

## LARGEST MINIMAL INVERSION-COMPLETE AND PAIR-COMPLETE SETS OF PERMUTATIONS

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ABSTRACT. We solve two related extremal problems in the theory of permutations. A set  $Q$  of permutations of the integers 1 to  $n$  is inversion-complete (resp., pair-complete) if for every inversion  $(j, i)$ , where  $1 \leq i < j \leq n$ , (resp., for every pair  $(i, j)$ , where  $i \neq j$ ) there exists a permutation in  $Q$  where  $j$  is before  $i$ . It is minimally inversion-complete if in addition no proper subset of  $Q$  is inversion-complete; and similarly for pair-completeness. The problems we consider are to determine the maximum cardinality of a minimal inversion-complete set of permutations, and that of a minimal pair-complete set of permutations. The latter problem arises in the determination of the Carathéodory numbers for certain abstract convexity structures on the  $(n - 1)$ -dimensional real and integer vector spaces. Using Mantel's Theorem on the maximum number of edges in a triangle-free graph, we determine these two maximum cardinalities and we present a complete description of the optimal sets of permutations for each problem. Perhaps surprisingly (since there are twice as many pairs to cover as inversions), these two maximum cardinalities coincide whenever  $n \geq 4$ .

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We consider the following extremal problems in the theory of permutations. Given integer  $n \geq 2$ , let  $S_n$  denote the symmetric group of all permutations of  $[n] := \{1, 2, \dots, n\}$  (so  $|S_n| = n!$ ), and  $A_n = \{(i, j) : i, j \in [n], i \neq j\}$  the set of all (ordered) pairs from  $[n]$  (so  $|A_n| = n(n-1)$ ). A permutation  $\pi = (\pi(1), \dots, \pi(n))$  covers the pair  $(\pi(k), \pi(l)) \in A_n$  iff  $k < l$ . An *inversion* (see, e.g., [1, 4, 5]) is a pair  $(j, i) \in A_n$  with  $j > i$ . Let  $I_n \subset A_n$  denote the set of all inversions. A set  $Q \subseteq S_n$  of permutations is *inversion-complete* (resp., *pair-complete*) if every inversion in  $I_n$  (resp., pair in  $A_n$ ) is covered by at least one permutation in  $Q$ . An inversion-complete set  $Q$  is *minimally* inversion-complete if no proper subset of  $Q$  is inversion-complete; and similarly for pair-completeness. For example, the set  $Q' = \{\text{rev}_n\}$ , where (using compact notation for permutations)  $\text{rev}_n = n(n-1)\dots 21$  is the reverse permutation, is minimally inversion-complete, and has minimum cardinality for this property; whereas the set  $P' = \{\text{id}_n, \text{rev}_n\}$ , where  $\text{id}_n = 12\dots n$  is the identity permutation, is minimally pair-complete, and has minimum cardinality.

We determine the *maximum* cardinality  $\gamma_I(n)$  of a minimal inversion-complete subset  $Q \subseteq S_n$ , as well as the maximum cardinality  $\gamma_P(n)$ , of a minimal pair-complete subset  $P \subseteq S_n$ . The latter problem arose in the determination of the Carathéodory numbers for the integral  $L^\natural$  convexity structures on the  $(n-1)$ -dimensional real and integer vector spaces  $\mathbb{R}^{n-1}$  and  $\mathbb{Z}^{n-1}$ , see [7].<sup>1</sup> It was posed by the second author as “An Integer Programming Formulation Challenge” at the Integer Programming Workshop, Valparaiso, Chile, March 11-14, 2012.

Stimulated by personal communication of an early version of our results, Malvenuto et al. [2] determine the exact value of, or bounds on, the maximum cardinality of minimal inversion-complete sets in more general classes of finite reflection groups.

Perhaps unexpectedly (since there are twice as many pairs to cover as inversions), the maximum cardinalities  $\gamma_I(n)$  and  $\gamma_P(n)$  considered herein are equal for all  $n \geq 4$  (and they only differ by one unit, viz.,  $\gamma_P(n) = \gamma_I(n) + 1$ , for  $n = 2$  and 3). Furthermore, for all  $n \geq 4$  the family  $\mathcal{Q}_n^*$  of all maximum-cardinality minimal inversion-complete subsets of  $S_n$  is *strictly* contained in the family  $\mathcal{P}_n^*$  of all maximum-cardinality minimal pair-complete subsets. All our proofs are constructive and produce corresponding optimal sets of permutations.

In Section 1 we prove:

- Theorem 1.** (i) For every  $n \geq 2$ , the maximum cardinality of a minimal inversion-complete subset of  $S_n$  is  $\gamma_I(n) = \lfloor n^2/4 \rfloor$ .
- (ii) For every even  $n \geq 4$ , the family  $\mathcal{Q}_n^*$  of all maximum-cardinality minimal inversion-complete subsets of  $S_n$  is the family of all transversals of a family of  $n^2/4$  pairwise disjoint subsets of  $S_n$ , each of cardinality  $\left[\left(\frac{n}{2} - 1\right)!\right]^2$ , and thus  $|\mathcal{Q}_n^*| = \left[\left(\frac{n}{2} - 1\right)!\right]^{n^2/2}$ .
- (iii) For every odd  $n \geq 5$ ,  $\mathcal{Q}_n^*$  is the disjoint union of the families of all transversals of two families, each one of  $\lfloor n^2/4 \rfloor$  pairwise disjoint subsets of  $S_n$  of cardinality  $\left(\lfloor \frac{n}{2} \rfloor - 1\right)! \lfloor \frac{n}{2} \rfloor!$ , and thus  $|\mathcal{Q}_n^*| = 2 \left[\left(\lfloor \frac{n}{2} \rfloor - 1\right)! \lfloor \frac{n}{2} \rfloor!\right]^{\lfloor n^2/4 \rfloor}$ .

