observations, the increase is approximately
548 195 percent.

549 For mean changes and the daily extreme metric assessed here, BCSD and the four RCMs
550 offer comparable results to the single gridbox GCM approach in the New York Metropolitan
551 Region. Future research will assess how statistical and dynamic downscaling perform in more
552 specialized contexts tailored to unique stakeholder needs that are beyond the scope of New York

¹⁶ At the time of analysis, BCSD is only available at monthly resolution.

553 City initial assessment. For example, reservoir managers concerned with water turbidity might
554 desire information about sequences of days with intense precipitation during particular times of
555 year. Future research will also explore the pros and cons of projections that incorporate highly
556 uncertain modeled changes in interannual variance through time¹⁷.

557

558 **5. Conclusions and Recommendations for Future Work**

559 A framework for climate hazard assessment geared towards adaptation planning and
560 decision support is described. This single GCM gridbox, delta method-based approach, designed
561 for cities and regions smaller than typical GCM gridbox sizes that face resource and time
562 constraints, achieves comparable results in the New York Metropolitan Region to other
563 statistically and dynamically downscaled products. When applied to high frequency historical
564 data, long-term mean monthly climate *changes* (which GCMs are expected to simulate more
565 realistically for point locations than other features such as *actual* long-term mean climate or high
566 frequency statistics) yield dramatic changes in the frequency of stakeholder-relevant climate
567 hazards such as coastal flooding and heat events. While the precise projections should not be
568 emphasized given the uncertainties, they are of sufficient magnitude relative to the historical
569 hazard profile to justify development and initial prioritization of adaptation strategies. This
570 process is now well underway in the New York Metropolitan Region.

571 When climate model results for the New York Metropolitan Region are used only for the
572 calculation of monthly climate change factors based on the differences and ratios between 30-

¹⁷ Preliminary analysis reveals that over the New York Metropolitan Region gridbox a slight majority of the GCMs show increasing interannual variance of monthly T and P, while a large majority of the BCSD and NARCCAP RCM projections do.

573 year future timeslices and a 30-year baseline period, three generalized findings follow. First,
574 using multiple ensemble members from the same GCM provides little additional information,
575 since the 30-year average intramodel ranges are smaller than the comparable inter-model range.
576 Second, the spatial pattern of climate change factors in many regions (including New York City)
577 is sufficiently homogeneous --- relative to the intermodel range --- to justify use of climate
578 change factors from a single overlying GCM gridbox. Finally, for these metrics, newer
579 statistically (BCSD) and dynamically (four NARCCAP RCMs) downscaled products provide
580 comparable results to the GCM single gridbox output used by the NPCC.

581 The checklist in Table 2 provides a series of questions to help inform the selection of the
582 most appropriate climate hazard assessment and projection methods. For example, the delta
583 method is more justified when: 1) robust, long-term historical statistics are available, and 2)
584 evidence of how modes of interannual and interdecadal variability and their local teleconnections
585 will change with climate change is inconclusive. Both these criteria are met in the New York
586 City Metropolitan Region. In contrast, more complex applications (than the delta method) of
587 statistically and dynamically downscaled products especially may be more appropriate when
588 spatially continuous projections are needed over larger regions with complex topography. For
589 example, where a large mountain range is associated with a strong precipitation gradient at sub-
590 GCM gridbox scales, percentage changes in precipitation might also be expected to be more
591 spatially heterogeneous than in the New York Metropolitan Region.

592 Extreme event projections, so frequently sought by stakeholders for impact analysis, will
593 likely improve as statistical and dynamical downscaling evolve. RCMs especially hold promise
594 for assessing how ‘slow’ variations associated with climate change and variability will affect the
595 future distribution of ‘fast’ extremes like subdaily rainfall events. Nevertheless, translating RCM

596 simulations into stakeholder-relevant projections requires many of the same adjustments and
597 caveats described here for GCMs (such as bias correction). Statistical downscaling techniques
598 also hold promise as well for the simulation of extremes (non-stationarity notwithstanding), to
599 the extent that predictor variables are well simulated by GCMs and linkable to policy relevant
600 local climate variables. Projections of extremes will also benefit from improved estimates of
601 historical extremes (such as the 1-in-100 year drought and coastal flood) as long-term tree ring
602 and sediment records (for example) are increasingly utilized.

603 There is also a need for improved simulation of climate variability at interannual to
604 decadal scales, as this is the time horizon for investment decisions and infrastructure lifetime in
605 many sectors, including telecommunications (NPCC, 2010). The limits to such predictability are
606 beginning to be explored in Coupled Model Intercomparison Project (CMIP5) experiments
607 initialized with observed ocean data, but this is a long-term research issue.

608 An absence of local climate projections need not preclude consideration of adaptation.
609 For many locales, climate changes in other regions may rival the importance of local changes by
610 influencing migration, trade, and ecosystem and human health, for example. Furthermore, some
611 hazards such as drought are often regional phenomena, with multi-state policy implications (such
612 as water-sharing agreements). Finally, since climate vulnerability depends on many non-climatic
613 factors (such as poverty), some adaptation strategies (such as poverty-reduction measures) can be
614 commenced in advance of climate projections.

615 Monitoring of climate indicators should be encouraged since it reduces uncertainties and
616 leads to refined projections. Locally, sustained high temporal resolution observation networks
617 can provide needed microclimatic information, including spatial and temporal variation in
618 extreme events such as convective rainfall and storm surge propagation. At the global scale,

619 monitoring of polar ice sheets and global sea level will improve understanding of sea level rise.
620 Periodic assessments of evolving climate, impacts and adaptation science will support
621 flexible/recursive adaptation strategies that minimize the impact of climate hazards while
622 maximizing societal benefits.

