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Time-optimal trajectories to circumsolar space using solar electric propulsion

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Abstract

The aim of this paper is to explore the capabilities of a solar electric propelled spacecraft on a mission towards circumsolar space. Using an indirect approach, the paper investigates minimum time of transfer (direct) trajectories from an initial heliocentric parking orbit to a desired final heliocentric target orbit, with a low perihelion radius and a high orbital inclination. The simulation results are then collected into graphs and tables for a trade-off analysis of the main mission parameters. Finally, a comparison of the performance between a solar electric and a (photonic) solar sail based spacecraft is discussed.

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Keywords: Minimum time trajectories; Solar electric propulsion; Circumsolar space exploration

1. Introduction

The circumsolar space, with particular reference to the region around the Sun's poles, is still, to a large extent, an unexplored part of our Solar System. Despite a continuous progress of remote sensing capabilities, a deep knowledge of the inner heliosphere can be obtained only through accurate in-situ measurements (Heliophysics Roadmap Team, 2012). In fact, an in-depth analysis of the solar wind or a thorough measurement of the solar magnetic field, and of its interaction with the external corona, requires the use (in situ) of a scientific probe. Even more interesting is the possibility to observe the Sun at high inclinations above the Ecliptic plane.

The interest of the scientific community for exploring the circumsolar space has been revived after the remarkable results of the Ulysses mission, including the observa-

tion of an unexplained constant decrease of the solar wind since the beginning of space based recordings, and further confirmed by the launch of the European probe Solar Orbiter (ESA, 2012), which is scheduled for the beginning of 2017. Its operating orbit is characterized by a perihelion distance of about 0.28 AU and an inclination greater than 25 deg with respect to the solar equatorial plane. Such a probe is expected to provide detailed information both of the inner heliosphere and of the solar polar regions. A closer view of the Sun will be given by the American Solar Probe Plus (APL Team, 2012), whose launch will take place on 2018. The Solar Probe Plus should be the first spacecraft capable of traveling within the solar atmosphere (the solar corona) and reaching a distance of 5.9 million kilometers (that is, 8.5 solar radii) from the photosphere, the region from which the photons originate.

The difficulty of reaching the circumsolar space with a scientific probe comes from the high ΔV necessary for those mission types. In fact, the desired scientific measurements typically require the achievement of a heliocentric orbit with a low perihelion and a high inclination with respect

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Nomenclature

| | | | |
|----------------------|--|------------------------|---------------------------------------|
| \mathbb{A} | matrix $\in \mathbb{R}^{7 \times 3}$, see Eq. (2) | t | time |
| A | sail reflective area | \mathbf{x} | state vector |
| a | semimajor axis | β | propellant mass flow rate |
| a_c | solar sail characteristic acceleration | δ | ecliptic declination |
| $\hat{\mathbf{a}}_T$ | propulsive acceleration unit vector | η_P | duty cycle |
| \mathbf{d} | vector $\in \mathbb{R}^{7 \times 1}$, see Eq. (3) | $\boldsymbol{\lambda}$ | adjoint vector |
| e | orbital eccentricity | λ | adjoint variable |
| f, g, h, k | modified equinoctial elements | μ_{\odot} | Sun's gravitational parameter |
| H | Hamiltonian function | v | true anomaly |
| i | orbital inclination | ω | argument of perihelion |
| I_d | electric thruster operation point | Ω | right ascension of the ascending node |
| J | performance index | σ_{sa} | sail assembly loading |
| L | true longitude | | |
| m | spacecraft mass | | |
| P | input power to Power Processing Unit | | |
| \mathcal{P} | solar radiation pressure at 1 AU | | |
| P_L | payload power | | |
| P_{\oplus} | solar array initial output power | | |
| p | semilatus rectum | | |
| r | Sun-spacecraft distance | | |
| r_a | target orbit's aphelion radius | | |
| r_p | target orbit's perihelion radius | | |
| T | electric thruster's propulsive thrust | | |

| | | | |
|--|--|-----|----------------------------------|
| | | | <i>Subscripts</i> |
| | | 0 | initial, injected, parking orbit |
| | | 1 | final, target orbit |
| | | p | propellant |
| | | pay | payload |
| | | sa | sail assembly |

| | | | |
|--|--|---|---------------------|
| | | | <i>Superscripts</i> |
| | | . | time derivative |

to the Ecliptic plane. For example, a circular orbit with a radius of 0.28 AU and an inclination of 28 deg with respect to the Ecliptic plane would require a minimum $\Delta V \simeq 29$ km/s using a two impulse maneuver. Such a value could be reduced, the perihelion distance and inclination being the same, using an elliptic orbit. In fact, with an aphelion radius of 0.8 AU the ΔV decreases to about 17.2 km/s. The Ulysses mission, one of the very first missions dedicated to watch the Sun closely, acquired an orbit inclination of 80 deg while retaining a perihelion radius larger than 1 AU. The spacecraft left the Earth with a staggering speed of 15.7 km/s, making it, at that time, the fastest interplanetary spacecraft ever launched. Such a high speed was the price to be paid to reach Jupiter and pump up there the inclination for free, which also forced the orbit aphelion to be at roughly 5 AU.

The remarkably high values of ΔV that characterize space missions (also referred to as “high energy” missions) towards the circumsolar space, usually require a high hyperbolic excess velocity at launch and multiple gravity assist maneuvers to reduce the propellant consumption within acceptable limits. For example, the Solar Probe Plus mission plans seven flybys with Venus, while the Solar Orbiter mission schedules two flybys with Earth in addition to several Venus gravity assists.

Clearly, the presence of multiple flybys makes the transfer trajectory design more difficult and introduces constraints on the launch windows. On the other side, a

direct transfer, which could offer a higher flexibility on launch windows, would be impossible for a (chemical) high thrust propulsion system, due to an excessively high value of ΔV . Not surprisingly, missions towards the heliosphere have been studied using innovative propulsion systems like solar sails (Sauer Jr., 1999). Indeed, solar sails are particularly suitable for transfers in the inner Solar System as the propulsive force they generate is proportional to the local solar flux, which in turns varies with the inverse square distance from the Sun. Note that, in a solar-powered spacecraft in which the electric power is supplied by solar arrays, the maximum input power (and then the propulsive thrust) is an involved function of the distance from the Sun (Rayman and Williams, 2002), but also depends on the flight time due to the solar cells degradation (Saleh et al., 2002).

However, despite the recent successes of the Japanese IKAROS mission (Tsuda et al., 2011), which first used a solar sail for an interplanetary mission, this kind of propulsion system does not yet offer a satisfactory technology readiness level (Johnson et al., 2010). A possible alternative, which currently guarantees a greater confidence level, is given by solar electric propulsion (SEP) technology (Brophy and Noca, 1998, 2003). As is well known, the high specific impulse provided by SEP systems allows for a significant reduction of the propellant necessary to complete the transfer (Williams and Coverstone-Carroll, 1997). Current space missions designed to reach the inner part of the Solar

System with SEP technology, use solar electric propulsion in combination with multiple gravity assists to maximize the payload mass delivered into the final operational orbit. Examples are the initial design of the Solar Orbiter mission (Vasile and Bernelli-Zazzera, 2003b) or the design of the BepiColombo mission (Vasile and Bernelli-Zazzera, 2003). Furthermore, future concepts envisage the use of these propulsion systems in conjunction with a solar sail, thus constituting a hybrid solution (Leipold and Götz, 2002, 2007) that seeks to overcome the intrinsic limitations of the each system alone (Circi, 2004, 2011).

