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**Investigation of the 2006 Drought and 2007 Flood Extremes at the Southern Great  
Plains Through an Integrative Analysis of Observations**

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Re-Submitted to JGR-Atmosphere, July 14, 2010

Short title: SGP drought and flood

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25 **Abstract:** Hydrological years 2006 (HY06, 10/2005-09/2006) and 2007 (HY07, 10/2006-  
26 09/2007) provide a unique opportunity to examine hydrological extremes in the central US  
27 because there are no other examples of two such highly contrasting precipitation extremes  
28 occurring in consecutive years at the Southern Great Plains (SGP) in recorded history. The  
29 HY06 annual precipitation in the state of Oklahoma, as observed by the Oklahoma Mesonet, is  
30 around 61% of the normal (92.84 cm, based on the 1921-2008 climatology), which results in  
31 HY06 the second-driest year in the record. In particular, the total precipitation during the winter  
32 of 2005-06 is only 27% of the normal, and this winter ranks as the driest season. On the other  
33 hand, the HY07 annual precipitation amount is 121% of the normal and HY07 ranks as the  
34 seventh-wettest year for the entire state and the wettest year for the central region of the state.  
35 Summer 2007 is the second-wettest season for the state. Large-scale dynamics play a key role in  
36 these extreme events. During the extreme dry period (10/2005-02/2006), a dipole pattern in the  
37 500-hPa GH anomaly existed where an anomalous high was over the southwestern U.S. region  
38 and an anomalous low was over the Great Lakes. This pattern is associated with inhibited  
39 moisture transport from the Gulf of Mexico and strong sinking motion over the SGP, both  
40 contributing to the extreme dryness. The precipitation deficit over the SGP during the extreme  
41 dry period is clearly linked to significantly suppressed cyclonic activity over the southwestern  
42 U.S., which shows robust relationship with the Western Pacific (WP) teleconnection pattern.  
43 The precipitation events during the extreme wet period (May-July 2007) were initially generated  
44 by active synoptic weather patterns, linked with moisture transport from the Gulf of Mexico by  
45 the northward low level jet, and enhanced by the mesoscale convective systems. Although the  
46 drought and pluvial conditions are dominated by large-scale dynamic patterns, we have  
47 demonstrated that the two positive feedback processes during the extreme dry and wet periods

48 found in this study play a key role to maintain and reinforce the length and severity of existing  
49 drought and flood events. For example, during the extreme dry period, with less clouds, LWP,  
50 PWV, precipitation, and thinner Cu cloud thickness, more net radiation was absorbed and used to  
51 evaporate water from the ground. The evaporated moisture, however, was removed by low-level  
52 divergence. Thus, with less precipitation and removed atmospheric moisture, more absorbed  
53 incoming solar radiation was used to increase surface temperature and to make the ground drier.

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71 **1. Introduction**

72 Drought is the number one weather-related cause of death worldwide and ranks second in  
73 the weather-related causes of property damage within the United States during the past three  
74 decades [*Rauber et al.*, 2008; UCAR 2009]. Drought is defined as "a persistent and abnormal  
75 moisture deficiency having adverse impacts on vegetation, animals, or people" by the National  
76 Drought Policy Commission and is one of the most complicated but least understood natural  
77 hazards. Although quite a few researchers [e.g., *Namias*, 1978; *Trenberth and Branstator*, 1992;  
78 *Trenberth and Guillemot*, 1996; *Schubert et al.*, 2004a&b; *Seager et al.*, 2005] have investigated  
79 the fundamental causes of persistent droughts and linked the U.S. droughts with strong La Niña  
80 conditions in the tropical Pacific, our understanding of drought mechanisms is still limited.  
81 These include the physical "triggers" of a drought, dynamics in maintaining drought, and the  
82 processes that terminate a drought. Therefore, it remains a challenge for us to predict the onset  
83 and demise of a drought.

84 In contrast to persistent drought, flooding is a natural hazard characterized by heavy  
85 precipitation during short-time periods. Flooding ranks first among the weather-related causes of  
86 property damage in the United States and it is also the second largest weather-related cause of  
87 death worldwide. [*Rauber et al.*, 2008; UCAR 2009]. During recent years, floods, in particular,  
88 flash floods (heavy rain in a few hours), have caused billions of dollars in property damage  
89 within the United States. While floods are better understood compared to droughts, there are still  
90 challenges in their predictability because many factors contribute to the occurrences of floods.  
91 Flash floods are often triggered by frontal squall lines in spring and mesoscale convective  
92 systems (MCSs) in summer [*Rauber et al.*, 2008]. Therefore, it is necessary to collect both in-  
93 situ and remotely sensed data with high spatial and temporal resolutions to investigate these

94 intense and short-lived storm complexes. Similar to the La Niña effect on the U.S. droughts,  
95 some studies have suggested teleconnections between the U.S. floods and El Niño events in the  
96 Tropical East Pacific (TEP) [e.g., *Trenberth and Branstator*, 1992; *Trenberth and Gullemot*,  
97 1996; *Seager et al.*, 2005]. While considerable efforts have been made to study the droughts and  
98 floods, the mechanisms by which extremes can be maintained over multiple years have yet to be  
99 established, and relationships between the remote forcing (e.g., TEP sea surface temperatures  
100 SSTs) and the response (U.S. extremes) have not been well understood [*Schubert et al.*, 2004a&b;  
101 *Seager et al.*, 2005].

102 The U.S. Great Plains experienced a number of major droughts and floods during the last  
103 century, most notably the droughts of 1930s, 1950s and 1988 and the floods of 1993 [*Rauber et*  
104 *al.*, 2008; *Schubert et al.*, 2004a&b; *Seager et al.*, 2005]. During hydrological years 2006 (HY06,  
105 10/01/2005-09/30/2006) and 2007 (HY07, 10/01/2006-09/30/2007), droughts and floods  
106 occurred in the U.S. Southern Great Plains (SGP), respectively. The annual and seasonal  
107 precipitation amounts and their severities during HY06 and HY07 from the Oklahoma (OK)  
108 Climatological Survey are listed in Table 1 and will be discussed in Section 3.1. There are no  
109 other examples of two such highly contrasting hydrological extremes occurring in consecutive  
110 years, i.e. a dry year followed by a wet year, and no other more comprehensive dataset available  
111 in history concerning the droughts and floods at the SGP. This tremendous diversity of  
112 observations provides a great opportunity for researchers to investigate the causes of the HY06  
113 drought and HY07 pluvial, and their transitional mechanisms over the SGP region.

114 To investigate the causes and feedbacks of the two highly contrasting hydrological years,  
115 and the impacts of large-scale dynamic and moisture transports from the Gulf of Mexico on these  
116 extreme events, we have collected multiple data sets from surface and satellite observations, as

117 well as reanalyses. These observational results can serve as a baseline for future modeling  
118 studies that aim at simulating the onsets/demises of droughts and floods and the multiple  
119 feedback processes involved in the formation of these hydrological extremes. The ground-based  
120 observations can also serve as ground truth to validate the satellite retrievals, which would  
121 promote broad studies of hydrological extremes using satellite retrievals over the regions without  
122 the ground-based observations. Through an integrative analysis of observed extremes, we  
123 attempt to answer the following four scientific questions in this study:

- 124 *1. Are HY06 and HY07 representative of significant drought and pluvial conditions and, if*  
125 *so, how severe and widespread are the effects?*
- 126 *2. How do large-scale dynamics play a role in these extreme events?*
- 127 *3. To what extent are the severities of the drought and flood affected by cloud and surface*  
128 *energy feedbacks?*
- 129 *4. How are these extreme events linked to the moisture transport from the Gulf of Mexico*  
130 *and cyclonic activity?*

131

## 132 **2. Data**

133 The data sets listed in Table 2 consist mainly of the Department of Energy (DOE)  
134 Atmospheric Radiation Measurement (ARM) [Ackerman and Stokes, 2003] observations at the  
135 SGP Central Facility (SCF, 36.6°N, 97.5°W) from January 1997 to December 2007. Other data  
136 sets, such as the Oklahoma Mesonet, Version 2 Global Precipitation Climatology Project (GPCP)  
137 [Adler et al., 2003], Tropical Rainfall Measuring Mission (TRMM) satellite, National Centers for  
138 Environmental Prediction (NCEP) global reanalysis dataset, and the NASA Modern Era  
139 Retrospective-Analysis for Research and Applications (MERRA) are also included in this study.

## 140 2.1 ARM SCF ground-based observations

141 The ARM observations used in this study include cloud fraction, cumulus (Cu) cloud  
142 thickness, cloud liquid water path (LWP), atmospheric column precipitable water vapor (PWV),  
143 precipitation, net radiation, sensible (SH) and latent heat (LH) fluxes, and surface air temperature  
144 ( $T_{\text{air}}$ ) collected at the ARM SCF during the period 1997-2007. Cloud fraction (CF) is defined by  
145 the percentage of returns that are cloudy within a specified sampling period (e.g., a month), i.e.,  
146 the ratio of the number of hours when radar, lidar and ceilometer all detected clouds  
147 simultaneously to the total number of hours when all measurements were available [Dong *et al.*,  
148 2005 and 2006]. Cloud-top height ( $Z_{\text{top}}$ ) is derived from millimeter wavelength cloud radar  
149 [MMCR, Moran *et al.*, 1998] reflectivity profiles with the uncertainty of 90 m. Cloud-base  
150 height ( $Z_{\text{base}}$ ) is a composite result of Belfort laser ceilometer, Micropluse Lidar (MPL), and  
151 MMCR data [Clothiaux *et al.*, 2000]. Cloud physical thickness ( $\Delta Z$ ) is simply the difference  
152 between  $Z_{\text{top}}$  and  $Z_{\text{base}}$ . The atmospheric PWV and cloud LWP values are retrieved from the  
153 microwave radiometer brightness temperatures measured at 23.8 and 31.4 GHz using a statistical  
154 retrieval method [Liljegren *et al.*, 2001]. The root-mean-square (RMS) errors of LWP retrievals  
155 are about  $20 \text{ g m}^{-2}$  and 10% for cloud LWP below and above  $200 \text{ g m}^{-2}$ , respectively [Dong *et al.*,  
156 2000; Liljegren *et al.*, 2001].

157 The surface precipitation is measured by tipping bucket rain gauge at the ARM SCF.  $T_{\text{air}}$  is  
158 measured by the conventional in situ sensors (2 m above ground) mounted on a 10-m tower at  
159 the ARM SCF site. The SH, LH and net radiation fluxes are measured by the ARM SCF energy  
160 balance Bowen ratio system. The SH and LH fluxes are calculated from observations of net  
161 radiation, soil surface heat flux, and the vertical gradients of temperature and relative humidity.

162

163 **2.2. Other data sets**

164 To investigate the spatial variations of precipitation, we have also collected the datasets  
165 from the Oklahoma (OK) Mesonet system, GPCP, and TRMM over the SGP region. Both GPCP  
166 and TRMM data are averaged over a  $5^{\circ}\times 5^{\circ}$  grid box centered on the ARM SCF site. The OK  
167 Mesonet is a statewide monitoring network, and consists of over 110 automated weather stations  
168 covering the entire state of Oklahoma [*Brock et al.*, 1995]. The OK Mesonet is a system  
169 designed to measure the environment at the size and duration of mesoscale weather events.

170 The monthly GPCP Version 2 precipitation product is used in this study. This product is  
171 produced by merging a variety of satellite and ground precipitation measurements, including  
172 passive microwave retrievals from SSM/I, infrared-based estimates from geostationary satellites,  
173 and gauge observations gridded on  $2.5^{\circ}\times 2.5^{\circ}$  latitude-longitude scale [*Adler et al.*, 2003]. All of  
174 the measurements are combined with inverse error variance weighting to produce the merged  
175 analysis. In this study, the monthly GPCP data are averaged over a grid box of  $5^{\circ}\times 5^{\circ}$  latitude-  
176 longitude covering  $32.5^{\circ}$ - $37.5^{\circ}$ N,  $100^{\circ}$ W- $95^{\circ}$ W during 1997-2007. The TRMM cloud and  
177 precipitation products are also averaged over the same grid box as GPCP during 1998-2007.  
178 Cloud fraction is derived from a combination of measurements from the TRMM Microwave  
179 Imager (TMI) and Visible and Infrared Scanner (VIRS), and precipitation product is the TMI-  
180 based TRMM 2A12 rainfall product [*Kummerow et al.*, 2000].

