An emerging global aerosol climatology from the MODIS satellite sensors.

Lorraine A. Remer¹, Richard G. Kleidman^{1,2}, Robert C. Levy^{1,2}, Yoram J. Kaufman^{1,9}, Didier Tanré³, Shana Mattoo^{1,2}, J. Vanderlei Martins^{1,4}, Charles Ichoku^{1,5}, Ilan Koren⁶, Hongbin Yu^{1,7}, and Brent N. Holben⁸

Popular Summary

The aerosol product derived from MODIS observations now includes a seven year record from Terra-MODIS and a five year record from Aqua-MODIS. We are now at a point to use this information in the manner intended, to perform a quantitative "check-up" of Earth's global aerosol system. How are aerosols distributed over the continents and oceans? How are different sizes distributed, and what are the relationships between aerosol loading and aerosol particle size in different regimes? Finally, what are the regional and seasonal characteristics of the aerosols? In this paper we will attempt to answer these questions from the data base of MODIS aerosol products.

We first evaluate the latest version of the MODIS data product and find that the retrieval of aerosol over land is substantially better than previous versions, and the ocean retrieval about the same. However, a bias has been introduced between Terra and Aqua retrievals that was not present in previous collections of MODIS aerosol data. This bias is significant, and not yet understood. Despite this unexplained bias, we do understand the MODIS retrievals well enough to describe the aerosol system as seen from space over the past 5 to 7 years.

- Global mean AOD is 0.13 over ocean and 0.19 over land
- Land shows a broader distribution of AOD than ocean. Roughly 28% of land retrievals are extremely clean and within ±0.05 of AOD = 0. Only 15% of ocean retrievals are that low.
- Global mean values are limited by sampling issues. No retrievals are made during polar night, snow, ice or bright land surfaces.

- Global mean values can vary by as much as 20% depending on how the data is aggregated, weighted and averaged. The results here are "pixel weighted". Thus, they are biased to clear skies and the reported AOD may be low.
- AOD in situations with 80% cloud fraction are twice the global mean values, although such situations occur only 2% of the time over ocean and less than 1% of the time over land.
- There is no drastic change in aerosol particle size associated with these very cloudy situations.
- The heaviest aerosol regions are North Africa, India, East and Southeast Asia. Each has its own seasonal cycle and interannual variability.
- The northern industrial economies (North America and Europe), Siberia and especially Australia have the lowest AODs.
- The three southern hemisphere biomass burning regions (South America, southern Africa and Indonesia) exhibit very similar seasonal behavior.
- Taken as a whole there is an increasing trend in southern hemisphere biomass burning AOD over the five year Aqua record.
- We find that elevated aerosol over background conditions in most oceanic regions is dominated by fine mode aerosol and not dust. This includes the Mediterranean, the north Pacific downwind of Asia and even the southern oceans. Only the Saharan outflow region in the Atlantic and the Arabian Sea area have certain months dominated by dust.
- In this analysis we did not find significant global trends of AOD either over land or ocean. A longer time series is required to identify trends.

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Abstract

The recently released Collection 5 MODIS aerosol products provide a consistent record of the Earth's aerosol system. Comparison with ground-based AERONET observations of aerosol optical depth (AOD) we find that Collection 5 MODIS aerosol products estimate AOD to within expected accuracy more than 60% of the time over ocean and more than 72% of the time over land. This is similar to previous results for ocean, and better than the previous results for land. However, the new Collection introduces a 0.015 offset between the Terra and Aqua global mean AOD over ocean, where none existed previously. Aqua conforms to previous values and expectations while Terra is high. The cause of the offset is unknown, but changes to calibration are a possible explanation. We focus the climatological analysis on the better understood Aqua retrievals. We find that global mean AOD at 550 nm over oceans is 0.13 and over land 0.19. AOD in situations with 80% cloud fraction are twice the global mean values, although such situations occur only 2% of the time over ocean and less than 1% of the time over land. There is no drastic change in aerosol particle size associated with these very cloudy situations. Regionally, aerosol amounts vary from polluted areas such as East Asia and India, to the cleanest regions such as Australia and the northern continents. In almost all oceans fine mode aerosol dominates over dust, except in the tropical Atlantic downwind of the Sahara and in some months the Arabian Sea.

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58 Introduction

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The instruments aboard NASA's Terra and Aqua satellites have been observing the Earth since early 2000 and mid-2002, respectively. In the words of Dr. Yoram J. Kaufman, Terra Project Scientist at the time of the Terra launch, the Terra and Aqua missions were "designed for a comprehensive check-up of planet Earth" [Kaufman, 2000 http://terra.nasa.gov/Events/FirstImages/]. Similar to a check-up at the doctor's office, these missions would characterize the health of the planet. The goal was to use the vantage point of space to view the Earth's interconnected systems of atmosphere, land

and ocean, and to characterize the parameters important to sustainability of the planet andits human population.

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70 One important feature measured by several instruments aboard Terra and Aqua is 71 atmospheric aerosol. These small solid or liquid particles suspended in the atmosphere 72 play a major role in the energy balance of the Earth, in modifying cloud, precipitation, 73 and atmospheric circulation characteristics, in providing nutrients to nutrient-limited 74 regions of land and oceans, and in affecting air quality and public health. Aerosols are 75 highly inhomogeneous in space, time and composition, and yet, knowing the amount, 76 composition, distribution, size and shape of these particles is necessary for any 77 meaningful estimates of their effect, from estimating anthropogenic climate forcing to 78 forecasting air quality and potential health effects from air pollution.

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80 One of the instruments aboard both Terra and Aqua specifically designed to characterize 81 atmospheric aerosols is the MODerate resolution Imaging Spectroradiometer (MODIS). 82 The aerosol product derived from MODIS observations now includes a seven year record 83 from Terra-MODIS and a five year record from Aqua-MODIS. We are now at a point to 84 use this information in the manner intended, to perform a quantitative "check-up" of 85 Earth's global aerosol system. How are aerosols distributed over the continents and 86 oceans? How are different sizes distributed, and what are the relationships between 87 aerosol loading and aerosol particle size in different regimes? Finally, what are the 88 regional and seasonal characteristics of the aerosols? In this paper we will attempt to 89 answer these questions from the data base of MODIS aerosol products.

90

91 MODIS aerosol products

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The aerosol products are derived operationally from spectral radiances measured by MODIS. MODIS has 36 channels spanning the spectral range from 0.41 to 15 μ m representing three spatial resolutions: 250 m (2 channels), 500 m (5 channels), and 1 km (29 channels). The aerosol retrieval makes use of seven of these channels (0.47 – 2.13 μ m) to retrieve aerosol characteristics [Remer et al., 2005] and uses additional

98 wavelengths in other parts of the spectrum to identify and mask out clouds and river 99 sediments [Ackerman et al., 1998, Gao et al., 2002; Martins et al., 2002; Li et al., 2003]. 100 The MODIS aerosol algorithm is actually two independent algorithms, one derives 101 aerosol characteristics over land and the other for aerosols over ocean. The original land 102 algorithm is based on the "dark target" approach [Kaufman and Sendra 1988; Kaufman et 103 al., 1997; Remer et al., 2005] and therefore does not retrieve over bright surfaces 104 including snow, ice and deserts. A more recent MODIS product, labeled "Deep Blue" 105 does retrieve over bright surfaces [Hsu et al., 2004]. However, the climatology presented 106 in this paper does not include the "Deep Blue" results. The ocean algorithm masks out 107 river sediments, clouds and sunglint, then inverts the radiance at 6 wavelengths (0.55 -108 2.13 µm) to retrieve aerosol optical depth (AOD) and particle size information [Tanré et 109 al., 1996; 1997].

