



24 **Abstract**

25

26 Saharan dust was observed over the Caribbean basin during the summer 2007 NASA  
27 Tropical Composition, Cloud, and Climate Coupling (TC<sup>4</sup>) field experiment. Airborne  
28 Cloud Physics Lidar (CPL) and satellite observations from MODIS suggest a barrier to  
29 dust transport across Central America into the eastern Pacific. We use the NASA GEOS-  
30 5 atmospheric transport model with online aerosol tracers to perform simulations of the  
31 TC<sup>4</sup> time period in order to understand the nature of this barrier. Our simulations are  
32 driven by the Modern Era Retrospective-Analysis for Research and Applications  
33 (MERRA) meteorological analyses. We evaluate our baseline simulated dust  
34 distributions using MODIS and CALIOP satellite and ground-based AERONET sun  
35 photometer observations. GEOS-5 reproduces the observed location, magnitude, and  
36 timing of major dust events, but our baseline simulation does not develop as strong a  
37 barrier to dust transport across Central America as observations suggest. Analysis of the  
38 dust transport dynamics and loss processes suggest that while both mechanisms play a  
39 role in defining the dust transport barrier, loss processes by wet removal of dust are about  
40 twice as important as transport. Sensitivity analyses with our model showed that the dust  
41 barrier would not exist without convective scavenging over the Caribbean. The best  
42 agreement between our model and the observations was obtained when dust wet removal  
43 was parameterized to be more aggressive, treating the dust as we do hydrophilic aerosols.

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46

47 **1. Introduction**

48

49 During boreal summer, Saharan dust is transported to the Caribbean and northern South  
50 America by the prevailing tropical easterly winds [Karyampudi et al., 1999; Carlson and  
51 Prospero, 1972]. Mineral dust aerosols influence Earth's radiation budget directly  
52 through the scattering and absorption of light [Zhu et al., 2007; Haywood et al., 2003;  
53 Sokolik and Toon, 1996] and indirectly by serving as cloud condensation nuclei (CCN)  
54 [Rosenfeld et al., 2001] and ice nuclei [DeMott et al., 2003] and so affecting the  
55 properties of clouds. Dust aerosols are thought to modulate tropical cyclogenesis over the  
56 tropical North Atlantic by modifying wind fields during development and reducing sea  
57 surface temperatures through the absorption of short wave radiation [Lau and Kim, 2007;  
58 Dunion and Velden, 2004]. Additionally, insoluble iron in dust aerosols can be converted  
59 into a soluble form via photochemistry and cloud processing [Hand et al., 2004; Kieber et  
60 al., 2003; Desbouefs et al., 2001; Zhu et al., 1997], which when deposited at the Earth's  
61 surface can serve as a nutrient source for aquatic and terrestrial ecosystems [Mahowald et  
62 al., 2005; Jickells et al., 2005; Falkowski et al., 2003].

63

64 An apparent barrier to dust transport from the Caribbean into the eastern Pacific was  
65 suggested by aircraft observations made during July-August, 2007, NASA Tropical  
66 Composition Cloud and Climate Coupling (TC<sup>4</sup>) field campaign [Toon et al., 2010]. We  
67 have identified this Central American dust barrier as a persistent feature in satellite  
68 imagery during the boreal summer. While other studies have focused on the broader  
69 transport and deposition of dust in the Caribbean [Kaufman et al., 2005; Mahowald et al.,

70 1999, Tegen and Fung, 1995; Duce et al., 1991], we are not aware of any studies  
71 identifying this barrier or its causes. We find this barrier is also present in chemical  
72 transport model simulations of Saharan dust transport, but that the ability of the model to  
73 reproduce the observations is sensitive to the treatment of dust loss processes.

74

75 In this paper we explore the controls on establishing and maintaining the observed  
76 Central American dust transport barrier, in particular exploring the relative roles of  
77 atmospheric dynamics and dust removal processes. We describe our aerosol transport  
78 model in Section 2. We present the Central American dust barrier and evaluate our  
79 simulated dust distributions using satellite observations from the Moderate Resolution  
80 Imaging Spectroradiometer (MODIS) and the Cloud-Aerosol Lidar with Orthogonal  
81 Polarization (CALIOP), airborne observations from the Cloud Physics Lidar (CPL), and  
82 ground-based observations from the Aerosol Robotic Network (AERONET) (Section 3).  
83 We then explore the cause of the Central American dust barrier by analyzing the  
84 dynamical and loss transport pathways of the dust in this region (Section 4). We  
85 additionally explore the sensitivity of our analyses to uncertainties in our  
86 parameterization of dust loss through wet processes (Section 5). We discuss our  
87 conclusions in Section 6.

88

## 89 **2. Model Description**

90

91 Our aerosol transport model is based on the Goddard Earth Observing System (GEOS-5)  
92 model, the latest version of the NASA Global Modeling and Assimilation Office

93 (GMAO) earth system model. GEOS-5 contains components for atmospheric circulation  
94 and composition (including atmospheric data assimilation), ocean circulation and  
95 biogeochemistry, and land surface processes. Components and individual  
96 parameterizations within components are coupled under the Earth System Modeling  
97 Framework (ESMF) [Hill et al. 2004]. The GEOS-5 earth system model serves as a state-  
98 of-the-art modeling tool for studying climate variability and change, and provides  
99 research quality reanalyses for use by NASA instrument teams and the scientific  
100 community. In addition to traditional meteorological parameters (winds, temperatures,  
101 etc.) [Rienecker et al. 2008], GEOS-5 includes modules representing the atmospheric  
102 composition, notably aerosols [Colarco et al. 2010] and tropospheric/stratospheric  
103 chemical constituents [Pawson et al. 2008], and includes the impact of these constituents  
104 on radiative processes within the atmosphere.

105

106 GEOS-5 has the capability to run at various horizontal spatial resolutions, from  $4^\circ \times 5^\circ$   
107 latitude by longitude for long climate integrations to  $\sim 3 \times 3 \text{ km}^2$  using advanced  
108 dynamical cores. The version we use here is run at  $0.5^\circ \times 0.625^\circ$  latitude by longitude, to  
109 match the spatial resolution of the meteorological analyses used to drive our simulations.  
110 The model has 72 vertical layers distributed in a hybrid coordinate system that is terrain  
111 following near the surface and transforms to pressure coordinates near 180 hPa, with a  
112 model top at about 85 km.

113

114 GEOS-5 can be run as a climate model or in a data assimilation stream. Here, we exploit  
115 the GEOS-5 capability to “replay” from a prior data assimilation run. This functions as a

116 data assimilation run in that the model makes a forecast to the analysis time (typically  
117 every six hours), however, rather than performing the data assimilation step at that point,  
118 the model dynamical state (winds, pressure, temperature, and specific humidity) is simply  
119 replaced by fields from the assimilation data set. In our case we use fields from the  
120 Modern Era Retrospective Analysis for Research and Applications (MERRA) [Rienecker  
121 et al., 2011] analysis, available every six hours at a spatial resolution of  $0.5^\circ \times 0.625^\circ$   
122 latitude by longitude.

123

124 The aerosol module in GEOS-5 is based on the Goddard Chemistry, Aerosol, Radiation,  
125 and Transport (GOCART) model [Chin et al. 2002], as previously integrated into an  
126 earlier version of the GEOS model framework [Colarco et al., 2010]. GOCART provides  
127 a treatment of five tropospheric aerosol species (dust, sea salt, black carbon, organic  
128 carbon, and sulfate), including their sources, sinks, and chemistry. Our treatment of dust  
129 follows from GOCART and the description given in Nowottnick et al. [2010]. The dust  
130 size distribution is partitioned into five non-interacting size bins spaced between 0.1 and  
131 10  $\mu\text{m}$  radius. Dust mobilization follows from Ginoux et al. [2001] with sources  
132 preferentially located in large-scale topographic depressions (see also Prospero et al  
133 [2002]). Dust losses are through dry and wet removal processes, including turbulent dry  
134 deposition, sedimentation, and wet removal by large-scale and convective cloud systems.  
135 Further details of our treatment of dust, including dust optics, are provided in Nowottnick  
136 et al. [2010] and Colarco et al. [2010].

137

138 **3. Evidence for the Central American dust barrier and model evaluation**

139

140 To evaluate Saharan dust transport to the Caribbean and understand the Central American  
141 dust barrier we performed a baseline GEOS-5 replay simulation using the MERRA  
142 analyses. We simulate all aerosol types with radiative feedback to represent the effect of  
143 aerosol absorption and scattering (direct effect) on the atmosphere. After 75 days of  
144 model spin-up, we conduct our simulation from June 15, 2010 through August 31, 2010.

145

### 146 **3.1 Data Sources**

147

148 In this section we introduce the observational data sources that show evidence of the  
149 Central American dust barrier and which we use to evaluate dust transport in GEOS-5  
150 during TC<sup>4</sup>.

151

#### 152 **3.1.1 MODIS**

153

154 The Moderate Resolution Imaging Spectroradiometer (MODIS) was launched on  
155 December 12, 1999 aboard the Terra spacecraft. A second MODIS instrument was  
156 launched on the Aqua satellite as a part of the NASA A-Train on May 4, 2002. The  
157 MODIS instruments provide multispectral observations of the Earth system using 36  
158 channels at 10:30 AM (Terra) and 1:30 PM (Aqua) local time. MODIS aerosol retrievals  
159 are made at a spatial resolution of at  $10 \times 10 \text{ km}^2$  using separate retrieval algorithms for  
160 ocean and land. Over oceans, the MODIS algorithm uses retrieved radiances from six  
161 channels (550, 660, 870, 1240, 1630, and 2130 nm) to provide aerosol information at

162 seven wavelengths, using the six retrieved channels and an additional fitted wavelength at  
163 470 nm [Remer et al., 2005]. Over land, an empirical relationship between radiance  
164 retrievals at two visible channels (470 and 660 nm) and one near-IR channel (2130 nm) is  
165 used to determine the surface reflectivity to provide aerosols properties at 470, 550, and  
166 660 nm [Remer et al., 2005]. For our analysis, we use MODIS aerosol optical thickness  
167 (AOT) observations at 550 nm from collection 5.1. MODIS provides semi-quantitative  
168 quality assurance (QA) flags, where QA ranges in integer from QA=0 (low confidence in  
169 aerosol retrieval) to QA=3 (high confidence in retrieval). Over land we aggregate only  
170 highest quality (QA=3) retrievals, whereas over ocean we aggregate all retrievals but  
171 weight them by their respective QA flag value, similar to the MODIS canonical Level 3  
172 gridded product [Levy et al., 2009].

173

### 174 **3.1.2 AERONET**

175

176 The Aerosol Robotic Network (AERONET) of ground-based sunphotometers provide  
177 measurements of direct solar beam extinction every 15 minutes at 340, 380, 440, 500,  
178 670, 870, and 1020 nm to provide AOT measurements at 440, 670, 870, and 1020 nm  
179 with an accuracy of +/-0.015 [Holben et al., 2001]. AERONET utilizes principle plane  
180 and almuncantar scans to invert aerosol properties and to determine size information  
181 [Dubovik and King, 2000]. To determine the AERONET AOT at 550 nm for comparison  
182 to our model, we first determine the 470-870 nm Angstrom parameter  $\alpha$ , defined:

$$183 \quad \tau_1 = \tau_2 \left( \frac{\lambda_1}{\lambda_2} \right)^{-\alpha} \quad (1)$$



184 where  $\tau_1$  and  $\tau_2$  are AERONET AOT values at  $\lambda_1 = 470$  nm and  $\lambda_2 = 870$  nm,  
185 respectively. Once the Angstrom parameter is determined, we use Equation 1 to  
186 determine  $\tau$  at  $\lambda = 550$  nm. For evaluation of our model, we use AERONET version 2,  
187 Level 2 cloud-screened and quality-assured daily averaged AOT values [Smirnov et al.,  
188 2000] at AERONET sites that are near and downwind of the source region (Figure 1).

