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Lunar habitation modules need electricity and potentially heat to operate. Because of the low amounts of radiation emitted by General Purpose Heat Source (GPHS) modules, power plants incorporating these as heat sources could be placed in close proximity to habitation modules. A design concept is discussed for a high efficiency power plant based on a GPHS assembly integrated with a Stirling convertor. This system could provide both electrical power and heat, if required, for a lunar habitation module. The conceptual GPHS/Stirling system is modular in nature and made up of a basic 5.5 KWe Stirling convertor/GPHS module assembly, convertor controller/PMAD electronics, waste heat radiators, and associated thermal insulation. For the specific Lunar application under investigation eight (8) modules are employed to deliver 40 KWe to the habitation module. This design looks at three levels of Stirling convertor technology and addresses the issues of integrating the Stirling convertors with the GPHS heat sources assembly using proven technology when ever possible. In addition, issues related to the high-temperature heat transport system, power management, convertor control, vibration isolation, and potential system packaging configurations to ensure safe operation during all phases of deployment will be discussed.

## **I. Introduction**

Lunar habitation modules would require significant amounts electricity to operate. While GPHS has been used for years on NASA's deep space missions due to their expense and limited availability of these units power levels of greater than 1 KWe have not been available. Advances in Stirling technology however, could allow very efficient use of the GPHS generated heat and provide a reasonable path to producing significant amounts of power on the lunar surface. NASA'S recent Cassini spacecraft had 3 Radioisotope Thermoelectric Generators (RTG's) that produced 850 watts of electrical power. Each RTG has 18 GPHS modules for a total launched GPHS inventory of 54 GPHS modules. Converting to a high efficiency Stirling convertor should allow a nearly 5-fold increase in electrical output with the same GPHS thermal power input. For this design concept the GPHS modules are linked to the Stirling convertor via a radiative coupling while using a sodium (Na) thermal siphon in the lunar gravity environment to move the heat. When operating on the lunar surface the GPHS heat source assembly would be on the bottom. The GPHS modules would boil the sodium in the pipes, which results in the Na rising and condensing on a radiative surface at the top of the assembly. The Stirling convertor is set above the heat source assembly with a corresponding lower temperature radiative collector surface integrated into the Stirling heater head. This would serve as both the mechanism for transferring heat to the Stirling and to concentrate the heat in the small surface area of the Stirling heater head. Heat is rejected at the cold end of the Stirling convertor using a liquid water pumped loop. Because of the relatively low amount of radiation emitted by GPHS modules the power plants could be placed in close proximity of the habitation modules. This design looks at three levels of Stirling convertor technology and integrates these Stirling convertors with the radioisotope (GPHS) heat sources. The GPHS heat sources are integrated with the Stirling convertors using heat pipes and the heat is rejected form the convertors using a pumped liquid loop.

## II. Stirling Converter

The performance, specific power, and reliability of free-piston Stirling cycle power conversion systems have improved dramatically in the past decade due a combination of maturing technology and the realization that the development of a converter must be closely integrated with other elements of the system to provide stable and reliable power to the end user. As an example of these improvements in the space power area, hardware developments in support of NASA/DOE (ref. 1) has resulted in converters with overall thermal to electric efficiencies approaching 40 percent, specific mass levels <10 Kg/KWe at power levels on the order of 100 We (ref. 2), These high converter efficiencies result in GPHS loading requirements on the order of 1/4 to 1/5 that of comparable RTG systems. In addition, efforts are currently under way at NASA/GRC and other groups (ref. 3) to further improve on converter performance parameters without impacting converter durability and reliability.

While current Stirling converter efforts have focused on the use of a small number of GPHS modules (1 to 2) to provide the thermal energy, there is no fundamental reason that larger numbers of GPHS modules could not be used to provide power levels in the KWe range. This concept has a number of advantages compared to other power generation schemes: 1) the GPHS module is fully defined and space launch qualified, 2) emitted radiation is very low and would allow easy access and placement of the system close to the end user, 3) heat source development costs will be low, and 4) the GPHS heat source can be easily simulated with electrical heaters allowing extensive life testing in existing facilities. The negative aspects of GPHS modules are the cost and the limited supply.

Table 1 defines the basic Stirling converter and/or GPHS related parameters employed in the evaluation process. As noted previously these parameters are based on currently demonstrated hardware or technology under active development at this time.

Table 1.—Evaluation Parameters

Parameter	Nominal Value or Range
Net electrical power to habitat	5.0 KWe
Controller/PMAD efficiency	0.93
Stirling converter electrical output	5.5 KWe
Effective hot end temperature	925 to 1275 K (650 to 1000 °C)
Effective cold end temperature	>350 K
GPHS maximum operating temperature	1275 to 1375 K (1000 to 1100 °C)

From the viewpoint of the converter the parameters having the greatest impact on performance and specific mass, are 1) heater head (“hot end”) temperature, 2) rejection (“cold end”) temperature, 3) alternator characteristics, and 4) interface with the heat source (GPHS modules). Because of radiator and sink temperature constraints on size and mass, the effective cold-end temperature of the converter was constrained to temperatures greater than 350 K. The cold-end temperature impacts the converter in two ways: 1) as the cold-end temperature increases, for a fixed hot-end temperature, the effective cycle temperature ratio falls reducing performance and 2) at temperatures exceeding approximately 400 K (125 °C) the current high performance NdFeB magnets employed in the linear alternator have reached their upper operating temperature limit. The latter will require the change to the SmCo magnet material that results in somewhat larger and heavier linear alternators. Since the linear alternator makes up approximately 60 to 70 percent of the converter mass, this increase becomes an important factor in evaluation of converter options.

