

1 Improvement of the GEOS-5 AGCM upon Updating 2 the Air-Sea Roughness Parameterization

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3 The impact of an air-sea roughness parameterization over the ocean that
4 more closely matches recent observations of air-sea exchange is examined in
5 the NASA Goddard Earth Observing System, version 5 (GEOS-5) atmospheric
6 general circulation model. Surface wind biases in the GEOS-5 AGCM are
7 decreased by up to 1.2m/s. The new parameterization also has implications
8 aloft as improvements extend into the stratosphere. Many other GCMs (both
9 for operational weather forecasting and climate) use a similar class of param-
10 eterization for their air-sea roughness scheme. We therefore expect that re-
11 sults from GEOS-5 are relevant to other models as well.

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1. Introduction

12 The interaction between the ocean surface and the lowest levels of the atmosphere is a
13 crucial component of any atmospheric GCM. The exchange of momentum, moisture, and
14 sensible heat between the ocean and atmosphere occurs on spatial and temporal scales
15 far finer than any GCM can directly simulate. Many models therefore rely on Monin-
16 Obhukov Similarity Theory (MOST) to specify air-sea exchange as a function of bulk
17 winds, temperature, and humidity. Early attempts at quantifying the exchange coeffi-
18 cients underlying MOST were conducted under conditions far removed from that actually
19 experienced in the ocean (e.g. Charnock [1955]; Large and Pond [1981]). Nevertheless,
20 generations of atmospheric models have relied on these earlier measurements for tuning
21 their air-sea roughness scheme. For example, GEOS-5 currently implements Large and
22 Pond [1981] for moderate and strong winds and Kondo [1975] for weak winds [Helfand
23 and Schubert, 1995]. See Table 1 for a description of the schemes in a range of models.

24 More recent in-situ observations have improved our understanding of air-sea exchange
25 over deep ocean waters, especially over high wind regions like the Southern Ocean. In
26 particular, recent field campaigns have measured turbulent exchange over the Southern
27 Ocean, over the Gulf Stream, and over the North Atlantic in high wind speeds (e.g. Edson
28 [2008], Edson, in preparation for Journal of Physical Oceanography, Yelland et al. [1998],
29 Edson et al. [2007], and Banner et al. [1999]). These field campaigns have found that
30 the Charnok parameter appears to increase with wind speed beyond 10m/s, so that a
31 parameterization based on Charnock [1955] or Large and Pond [1981] underestimates the
32 drag on surface winds (section 3c of Fairall et al. [2003]). Recent observations of air-sea

33 exchange imply that the current air-sea roughness scheme in GEOS-5 produces too little
34 drag on surface winds in the range of wind speeds common in the Southern Ocean.

35 Accurate climatologies of surface winds over ocean regions were not available when the
36 current Large and Pond [1981]-based parameterization in the GEOS-5 model was created,
37 but satellite-based climatologies of surface winds are now available (e.g. Chou et al.
38 [2003]). These satellite based climatologies suggest that surface winds in the GEOS-5
39 model are too strong over the Southern Ocean and off the coast of Asia in the North
40 Pacific (Figure 1a-c). As surface winds over the Southern Ocean drive present and future
41 oceanic uptake of CO_2 [Downes et al., 2011; Matebr and Hirst, 1999], it is important to
42 accurately simulate surface climate in this region. The GEOS-5 model is not alone in its
43 poor representation of Southern Ocean surface wind; Barnes and Hartmann [2010] find
44 that the latitude of the Southern Hemisphere jet maxima varies by over 5° in the Coupled
45 Model Intercomparison Project (CMIP3) ensemble, and that such a bias has implications
46 for the response of a GCM to doubled CO_2 . Regional models also have difficulty capturing
47 mesoscale turbulent surface fluxes [Renfrew et al., 2009].

