Popular Summary:

"Modulation of Atlantic Aerosols by the Madden-Julian Oscillation"

Tian, B., D.E. Waliser, R.A. Kahn, and S. Wong, 2010.

J. Geophys. Res., submitted.

Much like the better-known El Nino–Southern Oscillation, the Madden-Julian Oscillation (MJO) is a global-scale atmospheric phenomenon. The MJO involves periodic, systematic changes in the distribution of clouds and precipitation over the western Pacific and Indian oceans, along with differences in wind intensity over even more extensive areas, including the north and sub-tropical Atlantic Ocean. The lead authors of this paper developed a sophisticated mathematical technique for mapping the spatial and temporal behavior of changes in the atmosphere produced by the MJO. In a previous paper, we applied this technique to search for modulation of airborne particle amount in the eastern hemisphere associated with the "wet" (cloudy) vs. "dry" phases of the MJO. The study used primarily AVHRR, MODIS, and TOMS satellite-retrieved aerosol amount, but concluded that other factors, such as cloud contamination of the satellite signals, probably dominated the observed variations.

The current paper looks at MJO modulation of desert dust transport eastward across the Atlantic from northern Africa, a region much less subject to systematic cloud contamination than the eastern hemisphere areas studied previously. In this case, a distinct aerosol signal appears, showing that dust is transported westward much more effectively during the MJO phase that favors westward-flowing wind, and such transport is suppressed when the MJO reduces these winds. Aside form the significant achievement in identifying such an effect, the result implies that an important component of global dust transport can be predicted based on the phase of the MJO. As a consequence, the impact of airborne dust on storm development in the Atlantic, and on dust deposition downwind of the desert sources, can also be predicted and more accurately modeled.

Modulation of Atlantic Aerosols by the Madden-Julian Oscillation 1 2 Baijun Tian¹, Duane E. Waliser¹, Ralph A. Kahn², and Sun Wong¹ 3 ¹Jet Propulsion Laboratory, California Institute of Technology, Pasadena, CA 4 ²NASA Goddard Space Flight Center, Greenbelt, MD 5 Correspondence to: Baijun Tian (baijun.tian@jpl.nasa.gov) 6 7 Abstract 8 Our previous study found large intra-seasonal variations in satellite-derived 9 aerosol products over tropical Atlantic Ocean associated with the Madden-Julian 10 Oscillation (MJO). This study aims to investigate the physical mechanism of these 11 aerosol anomalies through analyzing aerosol optical thickness (AOT) from the MODIS 12 instrument on Aqua satellite and low-level (850-hPa) horizontal winds from 13 NCEP/NCAR reanalysis. Our analysis indicates that when enhanced MJO convection is 14 located over the equatorial Indian Ocean (western Pacific), persistent low-level westerly 15 (easterly) anomalies over the equatorial Atlantic reduce (enhance) the low-level westward 16 aerosol transport from Africa and induce negative (positive) AOT anomalies over the 17 equatorial Atlantic. These results indicate that the MJO modulates the Atlantic aerosol 18 concentration through its influence on the Atlantic low-level zonal wind anomalies and 19 20 westward aerosol transport from Africa. This study implies that Atlantic aerosol concentration might have predictable components with lead times of 2-4 weeks given the 21 predictability of the MJO. 22

23 1. Introduction

The Madden-Julian Oscillation (MJO) [Madden and Julian, 1971; 1972] is the 24 dominant form of the intra-seasonal (30–90 day) variability in the tropical atmosphere 25 and is characterized by slow ($\sim 5 \text{ m s}^{-1}$) eastward-propagating, large-scale oscillations in 26 27 the tropical deep convection and baroclinic winds, especially over the warmest tropical waters in the equatorial Indian Ocean and western Pacific, during boreal winter 28 (November-April), when the Indo-Pacific warm pool is centered near the equator [Lau 29 and Waliser, 2005; Zhang, 2005]. It has been well documented that the MJO can impact 30 31 numerous physical weather and climate phenomena over the globe. However, the impact 32 of the MJO on atmospheric composition is only beginning to be realized [e.g., *Li et al.*, 2010; Tian et al., 2007; Weare, 2010; Wong and Dessler, 2007; Ziemke and Chandra, 33 2003]. 34