Stirling converter performance is shown in Figure 1 as a function of effective cycle temperature ratio for the 375 K (100 °C) rejection temperature. Techniques employed to define converter efficiencies take into account thermodynamic cycle losses, alternator losses, along with all thermal parasitic losses and have proven to be quite accurate based on a number of hardware development efforts. The vertical lines represent the corresponding temperature ratios at the heater head temperatures noted. The lower heater head temperature (650 °C) is representative of late 1990’s technology, the mid temperature (850 °C) hardware currently in development and is

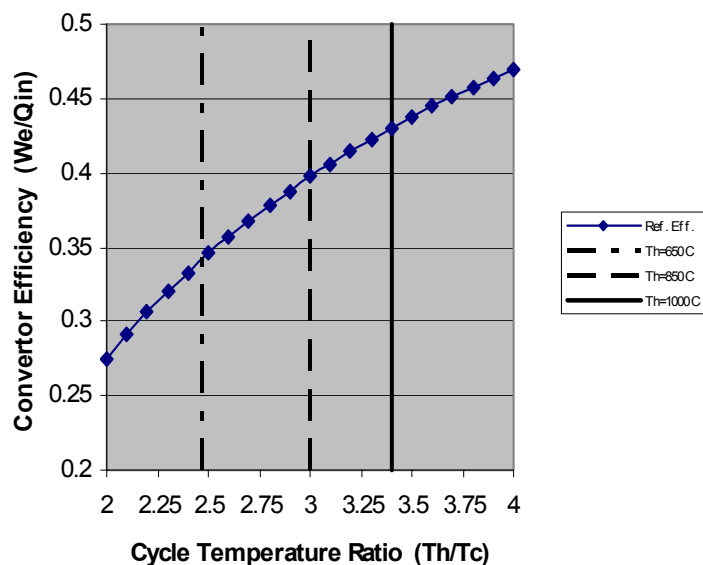


Figure 1.—Convertor performance versus cycle temperature ratio, cold end temperature 375 K.

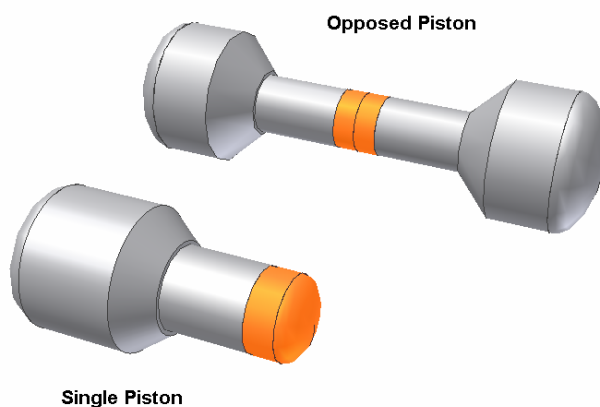


Figure 2.—Convertor configurations.

employed as the reference point for the proposed system, and the highest temperature (1000 °C) representative of advanced developments incorporating ceramic materials. In the case of the highest heater head temperature, the issue of maximum GPHS operating temperature must be considered. Current estimates place this limitation in the 1275 to 1375 K (1002 to 1102 °C) range which, when combined with a HTS temperature drop conservatively set at 100 °C, yield maximum heater head temperatures in the 1175 to 1275 K (900 to 1000 °C) range. Increasing the effective rejection temperature essentially “slides” the heater head temperature lines to the left to lower effective temperature ratios.

The schematic shown in Figure 2 provides a description of the basic configuration and size of the two options for the proposed Stirling conversion system. The pool boiler and associated thermal insulation has been removed for clarity. The single cylinder configuration is representative of current small space engines (for the SRG two single cylinders engines not sharing a common expansion space are placed end-to-end), while the opposed piston configuration (common expansion space) has been employed in multi KWe development programs (ref. 4). The opposed piston configuration is inherently dynamic balanced eliminating the need for a separate vibration isolation system but

represents a more complex interface between the convertor HTS and the heater head assembly. The single cylinder configuration, which was selected as the reference configuration for analysis purposes, employs a simple monolithic heater head. The latter acts as the condenser surface for the pool boiler employed to transfer the thermal energy from the GPHS assembly to the convertor. However, since this type of convertor is not dynamically balanced, either a passive or active vibration isolation system would be employed. For simplicity, the isolator would be located within the convertor pressure vessel. The use of this type of isolation system does impact the mass of the convertor by approximately 10 percent. Convertor specific mass for the single cylinder configuration, including the required vibration isolation system, is estimated at 8.25 Kg/KWe yielding a convertor mass of 45 Kg, overall length of 480 mm and a maximum diameter of 230 mm. The opposed piston configuration is slightly heavier at 51 Kg and somewhat larger (745 mm long by 215 mm maximum diameter). It is important to note that the convertor performance is identical for both and the impact on system mass of changing between the two configurations is minimal.

To function in a stable and efficient manner the free-piston Stirling convertor must be actively controlled. The convertor controller would provide the capability to vary power piston amplitude so as to maintain the design operating temperature for the heater under all operating conditions, In addition the controller would adjust the convertor electrical power factor without the need for large external capacitors and monitor/control the convertor vibration levels. As a final feature, the system would carry out the necessary power conditioning activities so that the regulated 160 volt output is available to the end user.

### III. Heat Transport System

As noted previously, a driving factor in the concept evaluation process was the use of proven or low risk technology whenever possible. A number of alternative configurations were considered ranging from a direct coupling of the GPHS assembly to the convertor heater head via heat pipes to conductively coupling the convertor to various GPHS HTS configurations. The HTS must effectively couple the GPHS assembly with the convertor with minimum thermal losses. Since the system would operate in a lunar gravity field it would be possible to employ gravity assisted HTS concepts such as a pool boiler or thermosyphon rather than pure heat pipes can be employed. This minimizes issues concerning the lifetime reliability of the capillary wick materials required for successful heat pipe operation. As shown schematically in Figure 3 the GPHS portion of the HTS is made up of 6 individual boiler “pipes” (one shown mounted and another alone for clarity) which employ Na as a working fluid and are attached to the carbon composite thermal support structure carrying the GPHS modules. Since the evaporator portion of the boiler can be of any configuration it is formed as a curved panel that effectively couples two stacks of GPHS modules to a single pipe. The individual modules are “clocked” such that the maximum number of GPHS modules are served by an individual boiler pipe. The 6 pipes are attached to the backside of a carbon composite plate that that serves as the radiator for the radiative coupling to the convertor. This surface provides the hot surface of the radiation-coupling feature of the proposed concept.