189

### 190 **3.1.3 CALIOP**

191

192 The Cloud-Aerosol Lidar with Orthogonal Polarization (CALIOP) was launched onboard  
193 CALIPSO on April 28, 2006 as part of the NASA A-Train. CALIOP is a two-channel  
194 (532 and 1064 nm) spaceborne lidar that provides profiles of cloud and aerosol properties  
195 along the satellite subpoint [Vaughan, 2005]. CALIOP has a temporal resolution of  
196 20.16 Hz and vertical resolution that varies from 30 m in the troposphere up to 60 m at  
197 higher altitudes. Because CALIOP is an active instrument, it provides both a daytime  
198 (1:30 pm local time) and nighttime (1:30 am local time) measurement. CALIOP sends  
199 out polarized light at 532 nm and is equipped with sensors that measure the parallel and  
200 perpendicular components of the backscattered signal. The standard CALIOP retrieval  
201 provides measurements of total attenuated backscatter at each channel [Vaughan, 2005].  
202 However, polarization information and spectral variation of the backscatter can be used  
203 to infer the presence of aerosols and their type [Vaughan, 2005] In the CALIOP  
204 algorithm, backscatter from aerosols is differentiated from clouds by defining a lidar  
205 color ratio ( $\beta_{1064\text{nm}} / \beta_{532\text{nm}}$ ). At visible wavelengths, aerosols exhibit spectral variation  
206 while clouds do not, therefore a lidar color ratio that is approximately one is used to

207 identify clouds [Vaughan, 2005]. Once aerosols are differentiated from clouds,  
208 polarization properties can be used to infer aerosol type. Non-spherical aerosols such as  
209 dust are depolarizing and contribute to signal return in both the perpendicular and parallel  
210 planes. Spherical aerosols are not strongly polarizing and scatter predominantly in the  
211 parallel plane. Therefore, a depolarization ratio ( $\beta_{\text{perpendicular}} / \beta_{\text{parallel}}$ ) can be defined to  
212 identify the presence of non-spherical aerosols. For our analysis, we use CALIOP  
213 version 3.01 data, which offers an improved technique for the daytime 532 nm total  
214 attenuated backscatter calibration relative to previous versions.

215

#### 216 **3.1.4 CPL**

217

218 The Cloud Physics Lidar (CPL) is a multi-pulse lidar that has provided observations  
219 during several NASA field campaigns [McGill et al., 2004; McGill et al., 2000]. During  
220 TC<sup>4</sup>, CPL flew on the NASA ER-2 aircraft, providing profiles of total attenuated  
221 backscatter on 16 different days. CPL measures backscatter at 3 wavelengths (355, 532,  
222 and 1064 nm) with a frequency of 5 kHz and depolarization ratio at 1064 nm [McGill et  
223 al., 2002]. Processed CPL data is available with a temporal resolution of 1 s and has a  
224 spatial resolution of 30 m in the vertical and 200 m in the horizontal [McGill et al., 2002].

225

#### 226 **3.2 Evidence of the Central American dust barrier**

227

228 To illustrate the Central American dust barrier, we show the climatology of July MODIS-  
229 Aqua (2003-2010) and MODIS-Terra (2000-2010) land and ocean AOT averaged over

230 latitudes of peak Caribbean dust AOT ( $10^{\circ}$  N -  $20^{\circ}$  N, see Figure 1) in Figure 2. From  
231 this, we see that the Central American dust barrier is a persistent feature, marked by a  
232 sharp drop in the AOT west of  $80^{\circ}$  W. Specifically, during July 2007, the MODIS-Terra  
233 AOT drops from 0.375 at  $80^{\circ}$  W down to 0.2 at  $90^{\circ}$  W.

234

235 Also shown in Figure 2 is the July 2007 AOT from the GEOS-5 model averaged over the  
236 same region. For this comparison we sample our modeled aerosol distributions at the  
237 times and locations of the MODIS observations, which has been shown to reduce biases  
238 between the MODIS and model AOT because of clouds [Colarco et al., 2010]. Over the  
239 Caribbean (west of  $60^{\circ}$  W), the model AOT is comparable to MODIS-Terra. Near the  
240 Central American coastline, the model shows evidence of a barrier to dust transport,  
241 although not as drastic, decreasing from 0.4 at  $80^{\circ}$  W to 0.3 at  $90^{\circ}$  W (Figure 2). This  
242 suggests that either our removal processes or atmospheric dynamics that drive transport  
243 might not be correct over this region of the Caribbean and will be further explored in  
244 Section 5. Despite this, the model shows evidence for a barrier to dust transport that  
245 corresponds with the Central American coastline.

246

### 247 **3.3 Model Evaluation**

248

249 Here we evaluate the location, timing, and magnitude of dust events simulated in our  
250 model with AOT observations from MODIS-Aqua and AERONET and vertical profile  
251 observations from CPL and CALIOP.

252

253 Figure 3 shows July 2007 monthly means of total AOT from MODIS-Aqua and our  
254 simulation (sampled at MODIS-Aqua observations points as described above). Off the  
255 west coast of North Africa, the model has the peak AOT in the same location as the  
256 sensor, but at a greater magnitude. Moving west across the tropical North Atlantic, the  
257 model matches the observed dust plume location and width, and the magnitude of AOT  
258 becomes more comparable with observations. Owing to improvements in the model  
259 physics and the MERRA analyses, GEOS-5 does better transporting dust from the  
260 Saharan source region to the Caribbean relative to previous versions of the model  
261 [Colarco et al., 2010; Nowottnick et al., 2010]. However, the model extends its dust  
262 plume somewhat into the eastern Pacific ( $90^{\circ} - 95^{\circ}$  W), while MODIS-Aqua AOT values  
263 are constrained to the Caribbean. This feature is also seen in Figure 2, where the model  
264 representation of the Central American dust barrier is not as pronounced as observed by  
265 MODIS-Terra.

266

267 To evaluate the timing of simulated dust events in the model we compare our  
268 For each AERONET site, we compare the observations to simulated total AOT values  
269 from the model grid box that contains the location of the site and calculate mean AOT  
270 and square of the Pearson correlation ( $r$  square) values on days when AERONET data is  
271 available (Figure 4). During TC<sup>4</sup>, we have data from three AERONET sites near the  
272 source region (Ras El Ain, La Laguna, and Capo Verde) and one site downwind (Cape  
273 San Juan).

274

275 At the Ras El Ain site, the model has excellent agreement with the magnitude and the  
276 timing of observed dust events, marked by comparable mean AOT values and a high  
277 correlation coefficient ( $R^2 = 0.71$ ). On the island of Tenerife, the model is well correlated  
278 with the elevated La Laguna site ( $R^2 = 0.62$ ), but is somewhat larger in magnitude.  
279 AERONET AOT values exhibit more variability than at Ras El Ain, as passing dust  
280 events cause a peak in AOT for 2-3 days and then return to almost zero. The model  
281 reproduces the daily variability when compared to AERONET, but simulate a greater  
282 AOT when dust events are observed. At the Capo Verde site, located downwind of a  
283 major Saharan dust source, the model simulates a slightly higher mean AOT value and is  
284 moderately correlated ( $R^2 = 0.46$ ) with AERONET. The lower correlation might be the  
285 result of fewer coincident data points between AERONET and model, likely requiring  
286 more observations for a more meaningful evaluation of the model at this location.  
287 Downwind of the Saharan source region at the Cape San Juan site, the model is well  
288 correlated ( $R^2 = 0.56$ ) with AERONET, matches the timing of transported dust events,  
289 and has a mean AOT value that is nearly identical to the mean AERONET value.  
290  
291 Overall, GEOS-5 accurately simulates the timing and magnitude of dust events near the  
292 Saharan source region and in the Caribbean during the TC<sup>4</sup> field campaign as compared  
293 to the AERONET observations. This contrasts with our comparison to MODIS-Aqua,  
294 where the model generally simulated a higher AOT, particularly just downwind of the  
295 Saharan source region. The MODIS and AERONET datasets are complementary and  
296 have their respective advantages. While MODIS provides a great deal of spatial  
297 coverage, there are uncertainties in the retrieved AOT due to uncertain aerosol optical

298 properties, surface characterization, and cloud contamination. On the other hand,  
299 AERONET provides a direct measurement of AOT and has a much higher temporal  
300 coverage (multiple observations per day). When comparisons to AERONET are  
301 combined with those to MODIS-Aqua, we find that the model captures the shape,  
302 magnitude, and timing of dust plumes during the TC<sup>4</sup> timeframe.

303

304 During TC<sup>4</sup>, a Saharan dust plume was observed over the Caribbean on 19 July with the  
305 CPL flying on the NASA ER-2 aircraft. Using CALIOP, we tracked this dust event from  
306 the Saharan source region (14 July) to the Caribbean (19 July) to evaluate our simulated  
307 vertical dust distributions during transport (Figure 5). For an accurate comparison, we  
308 sampled GEOS-5 along the CALIPSO track at the model synoptic time nearest to the  
309 daytime CALIOP measurement. Shown in Figure 6 are GEOS-5 comparisons to CALIOP  
310 532 nm total attenuated backscatter and feature mask from 14 July to 19 July. On 14 July,  
311 CALIOP observes a thick, elevated dust plume located from 2-5.5 km that extends from  
312 10° - 26° N. The model captures the latitude extent of the dust plume observed by  
313 CALIOP, but is lower in altitude ranging from 1-5.5 km. A limitation of CALIOP is that  
314 its signal becomes attenuated towards the surface when it encounters thick aerosol  
315 plumes. On this day, the CALIOP signal might be partially attenuated at low altitudes, so  
316 the CALIOP data may suggest the lowest edge of the dust plume is at a higher altitude  
317 than it actually was. In the CALIOP layer identification product, low-level marine clouds  
318 are observed north of 15° N below 1 km. While we only show extinction from aerosols,  
319 the influence of these clouds can be seen in the aerosol total extinction where the aerosols  
320 in this region have swelled in the marine boundary layer and are marked by high

321 extinction values. Moving farther from the Saharan source region, the edge of a dust  
322 event is observed on 15 July. CALIOP observes an elevated, thick layer of dust that  
323 extends from 2-5 km between 11° - 24° N, which is well represented in the model.  
324 Further downwind on 17 July, the model matches the observed horizontal extent and  
325 altitude of the observed dust plume. The simulated dust plume extends down to the  
326 surface into a region where CALIOP identifies a thin layer of maritime clouds, making it  
327 difficult to determine whether the lower extent of the simulated plume is correct. On 19  
328 July, the model captures the narrow north-south width and low-altitude dust plume  
329 observed below 3 km by CALIOP, although clearly the observations are impacted by the  
330 presence of mid- and low-level clouds. In general, we see for this case that GEOS-5 is  
331 capturing similar dust plume features to the CALIOP observations during this time  
332 period.