623

624 **Acknowledgments**

625 This work was supported by the Rockefeller Foundation. We acknowledge the modeling groups,
626 the Program for Climate Model Diagnosis and Intercomparison (PCMDI) and the WCRP for the
627 CMIP3 multi-model dataset, supported by the Office of Science, U.S. Department of Energy.
628 We thank Jonathan Gregory for additional GCM output not available from the WCRP dataset.
629 We also thank Adam Freed and Aaron Koch from the Mayor's Office of Long Term Planning
630 and Sustainability, and Malcolm Bowman from the NPCC, for comments on prior work that
631 helped inform this paper. Finally with thank the anonymous reviewers of this manuscript.

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915 **FIGURE CAPTIONS**

916 Figure 1: Satellite map of the New York Metropolitan Region. Shown on the map are the
917 Central Park weather station (circle) and The Battery tide gauge (triangle). Source: ESRI World
918 Imagery.

919
920 Figure 2: a) Temperature change (°C) and b) precipitation change (%) for the 2080s timeslice
921 relative to the 1970-1999 model baseline, A1B emissions scenario and 16 GCM ensemble mean.

922
923 Figure 3: a) Mean annual temperature for the New York City region, (°C), 1970-1999 in each of
924 the 16 GCMs, GCM ensemble, Central Park station data and Reanalysis (see methods section for
925 more information). Also shown as hash marks is the interannual standard deviation about the
926 mean for each of the 19 products. b) monthly mean temperature for the New York City region,
927 (°C), 1970-1999. The two observed products, the GCM ensemble average, and four points in the
928 GCM distribution (lowest, 17th percentile, 83rd percentile, and highest) are shown. c) Mean
929 annual precipitation for the New York City region, (cm), 1970-1999 in each of the 16 GCMs,
930 GCM ensemble, and Central Park observations. Also shown as hash marks is the interannual
931 standard deviation about the mean for each of the 18 products. d) monthly mean precipitation for
932 the New York City region, (cm), 1970-1999. Central park observations, the GCM ensemble
933 average, and four points in the GCM distribution (lowest, 17th percentile, 83rd percentile, and
934 highest) are shown.

935
936 Figure 4: Daily distribution (number of days per year) of: a) all-year mean, b) summer (June-
937 August) maximum, and c) winter (December-February) minimum temperature anomalies (°C),

938 1980-1999 for Central Park observations (black line) and three GCMs (CSIRO, GISS, and MPI
939 ECHAM5).

940

941 Figure 5: Daily distribution (number of days per year) of precipitation (mm), 1980-1999 for
942 Central Park observations (black line) and three GCMs (CSIRO, GISS, and MPI ECHAM5). The
943 first bin, containing less than 10 mm, is not shown.

944

945 Figure 6: Combined observed (black line) and projected: a) temperature (°C) and b) annual
946 precipitation (mm). Projected model changes through time are applied to the observed historical
947 data. The three thick lines (red, green, and blue) show the ensemble average for each emissions
948 scenario across the 16 GCMs. Shading shows the central 67 % range across the 16 GCMs and 3
949 emissions scenarios. The bottom and top lines, respectively, show each year's minimum and
950 maximum projections across the suite of simulations. A ten-year filter has been applied to the
951 observed data and model output. The dotted area between 2003 and 2015 represents the period
952 that is not covered due to the smoothing procedure.

953

954 Figure 7: Seasonal a) temperature change (°C) and b) precipitation change (%) projections,
955 relative to the 1970-1999 model baseline, based on 16 GCMs and 3 emissions scenarios. The
956 maximum and minimum are shown as black horizontal lines; the central 67% of values are
957 boxed, and the median is the thick line inside the boxes.

958

959 Figure 8: Daily distribution (number of days per year) of winter (December-February) minimum
960 temperature anomalies (°C), for the New York Metropolitan Region in the CSIRO GCM. Black

961 line, 1980-1999 hindcast; dotted line, 2080-2099 A1B scenario.

962 TABLE 1. Acronym, host center, atmosphere and ocean grid box resolution, and reference for the 16 GCMs used in the analysis.

Model	Institution	Atmospheric Resolution (Lat x Lon)	Oceanic Resolution (Lat x Lon)	References
BCCR	Bjerknes Center for Climate Research, Norway	1.9 x 1.9	0.5 to 1.5 x 1.5	Furevik et al., 2003
CCSM	National Center for Atmospheric Research, USA	1.4 x 1.4	0.3 to 1.0 x 1.0	Collins et al., 2006
CGCM	Canadian Center for Climate Modeling and Analysis, Canada	2.8 x 2.8	1.9 x 1.9	Flato 2005
CNRM	National Weather Research Center, METEO-FRANCE, France	2.8 x 2.8	0.5 to 2.0 x 2.0	Terray et al., 1998
CSIRO	CSIRO Atmospheric Research, Australia	1.9 x 1.9	0.8 x 1.9	Gordon et al., 2002
ECHAM5	Max Planck Institute for Meteorology, Germany	1.9 x 1.9	1.5 x 1.5	Junglaus et al., 2005
ECHO-G	Meteorological Institute of the University of Bonn, Germany	3.75 x 3.75	0.5 to 2.8 x 2.8	Min et al., 2005
GFDL-CM2.0	Geophysical Fluid Dynamics Laboratory, USA	2.0 x 2.5	0.3 to 1.0 x 1.0	Delworth et al., 2006
GFDL-CM2.1	Geophysical Fluid Dynamics Laboratory, USA	2.0 x 2.5	0.3 to 1.0 x 1.0	Delworth et al., 2006

