Narrowing of the Upwelling Branch of the Brewer-Dobson Circulation and Hadley Cell in Chemistry-Climate Model Simulations of the 21st Century

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Popular Summary

Strong evidence of a tropical belt expansion during the last three decades has been reported recently. Observational studies have shown that the Tropics have widened by more than two degrees latitude since 1979. Expansion of the Tropics in the 20th and 21st Century is also simulated by the Intergovernmental Panel on Climate Change (IPCC) Fourth Assessment Report (AR4) models. The widening of the Tropics is closely linked to the expansion of the Hadley cell's sinking branches. Because the Hadley cell's sinking branches cause the subtropical deserts, a widening of the Hadley cell means that the subtropical dry zones are moving toward more populated areas, including the American southwest, southern Australia and the Mediterranean basin. In addition, the tropical belt expansion is associated with changes in jet streams and storm tracks, and therefore has important implications in climate change. Understanding the mechanisms responsible for the tropical belt widening, particularly for the expansion of the Hadley cell, is an active research area.

This work investigates two important aspects of tropical expansion that have not been examined in previous studies. The first is the width of the stratospheric circulation (the Brewer-Dobson circulation) under global warming: it is important to understand whether tropical expansion extends into the stratosphere. The second topic is the width of the ascending branch of the Hadley cell: studying changes in the Hadley cell's upwelling will help to understand what causes the expansion of the Hadley cell's sink branch. These two topics were investigated using simulations of the 21st century from the Goddard Earth Observing System Coupled Chemistry Climate Model (GEOS CCM). The model results project a narrowing of the tropical upwelling region in the troposphere and lower stratosphere. However, the mechanisms for the narrowing of the upwelling branch of the Brewer-Dobson and the Hadley circulation are different. The narrowing of the upwelling of the Brewer-Dobson circulation in the lower stratosphere is due to the strengthening and equatorward shift of the subtropical jets, which enhances equatorward propagation of midlatitude eddies. On the other hand, the narrowing of the Hadley cell's ascending branch is caused by suppressed equatorward propagation of eddies, possibly a result of enhanced static stability in the troposphere. The reduced eddy wave activity causes anomalous eastward wave forcing in the subtropical upper troposphere, which drives an indirect circulation whose sinking branch narrows the tropical upwelling region.

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10	Abstract
11	Changes in the width of the upwelling branch of the Brewer-Dobson circulation
12	and Hadley cell in the 21st Century are investigated using simulations from a coupled
13	chemistry-climate model. In these model simulations the tropical upwelling region
14	narrows in the troposphere and lower stratosphere. The narrowing of the Brewer-Dobson
15	circulation is caused by an equatorward shift of Rossby wave critical latitudes and
16	Eliassen-Palm flux convergence in the subtropical lower stratosphere. In the troposphere,
17	the model projects an expansion of the Hadley cell's poleward boundary, but a narrowing
18	of the Hadley rising branch. Model results suggest that the narrowing of the Hadley cell
19	ascent is also eddy-driven.
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21 1. Introduction

22 Strong evidence of a tropical belt expansion during the last three decades has been 23 reported. Observational studies have shown that the Tropics have widened since 1979 by 24 more than two degrees latitude - these studies use different empirical measures of the 25 tropical width, such as the distance between the subtropical jets in the two hemispheres 26 [Hu and Fu, 2007], the latitudinal range of tropical outgoing longwave radiation [Hu and Fu, 2007], and the subtropical tropopause height [Seidel and Randel, 2007]. Expansion of 27 the Hadley circulation in the 20th and 21st Century is also simulated by the 28 29 Intergovernmental Panel on Climate Change (IPCC) Fourth Assessment Report (AR4) 30 models [Lu et al., 2007]. The widening of the Tropics is associated with changes in the 31 precipitation pattern, the hydrological cycle, jet streams, and storm tracks, and therefore 32 has important implications in climate change [Seidel et al., 2008]. Understanding the 33 mechanisms responsible for the tropical belt widening, particularly for the expansion of the Hadley cell, is an active research area. 34

