

# 1 Analyzing the Effect of Intraseasonal Meteorological 2 Variability and Land Cover on Aerosol-Cloud Interactions 3 during the Amazonian Biomass Burning Season

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## 10 Abstract

11 High resolution aerosol, cloud, water vapor, and atmospheric profile data from the Moderate  
12 Resolution Imaging Spectroradiometer (MODIS) are utilized to examine the impact of  
13 aerosols on clouds during the Amazonian biomass burning season in Rondônia, Brazil. It is  
14 found that increasing background column water vapor (CWV) throughout this transition  
15 season between the Amazon dry and wet seasons exerts a strong effect on cloud properties.  
16 As a result, aerosol-cloud correlations should be stratified by column water vapor to achieve a  
17 more accurate assessment of the effect of aerosols on clouds. Previous studies ignored the  
18 systematic changes to meteorological factors during the transition season, leading to possible  
19 misinterpretation of their results. Cloud fraction is shown generally to increase with aerosol  
20 optical depth (AOD) for both low and high values of column water vapor, whereas the  
21 relationship between cloud optical depth (COD) and AOD exhibits a different relationship.  
22 COD increases with AOD until  $AOD \sim 0.25$  due to the first indirect (microphysical) effect.  
23 At higher values of AOD, COD is found to decrease with increasing AOD, which may be due  
24 to: (1) the inhibition of cloud development by absorbing aerosols (radiative effect) and/or (2)  
25 a retrieval artifact in which the measured reflectance in the visible is less than expected from a  
26 cloud top either from the darkening of clouds through the addition of carbonaceous biomass  
27 burning aerosols or subpixel dark surface contamination in the measured cloud reflectance. If  
28 (1) is a contributing mechanism, as we suspect, then a linear relationship between the indirect  
29 effect and increasing AOD, assumed in a majority of GCMs, is inaccurate since these models

1 do not include treatment of aerosol absorption in and around clouds. The effect of aerosols on  
2 both column water vapor and clouds over varying land surface types is also analyzed. The  
3 study finds that the difference in column water vapor between forest and pasture is not  
4 correlated with aerosol loading, supporting the assumption that temporal variation of column  
5 water vapor is primarily a function of the larger-scale meteorology. However, a difference in  
6 the response of cloud fraction to increasing AOD is observed between forest and pasture.  
7 This suggests that dissimilarities between other meteorological factors, such as atmospheric  
8 stability, are likely to have an impact on aerosol-cloud correlations between different land-  
9 cover types.

10

## 11 **1 Introduction**

12 The effect of aerosol particles on the hydrological cycle remains one of the largest  
13 uncertainties in our climate system. Biomass burning, from both deforestation and annual  
14 agricultural burning, is the largest anthropogenic source of such particles in the Southern  
15 Hemisphere. A variety of observational and modeling studies have examined the effect of  
16 aerosols on the regional hydrometeorology over the Amazon Basin during the biomass  
17 burning season (Kaufman and Nakajima 1993, Kaufman and Fraser 1997, Koren et al. 2004,  
18 Feingold et al. 2005, Yu et al. 2007, Koren et al. 2008, Zhang et al. 2008, Martins et al. 2009).  
19 In these, and in other studies, biomass burning aerosols have been shown to affect clouds  
20 through both microphysical and radiative mechanisms (Kaufman et al. 2005b, Kaufman and  
21 Koren 2006, Koren et al. 2008, Rosenfeld et al. 2008). Depending on the concentration of  
22 aerosol, its chemical composition, size distribution, vertical distribution relative to clouds, and  
23 background cloud characteristics, biomass burning aerosols are suggested either to inhibit or  
24 invigorate cloud formation and/or growth (Feingold et al. 2005, Koren et al. 2008, Chand et  
25 al. 2009).

26 Carbonaceous biomass burning aerosols can absorb solar radiation, warming the aerosol layer  
27 and reducing the radiation reaching the surface (Ackerman et al. 2000, Kaufman et al. 2002,  
28 Koren et al. 2004). This effect cools the surface, stabilizes the lower troposphere, suppresses  
29 surface heat and moisture fluxes, and slows the hydrological cycle (Jacobson 2002, Andreae  
30 et al. 2004, Ramanathan et al. 2005). Evaporation of clouds within the aerosol layer may also  
31 occur due to the increase in temperature and decrease in relative humidity caused by aerosol  
32 absorption of solar radiation (Yu et al. 2002, Jacobson 2006). Referred to as absorptive

1 effects or the semi-direct effect, these radiative processes primarily suppress the formation  
2 and growth of clouds.

3 Microphysical effects, on the other hand, enhance cloud formation and growth. Biomass  
4 burning aerosols are hygroscopic and can serve as cloud condensation nuclei (Feingold et al.  
5 2001, Andreae et al. 2002, Andreae et al. 2004). Expansion chamber experiments have  
6 shown that the addition of cloud condensation nuclei nucleates a larger number of smaller  
7 cloud droplets, and these droplets are therefore slower to coalesce to form precipitation (Gunn  
8 and Phillips 1957, Squires et al. 1958). These aerosol processed clouds are also more  
9 reflective, change drizzle properties, and have been suggested to have longer lifetimes  
10 (Twomey 1977, Albrecht 1989). However, the apparent darkening of clouds by absorptive  
11 biomass burning aerosols has also been observed by satellite (Kaufman and Nakajima 1993,  
12 Wilcox et al. 2009). Recent studies have shown that these polluted clouds may become  
13 invigorated, with higher liquid or ice water paths and cloud top pressures (Andreae et al.  
14 2004, Khain et al. 2005, Koren et al. 2005, Lin et al. 2006, Koren et al. 2008, Rosenfeld et al.  
15 2008, Meskhidze et al. 2009). The delay of raindrop formation in polluted clouds suppresses  
16 downdrafts, which allows for the generation of greater updrafts and stronger convection. The  
17 updrafts carry water vapor to higher altitudes, where additional energy from the latent heat of  
18 freezing may be released, further invigorating convection (Williams et al. 2002, Andreae et al.  
19 2004, Rosenfeld et al. 2008). Increases in aerosol optical depth have also been linked to  
20 increases in cloud fraction, particularly at low AODs (Koren et al. 2005, Lin et al. 2006,  
21 Myhre et al. 2007, Yu et al. 2007).

22 More recent studies have illustrated that there may be a smooth transition between these  
23 competing microphysical and radiative effects (Koren et al. 2008, Rosenfeld et al. 2008).  
24 Using MODIS data over the Amazon, Koren et al. (2008) hypothesizes that microphysical  
25 processes dominate at lower AODs, increasing cloud fraction and height, whereas radiative  
26 processes dominate at higher AODs, decreasing cloud fraction and height. The study also  
27 shows that the relative contributions of the microphysical and radiative effects are strongly  
28 tied to the initial cloud fraction – the radiative absorption effect begins to dominate at lower  
29 values of AOD for lower initial cloud fractions. This is due to the hypothesized aerosol  
30 absorption cloud fraction feedback (AFF): Stabilization of the near-surface atmosphere due  
31 to aerosol absorption of radiation initially reduces cloudiness, which then exposes more of the  
32 aerosol layer, further reducing cloudiness (Koren et al. 2008). Eventually, the reduction in

1 cloudiness will allow sufficient surface heating to destabilize the lower atmosphere and  
2 reverse the positive feedback (Koren et al. 2008). This reduction in cloudiness has also been  
3 described as a BC-low cloud positive feedback loop (Jacobson 2002). For low cloud  
4 fractions, more of the aerosol layer is available for absorption, resulting in a stronger  
5 feedback. For higher cloud fractions, microphysical invigoration will dominate for the same  
6 degree of aerosol loading. An implication of this finding is that aerosol effects on either  
7 sparse or dense cloud fields may have entirely opposite effects on climate forcing.

8 For the majority of observational biomass burning studies over the Amazon, the months of  
9 August, September, and October are customarily selected due to the combination of high  
10 aerosol loading from biomass burning and consistent dry conditions present during these  
11 months. The Amazon dry season occurs during the southern hemisphere winter, and is  
12 defined by a subtropical high pressure that moves from the Atlantic Ocean over the Amazon  
13 Basin (Nobre et al. 1998). Felled vegetation is allowed to dry out during the season, and is  
14 burned during the southern hemisphere spring. High pressure typically remains over the  
15 region until mid-to-late October, when the onset of the rainy season begins (Nobre et al.  
16 1998). During this biomass burning transition season, cloud properties are assumed to be  
17 weakly dependent on meteorology due to the stationary high pressure overhead (Kaufman and  
18 Nakajima 1993, Koren et al. 2004, Yu et al. 2007, Koren et al. 2008). However, this study  
19 illustrates that gradually increasing background column water vapor (CWV) during the  
20 biomass burning transition season, between the end of the dry season and the beginning of the  
21 wet season, has a discernable impact on aerosol-cloud correlations.

22 Previous studies have noted that aerosol loading and CWV are weakly spatially correlated  
23 over the Amazon Basin on seasonal timescales (Feingold et al. 2001). On smaller spatial and  
24 temporal scales, latitudinal variation of both CWV and AOD will produce high correlations in  
25 some areas (Kaufman and Fraser 1997). Regions where simultaneous advection of these two  
26 parameters takes place also exhibit high correlations (Remer et al. 1998). However, these  
27 studies do not take into consideration inconsistent sampling resulting from temporal  
28 correlations on longer intraseasonal timescales. Variability in the CWV, which can be  
29 observed from space on high temporal and spatial scales using the MODIS sensor, may be  
30 used as a tracer for large-scale meteorological variability. Attempts to remove the influence  
31 of meteorology from aerosol-cloud correlations are common; a study by Koren et al. (2005)  
32 accounts for meteorology by stratifying data by vertical wind velocity, an NCEP parameter

1 that correlates well with MODIS cloud parameters. Here, we use column water vapor, which  
2 is shown also to correlate well with MODIS cloud parameters, to stratify the aerosol and  
3 cloud data.