In any case, an assessment of the performance of a pure SEP system for a direct transfer is useful to evaluate the possible improvements provided by a hybrid solution, or by the inclusion of gravity assist maneuvers. This paper addresses a preliminary performance investigation for a spacecraft equipped with a SEP propulsion system, whose aim is to reach the circumsolar space. The study takes into account the actual performance of a SEP system of last generation. Minimum time trajectories necessary to obtain a direct transfer towards a target orbit with prescribed characteristics are found using an indirect approach based on optimal control theory. The rationale is that a minimum time trajectory provides an upper limit on the propellant mass along a possible optimal time vs. mass trade-off curve for a direct transfer. In other words any other optimal direct transfer solution that aims at minimizing the mass of propellant will have a longer transfer time.

2. Mathematical model

The equations of motion (Betts, 2000) of a SEP spacecraft, in a heliocentric inertial reference frame, may be expressed in terms of Modified Equinoctial Orbital Elements (Walker et al., 1985, 1986) (MEOE) p, f, g, h, k , and L as:

$$\dot{\mathbf{x}} = \eta_p (T/m) \mathbb{A} \hat{\mathbf{a}}_T + \mathbf{d} \quad (1)$$

where $\mathbf{x} \triangleq [p, f, g, h, k, L, m]^T$ is the state vector, m is the spacecraft mass, $T \geq 0$ is the propulsive thrust modulus, $\hat{\mathbf{a}}_T$ is the thrust unit vector whose components are expressed in a local-vertical/local-horizontal orbital reference frame, and $\eta_p = 0.92$ is the duty cycle. The latter, according to Rayman and Williams (2002), is the fraction of time during deterministic thrust periods in which $T \neq 0$. In Eq. (1), $\mathbb{A} \in \mathbb{R}^{7 \times 3}$ is a matrix in the form:

$$\mathbb{A} \triangleq \sqrt{\frac{p}{\mu_\odot}} \begin{bmatrix} 0 & \frac{2p}{1+f \cos L + g \sin L} & 0 \\ [\sin L] & \frac{(2+f \cos L + g \sin L) \cos L + f}{1+f \cos L + g \sin L} & \frac{-g(h \sin L - k \cos L)}{1+f \cos L + g \sin L} \\ [-\cos L] & \frac{(2+f \cos L + g \sin L) \sin L + g}{1+f \cos L + g \sin L} & \frac{f(h \sin L - k \cos L)}{1+f \cos L + g \sin L} \\ 0 & 0 & \frac{(1+h^2+k^2) \cos L}{2(1+f \cos L + g \sin L)} \\ 0 & 0 & \frac{(1+h^2+k^2) \sin L}{2(1+f \cos L + g \sin L)} \\ 0 & 0 & \frac{h \sin L - k \cos L}{1+f \cos L + g \sin L} \\ 0 & 0 & 0 \end{bmatrix} \quad (2)$$

where $\mu_\odot \triangleq 132712439935.5 \text{ km}^3/\text{s}^2$ is the Sun's gravitational parameter, and the vector $\mathbf{d} \in \mathbb{R}^{7 \times 1}$ is defined as

$$\mathbf{d} \triangleq \left[0, 0, 0, 0, 0, \sqrt{\mu_\odot p} \left(\frac{1+f \cos L + g \sin L}{p} \right)^2, -\eta_p \beta \right]^T \quad (3)$$

where $\beta \geq 0$ is the propellant mass flow rate. Note that p is the semilatus rectum of the spacecraft osculating orbit, whereas the transformations from MEOE to the classical orbital elements are

$$a = \frac{p}{1-f^2-g^2} \quad (4)$$

$$e = \sqrt{f^2+g^2} \quad (5)$$

$$i = 2 \arctan \sqrt{h^2+k^2} \quad (6)$$

$$\sin \omega = gh - fk, \quad \cos \omega = fh + gk \quad (7)$$

$$\sin \Omega = k, \quad \cos \Omega = h \quad (8)$$

$$v = L - \Omega - \omega \quad (9)$$

where a is the semimajor axis, e is the eccentricity, i the orbital inclination, ω is the argument of perihelion, Ω is the longitude of the ascending node, and v is the true anomaly of the spacecraft's osculating orbit.

In a SEP spacecraft, the thrust level T and the propellant mass flow rate β are closely related to the input power P to the Power Processing Unit (PPU). In particular, an electric thruster has a finite number of operation points (Patterson et al., 2001, 2007), each one characterized by a corresponding set of values of T , β , and P . If the propulsion system performance coincides with that of a NASA's Evolutionary Xenon Thruster (NEXT) ion thruster (Patterson and Benson, 2007), a set of 40 operation points (or I_d) is available, see Fig. 1. In the simulations, a fictitious operation point (that is $I_d = 41$, where $T = 0$ and $\beta = 0$) has been added to the actual NEXT thrust table, to model the presence of possible coasting phases in the spacecraft optimal trajectory. Therefore, within this simplified model, the operation point $I_d \in \mathbb{N}^+$ (with $I_d \leq 41$), represents the only control parameter that describes the thruster performance in terms of T and β .

For example, when the first operation point $I_d = 1$ is selected, the propulsion system supplies the maximum thrust (about 0.236 N) at the maximum propellant mass flow rate (about 5.76 mg/s), see Fig. 1. Note that the condition $I_d = 1$ can be selected only if the PPU input power is (at least) 7.22 kW. In fact, assuming a photovoltaic power generation system with degradation effects (Rayman and Williams, 2002, 2007), the set of all admissible operation points is strictly related to the available input power. The latter is defined as the difference of the solar array output power and the power allocated to operate the spacecraft systems $P_L \triangleq 400 \text{ W}$. Therefore, when an initial output power P_\oplus is given, the set of admissible operation points depends both on the Sun-spacecraft distance and the time (Rayman and Williams, 2002). The mathematical model