35 There are two important aspects of tropical expansion that have not been 36 examined in detail in previous studies. The first is the width of the stratospheric tropical 37 circulation under global warming. The stratospheric circulation in the Tropics is 38 characterized by a slow, rising motion that forms the upwelling branch of the Brewer-39 Dobson circulation (BDC). The BDC plays a crucial role in the distribution of trace 40 gases, such as ozone and water vapor, in the stratosphere. Because of its important 41 implications for stratospheric ozone recovery, changes in the strength of the BDC in the 21st Century have been extensively studied and nearly all middle-atmosphere models 42 43 predict an acceleration of the BDC [Butchart et al., 2006]. However so far there has been

no dedicated study on the width of the BDC. It is important to understand whether
tropical expansion extends into the stratosphere and how the width change of the BDC is
related to the strengthening of the BDC.

The second topic is the width of the ascending branch of the Hadley cell. Note that Hadley-cell widening refers to the expansion of its descending branch, which does not necessarily indicate a similar expansion of its ascending branch. Studying the width of the ascending branch of the Hadley cell may help to understand tropical expansion.

The purpose of this study is to investigate the response of the width of the upwelling branch of the BDC and Hadley cell to climate change in the 21st Century. Here, we use simulations from the Goddard Earth Observing System Coupled Chemistry-Climate Model (GEOSCCM) to show a narrowing of tropical upwelling in the lower stratosphere and troposphere.

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2. Simulations and Methods

58 Details of the model used in this study, the GEOSCCM Version 1, are given in Pawson et al. [2008]. For this work, we analyzed two simulations of the 21st Century 59 60 (2001-2099), referred to as FA1b and FA2, which used IPCC GHG scenarios A1b and 61 A2. Data from FA1b and FA2 were used in several previous studies, including Li et al. 62 [2009] and Oman et al. [2010]. For consistency with the GHG scenarios, the two model 63 runs use single realizations of sea surface temperature (SST) and sea ice from appropriate 64 AR4 scenarios run with the National Center for Atmospheric Research (NCAR) 65 Community Climate System Model 3.0 (CCSM3). Both simulations use an identical

halogen scenario (WMO scenario AB) and all other external forcing is identical. Annual-mean results are presented in this study.

The BDC is the mean mass transport circulation in the stratosphere and it should be regarded as a Lagrangian mean circulation, but *Dunkerton* [1978] showed that the BDC could be approximated by the residual circulation under the Transformed Eulearian-Mean (TEM) frame. Here we use the latitudinal range of upward residual vertical velocity ($\overline{w}^* > 0$) in the Tropics as a measure of the width of the BDC's upwelling branch.

74 We follow previous studies to investigate the Hadley cell under the conventional 75 Eulerian-Mean (CEM) frame. The width of the Hadley cell is defined as the distance 76 between its poleward boundaries, which are in turn defined as the latitudes where the 77 zonal-mean mass streamfunction first becomes zero on the poleward side of its 78 subtropical maxima [Lu et al., 2007]. The width of the ascending branch of the Hadley 79 cell is measured as the latitudinal range of tropical upwelling (vertical velocity w > 0). 80 Note that our model results regarding the narrowing of the Hadley cell's rising branch 81 (section 4) do not change when analyzed under the TEM frame. We choose to use CEM 82 to investigate Hadley cell change because the descending branch of the Hadley cell is not 83 well defined in TEM.

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85 3. Narrowing of the Upwelling Branch of the BDC

We focus on 70 hPa when investigating changes in the BDC in order to compare with previous studies [e.g., *Butchart et al.*, 2006]. Figure 1a shows the evolution through the 21st Century of the width and strength of the BDC's upwelling branch at 70 hPa in FA1b and FA2. Despite different scenarios of GHG employed, the two simulations show
the same long-time changes: narrowing and strengthening of the upwelling branch of the
BDC.