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We will examine two types of aerosol products: aerosol optical depth (AOD) and particle size parameter. AOD is a straightforward measure of column integrated extinction. The MODIS product includes retrievals of AOD at seven wavelengths over ocean and three wavelengths over land. There are several measures of particle size included in the MODIS aerosol product. Angstrom exponent over land is defined as:

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$$AngExp = -\frac{\ln(AOD470/AOD660)}{\ln(470/660)}$$

118

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119 There are two Angstrom exponents over ocean, defined as

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$$AngExp1 = -\frac{\ln(AOD550/AOD870)}{\ln(550/870)}$$

123 and

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125
$$AngExp2 = -\frac{\ln(AOD870/AOD2130)}{\ln(870/2130)}$$

Angstrom exponent is a measure of the spectral dependence of the aerosol optical depth
and a proxy for aerosol size. Larger Angstrom exponents indicate smaller particles,
while smaller Angstrom exponents suggest larger particles.

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131 There are two other measures of particle size in the MODIS aerosol product, and these 132 are fine aerosol optical depth and fine mode/model fraction. Fine AOD is the AOD 133 contributed by the fine mode aerosol model. Over ocean, the fine model is a single mode 134 with effective radius spanning the range 0.10 to 0.25 µm [Tanré et al., 1997]. These are 135 mostly submicron particles, but the tail of the mode could include particles that exceed 1 136 μ m. Likewise, there could be submicron particles associated with the tail of the coarse 137 mode distribution that are not included in the Fine AOD. Over land, the "fine" model is a 138 multi-modal aerosol model that includes both fine and coarse modes [Levy et al., 2007a]. 139 It is labeled a "fine" model because the fine mode dominates the size distribution. 140 Therefore, fine AOD has entirely different meanings whether over ocean or land. Fine 141 mode/model fraction is the fine AOD divided by the total AOD. We use fine "mode" to 142 designate the parameter over ocean because the model is a single mode, and fine "model" 143 to designate the multi-modal model over land, but abbreviate both as FMF.

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145 The derived aerosol products undergo rigorous testing and validation. The algorithms 146 were created before Terra launch and tested using data from airborne imagers [Kaufman 147 et al., 1997; Tanré et al. 1997, 1999; Chu et al., 1998]. After Terra launch, the products 148 were validated by comparison with collocated ground-based observations by the Aerosol 149 Robotics NETwork (AERONET). The AERONET network consists of hundreds of 150 automatic instruments that measure aerosol optical depth (AOD) to within 0.01 accuracy 151 [Holben et al., 1998; Eck et al. 1999; Smirnov et al., 2000], and retrieve other aerosol 152 characteristics including particle size information [Dubovik and King, 2000; O'Neill et 153 al., 2003]. Comparison of MODIS-derived AOD with collocated AERONET-measured 154 data showed that the MODIS AOD ocean products were accurate to within $\pm 0.03 \pm 0.05 \tau$ 155 over ocean, where τ is AOD [Ichoku et al, 2002; Remer et al., 2002; Levy et al., 2003, 156 2005; Remer et al., 2005}. Additional validation using the NASA Ames Airborne 157 Tracking Supphotometer confirmed these error bounds over ocean [Russell et al. 2007;

158 Livingston et al., 2003; Redemann et al., 2005, 2006]. Over land, the comparison yielded 159 varying results. In some cases the over land AOD retrievals fell within expected 160 uncertainties $(\pm 0.05 \pm 0.15\tau)$ [Chu et al., 2002; Ichoku et al., 2002; Remer et al., 2005], 161 but in many situations there appeared to be a strong positive bias at low AOT in the over 162 land retrieval, and a negative bias at high AOT [Ichoku et al., 2003, 2005; Levy et al., 163 2005; Remer et al., 2005]. The MODIS particle size information over ocean correlated 164 well with AERONET retrievals, but tended to over predict the occurrence of small 165 particles at the expense of large particles [Kleidman et al., 2005]. 166 167 To address these lingering problems with the aerosol products, new codes were 168 developed. The land algorithm underwent significant change, while maintaining the 169 basic dark target approach [Levy et al. 2007ab]. The ocean algorithm remained almost 170 the same with changes made only to three of the nine aerosol models in the Look Up 171 Table [Remer et al., 2007]. These new algorithms were applied operationally to the 172 complete record of calibrated radiances to generate a new "Collection" of aerosol 173 products resulted. These reprocessed data are known as Collection 5, which is available 174 for both the Terra and Aqua records. Collection 5 provides us with a consistent data set 175 created from a single set of algorithms applied identically to an uninterrupted data stream 176 of calibrated radiances. Collection 5 aerosol products exist for both Terra and Aqua 177 records. 178 179

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181 Data for the Climatology

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183 Two types of MODIS data will be used in this paper: Level 2 (L2) and Level 3 (L3).

MODIS L2 aerosol data are ungridded 10 km retrievals of various aerosol parameters available at the time of satellite overpass. These data represent the fundamental MODIS aerosol product. The product consists of geophysical parameters such as aerosol optical depth and aerosol particle size information, as well as a quality assurance (QA) flag that

188 indicates the level of reliability of each retrieved pixel. QA flags range from 0 (lowest

189 quality) to 3 (highest quality). Comparison of the L2 data, collocated in time and location 190 with high quality ground measurements provide the 'validation' of the basic product. 191

MODIS L3 data are an aggregation of the L2 data onto a gridded 1° x 1° global grid and 192 193 represent the statistics including the mean and weighted means of the L2 product 194 contained within the grid square. L3 data are available on a daily basis, as well as 8 day 195 and monthly means. The global gridded data of L3 will provide the basic set of data for 196 the climatology presented here.