181 Estimates of radiative heating are obtained from the Hydrologic cycle and Earth's  
182 Radiation Budget (HERB) dataset [*L'Ecuyer and Stephens*, 2003 and 2007]. HERB synthesizes  
183 ice cloud microphysical property information from VIRS, liquid cloud properties, precipitation  
184 profiles, Sea Surface Temperature (SST), and water vapor retrievals from the TRMM TMI, and  
185 vertical profiles of temperature and humidity from European Center for Medium-range Weather



186 Forecasts (ECMWF) reanalysis, to characterize the three-dimensional structure of clouds and  
187 precipitation in the atmosphere. Vertical profiles of SW and LW radiative heating rates are  
188 calculated by a broadband radiative transfer model with the input of this dataset [*L'Ecuyer and*  
189 *McGarragh, 2010*].

190 The NCEP reanalysis is used to investigate the impact of large-scale dynamics on the two  
191 extreme periods. It contains outputs of atmospheric variables and fluxes with 4-times daily  
192 temporal resolution,  $2.5^{\circ} \times 2.5^{\circ}$  km horizontal resolution, and 28 vertical levels [*Kalnay et al.,*  
193 *1996*]. The NASA MERRA reanalysis is also used to quantify the winter cyclonic activity in  
194 this study. It contains various 6-hourly atmospheric variables on  $1/3^{\circ} \times 2/3^{\circ}$  grids. Together with  
195 the NCEP reanalysis, it provides a comprehensive database for diagnosing synoptic conditions  
196 over the SGP and examining their variability on seasonal to longer timescales.

197 With these ground and satellite observations, the moisture conditions of the two  
198 hydrological years can be quantified. The Palmer Drought Severity Index (PDSI) is a popular  
199 drought-monitoring tool used by scientists and government agencies to determine extreme  
200 weather conditions, such as abnormally wet or abnormally dry periods, as well as their onset and  
201 demise [*Alley, 1984*]. This index is based on the principle of a balance between moisture supply  
202 and demand, and takes into account precipitation, evapotranspiration, and soil moisture  
203 conditions. That is, the PDSI uses a simple water balance model as basis for developing a  
204 regional drought severity index, and does not work for snow or frozen ground. Therefore,  
205 caution must be taken when using PDSI index during the snow and frozen months of the year.  
206 The PDSI index generally ranges from -6 to +6; with negative values denoting dry spells and  
207 positive values indicating wet spells.

208

### 209 **3. Results and Discussion**

210 In this section, we attempt to address the four scientific questions posed in the beginning.  
211 In particular, we will answer question 1 using the NOAA PDSI and four precipitation data sets  
212 from ARM, OK Mesonet, GPCP, and TRMM, question 2 using NCEP reanalysis, and question 3  
213 using ARM SCF observations. Finally, by diagnosing both the NASA MERRA reanalysis and  
214 TRMM retrievals, we will investigate the linkages between the SGP extremes and the moisture  
215 transport from the Gulf Mexico and the winter cyclonic activity.

216

#### 217 **3.1 Are HY06 and HY07 representative of significant drought and pluvial conditions and, if** 218 **so, how severe and widespread are the effects?**

219 To study the hydrological extreme events, it is important to have a “benchmark”, or the so-  
220 called normal precipitation. The normal precipitation can be a long-term average over a  
221 particular area during a certain period (such as over the SGP region in a month in this study).  
222 The precipitation anomalies and relative amounts are then calculated against their corresponding  
223 normal values. For example, the total of the OK state-mean precipitation during spring 2006 is  
224 23.47 cm, which is about 80% of its normal precipitation. The normal precipitation in this study  
225 is the averaged precipitation during 1997-2007 for ARM, OK Mesonet and GPCP, and during  
226 1998-2007 for TRMM over different grid boxes, such as the point for ARM SCF, the entire OK  
227 state for OK Mesonet, and  $5^{\circ} \times 5^{\circ}$  for GPCP and TRMM.

228 Figure 1 shows the monthly state (OK) mean PDSI, four precipitation products and their  
229 anomalies and percentages relative to their corresponding averages during the period 1997-2007  
230 (except for TRMM from 1998 to 2007). Notice that the PDSI lags the precipitation by 1-2  
231 months because it has taken into account precipitation, evapotranspiration, and soil moisture

232 conditions. As demonstrated in Figure 1, moderate drought occurred during the period Feb-June  
233 2005, which is about 40% (the average value from four precipitation datasets) below the normal  
234 precipitation, and was then terminated by moderate precipitation during August 2005 (77%  
235 above normal). Severe drought started in November 2005 and lasted until February 2006, which  
236 is indicated by the roughly 65% below normal precipitation with a maximum deficit of 100%.  
237 Thus, we define the period 11/2005-02/2006 as the extreme dry period in this study. Most of  
238 2006 was characterized by persistent dry conditions, slightly below the normal precipitation  
239 during fall 2006, and finally changed to a moisture surplus at the end of 2006. In contrast to year  
240 2006, year 2007 was mostly under wet conditions. The period May-July was extremely wet (84%  
241 above normal), and a precipitation deficit did not occur until Nov-Dec. 2007. In this study, the  
242 two extreme periods (11/2005-02/2006 for extreme dry and May-July 2007 for extreme wet) are  
243 selected from four data sets based on the following two criteria: (1) their precipitations were  
244 either below or above 50% of their corresponding normal precipitations, and (2) the events lasted  
245 at least 3-4 months.

246 In spite of large spatial and temporal differences among four precipitation products, they all  
247 captured the HY06 drought and subsequent HY07 pluvial. Figure 1 also demonstrates that the  
248 four precipitation products and their anomalies agree well in both magnitude and sign. This  
249 agreement is very encouraging considering that these measurements are made independently by  
250 different instruments and the mean precipitations are averaged over different grid boxes and  
251 handled by different groups. The good agreement in precipitation between the ARM SCF  
252 observations and other three datasets indicates that the point ARM SCF observations can  
253 represent a large grid box of observations, at least, up to the size of a 5° grid box during the

254 studied periods. This result is consistent with those of the cloud fraction comparisons at the  
255 ARM SGP site [Xi *et al.*, 2010; Kennedy *et al.*, 2010].

256 To further investigate the severity and spatial variability of precipitation, we present annual  
257 state precipitation anomalies observed by the OK Mesonet for HY06 and HY07 in Figure 2. As  
258 shown in Figure 2, the annual state precipitation in HY06 is 31.6 cm below normal, while in  
259 HY07 it is 25.1 cm above the 11-yr averaged state precipitation. Oklahoma state experienced  
260 statewide drought conditions with a precipitation deficit (>40 cm) over its eastern region during  
261 HY06 and pluvial conditions with a precipitation surplus (>40 cm) over its central region during  
262 HY07. Therefore, the brief answer to Question 1 is that HY06 and HY07 are indeed significant  
263 climatic dry and wet years, respectively. Their severities and ranks are listed in Table 1.

264

### 265 **3.2. How do large-scale dynamics play a role in these extreme events?**

266 *Rauber et al.* [2008] discussed the causes of droughts and floods and the role of large-scale  
267 dynamics played in controlling these extremes. They found that droughts are normally  
268 associated with persistent large-scale flow anomalies, such as those in the subtropical high-  
269 pressure system, jet stream, and upper level waves. On the other hand, floods, especially flash  
270 floods, are often associated with short-time scale features, such as frontal squall lines and  
271 mesoscale convective systems (MCSs). To demonstrate the impact of the large-scale dynamical  
272 processes on the HY06 and HY07, especially for the two extreme periods, we plot Figures 3 and  
273 4 using the NCEP reanalysis dataset.

274 Figure 3 illustrates the means and anomalies (relative to corresponding averages for the  
275 period 1979-2007) of 500-hPa geopotential height (GH) during the extreme dry and wet periods.  
276 The most prominent feature in Figure 3a is a strong ridge over the Rocky Mountains and a

277 trough over the Great Lakes. Figure 3c shows a dipole pattern in which an anomalous high is  
278 centered over the southwestern U.S. and an anomalous low is over the Great Lakes. This  
279 anomalous pattern is favorable for the movement of dry air from Canada southward into the  
280 central U.S., restrains the transport of low-level moisture from the Gulf of Mexico. In other  
281 words, the northward transport of moist air from the Gulf of Mexico is inhibited. Large-scale  
282 vertical motion of air is also a major factor in the occurrence of precipitation where ascending air  
283 over a large region favors precipitation and descending air suppresses precipitation. The  
284 descending air is adiabatically compressed, which increases the temperature (decreasing relative  
285 humidity, RH) and static stability of the atmosphere. The increased static stability and the  
286 decreased RH tend to suppress precipitation and lead to drought [*McNab and Karl, 2003*]. The  
287 patterns in Figures 3a and 3c are associated with stronger sinking motion over the SGP relative  
288 to the climatology, and contribute to the extreme dryness. The extreme dry period ended during  
289 spring 2006 when the large-scale flow pattern over the western U.S. returned to normal (not  
290 shown).

291 For the extreme wet period, Figure 3d illustrates the anomalous high over the northern  
292 central U.S. This anomaly is associated with a strong ridge over the Midwest U.S. and is  
293 typically indicative of dry conditions over there. South of this ridge, several anomalous lows  
294 exist. Inspection of daily synoptic charts revealed that the anomalous low over the TX/OK  
295 region was associated with the passage of numerous short-wave troughs in the lee of the Rocky  
296 Mountains and a persistent upper-level low. These patterns were associated with rising motion  
297 and were conducive to thunderstorm development. Thus the precipitation events during the  
298 extreme wet period were initially generated by active weather patterns and enhanced by the

299 mesoscale convective systems. Local evaporation and feedback processes may help maintain the  
300 persistent extremes and enhance their severities as will be discussed in next section.

301 The 925-hPa RH means and anomalies during the extreme dry and wet periods are plotted  
302 in Figure 4. The RH mean over the SGP during the extreme dry period is about 50-60% (Figure  
303 4a), which is about 10% below the corresponding climatological mean RH (Figure 4c). The  
304 largest negative RH anomaly ( $\sim -20\%$ ) is located over the southwestern U.S., which corresponds  
305 well with the anomalous high shown in Figure 3c. The RH mean over the SGP during the  
306 extreme wet period is 80% (Figure 4b), which is approximately 10-20% above the corresponding  
307 climatological mean RH (Figure 4d). The region covered by positive RH anomalies (Figure 4d)  
308 is much smaller than that covered by negative RH anomalies (Figure 4c), which indicates that the  
309 dry area is much larger than the wet area.

310 As illustrated in Figures 3 and 4, the large-scale dynamical patterns were the major factors  
311 that lead to persistent drought during the extreme dry period. The precipitation events during the  
312 extreme wet period, however, were initially generated by active weather patterns and enhanced  
313 by the mesoscale convective systems. These scattered thunderstorms appeared to enhance and  
314 deepen the upper-level low and induce surface low pressure towards the end of June through  
315 diabatic processes. As a result, more thunderstorms ensued, and heavy precipitation events  
316 persisted for a week at the end of June. The total of OK state mean precipitation during the  
317 extreme wet period is approximately 50 cm, including 17 multiple organized convective events  
318 and 34 scattered thunderstorms.

319

320 **3.3. To what extent are the severities of the drought and flood affected by cloud and surface**  
321 **energy feedbacks?**

322 In previous sections, we have demonstrated that HY06 and HY07 are indeed under  
323 significant drought and pluvial conditions, respectively, and dominated by large-scale dynamic  
324 patterns. However, it is unclear to what extent these extreme events are associated with the  
325 seasonal variations of cloud properties and surface energy, and affected by cloud and surface  
326 energy feedbacks. Further, what phase relationships exist between the cloud and surface  
327 properties? For variables that have either leading or lagging relationships each other, what does  
328 this imply about maintaining and reinforcing drought and pluvial conditions?

329 To answer these questions, we present the monthly means (Figs. 5&6) of CF, cumulus (Cu)  
330 cloud thickness (contiguous clouds, cloud base<3 km and cloud top>6 km), cloud LWP,  
331 atmospheric PWV, precipitation, net radiation, SH, LH, and  $T_{\text{air}}$  at the ARM SCF during the  
332 HY06, HY07, and 11-yr climatological periods. To investigate the phase relationships among  
333 the variables, we list their correlations (in phase, one-month lead, and one-month lag) in Tables  
334 3a and 3b based on their monthly means and anomalies, respectively, during the period 10/2005-  
335 09/2007. Finally, we discuss to what extent the extreme events are enhanced by the cloud and  
336 surface energy feedbacks at the end of this section.