GISS	NASA Goddard Institute for Space Studies	4.0 x 5.0	4.0 x 5.0	Schmidt et al., 2006
INMCM	Institute for Numerical Mathematics, Russia	4.0 x 5.0	2.0 x 2.5	Volodin and Diansky, 2004
IPSL	Pierre Simon Laplace Institute, France	2.5 x 3.75	2.0 x 2.0	Marti, 2005
MIROC	Frontier Research Center for Global Change, Japan	2.8 x 2.8	0.5 to 1.4 x 1.4	K-1 Developers, 2004
MRI	Meteorological Research Institute, Japan	2.8 x 2.8	0.5 to 2.0 x 2.5	Yuikimoto and Noda, 2003
PCM	National Center for Atmospheric Research, USA	2.8 x 2.8	0.5 to 0.7 x 1.1	Washington et al., 2000
UKMO- HadCM3	Hadley Center for Climate Prediction, Met Office, UK	2.5 x 3.75	1.25 x 1.25	Johns et al., 2006

964 TABLE 2. Checklist of questions to inform selection of climate hazard assessment and projection methods

Question	Possible implication for choice of method, plus NYC context
<i>1. Are high quality historical data available for a long time period?</i>	When little high-quality historical climate data are available, options for projections are extremely limited. Records of at least several decades are needed to sample the range of natural variability. As RCMs continue to improve, use of raw outputs from RCMs may increasingly be used in such regions, since bias correction and statistical approaches are not feasible without historical climate data. This was not an issue in data-rich NYC.
<i>2. Are projections needed for the entire 21st century?</i>	If yes, this may preclude RCMs due to computational expense. This was an important consideration for NYC, since some sectors such as telecommunications were focused on the 2020s timeslice, while others such as Port Authority of New York and New Jersey manage infrastructure expected to last until 2100.
<i>3. Are multiple emissions scenarios needed, for example to emphasize how mitigation can compliment adaptation?</i>	If yes, RCMs may not be the best approach, since computational expense generally precludes the use of more than 1-2 scenarios.

This was an important consideration in New York City, since the adaptation effort was part of a broader sustainability effort (PlaNYC) that embraced greenhouse gas mitigation.

4. Are a large group of GCMs and initializations required, in order to sample a broad range of global climate sensitivities and estimates of within-GCM variability, respectively?

If yes, RCMs may not be the best approach, since computational expense generally precludes the use of more than a few GCMs or GCM initializations per RCM. New York City stakeholders expressed interest in the full range of GCM sensitivities.

5. What climate variables are needed, and are they available at the necessary spatial and temporal resolution within public climate model archives?

In NYC, relatively few variables were needed and subdaily information was not required. Additional variable needs at subdaily resolution might argue for the use of RCM archives such as NARCCAP as they continue to be populated, instead of archives such as the first generation of BCSD (monthly temperature and precipitation only). While use of public climate model archives minimizes cost and time, even archived outputs generally require at least some bias and/or scale correction and post-processing for stakeholder applicability.

6. *What level of resources are available, and in what time frame is the information needed?*

Region and question specific tailored downscaling efforts, as opposed to use of archived downscaled products, may not be possible when resources and time are limited. While NYC had substantial resources available, the short time frame (~8 months) precluded developing new tailored downscaling.

7. *Are projections needed for a single in-depth sectoral application and variable in one municipality, or does a large multisectoral and pan-regional group of stakeholders need a coordinated set of scenarios covering a series of standard variables?*

In tailored statistical downscaling the method is optimized to the particular location and/or variable. When many variables and a larger region are included, no single optimization method will generally be best for all variables and locations, potentially leading to inconsistencies in either methods or projections across variables and locations. In NYC, the initial emphasis was on generating a common denominator of consistent scenarios based on consistent methods (the delta method) to facilitate coordination across 40 stakeholder entities.

8. *Are high-frequency climate inputs that are continuous in time and*

If an impacts model is to be run with climate outputs, the range of

space required, such as for input into an impacts model? (e.g., a hydrological model to assess turbidity)

climate and impact results (rather than just the ‘delta’ mean) will likely be of interest, which may argue for a downscaling technique that allows variance to change, such as BCSD.

Statistical downscaling techniques that include weather generators (such as SDSM) may be desirable to create a long record at the needed resolution that includes a range of extreme outcomes for planning purposes. The larger the continuous geographic domain (e.g., a large watershed) the greater the need for caution regarding weather generator treatment of spatio-temporal correlation.

While impacts modeling was not the initial thrust of the NYC CCATF effort, climate scenarios for impact modeling are being developed for specific sectors (e.g., NYCDEP, 2008).

9. Is the region’s climate characterized by large spatial heterogeneity?

If not, applying the delta method to a single GCM gridbox may be justifiable for many applications, as it was in NYC.

10. Are modes of variability important and predictable?

If not, the use of 30-year time slices (and the delta method) that emphasize the signal of greenhouse gases and other radiatively

important agents should be emphasized, as it was in NYC.

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967 TABLE 3. NCAR CCSM climatology of available simulations and CCSM ensemble for the
 968 gridbox covering New York City: a) 1970-1999 hindcast; b) A1B 2080s (2070 to 2099 average)
 969 relative to the same-simulation 1970 to 1999 hindcast.

