

## Influenza vaccine effectiveness among high-risk groups: a systematic literature review and meta-analysis of case-control and cohort studies

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1 **Influenza vaccine effectiveness among high-risk groups: a systematic literature review and**  
2 **meta-analysis of case-control and cohort studies**

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40 **Abstract**

41 Vaccination represents the most effective intervention to prevent infection, hospitalization and  
42 mortality due to influenza. This meta-analysis quantifies data reporting influenza vaccine  
43 effectiveness (VE) on influenza visits and hospitalizations of case-control and cohort studies among  
44 high-risk groups.

45 A systematic literature review including original articles published between 2007 and 2016, using a  
46 protocol registered on Prospero with No. 42017054854, and a meta-analysis were conducted.

47 For three high-risk groups (subjects with underlying health conditions, pregnant women and health  
48 care workers) only a qualitative evaluation was carried out. The VE quantitative analysis  
49 demonstrated a clear significant overall effect of 39% (95%CI: 32%-46%) for visits and 57%  
50 (95%CI: 30%-74%) for hospitalization among children. Considering the elderly influenza VE had a

51 clear effect of 25% (95%CI: 6%-40%) for visits and 14% (95%CI: 7%-21%;  $p<0.001$ ) for  
52 hospitalization.

53 This study showed the high VE of influenza vaccination among high-risk groups, representing a  
54 tool for public health decision-makers to develop evidence-based preventive interventions to avoid  
55 influenza outcomes.

56

### 57 **Keywords**

58 Influenza, vaccine, effectiveness, children, elderly subjects, chronic disease, pregnancy, health care  
59 worker, hospitalization, visit.

60

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63

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65 The authors report no conflict of interest

66

### 67 **List of abbreviation**

68 ALRI = influenza-associated acute lower respiratory infections

69 GP = general practitioner

70 HCW = Health Care Worker

71 ILI = influenza-like illness

72 OR = Odds ratio

73 RR = Relative risk

74 SOT = solid organ transplant

75 SLR = systematic literature review

76 VE = vaccine effectiveness

77 WHO = World Health Organization

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## 92 **Introduction**

93 Influenza is a respiratory infectious disease responsible for thousands of infections, hospitalizations

94 and deaths worldwide.<sup>1-3</sup> Influenza viruses mainly affect lungs, higher and lower respiratory tract,

95 representing one of the main causes of deaths and hospitalization especially during winter seasons.

96 <sup>4,5</sup> In particular, higher morbidity and mortality rates were observed among the elderly, individuals

97 with underlying health conditions, children and pregnant, that are particularly at risk for developing

98 influenza complications, such as bacterial pneumonia.<sup>6-11</sup>

99 At the same time, health care workers (HCWs) represent a group at higher risk of contracting

100 influenza illness and transmitting the disease to their patients or to the general population.<sup>12-14</sup>

101 Reported estimates of influenza infection among HCWs each season are various (ranging from 20%

102 to 47.5%) and many of them continue working while infected,<sup>13-15</sup> favoring the spread of influenza

103 virus.<sup>13</sup> For these reasons, hospitalized patients could acquire influenza not only from other patients  
104 or visitors but also from hospital employees and only high influenza vaccination coverage of health  
105 care personnel could prevent nosocomial influenza transmission, reducing influenza-like illness  
106 (ILI) mortality among more frail patients.<sup>16,17</sup>

107 In general, influenza vaccination represents the most effective public health intervention to prevent  
108 seasonal influenza infection, hospitalization and mortality.<sup>18-21</sup> All the preventive policies and  
109 international guidelines regarding influenza vaccination are primarily focused on protection of  
110 individuals at higher risk, by vaccinating themselves or those who could infect them.<sup>19-21</sup>

111 The principal challenge of this systematic literature review is to analyze studies that reported  
112 influenza vaccine effectiveness (VE) data on reducing laboratory confirmed cases, hospitalization,  
113 morbidity or mortality due to influenza and to quantify its impact among high-risk groups.

114 In particular the data were separately discussed among the following major high-risk groups  
115 identified in literature: children, subjects with underlying health conditions at any age, pregnant  
116 women, HCWs, and the elderly.

117

## 118 **Results**

119

### 120 *Systematic literature review*

121 As illustrated in the flowchart (Figure 1), an initial number of 2,461 articles were retrieved through  
122 the selected databases. About one third of the manuscript (n=775/2,461) was identified as duplicates  
123 and removed. Through the initial screening of titles and abstracts 1,496 articles were excluded and  
124 overall 190 full text articles were assessed for eligibility. A total of 38 studies met all the inclusion  
125 criteria of which 13 were included in the qualitative synthesis, whereas 25 took place in the meta-  
126 analysis (quantitative synthesis). For three major high-risk groups, namely subjects with underlying  
127 health conditions, pregnant women and HCWs, only a qualitative evaluation was conducted. Of  
128 note subjects with underlying health condition hadn't the same comorbidities so they weren't

129 pooled together with meta-analysis. At the same time, both for two cohort studies about  
130 children/elderly and for case-control studies on pregnant women/HCWs (two studies for each high-  
131 risk group), only a qualitative analysis was performed due to limited data available to conduct a  
132 quantitative evaluation. Out of the 25 remaining studies, two quantitative synthesis analyses were  
133 conducted for the high-risk groups of children and older people (12 manuscripts for children, 9 for  
134 the elderly, 4 conducted in both the high-risk groups). Table 1 describes the studies included both in  
135 qualitative or quantitative synthesis. In particular, 69% (n=25/36) of them referred to hospitalized  
136 patients, while 47% (n=17/36) were conducted in pediatric settings. Furthermore, 83% (n=30/36) of  
137 selected studies confirmed influenza vaccination status by at least one objective source of  
138 information (registries, electronic dataset, etc) and 78% (n=28/36) were case control studies  
139 conducted by using the test-negative design.