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<sup>1</sup>We refer the curious reader to van de Vel’s monograph [9] for a general introduction to convexity structures and convexity invariants (such as the Carathéodory number), and to Murota’s monograph [6] on various models of discrete convexity, including  $L^\natural$  and related convexities.

To prove Theorem 1, we first establish the upper bound  $\gamma_I(n) \leq \lfloor n^2/4 \rfloor$  by applying Mantel's Theorem (which states, [3, 8], that the maximum number of edges in an  $n$ -vertex triangle-free graph is  $\lfloor n^2/4 \rfloor$ ) to certain "critical selection graphs" associated with the minimal inversion-complete subsets of  $S_n$ . We then show that this upper bound is attained by the families of transversals described in parts (ii)–(iii). We complete the proof by showing that, for  $n \geq 4$ , every  $Q \in \mathcal{Q}_n^*$  must be such a transversal. Note that these results imply the asymptotic growth rate  $|\mathcal{Q}_n^*| = 2^{\theta(n^3 \log n)}$  as  $n$  grows.

In Section 2 we prove:

- Theorem 2.** (i) For every integer  $n \geq 2$ , the maximum cardinality of a minimal pair-complete subset of  $S_n$  is  $\gamma_P(n) = \max \{n, \lfloor n^2/4 \rfloor\}$ .  
(ii) For all  $n \geq 5$  the set  $\mathcal{P}_n^*$  of maximum-cardinality minimal pair-complete subset of  $S_n$  is equal to the set  $\tau \circ \mathcal{Q}_n^*$  resulting from applying every possible permutation  $\tau \in S_n$  of the index set  $[n]$  to each  $Q \in \mathcal{Q}_n^*$ .  
(iii) For all  $n \geq 5$  there is a one-to-one correspondence between  $\mathcal{P}_n^*$  and the Cartesian product  $\binom{[n]}{\lfloor n/2 \rfloor} \times \mathcal{Q}_n^*$ , where  $\binom{[n]}{\lfloor n/2 \rfloor}$  is the family of all subsets  $S \subset [n]$  with cardinality  $|S| = \lfloor n/2 \rfloor$ .

The intuition for the formula  $\gamma_P(n) = \max \{n, \lfloor n^2/4 \rfloor\}$  in part (i) is that it suffices to consider two classes of minimal pair-complete subsets:

- (1) the subsets  $P$  (each of cardinality  $n$ ) formed by the  $n$  circular shifts of any given permutation  $\pi \in S_n$ , i.e.,  $P = \{\pi, \pi \circ \sigma, \pi \circ \sigma^2, \dots, \pi \circ \sigma^{n-1}\}$ , where the (forward) circular shift  $\sigma \in S_n$  is defined by  $\sigma(i) = (i \bmod n) + 1$  for all  $i \in [n]$ ; and
- (2) the subsets  $P = \tau \circ Q$  (each of cardinality  $\lfloor n^2/4 \rfloor$ ) defined in part (ii) of Theorem 2.

The characterization in part (ii) of Theorem 2 only implies that  $|\mathcal{P}_n^*| \leq n! |\mathcal{Q}_n^*|$ , because different pairs  $(\tau, Q)$  may give rise to the same set  $\tau \circ Q$  (as will be seen, for example, in Remark 2 at the end of this paper, with the "class-(2) subsets" for the case  $n = 4$  therein). Part (iii), on the other hand, refines the preceding result using a "canonical" permutation  $\tau_W$  induced by a balanced partition  $\{W, \overline{W}\}$  of the index set  $[n]$  (i.e., with  $|W|$  or  $|\overline{W}| = \lfloor n/2 \rfloor$ , a consequence of Mantel's Theorem). This implies that  $|\mathcal{P}_n^*| = \binom{n}{\lfloor n/2 \rfloor} |\mathcal{Q}_n^*|$  for  $n \geq 5$ . Thus, although  $|\mathcal{P}_n^*| > |\mathcal{Q}_n^*|$  for all  $n \geq 5$ , their asymptotic growth rate (as  $n$  grows) are similar, differing only in lower order terms in the exponent  $\theta(n^3 \log n)$ .

## 1. MINIMAL INVERSION-COMPLETE SETS OF PERMUTATIONS

In this Section we prove Theorem 1 and present a characterization of the family  $\mathcal{Q}_n^*$  of all maximum-cardinality minimal inversion-complete subsets of  $S_n$ . For  $n = 2$ , there is a single inversion  $(2, 1)$ , which is covered by the reverse permutation  $21$ , so part (i) of Theorem 1 trivially holds and  $\mathcal{Q}_2^* = \{21\}$ . Hence assume  $n \geq 3$  in the rest of this Section.

Consider any minimal inversion-complete subset  $Q$  of  $S_n$ . Since  $Q$  is *minimally* inversion-complete, for every permutation  $\pi \in Q$  there exists an inversion  $(j, i) \in I_n$ , called a *critical inversion*, which is covered by  $\pi$  and by no permutation in  $Q \setminus \{\pi\}$  (for otherwise  $Q \setminus \{\pi\}$  would also be inversion-complete, and thus  $Q$  would not be minimally inversion-complete). For every permutation  $\pi \in Q$ , select *one*

critical inversion that it covers (arbitrarily chosen if  $\pi$  covers more than one critical inversion). Let  $q_{j,i}$  denote the unique permutation in  $Q$  that covers the selected critical inversion  $(j, i)$ . Consider a corresponding *critical selection graph*  $G_Q = ([n], E_Q)$ , where  $E_Q$  is the set of these  $|Q|$  selected critical inversions (one for each permutation in  $Q$ ), considered as undirected edges. Thus  $|E_Q| = |Q|$ .