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198 Creating daily L3 from L2, and further processing the data to achieve global and regional 199 monthly means requires decisions as to how to aggregate and average the data at each 200 step. Depending on what processing is chosen variations in the final values can vary by 201 as much as 20% [Levy et al., submitted]. In this work we start with high quality daily L3 202 data, weight by the number of L2 retrievals in the 1 degree grid square (pixel-weighted) 203 and calculate monthly means and other statistics. The reason for this decision is to 204 minimize the contribution of retrievals in cloud fields, where artificially enhanced AOD 205 occurs frequently. It is incongruous for the monthly mean of a particular grid square 206 determined by just one 10 km retrieval on one day of the month to count equally with 207 another grid square that consisted of hundreds of 10 km retrievals in that month. On the 208 other hand, we want global representation of the data without contributions from QA=0 209 data. Pixel-weighting the quality weighted product in this manner introduces some 210 inconsistencies detailed in Levy et al. [submitted], and is not the same as making the same calculations directly from the 10 km L2 data. 211

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213 **Comparison of Collection 5 Against AERONET Observations**

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215 We evaluate the Collection 5 aerosol products by comparing with collocated AERONET

216 observations. A preliminary evaluation was performed and reported in Levy et al.,

217 [2007b] and Remer et al. [2007], but that evaluation was confined to a test bed of MODIS

218 radiance granules. We note that while the test bed produced a substantial number of

219 collocations, it was still limited in time and space. Furthermore, the test bed consisted of saved Collection 4 radiances. When Collection 5 was processed, not only were the
aerosol retrieval algorithms upgraded to Collection 5, but the basic calibration
coefficients were changed as well. Thus, the radiances used to create Collection 5
aerosols are different than those used for Collection 4. When we compare MODIS
aerosol products to AERONET now, we evaluate simultaneously both the changes we
made to the aerosol algorithms and the changes made to the calibration that affect the
aerosol retrieval.

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228 Figure 1 shows the results of collocating MODIS aerosol optical depth retrievals with 229 AERONET for the ocean retrieval following the spatio-temporal technique of Ichoku et 230 al. [2002]. Two wavelengths and both Terra and Aqua are shown. The ocean 231 comparison is made for any island or coastal AERONET station within 25 km of the 232 ocean. The only station eliminated from this analysis is Mauna Loa because of its high 233 elevation in comparison to the ocean surface. Because the ocean retrieval quality flags 234 are regarded as 'conservative' [Russell et al., 2007] we include data of all quality in the 235 comparison. The plots show data that were sorted according to AERONET AOD, 236 grouped into 25 bins of near equal samples and then mean and standard deviation were 237 calculated. The regression equations plotted and correlation coefficients indicated were 238 calculated from the full cloud of collocated points before binning and averaging. The 239 data used in this plot spans the length of the mission from the beginning to the end of 240 2006.

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MODIS aerosol optical depth retrieved over ocean is strongly correlated to the corresponding AERONET values for both wavelengths and both satellites. Expected error for ocean retrievals is $\pm 0.03\pm 0.05\tau$. AOD retrievals at the 870 nm channel fall within expected error more than 2/3 of the time. Retrieval results for shorter wavelengths are less consistently accurate, falling within expected error only 60% of the time at 550 nm. These results for Collection 5 are similar to those reported for Collection 4 [Remer et al., 2005].

250 Figure 2 shows the results of comparing Collection 5 retrievals over land with 251 AERONET AOD. Again these are "global" plots making use of all AERONET stations 252 except COVE and Venise, which are both located on stand alone ocean platforms far 253 from shore. Unlike the ocean validation exercise, for land we use those retrievals with 254 the highest quality labels (QA = 3). Over land, the inclusion of lower quality retrievals 255 will make significant difference in the validation plots, lowering the correlation and 256 decreasing the percentage of retrievals within expected error. We recommend to users to 257 check quality flags over land and to use caution when using retrievals with QA < 3. 258 Similar numbers of collocations are available for both land and ocean despite the fact that 259 there are many more AERONET stations over land than near ocean. The requirement on 260 the land quality flag eliminates many collocations from the analysis. Thus, while there 261 are more opportunities to compare with AERONET over land, there are fewer locations 262 where a high quality land retrieval is possible. The plots in Figure 2 are prepared in the 263 same manner as in Figure 1, although only one channel is shown.

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MODIS aerosol optical depth over land in Collection 5 is a strong improvement of the results from Collection 4 [Remer et al., 2005]. More than 72% of retrievals fall within expected error over land at 550 nm. In Collection 4, 68% of retrievals fell within expected error at that wavelength. More importantly there was an overall positive mean bias in Collection 4 of +41%. In Collection 5 we see insignificant bias, with 0 mean bias in Terra and -7% bias in Aqua. Note that the expected error over land is greater than over ocean ($\pm 0.05 \pm 0.15\tau$).

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The comparison of AOD retrievals over land and ocean show that the Collection 5 retrieval is producing results either as accurate as Collection 4 (ocean) or much improved (land), at least in a global sense. There appears to be little difference between Terra and Aqua. Validation efforts beyond the scope of this paper continue. Individual regions will be examined, and we will include ship board measurements as well as AERONET observations as the "ground truth". However, for now, we see that the MODIS Collection 5 aerosol product can be used to examine the state of the aerosol system.

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282 Comparison of Collection 5 with Collection 4

By comparing MODIS retrieved AOD with collocated AERONET observations on a day by day basis we established that the Collection 5 retrievals are a true representation of the Earth's aerosol system, to within specified accuracies. Even if both Collection 5 and Collection 4 [Remer et al., 2005] aerosol optical depth match AERONET observations within MODIS specifications, there could still be systematic offsets. In this section we compare mean results of the two Collections.

290

Over ocean, the only difference between Collection 4 and Collection 5 aerosol algorithms 291 is that three of the aerosol models were modified. The new aerosol optical models are 292 given in Table 1. AERONET retrievals of aerosol optical properties available only after 293 294 Terra-MODIS launch suggested that the real part of the refractive index for coarse mode 295 sea salt particles was smaller than the 1.43 used in the original algorithm. Changing the 296 three coarse mode 'sea salt' aerosol models in the algorithm to a real part refractive index 297 of 1.35 in accordance with Dubovik et al., [2002 and personal communication] was tested by applying the new aerosol models to our test bed of saved Collection 4 radiances. The 298 299 results are shown in Figure 3. The changed aerosol models reduced the positive bias in the fine mode fraction retrieved by Collection 4 [Kleidman et al., 2005], while not 300 301 making any significant changes to the AOD retrieval. Both Aqua and Terra data were 302 used during testing. The mean AOD using either software was 0.15, but the mean fine 303 mode fraction changed from 0.47 to 0.39. Thus we did not expect any changes to the 304 AOD from Collection 4 results, but did expect reduced fine mode fraction.

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Figure 4 shows a comparison of monthly global mean AOD over oceans between
Collection 4 and Collection 5, separated by satellites. Unlike Figure 3 the data used to
create Figure 4 do not come from our saved test bed of radiances. These data, instead,
come directly from the operational data base available to all users. The Collection 4
AOD values were processed with Collection 4 radiances as input, while the Collection 5
AOD values were processed with Collection 5 radiances as input. Note, that updates in

- calibration cause Collection 5 radiances to differ from Collection 4. The data plottedinclude only the period of overlap of all four data sets, from August 2002 when Aqua
- began processing data to August 2005 when Collection 4 production ended. In Figure 4
- 315 we see that for the Aqua satellite global mean AOD is basically the same for both
- 316 Collections, as expected, but for Terra Collection 5 it is approximately 0.015 higher than
- 317 Collection 4. Note that 0.015 is well within the expected uncertainty of $\pm 0.03 \pm 0.05\tau$.
- 318 Further analysis shows that Terra Collection 4 matches both Aqua Collections and that
- 319 Terra Collection 5 is an outlier when compared to the other three data sets.
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321 The 0.015 offset in AOD between Terra Collection 5 and the other three data sets is not 322 yet understood. Algorithm changes were applied equally to the software run for Terra 323 and Aqua. If an AOD offset was introduced by modifying the aerosol models as 324 described above, then we would see AOD changes equally in both satellites. Because the 325 offset has been introduced to Terra and not Aqua, we suspect this offset is due to updates 326 to the Terra-MODIS calibration constants that altered the Collection 5 input radiances. 327 Investigation continues. However, in this paper we will concentrate on Aqua retrievals 328 over ocean, and leave the evaluation of Terra ocean retrievals until a time when the 329 calibration changes to Terra have been carefully evaluated.