92 The strengthening of the BDC has been extensively studied and our model results 93 are consistent with other model results [e.g., Butchart et al., 2009]. The trend of the BDC strength at 70 hPa in the 21st Century for FA1b is 1.33 %/decade, which is in the middle 94 95 of the range predicted by eleven CCMs under the IPCC GHG A1b scenario [Butchart et 96 al., 2009]. But more interestingly for the purpose of this study, the FA1b and FA2 runs 97 project a narrowing of the upwelling region at a rate of 0.41 and 0.61 degrees/decade 98 (significant at the 95% confidence level). Although this change has been noted in recent 99 studies [McLandress and Shepherd, 2009; Li et al., 2009], it is not clear what causes this 100 narrowing. Here we investigate the narrowing of the BDC in the lower stratosphere, 101 using an analysis based on the downward control principle [Havnes et al., 1991]. Since 102 this behavior is very similar in both the FA1b and FA2 runs, the remainder of the analysis 103 focuses on FA2.

104 The rising branch of the BDC is confined between the turnaround latitudes, 105 defined as the locations where the residual vertical velocity (\overline{w}^*) changes sign from 106 tropical upwelling to extratropical downwelling. The turnaround latitudes correspond to 107 the latitudes of the maxima and minima of the residual mass streamfunction (Ψ^*) 108 because by definition $\overline{w}^* = \frac{1}{\rho_0 a \cos \phi} \frac{\partial \Psi^*}{\partial \phi}$, where ρ_0 is the atmospheric density, *a* is the

109 Earth's radius, and ϕ is the latitude. The BDC is a wave-driven circulation, and Ψ^* can 110 be approximated as

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$$\Psi' = \int_{z}^{\infty} \frac{\rho_0 a^2 \cos^2 \phi}{\partial \overline{m} / \partial \phi} F dz'$$
(1)

112 under steady state conditions, where \overline{m} is the absolute angular momentum, and F is wave 113 forcing that consists of model-resolved wave and parameterized gravity-wave driving 114 [*Haynes et al.*, 1991]. Using the downward control analysis, we can diagnose what causes 115 the equatorward shift of the turnaround latitudes.

116 Figure 2a shows that at 70 hPa the climatological (2001-2020 mean) downward-117 control and the actual residual streamfunctions have almost the same turnaround latitudes 118 and similar magnitudes. The downward-control residual streamfunction is dominated by model-resolved wave forcing. At the turnaround latitudes (34°N and 36°S), resolved 119 120 waves and gravity waves account for 80% and 11% of the actual residual streamfunction. 121 respectively. The magnitude and the latitudinal structure of the trend in the downward-122 control and actual residual streamfunctions also agree well with each other (Fig. 2b). 123 Comparing Figs. 2a and 2b reveals that the latitudinal distribution of the trend is shifted 124 toward the Equator compared to the climatological distribution. The maxima of the trend 125 are located at 22°N and 20°S, about 15° equatorward of the climatological turnaround latitudes. Changes in the resolved-wave-driving streamfunction demonstrate similar 126 127 latitudinal shift and dominate changes in gravity wave forcing equatorward of 30°N and 128 S. At the latitudes of maximum trend (22°N and 20°S), resolved wave and gravity wave 129 driving explain 81% and 9% of the actual trend, respectively. Based on the above 130 analyses, it is concluded that the narrowing of tropical upwelling in the lower 131 stratosphere is primarily due to increases in model-resolved wave driving in the 132 subtropics that shifts the EP flux convergence equatorward.