Recall that a graph  $G$  is *triangle-free* if there are no three distinct vertices  $i, j$  and  $k$  such that all three edges  $\{i, j\}$ ,  $\{i, k\}$  and  $\{j, k\}$  are in  $G$ .

**Lemma 1.** *If subset  $Q \subseteq S_n$  is minimally inversion-complete, then every corresponding critical selection graph  $G_Q$  is triangle-free.*

*Proof.* Assume  $Q \subseteq S_n$  is minimally inversion-complete, and let  $G_Q = ([n], E_Q)$  be a corresponding critical selection graph. We need to show that, if  $E_Q$  contains two adjacent edges  $\{i, j\}$  and  $\{j, k\}$ , then it cannot contain edge  $\{i, k\}$ . Thus assume that  $\{i, j\}$  and  $\{j, k\} \in E_Q$  and, without loss of generality, that  $i < k$ . We want to show that  $(k, i)$  cannot be a selected critical inversion. We consider the possible relative positions of index  $j$  relative to  $i$  and  $k$ :

- If  $j < i < k$ , i.e., both  $(i, j)$  and  $(k, j)$  are selected critical inversions, then  $q_{k,j}$  cannot cover  $(i, j)$  and therefore we must have  $k$  before  $j$  before  $i$  in  $q_{k,j}$  (that is, these three indices must be in positions  $\pi^{-1}(i) < \pi^{-1}(j) < \pi^{-1}(k)$  in  $\pi = q_{k,j}$ ). This implies that  $(k, i)$  cannot be a selected critical inversion.
- If  $i < k < j$ , i.e., both  $(j, i)$  and  $(j, k)$  are selected critical inversions, then this is dual (in the order-theoretic sense) to the previous case:  $q_{j,i}$  cannot cover  $(j, k)$  and therefore we must have  $k$  before  $j$  before  $i$  in  $q_{j,i}$ , implying that  $(k, i)$  cannot be a selected critical inversion.
- Else  $i < j < k$ , i.e., both  $(j, i)$  and  $(k, j)$  are selected critical inversions. In every permutation  $\pi \in Q \setminus \{q_{j,i}, q_{k,j}\}$  we must have  $i$  before  $j$  before  $k$ . But then  $(k, i)$  cannot be a selected critical inversion, since it can only be covered in  $Q$  by  $q_{j,i}$  or  $q_{k,j}$ , for each of which another critical inversion has been selected.

Therefore,  $(k, i)$  cannot be a selected critical inversion. This implies that no three indices  $i, j$  and  $k$  can define a triangle in  $G_Q$ .  $\square$

Since  $|Q| = |E_Q|$ , Mantel's Theorem implies

**Corollary 2.** *For every  $n \geq 2$ , the maximum cardinality  $\gamma_I(n)$  of a minimal inversion-complete subset of  $S_n$  satisfies  $\gamma_I(n) \leq \lfloor n^2/4 \rfloor$ .*

We prove constructively that the upper bound in Corollary 2 is attained, i.e., that part (i) of Theorem 1 holds. For  $n = 3$ , we have 3 triangle-free graphs on vertex set  $\{1, 2, 3\}$ , each consisting of exactly two of the three possible edges. Consider the edge set  $E' = \{\{1, 2\}, \{1, 3\}\}$ : if it is the edge set of a critical selection graph  $G_{Q'}$ , then we must have  $q'_{2,1} = 213 \in Q'$  (for otherwise,  $q'_{2,1}$  would also cover the inversion  $(3, 1)$ , contradicting that  $(3, 1)$  is also selected), and similarly  $q'_{3,1} = 312 \in Q'$ . Thus  $Q'$  must be the set  $\{213, 312\}$ , which is indeed inversion-complete, and thus a largest minimal inversion-complete subset of  $S_3$ . This implies that  $\gamma_I(n) = 3 = \lfloor \frac{n^2}{4} \rfloor$  holds for  $n = 3$ . Similarly, the edge sets  $E'' = \{\{1, 2\}, \{2, 3\}\}$  and  $E''' = \{\{1, 3\}, \{2, 3\}\}$  define the other two maximum-cardinality minimal inversion-complete subsets  $Q'' = \{213, 123\}$  and  $Q''' = \{231, 321\}$  of  $S_3$ . Thus  $\mathcal{Q}_3^* = \{Q', Q'', Q'''\}$  and  $|\mathcal{Q}_3^*| = 3$ .

Thus assume  $n \geq 4$  in the rest of this Section. We now introduce certain subsets of  $S_n$ , which we will use to show that the upper bound in Corollary 2 is attained, and to construct the whole set  $\mathcal{Q}^*$ . For every triple  $(i, c, j)$  of integers such that  $1 \leq i \leq c < j \leq n$ , let  $F_{i,c,j}$  denote the set of all permutations  $\pi \in S_n$  such that:

- $\pi(h) \leq c$  for all  $h < c$ ;
- $\pi(c) = j$ ;
- $\pi(c+1) = i$ ; and
- $\pi(k) \geq c+1$  for all  $k > c+1$ .