970 a)

	1970 - 1999	1970 - 1999
	Mean	Mean
	temperature	precipitation
	(°C)	(cm)
CCSM Run1	9.38	98.03
CCSM Run 2	9.27	91.88
CCSM Run 3	9.67	92.08
CCSM Run 5	9.42	94.87
CCSM Run 6	9.64	95.22
CCSM Run 7	9.64	91.30
CCSM Run 9	9.68	94.69
CCSM Ensemble	9.53	94.10

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972 b)

	2080s A1B	2080s A1B
	Temperature	Precipitation
	change (°C)	change (%)
CCSM Run1	3.44	2.81

CCSM Run 2	3.32	10.15
CCSM Run 3	3.03	12.44
CCSM Run 5	3.24	9.75
CCSM Run 6	2.75	9.56
CCSM Run 7	2.96	12.03
CCSM Run 9	3.01	10.36
CCSM		
Ensemble	3.11	9.52

973 TABLE 4. Annual and seasonal temperature (a,b,(°C decade⁻¹)) and precipitation (c,d, (cm
 974 decade⁻¹) trends, and 20th century (a,c) and 1970-1999 (b,d). Shown are observed Central Park
 975 station data, the 16 GCM ensemble, and four points on the GCM distribution (lowest, 17th
 976 percentile, 83rd percentile, and highest).

977 a)

20th century*	Min	16%	83%	Max	Ensemble	Observed
Annual	-0.03	0.02	0.12	0.17	0.07**	0.15**
DJF	-0.04	0.02	0.16	0.19	0.08**	0.20**
MAM	-0.05	-0.02	0.12	0.25	0.06**	0.18**
JJA	-0.02	0.03	0.11	0.15	0.07**	0.12**
SON	0.00	0.03	0.15	0.18	0.09**	0.08

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979 b)

1970 - 1999	Min	16%	83%	Max	Ensemble	Observed
Annual	-0.11	0.10	0.28	0.39	0.18**	0.21
DJF	-0.47	-0.05	0.35	0.51	0.11	0.76
MAM	-0.36	-0.15	0.41	0.74	0.14	0.10
JJA	-0.01	0.13	0.29	0.44	0.20**	0.05
SON	-0.06	0.13	0.50	0.70	0.29**	-0.03

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983 c)

20th century*	Min	16%	83%	Max	Ensemble	Observed
Annual	-1.22	-0.22	0.66	0.76	0.16	1.60
DJF	-0.23	-0.18	0.27	0.78	0.05	0.27
MAM	-0.27	-0.13	0.28	0.39	0.10	0.90
JJA	-0.69	-0.39	0.22	0.35	-0.07	-0.09
SON	-0.25	-0.08	0.32	0.46	0.10	0.61

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985 d)

1970 - 1999	Min	16%	83%	Max	Ensemble	Observed
Annual	-3.52	0.02	2.05	5.73	0.87	-1.77
DJF	-3.21	-0.19	1.48	2.94	0.48	-0.48
MAM	-2.33	-1.37	1.05	1.98	-0.08	1.55
JJA	-2.08	-1.33	1.19	1.75	-0.03	-1.51
SON	-1.72	-0.55	1.89	2.93	0.48	-1.72

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988 * Only 15 GCMs were available for the 1900-1999 hindcast.

989 ** Trend is significant at the 99% level.

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993 TABLE 5. Mean annual changes in temperature and precipitation for New York City.*

	2020s	2050s	2080s
Air temperature **	+ 0.8 to 1.7° C	+ 1.7 to 2.8° C	+ 2.2 to 4.2° C
Precipitation **	+ 0 to 5 %	+ 0 to 10 %	+ 5 to 10 %

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995 * Based on 16 GCMs and 3 emissions scenarios.

996 ** Shown is the central range (middle 67%) of values from model-based distributions;
 997 temperatures ranges are rounded to the nearest tenth of a degree and precipitation to the nearest
 998 5%.

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1012 TABLE 6. Sea level rise projections for New York City^a

	2020s	2050s	2080s
IPCC-based ^b	+ 5 to 13 cm	+ 18 to 30 cm	+ 30 to 54 cm
Rapid ice-melt scenario ^{b,c}	~ 13 to 25 cm	~ 48 to 74 cm	~ 104 to 140 cm

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1014 ^a Based on 7 GCMs and 3 emissions scenarios.

1015 ^b Shown is the central range (middle 67%) of values from model-based distributions rounded to
 1016 the nearest cm.

1017 ^c Rapid ice-melt scenario is based on recent rates of ice melt in the Greenland and West Antarctic
 1018 Ice sheets and paleoclimate studies. See text for details.

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1032 TABLE 7. Extreme Events Projections.

Extreme Event		Baseline (1971- 2000)	2020s	2050s	2080s
Heat & cold events ^a	# of days per year with maximum temperature exceeding:				
	90°F (~32°C)	14	23 to 29	29 to 45	37 to 64
	100°F (~38°C)	0.4 ^b	0.6 to 1	1 to 4	2 to 9
	# of heat waves per year ^c	2	3 to 4	4 to 6	5 to 8
	Average duration (in days)	4	4 to 5	5	5 to 7
	# of days per year with minimum temperature at or below 32°F (0°C)	72	53 to 61	45 to 54	36 to 49
Coastal floods & storms ^{d,e}	1-in-10 yr flood to reoccur, on average	~once every 10 yrs	~once every 8 to 10 yrs	~once every 3 to 6 yrs	~once every 1 to 3 yrs
	Flood heights (in m) associated with 1-in-10 yr flood	1.9	2.0 to 2.1	2.1 to 2.2	2.3 to 2.5
	1-in-100 yr flood to reoccur, on average	~once every 100 yrs	~once every 65 to 80 yrs	~once every 35 to 55 yrs	~once every 15 to 35 yrs

Flood heights (in m)

associated with 1-in-100 yr 2.6 2.7 to 2.7 2.8 to 2.9 2.9 to 3.2
flood

1033 ^a Shown is the central range (middle 67%) of values from model-based distributions based on 16 GCMs
1034 and 3 emissions scenarios.