333

334 Figure 7 shows the spatial distribution of AOT at 550 nm retrieved from MODIS-Aqua  
335 and GEOS-5 at 18Z, with the ER-2 flight track overlaid on 7/19. The flight originated  
336 from Costa Rica, heading southwest over the Pacific Ocean to 90° W, then turned around  
337 and headed northeast back towards Costa Rica. The aircraft continued past Central  
338 America over the Caribbean Sea to 75° W and then headed southwest back to Costa Rica.  
339 During the flight, CPL provided an approximately east-west transect of total attenuated  
340 backscatter that extends from the Pacific Ocean into the Caribbean. Comparing the  
341 model to MODIS-Aqua on this day, the model matches the observed AOT location and  
342 magnitude over the Caribbean. Over the Pacific Ocean MODIS-Aqua is partially  
343 obscured by clouds, but the model shows a majority of the model AOT confined to the

344 Caribbean and over Central America. This phenomenon is more clearly seen in the CPL  
345 profile of the 532 nm total attenuated backscatter and column AOT when compared to  
346 GEOS-5 profiles of extinction and AOT at 550 nm that have been sampled along the ER-  
347 2 track at the nearest model synoptic time on 7/19 (Figure 7). Although the CPL signal is  
348 frequently attenuated by clouds over the Pacific and only occasionally over the  
349 Caribbean, both CPL and GEOS-5 provide an illustration of the Central American dust  
350 barrier along the eastern coastline of Costa Rica ( $9^{\circ}$  N,  $84^{\circ}$  W, marked by a mountain).  
351 To avoid cloud contributions to the AOT, we compare the column AOT from 5 km to the  
352 surface for CPL and GEOS-5 (Figure 7). CPL observes high AOT values over the  
353 Caribbean, and a sharp decrease in AOT that corresponds with the Central American  
354 coastline. A similar feature is seen in the simulated AOT but at a lower magnitude and as  
355 in Figure 2, the representation of the Central American dust barrier is not as well defined,  
356 indicating that our transported dust loading might be too low on this day.

357

#### 358 **4. Controls on Saharan Dust During Transport**

359

360 To understand the cause of the Central American dust barrier, we must understand the  
361 roles of the controls on dust distributions during transport. Once emitted from the source  
362 region, dust is further lifted into the atmosphere through dry convection and turbulent  
363 eddies to form an elevated layer, often penetrating into the so-called Saharan Air Layer  
364 (SAL) of hot, dry air [Karyampudi, 1999]. During summer months, a surface north-south  
365 temperature gradient forms between the hot Sahara and the relatively cooler Sahel [Cook  
366 et al., 1999]. Through thermal wind balance, this leads to the summertime African



367 Easterly Jet (AEJ). During AEJ formation, the SAL converges on the north side of the  
368 AEJ axis and is then transported along the north side of the AEJ, delivering dust to the  
369 Caribbean. During the journey from the Sahara to the Caribbean, dust distributions are  
370 controlled by both dynamical and loss processes. Atmospheric dynamics controls the  
371 direction and magnitude of the transported dust mass flux or flow, while loss processes  
372 control the overall dust burden. Therefore, we suspect that the Central American dust  
373 barrier is caused by increases in wet removal, a change in transport direction resulting  
374 from a shift in the prevailing atmospheric dynamics, or some combination of both.

375

376 Ideally, we would have airborne measurements while tracking several dust plumes to  
377 help understand cause of the Central American dust barrier. Unfortunately,  
378 measurements of this sort are extremely limited. However, from our comparisons to  
379 observations of mean dust plume position, event timing, and vertical distributions near  
380 and downwind of the Saharan source region, GEOS-5 provides a reasonable  
381 representation of dust distributions during the TC<sup>4</sup> timeframe, while simulating the  
382 aforementioned processes that are not easily measured. The accuracy of our simulated  
383 wet removal processes are directly linked to our ability to accurately simulate the timing  
384 and intensity of precipitation events. Figure 8 shows the July 2007 mean precipitation  
385 (mm day<sup>-1</sup>) from the Global Precipitation Climatology Project (GPCP) [Huffman et al.,  
386 2009; Adler et al., 2003] and GEOS-5. GPCP provides monthly mean precipitation data  
387 at 1° x 1° resolution using rain gauges, microwave satellite observations from the Special  
388 Sensor Microwave Imager (SSM/I), and infrared satellites observations from many global  
389 geostationary satellites [Adler et al., 2003]. The precipitation patterns in GEOS-5 are

390 generally consistent with GPCP, matching peak values located over Central and South  
391 America. However, GEOS-5 produces a broad area of convective precipitation over the  
392 Caribbean that is not seen in the GPCP data. Over the Caribbean, the average GEOS-5  
393 precipitation rate is  $5 \text{ mm day}^{-1}$  while the average GPCP precipitation rate is  $1.5 \text{ mm day}^{-1}$ . This presents an interesting feature of the model. Figure 2 suggests that our removal  
394 rates are not aggressive enough in removing dust, particularly in the region of the Central  
395 American dust barrier. However, on average, our precipitation rate is greater by a factor  
396 of three (Figure 8). This quandary suggests that the relationship between precipitation  
397 and wet removal is not strong enough in our model. We could, alternatively, simply  
398 rescale our dust emissions lower, which would remove most of the bias seen in Figure 2,  
399 but crucially this would not produce the abrupt dust barrier evident in the data at  
400 approximately  $90^\circ \text{ W}$ .  
401

402

403 In addition to possible errors in our representation of loss processes, our simulated dust  
404 distributions are sensitive to atmospheric dynamics. By using a replay simulation, we are  
405 providing the model with assimilated winds, so that it will be forced with actual  
406 dynamics at each synoptic time. Our estimation of dust transport is therefore sensitive to  
407 our ability to reproduce the actual dynamical state and will be limited by errors in the  
408 representations of advection, planetary boundary layer mixing, and convective mixing.  
409 In addition to sensitivities to the internal dynamical processes, simulated dust  
410 distributions will also be sensitive to the accuracy of observations used in the analysis.  
411 Despite these potential sources of error, our July 2007 simulated dust distributions are  
412 comparable to MODIS-Aqua, AERONET, and CALIOP, as shown in Section 3.

413 Therefore, we use our dust distributions from GEOS-5 to understand the relative roles of  
 414 the processes that control the Central American dust barrier.

415

#### 416 **4.1 Dust Mass Budget**

417

418 We begin our investigation of the controls on the Central American dust barrier by  
 419 employing the vertically integrated mass divergence form of the continuity equation for  
 420 mean values from July 2007:

$$421 \quad \frac{\partial q}{\partial t} = (P - L) + \nabla \cdot \vec{Q} \quad (2)$$

422 where  $q$  is the column dust loading defined:

$$423 \quad q = \sum_{z=0}^{z=top} \gamma \cdot \rho_{air} \cdot dz \quad (3)$$

424 and  $\vec{Q}$  is the vertically integrated dust mass flux:

$$425 \quad \vec{Q} = \sum_{z=0}^{z=top} \gamma \cdot \rho_{air} \cdot u \cdot dz \cdot \hat{i} + \sum_{z=0}^{z=top} \gamma \cdot \rho_{air} \cdot v \cdot dz \cdot \hat{j} \quad (4)$$

426 Here,  $\gamma$  is the dust mass mixing ratio ( $\text{kg kg}^{-1}$ ),  $\rho_{air}$  is the atmospheric air density ( $\text{kg m}^{-3}$ ),  
 427  $u$  and  $v$  are the east-west and north-south components of the wind field ( $\text{m s}^{-1}$ ), and  $dz$  is  
 428 the thickness (m) of each model layer in the vertical column.

429

430 After integrating in the vertical, Equation 2 has three terms: the storage term ( $\frac{\partial q}{\partial t}$ ), the

431 production-loss ( $P - L$ ) term, and the divergence, or transport, term ( $\nabla \cdot \vec{Q}$ ). The storage

432 term represents the net local change in the dust column loading, the  $P - L$  term is defined  
433 as the sum of the column emission fluxes minus fluxes due to dry and wet removal, and  
434 the transport term represents any dust column convergence and divergence resulting from  
435 transport. All terms in Equation 2 are in flux form and have the units ( $\text{kg m}^{-2} \text{s}^{-1}$ ).  
436 Equation 2 can be interpreted as any accumulation of dust mass within an atmospheric  
437 column results from the sum of the net production minus loss and dust import/export via  
438 transport. Figure 9 shows the July 2007 monthly mean storage,  $P - L$ , and transport  
439 terms. We analyze each term separately to understand their respective influence on our  
440 simulated dust distributions over the Caribbean. Our analysis of Equation 2 uses monthly  
441 mean components that have been computed from instantaneous model output at every 3  
442 hours; thus, the fields examined include both the mean flow and the contribution from  
443 transient eddies.

444

#### 445 **4.1.1 Storage Term**

446

447 At each grid cell, the storage term represents the mean local change in the column dust  
448 loading  $q$  ( $\text{kg m}^{-2}$ ) (Equation 3). During July 2007, the largest variations in the dust  
449 column loading occur away from regions of semi-persistent dust flow (Figure 9). This  
450 can be seen north of  $20^\circ \text{N}$  off the west coast of North Africa during July 2007, where  
451 removal rates are small (Figure 9). Eventually, this dust will be removed from the  
452 atmosphere via loss processes or transport. Over the Caribbean, the storage term is  
453 significantly less than the  $P - L$  and transport terms, indicating that the other terms are in  
454 near-balance over this region. Over longer time periods, we expect the storage term to

455 approach zero, as deviations in the mean dust flow will become less significant and  
456 averaged out. In this case, the  $P - L$  term will balance the transport term.

457

#### 458 **4.1.2 $P - L$ Term**

459

460 The mean  $P - L$  term for July 2007 shows positive values over the global source region  
461 and negative values downwind, corresponding to regions where emissions and losses  
462 prevail, respectively (Figure 9). Once dust is emitted from the source region, the total  
463 atmospheric burden is controlled by losses through dry and wet removal processes. In  
464 the Atlantic, losses peak immediately downstream of the source region, although a broad  
465 area of high dust losses carries into the Caribbean.

466

467 Figure 10 shows the relative contributions of our modeled dust loss processes as a  
468 function of distance from the source region. By mass, gravitational sedimentation is the  
469 dominant removal process near the Saharan source region, as the largest, most massive  
470 dust particles fall quickly from the atmosphere. Sedimentation becomes less efficient  
471 further downwind as the largest particles are removed. Wet removal becomes the  
472 dominant loss process, first via large-scale precipitation immediately west of the source  
473 region and then through convective precipitation in the western Caribbean and near  
474 Central America. This region where convective removal dominates coincides with the  
475 location of the Central American dust barrier.

476

#### 477 **4.1.3 Transport Term**

478

479 The transport term represents any column accumulation or loss of dust from the divergent  
480 component of the transported dust mass flux. To analyze the contribution of transport to

481 Equation 2, we begin with our vertically integrated dust mass flux  $\vec{Q}$  ( $\text{kg m}^{-1} \text{s}^{-1}$ )

482 (Equation 4). Because dust is typically located at low altitudes,  $\vec{Q}$  will be weighted

483 toward the mass concentration and the near-surface wind direction and magnitude.