337 As illustrated in Fig. 5, the CFs in HY06 and HY07 are 0.056 lower and 0.032 higher than  
338 the 11-yr mean, respectively. The CFs during the two extreme periods are 0.138 below and  
339 0.203 above their corresponding 11-yr averages, respectively. The average Cu cloud thickness in  
340 HY06 is about 0.44 km thinner than the 11-yr mean, and is 0.93 km thinner for the extreme dry  
341 period. The average Cu cloud thickness in HY07, however, is 1.32 km thicker than the 11-yr  
342 mean, and is 1.946 km thicker for the extreme wet period. The monthly mean LWPs during  
343 HY06 are consistently lower than the 11-yr means, and the annual average LWP in HY06 is only  
344 43% of the 11-yr mean LWP. While the average LWP in HY07 is slightly larger than the 11-yr

345 mean, the average LWP during the extreme wet period is double the 11-yr average (628 vs. 306  
346  $\text{gm}^{-2}$ ). The total precipitation is 2.32 cm (vs. 21.68 cm of 11-yr average) during the extreme dry  
347 period, and is 58 cm (vs. 32 cm of 11-yr average) for the extreme wet period. The precipitation  
348 has moderate correlations with CF and PWV (0.6 and 0.49), and relatively high correlations with  
349 Cu thickness and LWP (0.74 and 0.844). As listed in Table 3a, these correlations are significant  
350 at a 99% confidence interval (CL) except for PWV at a 95% confidence level.

351 Figure 5d and Figures 6a&6b have demonstrated that there are strong seasonal variations in  
352 atmospheric PWV, net radiation and  $T_{\text{air}}$  where they increase monotonically from winter to  
353 summer from 11-yr averages. More solar radiation absorbed by the ground during summer  
354 results in increased  $T_{\text{air}}$  and atmospheric PWV, which is supported by the high correlations  
355 between  $T_{\text{air}}$  and net radiation (0.893) and PWV (0.916) in Table 3a. The seasonal variation of  
356 SH mirrors the variations in PWV, net radiation and  $T_{\text{air}}$  (Correlations= -0.681, -0.851, and -  
357 0.723), but it peaks (negative values represent that the ground is warmer than the air above and  
358 heat is transferred upwards into the air) one-month earlier (in June) than those of PWV, net  
359 radiation and  $T_{\text{air}}$ . This result suggests that most of net radiation ( $129.5/163.5=79.2\%$ ) was  
360 transferred upwards into the air from the ground in June. These correlations are significant at a  
361 99% confidence interval. There is also seasonal variation in LH, but it is not as strong as other  
362 variables. The LH values are comparable to the SH values from late fall to early spring, but are  
363 much smaller than the SH values from late spring to early fall (30% LH vs. 70% SH).

364 Surface air temperature  $T_{\text{air}}$  is determined by the sum of the net radiative (SW and LW  
365 fluxes) and nonradiative fluxes [SH+LH, ground heat is much smaller than SH and LH] [*Wild et*  
366 *al.*, 2004]. Although the sum of annual mean SH, LH and net radiation is nearly zero ( $+1.6 \text{ Wm}^{-2}$ )  
367 during 11-yr period, the sums of their monthly means are negative from October to February



368 (the ground lost energy) and positive from April to September (the ground gained energy). This  
369 is consistent to the seasonal variation of  $T_{\text{air}}$  with a minimum of 276 K in January-February, and  
370 a maximum of 300 K in July-August as shown in Figure 6. The sum of annual mean SH, LH,  
371 and net radiation is nearly balanced ( $-2.1 \text{ Wm}^{-2}$ ) in HY06, while it is  $-9.1 \text{ Wm}^{-2}$  in HY07,  
372 indicating that the ground lost more energy during HY07. During the extreme wet period, the  
373 averaged net radiation, SH and LH are 141.4, -127.2, and  $-30.2 \text{ Wm}^{-2}$  (the sum= $-16 \text{ Wm}^{-2}$ ),  
374 respectively, while their corresponding averages during 11-yr period are 161.4, -118.6, and  $-32.1$   
375  $\text{Wm}^{-2}$  (the sum= $10.7 \text{ Wm}^{-2}$ ). The  $26.7 \text{ Wm}^{-2}$  energy loss results in  $-1.1 \text{ K } T_{\text{air}}$  decrease. The SW  
376 flux absorbed at the surface is  $29 \text{ Wm}^{-2}$  lower than its corresponding average due to more CF,  
377 LWP, and precipitation.

378 As listed in Table 3a, the correlations between precipitation and cloud properties for phase  
379 differences of one-month lead and lag are much smaller than those for the same month,  
380 indicating that precipitation and cloud properties are general in-phase. However, there are some  
381 phase delays between surface properties and atmospheric PWV as reflected by their higher  
382 correlations for one-month earlier/late than those in the same month. For example, the  
383 correlations between PWV and net radiation/SH in one-month late, and between net radiation/SH  
384 and  $T_{\text{air}}$  in one-month earlier are higher than those in the same month, suggesting that the net  
385 radiation and SH in previous month play a key role for the following month of atmospheric PWV  
386 and surface air temperature. Generally, monthly temperature change lags that of net radiation,  
387 especially solar radiation, about a month with  $\text{CL} > 99\%$ .

388 The correlations calculated from monthly means may represent both the seasonal variations  
389 of variables and the relationships among the variables. The correlations calculated from the  
390 monthly anomalies which removed the seasonal variations may represent the real relationships

391 among the variables and are provided in Table 3b. Comparing the two tables, we find that the  
392 correlations between precipitation and cloud property anomalies are close to or slightly lower  
393 than those from monthly means, and the correlation between precipitation and PWV anomalies  
394 are higher. This comparison demonstrates that there are indeed some relationships between the  
395 precipitation and cloud properties during the period 10/2005-09/2007 with  $CL > 99\%$ . For the  
396 surface property anomalies, most of their correlations are much lower than those from monthly  
397 means with  $CL < 95\%$ , indicating that these variables basically follow the seasonal  
398 variations. We also calculate the correlations from the 11-yr monthly anomalies (not shown),  
399 and find that their correlations and confidence levels are much lower than those from the 2-yr  
400 period. This comparison suggests that the relationships among the variables and feedback  
401 processes during HY06 and HY07 were much stronger than those from 11-yr period.

402 Radiative flux anomalies derived from TRMM observations tend to agree very well with  
403 those presented in Fig. 6. The HERB dataset can, therefore, be used to extend the localized SGP  
404 measurements to the larger domain from 33-38°N and 95-100°W encompassing the area of  
405 strongest precipitation anomalies in Fig. 2. These data are used to explore the response of  
406 atmospheric radiative heating rates ( $>0$  for heating and  $<0$  for cooling) to drought/flood events in  
407 the SGP region in Fig. 7. Monthly anomalies from October 2005 through December 2007  
408 (relative to the averages for 1998-2007) of raining, low, high, and total cloud fractions, as well as  
409 the NET, SW and LW heating profile anomalies over the broader SGP region suggest that,  
410 similar to their precipitation counterpart, fewer clouds occurred over the SGP during HY06 while  
411 clouds were more prevalent than normal in subsequent HY07.

412 During the extreme wet period, increased high clouds led to a marked increase in SW  
413 heating from 6 to 12 km and a reduction of SW radiation that reached lower levels relative to the

414 11-year mean for the region, consistent with SW fluxes measured at the ARM SCF. This  
415 reduction offsets the increased SW heating due to increased low-level clouds. In the meantime,  
416 increased high clouds lead to enhanced LW heating at cloud base and LW cooling near cloud top.  
417 The increased downward LW heating warms low and middle clouds and offsets the increased  
418 LW cooling due to the increased low and middle clouds. Therefore, the overall net (SW+LW)  
419 effect due to the increased clouds during the extreme wet period is the heating of the atmosphere  
420 from the surface up to 10 km.

421         Although not as strong as the extreme wet period, an opposite signature was found during  
422 the 2006 drought period with reduced SW heating aloft, increased SW heating near the surface,  
423 and anomalously strong LW cooling from the surface to 6 km (allowing more emission from the  
424 lower atmosphere to escape to space) with a net effect of cooling the atmosphere. Thus changes  
425 in cloudiness act to decrease atmospheric stability locally during the wet period while stabilizing  
426 the atmosphere during the dry period. While it is very unlikely that these local effects play a  
427 first-order role in the persistence of droughts or floods they have the potential to impact future  
428 precipitation development in the region in a way that would tend to reinforce existing drought or  
429 pluvial conditions.

430         In Figures 5-7, we have demonstrated that there are strong seasonal variations in  
431 atmospheric PWV, net radiation, SH, and  $T_{\text{air}}$ ; and precipitation is positively correlated with CF,  
432 cumulus cloud thickness, and LWP. However, it is unclear to what extent the extreme events are  
433 enhanced by the cloud and surface energy feedbacks during the two extreme periods. Based on  
434 the previous studies [e.g., *Rauber et al.*, 2008], these feedbacks are normally positive, i.e.,  
435 reinforcing or enhancing an existing drought or flood event, or making dry areas drying and wet

436 areas wetter. In this study, we will demonstrate the two positive feedback processes during the  
437 extreme dry and wet periods based on the ARM SCF observations.

438 During the extreme dry period, more net radiation (compared to 11-yr mean) was absorbed  
439 by the ground ( $+4.1 \text{ Wm}^{-2}$ ), which resulted from less clouds ( $-0.138$ ), cloud LWP ( $-193 \text{ gm}^{-2}$ ),  
440 and precipitation ( $-4.59 \text{ cm}$ ), as well as thinner Cu cloud thickness ( $-0.929 \text{ km}$ ). The absorbed  
441 net radiation by the ground is mostly (LH= $-27.9$  vs. 11-yr mean= $-23.3 \text{ Wm}^{-2}$ ; 62.3% vs. 55.2%  
442 for the 11-yr mean) used to evaporate water from the ground. Because of the favorite large-scale  
443 dynamic conditions, the evaporated moisture was removed from the dry region by low-level  
444 divergence as demonstrated in Fig. 3c and weakly southward low level jet (will be discussed in  
445 next section). Thus, with less precipitation during the extreme dry period and removed  
446 atmospheric moisture, more absorbed incoming solar radiation was used to increase  $T_{\text{air}}$  ( $0.92 \text{ K}$ )  
447 and to make the ground drier. This result is consistent to the findings in Figure 7 where the  
448 heating rate anomalies in the lower atmosphere during HY06 are negative (due to drier  
449 atmosphere, less cloud and precipitation) than normal year. This feedback process is also valid  
450 for the entire HY06 period as illustrated in their annual means in Figures 5-6. These results  
451 demonstrate a positive feedback mechanism, which provides a physical basis for interpreting the  
452 observed tendency of a drought to “feed upon itself” as mentioned in *Rauber et al.* [2008].

453 During the extreme wet period, more precipitation ( $+8.66 \text{ cm}$ ) is strongly associated with  
454 increased PWV ( $+0.426 \text{ cm}$ ), CF ( $+0.203$ ), cloud LWP ( $+322 \text{ gm}^{-2}$ ), and thicker Cu cloud  
455 thickness ( $+1.946 \text{ km}$ ), but with decreased net radiation ( $-20 \text{ Wm}^{-2}$ ) and surface temperature ( $-$   
456  $1.11 \text{ K}$ ). The averaged sum of net radiation, SH, and LH is  $-16 \text{ Wm}^{-2}$ , indicating that more heat  
457 (SH) is transferred upwards from the ground to warm the lower atmosphere. This result is  
458 consistent to the positive heating rate anomalies of the atmosphere during the extreme wet period

459 as illustrated in Fig. 7. The averaged LH value during the extreme wet period is nearly the same  
460 as that of 11-yr period, varying from below (more negative) to above (less negative) the 11-yr  
461 averages for the period of May to July. With more precipitation in May 2007, more surface  
462 energy is transferred upwards as evaporation which is one of the reasons of increased PWV.  
463 This process, as mentioned previously, could make local convection much easier and result in  
464 more precipitation later on (in June).

465         However, this positive feedback process is not as straightforward as the extreme dry period  
466 (a persistent and abnormal moisture deficiency over a particular area) because one heavy  
467 precipitation event could destroy the previous balance. The increased PWV may be attributed  
468 from local evaporation (LH values) and moisture transports, especially from the Gulf of Mexico  
469 by the low level jet (LLJ). As shown in Table 3a, the atmospheric PWV has a strong positive  
470 correlation with net radiation (0.844) and moderate negative correlations with SH and LH fluxes  
471 (-0.681 and -0.435), however, PWV lags the net radiation and SH a month as demonstrated in  
472 their higher correlations (0.924 and -0.834). This makes a physical sense because increased net  
473 radiation results in high temperature and SH, and thereafter leads to the increases in saturated  
474 vapor pressure of the atmosphere. Later on, when local meteorological conditions transfer to a  
475 state favorable rainfalls like the extreme wet events, the atmospheric moisture and local  
476 convection increase, which enhances the precipitation.