133 The increase in Rossby-wave driving in the subtropical lower stratosphere 134 indicates enhanced wave propagation into this region (above 16 km in Fig. 2c). Rossby 135 wave propagation is sensitive to changes in the basic state in the upper troposphere and lower stratosphere (UTLS) [e.g., Garcia and Randel, 2008]. Figure 2d shows the model 136 simulated temperature trend in the 21st Century. GHG increases warm the troposphere 137 138 and cool the stratosphere. The strongest warming occurs in the tropical upper 139 troposphere; this enhances the meridional temperature gradient in the subtropical UTLS. 140Through thermal wind balance, the westerlies in the subtropical UTLS region strengthen 141 (Fig. 2c). The largest westerly wind trends are located at about 30°N and S and 100 hPa 142 (16 km), above and on the equatorward side of the subtropical jets, indicating an upward 143 and equatorward shift of the jets. The strengthening and displacement of the subtropical 144 jets has significant impacts on wave propagation. This may be explained qualitatively by 145 the refractive index, which can be approximated as

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$$n_r^2 \approx \frac{\overline{q}_y}{\overline{u} - c},$$
 (2)

where n_r^2 is the square of the refractive index, \overline{u} is the zonal-mean zonal wind, c is the 147 eddy phase speed, and \overline{q}_y the meridional potential vorticity gradient. Rossby waves tend 148 to propagate toward regions of large positive n_r^2 , and are reflected away from regions of 149 negative n_r^2 . Therefore the equatorward propagation of mid-latitude waves is limited by 150 151 the critical latitude, where the wave phase speed equals the zonal wind. The westerly 152 acceleration in the subtropical lower stratosphere draws the critical latitude equatorward 153 (for example, between 2001 and 2099 the zero wind lines at 70 hPa are displaced toward the Equator by about 3° of latitude in both hemispheres). As a result, the equatorward 154 155propagating extratropical eddies can penetrate deeper into the Tropics in the lower

stratosphere (above 16 km in Fig. 2c), shifting the EP flux convergence zone and theturnaround latitudes toward the Equator.

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159 4. Narrowing of the Upwelling of the Hadley Cell

Readers should be remained that results presented in this section are calculated 160 under the CEM frame. The width of the Hadley cell, diagnosed from the 500 hPa zero 161 mass streamfunction, increases in the 21st Century in our model simulations (Fig. 1b). 162 163 Our results are consistent with previous modeling studies [Lu et al., 2007; Johanson and Fu, 2009]. Lu et al. [2007] reported a multi-model mean trend of 0.11 °/decade for the 164 A1b scenario and 0.2°/decade for the A2 scenario from 15 IPCC AR4 models (estimated 165 166 from their Figure 2), which are represented very well by the trends in our FA1b $(0.11^{\circ}/\text{decade})$ and FA2 $(0.14^{\circ}/\text{decade})$ simulations. 167

Figure 1b also shows that the width of the ascending branch of the Hadley cell (region of vertical velocity w > 0) narrows, although in FA1b the narrowing is only statistically significant in the upper troposphere (300-200 hPa). This is the opposite behavior to the poleward expansion of the edge of the Hadley cell. The rate of the contraction of tropical ascent is smaller, but comparable to that of the expansion of the Hadley cell's poleward edges. This means that in the GEOSCCM the expansion of the sinking branches of the Hadley Cell occurs on both its poleward and equatorward flanks.

Again we focus on the FA2 run to investigate the mechanism for the narrowing of the Hadley rising branch. Figure 3a shows that trends of the vertical velocity are nearly symmetric between the hemispheres. In the subtropical middle-upper troposphere (4-10 km), trends in the vertical velocity are opposite to its climatology mean, with increased 179 ascent in 20°S-35°S and 15°N-35°N and enhanced descent around 10°S-20°S and 10°N-180 15°N. The enhanced descent extends into the Tropics (more pronounced in the Southern 181 Hemisphere), pushes the zero-vertical-velocity line equatorward, resulting in a narrowing 182 of tropical upwelling. We suggest that this thermally indirect meridional circulation 183 change is eddy-driven. Figure 3b shows that in the height range 8-12 km, the momentum flux convergence $\left(-\frac{\partial \overline{u'v'}}{\partial v}\right)$ increases at 10°-25° and decreases at 25°-50° in bother 184185 hemispheres. These momentum flux convergence changes are caused by a reduced 186 equatorward propagation of meridional eddy activity flux (the opposite of poleward eddy momentum flux) around 20°-40° latitudes in the height range 6-12 km (Fig. 3c). By 187 188 applying westerly forcing in the subtropical upper troposphere, the increased momentum 189 flux convergence drives a secondary indirect meridional circulation, which causes the 190 narrowing of tropical upwelling (Fig. 3b). Our interpretation is inspired by Seager et al. 191 [2003] who suggested that the mid-latitude cooling during El Niño events could be 192 explained by anomalous ascent due to changes in an eddy-driven meridional circulation.