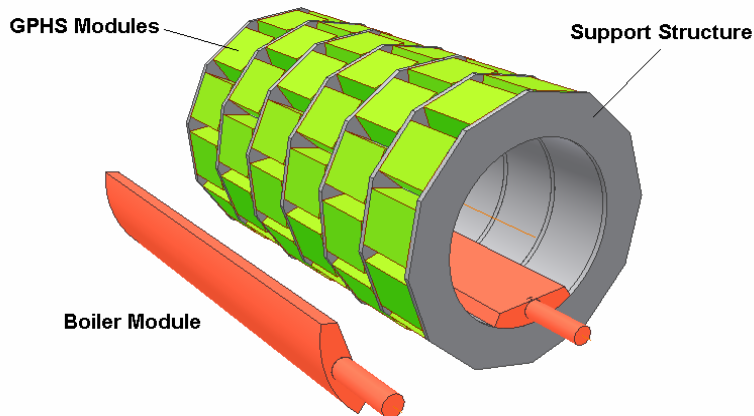


Figure 3.—GPHS HTC configuration.



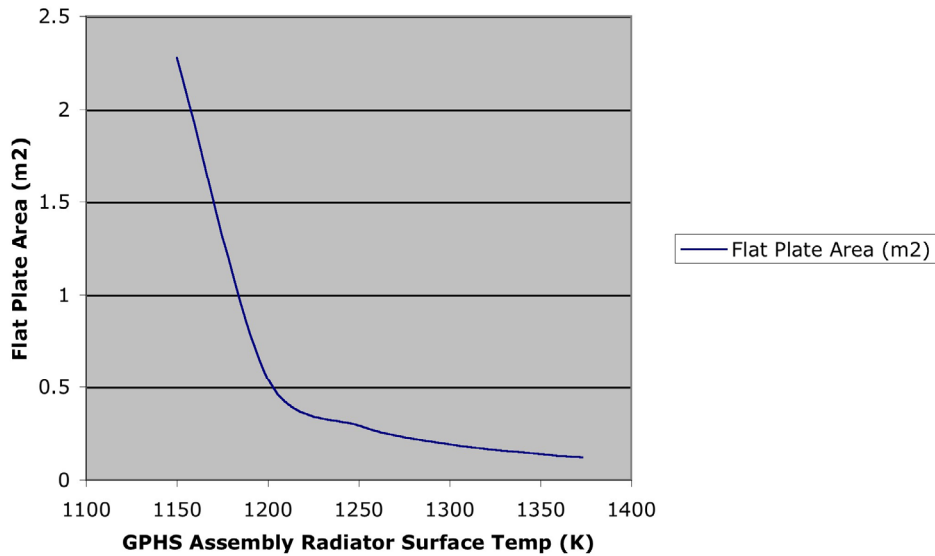


Figure 4.—Radiator size requirements.

The convertor side HTS would also employ a pool boiler and is based on existing technology. The face of the boiler acts as the “cold” receiver, with the boiling of the Na working fluid occurring on the rear side. Vapor from the boiler condenses on the convertor heater head with the liquid condensate falling, under the influence of gravity, back to the boiler surface.

To provide maximum utility the thermal energy flow between the GPHS assembly and the convertor is via radiation across a relatively narrow gap. Based on thermal power requirements, and the reference convertor heater head operating temperature, 1123 K (850 °C), the surface area required for the radiator can be defined as a function of GPHS operating temperatures, see Figure 4. Based on existing GPHS module operating temperature constraints a radiator surface area of approximately 0.25 m<sup>2</sup> (0.5 m diameter) would be required which also defines the convertor pool boiler diameter. It is important to note that under these operating conditions both pool boiler condenser/evaporator flux levels are quite low, <5W/cm<sup>2</sup>, providing significant operating margin based on the Na working fluid limits. While not specifically used as a HTS system design criteria, the radiation coupling does allow the convertor/GPHS assembly to be decoupled. This would allow for convertor replacement or transport to different sites on the lunar surface.

#### IV. System Operation

The use of the combined pool boiler/radiation coupled GPHS heat source/convertor configuration would provide a high degree of reliability when operating in the lunar gravity field. However, while in transit neither of the two pool boilers would function under “zero g” conditions. Since the GPHS assembly is always generating thermal energy a technique must be employed to reject this energy to an alternative sink when the system is not producing power on the lunar surface. To remove this energy during times when the system is not producing power the insulation package surrounding the GPHS assembly would be “opened” allowing the thermal energy to be radiated to space eliminating the need for an independent radiator. A deployable HTS insulation disk would also be utilized to “fill” the gap between the GPHS radiator and the convertor absorber in the radiation-coupled portion of the HTS. The in transit (and after initial lunar landing) configuration of the system operating in this mode is noted in Figure 5. An additional aspect of this capability is that if convertor were to fail while on the lunar surface, the insulation could be deployed to reduce the non-functioning convertor hot-end temperature.