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331 Over land, in contrast to ocean, substantial differences exist between the Collection 4 and 332 5 algorithms [Levy et al., 2007ab]. All aerosol optical models were modified, as were surface assumptions and snow masking. A vector radiative transfer code replaced the 333 334 scalar code used in Collection 4, and the overall numerics of the inversion were changed. 335 Because of these changes we expect Collection 5 to have substantially different AOD 336 values than Collection 4, and they do. The changes made to the aerosol land algorithm 337 resulted in the improved comparison plots against AERONET. (See Figure 2). Mean 338 AOD over land has decreased from 0.28 in Collection 4 to 0.19 in Collection 5. Because 339 of the drastic changes over land, subtle changes due to calibration changes will be lost in 340 the analysis. For the purposes of this paper, to be consistent with the analysis over ocean, we will focus on the Aqua satellite. The land Collection 5 algorithm and comparison with 341

342 Collection 4 is satisfactorily documented in the recent papers Levy et al. [2007a] and343 [2007b], and will not be further discussed here.

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346 Global mean aerosol optical depth over ocean and land

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348 Proceeding with Aqua Collection 5 we will now investigate the emerging global aerosol 349 climatology as viewed by MODIS. Figure 5 shows the time series of monthly and global 350 mean AOD through the Aqua record. The data are separated by ocean and land 351 retrievals. Over ocean the global mean AOD at 550 nm is 0.13, 10% of all ocean 352 retrievals are below 0.041 and 10% are above 0.235. The mean ocean AOD is close to 353 the 66th percentile value showing that the distribution is skewed towards lower values. 354 There is no significant trend in the global mean ocean AOD over the five year Aqua 355 record. There is a significant trend in the Terra record discussed in Remer et al., 356 [submitted]. The fine mode AOD, also plotted in Figure 5 follows the month by month 357 variations of the total AOD. Mean fine mode AOD is approximately 0.06. Note that fine 358 mode AOD contains fine mode contributions from marine aerosol and transported dust 359 and pollution, and is thus not the same as the anthropogenic component.

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361 Over land the global mean AOD at 550 nm is 0.19, 10% of all land retrievals are negative 362 and 10% are above 0.44. Note that the land retrieval permits negative AOD retrievals in 363 order to avoid positive bias in the large-scale statistics [Levy et al., 2007b]. The physical 364 meaning of the negative values is that there is no difference between small negative 365 values, zero AOD or small positive values. Approximately 20% of the AOD retrievals 366 over land are essentially zero. Over ocean the retrieval has greater sensitivity to small values of AOD and thus there are fewer negative retrievals. The ocean retrieval 10th 367 368 percentile already contains AOD values above the noise threshold. The mean land AOD 369 is also close to the 66th percentile showing the same skewed distribution as over ocean. 370 Similar to ocean, there is no significant trend in the global mean AOD over land in the 371 five year Aqua record. The mean fine mode AOD is 0.10, which is larger than over ocean. Furthermore, over ocean we saw that fine mode AOD tracked with the total AOD 372

month by month. Peaks in total AOD corresponded to peaks in fine mode AOD. Over 373 land total AOD peaks in early Spring, while fine mode AOD peaks in late Summer and 374 Fall, where fine mode AOD can account for almost the total mean land AOD in that 375 season. The seasonal cycles suggest a Spring maximum due to dust transport and a Fall 376 maximum due to southern hemisphere biomass burning. However, there is a limit to the 377 accuracy of the retrieval of aerosol size parameter over land. The fine mode AOD shown 378 379 in the land plot of Figure 5 should be considered more of a qualitative indicator, rather 380 than a validated quantitative product.

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The statistics plotted in Figure 5 are calculated from pixel weighted QA-weighted L3 382 383 daily data. Global mean values are strongly dependent on the way the data are 384 aggregated, averaged and weighted. Resultant discrepancies in global mean values of 385 20% are possible [Levy et al., submitted]. The pixel-weighted method used here will 386 produce the lowest value of global mean AOD because it is biased to cloud free conditions. Aerosol retrievals in the vicinity of clouds can be contaminated by cloud 3D 387 388 effects (Wen et al, 2006; 2007) and by subpixel clouds (Zhang et al, 2006). We chose 389 this weighting method in order to minimize the effect of clouds on the statistics, although 390 we acknowledge that pure aerosol in the vicinity of clouds can be higher than aerosol 391 away from cloud fields (Koren et al., 2007). The consequence of our chosen method is 392 that the values in Figure 5 may be biased low.

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394 Global AOD statistics in the vicinity of clouds

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396 Figure 6 shows the global mean statistics calculated from the L3 daily data directly 397 without first creating monthly means. The global mean AOD values calculated from the 398 histograms are the same as those calculated from the monthly means of Figure 5. Evident 399 are the same skewed nature of the AOD distributions, and the broader range and the 400 negative values of the land histograms. In a global sense the fine mode fraction over 401 ocean remains fairly constant over the range of ocean AOD values. Over land, however, 402 the fine (model) fraction suggests that coarse aerosol dominates at low AOD, 403 transitioning to more equal partitioning at moderate AOD. While this is physically

404 realistic over land, one should treat the results with skepticism, due to the large405 uncertainty of derived size parameter over land

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407 The histogram analysis of Figure 6 permits examination of the effect of cloud fields on 408 the aerosol statistics. The bottom panels of Figure 6 plot the AOD distributions for those 409 grid squares in which the cloud fraction exceeds 80%. In these cloudy situations there is 410 a drastic shift of AOD to higher values, both over ocean and land. The mean AOD for 411 these cloudy situations more than doubles to 0.28 over ocean and to 0.44 over land. We 412 expected this increase in AOD to be in part caused by cloud contamination. The aerosol 413 retrieval would interpret large cloud droplets in the field of view as being coarse mode 414 particles. If subpixel clouds and other contaminants were the cause of the drastic 415 increase in AOD in cloudy situations we would expect a strong decrease in fine mode 416 fraction. There is some decrease in fine mode fraction at moderate AOD over ocean, but 417 not as much as would be expected from cloud contamination alone. Other factors 418 including 3D effects and increase of AOD from increased humidity around clouds are 419 also possible and would not decrease fine fraction in the same way as subpixel cloud 420 contamination. Such factors could help to explain the increase of AOD in the cloudy 421 situations without decreasing fine mode fraction. Note that these cloudy situations 422 represent only 2% of the total number of grid squares included in the overall statistics 423 over ocean and less than 1% over land.