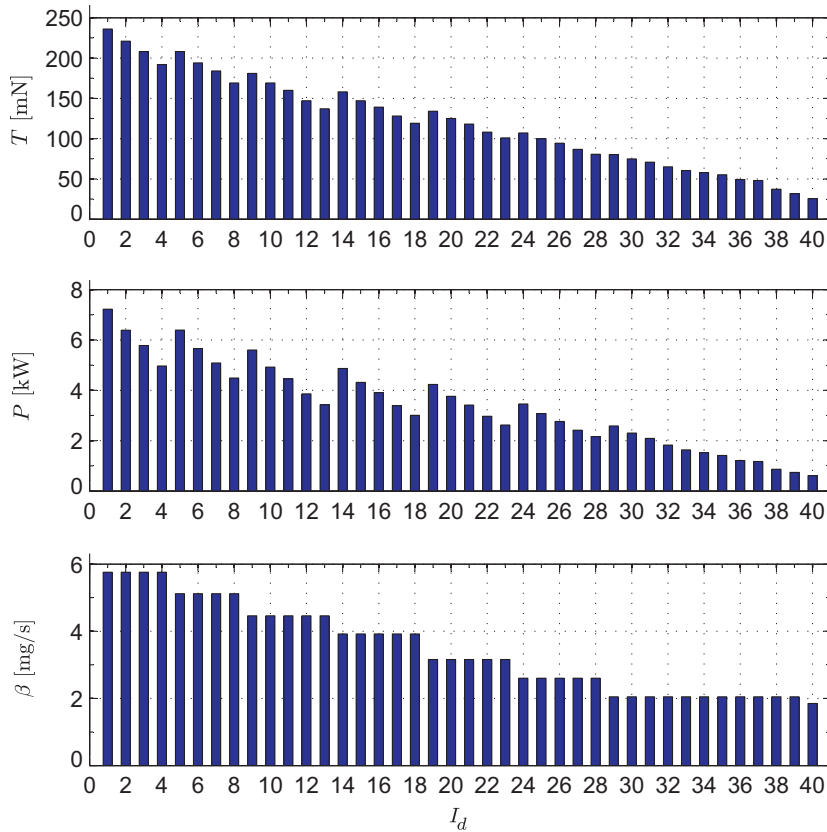


Fig. 1. Propulsion system operation points in terms of thrust T , propellant mass flow rate β , and PPU input power P [data adapted from Patterson and Benson (2007)].

and the flow diagram of the electric power calculation are discussed in Quarta and Mengali (2011). In this paper P_{\oplus} is chosen to coincide with the solar array output power at the beginning of the mission, and at a reference Sun-spacecraft distance equal to 1 AU.

2.1. Trajectory optimization

Assume that the initial (corresponding to $t_0 \triangleq 0$) spacecraft osculating orbit coincides with the Earth's (Keplerian) heliocentric orbit, viz.

$$\begin{aligned}
 p(t_0) &= 9.9878 \times 10^{-1} \text{ AU}, \\
 f(t_0) &= -3.5778 \times 10^{-3}, \\
 g(t_0) &= 1.5344 \times 10^{-2}, \\
 h(t_0) &= -1.5181 \times 10^{-5}, \\
 k(t_0) &= 2.1250 \times 10^{-5}
 \end{aligned} \quad (10)$$

This scenario is representative of a spacecraft injection on a parabolic Earth escape trajectory, with zero hyperbolic excess energy with respect to the planet.

The optimization problem consists of finding the minimum time trajectory that transfers the spacecraft from the initial orbit to a final (prescribed) target orbit. This amounts to maximizing the objective function $J \triangleq -t_1$, where t_1 is the total flight time. Using an indirect approach

(Betts, 1998), the optimal thrust direction $\hat{\mathbf{a}}_T$ is obtained through Pontryagin's maximum principle (Chobotov, 1996) as

$$\hat{\mathbf{a}}_T = \frac{\mathbb{A}^T \boldsymbol{\lambda}}{\|\mathbb{A}^T \boldsymbol{\lambda}\|} \quad (11)$$

where $\boldsymbol{\lambda} \in \mathbb{R}^{7 \times 1}$ is the adjoint vector

$$\boldsymbol{\lambda} \triangleq [\lambda_p, \lambda_f, \lambda_g, \lambda_h, \lambda_k, \lambda_L, \lambda_m]^T \quad (12)$$

whose time derivative is given by the Euler-Lagrange equations

$$\dot{\boldsymbol{\lambda}} = -\frac{\partial H}{\partial \mathbf{x}} \quad (13)$$

where $H \triangleq [\eta_p (T/m) \mathbb{A} \hat{\mathbf{a}}_T \cdot \boldsymbol{\lambda} + \mathbf{d} \cdot \boldsymbol{\lambda}]$ is the Hamiltonian function. The explicit expressions of the Euler-Lagrange equations, evaluated using a symbolic math toolbox, has been omitted for the sake of brevity. According to Quarta and Mengali (2011), the optimal thrust level T (and so the propellant mass flow rate β) is obtained, using a numerical approach (Hoare, 1962), by maximizing the Hamiltonian function H with respect to I_d . Note that the maximization process of H should take into account the constraint condition on the actual value of the available power for the propulsion system.

The spacecraft motion is described by the seven equations of motion (1) and the seven Euler-Lagrange Eq. (13). This differential system must be completed with 14

suitable boundary conditions, the first five of these are shown in Eq. (10). Because the initial spacecraft angular position is left free, the initial true longitude $L(t_0)$ is an output of the optimization process. The sixth boundary condition refers to the initial (given) spacecraft mass $m_0 \triangleq m(t_0)$, whereas the remaining eight conditions (along with the minimum flight time t_1) are obtained by enforcing the transversality condition (Bryson and Ho, 1975), following the procedure described in Casalino et al. (1998, 1999). In particular, when the inclination i_1 , the perihelion radius r_p , and the aphelion radius r_a of the heliocentric target orbit are all fixed, Eqs. (5) and (6) provide the following three constraints on the final value (subscript 1) of MEOE:

$$i_1 = 2 \arctan \sqrt{h_1^2 + k_1^2}, \quad \frac{r_a - r_p}{r_a + r_p} = \sqrt{f_1^2 + g_1^2},$$

$$p_1 = \frac{2r_p r_a}{r_p + r_a} \quad (14)$$

A set of heliocentric canonical units (Bate et al., 1971), in which the spacecraft injected mass m_0 coincides with the mass unit, has been used in the integration of the differential equations to reduce their numerical sensitivity. The equations of motion (1) and the Euler-Lagrange Eq. (13) have been integrated in double precision using a variable order Adams-Bashforth-Moulton solver scheme (Shampine and Gordon, 1975) with absolute and relative errors of 10^{-12} . Finally, the boundary-value problem associated to the variational problem has been solved through a hybrid numerical technique that combines genetic algorithms (to obtain a first estimate of adjoint variables), with gradient-based and direct methods to refine the solution.

3. Problem description and simulations results

Assume that the spacecraft, with an injected mass m_0 , is equipped with a SEP system, whose performance model is based on that of the NEXT thruster. The problem is to find the minimum time, direct trajectory (that is, without gravity assist maneuvers) that transfers the spacecraft from an Earth's heliocentric orbit to a Keplerian target orbit, under the assumption that perihelion radius r_p , aphelion radius r_a and orbital inclination i_1 , are all given, see Eq. (14). The optimization problem is solved by means of the indirect approach described in the previous section. Note that, in all of the simulations, the initial v_0 and final v_1 spacecraft true anomaly, the final spacecraft mass m_1 , the target orbit's argument of perihelion ω_1 , and the right ascension of the ascending node Ω_1 , are all left free. Their optimal values are therefore obtained as outputs of the optimization process.

