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#### The Natural Metaphysics of Computing Anticipatory Systems

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# Outline

- Systems theory
  - Pivotal Role of Adjointness
  - Rosen's influence
  - Free and Open Systems
- Composition of Systems for Complexity
  - Godement
  - Cube, Adjunctions
- Anticipation as Structural Ordering

## Purpose

 To attempt to show that the natural relationship between category theory and systems provides the basis for a metaphysical approach to anticipation

# Systems Theory

- Important for Information Systems
- Challenging Areas
  - pandemics
  - prediction of earthquakes
  - world finance (credit crunch)
  - world energy management policy
  - climate change
- Globalisation
- Freeness and Openness needed

# Features of Dynamic Systems

- Natural entities
  - easier to recognise than to define
- Second-order Cybernetics
  - observer is part of the system
  - distinguish between
    - modelling components/components of system itself
- General Information Theory (Klir)

- handling uncertainty

Theory of Categories (Rosen)

# System Theory

- Basic concepts
  - internal connectivity of components
    - Plato (government institution)
    - Aristotle (literary composition)
    - von Bertalanffy
      - theory of categories (vernacular)
      - to be replaced by an exact system of logicomathematical laws.

# Complexity of System

- System is a model of a whole entity
  - hierarchical structure
  - emergent properties
  - communication
  - control (Checkland)
- Complexity -- openness and freeness
  - self-organisation
  - anticipation (Dubois, Klir)
  - global interoperability

# Key Elements in the Definition of a System

$\operatorname{system}$	natural rela-	locality
	${f tionship}$	
closed	<i>intra</i> -connectivity	local
open	<i>inter</i> -connectivity	local
self-organised	intra-activity	$\operatorname{non-local}$
free	<i>inter</i> -activity	non-local

# **Concept of Openness**

- Open
  - defined inductively on open interval -difficult to formalise
- Dedekind cut
  - section of pre-defined field -- local
- Topology
  - -3-open
    - system is open to its environment
    - intuitionistic logic
  - Limited by reliance on set theory

# Category of Systems

- To make formal
  - intraconnectivity
  - interconnectivity
  - intra-activity
  - Interactivity
- Theory is realisable -- constructive
- Work on process -- Whitehead

## Early Adjointness from Rosen



# Identity Functor as Intension of Category-System



System is one large arrow (process Identity functor is intension All intermals afreewesteries tension Interconnectivity between two Identity Functors leading to Interactivity between Category-Systems.



# Features of Adjointness F -- | G

- Free functor (F) provides openness
- Underlying functor (G) enforces rules
- Natural so one (unique) solution
- Special case
  - GF(L) is the same as L AND
  - FG(R) is the same as R
  - Equivalence relation
- Adjointness in general is a relationship less strict than equivalence

 $-1_L \le GF$  if and only if FG  $\le 1_R$ 

## **Example of Adjointness**



- If conditions hold, then we can write F G
- The adjunction is represented by a 4-tuple: – <F,G,η, ε>
- $\eta$  and  $\epsilon$  are unit and counit respectively
  - $-\eta: L \rightarrow GFL; \epsilon: FGR \rightarrow R$
  - Measure displacement in mapping on one cycle
- L, R are categories; F, G are functors

# Category-Systems

- Makes formal
  - intraconnectivity
    identity functor
  - interconnectivity
    functors
  - intra-activity self-organisation (L and R are indistinguishable)
  - interactivity adjointness
- Right-hand category-system R
  - free and open category system
  - freedom from free functor F
  - determination by underlying functor G

# Anticipation

- Not simply F
  - While this takes process one step forward
    - On its own it lacks context
- Not simply G
  - This appears to take the process backwards
- It's F -- | G, that is F in the context of G
  - The forward step as limited by G

## Composition of Systems for Handling Complexity

- With category-systems
  - Composition is natural
  - Godement calculus
    - Compose all arrows at whatever level they are defined
    - Categories, Functors, Natural transformations, Adjoints
  - Obtain expressions showing equality of paths

#### The Cube – Composing All Arrows

- Higher dimensional arrows can deconstruct higher dimensional spaces into simple one dimensional paths
- Power of category theory:
  - Abstract (compact) notation can also be represented in an equivalent more detailed notation.
  - Both are robust
  - One more suited to description, the other to implementation.