477         Although the drought and pluvial conditions are dominated by large-scale dynamic  
478 patterns, we have demonstrated that the two positive feedback processes during the extreme dry  
479 and wet periods found in this study play a key role to maintain and reinforce the length and  
480 severity of existing drought and flood events. More detailed modeling studies and extensive  
481 analyses of multiple events would be required to validate these feedback processes and further

482 understand their maintenance mechanisms. In the mean time, moisture transport from the Gulf  
483 of Mexico by LLJ and the Western Pacific (WP) teleconnection pattern are still likely the most  
484 important factors governing the length and severity of the SGP droughts and/or floods.

485

### 486 **3.4 How are these extreme events linked to the moisture transport from the Gulf of Mexico** 487 **and cyclonic activity?**

488 As discussed in previous section, the PWV over the ARM SCF may be attributed from both  
489 local evaporation and also moisture transport from the Gulf of Mexico by LLJ. To demonstrate  
490 the relationships between these extreme events and moisture transport from the Gulf of Mexico,  
491 we show a time series of the meridional component of the vertically integrated moisture transport  
492 from the Gulf of Mexico (positive for northward) during HY06, HY07 and 9-yr period in Figure  
493 8 and the 900-hPa meridional wind (LLJ) during May-July 2007 in Figure 9. The moisture  
494 transport averaged over 97°W–90°W across 28°N in the Gulf of Mexico was derived from the  
495 surface wind vector from NASA’s QuikSCAT, NOAA’s cloud drift winds, and integrated water  
496 vapor from SSM/I, using a statistical model [Xie *et. al.*, 2008]. Figure 8 shows that there are less  
497 (-8.5%) moisture transport in HY06 and more (+8.1%) in HY07 than the average of 1999-2007.  
498 The moisture transports during the extreme dry and wet periods are 84.7% and 112.6% relative  
499 to their corresponded 9-yr averages, indicating much less moisture transport during the extreme  
500 dry period and HY06, and much more moisture transport during the extreme wet period and  
501 HY07 than normal years.

502 The Great Plains Low Level Jet (GPLJJ) is well known for its importance of northward  
503 moisture transport from the Gulf of Mexico, which provides both the thermodynamic and  
504 dynamic environment to aid in precipitation formation over the Great Plains. Although LLJ is

505 typically nocturnal, its strength and frequency are large enough for it to manifest itself during the  
506 spring and summer on a monthly scale [Stensrud, 1996]. Weaver et al. [2008] found that GPLJJ  
507 variability can be reasonably characterized by the averaged 900-hPa meridional wind from  
508 NECP reanalysis. This variability has moderate correlations with precipitation that are strongest  
509 during the months of June and July.

510 The LLJ means and anomalies during the extreme dry and wet periods have been  
511 investigated for their presence. The LLJ directions could be both southward and northward  
512 during the winter months (not shown) with a neutral average ( $\sim 0 \text{ ms}^{-1}$ ) from 29 years of NCEP  
513 reanalysis. Therefore, moisture from the Gulf of Mexico has generally minimal influence on  
514 precipitation and wintry storms over the SGP region during winter months. During the extreme  
515 dry period, there were only weak ( $\sim -0.5 \text{ ms}^{-1}$ , southward) anomalies over portions of OK and  
516 southern Kansas. Thus, the droughts during the extreme dry period had some influences from  
517 the weakly southward LLJ and slightly reduced moisture transport from the Gulf of Mexico.  
518 During the extreme wet period, however, the monthly LLJ means and anomalies were much  
519 large as demonstrated in Figure 9. For all three months, the GPLJJ means appeared as the ribbon  
520 of southerly winds extending from the Gulf of Mexico to Dakotas with peaks of  $\sim 10 \text{ ms}^{-1}$  during  
521 June. During May (Fig. 9d), the LLJ anomaly was slightly stronger ( $2 \text{ ms}^{-1}$ ) than the average of  
522 1979-2007 over the ARM SCF. During June, the LLJ anomaly was also slightly stronger with a  
523 dipole of  $\sim 2 \text{ ms}^{-1}$  meridional wind existed over the southwest of OK (Fig. 9e). This dipole  
524 pattern is in agreement with the persistent low pressure system over the SGP region during this  
525 month as discussed at the end of Section 3.2. For July (Figs. 9c and 9f), both GPLJJ mean and  
526 anomaly were much weak with negative anomalies on order of  $-1$  to  $-2 \text{ ms}^{-1}$  throughout the SGP  
527 region.

528           Based on the results of Figures 8 and 9, we draw the following conclusion for moisture  
529 transport from May to July 2007. During May, the LLJ is primarily strong over the Northern  
530 Great Plains, and its moisture transport from the Gulf of Mexico to the SGP is below normal (Fig.  
531 8). For July, the transported moisture should be near or just below normal at the SGP due to a  
532 weak LLJ, despite high values of moisture transport at 28° N. During June, however, the  
533 transported moisture is higher than normal because of the strong LLJ and/or persistent low  
534 pressure system. This conclusion provides a strong support to the findings of Figures 5 and 6 at  
535 the ARM SCF, such as the heaviest precipitation during June 2007.

536           The extreme dry period is characterized by a large precipitation deficit during the 2005-  
537 2006 winter months (Table 1). Since the SGP winter precipitation is typically associated with  
538 the passage of extratropical cyclones [*Rauber et al.*, 2008], it is important to examine the  
539 anomalous activity of these synoptic-scale, precipitation-producing systems during winter  
540 months. Understanding the connection between this anomalous cyclonic activity and large-scale  
541 teleconnection patterns helps to infer potential predictability of winter hydrological extremes  
542 over the SGP region. Here we quantified the cyclonic activity in 30 winters (Nov-Feb, 1979/80-  
543 2008/09) by computing the accumulated daily negative 300-hPa GH anomalies (i.e., 300-hPa  
544 short-wave troughs) based upon the NASA MERRA data. The “feature tracking” method of  
545 Hoskins and Hodges [2002] was adopted to detect and track the synoptic-scale GH anomalies  
546 over 6-hour intervals.

547           Figure 10a shows the correlation between the monthly cyclonic activity over the  
548 continental U.S. and the precipitation over a grid box of 30-40°N and 105-95°W representing the  
549 broader SGP region during the period Nov-Feb., 1979/80-2008/09 (please note that the sign of  
550 precipitation was reversed in the calculation to reflect the drought condition). The winter



551 precipitation deficit over the SGP is clearly linked to significantly suppressed cyclonic activity  
552 (i.e., negative anomalies) over the southwestern U.S. This result is consistent with a winter  
553 cyclone's westward-tilt with height, thus the fact that the surface precipitation zone tends to be  
554 located to the east of the upper-level (300-hPa) trough. The suppressed cyclonic activity (Fig.  
555 10b) and positive 500-hPa GH anomalies (Fig. 3c) over the southwestern U.S. have  
556 demonstrated that large-scale flow anomalies play a key role in leading to this extreme dry  
557 period.

558 To find out what teleconnection patterns can modulate the cyclonic activity over the  
559 southwestern U.S. and therefore drive the winter precipitation variability in the SGP, we first  
560 defined a Cyclonic Activity Index (CAI) by integrating anomalies of the cyclonic activity over  
561 30-37°N and 120-100°W representing the southwestern U.S. The correlation coefficients  
562 between this index and the Northern Hemisphere (NH) 500-hPa GH were given in Figure 11a  
563 where enhanced cyclonic activity in winter is generally associated with positive (negative) height  
564 anomalies over the western Pacific regions south (north) of Japan and negative height anomalies  
565 over the southwestern U.S. The suppressed cyclonic activity during the extreme dry period thus  
566 corresponds to the exact opposite of the anomalous pattern shown in Fig. 11a. This triple-action-  
567 center pattern clearly resembles the loading pattern of the Western Pacific (WP) teleconnection,  
568 a primary low-frequency mode over the North Pacific [*Wallace and Gutzler, 1981; Barston and*  
569 *Livezey, 1987*]. In fact, the correlation between the Nov-Feb averaged CAI and the WP index is  
570 0.43 and statistically significant at the 99% level (Fig. 11c). The southwestern CAI is also  
571 slightly correlated with the Pacific-North America (PNA) index on monthly time scales with a  
572 correlation coefficient of 0.21 statistically significant at the 95% level (Fig. 11b). Since positive  
573 phases of WP and PNA are characterized by negative GH anomalies over the western North

574 America and the North Pacific respectively, and such anomalies tend to push westerly jets  
575 southward and enhance upper level divergence downstream of the GH anomalies, the positive  
576 phases of WP and PNA can contribute to increased cyclonic activity over the Southwest. This is  
577 consistent with the positive correlations between the CAI and WP/PNA identified above. Given  
578 the linkages between the CAI (therefore, the SGP precipitation) and the WP and PNA index on  
579 respectively seasonal and monthly time scales, improved understanding and simulation of the  
580 WP and PNA variability have strong implications for future studies that explore the  
581 predictability of the SGP winter hydrological extremes.

582

#### 583 **4. Summary and conclusions**

584 In this study, we analyze the comprehensive datasets collected at the ARM SCF site during  
585 HY06 and HY07, the two most highly contrasting extreme hydrologic years occurring in  
586 consecutive in the SGP in history. The tremendous diversity of observations during these two  
587 years provides a great opportunity for researchers to investigate the contrast between drought and  
588 flood, and the transitional mechanisms at the SGP region, which may lead to new insights into  
589 the factors that lead to persistent drought and flooding. Through an integrative analysis of  
590 observed extremes, we briefly answer the four scientific questions posed in the beginning as  
591 follows:

592 1) HY06 and HY07 are indeed significant climatological dry and wet years, respectively. The  
593 HY06 annual precipitation (over the entire state of Oklahoma) observed by the OK Mesonet is  
594 only 61% of the normal (92.84 cm, average from 1921 to 2008) and HY06 ranks as the second-  
595 driest year on record since 1921. For the seasonal variation, the state mean precipitation (3.7 cm)  
596 during the winter of 2005-06 is only 27% of the normal and this winter ranks as the driest season

597 in the record. The HY07 annual precipitation is 21% above the normal and HY07 ranks as the  
598 seventh-wettest year for the entire state and the wettest year for the central region. Summer 2007  
599 is the second-wettest season for the entire state with a total precipitation of 40.8 cm (68% higher  
600 than the normal).

601 2) Large-scale dynamics play a key role in these extreme events. During the extreme dry period,  
602 a dipole pattern in the 500-hPa GH anomaly existed where an anomalous high was over the  
603 southwestern U.S. region and an anomalous low was over the Great Lakes. This pattern was  
604 associated with inhibited moisture transport from the Gulf of Mexico and strong sinking motion  
605 over the SGP, both contributing to the extreme dryness. The precipitation events during the  
606 extreme wet period were initially generated by the passages of short-wave troughs in the lee of  
607 the Rocky Mountains and a persistent upper low, and enhanced by the frequency of  
608 thunderstorms and their associated latent heat release.

609 3) Based on the ARM SCF observations, we find that the precipitation has moderate correlations  
610 with CF and PWV, and relatively high correlations with Cu thickness and LWP. There are  
611 strong seasonal variations in atmospheric PWV, net radiation and  $T_{\text{air}}$  where they increase  
612 monotonically from winter to summer. The seasonal variation of SH mirrors the variations in  
613 PWV, net radiation and  $T_{\text{air}}$ , but it peaks one month earlier than those of PWV, net radiation and  
614  $T_{\text{air}}$ . The LH values are comparable to the SH values from late fall to early spring, but much  
615 smaller than the SH values from late spring to early fall (30% LH vs. 70% SH). Generally,  
616 precipitation and cloud properties are in-phase, however, temperature change lags that of net  
617 radiation, especially solar radiation, about a month with  $CL > 99\%$ .

618 Although the drought and pluvial conditions are dominated by large-scale dynamic patterns,  
619 we have demonstrated that the two positive feedback processes during the extreme dry and wet

620 periods play a key role to maintain and reinforce the length and severity of existing drought and  
621 flood events. During the extreme dry period, with less clouds, LWP, PWV, precipitation, and  
622 thinner Cu cloud thickness, more net radiation was absorbed and used to evaporate water from  
623 the ground. The evaporated moisture, however, was removed by low-level divergence. Thus,  
624 with less precipitation and removed atmospheric moisture, more absorbed incoming solar  
625 radiation was used to increase surface temperature and to make the ground drier. During the  
626 extreme wet period, more precipitation is strongly associated with increased CF, LWP, PWV,  
627 and thicker Cu cloud thickness, but with decreased net radiation and surface temperature. The  
628 precipitation events during the extreme wet period were initially generated by active weather  
629 patterns and enhanced by the mesoscale convective systems.