1035 ^b Decimal places shown for values less than 1 (and for all flood heights)

1036 ^c Defined as 3 or more consecutive days with maximum temperature exceeding 90°F (~32°C).

1037 ^d Does not include the rapid ice-melt scenario.

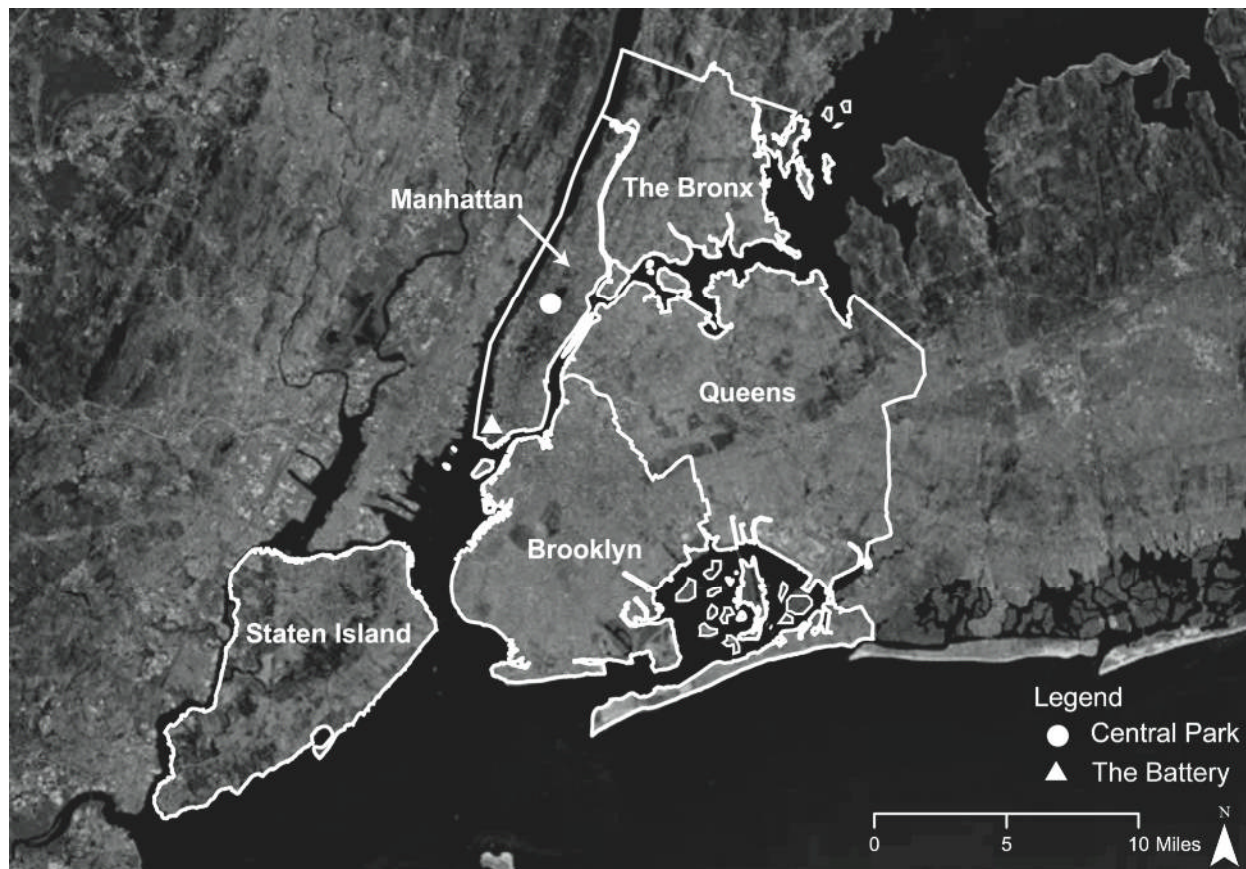
1038 ^e Shown is the central range (middle 67%) of values from model-based distributions based on 7
1039 GCMs and 3 emissions scenarios.

1040 TABLE 8. Global climate model and regional climate model pairings used from NARCCAP

Global Climate Model Driver	Regional Climate Model	Combination	RCM Reference
Geophysical Fluid Dynamics Laboratory (GFDL)	Regional Climate Model Version 3 (RCM3)	RCM3 + GFDL	Pal et al., 2007
Third Generation Coupled Climate Model (CGCM3)	Regional Climate Model Version 3 (RCM3)	RCM3 + CGCM3	Pal et al., 2007
Third Generation Coupled Climate Model (CGCM3)	Canadian Regional Climate Model (CRCM)	CRCM + CGCM3	Caya and Laprise, 1999
Hadley Centre Coupled Model, Version 3 (HadCM3)	Hadley Regional Model 3 / Providing Regional Climates for Impacts Studies (HRM3)	HRM3 + HadCM3	Jones et al., 2004