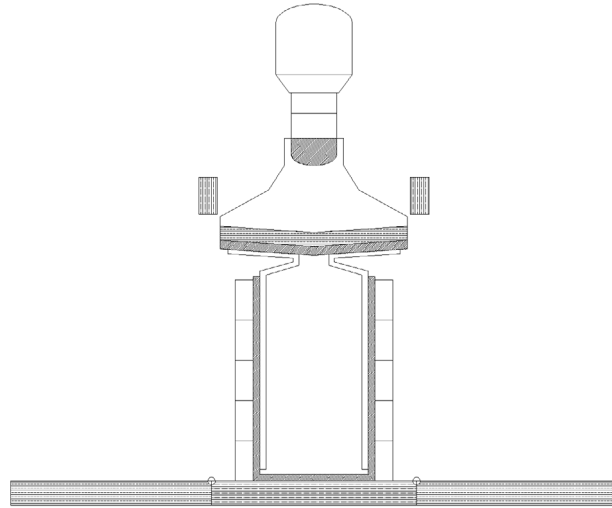


Figure 5.—In transit configuration—converter not operating.

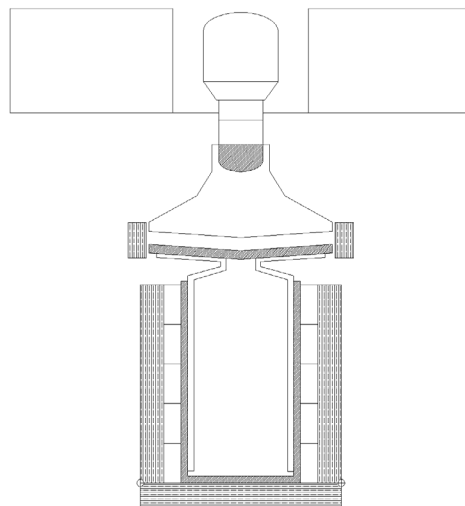


Figure 6.—System configuration during normal power generation—converter operating.

Once the converter heat rejection radiators are deployed and the HTS insulation disk removed, the GPHS insulation package is “closed” essentially forcing the thermal energy to flow to the converter. The configuration of the system in this operating condition is noted in Figure 6.

## V. Power Management and Distribution

The power management and distribution system first converts the low frequency AC power generated from the Stirling converter to DC and then connects the eight (8) Stirling converters together and moves to the habitat module. It was assumed for this study that the load for the habitat modules is 160 volts, the same as for the International Space Station. Figure 7 shows the electrical layout and efficiencies of the various components. Each Stirling has its own controller and AC to DC rectifier which converts the 100 Hz AC to the 160 volt direct current (DC) bus voltage. Each Stirling converter is attached to common switchgear and remote bus isolator (RBI). The RBI

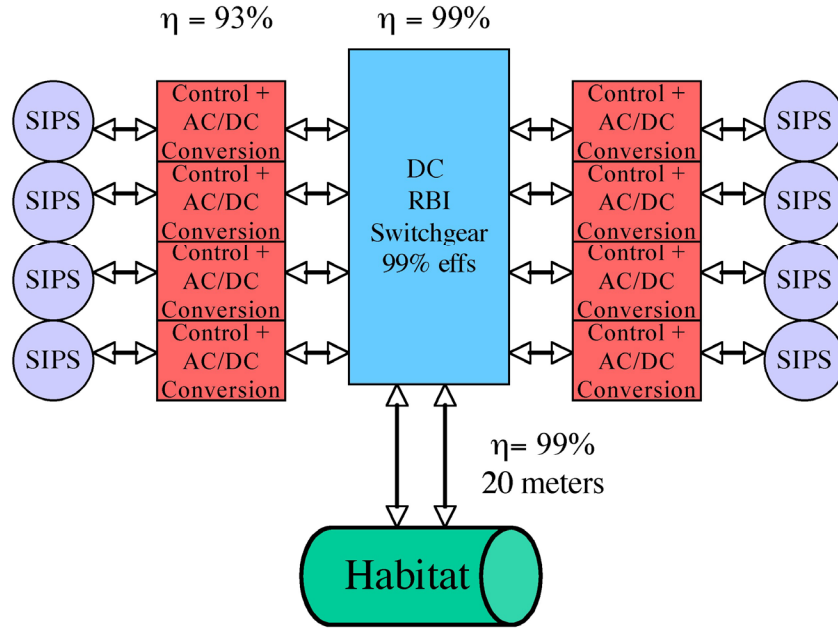


Figure 7.—Electrical layout and efficiencies—PMAD system.

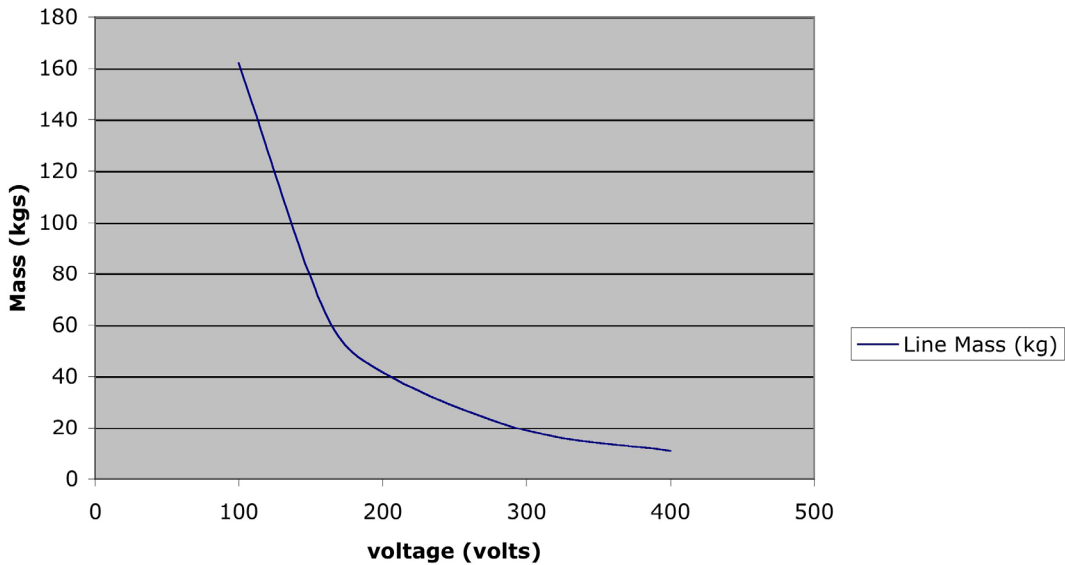


Figure 8.—DC Transmission line mass versus operating DC voltage.