48 This paper discusses efforts to reduce this bias in GEOS-5 by updating the air-sea
49 roughness parameterization from Helfand and Schubert [1995]. Section 2 describes changes
50 made to the model and Section 3 presents results. As other atmospheric GCMs base their
51 air-sea roughness parameterization for momentum exchange on similarly old data, we
52 expect that the reduction in model bias shown here might be common to other GCMs as
53 well.

2. Change to Scheme

We first describe the air-sea roughness scheme in GEOS-5 before discussing the changes made to increase the surface friction. GEOS-5 contains 72 vertical levels, with approximately 8 in the boundary layer [et al., 2008]. Like many atmospheric GCMs, GEOS-5 uses MOST to describe momentum, heat, and moisture flux coefficients in terms of bulk quantities (e.g. zonal wind, specific humidity, and temperature) in the model. The wind stress vector at the surface can be expressed as

$$[\tau_x, \tau_y] = \rho_a v_s C_D \Delta[u, v]; |\tau| = \rho_a u_*^2, \quad (1)$$

where ρ_a is the air density, C_D the transfer coefficient for momentum, v_s the difference between the ocean and atmosphere surface wind speed, $\Delta[u, v]$ is the difference between the ocean and atmosphere surface wind vector, and u^* the friction velocity. MOST computes u^* (and C_D) as a function of bulk parameters via the following equations:

$$\begin{aligned} C_D &= \kappa^2 [\Psi_{MO}(\zeta z_0)]^{-2}, \\ u_* &= C_D^{1/2} v \\ z_0 &= \frac{A_1}{u_*} + A_2 + A_3 u_* + A_4 u_*^2 + A_5 u_*^3 \end{aligned} \quad (2)$$

54 where z_0 is the roughness length, κ is the Von-Karman constant, A_1 through A_5 are tunable
 55 parameters used to match the air-sea roughness scheme to observations, and $\Psi_{MO}(\zeta z_0)$ is
 56 controlled by stability of the air column above. After an initial guess is made at C_D (in
 57 practice C_D assuming neutral stability), Equation 2 is solved iteratively until a new value
 58 for C_D has been reached consistent with the actual stability.

59 Previously, the A_1 through A_5 coefficients were chosen to interpolate between the recip-
 60 rocal relation of Kondo [1975] for weak winds and the piecewise linear relation of Large
 61 and Pond [1981] for moderate to large winds. The key change described by this paper
 62 is that the values for the A_1 through A_5 coefficients are changed so that the roughness

63 length is increased for a given friction velocity. Neither the formulation for $\Psi_{MO}(\zeta z_0)$ nor
64 the coefficients at low wind speeds is changed. For very strong winds (e.g. hurricanes),
65 roughness length no longer increases with wind speed [Molod and Partyka, 2011]. See
66 Table 1 for the coefficients used. Runs with the old polynomial for z_0 are referred to as
67 CONTROL, and runs with the new polynomial for z_0 are referred to as NEW.

68 Figure 2a shows C_{DN10m} as a function of 10m wind speed for the old and new coefficients
69 and in observations. The drag coefficient has been increased beyond the average suggested
70 by the most recent observations but within the uncertainty. We chose the highest drag
71 coefficient justified by the observations to achieve the maximum impact on the GEOS-5
72 wind bias. Any further increase would distance us from the range of observational uncer-
73 tainty. Note that the drag coefficient in Community Atmosphere Model (CAM/CCSM)
74 (dashed red line) appears to be too small. CAM has not upgraded its scheme since version
75 2.0 (Kiehl et al. [1998] versus section 4.11.2 of Neale and et al. [2010]). Figure 2b compares
76 modeled output roughness length and friction velocity for the NEW and CONTROL runs.
77 As expected, surface roughness dramatically increases with the new coefficients. Figure
78 2b also includes curves of $z_0 = \alpha_{Charnok} u_*^2 / g$ [Charnock, 1955] but with different values of
79 the Charnok parameter $\alpha_{Charnok}$. Older measurements suggest values of $\alpha_{Charnok} \sim 0.011$
80 to $\alpha_{Charnok} \sim 0.018$ (see Section 3c of Fairall et al. [2003]). Newer observations (Edson
81 [2008] and Edson, manuscript in preparation for Journal of Physical Oceanography) would
82 imply a higher $\alpha_{Charnok}$.