4 The latter portion of the paper tests the assumptions made in the former portion of the paper  
5 by analyzing effects of aerosols on clouds and column water vapor over different land surface  
6 types. Many studies have probed the local effects of deforestation on local and regional  
7 meteorology; however no observational studies have analyzed the aerosol effect on clouds  
8 over both forested and deforested land. It is estimated that 50% to 60% of total rainfall in the  
9 Amazon is derived from evapotranspiration (Marques et al. 1977, Salati and Nobre 1991).  
10 Regional modeling studies have estimated a reduction in evapotranspiration of about 30%  
11 over deforested regions of the Amazon compared with forested regions (Nobre et al. 1991).  
12 A group of studies has probed into the regional climate effects of deforestation through  
13 changes in surface energy and water vapor fluxes and land-atmosphere interactions  
14 (Henderson-Sellers and Gornitz 1984, Nobre et al. 1991, Cutrim et al. 1995, Wang et al. 2000,  
15 Roy et al. 2002, Negri et al. 2004, Wang et al. 2009). Depending on the structure and scale of  
16 the deforestation, contrasting effects on clouds and precipitation are observed (D’Almeida et  
17 al. 2007). Smaller scale deforestation (i.e. the fish bone pattern) spawns mesoscale  
18 circulations that arise from land surface heterogeneities on the finer scale (Segal et al., 1988,  
19 Wang et al. 2000, Roy et al. 2002, Wang et al. 2009). Enhanced shallow convection over  
20 deforested regions is caused in part by a land breeze from nearby moisture-rich forests. When  
21 this moist land breeze reaches unstable air over the deforested region (due to greater surface  
22 heating), it rises to form clouds (Segal et al. 1988, Roy et al. 2002). Several observational  
23 studies have shown an increase in shallow convection over disturbed regions of the Amazon  
24 due to this direct thermal circulation, particularly in the state of Rondônia, Brazil (Cutrim et  
25 al. 1995, Negri et al. 2004, Wang et al. 2009). This study differs from previous studies in that  
26 the aerosol effect on water vapor and clouds over forested and deforested is examined, a  
27 logical extension of the studies noted above.

28 Accounting for meteorological variability in observational aerosol-cloud correlation studies is  
29 paramount. The aerosol effect on cloud properties over the Amazon has been shown to differ  
30 in a humid year compared to a dry year (Yu et al. 2007). In the humid year, cloud fraction  
31 predominantly increases with increasing AOD, whereas in a dry year, cloud fraction  
32 predominantly decreases with AOD. The mechanisms behind this difference are still largely

1 unexplained (Yu et al. 2007). This study incorporates both dry and wet years, reducing this  
2 interannual variability, while also stratifying the data by CWV to ensure similar background  
3 moisture conditions exist along the range of AOD retrievals used. This study probes into two  
4 primary questions: (1) how can contamination from meteorological variability in observed  
5 aerosol-cloud relationships be reduced and (2) what is the aerosol effect on clouds and  
6 column water vapor over different land surface types.

## 8 **2 Data and Methods**

9 MODIS, onboard the Terra and Aqua satellites, provides relatively high spatial resolution  
10 (250 m – 500 m) while achieving near global coverage on a daily basis (Salomonson et al.  
11 1989). The Terra satellite is on a sun synchronous orbit with an overpass at approximately  
12 10:30 AM local time, whereas the Aqua satellite overpasses at approximately 1:30 PM local  
13 time. The Aqua pass is chosen over the Terra pass since warm clouds are more likely to be  
14 developed in the afternoon compared to the morning. We choose MODIS over other sensors,  
15 such as the Multi-angle Imaging Spectroradiometer (MISR), due to its high resolution and  
16 because MODIS produces a variety of cloud and atmospheric profile products, which other  
17 sensors do not (Diner et al. 1998). This study employs MODIS Swath Level 2 aerosol, cloud,  
18 water vapor, and stability products (King et al. 2003). Aerosol optical depth from the aerosol  
19 product is calculated over land and ocean at a wavelength of 550 nm, with a footprint of 10  
20 km x 10 km (Kaufman et al. 1997, Remer et al. 2005, Levy et al. 2007). Surface reflectances  
21 utilized in the aerosol algorithm are dynamic, and related to empirical functions which match  
22 reflectances in the near IR to visible wavelengths in order to calculate an NDVI-like measure  
23 of vegetation and geometry (Levy et al. 2007). Validation with ground-based AERONET  
24 observations yield an overall error of  $\pm 0.05 \pm 0.15\tau_a$  over land, where  $\tau_a$  is the aerosol optical  
25 depth at 550 nm (Levy et al. 2007).

26 The Level 2 cloud product produces retrievals of cloud fraction, cloud top properties, cloud  
27 phase properties, and cloud microphysical properties, calculated using fourteen of the thirty-  
28 six MODIS spectral bands (Ackerman et al. 1998). The cloud fraction and cloud top  
29 properties are produced at 5 km x 5 km resolution, whereas the microphysical properties are  
30 produced at 1 km x 1 km resolution (Platnick et al. 2003). The 5 km cloud fraction product is  
31 computed using the fraction of 1 km cloudy pixels in the 5 km footprint, as determined by the  
32 cloud mask product (Platnick et al. 2003). The cloud mask product is based on the principle

1 that clouds are characterized by higher reflectance and lower temperature than the earth's  
2 surface, and is clear-sky conservative (Ackerman et al. 1997). The cloud phase retrieval is  
3 determined by analyzing the contrasting effects of water droplets and ice crystals on the  
4 brightness temperature in the infrared bands (Platnick et al. 2003).

5 The cloud microphysical properties include cloud optical depth (thickness), cloud effective  
6 radius, and cloud liquid water path. Cloud optical depth (COD) is inversely calculated from  
7 spectral reflectance measurements and surface albedo data by using a lookup table (Nakajima  
8 and King 1990, Twomey and Cocks 1982, Twomey and Cocks 1989). For over-land  
9 retrievals, COD is calculated at wavelengths 0.645  $\mu\text{m}$  and 2.130  $\mu\text{m}$ . The 1 km and 5 km  
10 cloud data are averaged into 10 km x 10 km grid boxes in order to conform to the Level 2  
11 aerosol data.

12 Column water vapor (precipitable water vapor) is derived by integrating the 101 levels at  
13 which water vapor mixing ratios are calculated in the MOD07 atmospheric profile product  
14 (Seemann et al. 2002). Units are reported in centimeters of equivalent liquid water. We  
15 choose not to use the near-IR column water vapor product due to its limitations over dark  
16 surfaces (Gao and Kaufman 1998). The profile algorithms are based on thermal emissions of  
17 atmospheric gases with uniform distributions, such as oxygen and carbon dioxide (Seemann  
18 et al. 2002). The 1000 hPa temperature is calculated using the skin temperature, surface  
19 pressure, and Poisson's Equation for potential temperature. Skin temperature is not the best  
20 approximation for surface air temperature; however, for the purposes of finding relative  
21 differences in atmospheric stability across our study domain, we feel this is our best option  
22 considering that a high-resolution observational temperature data set is currently not available  
23 from any other source. The water vapor and profile products are also produced at 5 km  
24 resolution, but are averaged to the 10 km scale to conform to the Level 2 aerosol data.  
25 Products requiring a clear sky, such as the MOD07 products, are able to be estimated for a  
26 majority of 10 km pixels with cloud fractions less than one.

27 In the first portion of the paper, aerosol and cloud data over all non-water surfaces are  
28 included in the analyses. In the latter portion of the paper, MODIS aerosol, cloud, stability,  
29 and water vapor data are stratified by land cover type. This requires an up-to-date, high-  
30 resolution land cover classification data set. The Land Processes Distributed Active Archive  
31 Center (LP DAAC), located at the U.S. Geological Survey (USGS) Earth Resources  
32 Observation and Science (EROS) Center, provides a combined Terra/Aqua yearly land cover

1 product - MCD12Q1 (<http://lpdaac.usgs.gov>). This product employs MODIS BRDF-adjusted  
2 surface reflectances, land surface temperature data, enhanced vegetation index data, and  
3 terrain elevation information along with neural network classification algorithms and training  
4 data to assign land cover classifications (Strahler et al. 1999). The data are resampled from  
5 500 m x 500 m resolution to 0.1° x 0.1° resolution to approximately match the resolution of  
6 the Level 2 swath aerosol, cloud and profile data.

7 Our 5° x 5° study region encompasses the deforested region of Ji Paraná in Rondônia, Brazil,  
8 as well as a protected forest to the east, illustrated in Fig 1. Green regions represent primary  
9 forest and yellow regions represent pasture. Blue regions represent land cover classes that do  
10 not explicitly fall in either class. Broad-leaf forest classifications are assigned to the forested  
11 category, whereas closed shrublands, open shrublands, woody savannas, savannas, grasslands,  
12 croplands, cropland and natural vegetation mosaic, and barren or sparsely vegetated  
13 classifications are assigned to the pasture category, according to the International Geosphere-  
14 Biosphere Programme categorization scheme (Strahler et al. 1999). The percentage of pasture  
15 increases with time in our fixed study region due to ongoing deforestation.