#### **Abstract Notation**

#### Comparison of four systems (categories): CPT, CST, SCH, DAT by functors P, O, I

O, I have variants

 $\sigma$ ,  $\tau$  compare variations (natural transformations)



#### Detailed notation – The Cube – Part 1





# **Composing Adjunctions**



A is category for Concepts B is category for Constructs C is category for Schema D is category for Data Example for data structures

Adjunctions compose naturally F-|G is one of 6 adjunctions (if they hold)

Simple	Possible A	djunctions
F - G	$\overline{F} -  \overline{G} $	$\overline{F} -  \overline{G} $
Pairs		-   -
$\overline{F}F -  G\overline{G} $	$\overline{\overline{F}}\overline{F}$ -   $\overline{c}$	$\overline{G}\overline{\overline{G}}$
Triples		
$\overline{F}FF -  G$	$\overline{G}\overline{G}$	

### Simple Adjunctions Not composed

We can define these in more detail with their units and counits of adjunction as follows:

$$\langle F, G, \eta_a, \epsilon_b \rangle \colon \mathbf{A} \longrightarrow \mathbf{B}$$
 (1)

 $\eta_a$  is the unit of adjunction  $1_a \longrightarrow GFa$  and  $\epsilon_b$  is the counit of adjunction  $FGb \longrightarrow 1_b$ 

$$\langle \bar{F}, \bar{G}, \bar{\eta}_b, \bar{\epsilon}_c \rangle : \mathbf{B} \longrightarrow \mathbf{C}$$
 (2)

 $\bar{\eta}_b$  is the unit of adjunction  $1_b \longrightarrow \bar{G}\bar{F}b$  and  $\bar{\epsilon}_c$  is the counit of adjunction  $\bar{F}\bar{G}c \longrightarrow 1_c$ 

$$\langle \bar{F}, \bar{G}, \bar{\eta}_c, \bar{\epsilon}_d \rangle \colon \mathbf{C} \longrightarrow \mathbf{D}$$
 (3)

 $\bar{\eta}_c$  is the unit of adjunction  $1_c \longrightarrow \bar{G}\bar{F}c$  and  $\bar{\epsilon}_d$  is the counit of adjunction  $\bar{F}\bar{G}d \longrightarrow 1_d$ 

#### Composition of Adjunctions Pairs

$$\langle \bar{F}F, G\bar{G}, G\bar{\eta}_a F \bullet \eta_a, \bar{\epsilon}_c \bullet \bar{F}\epsilon_c \bar{G} \rangle : \mathbf{A} \longrightarrow \mathbf{C}$$
 (4)

 $G\bar{\eta}_a F \bullet \eta_a$  is the unit of adjunction  $1_a \longrightarrow G\bar{G}\bar{F}Fa$  and  $\bar{\epsilon}_c \bullet \bar{F}\epsilon_c \bar{G}$  is the counit of adjunction  $\bar{F}FG\bar{G}c \longrightarrow 1_c$ 

The unit of adjunction is a composition of  $\eta_a : 1_a \longrightarrow GFa$  with  $G\bar{\eta}_a F : GFa \longrightarrow G\bar{G}\bar{F}Fa$ The counit of adjunction is a composition of  $\bar{F}\epsilon_c\bar{G} : \bar{F}FG\bar{G}c \longrightarrow \bar{F}\bar{G}c$  with  $\bar{\epsilon}_c : \bar{F}\bar{G}c \longrightarrow 1_c$ 

We have retained the symbol  $\bullet$  indicating vertical composition as distinct from normal horizontal composition indicated by the symbol  $\circ$  [13].