83 This change has been implemented in GEOS-5. Several GEOS-5 atmosphere-only sim-
84 ulations with the old and new coefficients were performed to examine the impact of the
85 increased drag:

- 86 1. 2x2.5 degree 30 year runs with interactive stratospheric chemistry,
- 87 2. 2x2.5 degree 12 year run without interactive stratospheric chemistry,
- 88 3. 1x1.25 degree 25 year run without interactive stratospheric chemistry,
- 89 4. a series of 1/4 degree 5-day forecasts.

90 All simulations showed similar impact of the new roughness parameterization, and we
91 will focus here on results from the 30 year run with stratospheric chemistry. CONTROL
92 and NEW differ only in the air-sea roughness scheme; all other models settings are fixed.
93 A Student-T two-tailed test is used to assess statistical significance. Each year is taken as
94 one degree of freedom. Surface winds and surface stress from Version 2 of the Goddard
95 Satellite-Based Surface Turbulent Fluxes (GSSTF) Data [Chou et al., 2003] are used to
96 validate the model. We now address the impact of this change in the air-sea roughness
97 parameterization on bulk quantities in the model.

3. Results

98 We now discuss how the change in friction influences the momentum budget in the
99 model. The exchange coefficient for momentum increases over most oceanic regions, with
100 the strongest increase over the Southern Ocean (Figure 3b). Biases in surface wind are
101 reduced across the ocean regions in response to the altered surface roughness coefficients
102 (Figure 1c-d). Winds over the Southern Ocean decrease by over 1m/s, but winds are

103 reduced over most ocean covered regions. Surface sea level pressure biases are also reduced
104 (not shown), consistent with the wind speed improvement.

105 Figure 3c-f shows zonal surface stress on the ocean. Changes in surface stress are
106 smaller than changes in either C_D or wind speed, as might be expected from Equation
107 1. Namely, the decrease in wind speed and increase in C_D largely balance each other,
108 so that their product is nearly constant. Nevertheless, the changes are significant in the
109 Southern Hemisphere, whereby surface stress on the Southern Ocean is increased while
110 surface stress further equatorward is decreased. The change is particularly strong in the
111 Indian Ocean/Australia region. Biases in the control run are partially ameliorated. Runs
112 in which the atmosphere is coupled to a full ocean are planned in order to understand the
113 potential impact on the ocean circulation.

114 These changes in surface stress imply anomalous eddy momentum flux convergence aloft,
115 as vertically averaged $\frac{\partial \overline{u'v'}}{\partial y}$ must balance surface friction for a steady state surface jet (Held
116 [1975] and section 12.1 of Vallis [2006]). Figure 4 shows that poleward momentum flux
117 is increased throughout the upper troposphere, as implied by the dipole of surface stress.
118 Eddies are fluxing more momentum poleward in order to counteract the weakening of the
119 surface jet. Associated with this change in momentum flux are statistically significant
120 improvements in extratropical forecasting skill (not shown).

4. Conclusions

121 The old air-sea roughness scheme in GEOS-5 is based on 30-year old observational
122 data, but newer data suggests seas are rougher. Associated with the old parameterization
123 are overly strong surface winds. By incorporating more recent observations of air-sea

124 exchange into the model's air-sea roughness scheme, we have improved the surface climate
125 in the GEOS-5 AGCM. Preliminary results indicate that the improvement is present at
126 resolutions up to 1/4 degree.

127 Modifying the air-sea roughness parameterization leads to statistically significant
128 changes in cloud distribution, heat flux, stratospheric ozone, and planetary wave driving
129 of the stratosphere. Presentation of these changes, a discussion of the surface moisture
130 and sensible heat budgets, and further diagnostics on the tropospheric momentum budget,
131 will be reported in detail in a future paper. The microphysics scheme in all runs con-
132 sidered does not include interactive aerosols; preliminary results indicate that including
133 interactive aerosols along with this change in surface roughness leads to large changes in
134 sea salt aerosol concentration and subsequent cloud formation.