35 Recently, Tian et al. [2008] examined the aerosol variability related to the MJO 36 using multiple, global satellite aerosol products including aerosol index (AI) from the Total Ozone Mapping Spectrometer (TOMS) on Nimbus-7 satellite, and aerosol optical 37 thickness (AOT) from the Moderate Resolution Imaging Spectroradiometer (MODIS) on 38 Terra satellite and the Advanced Very High Resolution Radiometer (AVHRR) on NOAA 39 satellites. That analysis indicated large intra-seasonal variations in the aerosol products 40 over the whole Tropics (see Figures 2 and 4 of *Tian et al.* [2008]). {Baijun – I suggest 41 this rewording because the variations were in the aerosol *products*, but likely not in the 42 actual aerosols, in this case.) Over the tropical Indian Ocean and western Pacific where 43 MJO convection is active and the background aerosol level is low, a strong inverse linear 44 45 relationship between the TOMS AI and rainfall anomalies, but a weaker, less coherent

positive correlation between the MODIS/AVHRR AOT and rainfall anomalies, were
found. Although a number of plausible mechanisms for these relationships exist, the
exact causes are still to be determined.

Over the equatorial Atlantic Ocean and Africa where MJO convection is weak but 49 the background aerosol level is high, the spatial and temporal patterns of TOMS AI and 50 51 MODIS AOT anomalies are similar. When the enhanced MJO convection is located over the equatorial Indian Ocean (western Pacific), the aerosol anomalies over the equatorial 52 Atlantic Ocean and Africa are negative (positive). Since the MJO convection and 53 54 associated cloud anomalies are rather weak over the equatorial Atlantic Ocean and Africa, the cloud contamination effect for the AOT MJO anomalies should be weak too 55 56 over this region [Tian et al., 2008]. However, how the MJO generates these aerosol variations over the equatorial Atlantic Ocean and Africa was not addressed in Tian et al. 57 58 [2008]. The purpose of the present study is to investigate how the MJO generates these intra-seasonal aerosol variations over the equatorial Atlantic Ocean and modulates the 59 Atlantic aerosol concentration. 60

Given the potential predictability of the MJO extending to 2-4 weeks [e.g., 61 *Waliser*, 2005], if the MJO does influence the Atlantic aerosols, then the Atlantic aerosol 62 63 concentration may be predictable with lead times of 2-4 weeks, which in turn may lend important guidance to prediction of air quality, dust storm activity, and ocean nutrient 64 deposition over the Atlantic Ocean. Furthermore, the modulation of Atlantic aerosol by 65 the MJO will provide an important physical/chemical process to evaluate chemical 66 transport models and help model development. Section 2 describes the data sets and 67 analysis methodology used in this study. Section 3 presents the main results followed by 68

69 conclusions and discussion in Section 4.

70 2. Data and methodology

For this study, we use the MODIS/Aqua Collection 5.1 (C051), Level-3 (L3) 71 daily global aerosol product 'Optical Depth Land And Ocean' (MYD08 D3). The data 72 are on $1^{\circ} \times 1^{\circ}$ spatial grids and from 4 July 2002 to 1 June 2009. This aerosol product 73 represents total-column AOT at 0.55 µm over both ocean (best) [Tanre et al., 1997] and 74 land (corrected) except for bright surfaces [Kaufman et al., 1997] based on the 'dark 75 target' algorithm [Remer et al., 2005; 2008]. To characterize the large-scale circulation 76 patterns that transport aerosols, daily horizontal winds from the National Centers for 77 78 Environmental Prediction/National Center for Atmospheric Research (NCEP/NCAR) reanalysis were used. The wind data have a spatial resolution of $2.5^{\circ} \times 2.5^{\circ}$ and are from 79 2002 to 2009. 80

For the MJO analysis and composite procedure, we use the multivariate empirical 81 orthogonal function (EOF) method introduced by Wheeler and Hendon [2004] and 82 83 adopted widely by the MJO community [e.g., Waliser et al., 2009]. The comparison of 84 this multivariate EOF method and the extended EOF method used in our previous aerosol study [Tian et al., 2008] has been examined by Tian et al. [2010] with the finding that 85 86 both methods yield similar results for the MJO composite analysis. Briefly, the intraseasonal anomalies of daily aerosol and wind data were obtained by removing the 87 climatological-mean seasonal cycle and filtering via a 30–90-day band pass filter. Then, a 88 89 composite MJO cycle (8 phases) was calculated by averaging the daily anomalies that occurred within each phase of the MJO cycle. The MJO phase for each day is determined 90 by the Real-time Multivariate MJO (RMM) index (a pair of PC time series called RMM1 91

