



# Quality Issues in Multi-sensor Aerosol Level 3 Satellite Data

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# Overview

- Why Level 3 data and what is missing in L3?
- Quality needs: fitness for purpose
- Level 3 quality aspects
- Biases: sampling and processing-related
- Perspectives of Data Quality: Pixel vs. Product
- What is Level 3 validation?
- What needs to be done? **A framework for consistent assessment and quantification of Level 3 data quality**



# Why use Level 3 products?

- Satellite Level 2 data are difficult to work with:
  - Complex formats
  - Complicated projection (swath)
  - Data volume
  - Number of files, etc., etc.
- Level 3 products are widely used by modelers, application users, climate change scientists
- Level 3 data **are easy to use ... but how good are these data for various purposes?**

*Challenge:* to answer a typical data user question:  
**Which product is better for me?**



## Why now? What has changed?

- Growing attention to Climate change
- More models needs validation
- Revolutionary progress in data systems → dealing with data from many different sensors finally has become a reality.

Only now, a systematic approach to remote sensing quality is on the table.



# Data quality needs: fitness for purpose

- Measuring Climate Change:
  - Model validation - **gridded contiguous** data **with uncertainties** in grid cells
  - Long-term time series – **bias assessment** is the must , e.g., sensor degradation, orbit and spatial sampling change (e.g., changing cloud cover over tropical oceans due to El-Nino)
- Studying phenomena using multi-sensor data:
  - **Consistently processed and presented** data with **quality** information
- Realizing Societal Benefits through Applications:
  - Near-Real Time for transport and event monitoring - in some cases, **coverage and timeliness might be more important** that accuracy
  - Pollution monitoring (e.g., air quality exceedance levels) – **accuracy**
- Educational (users generally not well-versed in the intricacies of quality; just taking all the data as usable can impair educational lessons) – **only the best** products



# Why is it so complicated for Level 3?

## Historical reasons

- Usually, **Science Teams are tasked** to produce & validate **Level 2** data
- **Usability of L3** data usually is **not a high priority for Science Teams**
- **Level 3** products are **treated** mostly as just **imagery**, to assess gross features and variability of geophysical parameters
- L3 data are **constructed differently** for **different instruments**
- **L2 uncertainty** usually **not propagated to L3**
- The L3 “validation”, in most cases, is done by either comparing with point data or consistency checking with L3 data from other sensors or models, or just **declaring it “validated”** if **L2 data are**
- **No consistent efforts to characterize & quantify L3 uncertainties across sensors** besides some individual efforts