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425 Regional and seasonal distribution of aerosol optical depth

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427 Up to this point we have analyzed the global aerosol system in terms of its global mean 428 statistics. The aerosol system is far from being well mixed and homogenous. The 429 aerosol story is very much linked to geography and season. Figure 7 shows four months 430 of aerosol optical depth observed from Aqua MODIS. The four months were chosen to 431 represent seasonal changes, and each month is the mean of that month over the five years 432 of the Aqua mission. In Figure 7 we see the strong aerosol loading over eastern China, 433 the Indo-Gangetic Plain of India and in the eastern tropical north Atlantic during all 434 seasons. We see the aerosol from biomass burning in Africa begin in January north of

the equator and shift southward during the course of the year until it is joined by tropical biomass burning in the Amazon and Indonesia during northern Autumn. There is wide spread elevated AOD over the oceans during the Spring of each hemisphere, April in the north and October in the south. During northern Summer the Arabian Sea and India exhibit unusually high AOD values, while North America, Europe and northern Asia have their highest, though moderate, aerosol loading during the same season.

Figure 7 also shows the limits of the MODIS aerosol products to represent the global aerosol system. Large expanses of the globe are left blank during various seasons due to polar night or surfaces unsuitable for making a dark-target retrieval. The new Deep Blue product will fill in some of these spaces when combined with the standard aerosol products although that prospect is outside the scope of this study. Because of these missing regions, the global mean aerosol values described here may not be truly representative of the entire globe, particularly over land.

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451 Aerosol optical depth of individual regions

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453 We define 13 regions over ocean (following Remer and Kaufman 2006) and 14 regions 454 over land to examine MODIS-derived aerosol characteristics in greater detail. Figure 8 455 defines these regions. Seasonal and annual mean AOD are given for each region and 456 season in Table 2 for ocean regions and Table 3 for land. The heaviest aerosol loading 457 can be found over India and the surrounding oceans during northern summer (JJA). East 458 Asia also exhibits heavy aerosol loading, but during northern spring (MAM). The 459 southern tropical Pacific shows the lowest AOD, but MODIS-observed AOD over the 460 Australian continent is even lower, although the Australian values fall within the land 461 algorithm's noise level.

462

Because the seasonal cycle is most pronounced near the aerosol source regions over land
we concentrate our seasonal analysis on the land regions. Figure 9 shows the AOD time
series for four categories of regions: northern industrial economies, southern biomass

466 burning regions, dust dominated and Asia. The four regions grouped as northern 467 industrial economies are west and east North America, north Europe and the 468 Mediterranean Basin. These four regions track together exhibiting increased AOD in the 469 Spring and Summer, but increasing to only moderate levels as compared to other regions 470 of the globe. The Fall and Winter seasons have very low AOD with eastern North 471 America surprisingly showing the lowest values of AOD during the winter. The Mediterranean region, which includes parts of North Africa and the Middle East as well 472 473 as southern Europe has a longer aerosol season with higher AOD values both in summer 474 and in winter than the other three regions.

475

476 The three southern biomass burning regions, South America, southern Africa and Indonesia, show very similar seasonal patterns, despite their widely varying locations. 477 478 The biomass burning season in the southern hemisphere occurs during southern Spring 479 (SON) on all three continents. There is a high degree of interannual variability in the 480 AOD values at each location with an overall increasing trend when all three locations are 481 taken as a whole. The AOD during the biomass burning season is roughly twice the 482 AOD values of the northern industrial economies, excluding the Mediterranean. 483 However, during the ³/₄ of the year with no burning, South America and southern Africa 484 have low AOD comparable with values in North America and northern Europe.

485

486 Northern Africa and India, grouped together because both are affected by dust 487 transported from the Sahara and Arabia, have overall higher AOD than any of the 488 previous regions. North Africa exhibits an irregular seasonal cycle with the highest values reported in later winter (February and March) at the peak of the northern 489 490 hemisphere biomass burning season, but also a secondary seasonal peak that varies but 491 generally appears in late summer when dust is dominant. India's seasonal cycle is more 492 regular with a single longer aerosol season. AOD begins building in the spring and 493 extends into early summer. A small regular secondary peak also occurs late in the year. 494 In 2006, this secondary peak was larger than in previous years.

496 The fourth grouping of regions in Figure 9 are the Asian regions, excluding India and 497 Indonesia, which were previously discussed. The Asian regions include Siberia, East 498 Asia, which is mainly China, and Southeast Asia. The AOD values in Siberia are low, 499 especially in autumn and winter. However, snow covers much of the region in winter 500 and therefore, MODIS does not sample much of this region in that season. Summer 501 AOD values in Siberia are comparable to summer values in North America and northern 502 Europe. Note that Siberia seems to track with the Asian regions to the south, although at 503 much lower aerosol loading. This suggests some commonality in aerosol transport or 504 similarity of sources. East Asia and Southeast Asia track together showing an extended 505 aerosol season that spans the spring and summer seasons. The AOD during the aerosol season shows interannual variability for both regions, but can exceed values from the dust 506 507 regions of northern Africa, India or the southern hemisphere biomass burning regions. 508 AOD values remain moderately high even for the autumn and winter months.

509

510 Aerosol size characteristics of individual regions

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512 Aerosol particle size can be described by a variety of parameters in the MODIS aerosol 513 data product including fine mode AOD, fine mode fraction and various Angstrom 514 Exponents. These parameters provide subtle differences, but are more or less correlated 515 with each other. The ocean algorithm uses 6 wavelengths and benefits from a fairly 516 homogenous background surface. Therefore, the ocean product contains inherently 517 greater information content than the land product, which uses only three wavelengths and 518 is sensitive to the assumptions made about the spectral surface reflectance. In short, the size parameters from the ocean algorithm are more reliable than the land. Despite this 519 520 fact, in the global analysis we showed global statistics of aerosol size parameter over land 521 (which we took as a qualitative parameter) because random errors introduced in various 522 regions may be reduced by global averaging. We are already aware of specific regions 523 where the land size parameter is systematically wrong [Jethva et al., 2007] and prefer to 524 wait until full characterization of the land size parameter is available before calculating 525 regional climatological statistics. In the regional analysis we focus the size parameter 526 analysis solely on the ocean retrievals.

Table 2 shows the seasonal and annual mean fine mode fraction (FMF) for the 13 ocean regions. Values range from 0.28 - 0.35 in pristine southern hemisphere regions to 0.60 -0.65 in the northern midlatitudes. These seasonal mean numbers conform to our expectations that pristine oceanic regions would be dominated by sea salt, a coarse mode aerosol, and therefore have smaller FMF, while northern midlatitudes would have a greater fine mode contribution from aerosol transported from land sources.