For a given target orbit characteristics, that is, for a given set of values (r_p, r_a, i_1) , the minimum flight time t_1 is a function of both the injected mass m_0 and the initial solar array output power P_{\oplus} . Equivalently, in mathematical terms, the flight time may be expressed as $t_1 = t_1(r_p, r_a, i_1, m_0, P_{\oplus})$. For example, assume that $m_0 = 1000$ kg, $P_{\oplus} = 10$ kW, and that

the target orbit characteristics are $r_p = 0.3$ AU, $r_a = 0.8$ AU and $i_1 = 24$ deg. These data are consistent with the Solar Orbiter operational orbit (ESA, 2012). The optimization process provides a minimum flight time $t_1 = 952.9$ days, whereas the propellant consumption is $m_p \triangleq m_0 - m_1 \simeq 436.3$ kg (the propellant mass fraction is $m_p/m_0 = 43.6\%$). The corresponding transfer trajectory is shown in Fig. 2, where the asterisk denotes the perihelion of the parking and target orbit, whereas the circle refers to the starting (or arrival) point.

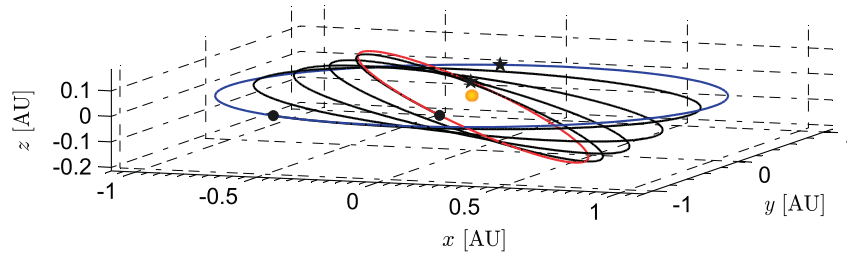
The heliocentric transfer starts when the spacecraft initial true anomaly is $v_0 \simeq 136$ deg, as is shown in Fig. 2(a), and ends when the spacecraft completes approximately four revolutions around the Sun. Note that the departure orbit coincides, by construction, with the Earth's heliocentric orbit (see Eq. (10)), and therefore an optimal launch opportunity occurs (yearly) on May 20.

Figs. 3 and 4 show the variation of the semimajor axis a , inclination i , perihelion $p/(1+e)$ and aphelion $p/(1-e)$ radius of the osculating orbit along the optimal transfer trajectory. In particular, Fig. 3 shows that the orbital inclination changes mainly at aphelion passages (which are placed close to the nodes), and the aphelion radius is initially increased to improve the propulsive acceleration effectiveness in the plane change maneuver. Unlike locally optimal steering laws (Macdonald and McInnes, 2005), a non-monotonic time-variation of the osculating orbit elements and characteristics (such as aphelion and perihelion) is typical of truly optimal control laws, even though this does not constitute a proof of the global optimality of the performance index (in this case the total flight time). Such a behavior is consistent with what was observed by Dachwald et al. (2006b) in a similar mission scenario, where a near-term solar sail reaches an heliocentric orbit with a low perihelion radius and a high inclination.

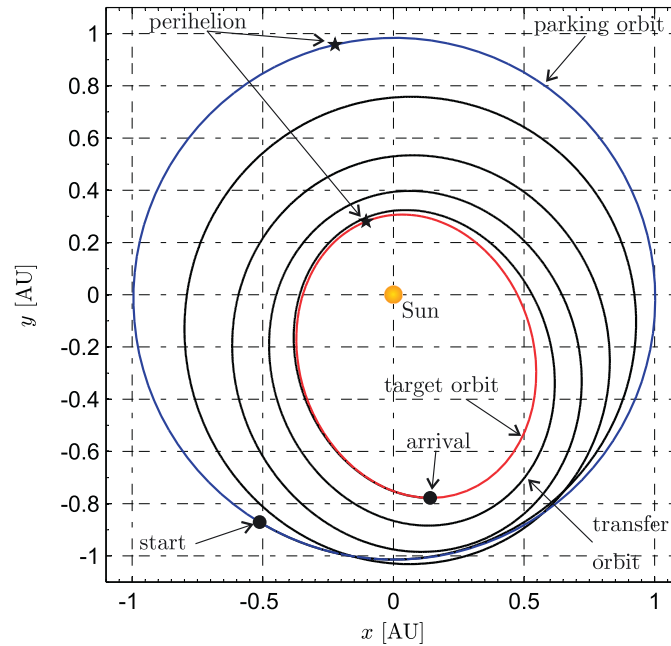
Fig. 5 shows the time variation of the Sun-spacecraft distance r and the spacecraft (ecliptic) declination δ during the time-optimal transfer. Note that δ is the angle between the Sun-spacecraft line and the Ecliptic plane. Fig. 5 also shows that the local maxima of $r = r(t)$ are all located in the neighboring of the Ecliptic plane ($\delta = 0$).

3.1. Sensitivity analysis

A sensitivity analysis of mission performance, obtained by varying the injected mass in the range $m_0 \in [550, 1350]$ kg and the initial solar array output power in the interval $P_{\oplus} \in [5.5, 10]$ kW, is now presented. Note that a variation of P_{\oplus} with respect to the reference value (of 10 kilowatts) may reasonably be used to model a (partial) failure of the solar electric power system. The simulation results are summarized in Tables 1 and 2. When the initial electric power P_{\oplus} is kept fixed, and injected mass m_0 is varied within the selected range, the propellant mass fraction m_p/m_0 displays a small fluctuation around a mean value of about 43.21%, see the third column of Table 1. This corresponds roughly to a linear variation of the



(a) Three-dimensional view.



(b) Ecliptic projection.

Fig. 2. Optimal transfer trajectory when $m_0 = 1000$ kg, $P_{\oplus} = 10$ kW, $r_p = 0.3$ AU, $r_a = 0.8$ AU, and $i_1 = 24$ deg.

propellant mass m_p versus the spacecraft injected mass m_0 , in the selected range. A similar conclusion holds true for the minimum flight time t_1 versus m_0 , which may be approximated as