# Addressing Level 3 data “quality”

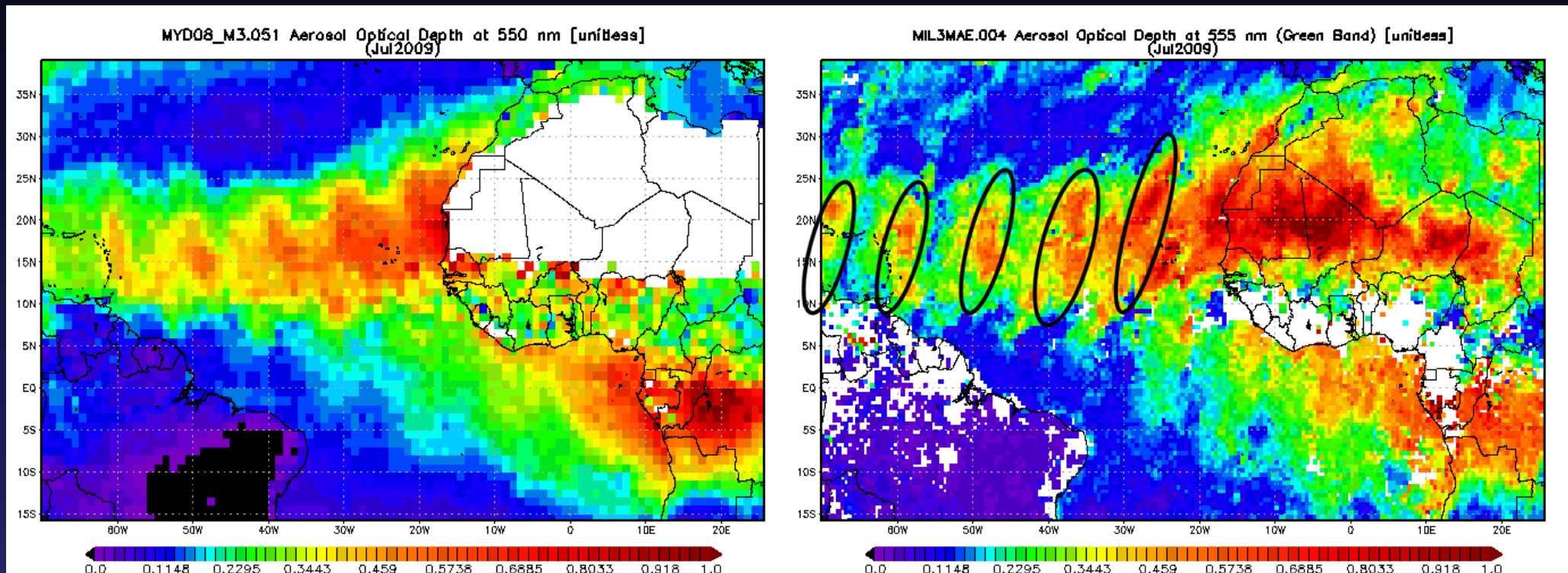
- Terminology: Quality, Uncertainty, Bias, Error budget, etc.
- Quality aspects (examples):
  - Completeness:
    - *Spatial* (MODIS covers more than MISR)
    - *Temporal* (Terra mission has been longer in space than Aqua)
    - *Observing Condition* (MODIS cannot measure over sun glint while MISR can)
  - Consistency:
    - *Spatial* (e.g., not changing over sea-land boundary)
    - *Temporal* (e.g., trends, discontinuities and anomalies)
    - *Observing Condition* (e.g., exhibit variations in retrieved measurements due to the viewing conditions, such as viewing geometry or cloud fraction)
  - Representativeness:
    - Neither pixel count nor standard deviation fully express how representative the grid cell value is
    - Example from R. Kahn: for global,  $\sim 1^\circ \times 1^\circ$  AOD, in general, MISR data need to be aggregated to  $\sim 3$ -month sampling to converge with MODIS



# Spatial and temporal sampling – how to quantify to make it useful for modelers?

MODIS Aqua AOD July 2009

MISR Terra AOD July 2009



- **Completeness:** MODIS dark target algorithm does not work for deserts
- **Representativeness:** monthly aggregation is not enough for MISR and even MODIS
- **Spatial sampling patterns are different for MODIS Aqua and MISR Terra:** “pulsating” areas over ocean are oriented differently due to different direction of orbiting during day-time measurement → *Cognitive bias*





# Is L3 quality different from L2 quality?

- If L2 errors are known, the corresponding L3 error can be **computed, in principle**
- Processing from L2 → L3 daily → L3 monthly **may reduce random noise** but can also **exacerbate systematic bias** and **introduce additional sampling bias**
- However, at best, **standard deviations** (mostly reflecting variability within a grid box), and sometimes **pixel counts and quality histograms** are provided
- **Convolution of natural variability with sensor/retrieval uncertainty and bias** – need to understand their relative contribution to differences between data
- This does not address sampling bias



## Differences in L3 from different sensors due to processing

- **Spatial and temporal binning (L2 → L3 daily)** leads to *Aggregation bias*:
  - Measurements (L2 pixels) from one or more orbits can go into a single grid cell → different within-grid variability
  - Different weighting: pixel counts, quality
  - Thresholds used, i.e., > 5 pixels
- **Data aggregation (L3D → L3monthly → regional → global)**:
  - Weighting by pixel counts or quality
  - Thresholds used, i.e., > 2 days