484

485 Consider the Helmholtz decomposition [Brown, 1991]:

486 
$$\vec{Q} = \vec{Q}_{rot} + \vec{Q}_{div} \quad (5)$$

487 where  $\vec{Q}_{rot}$  and  $\vec{Q}_{div}$  are the rotational and divergent components of the vertically

488 integrated mass flux vector  $\vec{Q}$ , with  $\nabla \cdot \vec{Q}_{rot} = 0$  and  $\nabla \times \vec{Q}_{div} = 0$  by definition. The

489 corresponding mass flux streamfunction  $\psi$  and velocity potential  $\chi$  can be obtained by

490 solving Poisson's equations [Brown, 1991]:

491 
$$\nabla^2 \Psi = \nabla \cdot \vec{Q}_{div} \quad (6)$$

492 
$$\nabla^2 \chi = \hat{k} \cdot \nabla \times \vec{Q}_{rot} \quad (7)$$

493 from which we obtain the divergent and rotational components of  $\vec{Q}$ :

494 
$$\vec{Q}_{rot} = -\frac{\partial \Psi}{\partial y} \hat{i} + \frac{\partial \Psi}{\partial x} \hat{j} \quad (8)$$

495 
$$\vec{Q}_{div} = \frac{\partial \chi}{\partial x} \hat{i} + \frac{\partial \chi}{\partial y} \hat{j} \quad (9)$$

496 The rotational component depicts the recirculation of dust in the atmosphere, while the  
497 divergent component of the vertically integrated mass flux is associated with the P – L  
498 process ( $\nabla \cdot \vec{Q}_{div} = \nabla \cdot \vec{Q}$ ) (Equation 2). Shown in Figure 11 are the July 2007 mean  
499 streamfunction and velocity potential contours with the rotational and divergent dust flow  
500 vectors overlaid. We recall that the rotational component of the dust flow is proportional  
501 to the curl of the streamfunction; therefore, rotational flow will be strongest where  
502 streamlines are closest. By definition, the rotational flow will be cyclonic surrounding  
503 relative minima of the streamfunction, and anti-cyclonic surrounding the relative  
504 maxima. We see strong rotational dust flow leaving the Sahara as part of the SAL and  
505 riding on the northern side ( $15^\circ - 25^\circ$  N) of the AEJ across the Atlantic Ocean. In this  
506 region, the rotational component of the dust flow is strong for two reasons: 1) dust  
507 concentrations are high within the SAL and 2) strong, non-divergent easterlies within the  
508 AEJ persist. The effect is a narrow band ( $15^\circ - 25^\circ$  N) of strong rotational flow that  
509 transports dust from the Sahara to the Caribbean. Upon reaching the Caribbean, the  
510 rotational flow weakens because: 1) dust loss processes have reduced the overall dust  
511 load during transport and 2) easterly wind speeds are reduced. Additionally, the flow  
512 direction shifts from primarily westward to north-westward over the Caribbean as it is  
513 now influenced by the Azores subtropical high-pressure system that exists over the  
514 Atlantic Ocean. The rotational dust flow eventually turns eastward and returns dust back  
515 to the Saharan source region. Thus, when following a constant streamline, the rotational  
516 component of Saharan dust flow is an anti-cyclonic recirculation, where dust leaves the  
517 source region as part of the AEJ and returns with the westerlies as part of the Azores

518 High. A similar—but weaker—cyclonic feature is seen south of 15° N, transporting dust  
519 to South America.

520

521 The divergent component of the flow is proportional to the gradient of the velocity  
522 potential. Therefore, regions of divergence correspond to relative minima of the velocity  
523 potential correspond, while regions of convergence correspond to relative maxima. In  
524 Figure 11, we see a dipole in the divergent flow field between the Saharan source region  
525 and the Caribbean. Over the source region strong divergent flow persists, as a divergent  
526 component to the dust flow is required for dust to leave the source region. During  
527 transport, the divergent flow is significantly reduced and there is a broad, region of  
528 convergence over the Caribbean where loss processes prevail. The significant reduction  
529 in the divergent flow can be the result of a weakening of the wind field or a reduction in  
530 the dust burden caused by the various loss processes during transport. As previously

531 mentioned, the divergence of the divergent flow ( $\nabla \cdot \vec{Q}_{div}$ ) is the transport term in  
532 Equation 2. In Figure 9, as expected, the July 2007 transport term is positive (divergent)  
533 over the source regions, as dust is transported outward from the sources. Downwind of  
534 the Saharan source region, the transport term is negative (convergent), which corresponds  
535 with the convergent flow field in Figure 11. One striking feature of the divergence field  
536 is that it aligns with the P – L term in regions where production and loss occur. Because  
537 these regions have a semi-persistent flow of dust for this month and the storage term is  
538 small, there is a near-balance between the transport and P – L terms. Thus, over these  
539 regions, regions of dust emission (P – L > 0) correspond with divergent outflow (positive  
540 transport term) and regions of dust loss (P – L < 0) correspond with convergent inflow



541 (negative transport term). We expect that convergent flow increases dust loss rates in  
542 two ways. First, the convergent flow will accumulate dust within the atmospheric  
543 column. This accumulation will increase the potential for removal in regions where the  
544 storage term is small. Second, we find vertical motion over convergent regions (not  
545 shown), which is associated with convection. This second process is more relevant for  
546 wet removal as we expect greater wet deposition and scavenging rates in the presence of  
547 precipitation and clouds.

548

549 Despite the link between P – L and divergent flow, it is clear that rotational flow has a  
550 greater magnitude and is in a different direction (predominantly westward) than the  
551 divergent flow (predominantly eastward). However, this alone does not lend much  
552 insight into any influences that transport might have on the Central American dust  
553 barrier. In addition to the effects of loss processes, the dust barrier could be influenced by  
554 a slight change to the flow field over the Caribbean or a combination of the rotational and  
555 divergent components. To better understand this, we further break the rotational and  
556 divergent components into their east-west and north-south components. Figure 12 shows  
557 the east-west and north-south total, rotational, and divergent flow components. Over the  
558 Caribbean, the rotational component of the east-west flow is strongly westward while the  
559 divergent component is weakly eastward. Despite cancellation between the two  
560 components near the coast of Costa Rica, the net east-west flow is westward and acts to  
561 transport dust across Central America. The north-south flow for the rotational  
562 component shifts from southward to northward near 12.5° N over the Caribbean, while  
563 the divergent flow shifts from northward to southward flow at 17.5° N. However, the net

564 north-south flow is northward over the entire Caribbean. Thus, there is a northward  
565 turning of the dust flow as it enters the Caribbean, which when combined with the net  
566 westward flow causes a northwestern migration of the overall dust flow and serves as a  
567 possible explanation of the Central American dust barrier.

568

#### 569 **4.2 Loss Processes vs. Transport**

570

571 We investigate the dust mass budget in the latitude band of peak dust AOT ( $10^{\circ} - 20^{\circ}$  N)  
572 to understand the relative roles of dust loss processes and transport in the Central  
573 American dust barrier. Figure 13 shows the mass of dust removed from loss processes,  
574 from transport out of the northern ( $20^{\circ}$  N) and southern ( $10^{\circ}$  N) sides of the latitude band,  
575 and the change in the east-west mass flux (flux in minus flux out) as a function of  
576 longitude. To obtain the amount of dust lost via removal, we integrate the P – L rates  
577 spatially and temporally and sum over the latitude band at each longitude (black curves in  
578 Figure 13). To quantify the net north-south dust mass flux out of the band, we subtract  
579 the net spatially and temporally integrated north-south dust flux at  $20^{\circ}$  N from that at  $10^{\circ}$   
580 N at each longitude (Figure 13). To obtain the change in the east-west mass flux, we first  
581 integrate the net east-west component of the dust flow spatially and temporally at each  
582 grid box. The change in the east-west mass flux is then determined by differencing the  
583 east-west flow in the westward direction and then summing along all latitudes (Figure  
584 13). Negative mass values in Figure 13 correspond with net loss via removal processes or  
585 transport out of the latitude band, or a reduction in the westward mass flux. It should be  
586 noted that the sum of the net north-south mass flux and the change in the westward mass

587 flux is the divergence term in Equation 2. This sum is approximately equal to the mass of  
588 dust removed by loss processes, with any residual related to the storage term.

589

590 Over the Caribbean, removal from loss processes and northward transport were shown to  
591 serve as possible causes of the Central American dust barrier. In Figure 13, the  
592 longitudes of the Central American dust barrier ( $80^{\circ}$  -  $90^{\circ}$  W) correspond with increases  
593 in dust mass loss and northward transport. To quantify their relative contributions, we  
594 integrate the production-loss and north-south transport curves in Figure 13 over the  
595 region of the Central American dust barrier. From this, we estimate that loss processes  
596 remove 1.67 Tg of dust while the north-south dust flow transports 1.46 Tg of dust out of  
597 the Central American dust barrier region during July 2007 (Table 1).

598

599 Based on these estimations, it is clear that both loss processes and atmospheric dynamics  
600 have a contribution to the Central American dust barrier. Of the two processes, dust loss  
601 from removal processes has a slightly greater contribution (53%) to the Central American  
602 dust barrier than northward transport (47%).

603

## 604 **5. Discussion**

605

606 We have shown that loss processes have a greater contribution towards the Central  
607 American dust barrier than northward transport for July 2007. From Figure 10, it is clear  
608 that wet removal by large scale and convective scavenging dominate the loss processes  
609 downwind of the Saharan source region between  $10^{\circ}$  -  $20^{\circ}$  N and serve as the major

610 pathways for dust removal over the Caribbean. However, as discussed in Section 3.2.1,  
611 we suspect that our wet removal rates are not aggressive enough over the Caribbean and  
612 serves as the cause of our weaker representation of the Central American dust barrier in  
613 Figure 2. To explore the controls of wet removal on our transported dust distributions,  
614 we perform additional simulations of July 2007 where we modify our parameterization of  
615 wet removal processes relative to our baseline simulation setup.

616

617 Table 1 presents a budget analysis for our baseline simulation, as well as the sensitivity  
618 analyses we will discuss here. Included are the dust mass removal by loss processes,  
619 north-south transport, and their contribution to the Central American dust barrier. Also  
620 shown are the  $10^{\circ} - 20^{\circ}$  N net east-west mass transported across the planes at  $80^{\circ}$  W and  
621  $90^{\circ}$  W and their difference. This difference, when combined with the north-south  
622 transport is the mass divergence and should approximately balance the mass removed by  
623 loss processes, with any residual attributable to the storage term in Equation 2. Table 1  
624 lists a dust mass barrier efficiency of the Central American dust barrier defined as the  
625 difference between the  $10^{\circ} - 20^{\circ}$  N net east-west transported dust mass at  $80^{\circ}$  W (flow  
626 in) from that at  $90^{\circ}$  W (flow out) divided by the transported dust mass at  $80^{\circ}$  W (flow in).  
627 Additionally, after sampling consistently with MODIS-Terra, Table 1 lists a total AOT  
628 barrier efficiency and a coarse mode (dust plus sea salt) AOT efficiency that can be  
629 compared to the MODIS coarse mode AOT after averaging from  $10^{\circ} - 20^{\circ}$  N.

630

631 Our baseline simulation has a dust mass barrier efficiency of 0.36, meaning that the  
632 Central American dust barrier removes 36% of the dust mass between  $80^{\circ}$  W to  $90^{\circ}$  W

633 (Table 1). Our baseline simulation has a total AOT barrier efficiency of 0.21 and a coarse  
634 AOT efficiency of 0.17. Comparisons to MODIS-Terra show that our removal rates are  
635 not aggressive enough, as MODIS-Terra has a total AOT barrier efficiency of 0.37 and  
636 coarse AOT barrier efficiency of 0.30 (Table 1).