630 4) The transported moisture from the Gulf of Mexico and the cyclonic activity are certainly  
631 important to these extreme events. There were less and more moisture transports during HY06  
632 and HY07, respectively. The droughts during the extreme dry period had some influences from  
633 the weakly southward LLJ and slightly reduced moisture transport from the Gulf of Mexico.  
634 During the extreme wet period, however, their LLJ means and anomalies were large and their  
635 values of moisture transport were high. These results have demonstrated that the precipitation  
636 events over the SGP region, especially in June 2007, are definitely linked with strong LLJ and  
637 high moisture transport from the Gulf of Mexico. From the synoptic perspective, the winter  
638 precipitation deficit over the SGP is clearly linked to significantly suppressed cyclonic activity  
639 over the southwestern U.S. where it is modulated by winter atmospheric low-frequency modes  
640 over the Pacific such as the WP and PNA teleconnection patterns.

641 By contrasting HY06 drought with HY07 flooding and highlighting their major difference  
642 in terms of precipitation statistics, cloud properties, surface energy and large-scale flow patterns,

643 this investigation provides an integrated dataset for hydrological studies over the U.S. SGP  
644 during the period 1997-2007. These observational results can provide constraints and ground  
645 truth for modelers to improve their simulations. For example, we use two WRF microphysical  
646 schemes for a case study with and without grauple, and their simulated precipitations are close to  
647 and higher than observations, respectively. Although we have quite successfully answered the  
648 posed four questions, many overlying issues still remain. For example, how can we build upon  
649 this regional study, and devise observational strategy and diagnostic tool to explore hydrological  
650 extremes on a continental or global scale? To what extent are these extremes and processes  
651 predictable and on what time scales? Ongoing and future modeling work will lend more insights  
652 into the factors that control the persistence and intensity of droughts and floods, and explore the  
653 predictability of these extremes over the SGP and other climate regimes.

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657 ***Acknowledgements:***

658 Surface Data and Oklahoma Mesonet precipitation were obtained from the Atmospheric  
659 Radiation Measurement (ARM) Program sponsored by the U.S. Department of Energy (DOE)  
660 Office of Energy Research, Office of Health and Environmental Research, Environmental  
661 Sciences Division. This research was primarily supported by NASA Energy and Water Cycle  
662 Study (NEWS) project that managed by Dr. Jared Entin. The University of North Dakota  
663 authors were supported by NEWS project under Grant NNX07AW05G, and supported by NASA  
664 CERES project under Grant NNL04AA11G. The Georgia Institute of Technology authors were  
665 supported by NASA NEWS under Grant NNX09AJ36G.

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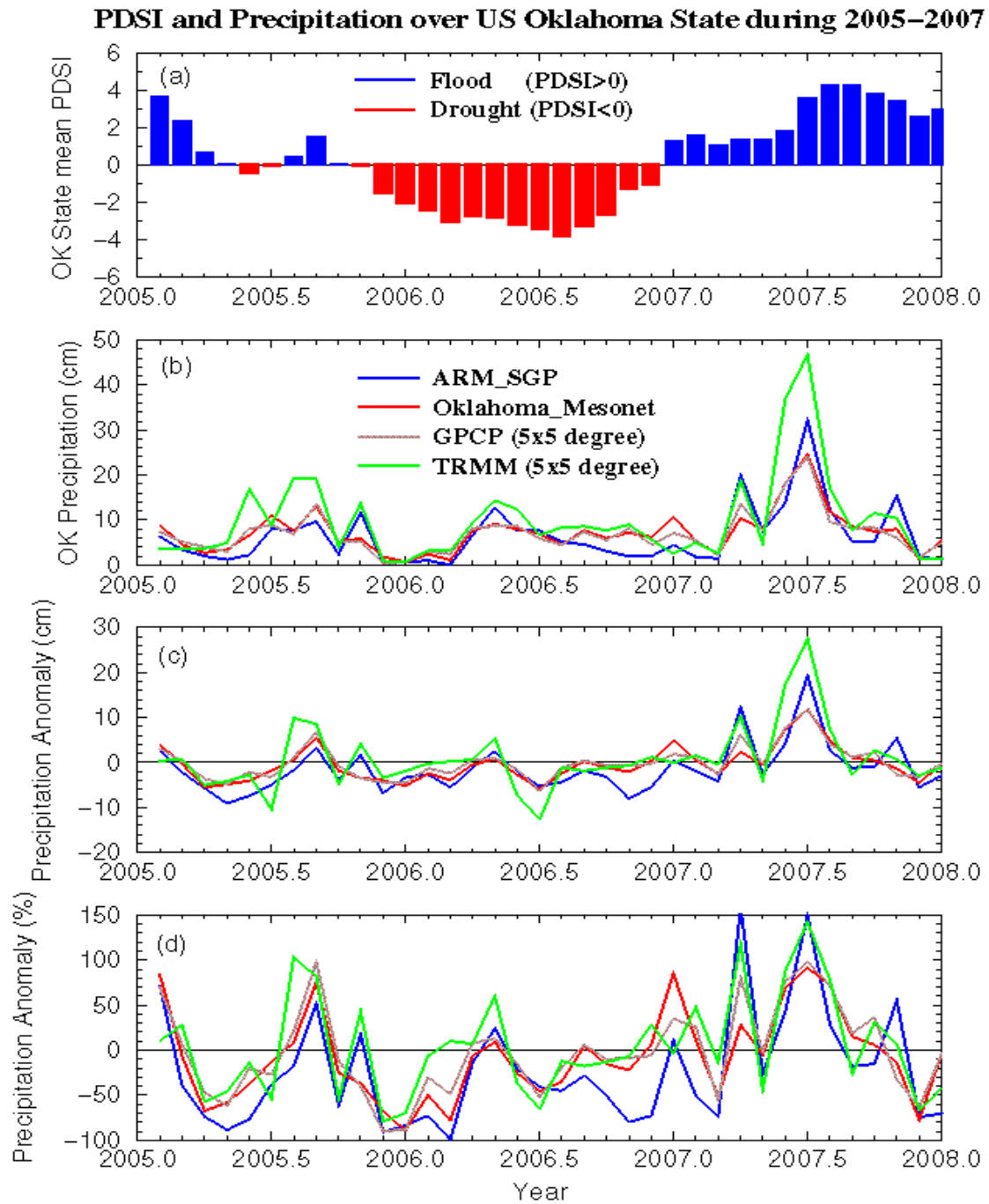
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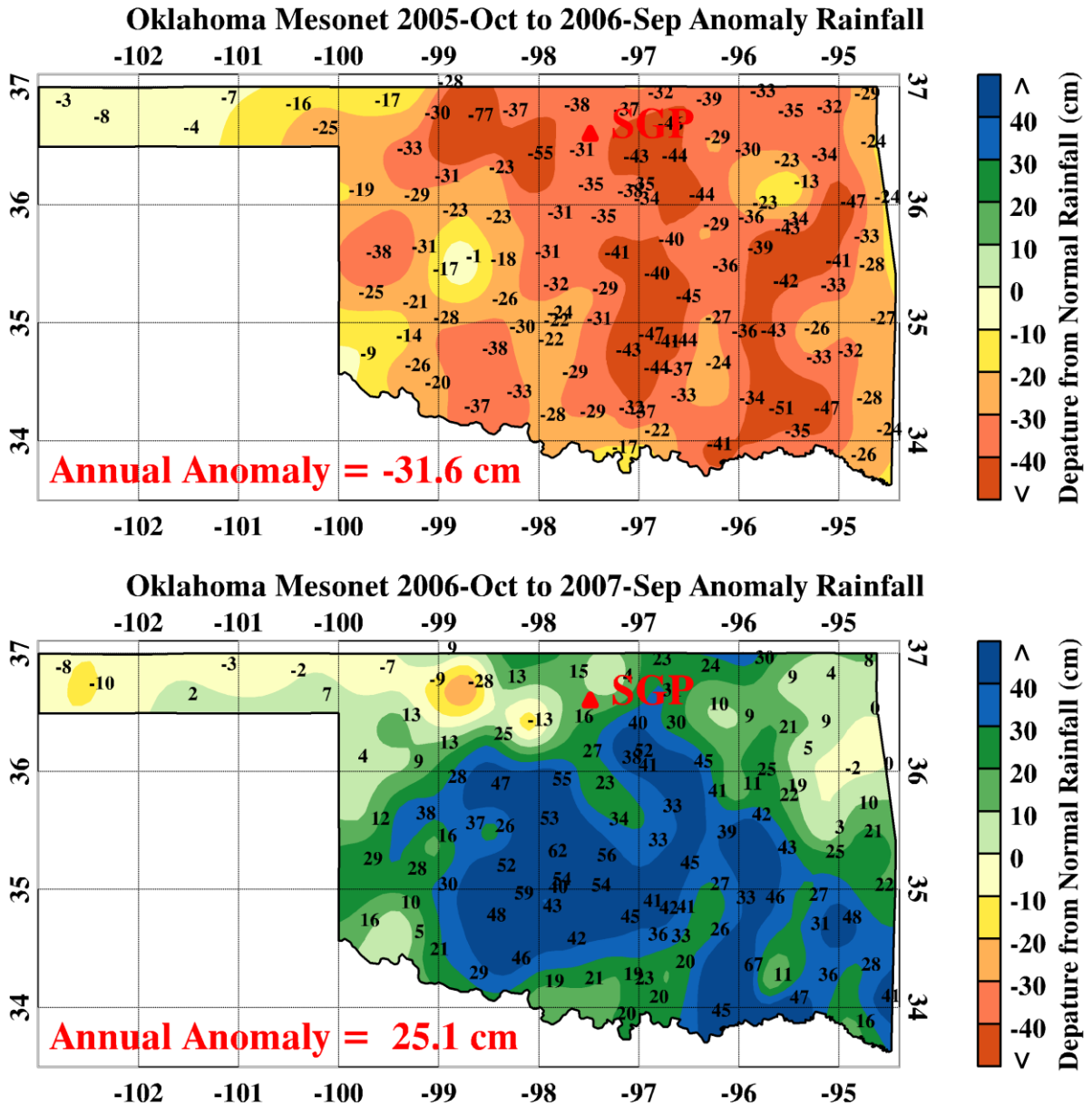


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761 Figure 1. (a) Monthly mean PDSI over Oklahoma state, (b) monthly accumulated precipitations

762 measured at the DOE ARM SCF site, over the entire state measured by Oklahoma mesonet