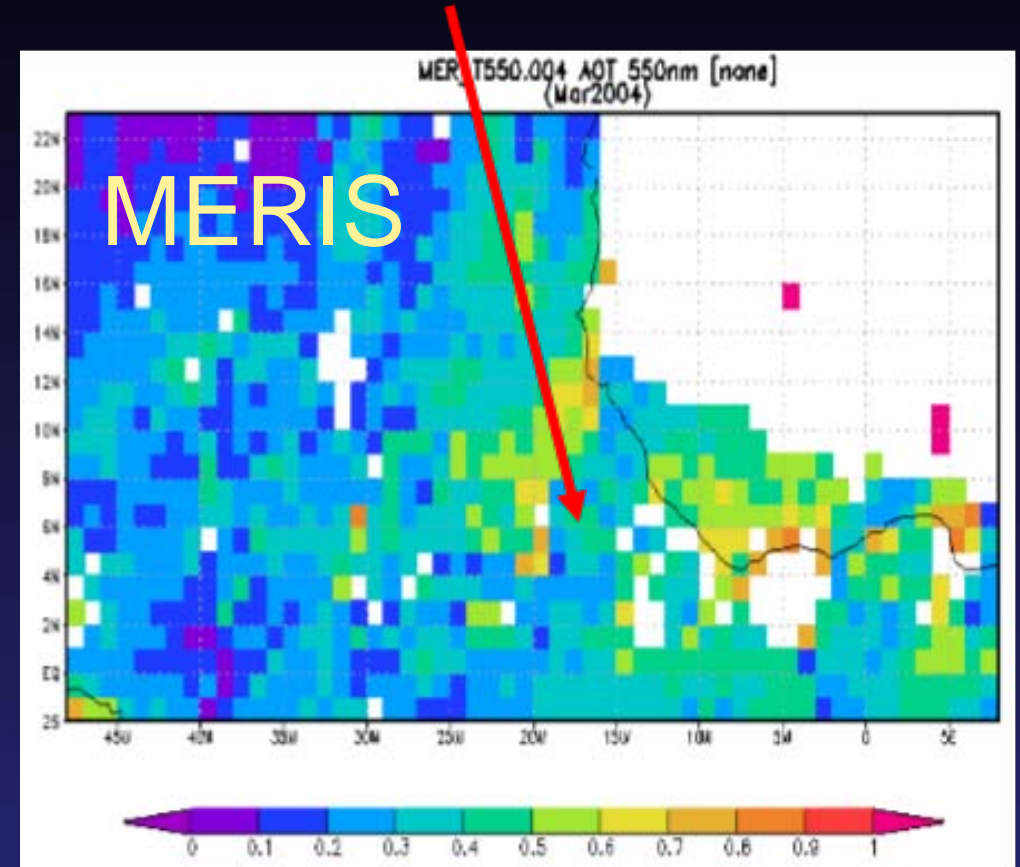
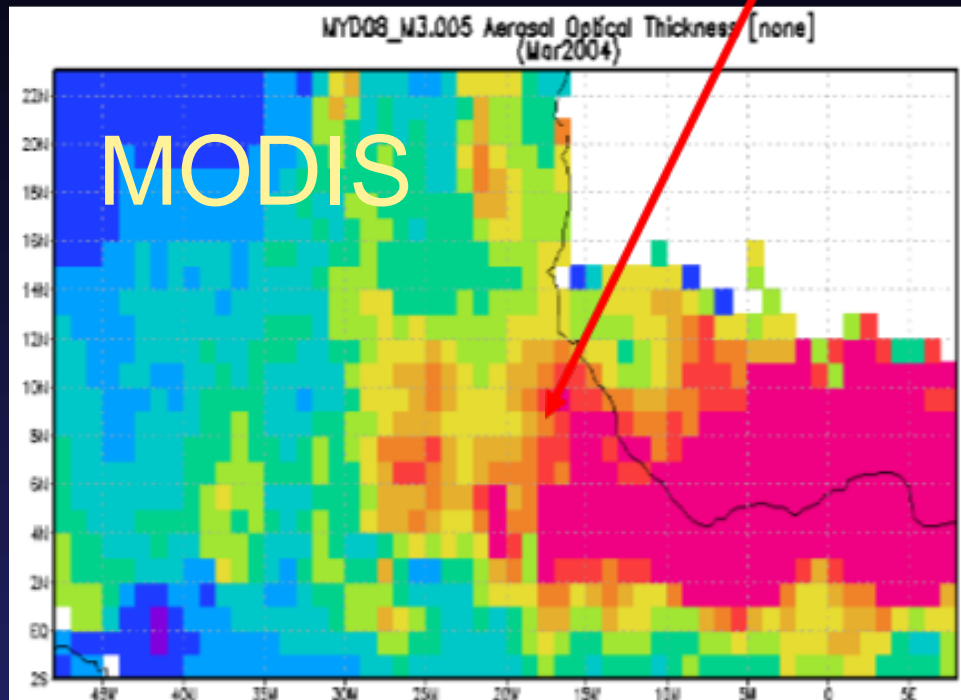
*While these algorithms have been documented in ATBD, reports and papers, the typical data user is not immediately aware of how a given portion of the data has been processed, and what is the resulting impact*