637

638 As our model does not include a detailed representation of aerosol-cloud-precipitation  
639 interactions, we parameterize aerosol wet removal in terms of the model grid box  
640 convective updraft mass flux (for convective scavenging) and precipitation rate (for large  
641 scale wet removal). An efficiency factor is assigned to each aerosol species that  
642 represents its susceptibility to wet removal (i.e., its hygroscopicity) [Colarco et al. 2010].  
643 For dust we have assumed its wet removal efficiency is approximately half as efficiency  
644 as for hydrophilic carbonaceous and sulfate aerosols. In our first sensitivity test we  
645 double the dust convective scavenging efficiency so that it is equivalent to that for  
646 hydrophilic aerosols. In Figure 13, we see that doubling the convective scavenging rate  
647 increases the mass of dust lost to removal while reducing the north-south and east-west  
648 dust flow. If we integrate along our longitudes of the Central American dust barrier,  
649 doubling the convective scavenging rate increases the loss contribution to 61% (1.90 Tg)  
650 and reduces the contribution by northward transport to 39% (1.24 Tg), increasing the  
651 mass barrier efficiency to 0.48 (Table 1). Figure 14 shows the MODIS-Terra sampled  
652 AOT from our baseline and sensitivity tests, the ratio of the MODIS-Terra and simulated  
653 AOT, and the slope of the AOT ( $\Delta\tau/\Delta x$ ). After doubling the convective scavenging rate,  
654 we see a reduction in the high AOT bias in the model and improvement in the slope of  
655 AOT as a function of longitude (Figure 14). This corresponds with a significant

656 improvement in the representation of the Central American dust barrier as the simulated  
657 AOT reduces from 0.34 at 80° W to 0.25 at 90° W (Figure 14). This corresponds to  
658 greater AOT barrier efficiencies of the total (0.25) and coarse (0.21) representations of  
659 the Central American dust barrier.

660

661 We performed a second sensitivity test where in addition to doubling the dust convective  
662 scavenging rate, we increased the large-scale scavenging rate so that dust wet removal is  
663 treated the same as for hydrophilic aerosols. While this further increases the mass of dust  
664 lost to removal and reduces the north-south and east-west flow, we find that our  
665 simulated dust distributions are more sensitive to modifications to convective scavenging  
666 than large-scale scavenging in this region. However, the combined effect of increasing  
667 the large-scale and convective scavenging rates consistent with other aerosol types  
668 corresponds with an increased contribution from loss processes (66%, 1.97 Tg), a  
669 reduced contribution (34%, 1.02 Tg) from northward transport, and an increase in the  
670 barrier mass efficiency (0.52) of the Central American dust barrier (Table 1). Treating  
671 the wet removal of dust the same as other aerosols yields further improvement in the  
672 representation of the AOT magnitude and slope when compared to MODIS-Terra (Figure  
673 14). Over the region of the Central American dust barrier, the simulated AOT reduces  
674 from 0.31 at 80° W to 0.23 at 90° W (Figure 14), corresponding with an improved total  
675 AOT barrier efficiency of 0.28 and a coarse AOT barrier efficiency of 0.22 (Table 1).  
676 Although still not as efficient as indicated by MODIS-Terra, this result suggests that the  
677 dust wet removal rates in GEOS-5 are too slow and treating the wet removal of dust in a  
678 fashion similar to other (more ostensibly hygroscopic) aerosol types yields better

679 comparisons to observations in regions where wet removal is dominant. Because the  
680 representation of the dust barrier improves with increases to the wet removal rates, the  
681 contribution from loss processes to the Central American dust barrier is likely greater  
682 (66%) than originally estimated from our baseline simulation (53%).

683

684 We performed two additional sensitivity tests aimed at understanding if the Central  
685 American dust barrier exists when the effects of convective and large-scale scavenging  
686 are not simulated. In the first sensitivity test, we did not simulate wet removal from the  
687 large-scale scavenging of dust, leaving only convective scavenging as a source of wet  
688 removal. As shown in Figure 13, large-scale scavenging over the Caribbean has a small  
689 effect on the dust load, as the northward and westward flows are slightly increased and  
690 losses are reduced when the effects of large-scale scavenging are not simulated. When  
691 we integrate over the longitudes of the Central American dust barrier, we see a shift in  
692 the relative significance of northward transport and loss. Northward flow transports 1.85  
693 Tg of dust out of the region (59% of the total removal) while dust losses remove 0.95 Tg  
694 of dust (41% of the total removal), corresponding with a barrier mass efficiency of 0.33  
695 (Table 1). When the AOT is sampled consistent with MODIS-Terra, we see a small  
696 increase in the magnitude of the AOT and slope from the coast of North Africa (20° W)  
697 to Central America (80° W), but there is still evidence of a Central American dust barrier  
698 (Figure 14). When the effects of large scale scavenging are not simulated, the total AOT  
699 efficiency and coarse AOT efficiency decrease to 0.19 and 0.16, respectively (Table 1).  
700 This result is consistent with the simulations already discussed and suggests that large-  
701 scale convective scavenging has a small effect to the Central American dust barrier.

702

703 In a final sensitivity test, we performed a simulation where the effects of all wet removal  
704 (convective scavenging and large-scale scavenging) were not simulated. In Figure 13, we  
705 see a large increase in the northward and westward dust flows and a significant reduction  
706 in the dust loss. Over the Central American dust barrier region, northward transport  
707 accounts for 78% (3.35 Tg) of dust removal from the atmospheric column, while loss  
708 processes account for 22% (1.28 Tg), corresponding with a mass barrier efficiency of  
709 0.25 (Table 1). When compared to MODIS-Terra, we see a nearly constant increase in  
710 the AOT from the coast of North Africa (20° W) to the beginning of the Caribbean (60°  
711 W) (Figure 14). However, over the Caribbean where convective scavenging has the  
712 largest contribution to the overall removal (Figure 10), the model AOT relative to  
713 MODIS-Terra increases non-linearly (Figure 14) and reduces the total and dust AOT  
714 barrier efficiency to 0.17 and 0.13, respectively (Table 1). Finally, when all wet removal  
715 processes are not included, there is no evidence of the Central American dust barrier  
716 (Figure 14). Therefore, we determine the Central American dust barrier could not exist  
717 without convective scavenging. In practice, however, the Central American dust barrier  
718 is the result of two processes working in tandem: 1) Loss processes significantly reducing  
719 the dust loading during transport and 2) Atmospheric dynamics redirecting the reduced  
720 dust flow northward near the Central American coastline.

721

## 722 **6. Conclusions**

723



724 We used the GEOS-5 model to simulate the distribution of aerosols during the period of  
725 the NASA TC<sup>4</sup> field campaign (July – August, 2007). In this simulation, we have shown  
726 that GEOS-5 simulates dust distributions that are spatially and temporally comparable to  
727 MODIS, CALIOP, and AERONET data. Downwind of Africa, GEOS-5 has a similar  
728 plume shape to the MODIS observations, but in our baseline simulation overestimates the  
729 AOT. GEOS-5 has a better agreement with AERONET AOT values and is well  
730 correlated with the AOT time series from sites within and nearby the Saharan source  
731 region. GEOS-5 accurately reproduced the latitudinal, longitudinal, and vertical extent of  
732 a Saharan dust event during its transport from North Africa to the Caribbean when  
733 compared to CALIOP. Over the Caribbean, GEOS-5 AOT magnitude is comparable to  
734 MODIS and well correlated with the Caribbean AERONET site, but provided a weak  
735 representation of the Central American dust barrier. This feature suggested that our loss  
736 processes be explored and possibly adjusted in future implementations of the model.

737

738 In a series of sensitivity analyses with our model we explored the relationship between  
739 wet removal parameterization and transport in defining the Central American dust  
740 transport barrier. The best agreement between our model and the observations was  
741 obtained when dust wet removal was treated as we treat the removal of hydrophilic  
742 aerosol species. Conversely, we showed that in the absence of dust wet removal there is  
743 essentially no dust transport barrier set up in our model. The implication of appealing to  
744 an increase in dust wet removal efficiency is that perhaps processing of dust during  
745 transport results in a more hydrophilic aerosol. Such an aerosol would likely be more  
746 bioavailable to oceanic organisms once it is eventually deposited.

747

748 Our analysis shows that both wet removal and transport play a role in creating a semi-  
749 permeable barrier to dust transport across Central America into the Pacific. Of the two  
750 processes, for our best case simulation we find wet removal has a factor of two greater  
751 contribution toward defining the barrier than northward transport. Moreover, of the  
752 removal processes, the Central American dust barrier is more sensitive to removal by  
753 convective scavenging and is not evident when convective scavenging is not simulated.

754

755 Our results should be taken with a few caveats. First, our component analysis is valid for  
756 July 2007. While we have shown that the Central American dust barrier is a persistent  
757 feature in July (Figure 2), we expect that the barrier will be somewhat sensitive to the  
758 variability of inter-annual meteorological conditions over the Central American region.  
759 Pfister [2010] found that La Nina conditions in 2007 caused an increase in westward flow  
760 and a significant reduction in Caribbean cold clouds and corresponding increase in  
761 Pacific cold clouds during the TC<sup>4</sup> field campaign. This suggests that under less  
762 anomalous conditions, transported dust would be more confined the Caribbean and the  
763 Central American dust barrier would have a greater presence. The presence of the  
764 Central American dust barrier also has implications for equatorial aquatic ecosystems  
765 located to the west of the Central American coastline. In this region, high phytoplankton  
766 growth inferred from chlorophyll concentration observations during July [Falkowski et  
767 al., 1998], suggest that the Central American dust barrier serves as a natural inhibitor of  
768 carbon sequestration in the Pacific. Additionally, transported dust distributions will be  
769 sensitive to variability in Saharan dust emissions, AEJ strength, and Inter-Tropical