16 A small region is chosen for this study, compared with other studies of its type, so that  
17 meteorological differences due to spatial variation will be better removed, and so that  
18 stratification of atmospheric data by land cover type can be conducted. The high spatial  
19 resolution of the Level 2 data allows for the accumulation of a sufficient data record for  
20 analysis, while also reducing the pixel size in which aerosol and cloud characteristics need to  
21 be assumed constant. Previous studies have relied on Level 3 data, which assumes aerosol  
22 and cloud characteristics are constant over 1° x 1° pixels (Koren et al. 2008). The study  
23 encompasses the Amazonian biomass burning months of August, September and October for  
24 the years 2004 through 2007. This time period includes both dry and wet years, as well as  
25 years with both heavy and light biomass burning, according to MODIS and NCEP/NCAR  
26 Reanalysis data (Kalnay et al. 1996, Koren et al. 2007). The inclusion of multiple years of  
27 data reduces the interannual variability present in the data. In addition, using multiple years  
28 allows for the compilation of a large enough data set for analysis in the small study region.

29 To account further for meteorological variability, NCEP/NCAR Reanalysis 700 hPa wind  
30 vectors are used to remove days for which the South Atlantic Subtropical High was not the  
31 dominant weather pattern over the region (Kalnay et al. 1996). This resulted in a removal of  
32 43 days over the four year period, equaling 12% of the total number of days in the months of



1 August through October in 2004 through 2007. All retrievals that are not considered “useful”  
2 or were considered “bad” quality according to the Level 2 quality assurance bit data were also  
3 removed. Warm clouds are segregated from cold and unknown-phase clouds by only  
4 retaining 10 km pixels that contain >95% of 1 km cloud retrievals in the liquid water phase.  
5 The re-sampled 10 km atmospheric aerosol, cloud, and profile data are then segregated by  
6 forest and pasture in the latter portion of the paper to explore the effect of land-atmosphere-  
7 aerosol interactions on CWV and clouds.

8 Aerosol optical depth and column water vapor MODIS satellite retrievals are compared with  
9 Aerosol Robotic Network (AERONET) sun photometer data in Fig S1 (Holben et al. 1998).  
10 Data represent two stations within the 5° x 5° study region, Abracos Hill, active in 2004 and  
11 2005, and Ji Paraná SE, active in 2006 and 2007. Only days used in the study are included.  
12 Correlation coefficients between MODIS and AERONET retrievals are above 0.90 for both  
13 aerosol optical depth and column water vapor, providing confidence in the MODIS satellite  
14 retrievals.

15

## 16 **3 Results**

### 17 **3.1 Effect of Intraseasonal Meteorological Variability**

18 CWV increases over our study region throughout the dry-to-wet transition season between the  
19 months of August to October. Fig 2a shows MODIS CWV and liquid water cloud fraction  
20 averaged into eight equally-spaced bins between August 1<sup>st</sup> and October 31<sup>st</sup>, for all years  
21 between and including 2004 and 2007. Cloud fraction and CWV are understandably highly  
22 positively correlated, as water vapor is one of the principal components required for cloud  
23 formation. Fig 2b shows MODIS aerosol optical depth at 550 nm, also binned by day of the  
24 season, similar to Fig 2a. Unlike CWV, AOD increases from August 1<sup>st</sup> until approximately  
25 the middle of September, at which point the trend reverses and decreases until the end of the  
26 season on October 31<sup>st</sup>. This mid-season peak in aerosol loading is indicative of a biomass  
27 burning peak that is largely determined by social behavior. Burning customarily occurs late  
28 enough into the season to sufficiently allow vegetation to dry out, but not too late to risk an  
29 early onset of the rainy season.

30 Fig 2 indicates that CWV and cloud fraction are directly correlated with aerosol optical depth  
31 during the first half of the season, whereas these variables are inversely correlated during the

1 second half of the season. Thus, if we plot CWV and cloud fraction directly against AOD,  
2 separated into the two halves of the season, we would expect the signs of the regression  
3 slopes to be the same for each parameter in each half season. If CWV increases with aerosol  
4 in the first half season, then so should cloud fraction. If CWV and AOD are negatively  
5 correlated in the second half season, then cloud fraction should also be negatively correlated  
6 with AOD.

7 Fig 3a shows CWV binned by aerosol optical depth for all non-zero cloud fraction retrievals  
8 in our study region for the same time period as in Fig 2. The data are stratified by day of the  
9 season, with plots representing each half of the season and all days in the season. Fig 3b  
10 depicts liquid cloud fraction binned by aerosol optical depth, for the same periods as in Fig  
11 3a. In these figures, cloud properties and CWV are binned by AOD, with each bin  
12 representing 12.5 percentile of the AOD values. This method has been used previously in  
13 other studies so that bias is not introduced through inconsistent sampling in each bin (Lin et  
14 al. 2006). The absolute low and high AOD boundaries are assigned to be 0.05 and 0.8,  
15 however, the location of individual bin edges vary for each plot. The bin centers are defined  
16 as the average AOD value in each bin. Error bars representing the standard errors of the bin  
17 average ( $\sigma/N^{1/2}$ ) are included for each bin. Because the number of samples is equal for each  
18 bin due to the sampling procedure used, the standard error is directly proportional to the  
19 standard deviation of the samples in each bin.

20 Even though AOD values greater than 0.8 are routinely observed in this region during the  
21 biomass burning season, higher values are not included to prevent aerosol misclassification as  
22 cloud (Brennan et al. 2005). In this range, significant cloud contamination of aerosol by  
23 clouds is also unlikely, yet occasional contamination may still occur (Kaufman et al. 2005b).  
24 It is also improbable that these correlations are due to a 3D cloud effect, which artificially  
25 increases AOD retrievals in the regions neighboring clouds (Wen et al. 2006). This effect has  
26 been shown to be larger for greater cloud cover and aerosol loading, but our results indicate  
27 that the strongest positive correlation between cloud fraction and AOD occurs at low AODs  
28 (Yu et al. 2007). It is also unlikely that the positive cloud fraction-AOD correlation is due to  
29 atmospheric stability, since increasing AOD has been shown to correlate with increasing  
30 stability (Davidi et al. 2009).

31 In the first half season, Aug. 1<sup>st</sup> to Sep. 15<sup>th</sup>, there is a positive correlation between both CWV  
32 and AOD, as well as between cloud fraction and AOD, as expected from Fig 2. However, in

1 the second half season, Sept. 15<sup>th</sup> to Oct. 31, the CWV is negatively correlated with AOD as  
2 expected, but cloud fraction is not. This deviation of the cloud fraction relationship to AOD  
3 in a direct correlation overrides the controlling effect of the seasonal CWV evolution on cloud  
4 formation and strongly points to aerosol as a modifying element of cloud properties. We  
5 conclude that both large-scale meteorological factors traced by seasonally varying CWV and  
6 aerosol loading contribute to changes in water cloud fraction in our region of interest.

7 This conclusion has important implications for aerosol-cloud analysis. Following previous  
8 studies, common practice would be to accumulate all pixels with both aerosol and cloud  
9 retrievals during the August-October season, and then bin the cloud retrievals by aerosol  
10 optical depth, implicitly assuming constant meteorological conditions throughout this period  
11 (Koren et al. 2004, Yu et al. 2007, Koren et al. 2008). However, this study finds that  
12 embedded in these correlations are systematic variations in CWV with aerosol optical depth.  
13 As a result, this intraseasonal CWV signal may impact aerosol-cloud correlations. For  
14 example, the “boomerang” shape identified by Koren et al. (2008) and attributed to the  
15 combination of microphysical and radiative effects by aerosols on clouds may also contain an  
16 element of intraseasonal evolution of the meteorological conditions. In Fig 3a we identify a  
17 boomerang shape in the CWV vs. AOD plot that is due solely to the combination of the  
18 different halves of the season, and not a physical consequence of the aerosol at all. The  
19 similar boomerang shape in cloud fraction over the full biomass season seen in Fig 3b reflects  
20 both the actual relationship between cloud fraction and aerosol in the latter half season and  
21 also the artifact created by combining the two half seasons.

22 Fig 3c and Fig 3d show CWV and cloud fraction again binned by AOD, but only for cloud  
23 fractions less than 0.5. By retaining only low cloud fractions, more of the aerosol layer is  
24 exposed to sunlight in each 10 km x 10 km retrieval box. In these scenes, it has been  
25 hypothesized that the increased aerosol exposure to sunlight will result in a stronger  
26 absorption effect on clouds and that the decrease of cloud fraction with AOD will begin at  
27 lower values of aerosol loading (Koren et al. 2008). The lower cloud fraction should not  
28 affect the relationship between CWV and AOD, because CWV should not be affected by a  
29 warming atmospheric column. Indeed, Fig 3c shows little difference in both the shape of the  
30 graph and the magnitude of the change of CWV with AOD when compared with Fig 3a.

31 Exposure to sunlight has little effect on column water vapor. However, consistent with Koren  
32 et al. 2008, we find that exposure to sunlight does affect the relationship between aerosol

1 loading and cloud fraction. The less cloudy plot of Fig 3d has shifted the turning point  
2 between increasing and decreasing cloud fraction to lower aerosol loading. Fig 3d locates the  
3 turning point at  $AOD = 0.30$ , as opposed to the total data set of Fig 3b where the turning point  
4 is at  $AOD = 0.55$ .

5 Fig 2 and Fig 3 both confirm the associations between clouds and aerosols identified from  
6 satellite retrievals seen in previous studies and also sound a warning that some of these  
7 associations contain an artifact introduced from slowly evolving meteorological factors that  
8 can be traced by CWV. We note a difference between this study and the previous studies  
9 mentioned above. We are focusing on a very small ( $5^\circ$  by  $5^\circ$ ) box in Rondônia, and previous  
10 studies took a broader view that included the entire Amazon Basin with its varied surface  
11 types, biomes and climatic zones. The trends shown in Fig 2 are applicable for larger areas as  
12 well, but the range between low and high CWV and low and high AOD over the season  
13 becomes diluted as the study area is expanded. Our study area captures the strong seasonal  
14 variation in water vapor, cloud and aerosol properties that still exists but in diluted  
15 magnitudes when our region is combined with the surrounding areas. **(So, as the study area  
16 is expanded, the range of CWV and AOD values decreases? This is confusing to me)**

17 We can identify intraseasonal meteorological variations by using CWV as a tracer for the  
18 slow onset of the rainy season and stratify the data set using this parameter to control for  
19 meteorology. Fig 4a depicts CWV binned by AOD for all cloud fraction retrievals, and for  
20 five percentile groupings of CWV. Each grouping spans 16 percentile points, with a  
21 minimum percentile of 10% and a maximum percentile of 90% to avoid outlier values. These  
22 bounding low and high percentiles refer to CWV values of 2.10 cm and 5.33 cm, respectively.  
23 In general, the lower percentiles represent retrievals early in the season whereas higher  
24 percentiles represent retrievals late in the season. In each grouping, CWV only varies  
25 marginally between AOD bins, with a maximum difference of 0.09 cm between any two bins  
26 in any grouping. This nominal variation in CWV within each grouping is likely to have only  
27 a minimal effect on cloud properties.