135 Other atmospheric GCMs appear to use a similar scheme to parametrize the exchange
136 of momentum, heat, and moisture with the ocean. We expect that biases in these other
137 models might be reduced if these models were retuned to more closely match available
138 observations.

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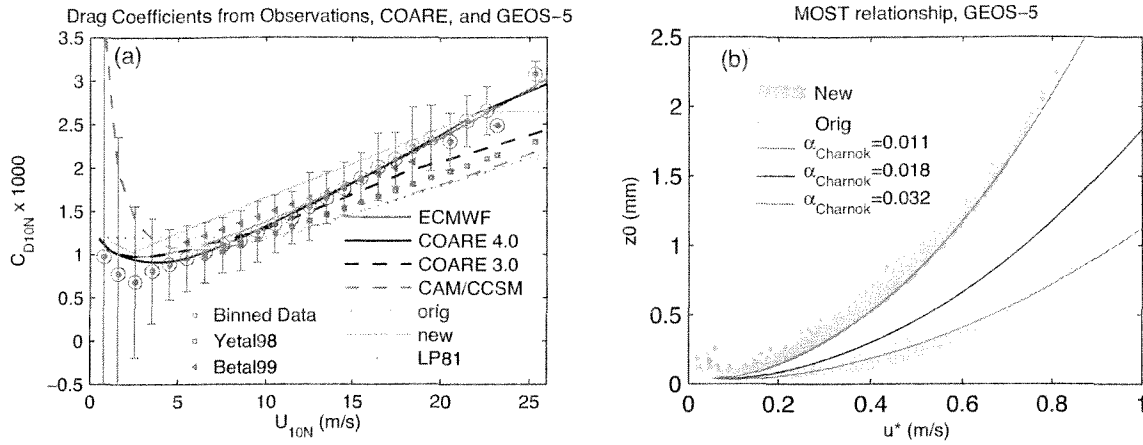


Figure 2. (a) Neutral drag coefficient for momentum exchange at the ocean surface (C_{Dn10m}) as a function of wind speed at 10m in observations [Banner et al., 1999; Yelland et al., 1998] and in models. COARE3.0, COARE4.0, ECMWF wave model (i.e. not the uncoupled atmospheric model as in Table 1), and binned data are based on Edson [2008] and Edson, personal communication. Error bars for binned data denote 1 standard deviation. Model results are from CAM2.0-CAM5 [Kiehl et al., 1998], and the original and new curves from GEOS-5. (b) Relationship between friction velocity (u^*) and roughness length(z_0) over all ocean gridpoints averaged over one day of GEOS-5 model output. Isolines of $z_0 = \alpha_{Charnok} u^{*2} / g$ [Charnock, 1955] but with different values of the Charnok parameter $\alpha_{Charnok}$ are included for comparison.