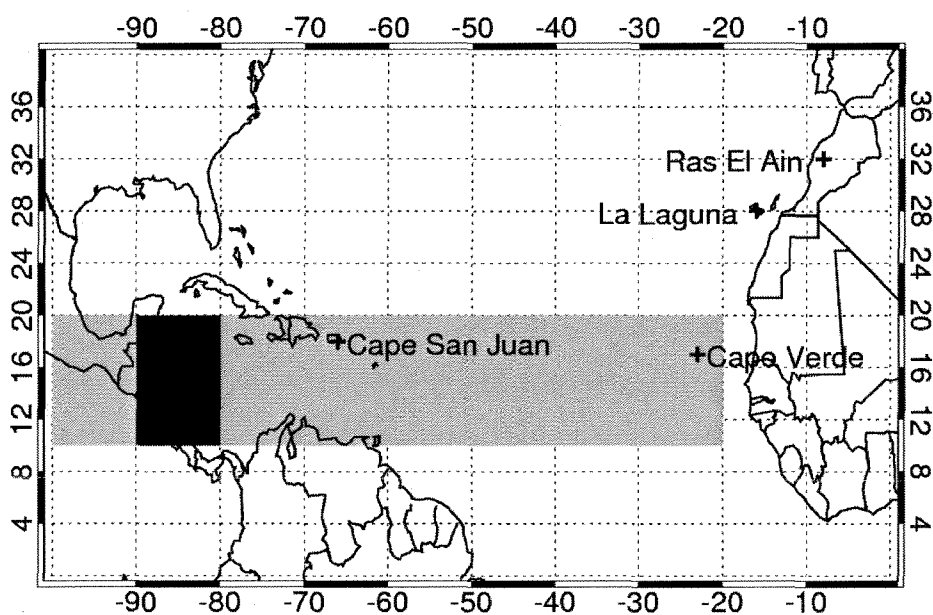
770 Convergence Zone (ITCZ) position. Prospero and Lamb [2003] showed that dust  
771 transported from the Sahara to the Caribbean is linked to Sahel precipitation from the  
772 previous year. Another caveat is that we expect the Central American dust barrier to  
773 exist only in summer months. The AEJ forms during northern hemisphere summer and  
774 corresponds with peak dust transport from the Sahara to Caribbean. Offline analysis of  
775 the MODIS-Terra 2000 – 2010 monthly climatology suggests that transported dust  
776 loadings are too low to see evidence of a Central American dust barrier during non-  
777 summer months. One final caveat is that the strength of our results lies in the ability of  
778 our model to accurately simulate dust loss processes. In particular, our analysis relies  
779 heavily on the ability of the model to provide a realistic representation of convection,  
780 which subsequently influences wet removal over the Caribbean. Because wet removal  
781 rates are not typically measured in the field, it is difficult to determine whether our  
782 parameterization of wet removal is accurate and therefore we are limited to relying on  
783 proxies, such as column AOT. As previously discussed, our baseline simulation provided  
784 a weak representation of the Central American dust barrier when compared to MODIS-  
785 Terra, suggesting that our wet removal rates were too relaxed in the model (Figure 2).  
786 However, when compared to the GPCP observations, the July 2007 mean GEOS-5  
787 precipitation was slightly greater over most of the Caribbean (Figure 8). These results  
788 suggest that the connection between wet removal and precipitation should be  
789 strengthened in GEOS-5, in particular that our simulation which best captured this dust  
790 barrier was the one that treated dust the same as hygroscopic aerosol species with respect  
791 to wet removal processes, suggesting that the best representation of dust in our model is  
792 one which allows that dust has mixed or been processed so as to be more hydrophilic.

793 Figure 1.

794

795

796



797

798 Figure 1. AERONET site locations and dust barrier-averaging regions (shaded).

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803

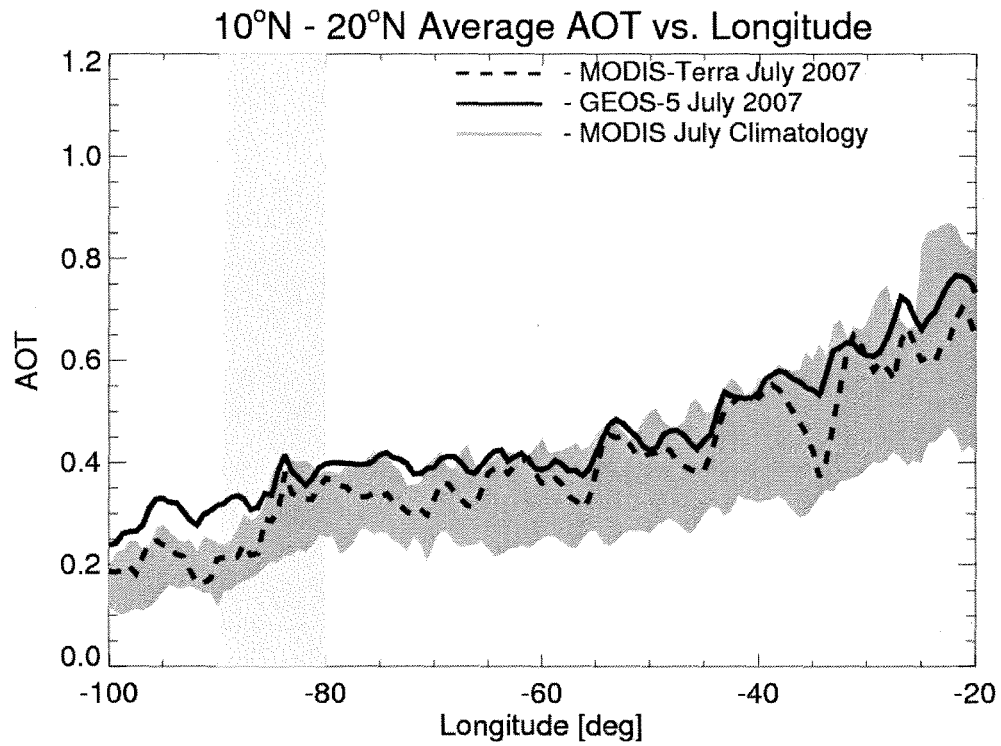
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806 Figure 2.

807

808



809

810 Figure 2. MODIS-Terra/Aqua July climatological (2002-2010) AOT (shading), MODIS-

811 Terra July 2007 AOT (dashed) and GEOS-5 sampled (solid) July 2007 AOT averaged

812

from 10°-20° N.

813

814

815

816

817

818

819 Figure 3.

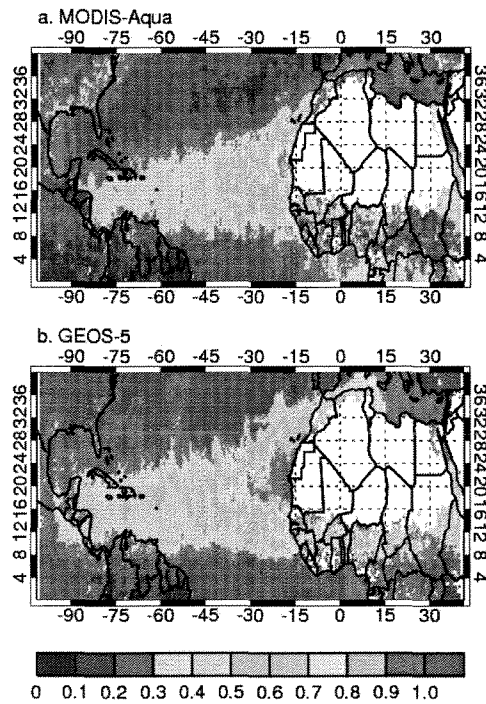
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MODIS-Aqua and GEOS-5 AOT for July 2007



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825

826

Figure 3. MODIS-Aqua (a) and GEOS-5 sampled (b) July 2007 AOT

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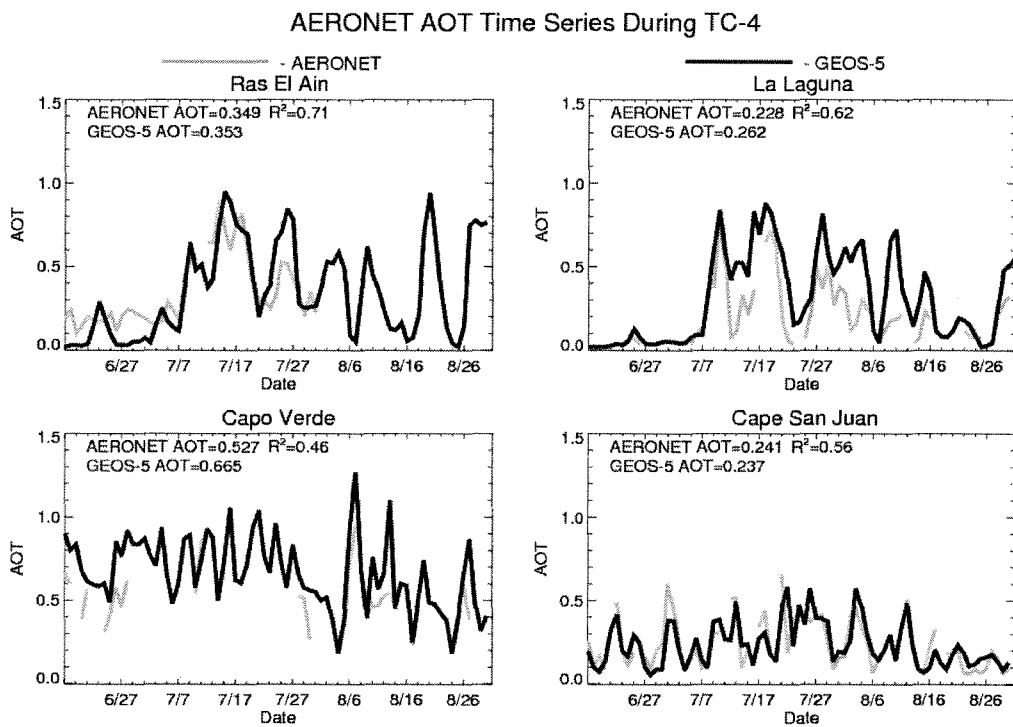
832 Figure 4.

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Figure 4. AERONET and GEOS-5 AOT time series.

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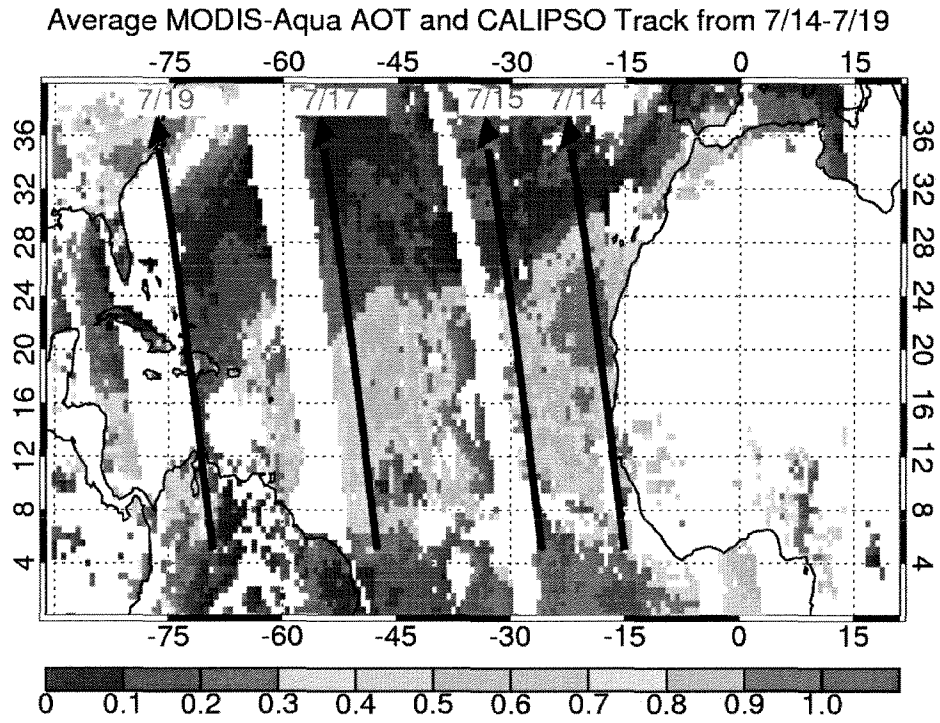
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845 Figure 5.

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850 Figure 5. Average MODIS-Aqua AOT and CALIPSO track from 14-19 July.