 $\overline{G}\overline{\overline{\eta}}_b\overline{F} \bullet \overline{\eta}_b$  is the unit of adjunction  $1_b \longrightarrow \overline{G}\overline{\overline{G}}\overline{\overline{F}}\overline{F}B$  and  $\overline{\overline{\epsilon}}_d \bullet \overline{\overline{F}}\overline{\epsilon}_d\overline{\overline{G}}$  is the counit of adjunction  $\overline{\overline{F}}\overline{F}\overline{G}\overline{\overline{G}}d \longrightarrow 1_d$ 

The unit of adjunction is a composition of  $\bar{\eta}_b : 1_b \longrightarrow \bar{G}\bar{F}b$  with  $\bar{G}\bar{\eta}_b\bar{F} : \bar{G}\bar{F}b \longrightarrow \bar{G}\bar{G}\bar{F}\bar{F}b$ The counit of adjunction is a composition of  $\bar{F}\bar{\epsilon}_d\bar{G} : \bar{F}\bar{F}\bar{G}\bar{G}d \longrightarrow \bar{F}\bar{G}d$  with  $\bar{\epsilon}_d : \bar{F}\bar{G}\bar{d}d \longrightarrow \bar{F}\bar{G}d$ 

1<sub>d</sub>.

### Composition of Adjunctions Triples

 $<\bar{F}\bar{F}F, G\bar{G}\bar{\bar{G}}, G\bar{G}\bar{\bar{\eta}}_{a}\bar{F}F \bullet G\bar{\eta}_{a}F \bullet \eta_{a}, \bar{\bar{\epsilon}}_{d} \bullet \bar{F}\bar{\epsilon}_{d}\bar{\bar{G}} \bullet \bar{\bar{F}}F\epsilon_{d}\bar{G}\bar{\bar{G}} >: \mathbf{A} \longrightarrow \mathbf{D}$ (6)

The unit of adjunction is a composition of:

 $\begin{aligned} \eta_a : 1_a &\longrightarrow GFa \text{ with } G\bar{\eta}_a F : GFa &\longrightarrow G\bar{G}\bar{F}Fa \text{ with } G\bar{G}\bar{\eta}_a\bar{F}F : G\bar{G}\bar{F}Fa &\longrightarrow G\bar{G}\bar{G}\bar{F}\bar{F}Fa \\ \text{The counit of adjunction is a composition of:} \\ \bar{F}\bar{F}\epsilon_d\bar{G}\bar{G} : \bar{F}\bar{F}FG\bar{G}\bar{G}\bar{d} &\longrightarrow \bar{F}\bar{F}\bar{G}\bar{G}\bar{d} \text{ with } \bar{F}\bar{\epsilon}_d\bar{G} : \bar{F}\bar{F}\bar{G}\bar{G}\bar{d} &\longrightarrow \bar{F}\bar{G}\bar{d} \text{ with } \bar{\epsilon}_d : \bar{F}\bar{G}\bar{d} \longrightarrow 1_d \end{aligned}$ 

## **General Solution**

- In general, adjointness gives a logical ordering:
  - iff the operation of an environment C on a subobject A has a solution subobject B then
    - A implies B in the environment of C.
  - This can be represented as the adjunction

$$C \times A \rightarrow B - C \rightarrow B^A$$

# Metaphysical Ordering

- This adjunction is the natural metaphysical ordering which constitutes anticipation
- Thus causation (left adjoint) and Heyting inference (right adjoint) are both stationary forms of the predicate of anticipatory systems
  - these dominate the two mainstream applications of AI and databases
- In AI the left adjoint is a relevance connection in context and the corresponding right adjoint is cognition
- For data warehousing, data mining, the semantic web, etc, a query in context is left adjoint and the resultant retrieval right adjoint

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