switchgear allows the sources to be added or removed as desired without affecting the rest of the bus and provides circuit protection in case of faults (ref. 5). The power is then sent to the habitat modules using aluminum conductors. Figure 8 shows the trade of cable mass as a function of voltage for a 1 percent loss. It is assumed that the habitat is 20 meters from the habitat module. Both the rectifiers and the cabling, RBI and switchgear are dual redundant in their configuration. The switchgear/RBI, cabling and the rectifier are modeled using the Rocketdyne model cited in the previous reference. Table 2 shows the mass breakdown for the cabling, remote bus interface and the switchgear.

Table 2.—Mass breakdown

Component	Total Mass (kg)
DC /RBI Switchgear	62 kgs
Cabling	61 kgs
<b>Totals</b>	<b>123 kgs</b>

## VI. Heat Rejection Subsystem—Radiator Cold End Fluids and Materials

Power system operation on the lunar surface is a challenge because of the lack of atmosphere to reject heat and the wide range of heat rejection or sink temperatures, which occur during the lunar diurnal cycle. From both a cost and production standpoint the power system designer would want to minimize the amount of isotope required and for a thermodynamic cycle this requires low rejection temperatures. The penalty for this low rejection temperature is that the area required to reject the waste heat increases with decreasing rejection temperature. This trade between heat source mass and size and radiator size leads to mass minimums for the overall system. Studies analyzed the advantages and disadvantages of radiator orientation on the lunar surface (refs. 6 and 7). From these studies it was found that for vertically oriented radiators the maximum and minimum sink temperatures during lunar day/night cycle was about 180 to 314 K and between 180 and 270 K for horizontal radiators which don't see the lunar surface. The trade between the a two sided radiator operating at a higher sink temperature and a single sided radiator rejecting heat at a lower temperature may provide different answers depending on how little isotope is available or if the system is mass or area constrained. In addition, the large change in sink temperatures will result in changes in both the power output of the system and the temperature range requirements of the heat rejection system.

To reject the waste heat a pumped water loop is flown over the cold end of the Stirling convertor and then sent out to a water heat pipe radiator. Stirling convertors operate best when the inlet to exit coolant temperature difference is kept to a minimum. In general the temperature rise of a fluid used to remove the waste heat should be about 25 K or less to ensure no Stirling cycle performance penalty. The panel design, see Figure 9, selected is similar to that used on the space station which consists of heat pipes sandwiched between two outer face sheets. The two outer face sheets are used for the vertical radiator panels, for the horizontal radiator panels the bottom sheet is removed. Panel mass was approximately 3.5 kg/m<sup>2</sup> for the cases shown for the 2-sided radiator and 2.3 kg/m<sup>2</sup> for a horizontal radiator. This number does not include the fluid ducts, fluid or pumps, which are accounted separately. The pump design selected is also scaled from that used on the space station and is scaled both in efficiency and mass to meet the pressure drop and flow rate requirements of the system. At lunar noon the temperature of the radiator without any heat should be that of the sink temperature (i.e., 270 K for the horizontal radiator and 314 K for the vertical radiator). In order to ensure that a thaw system is not necessary it is assumed that startup occur for the horizontal radiator case near lunar noon and for the vertical radiator nearly anytime after dawn. For the horizontal radiator case it may be necessary to increase the solar absorptivity of the horizontal radiator surface to raise its temperature, which would require a small increase in radiator area. For the vertical radiator surface the temperature is well above the melting point of water at 1 Bar. Figure 10 shows the sink temperature for a both a horizontal and vertical radiator on the lunar surface.

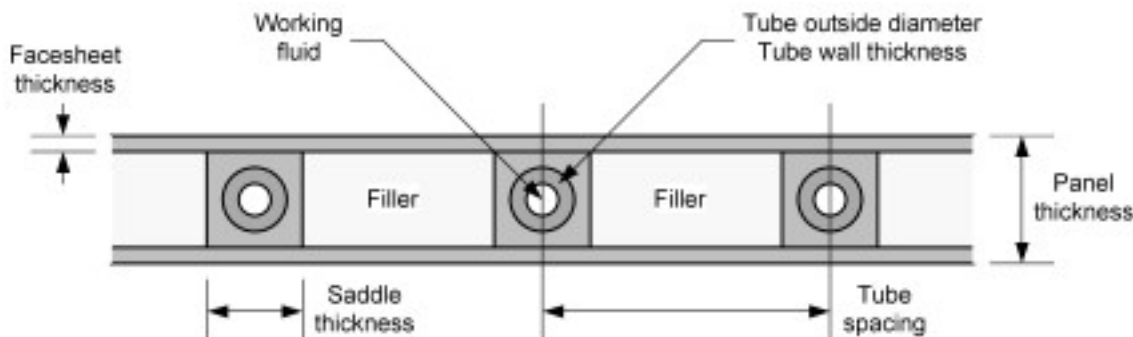


Figure 9.—Radiator configuration.