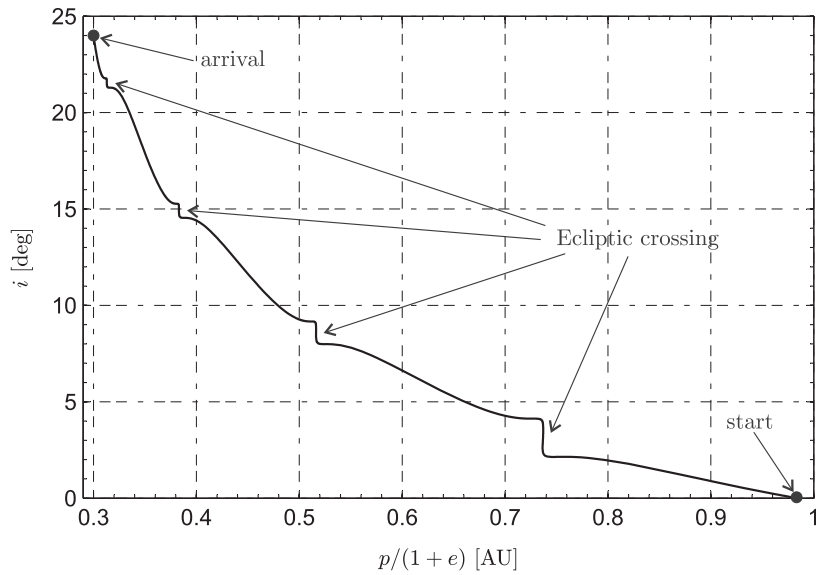
$$t_1 \simeq 0.9479 m_0 \quad (15)$$

where t_1 is expressed in days and m_0 in kilograms.

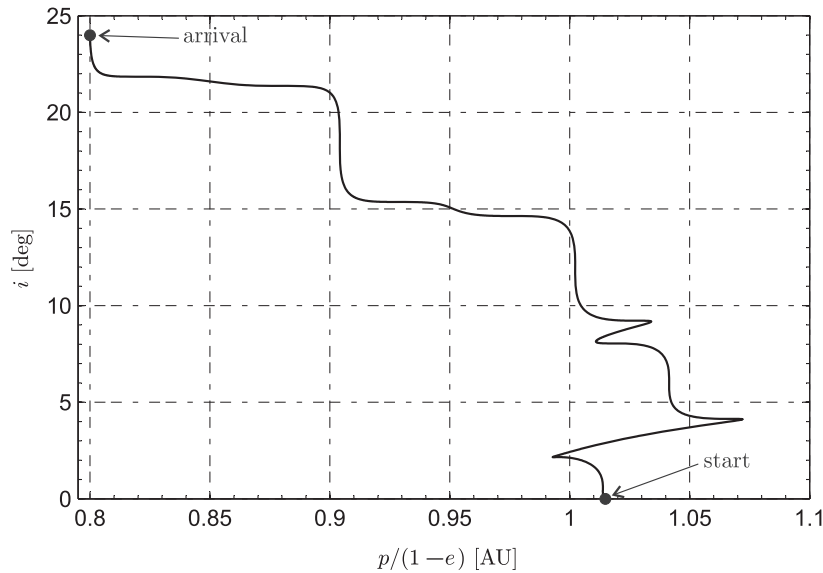
Note that the propellant throughput capability of a NEXT propulsion system (Noord, 2007) is about 450 kg (qualification-level), which corresponds to 22000 hours of operation at maximum thrust (operation point $I_d = 1$, see Fig. 1). Therefore, according to Table 1, only the mission scenario in which $m_0 \leq 1050$ kg is consistent with the actual characteristics of a single propulsive unit. Table 1 also shows that, when $m_0 = 1050$ kg, the spacecraft dry mass is less than $m_1 \simeq 600$ kg, a value consistent with those reported in Table 2 of Oh et al. (2008) for a rendezvous mission towards comet Tempel 1 of a SEP spacecraft with a NEXT thruster and $P_{\oplus} = 10$ kW. However, laboratory tests (Herman et al., 2009,) indicate that the NEXT thruster could (potentially) provide a propellant throughput greater than 750 kg, and this enhanced capability would make other mission scenarios possible.

For a given injected mass m_0 , the propellant mass fraction m_p/m_0 is affected by the value of P_{\oplus} , see the third column of Table 2. This behavior is closely related to the propulsion system mathematical model. In fact, as the simulations show, the optimal thrusting strategy consists of selecting (at any time) the maximum propulsive thrust. If the available power is always greater than 7.22 kW, then the thruster operation point is $I_d = 1$ along the whole transfer trajectory. This explains why a initial power $P_{\oplus} \geq 9.5$ kW gives the same mission performance, see the last two rows in Table 2. However, when the available power becomes less than 7.22 kW, because either the spacecraft is too far from the Sun or the value of P_{\oplus} is insufficient, the optimization process selects an operation point different from $I_d = 1$. This situation is illustrated in Fig. 6, where the time history of the thruster operation point I_d is shown as a function of P_{\oplus} . Note, from Table 2, that there is a little difference in performances between the case of $P_{\oplus} = 7$ kW and $P_{\oplus} = 7.5$ kW. In other terms, the value $P_{\oplus} \simeq 7$ kW is suboptimal in this mission scenario.

The flight time and the propellant mass fraction depend on the target orbit characteristics. For example Tables 3



(a) Inclination vs. perihelion radius



(b) Inclination vs. aphelion radius

Fig. 3. Orbital inclination over perihelion and aphelion radius of the spacecraft osculating orbit ($m_0 = 1000$ kg and $P_{\oplus} = 10$ kW, $r_p = 0.3$ AU, $r_a = 0.8$ AU and $i_1 = 24$ deg).

and 4 show the mission performance as a function of $i_1 \in [0, 30]$ deg and $r_a \in [0.3, 1]$ AU, respectively. In particular, the case of $i_1 = 0$ corresponds to a two-dimensional transfer towards an elliptic target orbit with perihelion radius $r_p = 0.3$ AU and aphelion radius $r_a = 0.8$ AU. The case of $r_a = 0.3$ AU, instead, corresponds to an optimal transfer towards a circular heliocentric orbit with inclination $i_1 = 24$ deg, see Fig. 7 for the spacecraft's trajectory.

3.2. Comparison with an ideal solar sail

A comparison of the performance of a SEP spacecraft with a vehicle equipped with an advanced, propellantless, propulsion system, such as a (photonic) solar sail, is briefly

discussed in this section. Note that a thorough comparison between two different propulsion systems is a very complex task and, in this respect, the following analysis does not intend to provide a conclusive indication on which type of propulsion system is best for the type of missions considered in this paper. Instead, this simplified comparison aims at highlighting some of the technological requirements and potentialities of the two propulsion systems in the context of circumsolar missions.

