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RECENT WORK ON USE OF LUNAR MATERIALS FOR SPS CONSTRUCTION Gerard K. O'Neill (presented by David Criswell) Princeton University, Princeton, New Jersey 08544

During 1978 and 1979 several workshops were held under the sponsorship of the **Space Studies** Institute. Experts **in spacecraft** design, **rocket mission optimization, mass-driver design, chemical processing and** industrial **automation** took part in these **workshops. An earlier** version of the **results will appear, in part,** in **Astronautics and Aeronautics. The** purpose of the **workshops was** to extend in **a** logical **way** the **concepts** of **scaling and** bootstrapplng(1) **studied earlier** in 1976 **and** 1978 **NASA studies** on the **use** of **nonterrestrlal** materials. (2,3) In the **latest work,** the group examined **first** the question **of how small an operation could** be **mounted** that would make **a productive use** of the **lunar materials.** In that operation, **as far as possible** only **equipment** being **developed** by NASA **for other purposes** would be **used** (the **Shuttle** itself, **without augmentation, small crew workstations, spacesuits, a conventional chemlcally-powered orbital** trans**fer vehicle, and whatever minimal** tele-operators **are** developed in the **course** of the **next few years).**

The interim conclusion of the workshops **was** that the **most** cost-effectlve **scenario would** be one in **which a very small** installation **would** be put on the **Moon: a** mass-driver plus **a small chemical process plant plus a small** "machine**shop" would** be located in orbit, probably **about 2/3** of the **way from** the **Earth** to the **Moon. By** "machlne-shop" is **meant a** partially-automated, general purpose production **facillty akin** to **a small Job-shop,** capable of **making most** (but **not a11)** of the **components** of **additional,** identical mass-drivers, processing plants and machine-shops. On the basis of present-day commercial experience in industrial automation, the group concluded that it would be practical for the machine**shop** to be **about 90%** automated. **Many** of the **machines** could be directed by human operators on Earth through radio and **TV links,** with **local** microprocessors to handle decisions only on a **3-second** time **scale,** that being the round-trip time lag for signals between Earth **and** Moon. The machlne-shop would produce only relatively **simple,** repetitive, heavy **components.** All electronics and all highprecision machine components would be brought from the Earth.

It was calculated that the system would have the capability of replicating **about 90%** (by **weight)** of its own **components.** Its **human crew would** be malnly **for** maintenance, **especially for** those **unusual** or **unforeseeable failures** that **could now** be **repaired** by **remotely-directed equipment. The** lunar **facility would** be installed by **humans** originally, but **might** only be **revisited occasionally** thereafter. Its purpose **would** be to **export** (via **mass-driver) material** to the **space facility, and also** to **replicate locally additional** mass-drivers, **process plants and machine-shops.**

To establish a **baseline for** the "leverage" **gained** through the use of **lunar materials, an** optimized **electrical** design **was completed** (3/80) for **a small lunar mass-drlver. The design** took **advantage** _ the **six years** of **design development** that have now gone into mass-drivers. $\left(-\right)$,

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Earlier, detailed work **by** the Lunar **and** Planetary Institute **under** NASA. sponsorship (D. Criswell, Principal Investigator) had established that **a chemi**cal process plant **on** the lunar **surface** would **be** able to process at least 40 times its own mass per year.(4) The group **budgeted** six tons for **such a** plant, to yield in three months a total throughput of 60 **tons, comprised** mainly **of** an **aluminum output of 8 tons,** iron **output of 3** tons, silicon output **of** 12 tons, and oxygen output of 24 **tons.**

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Such a plant **could** provide sufficient feedstock for a machine-shop to replicate in 90 days an additional mass-driver, process plant and machine-shop. The total installation on the Moon would be **37** tons.

In the scenario **of** the workshop **group,** the lunar installation would **be** called upon to produce 33 tons of finished products in three months. The author had an opportunity to check the correspondence of that figure to the 6-ton mass of the machine-shop, in the course of a recent visit to Japan, and found that the 33 tons/6 tons rate of production assumed for the chemical process plant is well within current industrial practice on Earth. During the **90-day** replication time, about four tons of specialized or labor-intensive **components** would **have** to be brought from the Earth to complete the replication of the facility. The liquid oxygen to bring that four tons from Shuttle altitude to the Moon is within the 24 tons of oxygen-output that the initial lunar facility would produce in that period.

On **commissioning** of the replica of the original installation, the throughput of material into space from the Moon would be doubled, to 4,700 tons/year. Six more doublings, over a period of less than two years, would bring the total throughput to 300,000 tons per year, with operation only during the lunar days. That 300,000 tons of lunar material in space would be more than sufficient to provide the metals, glasses and silicon needed for the construction of 90% to 96% of the mass of one Solar Power Satellite per year. (5) The process plant and machine-shop located originally in orbit, and its replicas, would operate in full-time sunlight. The table below shows the figures for the process plant.

The initial installation in space is therefore **more** than capable of pro**ducing in 90 days** the **63** tons of **finished products** that **would constitute 90% of** its **mass,** the **remaining** 10% **for a replica** being brought **from** the Earth. **The oxygen produced** by the original **plant would** be **far** more than **required** for **supply** of **propellant** to bring the **necessary 7** tons of Earth-built **components for** the **replica.** With the **90-day replication** time, the orbital **facility, like** the **lunar facility,** would be **capable** of growth to **a value** of **300,000** tons/year of through**put** in **seven** doublings, **or about** two **years.**

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The workshop group **has not** yet **studied** the optimization of the mix of **products in space** between **replicas** of the **primary system and machinery designed for** the production **of SPS components.** Presumably, in the **simplest scenario,** on **reaching** the **300,000** ton/year **figure** the entire output of the **facility would** be turned_e to the production of those machines. On the basis of the NASA-funded study _5_ directed by R. **Miller and D. Smith of M.I.T.,** the orbital **facility could produce** in **one** to two **years** most of the **machines** that **would** be **needed for a steady production** thereafter of one **10-GW SPS per year.**

For the installations that would be replicated, the total amount of unique equipment for which R & D would have to be carried out would be approximately 15 tons. Using cost figures based on Shuttle **experience** (approximately \$60 million per ton) the total investment required for establishment of the initial installations on the Moon and in space, for verification of the overall plan and initiation of the replication process, would therefore include one billion dollars for R & D and \$0.4 billion for 16 Shuttle flights, needed to lift **107** tons of equipment and 340 tons of propellant to low Earth orbit. Total program investment to the point of first replication appears therefore to be well under five billion dollars.

The interim conclusion **of** the workshop group is that the concepts of **scal**ing, bootstrapping, and replication appear certain to provide major cost **savings** in any program, such as that of the SPS, which requires the emplacement of large payloads in high Earth orbit. It is also clear that there is great value in an approach of that kind, which can achieve high return on a modest investment without exceeding the llft **capabilities** of the unaugmented Shuttle system. The workshop **studies** will continue, turning to a detailed examination of optimized growth scenarios and the details of **equipment** design.

At present **(1980) mass-driver** development is adequately funded at **a level** of \$250,000 through the NASA Office of Propulsion and Power. Other than the mass-driver, the only item of equipment in the **scaling** and replication method that is without industrial precedent is the **chemical** processing plant. Therefore the Space Studies Institute will **initiate a** grant, approximately in September **1980,** of approximately \$i00,000 (first year) for research **and** development on a bench-chemlstry **level system** for the separation of **simulated** lunar soils **into** pure elements.

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