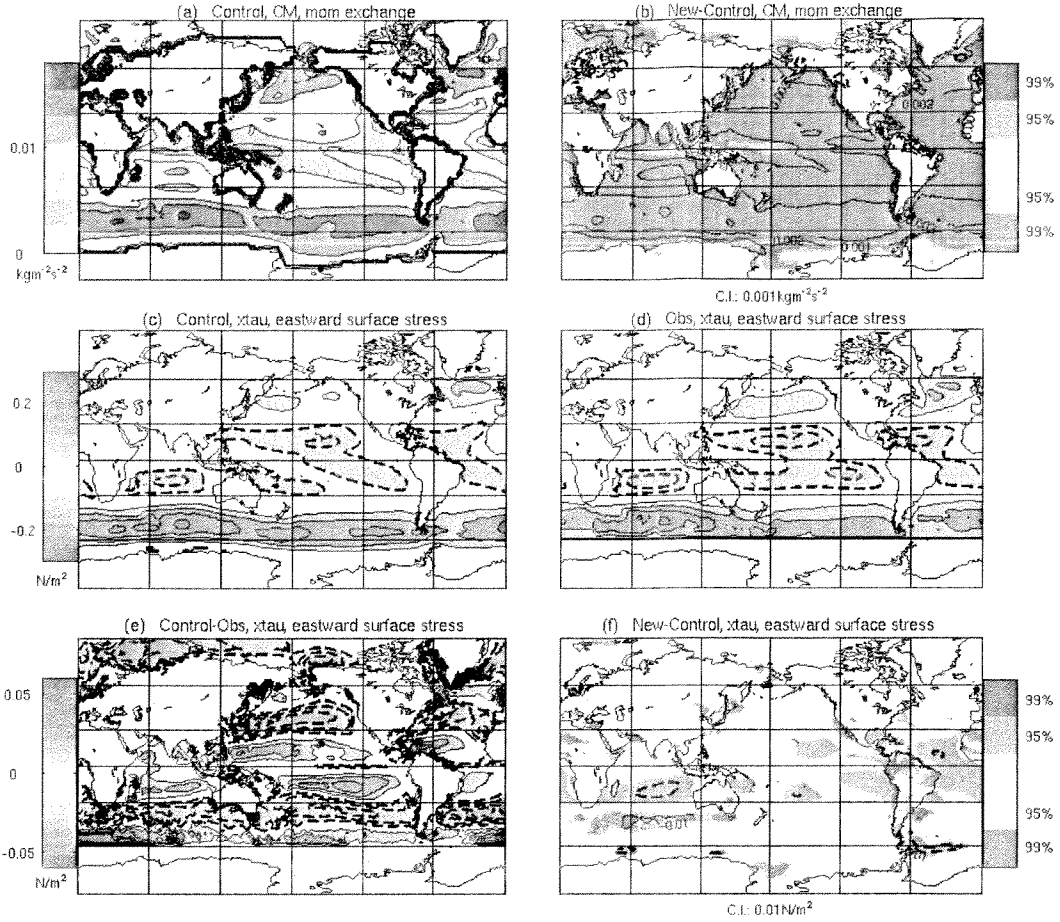


Figure 3. (a,b) C_m , drag coefficient for momentum exchange at the surface ($C_m = C_D \cdot \text{surface wind speed}$) in the control run and in the new run minus the control. Contour interval is $5 \cdot 10^{-3} kgm^{-2}s^{-2}$ for (a) and $10^{-3} kgm^{-2}s^{-2}$ for (b). (c-f) Eastward surface stress at the surface in the control run (c), observations (d), control-observations (e), and new-control (f). Contour interval is $5 \cdot 10^{-2} Nm^{-2}$ for (c) and (d) and $10^{-2} Nm^{-2}$ for (e) and (f). Regions with anomalies whose statistical significance exceeds 95% are in color in (b) and (f). The zero contour is omitted and negative contours are dashed.