If  $c > 1$  the first two conditions imply that  $(\pi(1), \dots, \pi(c-1))$  is any permutation of  $[c] \setminus \{i\}$ ; and if  $c+1 < n$  the last two conditions imply that  $(\pi(c+2), \dots, \pi(n))$  is any permutation of  $\{c+1, \dots, n\} \setminus \{j\}$ . Thus the cardinality of  $F_{i,c,j}$  is  $(c-1)!(n-c-1)!$ . Note also that, for every  $\pi \in F_{i,c,j}$ ,  $(k, h) = (j, i)$  is the unique inversion  $(k, h)$  with  $h \leq c < k$  that is covered by  $\pi$ . Thus for every fixed  $c$  the sets  $F_{i,c,j}$  ( $1 \leq i \leq c < j \leq n$ ) are pairwise disjoint ( $F_{i,c,j} \cap F_{i',c,j'} = \emptyset$  whenever  $(i, j) \neq (i', j')$ ). Recall that, given a collection  $\mathcal{F}$  of sets, a *transversal* is a set containing exactly one element from each member of  $\mathcal{F}$ .

**Lemma 3.** *For every integers  $1 \leq c < n$ , every transversal  $T$  of the family  $\mathcal{F}_c = \{F_{i,c,j} : 1 \leq i \leq c < j \leq n\}$  is minimally inversion-complete.*

*Proof.* Given such a transversal  $T$ , let  $t_{i,j}$  denote the permutation in  $T \cap F_{i,c,j}$ . For every inversion  $(j, i) \in F_n$ , we consider the relative positions of  $i$  and  $j$  with respect to  $c$ :

- If  $i \leq c < j$ , then  $t_{i,j}$  is the unique permutation in  $T$  that covers the inversion  $(j, i)$ .
- If  $i < j \leq c$ , then the inversion  $(j, i)$  is covered by every  $t_{i,j'} \in T$  with  $j' > c$ .
- Else,  $c+1 \leq i < j$ , then the inversion  $(j, i)$  is covered by every  $t_{i',j} \in T$  with  $i' \leq c$ .

Therefore,  $T$  is inversion-complete and for every  $i \leq c < j$  the inversion  $(j, i)$ , covered by  $t_{i,j}$ , is critical. This implies that  $T$  is minimally inversion-complete.  $\square$

For a fixed  $c$  such that  $1 \leq c < n$ , there are  $c(n-c)$  subsets  $F_{i,c,j}$  (with  $i \leq c < j$ ) (and these subsets are nonempty and pairwise disjoint). Hence the cardinality of every transversal  $T$  satisfies  $|T| = |\mathcal{F}_c| = c(n-c) \leq \lfloor \frac{n^2}{4} \rfloor$ , with equality iff  $c \in \{\lfloor \frac{n}{2} \rfloor, \lceil \frac{n}{2} \rceil\}$ . Combining with Lemma 2, we obtain:

**Corollary 4.** *For every  $n \geq 4$ ,  $\gamma_I(n) = \lfloor n^2/4 \rfloor$  and, for every  $c \in \{\lfloor \frac{n}{2} \rfloor, \lceil \frac{n}{2} \rceil\}$ , every transversal  $T$  of the family  $\mathcal{F}_c = \{F_{i,c,j} : 1 \leq i \leq c < j \leq n\}$  is a maximum-cardinality minimal inversion-complete subset of  $S_n$ .*

Part (i) of Theorem 1 follows. To prove parts (ii) and (iii), we invoke the “strong form” of Mantel’s Theorem [3, 8]: an  $n$ -vertex triangle-free graph has the maximum number  $\lfloor n^2/4 \rfloor$  of edges iff it is a *balanced* bipartite graph, i.e., with  $\lfloor \frac{n}{2} \rfloor$  vertices on one side and  $\lceil \frac{n}{2} \rceil$  on the other.

**Lemma 5.** *For  $n \geq 4$ , a subset of  $S_n$  is a maximum-cardinality minimal inversion-complete subset iff it is a transversal of the family  $\mathcal{F}_c$  for some  $c \in \{\lfloor \frac{n}{2} \rfloor, \lceil \frac{n}{2} \rceil\}$ .*

*Proof.* Sufficiency was established by Lemma 3. To prove necessity, let  $n \geq 4$  and consider any  $Q \in \mathcal{Q}_n^*$  and a corresponding critical selection graph  $G_Q$ . By Lemma 1,

Corollary 4, and the strong form of Mantel's Theorem,  $G_Q$  is a balanced complete bipartite graph. We first claim that the side  $W$  of  $G_Q$  that contains index 1 must be  $W = [c]$  with  $c \in \{\lfloor \frac{n}{2} \rfloor, \lceil \frac{n}{2} \rceil\}$ . For this, consider (since  $n \geq 4$ ) any three indices  $i > 1$  in  $W$  and  $j < k$  on the other side. Thus  $(j, 1)$  and  $(k, 1)$  are critical inversions. Furthermore, the edges  $\{i, j\}$  and  $\{i, k\}$  in  $G_Q$  are also defined by critical inversions, which depend on the position of index  $i$  relative to  $j$  and  $k$ :

- If  $1 < j < i < k$ , then  $(i, j)$  and  $(k, i)$  are critical inversions. Then every permutation  $\pi \in Q \setminus \{q_{j,1}, q_{i,j}, q_{k,i}\}$  has 1 before  $j$  before  $i$  before  $k$ , and thus does not cover the inversion  $(k, 1)$ . Thus  $(k, 1)$  cannot be a critical inversion, a contradiction.
- If  $1 < j < k < i$ , then  $(i, j)$  and  $(i, k)$  are critical inversions. On one hand, every permutation  $\pi \in Q' = Q \setminus \{q_{j,1}, q_{i,j}\}$  has 1 before  $j$  before  $i$ , and thus does not cover the inversion  $(i, 1)$ . Similarly, every permutation  $\pi \in Q'' = Q \setminus \{q_{k,1}, q_{i,k}\}$  has 1 before  $k$  before  $i$ , and thus does not cover the inversion  $(i, 1)$  either. Therefore  $Q = Q' \cup Q''$  does not cover the inversion  $(i, 1)$ , a contradiction.