534

535 We obtain greater physical interpretation by plotting monthly mean aerosol size 536 parameter against monthly mean total AOD, following Kaufman et al., [2005]. Figure 10 537 shows the results for five regions. The results fall into two classes. Regions 2, 4, and 13 538 fall into the first class. In this situation as aerosol optical depth is added to a baseline 539 background value AOD of the fine mode increases as well. The slope of the regression is 540 approximately 0.7 - 0.8. Region 6 represents the second class. Here AOD fine also 541 increases as total AOD increases, but at a much slower rate. The slope of the class 2 542 regression is approximately 0.3. We interpret these two classes as the difference between 543 adding smoke/pollution to a background marine aerosol in which the slope is the higher 544 value, and adding dust, which results in the smaller slope.

545

546 We expect elevated AOD in Region 2 to be pollution from North America and Europe. 547 Likewise we expect elevated AOD in Region 6 to be dust from the Sahara. However, it 548 is somewhat surprising that the elevated aerosol in Region 13 follows the 549 smoke/pollution curve so tightly. This suggests that elevated aerosol in the southern circumpolar ocean has a strong biomass burning component, and indeed the seasonal 550 551 means in Table 2 shows that elevated AOD and FMF occur during the southern 552 hemisphere biomass burning season. We also expected that some of the elevated aerosol 553 in Region 4 would have a dust component from transported Asian dust. Instead we see a 554 tight correlation following the smoke/pollution curve. Figure 10 also plots Region 7, the 555 northern Indian Ocean. Region 7 splits its monthly means to follow both curves. This 556 suggests that in some months the aerosol is dust and other months it is smoke/pollution.

Table 4 gives several annual mean aerosol size parameters, and the regression slope and correlation coefficients following Figure 10 for each ocean region. Note that Region 7, which contains both classes from Figure 10 has a small slope, but a relatively low R² value. A low R² gives indication that the region follows neither class. In some cases this is because some months follow the smoke/pollution curve and other months the dust curve (Regions 3 and 7), but in other cases the region remains pristine through all months and there is no elevated aerosol (Region 9).

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571 Discussion and Conclusions

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573 The MODIS aerosol product derived from 7 years of Terra data and 5 years of Aqua data 574 has recently undergone reprocessing using a new algorithm labeled Collection 5.

575 Collection 5 represents both new aerosol software and new calibration coefficients,

applied consistently through the entire data records of each MODIS sensor. Comparison

577 of Collection 5 MODIS aerosol optical depth (AOD) retrievals over ocean and land with

578 high quality AERONET observations shows agreement as good as Collection 4 for ocean

and much improved for land. In fact, in Collection 5 the land algorithm is performing as

580 well as the ocean algorithm, with similar or smaller offsets, regression slopes close to 1.0

and similar or better correlation. Comparison with collocated AERONET products

requires both MODIS and AERONET to report cloud free conditions. Situations where

583 MODIS retrieves but AERONET does not will not be included in the analysis.

584 Validation efforts continue, and a more comprehensive validation study is in preparation.

585

586 The differences we expected to find between Collection 4 and Collection 5 included a 587 shift to larger particle sizes over ocean but no change to ocean AOD. In the Aqua record, 588 indeed that is exactly what we find. However, something else has occurred in the Terra 589 record. Not only did the particle size shift in Terra ocean, but the global ocean AOD 590 increased by 0.015. The MODIS aerosol software is applied equally to Terra and Aqua. 591 To have Terra oceanic AOD shift by 0.015, while Aqua AOD remain the same is 592 impossible. The only logical answer is that MODIS calibration constants also changed 593 between Collections. This change in Terra calibration is under investigation. In the 594 meantime, we have focused on the Aqua record because the results are as expected. It is 595 possible that Terra's new calibration will prove to be the more accurate and the results 596 shown here are artificially low.

597											
598	We ha	ve presented an analysis of Aqua-MODIS aerosol optical depth and particle size									
599	information, over ocean and land, globally and regionally. We have shown time series										
600	and histograms. From this analysis we conclude:										
601											
602	-	Global mean AOD is 0.13 over ocean and 0.19 over land									
603	-	At every decision point in the processing we have taken the road leading to lower									
604		values of global mean AOD. In particular by pixel weighting and using Aqua									
605		instead of Terra, the global mean AOD is lower by 0.015 to 0.04 than if calculated									
606		without pixel weighting and by using Terra.									
607	-	We feel that the higher range of values that would be achieved without pixel									
608		weighting contain cloud artifacts. Therefore we decided to produce values that									
609		are least affected by clouds and are at the lower range of the envelope.									
610	-	Land shows a broader distribution of AOD than ocean. Roughly 28% of land									
611		retrievals are extremely clean and within ± 0.05 of AOD = 0. Only 15% of ocean									
612		retrievals are that low.									
613	-	Global mean values are limited by sampling issues. No retrievals are made during									
614		polar night, snow, ice or bright land surfaces.									
615	-	Global mean values can vary by as much as 20% depending on how the data is									
616		aggregated, weighted and averaged. The results here are "pixel weighted". Thus,									
617		they are biased to clear skies and the reported AOD may be low.									
618	-	AOD in situations with 80% cloud fraction are twice the global mean values,									
619		although such situations occur only 2% of the time over ocean and less than 1%									
620		of the time over land.									
621	-	There is no drastic change in aerosol particle size associated with these very									
622		cloudy situations.									
623	-	The heaviest aerosol regions are North Africa, India, East and Southeast Asia.									
624		Each has its own seasonal cycle and interannual variability.									
625	-	The northern industrial economies (North America and Europe), Siberia and									
626		especially Australia have the lowest AODs.									

- 627 The three southern hemisphere biomass burning regions (South America, southern
 628 Africa and Indonesia) exhibit very similar seasonal behavior.
- Taken as a whole there is an increasing trend in southern hemisphere biomass
 burning AOD over the five year Aqua record.
- We find that elevated aerosol over background conditions in most oceanic regions
 is dominated by fine mode aerosol and not dust. This includes the Mediterranean,
 the north Pacific downwind of Asia and even the southern oceans. Only the
 Saharan outflow region in the Atlantic and the Arabian Sea area have certain
- 635 months dominated by dust.
- 636 In this analysis we did not find significant global trends of AOD either over land
 637 or ocean. A longer time series is required to identify trends.
- 638

We demonstrate in this work an emerging climatology of aerosol characteristics using the satellite view from MODIS. Longer records are necessary to fully characterize trends and further analysis with multiple data sets is necessary to better unravel the signatures of aerosols and clouds. However, this view from space and "check-up" of the aerosol system provides valuable information for understanding the planet now and estimating the potential consequences of global change.