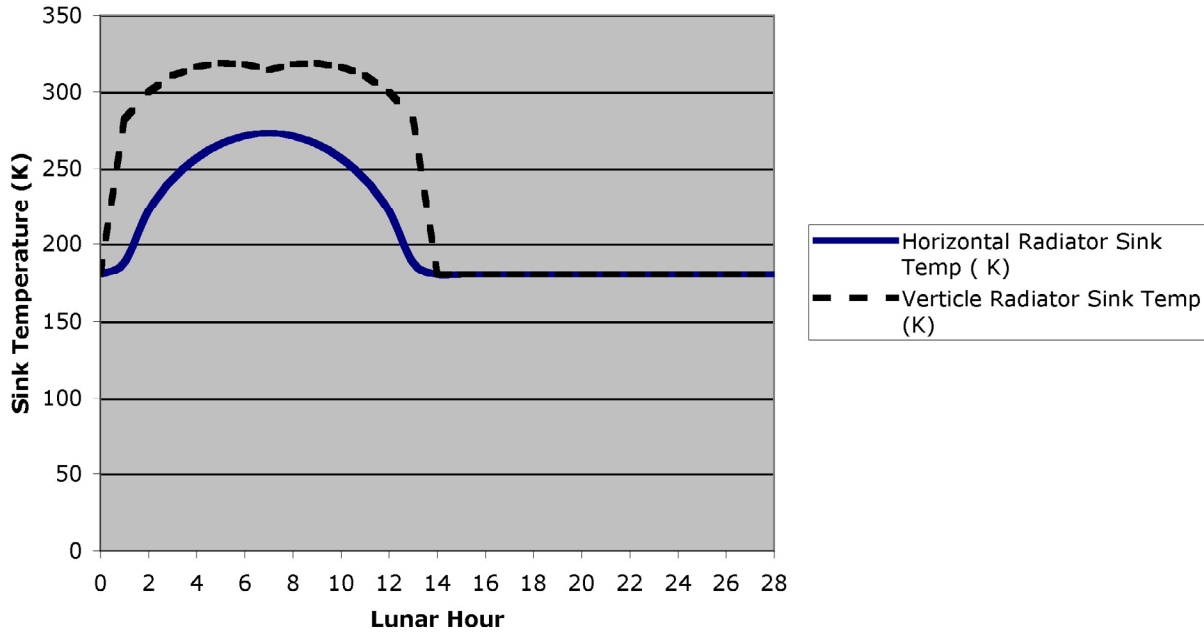


Figure 10.—Radiator sink temperature for horizontal and vertical orientations.

## VII. System Trade Studies

Trade studies for the isotope Stirling power systems were performed using different operating conditions (cold-end temperature, convertor design, etc.) and examining how each of these operating conditions affects the overall power plant. For each of the subsystems discussed previously, technology assessments were made regarding their developmental status and readiness for a conceptual near term lunar mission. Components were then modeled and parameters varied to understand how each impacts the system. As an example, a higher temperature ratio across the convertor would provide improved efficiency but will also in turn require a larger radiator. Due to high launch costs and limited lift capability, launch mass is often a parameter that is minimized. In this study in particular because of the high cost of GPHS total landed lunar costs were also considered. Additionally, radiator area, which is limited by volumetric constraints of the shroud of the launch vehicle, may prevent the power system from being able to operate at its minimum mass point.

Two technology baselines were considered which were discussed in more detail in the Stirling section of the report. Both 375 and 425 K cold end temperatures were considered for this report and reflect the current state-of-the-art. Both cases are discussed in this analysis. For both of these systems a reference heater head temperature of 1123 K (850 °C) was used.

## VIII. Results

Figure 11 shows the mass and radiator area as a function of Stirling cold-end temperature for a 5 KWe net output Stirling convertor system with both horizontal and vertical radiators. The mass cross over point between the radiator orientations occurs at a Stirling cold-end temperature of 430 K. The reason for the crossover is the trade between a lower effective sink temperature with the horizontal radiator versus the two-sided radiator using vertical panels but with a higher sink temperature. If radiator deployed area is of primary concern this cross over between the two radiator orientations occurs at about 400 K. For all cases the lower the rejection temperature the fewer GPHS modules required.

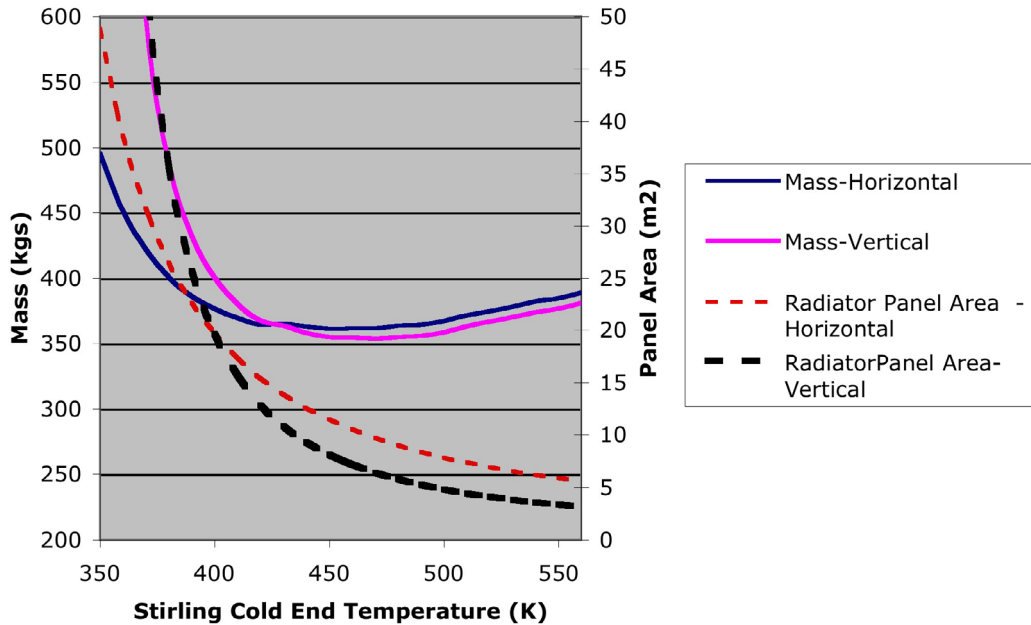


Figure 11. —Mass and radiator as a function of Stirling cold end temperature.