The comparison between the performance of a SEP and a solar sail spacecraft can be made by taking into account different performance indexes as, for example, the mission flight time, the payload mass, or the payload mass fraction. In this analysis, the payload for a solar sail based

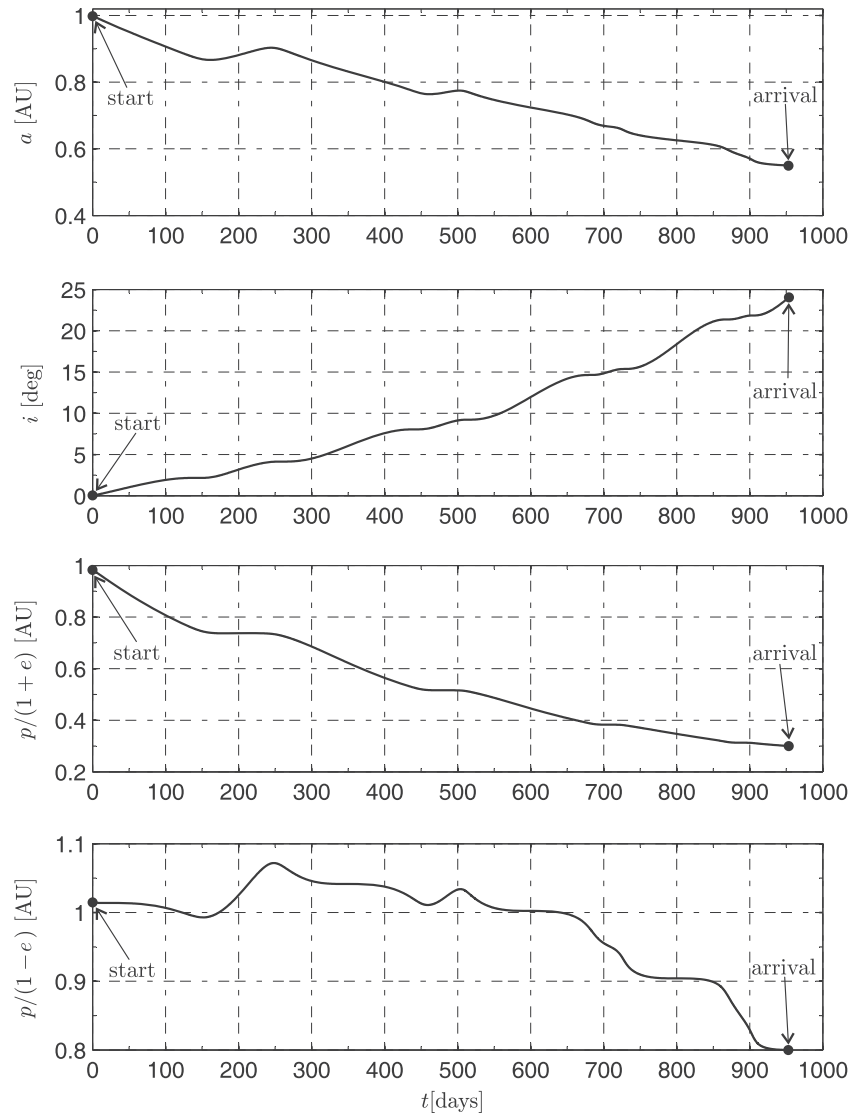


Fig. 4. Time variation of the osculating orbit's semimajor axis, inclination, perihelion, and aphelion radius (with $m_0 = 1000$ kg, $P_{\oplus} = 10$ kW, $r_p = 0.3$ AU, $r_a = 0.8$ AU and $i_1 = 24$ deg).

spacecraft should be intended as the vehicle that will perform the science operations at the given target orbit (Macdonald et al., 2006).

Instead, in this comparison, the flight time of the sail spacecraft is fixed to the one required to the SEP spacecraft, and the characteristic acceleration of the solar sail a_c is minimized using an optimization algorithm, adapted from Mengali and Quarta (2009). Recall that a_c is defined (McInnes, 1999) as the maximum solar sail propulsive acceleration when the Sun-spacecraft distance is 1 AU.

Introduce now a simplified solar sail mass breakdown model, where the total spacecraft mass m_0 is the sum of the payload mass m_{pay} , and the sail assembly mass m_{sa} . Recall that the sail assembly mass includes the mass of both the reflective film, and the required structure for storing, deploying and tensioning the sail (Dachwald et al., 04–08). Consider an ideal flat solar sail force model without degradation (Dachwald et al., 2006, 2007), that is a sail

with a perfectly reflecting film. For a given payload mass fraction m_{pay}/m_0 , the sail assembly loading σ_{sa} is related to the solar sail characteristic acceleration a_c through the simple equation

$$\sigma_{\text{sa}} = \frac{2\mathcal{P}(1 - m_{\text{pay}}/m_0)}{a_c} \quad (16)$$

where $\mathcal{P} \triangleq 4.56 \times 10^{-6}$ N/m² is the solar radiation pressure at a distance of 1 AU from the Sun. In Eq. (16), the sail assembly loading $\sigma_{\text{sa}} \triangleq m_{\text{sa}}/A$, usually measured in grams per square meter, is defined as the ratio between the sail assembly mass m_{sa} and the sail reflective area A . According to Dachwald (2005), σ_{sa} is the key parameter for the efficiency of the solar sail's structural design. In particular, a goal value of the sail assembly loading for an advanced, near-term, solar sail is about 10 g/m². Currently, realistic values of σ_{sa} are on the order of 25–30 g/m² (Ceriotti and McInnes, 2011).

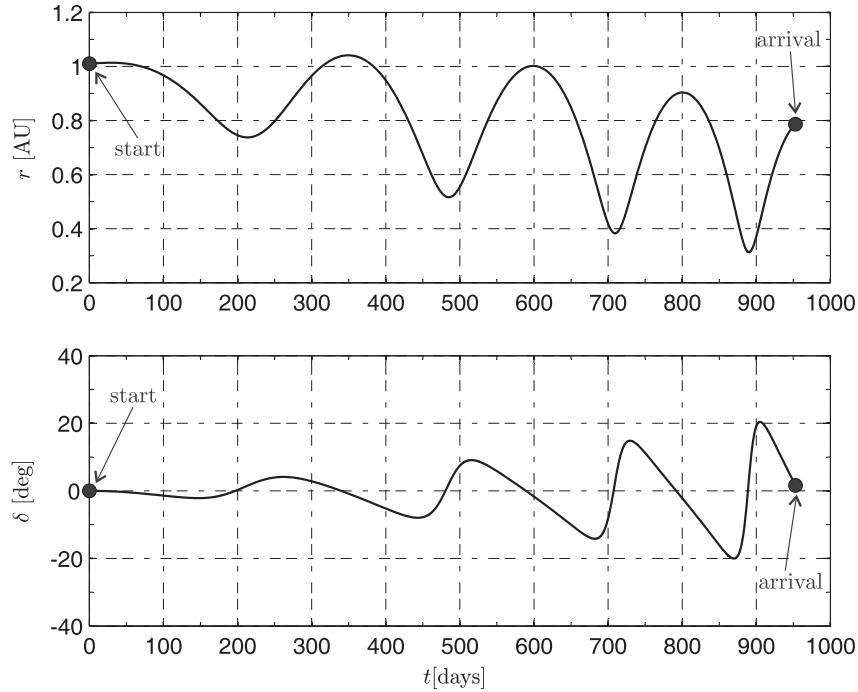


Fig. 5. Sun-spacecraft distance r and ecliptic declination δ vs. time (with $m_0 = 1000$ kg, $P_{\oplus} = 10$ kW, $r_p = 0.3$ AU, and $r_a = 0.8$ AU).