645

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647

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838 675 nm. Stations with no 500 nm channel were not included in the upper plots, but were 839 included in the lower plots where no interpolation was necessary. The regression line, 840 regression equation and correlation were calculated from the full cloud of points before 841 binning. Expected uncertainty is $\pm 0.03 \pm 0.05$ *AOD, and is shown in the plots by the 842 dashed lines. 843 844 Figure 2 Similar as Figure 1, but for collocations over land. Only AOD at 550 nm is 845 shown. Expected uncertainty over land is $\pm 0.05 \pm 0.15 \times AOD$. 846 847 Figure 3. Histogram of aerosol optical depth at 550 nm (AOD) over ocean and fine mode 848 fraction (FMF) derived from MODIS aerosol algorithms applied to a test bed of saved 849 Collection 4 radiances. The test bed consisted of 35 granules of various oceanic aerosol 850 scenes spread throughout 2001. Over 400,000 retrievals were used to construct the 851 histograms. The Collection 4 results are shown in blue. Results of applying Collection 5 852 software to Collection 4 radiances are shown in black. Solid curves denote AOD, and 853 dotted curves denote FMF. 854 855 Figure 4. Global and monthly mean aerosol optical depth (AOD) at 550 nm over the

global oceans from operational Collection 5 processing plotted against similar produced
from old Collection 4 processing. Collection 5 processing includes both updates to the
aerosol algorithm and also updates to the calibration. Terra and Aqua are plotted
separately. Terra Collection 5 is higher than Terra Collection 4, and also higher than
both Aquas.

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Figure 5 Time series of MODIS global aerosol optical depth at 550 nm over ocean (left) and over land (right) for the length of the Aqua mission. Monthly mean total AOD is plotted with a heavy black line. Contribution to the AOD from fine mode (ocean) or fine model (land) is plotted in blue. Note that unlike ocean the land fine model contains coarse mode aerosols, as well. The percentile AODs are plotted by various dotted and dashed thin black lines. The mean AOD roughly corresponds to the 66% percentile over both ocean and land, showing that 66% of the monthly mean AOD values are less thanthe mean. Note that the vertical axes are different in the land and ocean plots.

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871 Figure 6. Global aerosol optical depth histograms (AOD) over ocean (left) and land (right) constructed from pixel-weighted daily 1° x 1° latitude-longitude MODIS aerosol 872 873 products. Top: Calculated from all available data. Bottom: Calculated only for those 874 grid squares with greater than 80% cloud cover. Line with solid circles shows mean fine 875 mode (ocean) or fine model (land) AOD in each total AOD bin. Line with open circles 876 shows mean fine mode fraction (ocean) or fine model fraction (land) in each AOD bin. 877 Fine mode/model fraction is the fine AOD divided by the total AOD. Note that fine 878 AOD and fine mode/model fraction are not the same quantities in the land and ocean 879 plots. Fine model over land includes a coarse mode. 880 881 Figure 7. Five year mean global distribution of aerosol optical depth (AOD) at 550 nm 882 for four selected months: January, April, July and October. The averages were calculated 883 from pixel-weighted daily 1° x 1° latitude-longitude MODIS aerosol products. Negative 884 values in purple identify where AOD is so low that it cannot be distinguished from zero, 885 Black indicates fill value where no retrieval was attempted. Retrievals are not attempted 886 over snow, during polar night or over bright deserts. 887 888 Figure 8 The 13 ocean regions (top) and 14 land regions (bottom). 889 890 Figure 9. Time series of regional and monthly mean aerosol optical depth (AOD) at 550 nm calculated from pixel-weighted daily 1° x 1° latitude-longitude MODIS aerosol 891 892 products. Regions are defined in Figure 8. 893 894 Figure 10. Monthly and regional mean fine mode AOD over ocean plotted against 895 monthly and regional mean total AOD for five selected ocean regions. Regression lines 896 and correlations are calculated and displayed. Regions fall into two classes defined by 897 the slope of this regression. Most regions have slopes in the 0.7 to 0.8 range, as 898 demonstrated by Region 4 (Asian Pacific) and denoted by the green line. However,

- 899 Region 6 (Saharan Atlantic) has a slope of 0.32 and is denoted by the blue line. Region 7
- 900 (North Indian Ocean) has a seasonal shift with the months of October through March
- 901 following the green line and months April through September following the blue line.
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Table 1. Aerosol models used in Collection 5 MODIS ocean retrievals.

Small Particles

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	$\lambda = 0.47$ to 0.86	1.24 μm	1.64 µm	2.13 μm	rg	σ	reff	comments		
	μm									
1	1.45-0.0035i	1.45-0.0035i	1.43-0.01i	1.40-0.005i	0.07	0.40	0.10	Wet water		
								soluble type		
2	1.45-0.0035i	1.45-0.0035i	1.43-0.01i	1.40-0.005i	0.06	0.60	0.15	Wet water		
								soluble type		
3	1.40-0.0020i	1.40-0.0020i	1.39-0.005i	1.36-0.003i	0.08	0.60	0.20	Water soluble		
								with humidity		
4	1.40-0.0020i	1.40-0.0020i	1.39-0.005i	1.36-0.003i	0.10	0.60	0.25	Water soluble		
								with humidity		

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Large Particles

	λ=0.47 to 0.86 µm	1.24 μm	1.64 µm	2.13 μm	rg	σ	reff	comments
5	1.35-0.001i	1.35-0.001i	1.35-0.001i	1.35-0.001i	0.40	0.60	0.98	Wet sea salt
								type
6	1.35-0.001i	1.35-0.001i	1.35-0.001i	1.35-0.001i	0.60	0.60	1.48	Wet sea salt
								type
7	1.35-0.001i	1.35-0.001i	1.35-0.001i	1.35-0.001i	0.80	0.60	1.98	Wet sea salt
								type
8	1.53-0.003i (0.47)	1.46-0.000i	1.46-0.001i	1.46-0.000i	0.60	0.60	1.48	Dust like type
	1.53-0.001i (0.55)	· · · ·						
	1.53-0.000i (0.66)							
	1.53-0.000i (0.86)							
9	1.53-0.003i (0.47)	1.46-0.000i	1.46-0.001i	1.46-0.000i	0.50	0.80	2.50	Dust like type
	1.53-0.001i (0.55)							
	1.53-0.000i (0.66)							
	1.53-0.000i (0.86)							

Table 2 Seasonal and annual aerosol optical depth at 550 nm (AOD) and fine mode

fraction (FMF) for each ocean region of Figure 8

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	MAM		JJA		SON		DJF		annual	
	AOT	FMF	AOT	FMF	AOT	FMF	AOT	FMF	AOT	FMF
1	0.20	0.53	0.13	0.62	0.11	0.44	0.13	0.33	0.14	0.49
2	0.17	0.52	0.15	0.62	0.11	0.44	0.12	0.36	0.14	0.49
3	0.20	0.60	0.19	0.65	0.15	0.58	0.15	0.48	0.17	0.58
4	0.32	0.60	0.22	0.65	0.16	0.58	0.18	0.50	0.22	0.59
5	0.14	0.45	0.11	0.42	0.10	0.47	0.11	0.46	0.12	0.45
6	0.23	0.40	0.26	0.39	0.16	0.45	0.17	0.44	0.20	0.42
7	0.26	0.44	0.43	0.38	0.22	0.53	0.23	0.59	0.28	0.47
8	0.18	0.48	0.12	0.47	0.12	0.54	0.15	0.50	0.14	0.50
9	0.09	0.40	0.09	0.39	0.10	0.35	0.10	0.33	0.10	0.37
10	0.11	0.46	0.12	0.47	0.13	0.49	0.12	0.42	0.12	0.46
11	0.10	0.46	0.14	0.48	0.14	0.48	0.11	0.37	0.12	0.44
12	0.09	0.45	0.10	0.43	0.14	0.51	0.11	0.37	0.11	0.44
13	0.10	0.30	0.09	0.28	0.13	0.42	0.13	0.47	0.11	0.39