763 system, and over a 5°x5° grid box (32.5-37.5°N, 100-95°W) derived from GPCP and TRMM  
 764 observations. (c) and (d) are the same as (b) but for the monthly anomaly values and percentages  
 765 (relative to corresponding averages for the period 1997-2007 except for TRMM from 1998-  
 766 2007).  
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769 Figure 2. Annual Oklahoma state precipitation anomalies (relative to the 11-yr mean from 1997  
 770 to 2007) for HY06 (upper, 10/2005-09/2009) and HY07 (bottom, 10/2006-09/2007).  
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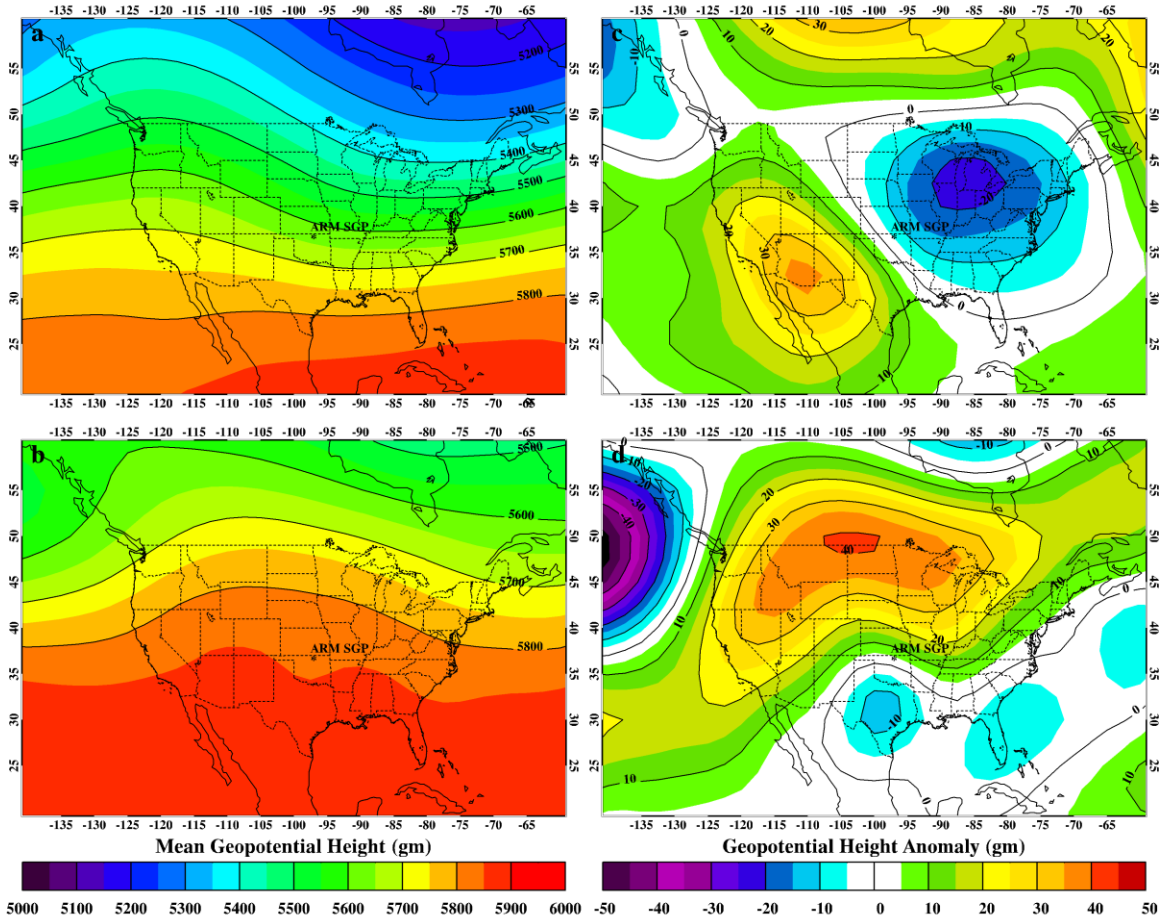
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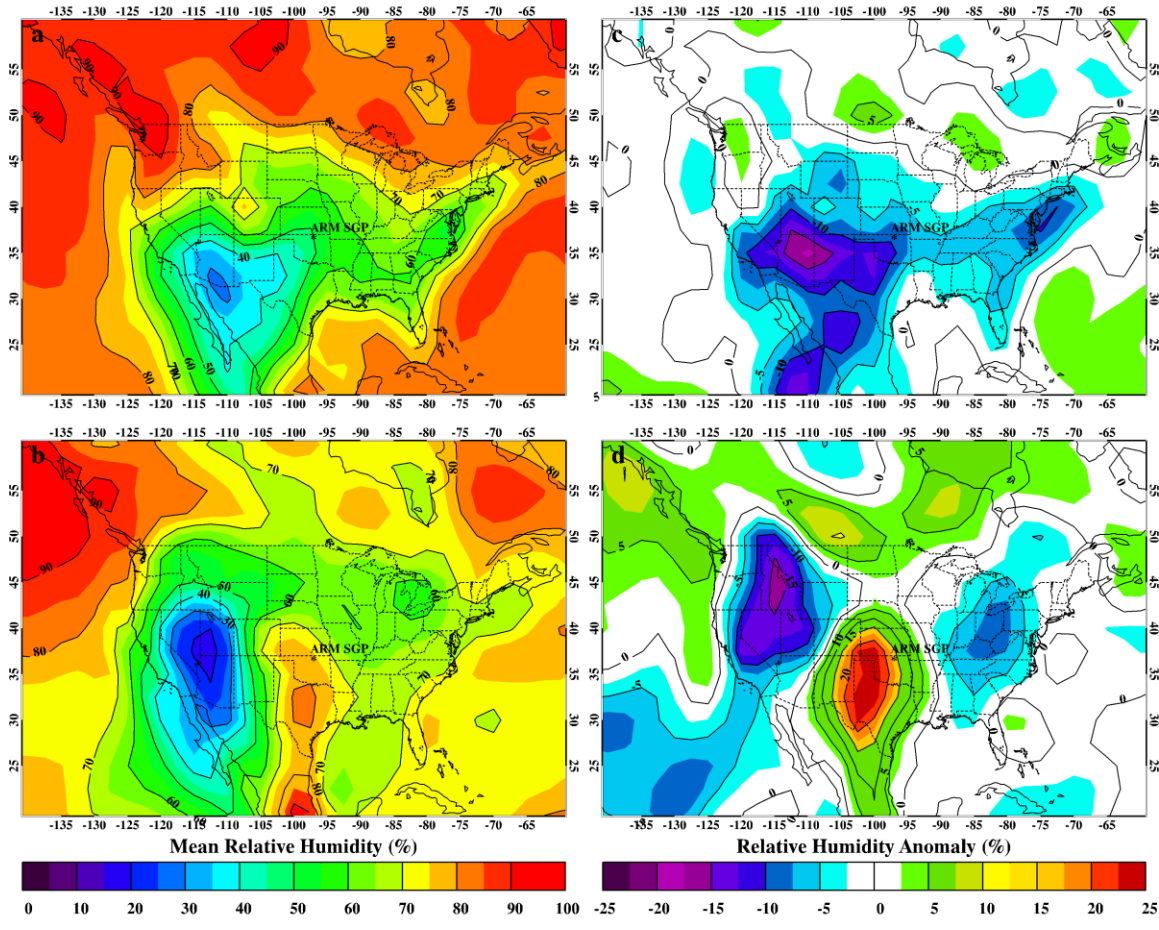
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783 Figure 3. 500-hPa mean Geopotential Heights (GH) derived from NECP reanalysis during (a) the  
784 extreme dry period (Nov. 2005-Feb. 2006) and (b) the extreme wet period (May-July 2007), and  
785 (c, d) their anomalies (relative to corresponding averages for the period 1979-2007).  
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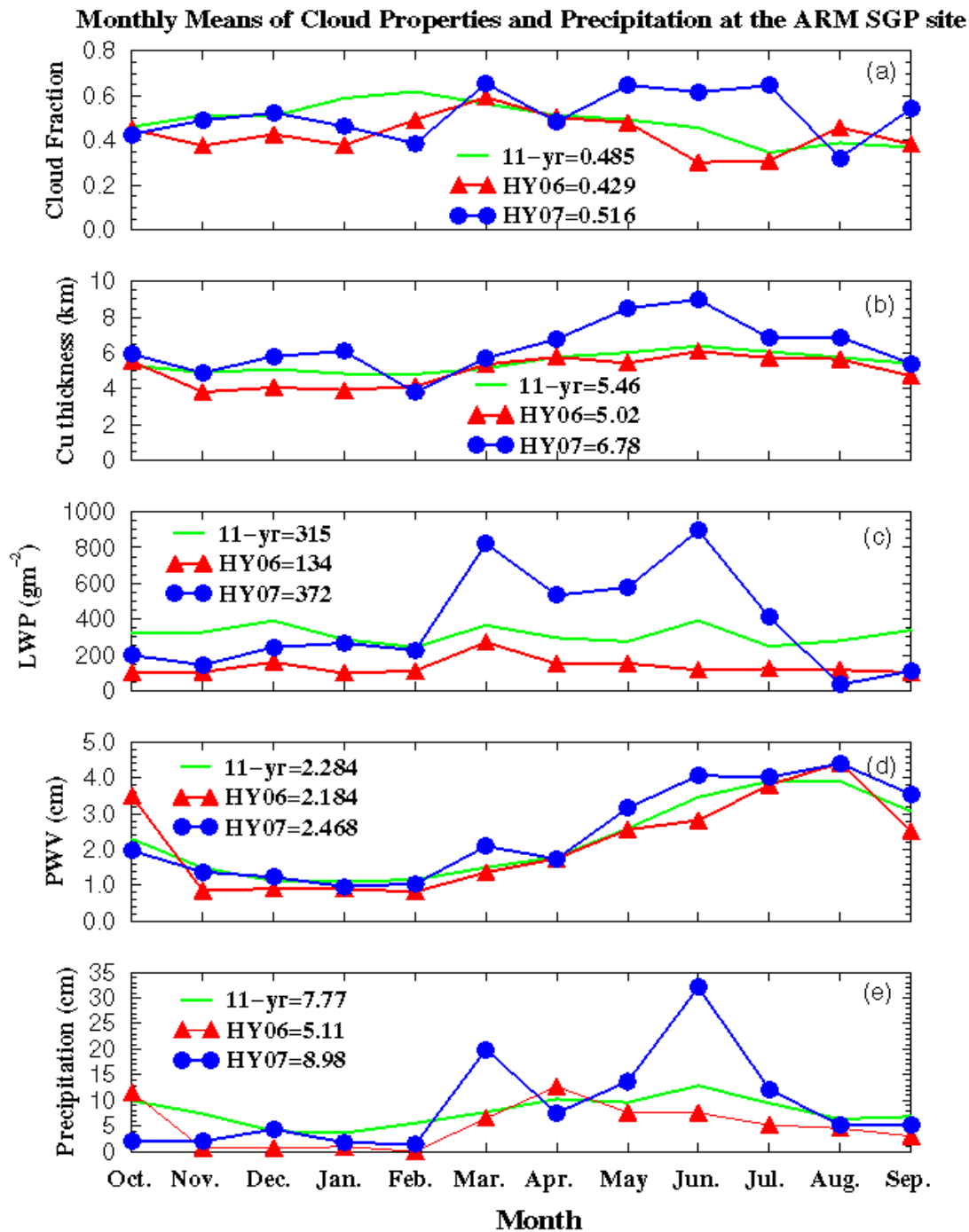
789 Figure 4. Same as Fig. 3, except for the 925-hPa relative humidity (RH) means (a, b) and  
 790 anomalies (c, d) during the extreme dry and wet periods.