# Case 1: MODIS vs. MERIS

Same parameter

Same space & time



## Different results - why?

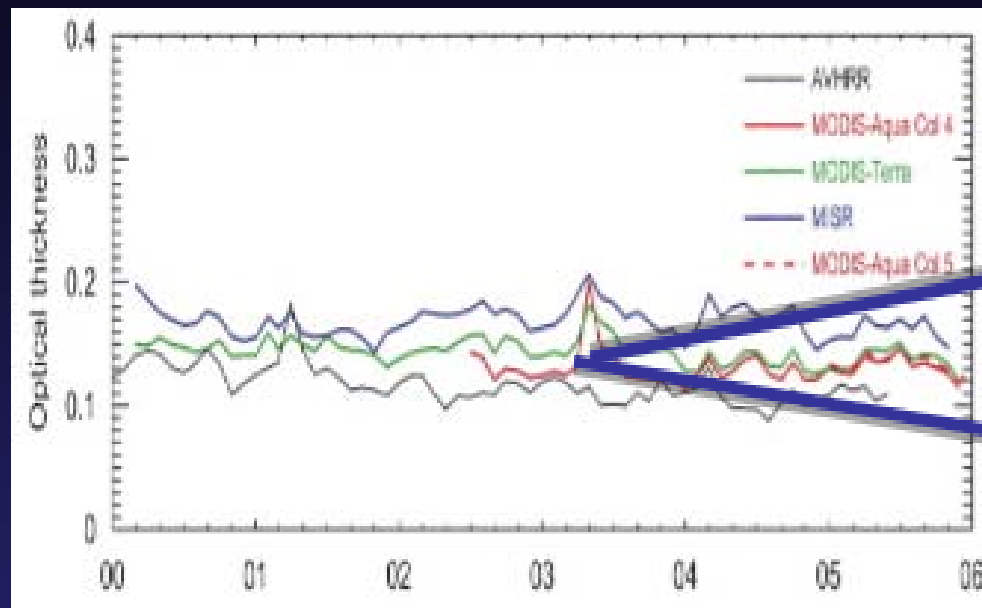
A threshold used in MERIS processing effectively excludes high aerosol values. *Note: MERIS was designed primarily as an ocean-color instrument, so aerosols are "obstacles" not signal.*