RMM2; available from 1974 92 and to present at http://www.cawcr.gov.au/bmrc/clfor/cfstaff/matw/maproom/RMM/). Figure 1 shows the 93 (RMM1, RMM2) phase space for all days in boreal winter from November 2002 to April 94 2009 and the number of days for each phase of the composite MJO cycle. Only days with 95 strong MJO activity ($RMM1^2+RMM2^2 \ge 1$) are considered. The statistical assessment as 96 97 to whether a composite mean at each point is different from zero is assessed by the two sided t test, $t = \sqrt{Nx}/\sigma$. Here, N is the number of samples in the composite mean and in 98 this case the number of days for each phase of the composite MJO cycle. \bar{x} and σ are the 99 100 composite mean and standard deviations of the AOT samples for each phase of the composite MJO cycle. 101

102 **3. Results**

Figure 2a shows the climatological mean (2002-2009) boreal winter 103 MODIS/Aqua AOT and NCEP/NCAR 850-hPa horizontal winds over the tropical 104 Atlantic and nearby region (90°W-50°E, 40°S-40°N). In Figure 2a, the strong impact of 105 land surface emission and large-scale horizontal, especially zonal, wind transport are 106 107 evident in the aerosol distribution. For example, large aerosol loadings are found near the 108 Sahara and Arabian deserts due to strong sources of desert dust and over equatorial Africa and Amazon associated with biomass burning activities [Kaufman et al., 2005]. 109 110 The dominant aerosol feature over the tropical Atlantic is the zonally oriented optically thick aerosol plume centered at 5°N-8°N stretching across the Atlantic. Its magnitude 111 (>0.6) and latitudinal extent (~15°S - 20°N) are greatest over the eastern equatorial 112 Atlantic along the west coast of Africa and gradually decrease westward toward the 113 central and western equatorial Atlantic. It can reach the northeast coast of South America 114

and Amazon basin with sizable AOT values (~ 0.2). This aerosol plume is the result of the 115 equatorial Atlantic trade winds that transport the mixed Saharan/Sahelian dust and 116 biomass-burning smoke from Africa to the Atlantic Ocean [e.g., Cakmur et al., 2001; 117 Carlson and Prospero, 1972; Huang et al., 2010; Husar et al., 1997; Kaufman et al., 118 2005]. Over the midlatitude Atlantic ($\sim 40^{\circ}$ N/S), there is a relatively high aerosol loading 119 120 (-0.15) that is associated with the midlatitude westerlies that transport the industrial pollution (sulfate and carbonaceous aerosols) from South and North America to the 121 Atlantic Ocean. Over the subtropical North and South Atlantic (~25°N/S), two high-122 pressure anticyclones (e.g., Azores high) dominate and the aerosol loading there is very 123 low (~0.05). 124

125 Figure 2b shows the similar map as Figure 2a except for the 700-hPa NCEP/NCAR horizontal winds. Comparing Figure 2a and 2b indicates that the large-126 scale circulation patterns are roughly similar between 850 and 700 hPa, such as the 127 equatorial trade winds and subtropical highs. Thus, both wind patterns can explain the 128 gross aerosol distribution pattern. However, there are two notable differences. First, over 129 the Gulf of Guinea, the easterly wind speed is much larger at 700 hPa than at 850 hPa and 130 a correlation analysis indicates that the linear correlation between the mean AOT and 131 westerly wind speed is higher at 700-hPa than at 850 hPa. Thus, the 700-hPa winds may 132 play a more important role in transporting the aerosol over this region. {Includes smoke 133 and some dust.} Second, over the Saharan desert and west coast of Africa near 20°N, 134 northeasterly trade winds dominate at 850 hPa, whereas westerlies are common at 700 135 hPa. Thus, the aerosol (mostly dust) over the west coast of Africa near 20°N is more 136 likely transported by the 850-hPa winds instead of the 700-hPa winds as first noted by 137

Chiapello et al. [1995]. Recent work of *Huang et al.* [2010] (their Figure 12h) also shows
that the typical dust height is much lower in winter (around 1-2 km) than summer (around
3-4 km). Thus, we choose 850-hPa instead of 700-hPa winds for the analysis results
presented here.