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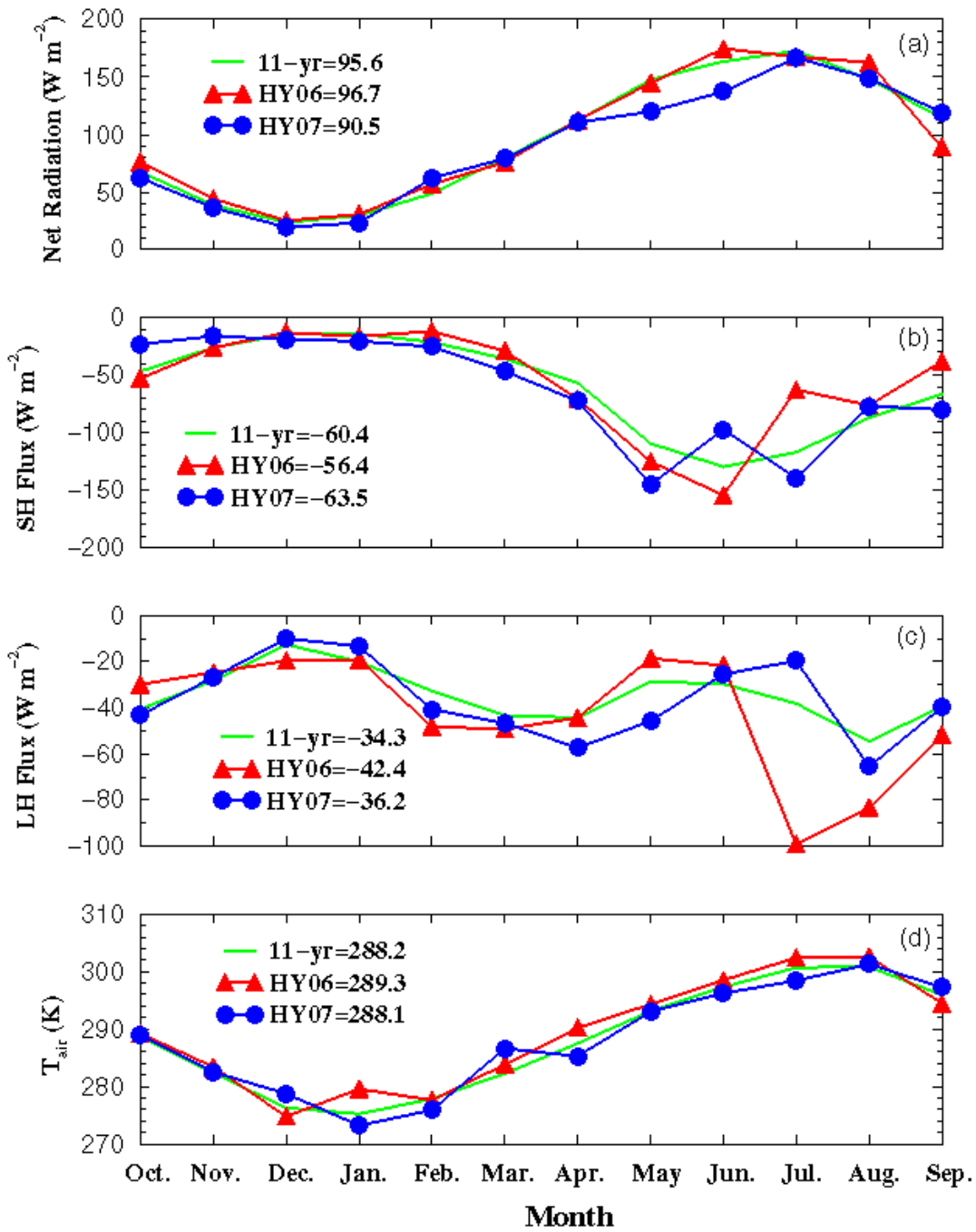
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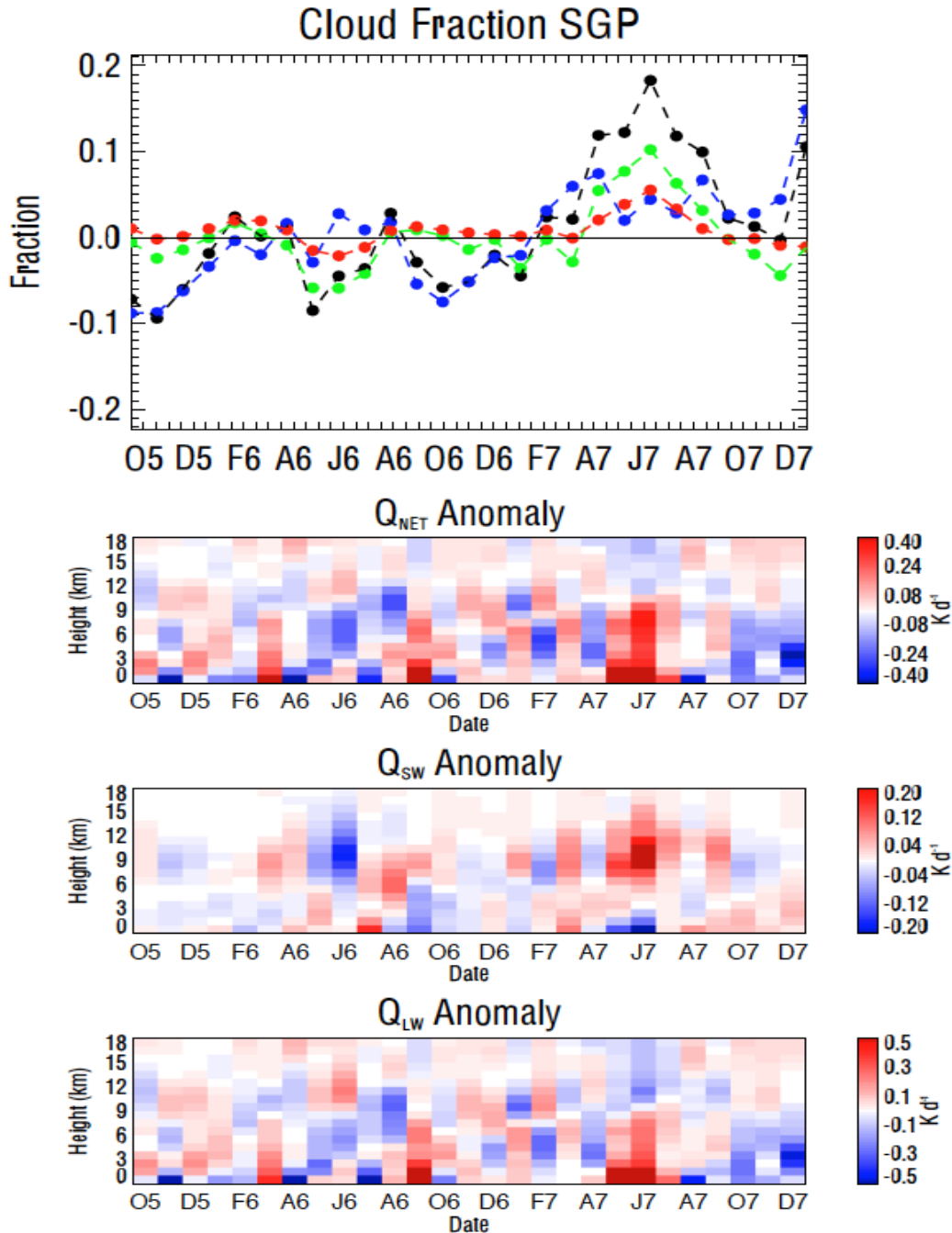


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 796 Figure 5. Monthly means of (a) cloud fraction CF and (b) Cumulus (Cu) cloud thickness  
 797 (contiguous clouds, cloud base < 3 km and cloud top > 6 km) derived from ARM radar-lidar  
 798 observations, (c) cloud liquid water path (LWP) and (d) atmospheric column precipitable water  
 799 vapor (PWV) retrieved from microwave radiometer, and (e) surface precipitation measured from  
 800 rain gauge during HY06, HY07 and 11-yr periods at the ARM SCF.

Monthly Means of Net radiation, SH, LH at the ARM SGP site

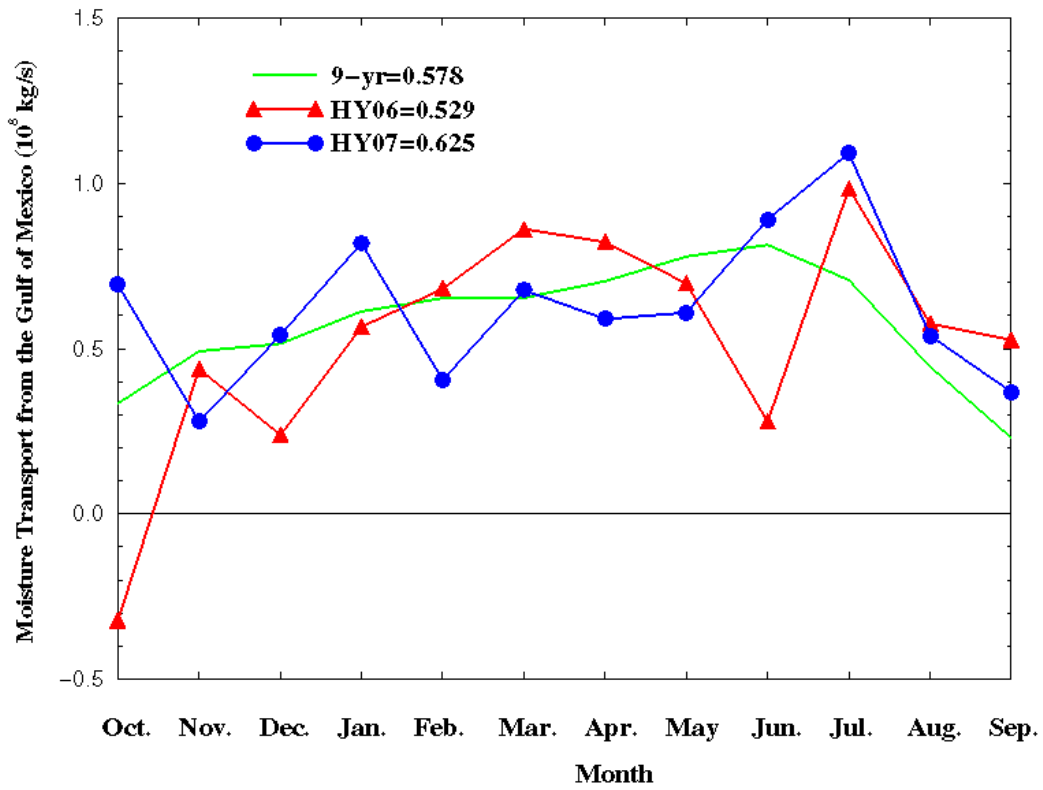


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 802 Figure 6. Monthly means of (a) net radiation, (b) sensible heat (SH) flux, (c) latent heat (LH)  
 803 flux, and (d) surface air temperature ( $T_{\text{air}}$ ) during HY06, HY07, and 11-yr periods measured by  
 804 the ARM SCF energy balance Bowen ratio system.  
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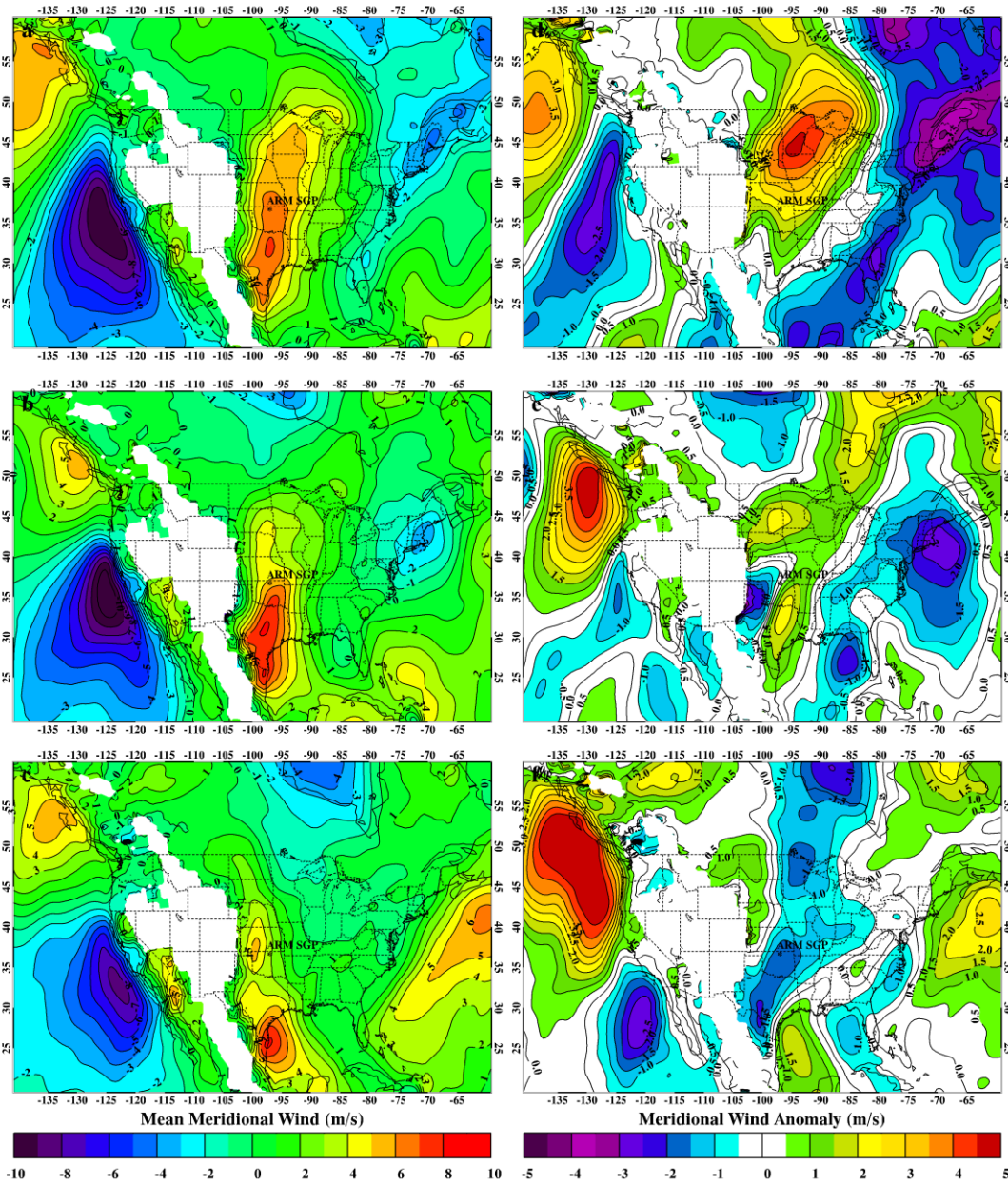
806 Figure 7. Top: Monthly anomalies (relative to the averages during the period 1998-2007) of  
 807 raining (red), low (blue), high (green), and total (black) cloud fractions derived from TRMM  
 808 observations over the broader region of anomalous precipitation (33-38°N and 100-95°W).  
 809 Corresponding anomalies in NET, SW and LW heating rate profiles are presented in the lower  
 810 panels. The labels on the abscissa consist of the first letter of the month followed by the last  
 811 digit of the year and run from October 2005 (O5) through December 2007 (D7).  
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814 Figure 8. Monthly means of meridional component of the vertically integrated moisture  
 815 transports from the Gulf of Mexico (positive for northward, 28 °N, 97-90 °W) during HY06,  
 816 HY07, and 1999-2007 periods.  
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819 Figure 9. Monthly mean 900-hPa meridional wind (Low Level Jet, LLJ) for (a) May, (b) June,  
 820 and (c) July. (d)-(f) for monthly anomalies (relative to corresponding averages for 1979-2007).