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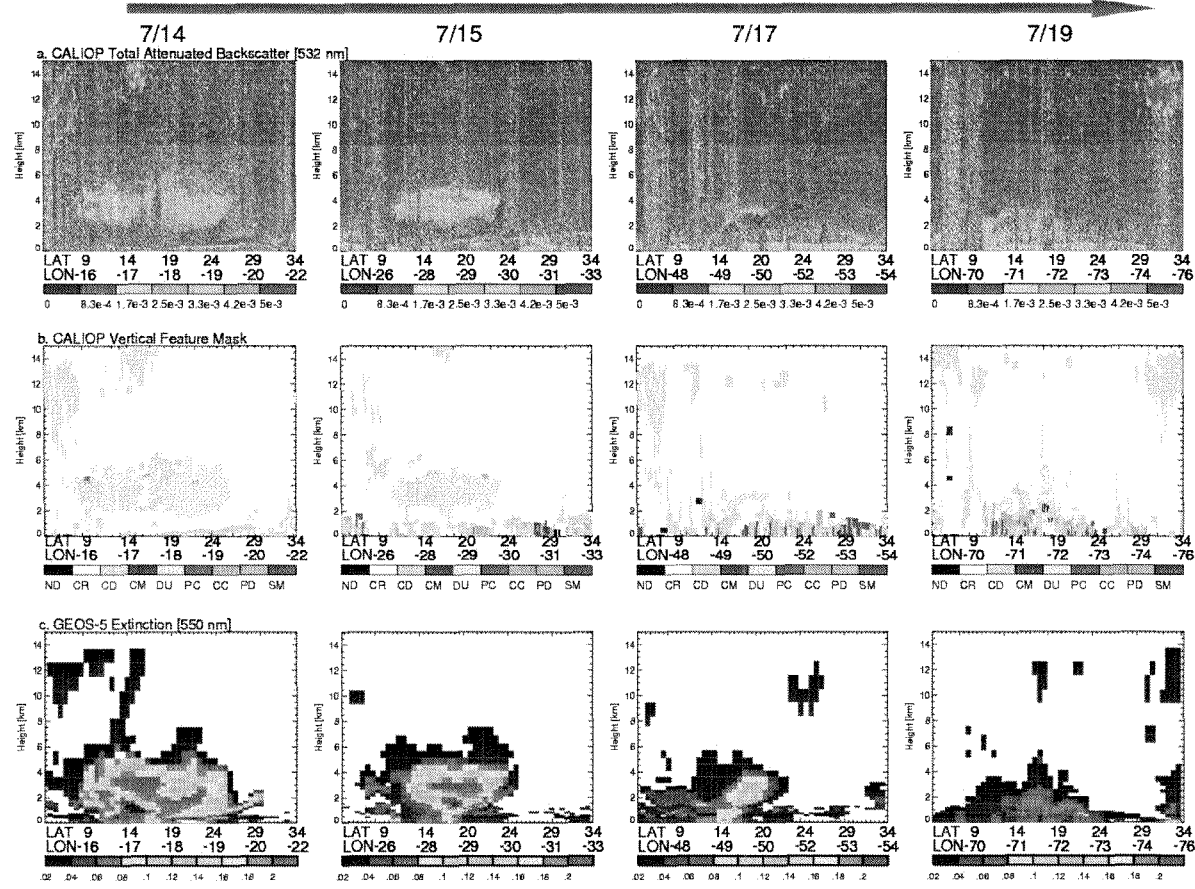
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860 Figure 6. CALIOP total attenuated backscatter [ $\text{km}^{-1} \text{sr}^{-1}$ ] (a), CALIOP vertical feature

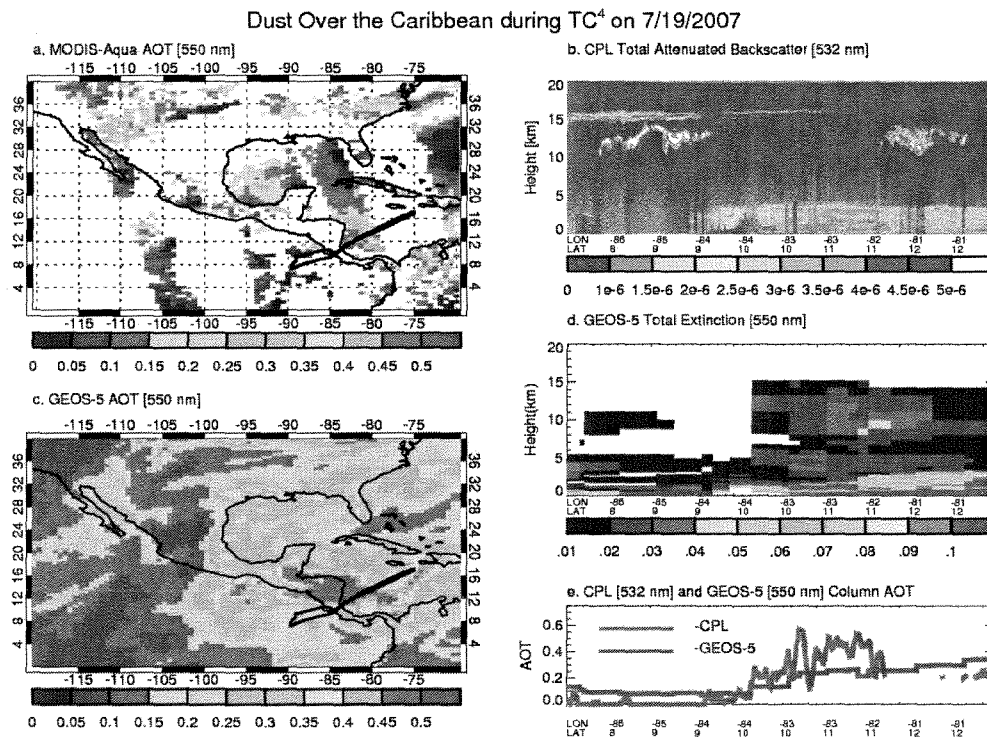
861 mask (b), and GEOS-5 extinction [ $\text{km}^{-1}$ ] (c) for a dust event tracked from Africa (14 July)

862 to the Caribbean (19 July).

863 Figure 7.

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867 Figure 7. MODIS Aqua AOT (a), CPL total attenuated backscatter [ $\text{km}^{-1} \text{sr}^{-1}$ ] (b),  
868 GEOS-5 AOT (c), GEOS-5 extinction [ $\text{km}^{-1}$ ] (d), CPL AOT below 5 km (red) and  
869 GEOS-5 AOT below 5 km (blue) (e) on 19 July 2007.

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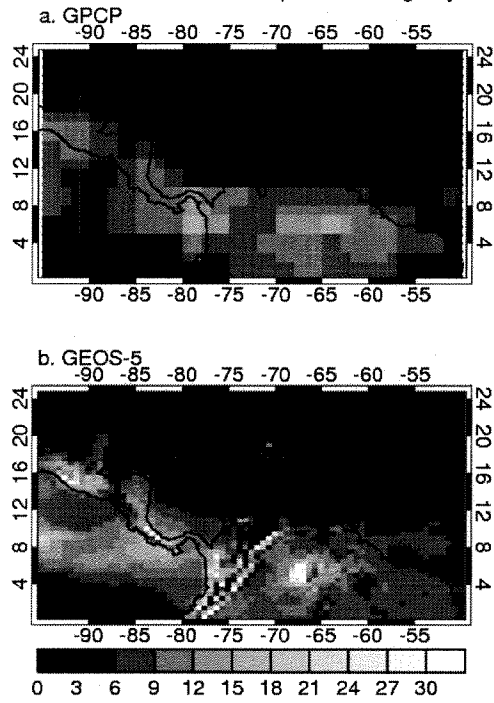
876 Figure 8.

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GPCP and GEOS-5 Total Precipitation during July 2007



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881 Figure 8. July 2007 monthly mean GPCP (a) and GEOS-5 (b) total precipitation [mm dy

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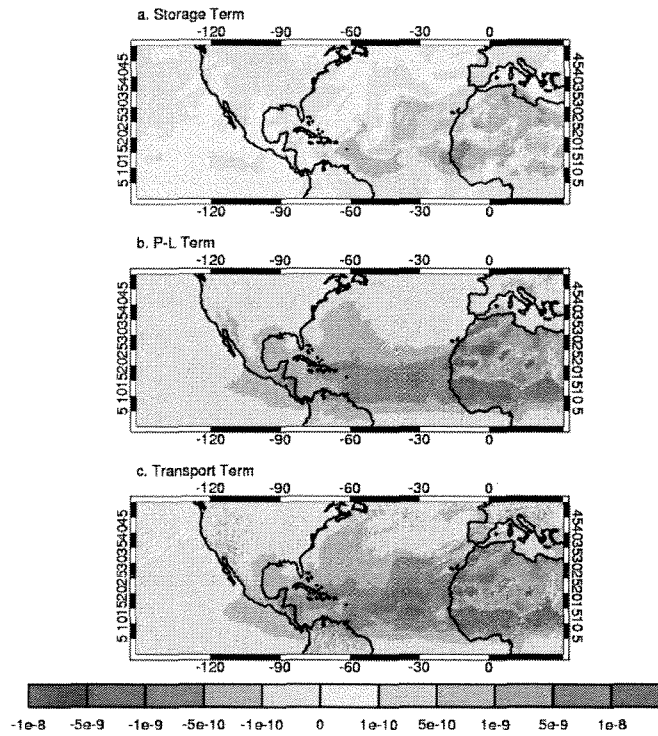
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889 Figure 9.

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894 Figure 9. July 2007 storage(a) production minus loss (P – L) (b), and divergence terms

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(c) in  $\text{kg m}^{-2} \text{s}^{-1}$ .

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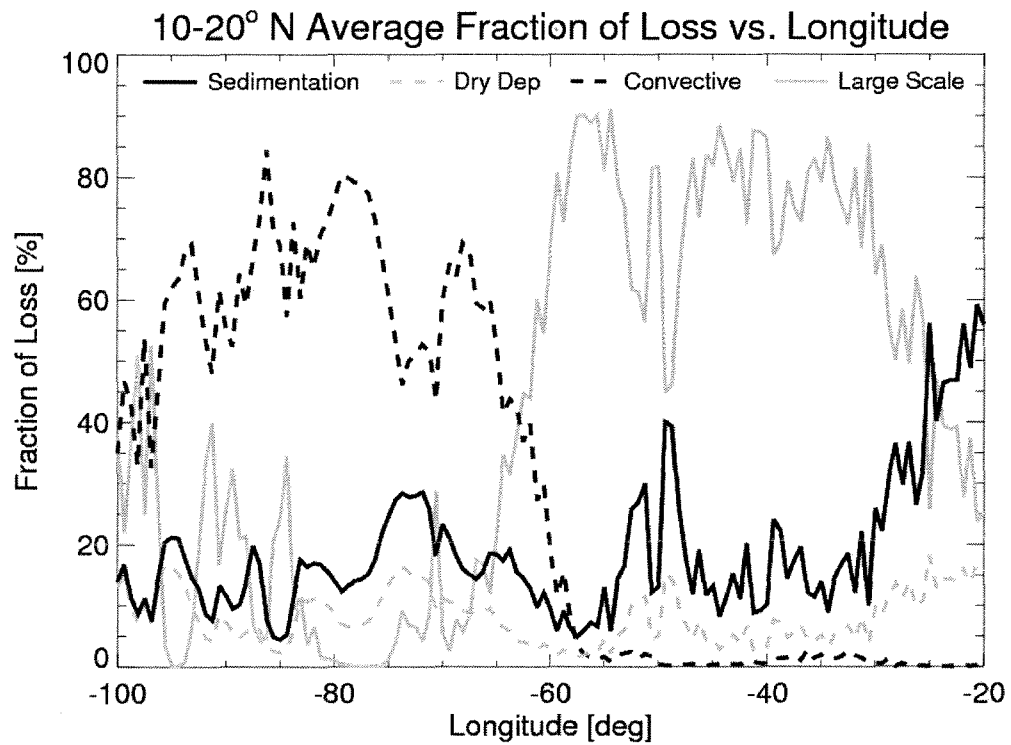
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902 Figure 10.