Table 3. Seasonal and annual aerosol optical depth at 550 nm for each land region of

920 Figure 8.

	MAM	JJA	SON	DJF	annual
1 West N. Am.	0.17	0.16	0.09	0.10	0.13
2 East N. Am.	0.13	0.17	0.06	0.05	0.10
3 Central Am.	0.25	0.15	0.12	0.10	0.15
4 S. Amer.	0.07	0.11	0.22	0.12	0.13
5 N. Europe	0.18	0.15	0.10	0.10	0.13
6. Mediter. Basin	0.22	0.25	0.16	0.13	0.19
7. N. Africa	0.38	0.34	0.24	0.29	0.31
8. S. Africa	0.11	0.21	0.21	0.14	0.17
9. Siberia	0.22	0.15	0.08	0.08	0.13
10. India	0.36	0.42	0.29	0.29	0.34
11. East Asia	0.46	0.35	0.24	0.27	0.33
12. SE Asia	0.39	0.28	0.24	0.21	0.28
13. Indonesia	0.17	0.19	0.28	0.19	0.21
14. Australia	0.03	0.01	0.07	0.07	0.04

Table 4. Annual mean aerosol optical depth at 550 nm (AOD), fine mode AOD, fine

925 mode fraction (FMF), Angstrom Exponent defined by 550 nm and 870 nm, slope of the 926 regression between AOD fine and AOD, and correlation of the regression.

.0	regression between AOD fine and AOD, and correlation of the regression.						
	Region	AOD	AOD fine	FMF	Angl	slope	\mathbb{R}^2
	1	0.14	0.07	0.49	0.65	0.72	0.79
	2	0.14	0.07	0.49	0.66	0.81	0.80
	3	0.17	0.1	0.58	0.87	0.69	0.77
	4	0.22	0.13	0.59	0.84	0.71	0.94
	5	0.12	0.05	0.45	0.60	0.49	0.83
	6	0.20	0.09	0.42	0.52	0.32	0.90
	7	0.28	0.13	0.47	0.65	0.22	0.58
	8	0.14	0.07	0.50	0.67	0.57	0.84
	9	0.10	0.04	0.37	0.45	0.30	0.40
	10	0.12	0.06	0.46	0.60	0.64	0.81
	11	0.12	0.06	0.44	0.59	0.70	0.88
	12	0.11	0.05	0.44	0.59	0.65	0.83
	13	0.11	0.04	0.39	0.44	0.76	0.91
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933 934 Figure 1. MODIS aerosol optical depth (AOD) over oceans plotted against collocated 935 AERONET observations. Top: AOD at 550 nm. Bottom: AOD at 870 nm. Left: 936 Collocations with the Terra satellite. Right: Collocations with the Aqua satellite. The 937 data were sorted according to AERONET AOD, divided into 25 bins of equal 938 observations, and statistics calculated. Points represent the means of each bin. Error bars 939 represent the standard deviation of MODIS AOD within those bins. Highest AOD bin typically represents the mean of fewer observations than the other bins. AERONET 940 941 AOD at 550 nm was interpolated on a log-log plot between observations at 500 nm and 942 675 nm. Stations with no 500 nm channel were not included in the upper plots, but were 943 included in the lower plots where no interpolation was necessary. The regression line, 944 regression equation and correlation were calculated from the full cloud of points before 945 binning. Expected uncertainty is $\pm 0.03 \pm 0.05$ *AOD, and is shown in the plots by the 946 dashed lines. 947





Figure 2 Similar as Figure 1, but for collocations over land. Only AOD at 550 nm is shown. Expected uncertainty over land is $\pm 0.05\pm 0.15$ *AOD.



Figure 3. Histogram of aerosol optical depth at 550 nm (AOD) over ocean and fine mode fraction (FMF) derived from MODIS aerosol algorithms applied to a test bed of saved Collection 4 radiances. The test bed consisted of 35 granules of various oceanic aerosol scenes spread throughout 2001. Over 400,000 retrievals were used to construct the histograms. The Collection 4 results are shown in blue. Results of applying Collection 5 software to Collection 4 radiances are shown in black. Solid curves denote AOD, and dotted curves denote FMF.





Figure 4. Global and monthly mean aerosol optical depth (AOD) at 550 nm over the
global oceans from operational Collection 5 processing, plotted against similar produced
from old Collection 4 processing. Collection 5 processing includes both updates to the
aerosol algorithm and also updates to the calibration. Terra and Aqua are plotted
separately. Terra Collection 5 is higher than Terra Collection 4, and also higher than
both Aquas.



Figure 5 Time series of MODIS global aerosol optical depth at 550 nm over ocean (left) and over land (right) for the length of the Aqua mission. Monthly mean total AOD is plotted with a heavy black line. Contribution to the AOD from fine mode (ocean) or fine model (land) is plotted in blue. Note that unlike ocean the land fine model contains coarse mode aerosols, as well. The percentile AODs are plotted by various dotted and dashed thin black lines. The mean AOD roughly corresponds to the 66% percentile over both ocean and land, showing that 66% of the monthly mean AOD values are less than the mean. Note that the vertical axes are different in the land and ocean plots.



Figure 6. Global aerosol optical depth histograms (AOD) over ocean (left) and land (right) constructed from pixel-weighted daily 1° x 1° latitude-longitude MODIS aerosol products. Top: Calculated from all available data. Bottom: Calculated only for those grid squares with greater than 80% cloud cover. Line with solid circles shows mean fine mode (ocean) or fine model (land) AOD in each total AOD bin. Line with open circles shows mean fine mode fraction (ocean) or fine model fraction (land) in each AOD bin. Fine mode/model fraction is the fine AOD divided by the total AOD. Note that fine AOD and fine mode/model fraction are not the same quantities in the land and ocean plots. Fine model over land includes a coarse mode.



Figure 7. Five year mean global distribution of aerosol optical depth (AOD) at 550 nm for four selected months: January, April, July and October. The averages were calculated from pixel-weighted daily 1° x 1° latitude-longitude MODIS aerosol products. Negative values in purple identify where AOD is so low that it cannot be distinguished from zero, Black indicates fill value where no retrieval was attempted. Retrievals are not attempted over snow, during polar night or over bright deserts.

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Figure 9. Time series of regional and monthly mean aerosol optical depth (AOD) at 550
nm calculated from pixel-weighted daily 1° x 1° latitude-longitude MODIS aerosol
products. Regions are defined in Figure 8.



1035 Region 6 (Saharan Atlantic) has a slope of 0.32 and is denoted by the blue line. Region 7

- 1036 (North Indian Ocean) has a seasonal shift with the months of October through March
- 1037 following the green line and months April through September following the blue line.