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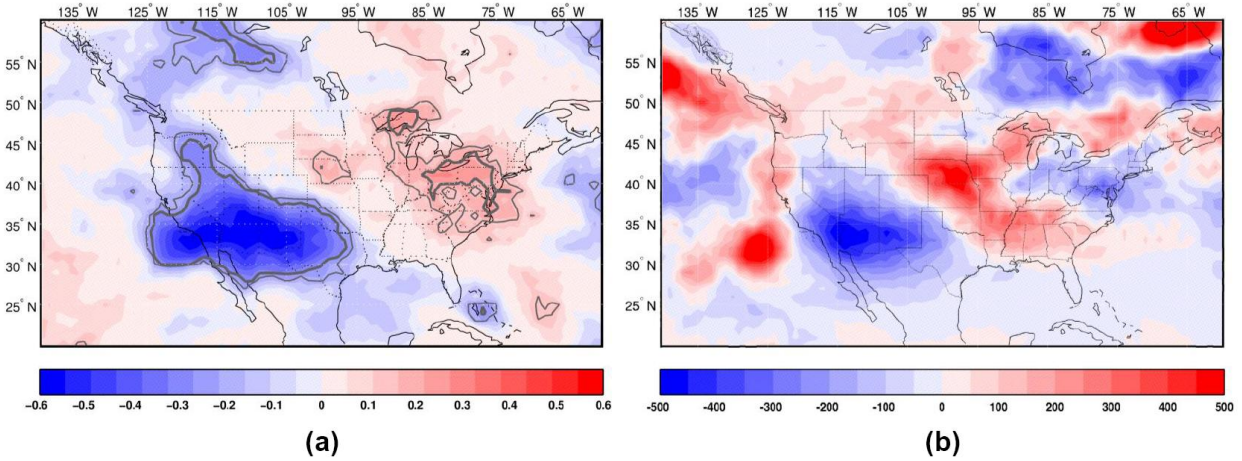
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829 Figure 10. (a) Correlation between the monthly cyclonic activity over the continental U.S. and  
 830 the precipitation (from the MERRA precipitation) over a grid box of 30-40°N and 105-95°W  
 831 during the period Nov-Feb., 1979/80-2008/09 (sign of the precipitation is reversed to reflect the  
 832 drought condition). (b) Anomalies of the cyclonic activity during the extreme dry period (Nov.  
 833 2005- Feb. 2006) relative to the 1979/80-2008/09 climatology (color shadings in m per day).  
 834 Thick (thin) contours in (a) correspond to the 99% (95%) level of statistical significance.  
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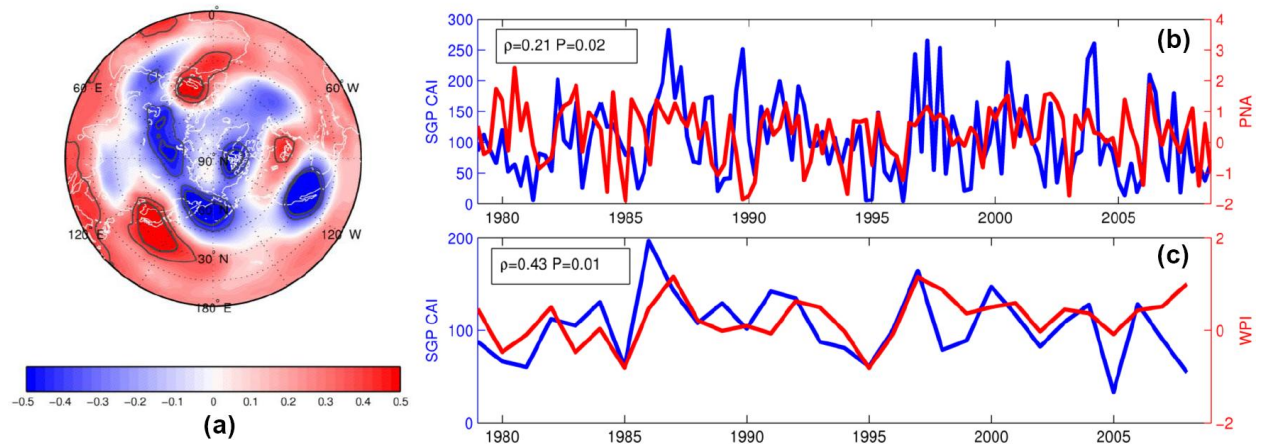
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849 Figure 11. (a) Correlation between the Nov-Feb averaged 500-hPa GH and the cyclonic activity  
 850 index (CAI), (b) Monthly CAI (blue line) and the corresponding NOAA PNA index (red line)  
 851 during Nov-Feb, 1979/80-2008/09, (c) Nov-Feb averaged CAI and the corresponding NOAA  
 852 WP index during Nov-Feb, 1979/80-2008/09. Thick (thin) contours in (a) correspond to the 99%  
 853 (95%) level of statistical significance. Source of the PNA and WP index:  
 854 <http://www.cpc.noaa.gov/data/teledoc/telecontents>.

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869 Table 1: Seasonal statistics of precipitation and their severities during 2006-2007 from  
 870 Oklahoma Climatological Survey (<http://climate.mesonet.org/>)

Seasons	Percentage of normal precipitation	Severities in Oklahoma historical record
<b>HY2006 (10/05 to 09/06)</b>	<b>61%</b>	<b>2<sup>nd</sup> driest</b>
<b>HY2007 (10/06 to 09/07)</b>	<b>121%</b>	<b>7<sup>th</sup> wettest for OK state, 1<sup>st</sup> wettest for central OK</b>
2005 SON	44%	13 <sup>th</sup> driest
2005-2006 DJF	27%	1 <sup>st</sup> driest
2006 MAM	80%	23 <sup>rd</sup> driest
2006 JJA	75%	21 <sup>st</sup> driest
2006 SON	73%	32 <sup>nd</sup> driest
2006-2007 DJF	123%	19 <sup>th</sup> wettest
2007 MAM	117%	13 <sup>th</sup> wettest
2007 JJA	168%	2 <sup>nd</sup> wettest,
2007 SON	63%	21 <sup>st</sup> driest

871 Note: Normal precipitation=average precipitation (92.84 cm) for the period 1921-2008.

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873 Table 2. The DOE ARM SCF observations and other data sets used in this study

Parameter	Instruments/Methods	Used in the paper	References
Drought Index	Palmer Drought Severity Index (PDSI)	Section 3.1. Fig. 1	Alley (1984)
Surface precipitation	ARM SCF tipping bucket rain gauge	Section 3.1. Fig. 1	ARM website <a href="http://www.arm.gov">www.arm.gov</a>
Surface Precipitation	GPCP Version 2	Section 3.1. Fig. 1	Adler et al. (2003)
Surface precipitation	Oklahoma Mesonet	Section 3.1. Figs. 1 and 2	Brock et al. (1995)
CF, moisture flux, and surface precipitation	TRMM TMI/VIRS and 2A12 rainfall product	Sections 3.1, 3.3, and 3.4. Figs. 1, 7, and 8.	Kummerow et al. (2000)
500-hPa GH, 925-hPa RH, and low level jet	NCEP reanalysis	Section 3.2. Figs. 3,4, and 9	Kalnay et al. (1996)
Cloud fraction (CF)	Radar-lidar observations	Section 3.3. Fig. 5	Dong et al. (2006)
Cumulus cloud thickness	Radar-lidar observations	Section 3.3. Fig. 5	Clothiaux et al. (2000)
Cloud LWP and atmospheric PWV	Microwave radiometer	Section 3.3. Fig. 5	Dong et al. (2000); Liljegren et al. 2001
Latent Heat, Sensible heat, and NET Radiation	Energy Balance Bowen Ratio Station	Section 3.3. Fig. 6	ARM website <a href="http://www.arm.gov">www.arm.gov</a>
Surface air temperature	ARM SCF surface Meteo. Instrumentation	Section 3.3. Figs. 6	ARM website <a href="http://www.arm.gov">www.arm.gov</a>
CAI, PNA, and WP Index	NASA MERRA reanalysis	Section 3.4. Figs. 10 and 11	Wallace and Gutzler (1981)

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879 Table 3a. Correlations of monthly means (10/2005-09/2007)

Parameter	CF	Cu ΔZ	LWP	PWV	Precip	Net_Rad	SH	LH	T <sub>air</sub>
CF, phase	1	<b>0.464</b>	<b>0.715</b>	0.085	<b>0.600</b>	0.043	-0.218	0.209	-0.041
1-m early		<b>0.539</b>	<b>0.362</b>	0.117	<b>0.441</b>	0.292	-0.347	0.282	0.053
1-m late		0.220	<b>0.417</b>	-0.148	0.198	-0.077	0.215	-0.270	-0.224
Cu_ΔZ		1	<b>0.639</b>	<b>0.622</b>	<b>0.740</b>	<b>0.665</b>	<b>-0.658</b>	-0.091	<b>0.509</b>
			0.272	<b>0.696</b>	<b>0.463</b>	<b>0.627</b>	<b>-0.620</b>	-0.001	<b>0.563</b>
			<b>0.652</b>	0.266	<b>0.495</b>	<b>0.464</b>	<b>-0.554</b>	-0.177	0.298
LWP			1	0.139	<b>0.807</b>	0.223	-0.273	0.083	0.018
				0.340	<b>0.507</b>	<b>0.444</b>	<b>-0.499</b>	0.043	0.199
				-0.135	0.273	-0.032	-0.098	-0.071	-0.228
PWV				1	<b>0.490</b>	<b>0.844</b>	<b>-0.681</b>	<b>-0.435</b>	<b>0.916</b>
					0.104	<b>0.533</b>	<b>-0.395</b>	-0.288	<b>0.752</b>
					<b>0.503</b>	<b>0.924</b>	<b>-0.834</b>	<b>-0.391</b>	<b>0.769</b>
Precip					1	<b>0.520</b>	<b>-0.513</b>	0.036	<b>0.388</b>
						<b>0.597</b>	<b>-0.636</b>	0.041	<b>0.470</b>
						0.301	<b>-0.418</b>	-0.174	0.062
Net_Rad						1	<b>-0.851</b>	<b>-0.442</b>	<b>0.893</b>
							<b>-0.664</b>	<b>-0.438</b>	<b>0.953</b>
							<b>-0.838</b>	-0.258	<b>0.620</b>
SH							1	0.039	<b>-0.723</b>
								0.344	<b>-0.829</b>
								0.171	<b>-0.443</b>
LH								1	<b>-0.503</b>
									<b>-0.456</b>
									<b>-0.381</b>
T <sub>air</sub>									1

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 881 Note: In-phase means the properties are in the same month, 1-month earlier means that CF in January and other  
 882 parameters are in February, and 1-month late is reverse. These correlations are in 95% (**bold**) and 99% confidence  
 883 levels (**bold and italic**).

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904 Table 3b. Correlations of monthly anomalies (10/2005-09/2007)

Parameter	CF	Cu ΔZ	LWP	PWV	Precip	Net_Rad	SH	LH	T <sub>air</sub>
CF, phase	1	<i>0.568</i>	<i>0.495</i>	<i>0.529</i>	<i>0.575</i>	<b>-0.465</b>	-0.117	0.092	-0.066
1-m early		<b>0.482</b>	0.058	0.174	0.167	-0.347	-0.019	0.305	<b>-0.378</b>
1-m late		<i>0.508</i>	<i>0.498</i>	0.262	<b>0.381</b>	-0.285	0.248	<b>-0.376</b>	-0.173
Cu_ΔZ		1	<i>0.683</i>	<i>0.544</i>	<i>0.650</i>	<b>-0.615</b>	-0.117	0.091	-0.202
			<b>0.432</b>	<b>0.408</b>	<b>0.423</b>	-0.322	-0.142	0.288	<b>-0.529</b>
			<i>0.647</i>	0.163	0.307	<b>-0.458</b>	-0.230	-0.110	-0.247
LWP			1	<b>0.384</b>	<i>0.782</i>	<b>-0.460</b>	-0.139	0.038	-0.152
				<b>0.438</b>	<i>0.508</i>	-0.316	-0.319	0.192	<b>-0.465</b>
				0.214	<b>0.386</b>	-0.246	-0.292	-0.122	-0.206
PWV				1	<i>0.637</i>	-0.118	-0.141	0.034	0.135
					0.204	-0.121	-0.228	<b>0.385</b>	-0.279
					0.109	-0.187	-0.038	-0.302	<b>-0.375</b>
Precip					1	<b>-0.424</b>	-0.061	0.190	0.106
						-0.118	-0.310	0.351	<b>-0.471</b>
						-0.208	-0.306	-0.195	-0.279
Net_Rad						1	-0.212	0.003	0.257
							0.016	<b>-0.427</b>	0.358
							0.229	0.169	0.182
SH							1	<b>-0.565</b>	-0.023
								0.108	0.063
								-0.174	0.077
LH								1	-0.092
									-0.123
									-0.132
T <sub>air</sub>									1

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