This implies that we must have  $1 < i < j < k$ , i.e., that  $i < j$  for every  $i \in W$  and every  $j \in [n] \setminus W$ . This proves our claim that  $W = [c]$  for some  $c$  which, by the strong form of Mantel's Theorem, must be  $\lfloor \frac{n}{2} \rfloor$  or  $\lceil \frac{n}{2} \rceil$ .

As a consequence,  $Q = \{q_{j,i} : 1 \leq i \leq c < j \leq n\}$ . Every  $q_{j,i} \in Q$  must have  $j$  before  $i$ , and also  $h$  before  $j$  for every  $h \in [c] \setminus \{i\}$  (for otherwise  $q_{j,i}$  would also cover the inversion  $(j, h)$ , contradicting the fact that  $q_{j,h}$  is the unique permutation in  $Q$  that covers  $(j, h)$ ) and  $i$  before  $k$  for every  $k \in \{c+1, \dots, n\} \setminus \{j\}$  (for otherwise  $q_{j,i}$  would also cover the inversion  $(k, i)$ ). Therefore  $q_{j,i} \in F_{i,c,j}$ , and thus  $Q$  is a transversal of  $\mathcal{F}_c$ . The proof is complete.  $\square$

Parts (ii) and (iii) of Theorem 1 now follow, noting that: (1)  $\mathcal{F}_c$  consists of  $c(n-c)$  pairwise disjoint subsets  $F_{i,c,j}$ ; (2)  $c \in \{\lfloor \frac{n}{2} \rfloor, \lceil \frac{n}{2} \rceil\}$ ; and (3) for  $n$  odd, all subsets  $F_{i, \lfloor n/2 \rfloor, j}$  and  $F_{i', \lceil n/2 \rceil, j'}$  are pairwise disjoint (indeed, with  $n$  odd, every  $\pi \in F_{i, \lfloor n/2 \rfloor, j}$  has  $\pi(c+1) \in [c]$  while every  $\pi \in F_{i', \lceil n/2 \rceil, j'}$  has  $\pi(c+1) \in [n] \setminus [c]$ ).

**Remark 1.** Thus we have  $\mathcal{Q}_2^* = 1$ ,  $\mathcal{Q}_3^* = 3$ ,  $\mathcal{Q}_4^* = 1$ ,  $\mathcal{Q}_5^* = 128$  and, as noted in the Introduction, the asymptotic growth rate  $|\mathcal{Q}_n^*| = 2^{\theta(n^3 \log n)}$ .

## 2. MINIMAL PAIR-COMPLETE SETS OF PERMUTATIONS

In this Section we prove Theorem 2. To simplify the presentation, let  $\mu(n) := \max\{n, \lfloor n^2/4 \rfloor\}$ . For  $n = 2$ , the unique cover of the two pairs  $(1, 2)$  and  $(2, 1)$  is  $S_2$  itself, hence  $\gamma_P(2) = 2 = \mu(2)$  and  $\mathcal{P}_2^* = \{S_2\}$ .

Note that, as for inversions, given any minimal pair-complete subset  $P$  of  $S_n$ , for every permutation  $\pi \in P$  there exists a *critical pair*  $(i, j) \in F_n$  which is covered by  $\pi$  and by no other permutation in  $P$ . Observe however that, in contrast with inversion-completeness, the notion of pair-completeness does not assume any particular order of the indices. Thus, if  $P \subseteq S_n$  is (minimally) pair-complete then for any permutation  $\tau \in S_n$  of the index set  $[n]$ , the set  $\tau \circ P = \{\tau \circ \pi : \pi \in P\}$  is also (minimally) pair-complete. (Indeed,  $\pi$  covers  $(i, j)$  iff  $\tau \circ \pi$  covers  $(\tau(i), \tau(j))$ .)

For  $n = 3$  consider the set  $P_3 := \{123, 231, 312\}$ . It is easily verified that  $P_3$  is pair-complete and the pairs  $(1, 3)$ ,  $(2, 1)$  and  $(3, 2)$  are critical pairs covered by the permutations 123, 231 and 312, respectively. Hence  $P_3 \in \mathcal{P}_3^*$  and thus

$\gamma_P(3) \geq |P_3| = 3 = \mu(3)$ . To verify the converse inequality, viz.,  $\gamma_P(3) \leq \mu(3)$ , consider any  $P \in \mathcal{P}_3^*$ : by the preceding observation, we may assume, w.l.o.g., that  $P$  contains the identity permutation  $\pi_1 = \text{id}_3$ . This permutation  $\pi_1$  covers all three pairs  $(i, j)$  with  $i < j$ . Then the permutation  $\pi_2 \in P$  that covers the pair  $(3, 1)$  must also (depending of the position of index 2) cover at least one of the pairs  $(2, 1)$  or  $(3, 2)$ . Hence there is at most one pair which is not covered by  $\{\pi_1, \pi_2\}$ , and thus  $\gamma_P(3) = |P| \leq 3 = \mu(3)$ , implying  $\gamma_P(3) = \mu(3)$ . Therefore part (i) of Theorem 2 holds for  $n \in \{2, 3\}$ .