Table 3 shows a comparison of the 375 and 425 K cold end along with the minimum mass cases with both horizontal and vertical radiators. If the 375 K cold end Stirling is used it is preferable from system mass and radiator area to use a horizontal radiator. When using the high-temperature Stirling cold end (i.e., 425 K) the vertical radiator orientation has a slight mass (352 vs. 366 kgs) and radiator panel area advantage (15 vs. 11.5 m<sup>2</sup>). For the minimum mass cases both radiator orientations optimize to 450 K but the vertical radiator orientation has 25 kg mass advantage and a 3 m<sup>2</sup> radiator panel advantage. To keep mass relatively close to its minimum, Stirling cold-end temperatures should not fall below about 360 K before a rapid increase in system mass occurs due to a increasing heat rejection area and mass. Figure 12 shows both system mass and GPHS count as a function of Stirling cold-end temperature for a horizontal radiator configured 5 KWe system. GPHS module count would rise linearly with increasing temperature but system mass rapidly increases below about 360 K because of the increasing heat rejection subsystem. Figure 13 shows a bar chart mass comparison between a 375 and 425 K system with a horizontal radiator. Operating at 375 K results in a 16 percent (50 kg from 35 to 410 kgs) mass increase over the minimum mass design. The radiator panel area for the minimum mass design is 8 m<sup>2</sup> versus 29 m<sup>2</sup> for the 375 K low temperature system using a horizontal radiator to minimize the GPHS inventory. For comparison going to the higher cold end temperature is an increase in the number of GPHS units required rising from 66 to 73 GPHS modules corresponding to 375 and 425 K cold end systems and going to the minimum mass system requires 76 GPHS modules.

Figure 14 shows power variation as a function of the lunar day/night cycle for both beginning of life (BOL) and end of life (EOL) operation for a vertically oriented radiator. For this system a life of 10 years is assumed which results in a decrease in thermal power from each GPHS from 250 watts to 234 based on its 87-year half-life. Power variations for this system are approximately 300 watts. For a horizontal radiator the variation in power is about 175 watts due to the smaller overall change in sink temperature.

Table 3.—5 kWe System Mass Breakdown

Component	375 K Cold-End Horizontal Radiator	425 K Cold-End Horizontal Radiator	375 K Cold-End Vertical Radiator	425 K Cold-End Vertical Radiator	450 K Horizontal Radiator Minimum Mass	450 K Vertical Radiator Minimum Mass
<b>Stirling Convertors (kgs)</b>	45	49	45	49	51	51
<b>GPHS Mass (kgs/no. modules )</b>	96/66	106/73	96/66	106/73	110/76	110/76
<b>GPHS Assembly Structure (kgs)</b>	79	87	79	87	91	91
<b>GPHS Aluminum Cover (kgs)</b>	4	4	4	4	4	4
<b>Insulation (kgs)</b>	17	19	17	19	18	18
<b>Heat Rejection (kgs)</b>	146	78	162	50	63	38
<b>Power Cond. (Control + Conv.) (kgs)</b>	27	27	27	27	27	27
<b>Radiator Area (m<sup>2</sup>)</b>	29	15	87	23	11	16
<b>Panel Area (m<sup>2</sup>)</b>	29	15	43.5	11.5	11	8
<b>Totals</b>	<b>409</b>	<b>366</b>	<b>427</b>	<b>352</b>	<b>360</b>	<b>335</b>

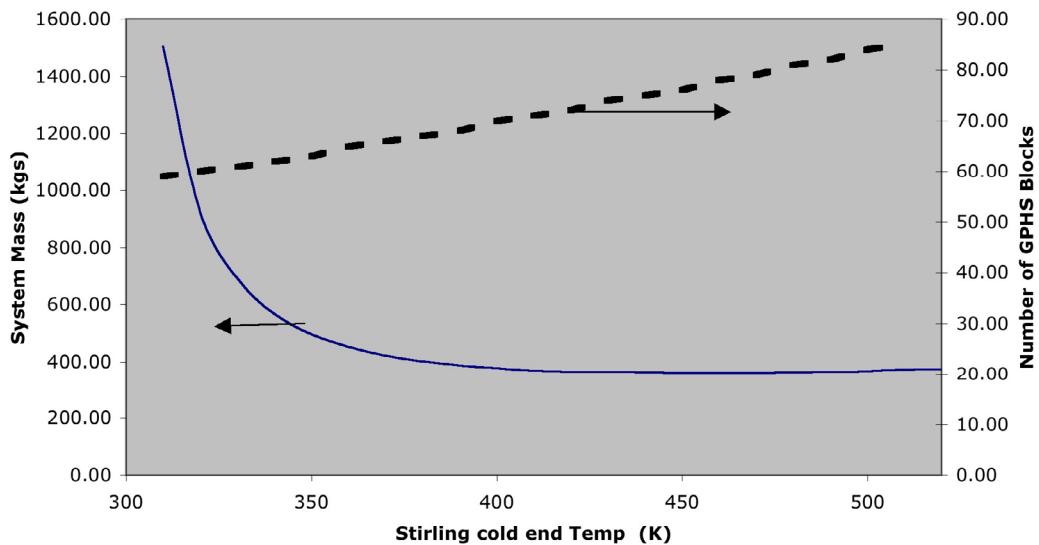


Figure 12.—System mass and number GPHS modules as a function of Stirling cold end temperature for a horizontal radiator.