142 Figure 3 shows the composite maps of boreal winter MJO-related MODIS/Aqua AOT anomalies (multiplied by 100 and with 95% confidence limits based on a Student's 143 t-test) and 850-hPa NCEP/NCAR horizontal wind anomalies over the tropical Atlantic 144 region (70°W-30°E, 20°S-30°N). In Figure 3 we see large AOT anomalies over the 145 equatorial Atlantic, collocated with the background aerosol plume (Figure 2). The highest 146 magnitude (± 0.04) and largest latitudinal extent ($\sim 15^{\circ}$ S - 20°N) of these AOT anomalies 147 148 are typically found over the eastern equatorial Atlantic along the west coast of Africa. The AOT anomalies range up to about ± 0.04 for the composite MJO and are about 20% 149 of their background mean (~0.2) (Figure 2). However, the AOT anomalies for individual 150 151 MJO events are about ± 0.2 and can be as large as ± 0.8 . These AOT anomalies for individual MJO events are much larger than MODIS AOT uncertainty (± 0.03) [Remer et 152 153 al., 2005; 2008] and comparable to the AOT anomalies caused by dust storms at synoptic scale (~0.2) [Wong et al., 2006]. To demonstrate the importance of the intra-seasonal 154 155 variations of the Atlantic aerosols in their overall variability, Figure 4 shows the standard deviations of boreal winter AOT anomalies after removing the mean seasonal cycle (≥ 2 156 days, total) (4a) and those for the intra-seasonal time scale after removing the mean 157 seasonal cycle and filtering via a 30–90-day band pass filter (note color scale change) 158 (4b) as well as the percentage of the total variance of boreal winter AOT anomalies 159 explained by their intra-seasonal variance (4c). The spatial patterns of the standard 160

deviations of boreal winter AOT anomalies for both total and intra-seasonal time scales 161 are similar to that of the mean AOT in Figure 2. The magnitude of the total standard 162 163 deviations is about half of that of the means. Furthermore, the magnitude of the intraseasonal standard deviation is about half of that of the total anomalous (apart from the 164 seasonal cycle) standard deviations. As is evident, the intra-seasonal variance accounts 165 for about 25% of the total variance of the aerosol variability over the tropical Atlantic 166 (e.g., 5°S-15°N). Thus, intra-seasonal variability, and even that part driven by the MJO, 167 is one of the most important forms of Atlantic aerosol variability. 168

For a comparison between the current MODIS/Aqua results and our earlier 169 MODIS/Terra results, the phases 2, 4, 6, and 8 in the current Figure 3 (hereafter CF3) 170 roughly correspond to the lags 0, +2, +4, and -2 in Figure 4 of *Tian et al.* [2008] 171 172 (hereafter OF4) according to the location of MJO convection (rainfall) anomalies (see Figure 4 of Tian et al. [2008] and Figure 12 of Waliser et al. [2009]). Comparing these 173 two figures indicates that the general spatial and temporal patterns and magnitudes of 174 175 AOT anomalies over the Atlantic region are similar between MODIS/Aqua and 176 MODIS/Terra. For example, both phase 2 in CF3 and lag 0 in OF4 show strong negative AOT anomalies over the tropical Atlantic when the enhanced convection is located over 177 the central and eastern equatorial Indian Ocean. In contrast, both phase 6 in CF3 and lag 178 179 +4 in OF4 show strong positive AOT anomalies over the tropical Atlantic when the enhanced convection is located over the equatorial western Pacific. Furthermore, both 180 phase 4 in CF3 and lag +2 in OF4 show weak positive AOT anomalies over the tropical 181 North Atlantic and weak negative AOT anomalies over the tropical South Atlantic when 182 the enhanced convection is located over the Maritime Continent, and conversely for 183

phase 8 in CF3 and lag -2 in OF4. The global spatial and temporal patterns of
MODIS/Aqua and MODIS/Terra AOT anomalies are also similar (not shown).