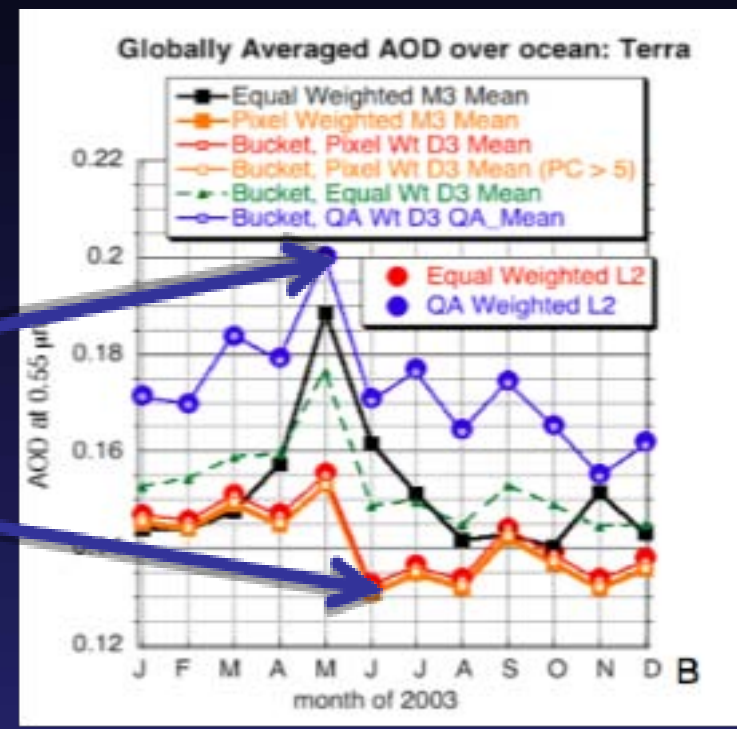
# Case 2: Aggregation

AOD difference between sensors

MODIS Terra only AOD: difference between diff. aggregations



Mishchenko et al., 2007

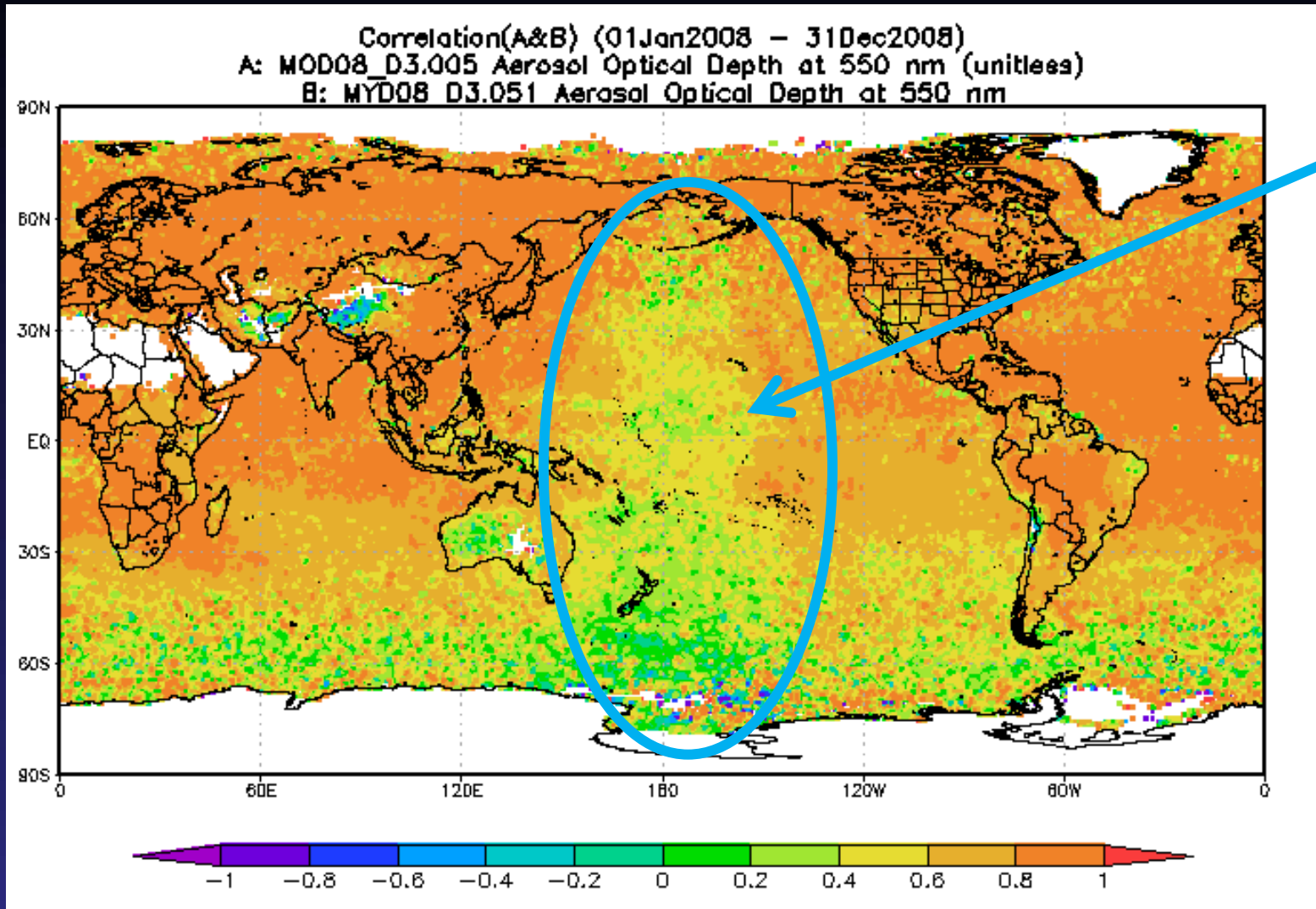


Levy, Leptoukh, et al., 2009

The AOD difference can be up to 40% due to differences in aggregation



# Case 3: DataDay definition



MODIS-Terra vs. MODIS-Aqua: Map of AOD temporal correlation, 2008

MODIS Level 3 dataday definition leads to artifact in correlation





# Different kinds of reported and perceived data quality

- **Pixel-level** Quality (reported): algorithmic guess at usability of data point (some say it reflects the algorithm “happiness”)
  - Granule-level Quality: statistical roll-up of Pixel-level Quality
- **Product-level** Quality (wanted/perceived): how closely the data represent the actual geophysical state
- **Record-level** Quality: how consistent and reliable the data record is across generations of measurements