28 Fig 4b depicts cloud fraction binned by AOD for the five CWV groupings. The change in  
29 cloud fraction with AOD in Fig 4b is more representative of the true aerosol effect on cloud  
30 fraction compared to Fig 3 since the influence of varying CWV has been minimized. For  
31 each CWV grouping, cloud fraction either exhibits a modest increasing trend, on average,  
32 across the AOD range between 0.05 and 0.8, or remains relatively constant along this range.

1 The 42<sup>nd</sup> to 58<sup>th</sup> percentile grouping and the 58<sup>th</sup> to 74<sup>th</sup> percentile groupings do not exhibit an  
2 increasing trend to the extent of the other groupings. The difference in the aerosol effect on  
3 cloud fraction does not appear to be largely dependent on CWV, which agrees with previous  
4 studies (Feingold et al. 2001).

5 In general, cloud fraction appears to increase with AOD at the greatest rate at low AODs,  
6 specifically for the lowest CWV grouping as well as the highest CWV grouping. The highest  
7 CWV group, the 74<sup>th</sup> to 90<sup>th</sup> percentile, demonstrates the greatest microphysical effect - cloud  
8 fraction increases at the greatest rate below an AOD of 0.35 compared to other groupings. **In  
9 high water vapor loading environments with low cloud condensation nuclei, addition of  
10 aerosol may increase cloud fraction to a greater extent than in lower water vapor  
11 loading environments due to the impact of stronger updrafts on the lifetime effect  
12 (Albrecht 1989, Kaufman and Fraser 1997) (Can I say this?).** This result also agrees with  
13 the aerosol absorption cloud fraction feedback – the greater the initial cloud fraction, the  
14 greater the microphysical effect (Koren et al. 2008). The flattening of these curves at higher  
15 AODs suggests a saturation of the microphysical effect. The absence of an aerosol absorption  
16 effect in Fig 4b conflicts with some studies (Koren et al. 2004, Koren et al. 2008), but agrees  
17 with others (Lin et al. 2006, Yu et al. 2007). Part of the discrepancy between these results and  
18 previous ones may be due to the gradient of aerosol absorption properties north to south. The  
19 focus area of this study is embedded in the deforestation zone, with smoke having higher  
20 single scattering albedo (less absorption) than the smoke in the Cerrado to the south (Dubovik  
21 et al. 2002). A less absorbing smoke will have less radiative effect than the model formulated  
22 by Koren et al. (2008).

23 If the aerosol is affecting cloud microphysics, the signal should be apparent in the cloud  
24 optical depth (COD) as well in the cloud fraction. Fig 5a shows COD binned by AOD for  
25 four out of the five CWV groupings in Fig 4. The lowest CWV grouping is not included due  
26 to relatively large standard errors in each bin resulting from an insufficient sample size.  
27 According to the aerosol first indirect effect, increases in aerosol number loading increase the  
28 number concentration of cloud condensation nuclei, which in turn increase cloud reflectivity  
29 (Twomey 1977). This effect would be observed as an increase in COD with increasing AOD  
30 in Fig 5a. However, Fig 5a illustrates that for all CWV groupings, COD increases only to a  
31 certain AOD threshold between 0.2 and 0.3, and then decreases with increasing AOD. The  
32 highest magnitude peak in COD occurs for the lowest CWV grouping, which also

1 corresponds to the lowest cloud fraction from Fig 5. The lowest COD and least negative  
2 correlation with AOD corresponds to the highest CWV and largest cloud fraction. Note that  
3 Fig 5a includes clouds at all stages of vertical development, as long as the clouds are in the  
4 liquid phase.

5 Fig 5b also shows COD binned by AOD, but only for pixels with average cloud top pressures  
6 between 800 hPa and 850 hPa. This range is roughly between the median and mean liquid  
7 water cloud top pressures over the four year period. Fig 5b shows a similar boomerang  
8 pattern of COD versus AOD as Fig 5a, except with more noise. Only a narrow range of cloud  
9 top pressures are retained to ensure that the COD versus AOD relationships observed in Fig  
10 5a are not merely the result of sampling clouds at different stages of growth. Even if this  
11 were the case, the addition of biomass burning aerosols has been shown to increase cloud top  
12 pressure, which would in turn likely increase COD with increasing AOD, not decrease COD  
13 as shown in Fig 5a (Koren et al. 2005, Koren et al. 2008). The AOD turning point of the  
14 boomerang occurs at generally higher AODs than in Fig 5a, specifically for the lower two  
15 CWV groupings. The magnitude of the decrease in COD with increasing AOD in terms of  
16 percentage reduction from the peak is more similar among CWV groupings than in Fig 5a.  
17 This is because in Fig 5b, all clouds have similar cloud top pressures and are situated in more  
18 homogenous cloud fields.

19 The increase in COD with AOD for low aerosol loading can be explained by Twomey (1977)  
20 and follows from aerosol particles affecting the microphysics of the clouds. The decrease in  
21 COD with increasing AOD above AODs of 0.3 in Fig 5a can be explained by a combination  
22 of physical processes and satellite retrieval artifacts. Physically, aerosol absorption of solar  
23 radiation can evaporate or thin the clouds optically. However, satellite retrieval artifacts can  
24 also play a role. Dark smoke above or within clouds (Kaufman and Nakajima, 1993), or  
25 subpixel holes in the clouds that reveal a dark surface beneath reduces the visible reflectance  
26 received by the satellite, and this is interpreted by the retrieval as a lower COD. In fact a  
27 physical-artificial feedback can be started in which the aerosol begins to thin the cloud via  
28 radiative processes, revealing more holes that introduce darker visible reflectance to the  
29 satellite measurements. The microphysical effect dominates at lower AODs whereas the  
30 physical and artificial effects that will decrease COD with aerosol loading are radiative in  
31 nature and will dominate at higher AODs. Considering that all explanations for COD  
32 decrease are dependent on aerosol radiative absorption, the strength of the COD decrease

1 should be inversely proportional to cloud fraction, because lower cloud fraction allows the  
2 aerosol greater exposure to sunlight. When the aerosol is exposed to sunlight, more aerosol  
3 translates to even higher rates of absorption and heating. The highest magnitude COD  
4 decrease occurs for the lowest CWV grouping with the lowest cloud fraction, and the lowest  
5 magnitude COD decrease occurs for the highest CWV grouping with the highest cloud  
6 fraction. Furthermore, the AOD threshold where COD changes from increasing to decreasing  
7 occurs at the lowest AOD value for the lowest CWV grouping, and the highest AOD value for  
8 the highest CWV grouping. These two patterns are consistent with the hypothesis that the  
9 COD decrease is a radiative effect of the aerosols, whether the effect is physical or artificial or  
10 a combination of both.

11 Sorting out the exact cause of the COD decrease with AOD is difficult. The absence of a  
12 strong absorption effect in the cloud fraction plots would suggest that darkening may play a  
13 role in the COD plots. Wilcox et al. (2009) found that biomass burning aerosols over a  
14 stratocumulus deck will artificially reduce observed cloud optical depths by the MODIS  
15 sensor (Wilcox et al. 2009). The darkening bias was found to be greater for higher AODs and  
16 also for higher non-polluted values of cloud optical depth. Both of these relationships are  
17 observed in Fig 5a. Unlike the Wilcox et al. (2009) study, the data in Fig 5 do not consist  
18 only of scenarios with aerosols above clouds. Analysis of individual days using both MODIS  
19 Aqua and the CALIPSO lidar, which has an overpass time roughly 1 minute after the Aqua  
20 satellite, results a similar boomerang trend in COD versus AOD even when the cloud layer  
21 forms at the top of the boundary layer, above the aerosols. Fig S2 shows the results of one of  
22 these days, August 12<sup>th</sup>, 2006, where clouds form above the aerosol layer and COD exhibits a  
23 boomerang trend with AOD. Colors in the Fig S2b scatter plot represent the relative  
24 uncertainty in each COD retrieval. Low COD uncertainties at high AODs suggest that the  
25 reversal in the COD trend may not be explained solely by uncertainties in the COD retrieval.

26 Kaufman and Nakajima (1993) also hypothesized that biomass burning aerosol darkens  
27 Amazonian clouds due to the presence of black carbon inside clouds (Kaufman and Nakajima  
28 1993). This study found that cloud reflectance at 640 nm is reduced from 0.71 to 0.68 for an  
29 increase in aerosol optical depth between 0.1 and 2.0. This small decrease in visible  
30 reflectance (4%) is likely not the sole cause of decreases in COT between 20% and 50%,  
31 observed in Fig 5a. Because of the small role expected by the darkening of the clouds and the  
32 absence of a strong absorption effect in the cloud fraction plots, the physical-artificial

1 feedback involving thinning clouds and increasing inclusion of dark reflectance from the  
2 surface beneath is the most promising explanation. Modeling studies have also found that  
3 black carbon absorption in clouds results in a non-negligible feedback to surface and mid-  
4 tropospheric temperatures globally (Jacobson 2006). This in-cloud absorption may constitute  
5 up to 10% or more of the total temperature feedback from black carbon. Thus, aerosol  
6 absorption in clouds may have a large effect on the radiative balance of the atmosphere in the  
7 Amazon region.