**Lemma 6.** *If  $n \geq 4$ , for every permutation  $\tau \in S_n$  of the index set  $[n]$  and every maximum-cardinality minimal inversion-complete set  $Q \subset S_n$ , the set  $\tau \circ Q$  is minimally pair-complete.*

*Proof.* By a preceding observation, it suffices to prove that, for  $n \geq 4$ , every maximum-cardinality minimal inversion-complete set  $Q \in S_n$  is minimally pair-complete. By Lemma 5, every such  $Q$  must be a transversal of  $\mathcal{F}_c$  for some  $c \in \{\lfloor \frac{n}{2} \rfloor, \lceil \frac{n}{2} \rceil\}$ . Consider any pair  $(i, j) \in A_n$  and, w.l.o.g.,  $i < j$ :

- If  $i \leq c < j$  then  $q_{j,i} \in F_{i,c,j} \cap Q$  is the unique permutation in  $Q$  that covers the inversion  $(j, i)$ , and every other permutation in  $Q$  covers  $(i, j)$ .
- If  $i < j \leq c$  then, for every  $k \in \{c+1, \dots, n\}$ ,  $q_{k,i}$  covers  $(j, i)$  and  $q_{k,j}$  covers  $(i, j)$ .
- Else,  $c < i < j$  and, dually, for every  $h \in [c]$ ,  $q_{i,h}$  covers  $(i, j)$  and  $q_{j,h}$  covers  $(j, i)$ .

Therefore  $Q$  is pair-complete, and every pair  $(j, i)$  with  $i \leq c < j$  is critical and covered by  $q_{j,i} \in Q$ . Since  $|Q| = \lfloor \frac{n^2}{4} \rfloor = |\{(j, i) : 1 \leq i \leq c < j \leq n\}|$ ,  $Q$  is minimally pair-complete.  $\square$

**Corollary 7.** *For every  $n \geq 4$ , the maximum cardinality  $\gamma_P(n)$  of a minimal pair-complete subset of  $S_n$  satisfies  $\gamma_P(n) \geq \gamma_I(n) = \lfloor n^2/4 \rfloor$ .*

As we did for inversions, to every minimal pair-complete subset  $P$  of  $S_n$  and selection of a critical pair covered by each permutation in  $P$ , we associate a corresponding *critical selection graph*  $G_P = ([n], E_P)$  where  $E_P$  is the set of  $|P|$  selected critical pairs (one for each permutation in  $P$ ), considered as undirected edges. Thus  $|E_P| = |P|$ . Let  $p_{i,j}$  denote the unique permutation in  $P$  that covers the selected critical pair  $(i, j)$ .

**Lemma 8.** *If  $n \geq 4$  and  $P \subseteq S_n$  is minimally pair-complete, then every corresponding critical selection graph  $G_P$  is triangle-free.*

*Proof.* Assume  $n \geq 4$  and  $P \subseteq S_n$  is minimally pair-complete, and let  $G_P = ([n], E_P)$  be a corresponding critical selection graph. By Corollary 7,  $|P| \geq \lfloor n^2/4 \rfloor \geq 4$ . We have to show that for any three indices  $i, j, k$  such that  $\{i, j\}$  and  $\{j, k\} \in E_P$ , we must have  $\{i, k\} \notin E_P$ .

- First, consider the case where both pairs  $(i, j)$  and  $(j, k)$  are critical. In every permutation  $\pi \in P \setminus \{p_{i,j}, p_{j,k}\}$  we must thus have  $k$  before  $j$  before  $i$ . Since  $k$  is before  $i$  in all these  $|P| - 2 \geq 2$  permutations,  $(k, i)$  cannot be a critical pair. Furthermore  $(i, k)$  cannot be a selected critical pair, since it can only be covered by  $p_{i,j}$  and  $p_{j,k}$ , for each of which another critical pair has been selected. Therefore, as claimed, we cannot have  $\{i, k\}$  in  $E_P$ .

- A dual argument shows that if both  $(j, i)$  and  $(k, j)$  are selected critical pairs then  $\{i, k\} \notin E_P$ .
- Now consider the case where  $(j, i)$  and  $(j, k)$  are selected critical pairs. Since  $p_{j,i}$  does not cover  $(j, k)$ , we have  $k$  before  $j$  before  $i$  in  $p_{j,i}$ , implying that  $(k, i)$  cannot be a selected critical pair. Similarly,  $p_{j,k}$  does not cover  $(j, i)$  and therefore we must have  $i$  before  $j$  before  $k$  in  $p_{j,k}$ , implying that  $(i, k)$  cannot be a selected critical pair. Therefore, as claimed, we cannot have  $\{i, k\}$  in  $E_P$ .
- A dual argument applies to the remaining case, showing that if both  $(i, j)$  and  $(k, j)$  are selected critical pairs then  $\{i, k\} \notin E_P$ .

Thus we must have  $\{i, k\} \notin E_P$ . This completes the proof that  $G_P$  is triangle-free.  $\square$

These results and Mantel's Theorem imply part (i) of Theorem 2. They also imply that, for  $n \geq 4$ , all the sets  $\tau \circ Q$  in Lemma 6 are in  $\mathcal{P}_n^*$ . To complete the proof of part (ii) it now suffices to prove the converse for  $n \geq 5$ .

**Lemma 9.** *If  $n \geq 5$ , a subset  $P$  of  $S_n$  is a maximum-cardinality minimal pair-complete subset iff  $P = \tau \circ Q$  for some permutation  $\tau$  of the index set  $[n]$  and some maximum-cardinality minimal inversion-complete subset  $Q$  of  $S_n$ .*

*Proof.* Sufficiency was just established. To prove necessity, let  $n \geq 5$  and consider any  $P \in \mathcal{P}_n^*$  and a corresponding critical selection graph  $G_P$ . By Lemma 8, Theorem 2 (i), and the strong form of Mantel's Theorem,  $G_P$  is a balanced complete bipartite graph.