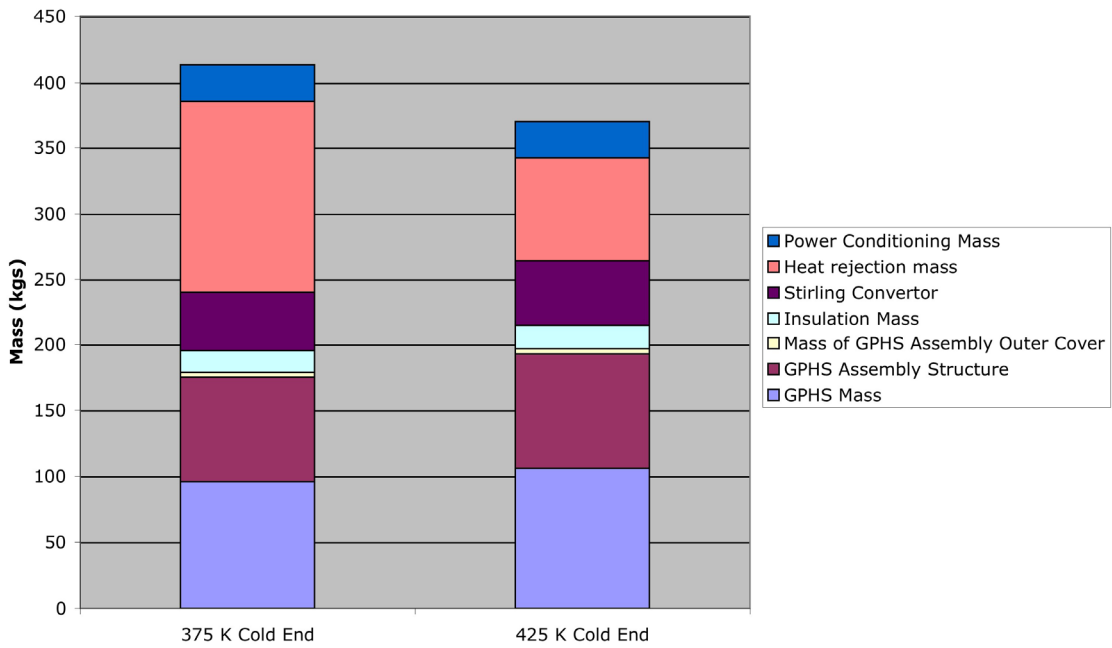


Figure 13.—Mass breakdown for both 375 and 425 K 5 KWe systems using a horizontal radiator.

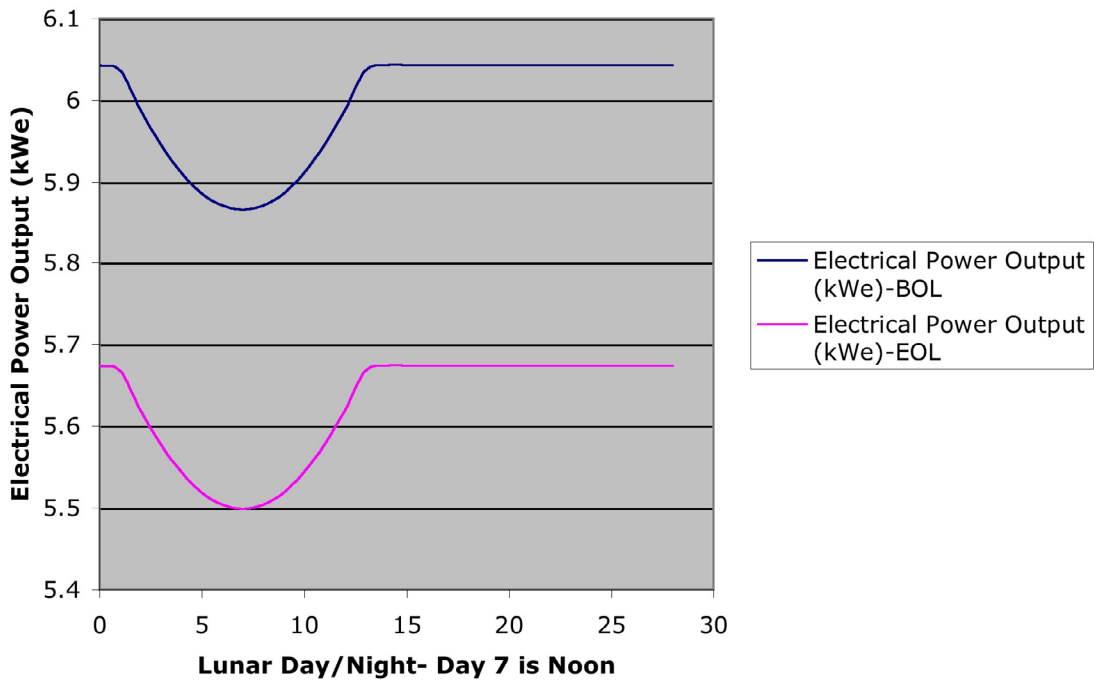


Figure 14.—Beginning of life and end of life power variations as a function of lunar day/night cycle, horizontal radiator.



## IX. Conclusions

A modular 5 KWe Stirling convertor power system employing GPHS modules as a heat source has been investigated and a reference system configuration identified. This system could have a range of applications in the further exploration of the lunar surface. The proposed system consciously uses currently existing hardware technology or concepts under active investigation at this time. The Stirling convertor itself represents a straightforward adaptation of current technology scaled to higher power levels. The convertor configuration options include both single cylinders and dual-opposed arrangements incorporating proven vibration isolation schemes. The required convertor controller and PMAD systems are an extension of existing technology. A simplified HTS has been identified which minimizes the use of potentially life limiting aspects of high temperature heat pipes while taking full advantage of the available Lunar gravitational field. Various techniques have been selected to ensure that the system would operate reliability under all expected condition.

This approach has a number of advantages compared to other power generation schemes: 1) the GPHS module is fully defined and space launch qualified, 2) emitted radiation is very low and could allowing easy access and placement of the system close to the end user, 3) heat source development costs would be low, and 4) the GPHS heat source can be easily simulated with electrical heaters allowing extensive life testing in existing facilities.

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