*Different quality types are often erroneously assumed having the same meaning*

*Different focus and action at these different levels to ensure Data Quality*



# General Level 2 Pixel-Level Issues

- How to extrapolate validation knowledge about selected Level 2 pixels to the Level 2 (swath) product?
- How to harmonize terms and methods for pixel-level quality?

## AIRS Quality Indicators

## MODIS Aerosols Confidence Flags

- 0 Best
- 1 Good
- 2 Do Not Use

*Data Assimilation*  
*Climatic Studies*



<u>Ocean</u>		<u>Land</u>	
3	Very Good	3	Very Good
2	Good	2	Good
1	Marginal	1	Marginal
0	Bad	0	Bad

Match up the recommendations?

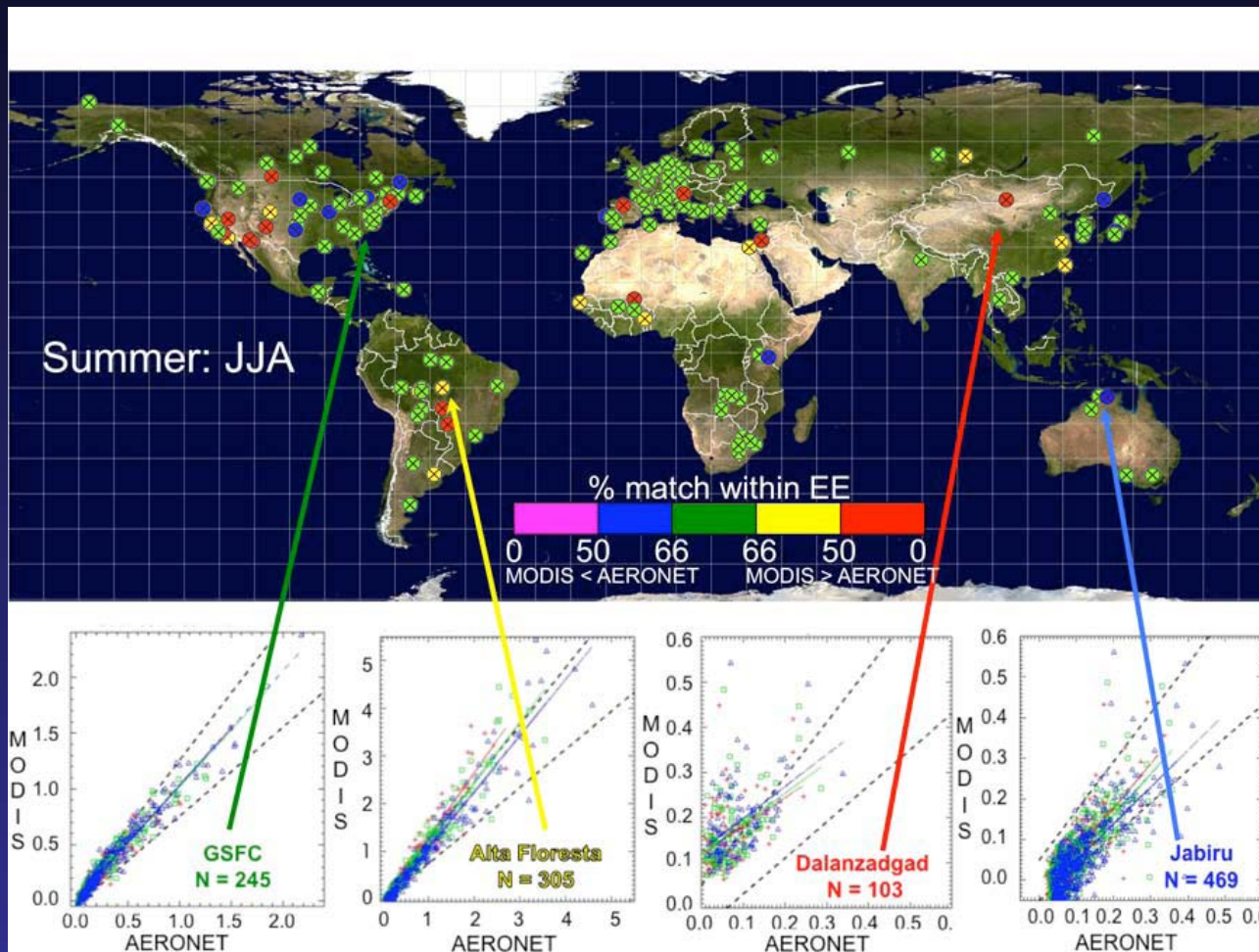
*Use these flags in order to stay within expected error bounds*