### 8 **3.2 Effect of Land-Atmosphere Interactions**

9 Biomass burning in the Amazon affects clouds in two ways - through smoke effects and  
10 through land use changes. Smoke particles can change cloud microphysics and heat the  
11 atmospheric cloud environment by absorbing sunlight, as described above. Land use changes  
12 that convert forest to pasture and cropland change the surface heat fluxes, which also affect  
13 cloud development. By dividing our data set into forested and deforested (pasture) subsets,  
14 we can begin to understand the relative effects of aerosols and land use changes on cloud  
15 properties, and especially how land use changes modify aerosol effects.

16 Table 1 shows average values of cloud, aerosol, and profile parameters retrieved from the  
17 Level 2 MODIS products. Water cloud fraction is substantially higher for the pasture  
18 compared to the forest, which agrees with previous studies (Cutrim et al. 1995, Negri et al.  
19 2004, Wang et al. 2009). In addition, a lower average cloud top pressure is observed for the  
20 pasture, which suggests more shallow cloud development over the pasture compared to the  
21 forest. This result also agrees with previous observational and modeling studies (Wang et al.  
22 2000, Chagnon et al. 2004, Correia et al. 2007, Wang et al. 2009). A consequence of deeper  
23 warm clouds over the forest is larger average liquid water paths over the forest. Column  
24 water vapor is also higher over the forest, due to the reduction in evapotranspiration over the  
25 pasture compared to the forest (Salati and Nobre 1991). Higher atmospheric low-level  
26 stability is observed over the pasture, a parameter defined as the temperature at 850 hPa  
27 minus the temperature at 1000 hPa. The shorter roughness length and lower specific heat of  
28 the pasture result in greater surface heating, as supported by the higher 1000 hPa temperature  
29 compared to the forest. This heating is hypothesized to help spawn shallow cumulus clouds  
30 (Negri et al. 2004). The difference in low-level atmospheric stability, roughly 4 K, correlates  
31 well with other studies (Polcher and Laval 1994, Correia et al. 2007). Aerosol optical depth is  
32 almost identical between the two land cover types, as we would expect since aerosol



1 concentrations are often relatively spatially homogenous for hundreds of kilometers,  
2 particularly at some distance away from concentrated smoke plumes (Artaxo et al. 1998,  
3 Smirnov et al. 2000).

4 Comparison of aerosol effects on CWV and clouds between different land cover types  
5 requires careful consideration of consistent sampling procedures. To perform this analysis,  
6 the same number of samples must be retrieved between forest and pasture for a given day for  
7 each AOD bin to ensure that weighting of the data by time of the year is consistent between  
8 both land cover types. The sampling process is as follows: First, for each parameter and each  
9 AOD bin, the number of samples for each day is determined for forest and pasture. For the  
10 land cover type (forested or deforested) with the minimum number of samples, the same  
11 number of samples is taken from the other land cover type. Because bin edges must be  
12 assigned beforehand using this procedure, the number of samples in each AOD bin is not  
13 consistent along all bins. The eight bins for both forest and pasture are equally spaced  
14 between 0.05 and 0.8.

15 Several randomized mixed forest and pasture simulations are also conducted to assess the  
16 significance of the segregated land cover analysis. In each random simulation, the retrievals  
17 are randomly sampled between forest and pasture according to the relative proportion of  
18 forest and pasture retrievals in the actual data, so in effect there is no systematic segregation  
19 by land cover in each randomized simulation. Again, the data is processed such that the same  
20 number of samples is retained between forest and pasture for a given day for each AOD bin.

21 The black line in Fig 6a illustrates the difference in the average sampled Julian Day between  
22 pasture and forest in each AOD bin. The difference is zero for all bins, indicating that the  
23 sampling procedure by definition does not weight the result by day of the year, thus there is  
24 no difference in large-scale meteorology between the pasture and forest for each AOD bin.  
25 The red dotted lines represent the results of five randomized simulations. Again, because the  
26 algorithm does not weight the result by day of the year, there is no difference in large-scale  
27 meteorology between the forest and pasture for each AOD bin in the randomized simulations.

28 One of the primary underlying assumptions in this study is that aerosols do not impact CWV  
29 through changes in evaporation and transpiration, but instead that CWV is an indicator of the  
30 synoptic scale meteorology. Aerosols could, in theory, affect evapotranspiration rates by  
31 changing both the magnitude and diffuse fractionation of radiation reaching the surface  
32 (Jacobson 2002, Roderick et al. 2001, Min 2005, Knohl et al. 2008, Still et al. 2009). Because

1 deforested land has a leaf area index several times lower than forested land, in addition to a  
2 significantly lower heat capacity, aerosols could affect the evapotranspiration and evaporation  
3 from the ground differently between forested and deforested land. We test this assumption by  
4 analyzing aerosol-CWV relationships separately over the forested area and deforested area in  
5 Fig 1. Table 1 indicates that the forest CWV is on average 0.2 cm higher than the pasture  
6 during the dry seasons of 2004 to 2007, which we assume is the result of differences in  
7 evapotranspiration. If we observe this difference correlated with aerosol, then we know that  
8 aerosol is modifying the CWV values and our assumption of using CWV as a tracer for the  
9 large-scale meteorology is incorrect.

10 Fig 6b shows CWV binned by AOD over the pasture minus CWV binned by AOD over the  
11 forest, again for retrievals that also have cloud fractions above zero. Error bars represent the  
12 square root of the sum of the pasture and forest standard errors squared. Red dotted lines  
13 again represent the results of the random simulations. There is a lack of a significant trend in  
14 CWV differences with AOD. Instead, CWV is roughly 0.1 cm greater over the forest  
15 compared to the deforested land for all bins, an offset owed to the difference in  
16 evapotranspiration between pasture and forest. The random simulations show no such offset  
17 since forest and pasture retrievals are randomly mixed in these simulations. In addition, the  
18 magnitude of the variability between AOD bins is similar between the data and the random  
19 simulations, further supporting the absence of correlation between CWV and AOD.

20 Fig 6b shows that addition of aerosols below an AOD of 0.8 does not influence  
21 evapotranspiration sufficiently to affect CWV differently between pasture and forest.  
22 Difference values between pasture and forest do not vary greatly along AOD bins, and the  
23 little variation that does exist is well within the standard error. More generally, the addition  
24 of aerosol below an AOD of 0.8 does not have a noticeable impact on CWV. Thus, changes  
25 in CWV may be attributed completely to changes in synoptic-scale conditions.

26 Fig 6c shows the difference between cloud fraction binned by AOD over the pasture and over  
27 the forest. Absolute values of aerosol-cloud correlations over forest and pasture are not  
28 computed as they will be influenced by variations in CWV, similar to Fig 3. However,  
29 because the same number of retrievals is retained over the forest and pasture for each day in  
30 each AOD bin, computing cloud fraction differences between forest and pasture in each AOD  
31 bin will remove influences of the larger-scale meteorology.

1 The cloud fraction difference between pasture and forest is always positive in Fig 6c,  
2 indicating that cloud fraction is greater over the pasture than the forest, as suggested by Table  
3 1. The figure also illustrates that there are increasingly more clouds over the pasture with  
4 increasing AOD, up to an AOD of 0.5. At this AOD threshold, the trend begins to flatten out,  
5 and even reverses slightly. The five random simulations do not show higher average cloud  
6 fractions over the pasture, nor do they show a stronger microphysical aerosol forcing over the  
7 pasture, supporting the significance of these results in the actual data. What causes this  
8 noticeable dissimilarity in the aerosol effect between pasture and forest at low AODs below  
9 0.5? Because differences in CWV are likely not a driving factor due the results of Fig 6b, it is  
10 suggested that differences in low-level atmospheric stability may be at the root of the  
11 dissimilarity.

12 Fig 6d again shows the difference in cloud fraction binned by AOD between the pasture and  
13 forest (black line), but also shows lines representing cloud fraction difference stratified by  
14 stability. The red line includes all retrievals where the low-level stability, defined as the  
15 temperature at 850 hPa minus the temperature at 1000 hPa, is less than -16 K over the pasture  
16 and greater than -16 K over the forest. The blue line includes all retrievals where the low-  
17 level stability is greater than -16 K over the pasture and less than -16 K over the forest. This  
18 threshold stability value of -16 K is selected because it is very close to the median stability  
19 over both pasture and forest. In addition, a temperature difference of 16 K or greater between  
20 850 and 1000 hPa conservatively corresponds to an unstable atmosphere, according to a  
21 tropical standard atmosphere (**A height of 1529 meters corresponds to 850 hPa at 15° N**).

22 The red line represents the difference in cloud fraction between pasture and forest only for  
23 retrievals where the pasture is considered absolutely unstable and the forest is relatively more  
24 stable. In this case (red line), the difference in cloud fraction between pasture and forest  
25 responds similarly to increasing AOD as the case that includes all stabilities (black line). This  
26 similarity is expected since the pasture is often more unstable than the forest. The blue line  
27 represents the reverse situation, retrievals where the forest is considered absolutely unstable  
28 and the pasture relatively more stable. Because this scenario is less likely, larger errors are  
29 observed. Unlike the black and red lines that show increasing clouds over the pasture with  
30 increasing AOD, the blue line does not exhibit such a trend. The absence of a trend may be  
31 attributed to the higher stability over the pasture compared to the forest – the microphysical  
32 forcing of clouds may be weaker in atmospheres that lack sufficient low-level instability.