Now, also consider the associated critical selection *digraph* (directed graph)  $D_P = ([n], A_P)$ , wherein each edge  $\{i, j\}$  is directed as arc  $(i, j) \in A_P$  if the pair  $(i, j)$  is critical. If  $(i, j) \in A_P$ , then the reverse pair  $(j, i)$  must be covered by every permutation in  $P \setminus \{p_{i,j}\}$ ; since  $|P| - 1 = \lfloor n^2/4 \rfloor - 1 \geq 2$  when  $n \geq 5$ ,  $(j, i)$  cannot be critical, i.e.,  $(j, i) \notin A_P$ . Thus, every edge  $\{i, j\}$  in the underlying graph  $G_P$  of  $D_P$  corresponds to exactly one arc,  $(i, j)$  or  $(j, i)$ , in  $D_P$ . We now prove that when  $n \geq 5$  the digraph  $D_P$  is acyclic, i.e., it does not contain any (directed) circuit. Indeed, if  $D_P$  contains a directed path  $(i(1), \dots, i(k))$ , then every permutation  $\pi \in Q \setminus \{p_{i(1),i(2)}, p_{i(2),i(3)} \dots, p_{i(k-1),i(k)}\}$  has  $i(1)$  after  $i(2)$  after  $i(3)$ , etc, after  $i(k-1)$  after  $i(k)$ , and thus  $i(k)$  before  $i(1)$ . Since  $|P| - (k-1) \geq \lfloor \frac{n^2}{4} \rfloor - (n-1) \geq 2$  when  $n \geq 5$ , pair  $(i(k), i(1))$  cannot be critical, and thus  $(i(k), i(1)) \notin A_P$ .

Since digraph  $D_P$  is acyclic, there is at least one vertex  $i$  with in-degree zero. Since the underlying graph  $G_P$  is a balanced complete bipartite graph, the side  $W$  of  $G_Q$  that contains index  $i$  has cardinality  $|W| \in \{\lfloor \frac{n}{2} \rfloor, \lceil \frac{n}{2} \rceil\}$ . Consider any indices  $j \in W$  and  $k \neq l$  on the other side. Since vertex  $i$  has no entering arc, arcs  $(i, k)$  and  $(i, l)$  are in  $A_P$ , and we consider the possible orientations of the edges  $\{j, k\}$  and  $\{j, l\}$ :

- If both edges are oriented into  $j$ , i.e.,  $(k, j)$  and  $(l, j)$  in  $A_P$ , then, on one hand, every permutation  $\pi \in P' = P \setminus \{p_{k,j}, p_{l,j}\}$  has  $j$  before  $k$  before  $i$ , and thus does not cover the pair  $(i, j)$ . Similarly, every permutation  $\pi \in P'' = P \setminus \{p_{l,j}, p_{i,l}\}$  has  $j$  before  $l$  before  $i$ , and thus does not cover the pair  $(i, j)$  either. Therefore  $P = P' \cup P''$  does not cover the pair  $(i, j)$ , a contradiction.
- If one of these two edges is oriented into  $j$  and the other one from  $j$ , w.l.o.g.,  $(k, j)$  and  $(j, l)$  in  $A_P$ , then every permutation  $\pi \in P \setminus \{p_{j,l}, p_{k,j}, p_{i,k}\}$  has



$l$  before  $k$  before  $i$ , and thus does not cover the pair  $(i, l)$ . Thus  $(i, l)$  cannot be a critical inversion, a contradiction.

Thus we must have both  $(j, k)$  and  $(j, l)$  in  $A_P$ . This implies that all pairs  $(j, k)$  with  $j \in W$  and  $k \in \overline{W} := [n] \setminus W$  define arcs in  $A_P$ , i.e., are critical. Let  $c := |\overline{W}| \in \{\lfloor \frac{n}{2} \rfloor, \lceil \frac{n}{2} \rceil\}$  and consider any permutation  $\tau$  that sends  $[c]$  to  $\overline{W}$  (and thus  $\overline{[c]}$  to  $W$ ): every critical pair in  $Q = \tau^{-1} \circ P$  is an inversion, hence  $Q$  is minimally inversion-complete. Since  $|Q| = |P| = \gamma_P(n) = \gamma_I(n)$ , it has maximum cardinality. This completes the proof.  $\square$

It remains to prove:

**Lemma 10.** (Part (iii) of Theorem 2.) *For all  $n \geq 5$  there is a one-to-one correspondence between  $\mathcal{P}_n^*$  and the Cartesian product  $\binom{[n]}{\lfloor n/2 \rfloor} \times \mathcal{Q}_n^*$ .*

*Proof.* Assume  $n \geq 5$  and consider any  $P \in \mathcal{P}_n^*$ . In the proof of Lemma 9 we showed that there exists a unique balanced ordered partition  $(W, \overline{W})$  of  $[n]$  such that all critical pairs  $(j, k)$  of  $P$  have  $j \in W$  and  $k \in \overline{W}$ . Let again  $c := |\overline{W}| \in \{\lfloor \frac{n}{2} \rfloor, \lceil \frac{n}{2} \rceil\}$ , but now select the (unique) “canonical” permutation  $\tau_W$  associated with this ordered partition, that *monotonically* maps  $[c]$  to  $\overline{W}$  (i.e., such that  $1 \leq i < j \leq c$  implies  $\tau_W(i) \in \overline{W}$  and  $\tau_W(i) < \tau_W(j) \in \overline{W}$ ) and monotonically maps  $\overline{[c]} = \{c+1, \dots, n\}$  to  $W$  (i.e., such that  $c+1 \leq k < l \leq n$  implies  $\tau_W(k) \in W$  and  $\tau_W(k) < \tau_W(l) \in W$ ). Then, as also noted in the proof of Lemma 9, every critical pair in  $Q_P := \tau_W^{-1} \circ P$  is an inversion, and thus  $Q_P \in \mathcal{Q}_n^*$ .