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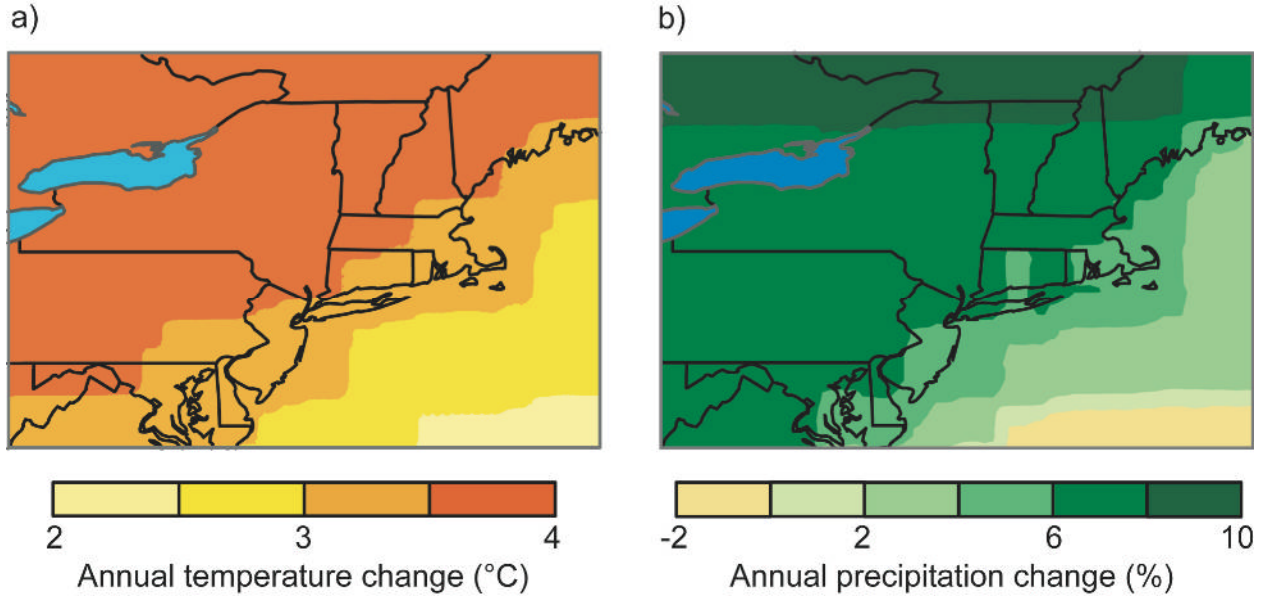
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1045 Figure 1: Locations of the Central Park weather station (circle), and The Battery tide gauge
1046 (triangle), and the 5 boroughs of New York City. Source: ESRI World Imagery.