1 Low level stability appears to be a factor or a tracer for how aerosol modifies cloud fraction  
2 differently over forested and deforested surfaces. The greater instability that generally occurs  
3 over pasture appears to encourage increases in cloud fraction with AOD. Yet, the response of  
4 COD to increasing AOD, when analyzed, did not appear to be substantially different between  
5 forest and pasture. The statistical approach used here is extremely limited, and cannot  
6 characterize the full mesoscale circulations thought to be responsible for the general  
7 differences in cloud fraction between forested and deforested surfaces (Wang et al. 2009). A  
8 proper 3D cloud resolving model with adequate simulations of surface fluxes and mesoscale  
9 circulations will be needed to explain the associations identified in Fig 6c and Fig 6d.

10

#### 11 **4 Conclusions**

12 In this study, a 5° NE x 5° WE region over Rondônia, Brazil was analyzed using high  
13 resolution aerosol, cloud, water vapor, and atmospheric profile data from the Moderate  
14 Resolution Imaging Spectroradiometer (MODIS). Four years of data (2004 - 2007) during the  
15 biomass burning transition season (Aug - Oct) were compiled to analyze the effect of aerosols  
16 on warm cloud development. Several years of data were employed to gather a large enough  
17 dataset for analysis, and to smooth out interannual variability. The MODIS water vapor and  
18 aerosol products illustrate that column water vapor (CWV) increased throughout the biomass  
19 burning season, while aerosol loading peaked during the middle of the season. These  
20 intraseasonal trends may produce false correlations in cloud parameter versus AOD plots  
21 when all data throughout the biomass burning season are accumulated and analyzed together.  
22 By dividing the total period into subperiods, we found evidence that both large-scale  
23 meteorological factors traced by CWV and aerosol loading contributed to modification of the  
24 cloud fraction in our area of interest. To better account for this meteorological variability,  
25 data were stratified by column water vapor. When background CWV variability was  
26 removed, cloud fraction increased with AOD, at nearly all levels of CWV, but the strongest  
27 increases occurred at the highest values of column water vapor.

28 Decrease of cloud fraction with AOD, attributed to aerosol absorption effects, was not  
29 observed in the cloud fraction versus AOD plots once the data were stratified by CWV.  
30 However, the decrease was seen in the data divided into seasonal subsets, and associations  
31 between cloud fraction and aerosol were consistent with expectations that follow from the  
32 aerosol-cloud fraction feedback hypothesized by Koren et al. (2008). At lower cloud

1 fractions when the aerosol has greater exposure to sunlight, the decrease of cloud fraction  
2 attributed to aerosol absorption effects increased in magnitude and was shifted to lower values  
3 of AOD.

4 Relationships between cloud optical depth and aerosol loading are more difficult to interpret.  
5 Plots of COD against AOD showed an initial increase of cloud optical thickness, and then a  
6 turning point where clouds appeared to become optically thinner as aerosols increased.  
7 Increasing COD with aerosol can be attributed physically to the processes described by  
8 Twomey (1977), but the decrease of COD with AOD is best described by a combination of  
9 physical processes and satellite retrieval artifacts. Absorbing aerosol may cause cloud  
10 droplets to evaporate and clouds to thin. This is a legitimate physical process. On the other  
11 hand, the dark aerosol in and above the cloud may also decrease the cloud reflectance  
12 observed by the satellite, which the satellite retrieval interprets as a decrease in COD. As the  
13 cloud thins, subpixel holes in the cloud open, allowing darker reflectance from the surface  
14 beneath to again darken the cloud reflectance measured by the satellite. Again the cloud  
15 reflectance is artificially too low, resulting in COD retrievals that are too low, which  
16 contributes to the strong decrease of COD with AOD observed in the data analysis.  
17 Assuming that the decreasing trend in COD with AOD is due to both radiative effects as well  
18 as retrieval artifacts, our results suggest that GCMs that do not include treatment of aerosol  
19 absorption in clouds are inaccurate over regions with high concentrations of absorbing  
20 aerosol.

21 This study also addressed the effect of aerosols on CWV and clouds over different land cover  
22 types. Land cover was segregated into forested and deforested groupings using the MODIS  
23 land cover product, and the response of CWV to increasing AOD was analyzed between the  
24 forested and deforested land. We found that aerosols do not have a noticeable effect on  
25 CWV. Thus, it can be assumed that temporal changes in CWV are largely a function of the  
26 synoptic-scale meteorology and that aerosol is completely independent of the column water  
27 vapor at the local level. The independence of CWV and AOD is an inherent assumption in  
28 the former portion of the paper, supported by the analysis in the latter portion of the paper.

29 This study showed that the *relationship* between cloud fraction and AOD is quite sensitive to  
30 land cover type. Microphysical effects appeared to be stronger over the deforested land  
31 compared to the forested land, increasing cloud fraction with AOD to a greater extent over the  
32 deforested land compared to the forest. The difference in the aerosol microphysical effect

1 over forested and deforested land appears to be linked to the differing lower tropospheric  
2 stability over these two surfaces, but a full understanding of the complex interaction between  
3 aerosols, clouds and surface types cannot be achieved from the statistical approach used here.  
4 A 3D cloud resolving model with adequate representation of surface-atmosphere exchange  
5 and ability to simulate mesoscale circulations and cloud microphysical processes will be  
6 required.

7 The relationships shown here cannot, with certainty, be extrapolated to different times of the  
8 year or different regions of the world. These aerosol-cloud correlations are largely dependent  
9 on the physical and optical characteristics of the biomass burning aerosol (i.e. size  
10 distribution, composition); therefore a different aerosol mixture may or may not have a  
11 different impact on clouds. However, a similar analysis to this one over other biomass  
12 burning regions or heavily fossil-fuel polluted regions would be helpful in determining if  
13 these relationships could be extended more generally. More importantly, modeling-based  
14 studies are required to assign causation to the correlations found here.

15

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22

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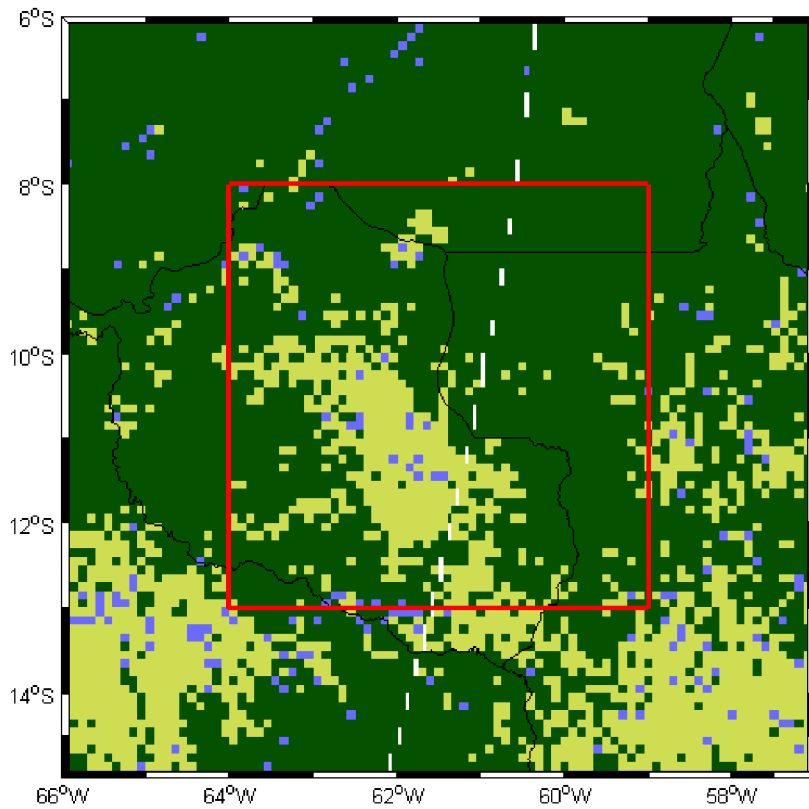
1 Zhang, Y., Fu, R., Yu, H., Dickinson, R. E., Juarez, R. N., Chin, M., and Wang, H.: A  
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4

1 Table 1. Average cloud and atmospheric profile statistics for all warm cloud retrievals for  
 2 both forested and deforested land in the study region. The averaging period is for August  
 3 through October for the years 2004, 2005, 2006, and 2007.

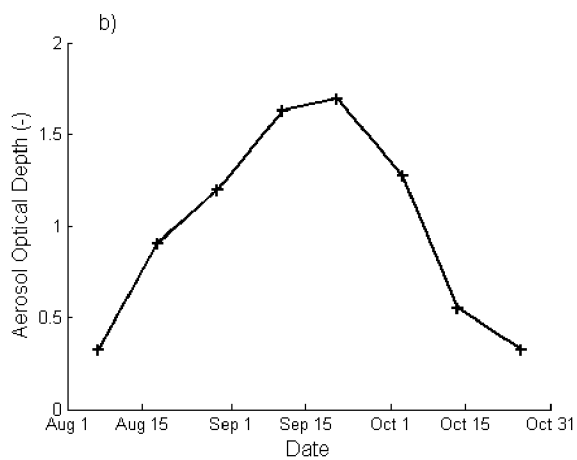
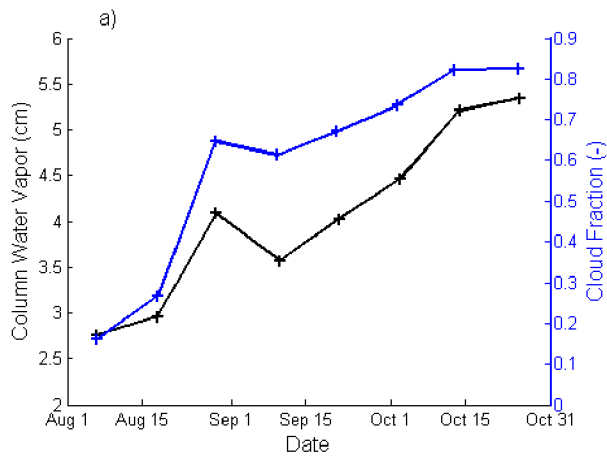
	<b>Forest</b>	<b>Deforested</b>
Cloud Effective Radius (um)	15.9	14.7
Cloud Optical Depth (-)	8.95	8.07
Cloud Fraction (-)	0.52	0.63
Cloud Top Pressure (hPa)	796.4	817.9
Cloud Water Path (g/m <sup>2</sup> )	81.3	68.0
Column Water Vapor (cm)	3.76	3.54
850 hPa Temperature (K)	292.6	293.0
1000 hPa Temperature (K)	307.2	311.4
Low-Level Stability (Temperature 850 hPa minus Temperature 1000 hPa (K) )	-14.6	-18.4
AOD at 550 nm (-)	0.92	0.89