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907 Figure 10. 10°-20° N July 2007 average significance of sedimentation, dry deposition,

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large scale scavenging, and convective scavenging.

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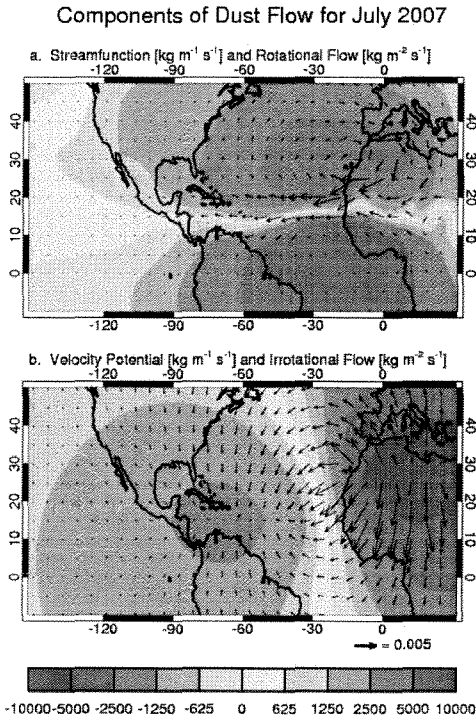
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915 Figure 11.

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919 Figure 11. July 2007 mean streamfunction (a) and velocity potential (b). Rotational (top)

920 and irrotational (bottom) flows are indicated by vectors.

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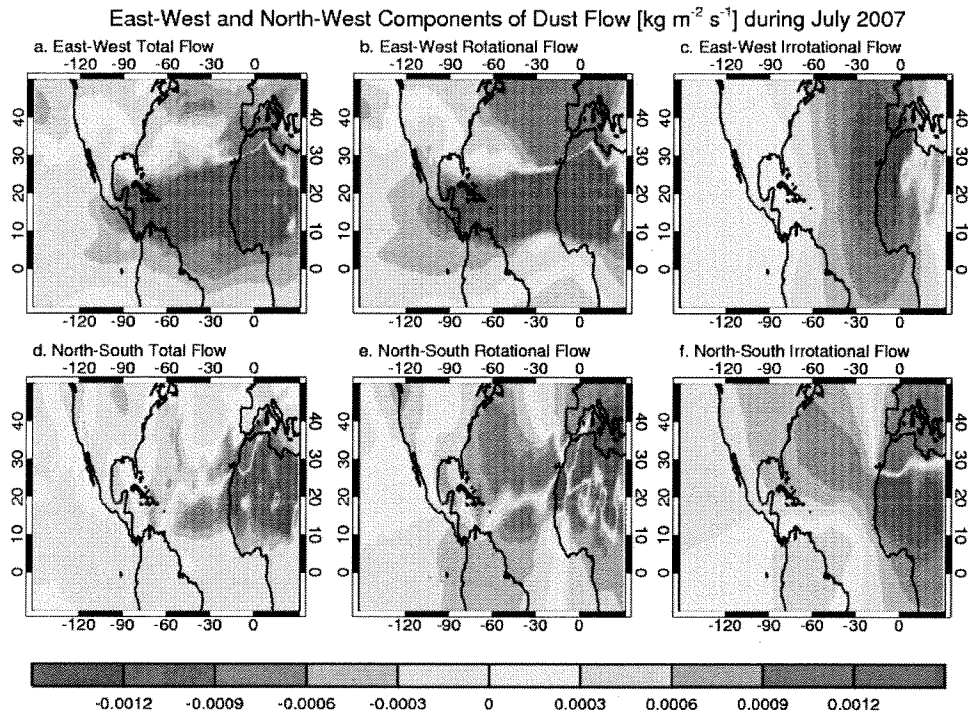
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928 Figure 12.

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933 Figure 12. East-west (top) and north-south components (bottom) of the total (left),

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rotational (center), and divergent (right) flow.

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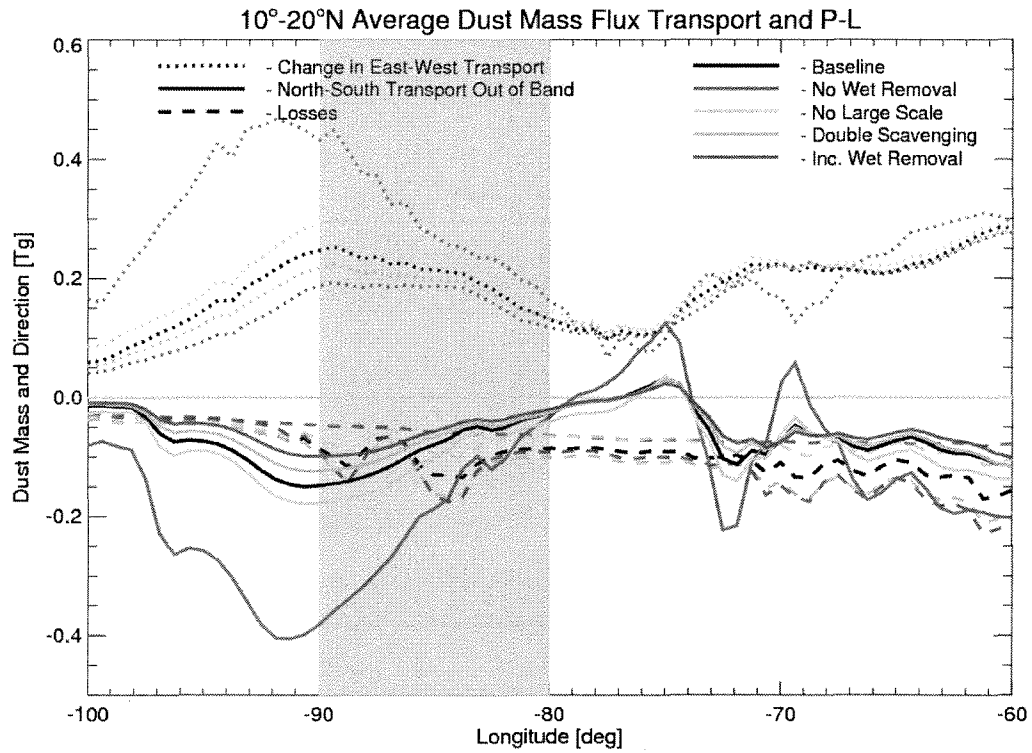
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941 Figure 13.

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945 Figure 13. 10°-20° N July 2007 mass budget for our baseline, no wet removal, no large-

946 scale scavenging, doubled scavenging, wet removal treated as other aerosols sensitivity

947 tests. Shaded region indicates integration region for the Central American dust barrier.

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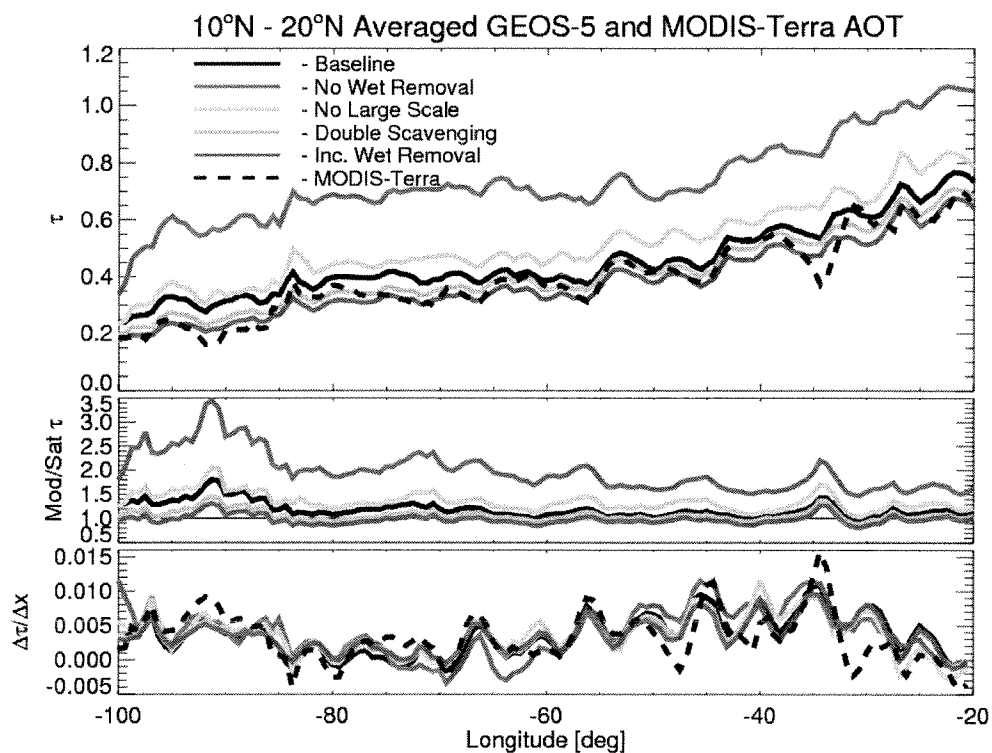
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954 Figure 14.

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959 Figure 14. 10° N - 20° N averaged AOT, model to satellite AOT ratio, and AOT slope

960 for MODIS-Terra and sampled baseline, no wet removal, no large-scale scavenging,

961 doubled scavenging, and wet removal treated as other aerosols sensitivity tests. The thin

962 black line indicates the one-to-one line for ratio plots.

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967 **Table 1**

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Experiment/Satellite	Net Northward Mass Transport (Tg) and Barrier Contribution (%)	Net Mass Loss from Removal (Tg) and Barrier Contribution (%)	80° W, 90° W, and Net Change in Westward Transport (Tg)	Barrier Efficiency		
				Mass	Total AOT	Coarse Mode AOT
1. Baseline	-1.46   47%	-1.67   53%	-7.58   -4.21   3.37	0.36	0.21	0.17
2. Doubled Convective Scavenging	-1.24   39%	-1.90   61%	-6.51   -3.40   3.11	0.48	0.25	0.21
3. Wet Removal Treated As Other Aerosols	-1.02   34%	-1.97   66%	-5.42   -2.60   2.82	0.52	0.28	0.22
4. No Large Scale Scavenging	-1.85   59%	-0.95   41%	-9.76   -6.88   3.87	0.33	0.19	0.16
5. No Wet Removal	-3.35   78%	-1.28   22%	-18.73   -13.96   4.77	0.25	0.17	0.13
6. MODIS-Terra					0.37	0.30

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973 **Table 1.** Net northward mass transport and mass loss from removal and relative  
 974 contribution, westward mass transport at entrance and exit of barrier region, and mass,  
 975 total AOT, and coarse mode barrier efficiencies for all simulations and MODIS-Terra.

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