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1051 Figure 2: a) Temperature change (°C) and b) precipitation change (%) for the 2080s timeslice
1052 relative to the 1970-1999 model baseline, A1B emissions scenario and 16 GCM ensemble mean.
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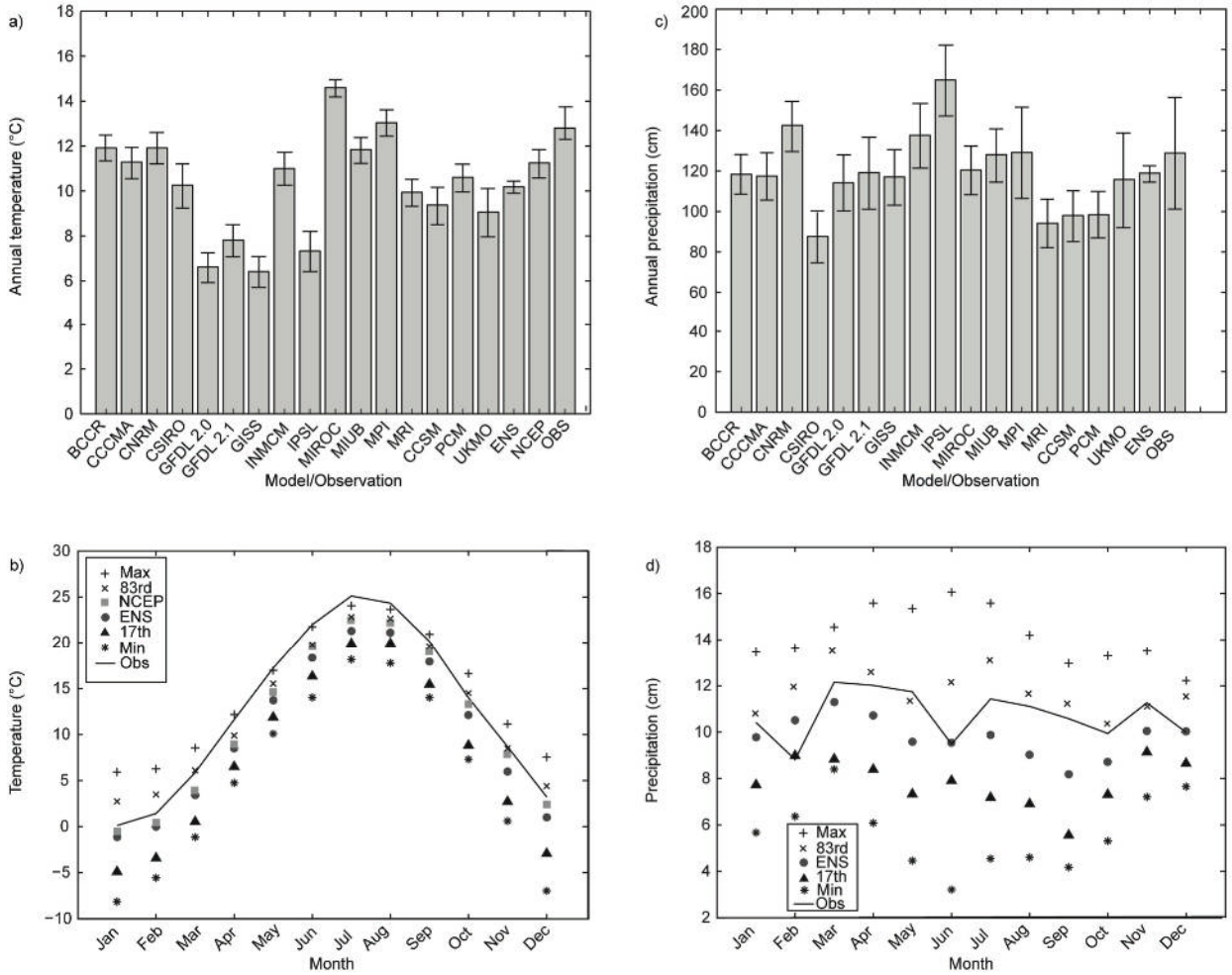
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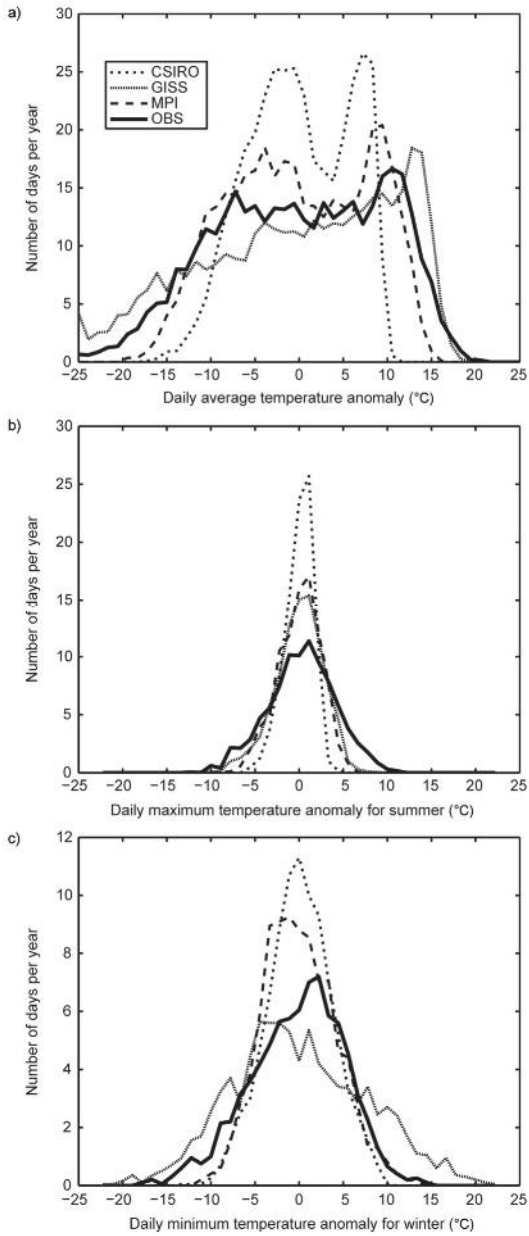
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1069 Figure 3: a) Mean annual temperature for the New York City region, (°C), 1970-1999 in each of
 1070 the 16 GCMs, GCM ensemble, Central Park station data and Reanalysis (see methods section for
 1071 more information). Also shown as hash marks is the interannual standard deviation about the
 1072 mean for each of the 19 products. b) monthly mean temperature for the New York City region,
 1073 (°C), 1970-1999. The two observed products, the GCM ensemble average, and four points in the
 1074 GCM distribution (lowest, 17th percentile, 83rd percentile, and highest) are shown.

1075
 1076 c) Mean annual precipitation for the New York City region, (cm), 1970-1999 in each of the 16
 1077 GCMs, GCM ensemble, and Central Park observations. Also shown as hash marks is the
 1078 interannual standard deviation about the mean for each of the 18 products. d) monthly mean
 1079 precipitation for the New York City region, (cm), 1970-1999. Central park observations, the
 1080 GCM ensemble average, and four points in the GCM distribution (lowest, 17th percentile, 83rd
 1081 percentile, and highest) are shown.

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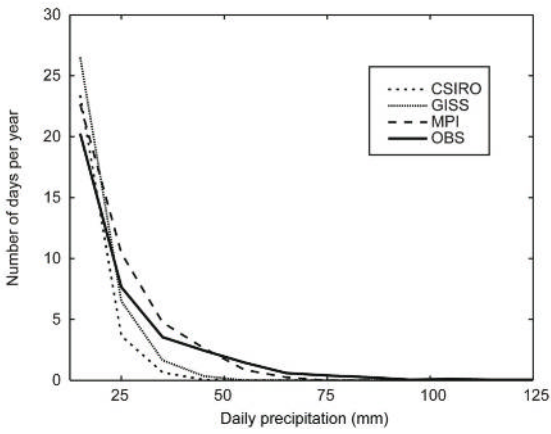
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1089 Figure 4: Daily distribution (number of days per year) of: a) all-year mean, b) summer (June-
1090 August) maximum, and c) winter (December-February) minimum temperature anomalies ($^{\circ}\text{C}$),
1091 1980-1999 for Central Park observations (black line) and three GCMs (CSIRO, GISS, and MPI
1092 ECHAM5).

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1097 Figure 5: Daily distribution (number of days per year) of precipitation (mm), 1980-1999 for
1098 Central Park observations (black line) and three GCMs (CSIRO, GISS, and MPI ECHAM5). The
1099 first bin, containing less than 10 mm, is not shown.