4

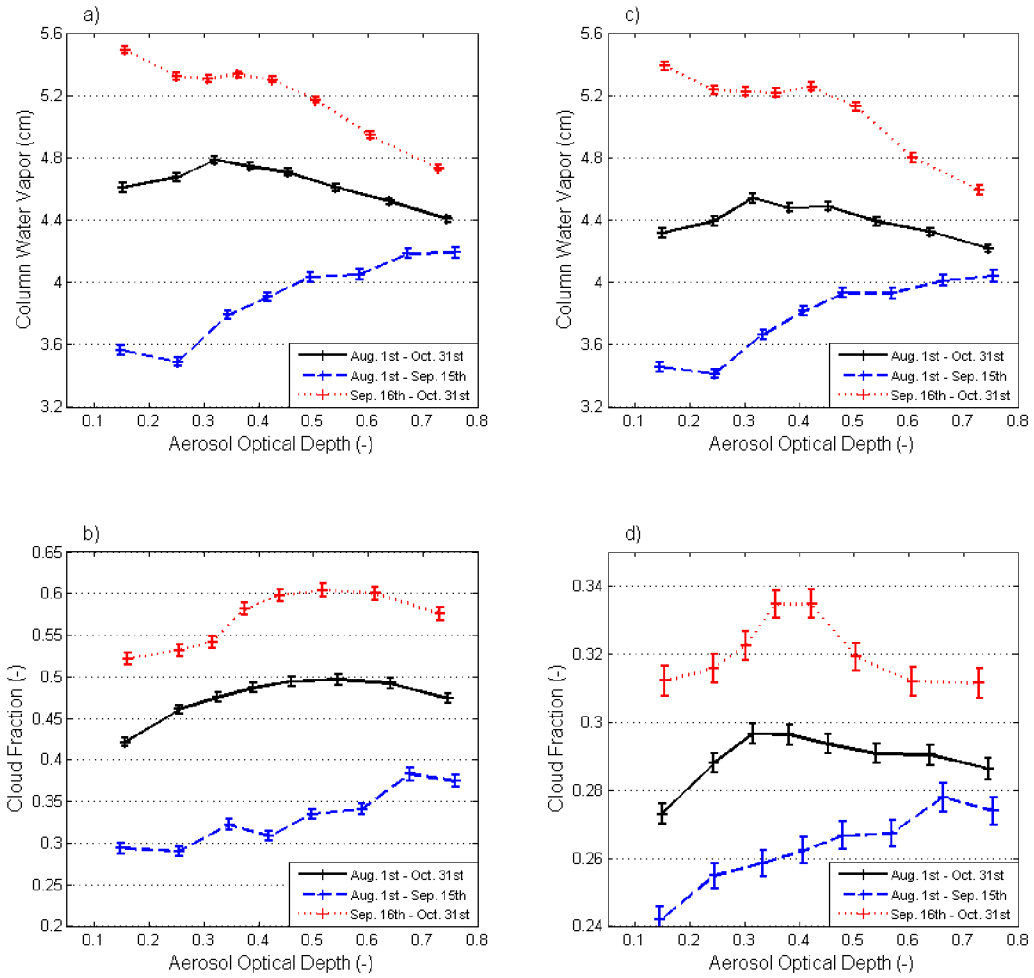


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2 Figure 1. Land cover classifications for the year 2004. Green pixels represent evergreen  
3 forests and yellow pixels represent pasture or deforested land. Blue pixels represent land  
4 classifications that were not included in either of these two categories. The 5° x 5° study  
5 region is outlined with a red box.



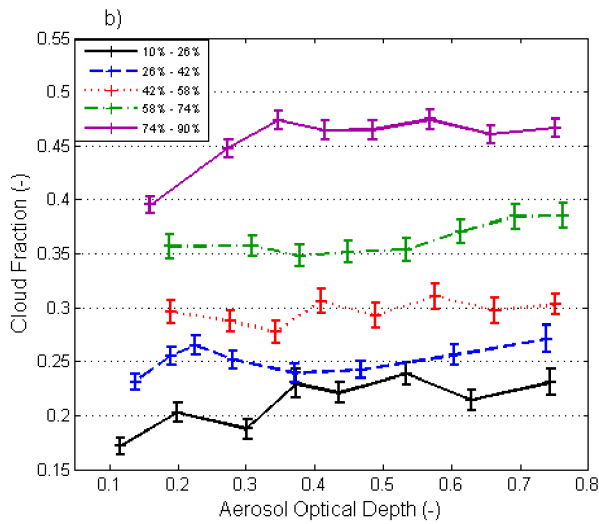
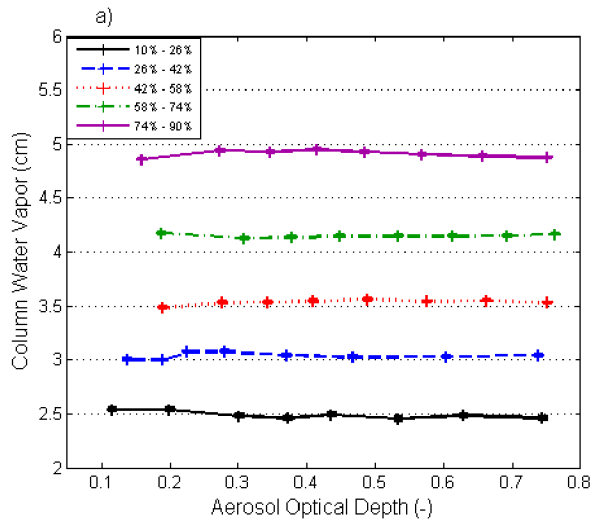


1  
 2 **Figure 2. (a) Column water vapor and cloud fraction binned by day of the year for the months**  
 3 **of August through October, for the years 2004, 2005, 2006, and 2007. Aerosol optical depth**  
 4 **at 550 nm binned by day of the year for the same time period as in (a). Data are accumulated**  
 5 **into eight bins of equal width between August 1<sup>st</sup> and October 31<sup>st</sup>.**  
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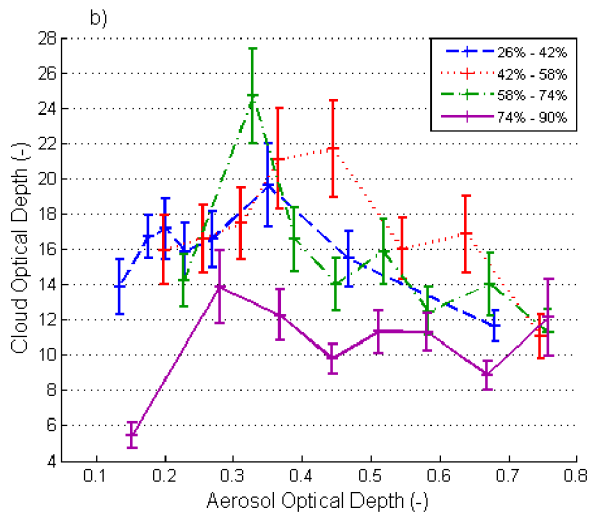
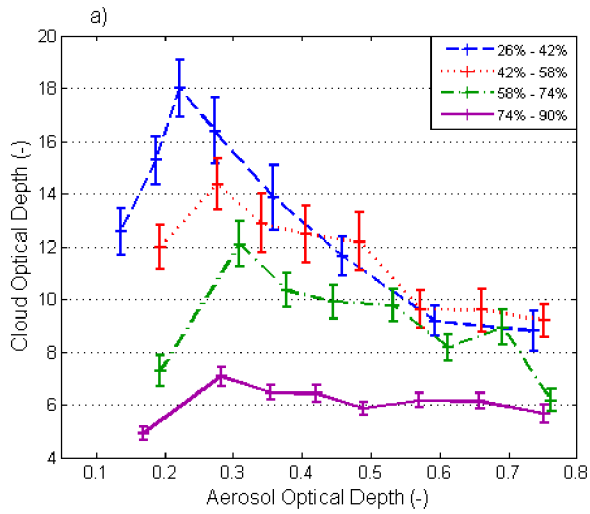
Figure 3. (a) Column water vapor binned by aerosol optical depth for all co-located warm cloud retrievals for the months of August to October, for the years 2004, 2005, 2006, and 2007. Error bars denote the standard error in each bin. (b) Cloud fraction binned by aerosol optical depth for all warm cloud retrievals for the same time period as in (a). (c) Column water vapor binned by aerosol optical depth for all co-located warm cloud retrievals with cloud fractions less than 0.5. (d) Cloud fraction binned by aerosol optical depth for all warm cloud retrievals with cloud fractions below 0.5.



1

2 Figure 4. (a) Column water vapor binned by aerosol optical depth for all co-located warm  
 3 cloud retrievals at varying percentiles of column water vapor for the months of August to  
 4 October, for the years 2004, 2005, 2006, 2007. (b) Cloud fraction binned by aerosol optical  
 5 depth for all warm cloud retrievals for the same time period is in (a).