193 Now the question is what causes the upper tropospheric eddy flux changes. We note that the suppressed equatorward EP flux propagation between 20° and 40°N and S in 194 195 the middle and upper troposphere (6-12 km) is accompanied by a reduction in the vertical 196 component of the EP flux (Fig. 3c), that is, the direction of the EP flux trend is opposite 197 to that of the climatological EP flux in this region (upward and equatorward, not shown). 198 This observation suggests that suppression of wave propagation from the lower 199 troposphere, due to changes in the background or source, might be a plausible 200 explanation for the eddy flux changes in the upper troposphere.

We use refractive index to investigate how changes in the background state affect wave propagation in the troposphere. We focus on the meridional potential vorticity (PV) gradient (Equation 2), because the zonal-mean zonal wind trends are small below about 10 km (Fig. 2c) and changes in the refractive index are dominated by those in the PV gradient (assume the eddy phase speed doesn't change). In the spherical coordinate, the meridional PV gradient is

$$\overline{q}_{\phi} = \frac{2\Omega}{a} \cos\phi - \frac{1}{a^2} \left[\frac{(\overline{u}\cos\phi)_{\phi}}{\cos\phi} \right]_{\phi} - \frac{f^2}{\rho_0} \left(\rho_0 \frac{\overline{u}_z}{N^2} \right)_z, \tag{3}$$

208 where N is the buoyancy frequency, f is the Coriolis parameter, and other symbols have 209 their standard notations. A smaller PV gradient would suppress Rossby wave 210 propagation, and vice versa. Figure 3c shows a large area of decreased PV gradient below 211 about 9 km. The regions of decreased PV gradient coincide with reduced upward and 212 equatorward EP flux propagation. Expanding the right hand side of Equation 3 reveals 213 that the reduction in the PV gradient is mainly due to an increase in the static stability 214 (Fig. 3d). We conclude that changes in the basic state could be at least partly responsible 215 for the reduced wave propagation into the subtropical upper troposphere.

Another possible explanation for the suppressed wave activity in the subtropical upper troposphere is a weakening of baroclinic eddy sources. *Frierson et al.* [2006] and *Lu et al.* [2008] showed that an increase in static stability in the troposphere is a robust response to global warming in AR4 simulations. They argued that the increased static stability in the subtropics stabilizes the baroclinic growth rate and reduces eddy activity there. The GEOSCCM simulates a significant increase of static stability in the subtropics (Fig. 3d), and hence the decrease of equatorward and upward eddy fluxes between 20°

and 40° latitudes may be explained by the stabilization of eddies using the same argument of *Lu et al.* [2008].

The eddy wave activity changes in the troposphere may also be interpreted by changes in the wave phase speed. *Chen and Held* [2007] proposed that westerly accelerations in the UTLS would increase the eastward phase speed of tropospheric waves. Because faster waves have a more poleward-placed critical latitude, an increase in wave phase speed reduces equatorward wave activity fluxes in the subtropics and enhances wave activity in the mid-latitudes, leading to a poleward shift of the momentum flux convergence/divergence patterns: this is exactly what is shown in Figs. 3b and 3c.