Figure 3 also demonstrates the strong impact of low-level horizontal, especially 186 zonal, wind anomalies on the Atlantic AOT anomalies. For example, at phase 2 when the 187 enhanced convection is located over the central and eastern equatorial Indian Ocean 188 (Figure 12 of Waliser et al. [2009]), the 850-hPa horizontal wind anomalies over the 189 equatorial Atlantic are persistent westerlies that blow from the Atlantic Ocean to Africa 190 as a dynamical response to the enhanced convection over the Indian Ocean. These 191 westerly anomalies suppress the background westward aerosol transport by the low-level 192 mean easterlies and cause the negative AOT anomalies over the Atlantic region. A 193 similar argument can also be applied to phases 1 and 3. In contrast, in phase 6 when the 194 enhanced MJO convection is located over the equatorial western Pacific (Figure 12 of 195 Waliser et al. [2009]), the 850-hPa horizontal wind anomalies over the equatorial Atlantic 196 are persistent easterlies that blow from Africa to the Atlantic Ocean as a dynamical 197 198 response to suppressed convection over the Indian Ocean. These easterly anomalies enhance the background westward aerosol transport by the low-level mean easterlies and 199 cause the positive AOT anomalies over the Atlantic region. Similar reasoning also 200 201 applies to phases 4 and 5. To better demonstrate the important role of low-level zonal 202 wind anomalies in the Atlantic AOT anomalies, Figure 5 shows the linear correlation coefficient between the MODIS/Aqua AOT anomalies for the composite MJO cycle 203 204 (Figure 3) and 850-hPa NCEP/NCAR zonal wind anomalies for the composite MJO cycle over the tropical Atlantic region. Here, negative (positive) correlation means that 205 westerly anomalies induce negative (positive) AOT anomalies or easterly anomalies 206

induce positive (negative) AOT anomalies. Clearly, the AOT anomalies are negatively 207 208 correlated with the 850-hPa zonal wind anomalies over most part of tropical Atlantic and the west coast of Africa. The largest negative correlation (\sim -0.9) is found over the south 209 equatorial Atlantic and western Africa (10°S-EQ, 0°-30°E). These results indicate that the 210 intra-seasonal aerosol variations over the tropical Atlantic are produced by the low-level 211 212 zonal wind anomalies over the Atlantic associated with the MJO. In other words, the MJO modulates the Atlantic aerosols through its influence on the Atlantic low-level zonal 213 wind anomalies and westward aerosol transport from Africa. It is also interesting to note 214 a positive correlation between AOT and zonal wind over the east coast of South America 215 around (15°S, 40°W) where the background trade winds blow the clean marine air from 216 217 south Atlantic to South America (Figure 2). Thus, westerly (easterly) anomalies will reduce (enhance) the marine air input to the land and enhance (suppress) the local 218 biomass burning activity and aerosol loading. The 700-hPa winds were also examined 219 220 and the results are very similar those from 850 hPa.

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4. Conclusions and discussion

222 This study aims to investigate the physical mechanism of large intra-seasonal variations in tropical Atlantic aerosols found in our previous study [Tian et al., 2008] 223 through analyzing the MODIS/Aqua AOT and NCEP/NCAR 850-hPa horizontal winds. 224 First, through reference to our earlier study, we show that the general spatial and 225 226 temporal patterns and magnitudes of AOT anomalies over the Atlantic region are similar between MODIS/Aqua and MODIS/Terra. The intra-seasonal variance related to the 227 MJO accounts for about 25% of the total variance of MODIS/Aqua AOT over the 228 229 tropical Atlantic. Thus, the intra-seasonal variability is one of the most important forms

of Atlantic aerosol variability. Second, we show that when enhanced MJO convection is 230 located over the equatorial Indian Ocean, persistent low-level westerly anomalies over 231 the equatorial Atlantic suppress the background westward aerosol transport and cause the 232 negative AOT anomalies over the Atlantic region. In contrast, when enhanced MJO 233 convection is located over the equatorial western Pacific, persistent low-level easterly 234 235 anomalies over the equatorial Atlantic enhance the background westward aerosol 236 transport and cause the positive AOT anomalies over the Atlantic region. These results 237 indicate that the intra-seasonal aerosol variations over the tropical Atlantic are produced by the low-level zonal wind anomalies over the Atlantic associated with the MJO. In 238 other words, the MJO modulates the Atlantic aerosols through its influence on the 239 Atlantic low-level zonal wind anomalies and westward aerosol transport from Africa. 240 Given the potential predictability of the MJO extending to 2-4 weeks [e.g., Waliser, 241 2005], this study implies that components of the Atlantic aerosol concentration may be 242 predictable with lead times of 2-4 weeks, which in turn may lend important guidance to 243 the climate processes, such as air quality, dust storm, and ocean nutrients, over the 244 Atlantic Ocean. Furthermore, this study will provide an important physical/chemical 245 process to evaluate chemical transport models and help the model development. 246

247 Acknowledgments

This research was performed at Jet Propulsion Laboratory (JPL), California Institute of Technology, under a contract with NASA. It was supported in part by the Atmospheric Infrared Sounder (AIRS) project at JPL and the National Science Foundation (NSF) grant ATM-0840755 at University of California, Los Angeles.