Table 1

Mission performance as a function of the injected mass m_0 (with $P_{\oplus} = 10$ kW, $r_p = 0.3$ AU, $r_a = 0.8$ AU, and $i_1 = 24$ deg).

| m_0 [kg] | t_1 [days] | m_p/m_0 | m_p [kg] | a_c [mm/s ²] | σ_{sa} [g/m ²] |
|------------|--------------|-----------|------------|----------------------------|-----------------------------------|
| 550 | 509.7 | 0.4243 | 233.3 | 0.7727 | 5.01 |
| 600 | 550.2 | 0.4198 | 251.9 | 0.7236 | 5.29 |
| 650 | 601.7 | 0.4239 | 275.5 | 0.6888 | 5.61 |
| 700 | 658.6 | 0.4308 | 301.5 | 0.5800 | 6.77 |
| 750 | 712.8 | 0.4351 | 326.3 | 0.5180 | 7.66 |
| 800 | 749.9 | 0.4292 | 343.4 | 0.4953 | 7.90 |
| 850 | 793.2 | 0.4273 | 363.2 | 0.4722 | 8.25 |
| 900 | 843.4 | 0.4291 | 386.1 | 0.4606 | 8.49 |
| 950 | 902.9 | 0.4351 | 413.4 | 0.4321 | 9.18 |
| 1000 | 952.9 | 0.4363 | 436.2 | 0.3898 | 10.20 |
| 1050 | 998.1 | 0.4352 | 457.0 | 0.3752 | 10.57 |
| 1100 | 1031.2 | 0.4292 | 472.1 | 0.3656 | 10.70 |
| 1150 | 1080.7 | 0.4303 | 494.8 | 0.3571 | 10.99 |
| 1200 | 1135.6 | 0.4333 | 519.9 | 0.3265 | 12.10 |
| 1250 | 1187.0 | 0.4348 | 543.5 | 0.3151 | 12.58 |
| 1300 | 1240.1 | 0.4368 | 567.7 | 0.3081 | 12.93 |
| 1350 | 1307.4 | 0.4434 | 598.6 | 0.2828 | 14.29 |
| 1400 | 1319.2 | 0.4314 | 604 | 0.2808 | 14.01 |
| 1450 | 1371.7 | 0.4331 | 628.1 | 0.2723 | 14.50 |
| 1500 | 1432.8 | 0.4373 | 656 | 0.2659 | 15.00 |
| 1600 | 1511.1 | 0.4324 | 691.8 | 0.2454 | 16.07 |
| 1700 | 1612.4 | 0.4342 | 738.3 | 0.2338 | 16.93 |
| 1800 | 1720 | 0.4375 | 787.5 | 0.2163 | 18.44 |

When a ideal solar sail is considered in the trajectory simulations, the (minimum) values of the characteristic acceleration shown in the second last column of Tables 1–4 are obtained. For example, the simulations show that an ideal solar sail with a characteristic acceleration $a_c \approx 0.375$ mm/s², could perform a transfer towards a target orbit with $r_p = 0.3$ AU, $r_a = 0.8$ AU, and $i_1 = 24$ deg, in

Table 2

Mission performance as a function of the initial solar array output power P_{\oplus} (with $m_0 = 1000$ kg, $r_p = 0.3$ AU, $r_a = 0.8$ AU, and $i_1 = 24$ deg).

| P_{\oplus} [kW] | t_1 [days] | m_p/m_0 | a_c [mm/s ²] | σ_{sa} [g/m ²] |
|-------------------|--------------|-----------|----------------------------|-----------------------------------|
| 5.5 | 1278.6 | 0.5125 | 0.2880 | 16.22 |
| 6 | 1134.7 | 0.4895 | 0.3267 | 13.66 |
| 6.5 | 1044.6 | 0.4713 | 0.3619 | 11.87 |
| 7 | 1004.6 | 0.46 | 0.3733 | 11.23 |
| 7.5 | 1003 | 0.4592 | 0.3737 | 11.20 |
| 8 | 987.2 | 0.4520 | 0.3786 | 10.88 |
| 8.5 | 964.8 | 0.4418 | 0.3858 | 10.44 |
| 9 | 959.7 | 0.4394 | 0.3875 | 10.34 |
| 9.5 | 952.9 | 0.4363 | 0.3898 | 10.20 |
| 10 | 952.9 | 0.4363 | 0.3898 | 10.20 |

about 1000 days, see Table 1. The same mission can be completed by a SEP spacecraft, using a realistic propulsion system, with a final mass fraction of about 56.47%. From the definition of sail characteristic acceleration in Eq. (16), one can derive, for the same mass fraction, an assembly loading of about 10.6 g/m². In other terms, this mission scenario requires an advanced, near-term solar sail in order to release, on the operational orbit, the same final mass fraction of a SEP spacecraft.

Note, however, that the value of the sail loading is not conservative, because an ideal sail force model was used in the simulations. If a realistic sail force model (that is, a model that consider both the sail film optical properties and the sail actual shape) was considered, the value of the required sail assembly loading would decrease with respect to the ideal case. Of course, the value of the (corresponding) sail assembly loading changes with the total

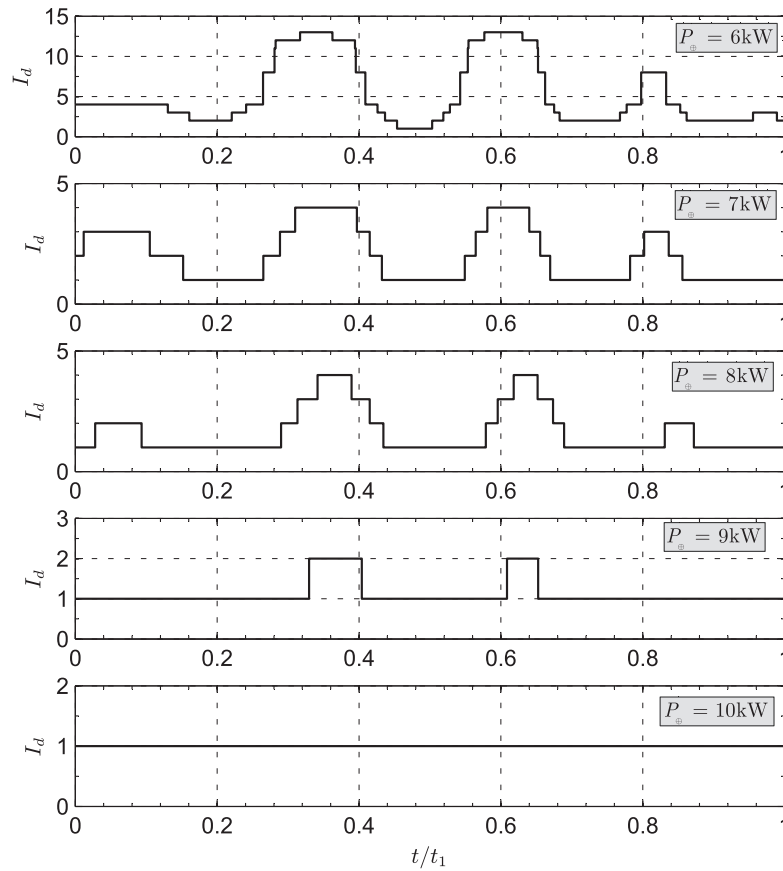


Fig. 6. Thruster operation point I_d vs. time as a function of the initial solar array output power P_{\odot} (with $m_0 = 1000$ kg, $r_p = 0.3$ AU, $r_a = 0.8$ AU, and $i_1 = 24$ deg).