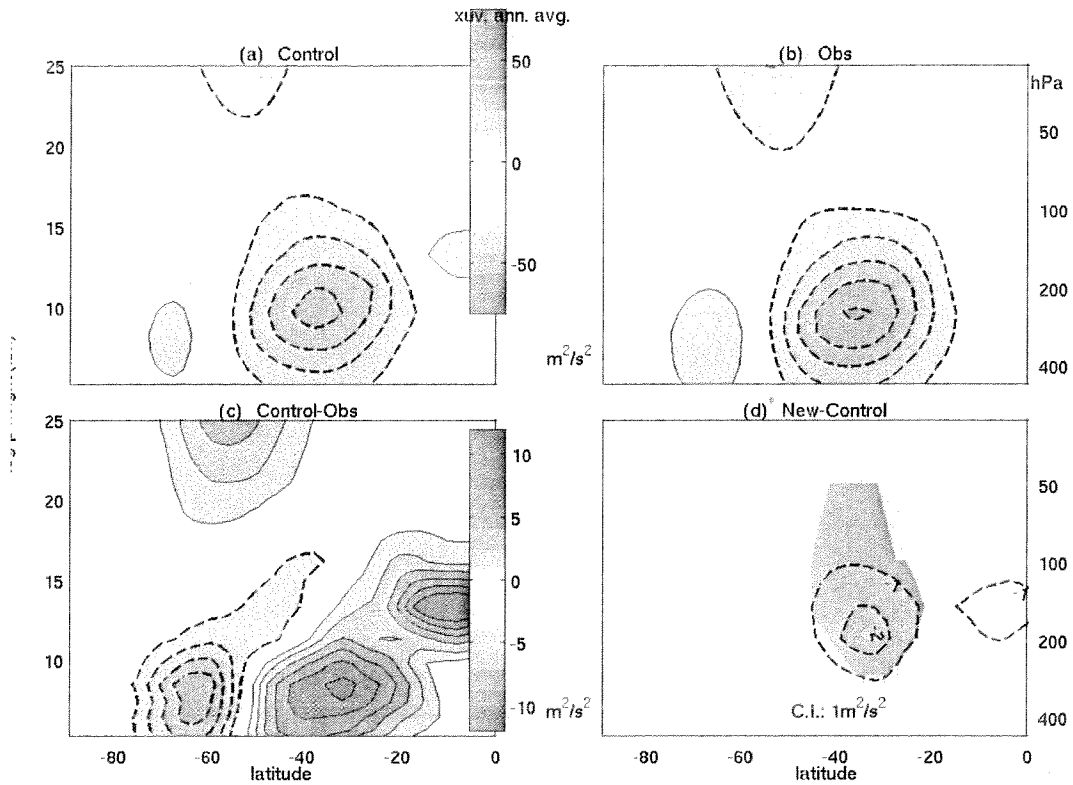


Figure 4. Momentum flux ($\overline{u'v'}$) latitude-height cross section. Contour interval is $12.5m^2s^{-2}$ for (a) and (b), $2m^2s^{-2}$ for (c), and $1m^2s^{-2}$ for (d). The zero contour is omitted and negative contours are dashed. Regions with anomalies whose statistical significance exceeds 95% (95%) are in light(dark) blue in (d).

(a) Momentum exchange over oceans in different GCMs.

Modeling Group	source	description
CAM2	Kiehl et al. [1998]	based on Large et al. [1994]
CAM5	p.181 of Neale and et al. [2010]	Large et al. [1994]
GEOS-5 (old)	Helfand and Schubert [1995]	Large and Pond [1981]
AM2.0	model development team [2004]	Beljaars [1995], $\alpha_{Charnok} = 0.018$
WRF(MM5)	page 72 of Skamarock et al. [2008]	based on Charnock [1955]
uncoupled ECMWF	page 38 of for Medium-Range Weather Forecasts [2010]	based on Charnock [1955], $\alpha_{Charnok} = 0.018$

(b) Coefficients for MOST Scheme equation relating u^* to z_0 ($z_0 = \frac{A_1}{u^*} + A_2 + A_3 u^* + A_4 u^{*2} + A_5 u^{*3}$)

		A_1	A_2	A_3	A_4	A_5
control	$u^* < 0.0632456$	$0.2030325E-5$	0	0	0	0
	$0.0632456 < u^* < 0.381844$	$-0.402451E-08$	$0.239597E-04$	$0.117484E-03$	$0.191918E-03$	$0.395649E-04$
	$0.381844 < u^*$	$-0.237910E-04$	$0.228221E-03$	$-0.860810E-03$	$0.176543E-02$	$0.784260E-04$
new	$u^* < 0.0632456$	$0.2030325E-5$	0	0	0	0
	$0.0632456 < u^*$	$-1.102451E-08$	$0.1593E-04$	$0.1E-03$	$2.918E-03$	$0.695649E-04$

Table 1. The Community Atmosphere Model (CAM) has not upgraded its scheme since version 2.0 (Kiehl et al. [1998]

versus section 4.11.2 of Neale and et al. [2010]).