Consider the mapping  $\Phi : \mathcal{P}_n^* \mapsto \binom{[n]}{\lfloor n/2 \rfloor} \times \mathcal{Q}_n^*$  defined by  $\Phi(P) = (\Phi(P)_1, \Phi(P)_2)$  with  $\Phi(P)_1 = \overline{W}$  if  $Q_P$  is a transversal of  $\mathcal{F}_{\lfloor n/2 \rfloor}$  (i.e., if  $|\overline{W}| = \lfloor n/2 \rfloor$ , where  $\{W, \overline{W}\}$  is the balanced ordered partition associated with  $P$ , as defined in the preceding paragraph), and  $\Phi(P)_1 = W$  otherwise (i.e., if  $n$  is odd and  $Q_P$  is a transversal of  $\mathcal{F}_{\lceil n/2 \rceil}$ , and thus  $|W| = \lfloor n/2 \rfloor$ ); and with  $\Phi(P)_2 = Q_P = \tau_W^{-1} \circ P$ . To complete the proof, it suffices to show that every pair  $(X, Q) \in \binom{[n]}{\lfloor n/2 \rfloor} \times \mathcal{Q}_n^*$  is the image  $\Phi(P)$  of exactly one  $P \in \mathcal{P}_n^*$ .

Thus consider any  $(X, Q) \in \binom{[n]}{\lfloor n/2 \rfloor} \times \mathcal{Q}_n^*$ . Recall that the balanced ordered partition associated with any transversal  $Q$  of  $\mathcal{F}_c$  is  $(\overline{[c]}, [c])$ .

If  $Q$  is a transversal of  $\mathcal{F}_{\lfloor n/2 \rfloor}$  then we use  $\overline{X}$  to play the role of  $W$ , that is, we let  $P := \tau_{\overline{X}} \circ Q$ , so  $P \in \mathcal{P}_n^*$  and  $\Phi(P)_2 = \tau_{\overline{X}}^{-1} \circ P = Q$ . The balanced ordered partition associated with  $P$  is  $(\tau_{\overline{X}} \circ \overline{[c]}, \tau_{\overline{X}} \circ [c]) = (\overline{X}, X)$ , and therefore  $\Phi(P)_1 = X$ . This implies that  $\Phi(P) = (X, Q)$ , as desired. Furthermore, consider any  $P' \in \mathcal{P}_n^*$  such that  $\Phi(P') = (X, Q)$ . Since  $Q_{P'} = \Phi(P')_2 = Q$  is a transversal of  $\mathcal{F}_{\lfloor n/2 \rfloor}$  and  $\Phi(P')_1 = X$ , the balanced ordered partition associated with  $P'$  is  $(W', \overline{W}') = (\overline{X}, X)$ . But then  $P' = \tau_{W'} \circ Q_{P'} = \tau_{\overline{X}} \circ Q = P$ . Therefore, for every  $(X, Q) \in \binom{[n]}{\lfloor n/2 \rfloor} \times \mathcal{Q}_n^*$  such that  $Q$  is a transversal of  $\mathcal{F}_{\lfloor n/2 \rfloor}$ , there exists exactly one  $P \in \mathcal{P}_n^*$  such that  $\Phi(P) = (X, Q)$ .

The proof for the remaining case, i.e., when  $n$  is odd and  $Q$  is a transversal of  $\mathcal{F}_{\lceil n/2 \rceil}$ , is similar, by simply exchanging the roles of  $X$  and  $\overline{X}$ . This shows that  $\Phi$  is a one-to-one correspondence from  $\mathcal{P}_n^*$  to  $\binom{[n]}{\lfloor n/2 \rfloor} \times \mathcal{Q}_n^*$ .  $\square$

The proof of Theorem 2 is complete

**Remark 2.** As seen at the beginning of Section 2,  $\mathcal{P}_2^* = 1 = \mathcal{Q}_2^*$ . For  $n = 3$  it can be verified that  $\mathcal{P}_3^*$  consists of the two orbits  $\{123, 312, 231\}$  and  $\{132, 213, 321\}$  of the circular shift, thus  $|\mathcal{P}_3^*| = 2$  (while  $|\mathcal{Q}_3^*| = 3$ ).

For  $n = 4$  we have two classes of maximum-cardinality minimal pair-complete subsets (mentioned in the introduction):

- (1) the  $3! = 6$  orbits  $P = \{\pi, \pi \circ \sigma, \pi \circ \sigma^2, \dots, \pi \circ \sigma^{n-1}\}$  of the circular shift  $\sigma$ , one for each permutation  $\pi = \rho 4$  (permutation  $\rho$  followed by 4) defined by each  $\rho \in S_3$ ; and
- (2) the  $\binom{4}{2} = 6$  distinct sets  $P = \tau \circ Q$  where  $Q$  is the (unique) maximum-cardinality minimal pair-complete subset of  $S_4$ , namely, the sets  $P_{i,j} = \{ijkl, ilkj, kjil, klij\}$  where  $1 \leq i < j \leq 4$  and  $\{k, l\} = [4] \setminus \{i, j\}$ .

Thus  $|\mathcal{P}_4^*| = 12$  (while  $|\mathcal{Q}_4^*| = 1$ ).

For  $n \geq 5$ , part (iii) of Theorem 2 implies that  $|\mathcal{P}_n^*| = \binom{n}{\lfloor n/2 \rfloor} |\mathcal{Q}_n^*|$ . Thus, for example,  $|\mathcal{P}_5^*| = 10 |\mathcal{Q}_5^*| = 128$ , and so on, with the same asymptotic growth rate  $|\mathcal{P}_n^*| = 2^{\theta(n^3 \log n)}$  as  $|\mathcal{Q}_n^*|$ .

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