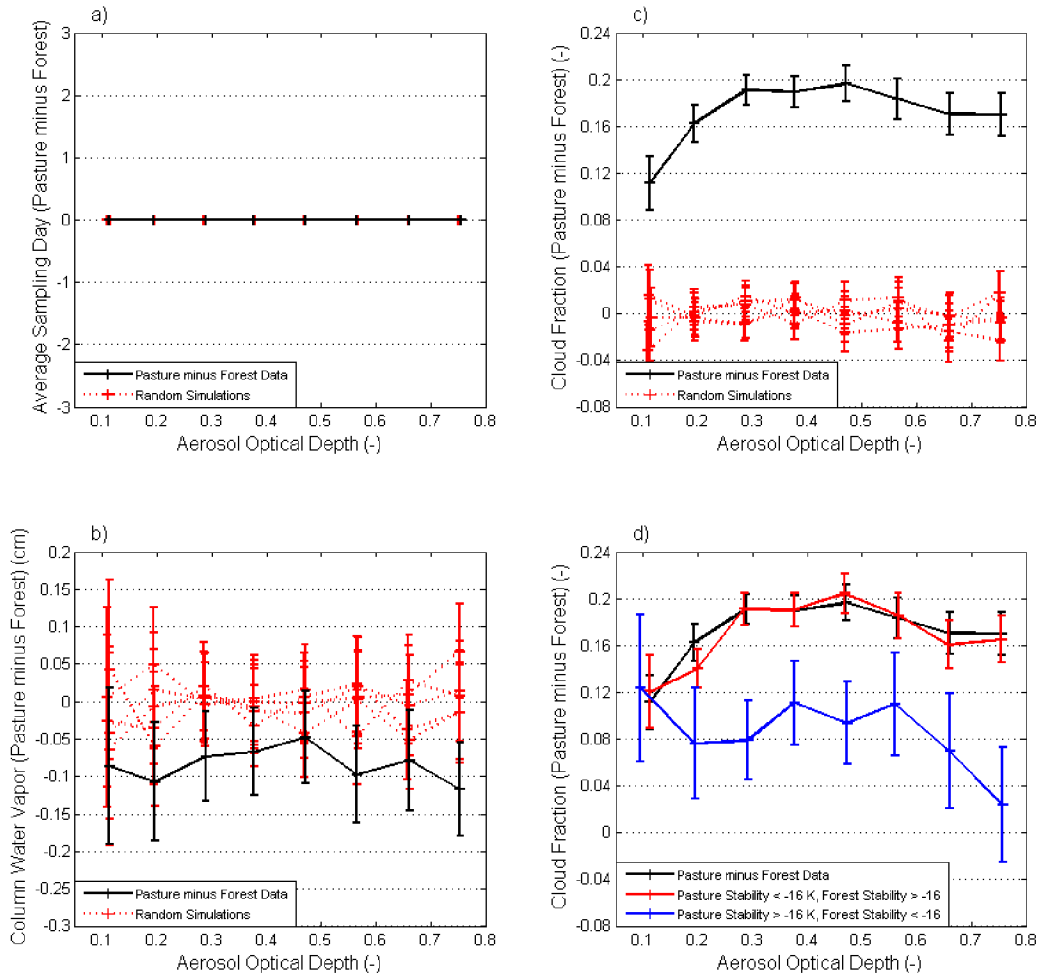
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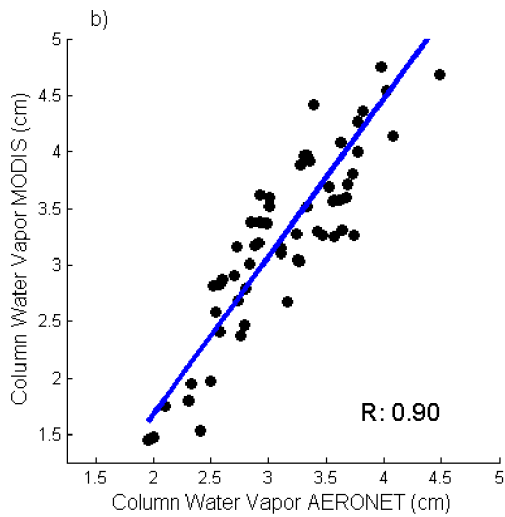
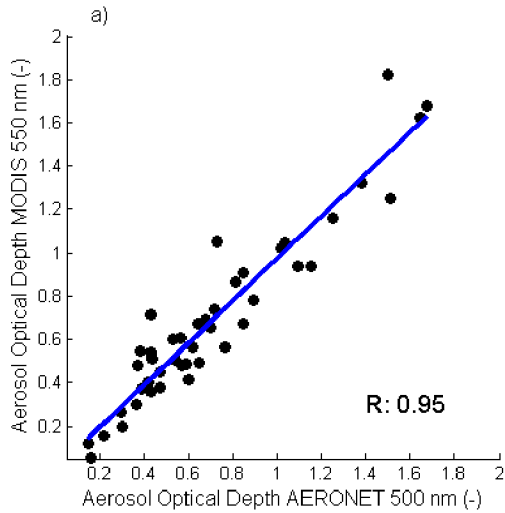
1

2 **Figure 5. (a)** Cloud optical depth binned by aerosol optical depth for all warm cloud retrievals  
 3 at varying percentiles of column water vapor for the months of August to October, for the  
 4 years 2004, 2005, 2006 and 2007. (b) Same as (a) but only for retrievals with cloud top  
 5 pressures between 800 hPa and 850 hPa.

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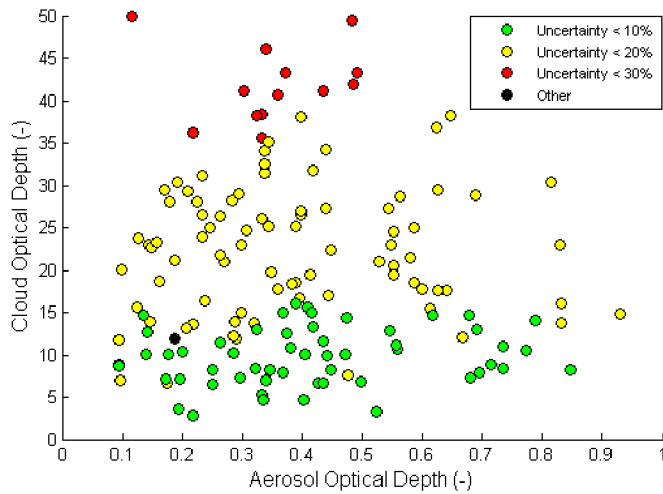
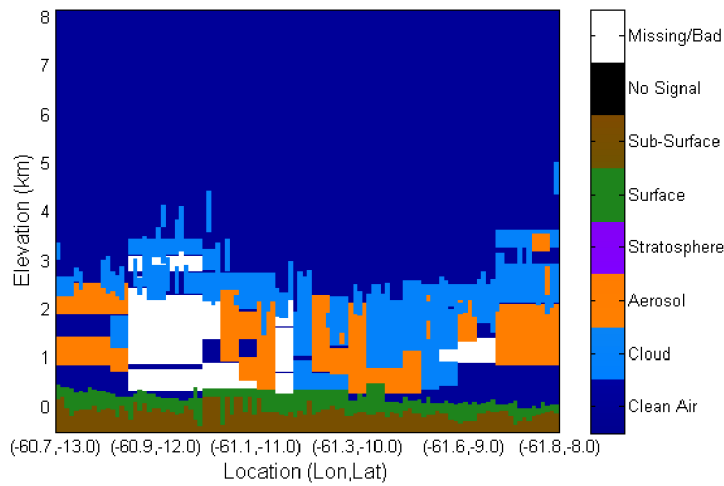


1  
2 **Figure 6. (a) Difference between the average sampled day of the year in each aerosol bin for**  
3 **the pasture and forest sites. The sampling algorithm ensures that the same number of samples**  
4 **per day is used from each land cover type in each AOD bin. In panels a, b and c, red lines**  
5 **represent the results of five random simulations, where forest and pasture pixels are mixed.**  
6 **Data represent the months August to October, for the years 2004 to 2007. (b) Differenced**  
7 **column water vapor between pasture and forest land cover types binned by AOD. (c)**  
8 **Differenced cloud fraction between pasture and forest land cover types binned by AOD. (d)**  
9 **Black line: same as (c), red and blue lines: Stratification by low level stability, defined as the**  
10 **temperature at 850 hPa minus the temperature at 1000 hPa. The stratification values are**  
11 **different between pasture and forest for each stratification case.**



1

2 Figure S1. (a) Comparison of co-located MODIS AOD retrievals with automatic sun/sky  
 3 radiometers of the Aerosol Robotic Network (AERONET) at Abracos Hill (62.358° W,  
 4 10.760° S) and Ji Paraná SE (61.852° W, 10.934° S) for days used in the study between the  
 5 years 2004 through 2007 during the months of August through October. These two sites are  
 6 within our 5° x 5° study region. (b) Comparison of co-located MODIS CWV retrievals with  
 7 automatic AERONET retrievals for the same time period as in (a). Correlation coefficients  
 8 between MODIS and AERONET data are also included in each plot.



1  
 2 Figure S2. (a) Vertical Feature Mask from the CALIPSO lidar on a path through the study  
 3 region for a single day during the study period, August 12<sup>th</sup>, 2006. On this day, clouds mostly  
 4 form near the top of the aerosol layer. Clouds that extend throughout the column may be  
 5 misclassified heavy aerosol plumes. (b) Scatter plot of cloud optical depth versus aerosol  
 6 optical depth on the same day as (a), throughout the 5° x 5° study region. Colors correspond  
 7 to the cloud optical depth uncertainty parameter, defined as a percentage of the retrieved  
 8 value.