<u>Ocean</u>	<u>Land</u>
$\pm 0.03 \pm 0.10$ t	$\pm 0.05 \pm 0.15$ t



# Data Quality Issues

- Validation of aerosol data show that not all data pixels labeled as “bad” are actually bad if looking at from a bias perspective.
- But many pixels are biased differently due to various reasons



From Levy et al, 2009





# Percent of Biased Data in MODIS Aerosols Over Land Increase as Confidence Flag Decreases



\*Compliant data are within  $\pm 0.05 \pm 0.2\tau_{\text{Aeronet}}$

Statistics from Hyer, E., J. Reid, and J. Zhang, 2011, An over-land aerosol optical depth data set for data assimilation by filtering, correction, and aggregation of MODIS Collection 5 optical depth retrievals, Atmos. Meas. Tech., 4, 379-408, doi:10.5194/amt-4-379-2011.



# Factors contributing to uncertainty and bias in L2

- *Physical*: instrument, retrieval algorithm, aerosol spatial and temporal variability, measuring geometry ...
- *Input*: ancillary data used by the retrieval algorithm
- *Classification*: erroneous flagging of the data
- *Simulation*: the geophysical model used for the retrieval
- *Sampling*: the averaging within the retrieval footprint

Borrowed from the SST study on error budget



# Why can't we just apply L2 quality to L3?

*Aggregation to L3 introduces new issues where aerosols co-vary with some observing or environmental conditions:*

- ***Spatial***: sampling polar areas more than equatorial
- ***Temporal***: sampling one time of a day only (*not obvious when looking at L3 maps*)
- ***Vertical***: not sensitive to a certain part of the atmosphere thus emphasizing other parts
- ***Contextual***: bright surface or clear sky bias
- ***Pixel Quality***: filtering or weighting by quality may mask out areas with specific features



# Validation of Level 3

- Usual:
  - Level 2: regress against the truth
  - Level 3: aggregate and then regress against the aggregated truth?
- Comparing a mean value in 1 deg grid box with data from stations in the same big area → *representativeness bias*
  - Increasing aggregation: spatial over satellite data and temporal over station data – works well only for large homogenous fields
- Comparing variance in the data with knowledge about atmospheric variability. *Comparison of retrieved maps with climatology can indicate systematic effects*
- Comparison with models (how ironic!) for initial validation

Doesn't look comprehensive...



# Current initiatives

- NASA puts more emphasis on data quality
- ESA has requirements for providing quality information within the Climate Change Initiative
- 2010 Guideline for the Generation of Datasets and Products Meeting GCOS Requirements
- CEOS QA for Earth Observations (QA4EO) recommendations for capturing uncertainties (do not go beyond Level 1 or 2)
- QUAlity aware VIvisualisation for the Global Earth Observation system of systems (GeoViQua)
- GEWEX panel on aerosols (several incarnations)



# What do we recommend?

## A framework for consistent assessment, capture and presentation of data quality information

- Establish terminology for Level 3 quality and validation (currently it differs from field to field, group to group)
- Harmonize quality across products
- Consistently aggregate to Level 3 to ensure compatibility between data from different instruments
- Directly address and quantify various bias types at product level
- Extrapolate validation knowledge about L2 product quality to Level 3
- Deliver quality information to users of data in a way they can understand and use it
- Extend QA4EO and other efforts to Level 3 data

So we can answer a typical user question:

Which product is better for my purpose?