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233 5. Discussion and Conclusions

234 GEOSCCM simulations project a narrowing of the upwelling region in the tropical troposphere and lower stratosphere in the 21st Century. This work has examined 235 236 mechanisms for the narrowing of the ascending branch of the BDC and Hadley cell. The 237 equatorward and upward displacement of the subtropical jets, due to an enhanced 238meridional temperature gradient in the subtropical UTLS under global warming, causes 239 an equatorward shift of the EP flux convergence zone and a narrowing of the upwelling 240 in the lower stratosphere. Our results are consistent with Garcia and Randel [2008] and 241 McLandress and Shepherd [2009] regarding the important role of increased subtropical 242 wave forcing in causing changes in the BDC. Garcia and Randel [2008] and McLandress 243 and Shepherd [2009] focused on the upward extension of the critical lines that leads to 244 the strengthening of the BDC, but here we address the narrowing of the upwelling of the 245 BDC and concentrate on the equatorward shift of the critical latitudes. These two aspects

of BDC changes are connected with each other. The key to understanding this connection
is that Rossby waves tend to propagate toward regions of increased westerly winds in the
subtropical lower stratosphere.

249 Our model results indicate that the narrowing of the Hadley rising branch is also 250 eddy-driven. We argue that the subsidence of a subtropical secondary indirect circulation, 251 driven by anomalous momentum flux convergence in the upper troposphere, pushes the 252 boundary of the tropical ascent to move toward the Equator. Three possible mechanisms 253 for the subtropical momentum flux convergence increase have been discussed: decreases 254 in the refractive index that suppress wave propagation, stabilization of eddies due to an 255 increased static stability, and increases in wave phase speed. Note that the last two 256 mechanisms have been used to explain the expansion of the poleward boundaries of the 257 Hadley cell [Lu et al., 2008]. Therefore it is likely that the narrowing of the Hadley cell's 258 ascending branch is closely related to the widening of the Hadley cell's descending 259 branch.

260 Finally, we want to emphasize that the narrowing of the BDC is driven by 261 enhanced EP flux convergence (casterly acceleration) in the lower stratosphere, whereas 262 the narrowing of the Hadley's rising branch is due to increased momentum flux 263 convergence (westerly acceleration) in the upper troposphere. These results are valid for 264 the GEOSCCM using a single realization of SSTs from CCSM3. The robustness of our 265 results needs to be verified with other CCMs and AR4 models. Of particular important is 266 to identify how different representations of SSTs in CCMs (driven by SSTs from coupled 267 models) and coupled atmosphere-ocean AR4 models influence tropical circulation 268 response to global warming.

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- 270 program.

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Figure 1: (a) Time series of the width of the BDC upwelling branch at 70 hPa (black, left axis), and the tropical upward mass flux at 70 hPa (blue, right axis). (b) Vertical profiles of the trends of the width of the Hadley cell poleward boundary (red) and the width of the Hadley rising branch (black). Filled circles indicate trends are statistically significant at the 95% confidence level. In both (a) and (b), solid and dashed lines are results from the FA2 and FA1b simulations, respectively.



Figure 2: (a) Latitudinal distribution of the climatology (2001-2020 mean) of the actual (black) and downward-control (red for combined resolved and gravity wave driving, blue for resolved wave driving, and green for gravity wave driving) residual streamfunction at 70 hPa. (b) Same as (a), but for the trend in the 21st Century. (c) Trends of the zonal wind in the 21st Century (shading). Stippling indicates that trends are not statistically significant at the 95% confidence level. Contours are 2001-2020 mean. Arrows denote trends in the EP flux. (d) Same as (c), but for the temperature. Results are from FA2.

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Figure 3: Trends in the 21^{st} century of (a) the vertical velocity (10^{-5} ms⁻¹/decade), (b) the momentum flux convergence, $-\frac{\partial \overline{u'v'}}{\partial v}$ (10⁻²ms⁻²/decade), (c) the meridional potential vorticity gradient (10⁻¹²m⁻¹s⁻¹/decade), and (d) the buoyancy frequency squared (10⁻⁴s⁻¹) ²/decade). Stippling indicates that trends are not statistically significant at the 95% confidence level. Contours in (a) and (b) are 2001-2020 mean values (black for positive and white for negative values). Arrows in (b) and (c) denote trends in the meridional circulation and EP flux, respectively. Results are from FA2.