Table 3
Mission performance as a function of i_1 (with $m_0 = 1000$ kg, $P_{\odot} = 10$ kW, $r_p = 0.3$ AU, and $r_a = 0.8$ AU).

| i_1 [deg] | t_1 [days] | m_p/m_0 | a_c [mm/s ²] | σ_{sa} [g/m ²] |
|-------------|--------------|-----------|----------------------------|-----------------------------------|
| 0 | 673.4 | 0.3083 | 0.4058 | 6.92 |
| 5 | 693.6 | 0.3176 | 0.4015 | 7.21 |
| 10 | 732.9 | 0.3355 | 0.4355 | 7.02 |
| 15 | 788.3 | 0.3609 | 0.4015 | 8.19 |
| 20 | 873.9 | 0.4001 | 0.4034 | 9.04 |
| 25 | 965.8 | 0.4422 | 0.3924 | 10.27 |
| 30 | 1052.8 | 0.4820 | 0.4048 | 10.85 |

Table 4
Mission performance as a function of r_a (with $m_0 = 1000$ kg, $P_{\odot} = 10$ kW, $r_p = 0.3$ AU, and $i_1 = 24$ deg).

| r_a [AU] | t_1 [days] | m_p/m_0 | a_c [mm/s ²] | σ_{sa} [g/m ²] |
|------------|--------------|-----------|----------------------------|-----------------------------------|
| 0.3 | 1240 | 0.5679 | 0.2614 | 19.81 |
| 0.4 | 1151.5 | 0.5272 | 0.2884 | 16.66 |
| 0.5 | 1078.6 | 0.4938 | 0.3179 | 14.16 |
| 0.6 | 1018.8 | 0.4665 | 0.3551 | 11.98 |
| 0.7 | 969.7 | 0.444 | 0.3756 | 10.78 |
| 0.8 | 952.9 | 0.4363 | 0.3898 | 10.20 |
| 0.9 | 914.5 | 0.4187 | 0.4108 | 9.29 |
| 1 | 893.5 | 0.4091 | 0.4260 | 8.75 |

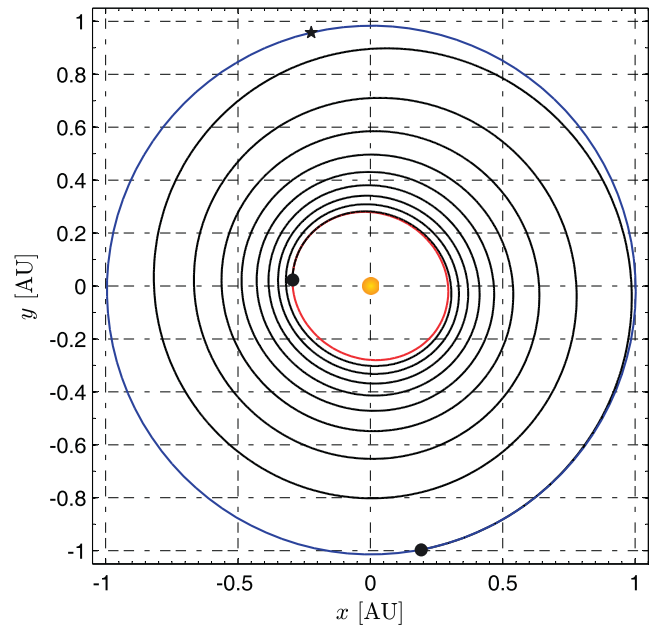


Fig. 7. Ecliptic projection of the optimal transfer trajectory when $m_0 = 1000$ kg, $P_{\odot} = 10$ kW, $r_p = r_a = 0.3$ AU, and $i_1 = 24$ deg.

flight time and the mission scenario, as shown in the last column of Tables 1–4.

Finally, taking into account that for a given target orbit $a_c = a_c(t_1)$ is a monotonic decreasing function, it is noteworthy to observe that the discussed results are consistent with those of an optimization analysis in which both the flight time is minimized and the sail characteristic acceleration is fixed. In other terms, in a mission scenario in which a flat, ideal, solar sail of characteristic acceleration $a_c \simeq 0.375 \text{ mm/s}^2$ reaches a target orbit with $r_p = 0.3 \text{ AU}$, $r_a = 0.8 \text{ AU}$, and $i_1 = 24 \text{ deg}$, the minimum flight time is indeed $t_1 = 1000 \text{ days}$.

4. Conclusions

The design of high-energy space physics missions offer the intriguing opportunity to explore the capabilities of advanced electric propulsion systems and exotic propulsion technologies as (photonic) solar sail. This paper investigates minimum time optimal direct transfer scenarios for a mission to the circumsolar space, in which a solar electric propelled spacecraft enters an elliptical highly inclined orbit around the Sun with a perihelion radius of 0.3 AU (about 65 solar radii). A comparison of the performance between a solar electric and a solar sail propelled spacecraft in this high energy mission scenario, is also discussed.

Using an indirect approach, a number of time-optimal direct transfer trajectories have been simulated, and the resulting data have been collected in graphs and tables for a trade-off analysis of the main mission parameters. Taking into account the actual performance of an advanced electric propulsion system (the NASA Evolutionary Xenon Thruster), the simulations show that a spacecraft with an injected mass of 1000 kg reaches a target orbit of inclination 24 deg and aphelion radius 0.8 AU in about 2.6 years. In this mission scenario, the final spacecraft mass is slightly greater than the 56% of the injected mass. This rather small value could be increased, at the expense of an increased flight time, by including, in the performance index, a term depending on the final spacecraft mass. On the other hand, a transfer trajectory that minimizes only the propellant consumption, should be time-constrained. Therefore, the results of the minimum-time problem ensure that the time-constraint in a fuel-optimal problem is feasible. The use of optimal control theory has provided an optimal switching law for the operation point of the engine, showing substantially different behaviors depending on the available power. This situation can correspond to an intentionally undersized power system or to a partial failure.

A natural extension of the analysis discussed in this paper, is to explore the influence of the launch C_3 on the flight time and required propellant mass through a sensitivity analysis. On the other hand, one or more gravity-assist maneuvers, whose aim is to reduce the propellant consumption as in the case of the ESA's Solar Orbiter mission study, can be included in the trajectory optimization pro-

cess. However, a multiple gravity assist trajectory places additional constraints related to the planetary ephemerides, whereas a direct transfer offers a greater flexibility in the launch window selection.

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