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337	Figure Captions
338	Figure 1: (RMM1, RMM2) phase space for all days in boreal winter from November
339	2002 to April 2009 and the number of days for each phase of the MJO cycle.
340	Eight defined phases of the phase space are labeled to indicate the eastward
341	propagation of the MJO in one MJO cycle. Also labeled are the approximate
342	locations of the enhanced convective signal of the MJO for that location of the
343	phase space, e.g., the "Indian Ocean" for phases 2 and 3.
344	Figure 2: (a) Climatological mean (2002-2009) boreal winter (November-April)
345	MODIS/Aqua AOT and NCEP/NCAR 850-hPa horizontal winds over the
346	tropical Atlantic region. White regions indicate areas of missing MODIS/Aqua
347	AOT data. (b) As in (a) except for 700-hPa NCEP/NCAR horizontal winds.
348	Figure 3: Composite maps of boreal winter (November-April) MJO-related
349	MODIS/Aqua AOT anomalies (multiplied by 100) and NCEP/NCAR 850-hPa
350	horizontal wind (m s^{-1}) anomalies over the tropical Atlantic region. Only AOT
351	anomalies with above 95% confidence limit are shown.
352	Figure 4: (a) Standard deviation of boreal winter (November-April) MODIS/Aqua AOT
353	anomalies after removing the mean seasonal cycle (>= 2 days, total); (b) Same
354	as (a) except for intraseasonal time scale after removing the mean seasonal
355	cycle and filtering via a 30-90-day band pass filter; (c) Percentage of the total
356	variance of boreal winter MOIDS/Aqua AOT anomalies explained by their
357	intraseasonal variance.
358	Figure 5: Linear correlation coefficient between boreal winter (November-April) MJO-
359	related MODIS/Aqua AOT anomalies and NCEP/NCAR 850-hPa zonal wind

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(m s⁻¹) anomalies over the tropical Atlantic region. Negative (positive)
correlation means that westerly anomalies induce negative (positive) AOT
anomalies or easterly anomalies induce positive (negative) AOT anomalies.



Figure 1: (RMM1, RMM2) phase space for all days in boreal winter from November 2002 to April 2009 and the number of days for each phase of the MJO cycle. Eight defined phases of the phase space are labeled to indicate the eastward propagation of the MJO in one MJO cycle. Also labeled are the approximate locations of the enhanced convective signal of the MJO for that location of the phase space, e.g., the "Indian Ocean" for phases 2 and 3.



Figure 2: (a) Climatological mean (2002-2009) boreal winter (November-April) MODIS/Aqua AOT and NCEP/NCAR 850-hPa horizontal winds over the tropical Atlantic region. White regions indicate areas of missing MODIS/Aqua AOT data. (b) As in (a) except for 700-hPa NCEP/NCAR horizontal winds.





Figure 3: Composite maps of boreal winter (November-April) MJO-related MODIS/Aqua AOT anomalies (multiplied by 100) and NCEP/NCAR 850-hPa horizontal wind (m s⁻¹) anomalies over the tropical Atlantic region. Only AOT anomalies with above 95% confidence limit are shown.





Figure 4: (a) Standard deviation of boreal winter (November-April) MODIS/Aqua AOT anomalies after removing the mean seasonal cycle (>= 2 days, total); (b) Same as (a) except for intraseasonal time scale after removing the mean seasonal cycle and filtering via a 30–90-day band pass filter; (c) Percentage of the total variance of boreal winter MOIDS/Aqua AOT anomalies explained by their intraseasonal variance.



Figure 5: Linear correlation coefficient between boreal winter (November-April) MJO-related MODIS/Aqua AOT anomalies and NCEP/NCAR 850-hPa zonal wind (m s⁻¹) anomalies over the tropical Atlantic region. Negative (positive) correlation means that westerly anomalies induce negative (positive) AOT anomalies or easterly anomalies induce positive (negative) AOT anomalies.