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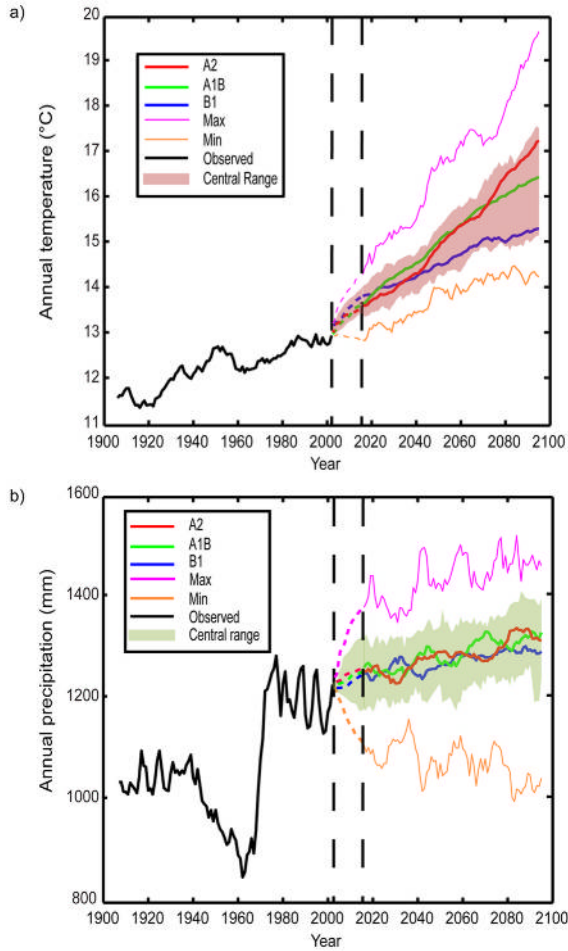
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1116 Figure 6: Combined observed (black line) and projected: a) temperature (°C) and b) annual
1117 precipitation (mm). Projected model changes through time are applied to the observed historical
1118 data. The three thick lines (red, green, and blue) show the ensemble average for each emissions
1119 scenario across the 16 GCMs. Shading shows the central 67 % range across the 16 GCMs and 3
1120 emissions scenarios. The bottom and top lines, respectively, show each year's minimum and
1121 maximum projections across the suite of simulations. A ten-year filter has been applied to the
1122 observed data and model output. The dotted area between 2003 and 2015 represents the period
1123 that is not covered due to the smoothing procedure.

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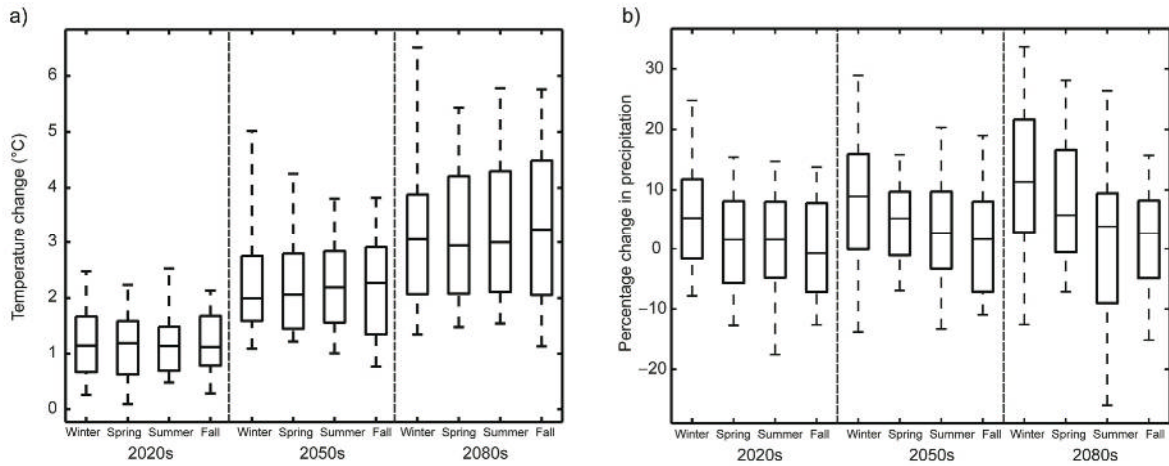
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1132 Figure 7: Seasonal a) temperature change (°C) and b) precipitation change (%) projections,
1133 relative to the 1970-1999 model baseline, based on 16 GCMs and 3 emissions scenarios. The
1134 maximum and minimum are shown as black horizontal lines; the central 67% of values are
1135 boxed, and the median is the thick line inside the boxes.

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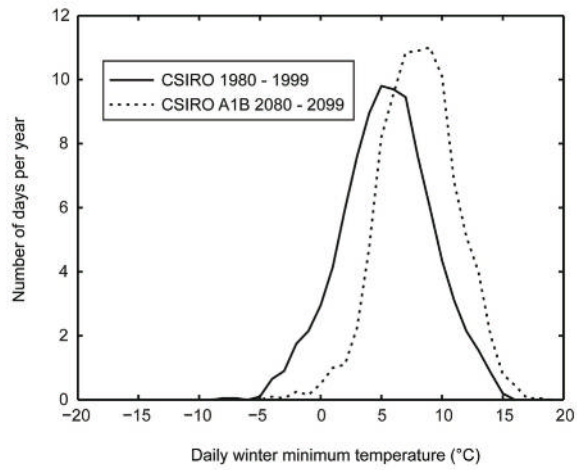
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1151 Figure 8: Daily distribution (number of days per year) of winter (December-February) minimum
1152 temperature anomalies (°C), for the New York Metropolitan Region in the CSIRO GCM. Black
1153 line, 1980-1999 hindcast; dotted line, 2080-2099 A1B scenario.
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