140

#### 141 *Qualitative analysis*

##### 142 *Cohort studies conducted among children and the elderly*

143 Only two cohort studies examining effectiveness of influenza vaccine among children and the  
144 elderly were selected and included in the qualitative synthesis (Table 1). In particular, Szilagyi PG  
145 *et al* evaluated the effect of influenza vaccine on the number of outpatient visits and reported a VE  
146 range 7%-52% among children aged 6 to 59 months, during two consecutive influenza seasons  
147 (2003-2004 and 2004-2005) in three different American counties.<sup>22</sup> On the other hand, a  
148 retrospective cohort study conducted among Ontario residents aged  $\geq 65$  years from 1993-1994  
149 through 2007-2008 seasons reported 22% VE for all influenza-associated deaths, 25% VE for  
150 deaths occurring within 30 days after and 19% VE for influenza-associated pneumonia/influenza  
151 hospitalization, respectively.<sup>23</sup>

152

##### 153 *Subjects with underlying health conditions*

154 At the end of the revision process of studies that evaluated influenza VE in subjects with  
155 comorbidities, 5 case control and 2 cohort studies were selected and included in the qualitative  
156 analysis (Table 1). Cheng AC *et al* reported a 51.3% (95%CI: 40.7%-60.1%) reduction of  
157 hospitalization due to influenza disease in an Australian population (aged  $\geq 18$  years) with at least  
158 one chronic condition during 2014 season.<sup>24</sup> In Sidney, a reduction of 83.6% (95%CI: 27.6%-  
159 96.3%) for acute myocardial infarction hospitalization was reported, after influenza vaccination,  
160 among 599 adults with previous cardiovascular event from 2008 to 2010 influenza seasons.<sup>25</sup> Also,  
161 among a Spanish group of subjects aged 18 years or older with high-risk conditions, was reported  
162 an adjusted VE of 53% (95%CI: 4%-77%) in reducing hospitalizations during the 2010–2011  
163 influenza season.<sup>26</sup> Furthermore, a reduction of 49% (95%CI: 16%-69%) in hospitalization of a  
164 Dutch population 1-84 years old, with a diagnosis of laboratory confirmed A(H1N1)pdm09  
165 influenza and affected by at least one underlying medical condition (pulmonary or cardiac disease,  
166 diabetes mellitus, chronic kidney failure, cancer and immunocompromised condition), was  
167 observed in 2009-2010 season due to the adjuvanted pandemic vaccine,<sup>27</sup> as also documented by  
168 Andrews N *et al* in reducing outpatient visits in England (62%; 95%CI: 33%-78%).<sup>28</sup>  
169 On the other hand, with regard to cohort studies on influenza vaccination effectiveness, Emborg HD  
170 *et al* reported a reduction of 49% on general practitioners (GPs) consultation, as well as 44% in  
171 hospitalization of subjects <65 years old with underlying chronic diseases in Denmark.<sup>29</sup> Moreover,  
172 a study conducted among 64 Spanish solid organ transplant (SOT) recipient, reported an influenza  
173 VE of 85% (95%CI: 40%-97%) in reduction the hospitalizations during 2010-2011 season.<sup>30</sup>

174

#### 175 *Pregnant women*

176 The qualitative analysis included two manuscripts on influenza VE among pregnant women (Table  
177 1). A population based case control study conducted in California and Oregon evaluated prevention  
178 of Polymerase chain reaction confirmed influenza cases, in pregnancy, and reported, using



179 influenza-negative controls, a VE of 57% during the 2010-2011 season and 27% during the 2011-  
180 2012 season, respectively.<sup>31</sup>

181 Furthermore, a retrospective cohort study conducted in Western Australia among 34,701 pregnant  
182 women reported a VE of 81% (95%CI: 31%-95%) in decreasing emergency department visit for  
183 influenza and 65% reduction (95%CI: 3%-87%) in hospital admission of pregnant women, during  
184 the 2012 and 2013 influenza seasons.<sup>32</sup>

185

#### 186 *Health care workers*

187 After the revision process only two manuscripts concerning influenza VE among HCWs were  
188 included in the systematic review (Table 1). In detail, a case control study reported a VE of 90.5%  
189 (95%CI: 73.5%-97.3%) in reducing emergency department visit for influenza A(H1N1), among the  
190 employees of Sao João Hospital of Porto during 2009-2010 season.<sup>33</sup> Another study showed a VE  
191 of 70.5% in reducing influenza A(H1N1) hospitalization, among a cohort of Japanese HCWs during  
192 2009-2010 influenza season.<sup>34</sup>

193

#### 194 *Quantitative analysis*

195

#### 196 *Children*

197 Overall, 7 of the 16 studies included in the meta-analysis evaluated the VE against influenza visits,  
198 while 9 focused on influenza hospitalization among children aged 6 months to 18 years.

199 Considering outpatient or emergency department visits, VE demonstrated a clear significant overall  
200 effect of 39% (95%CI: 32%-46%) of influenza vaccines among cases when compared to control  
201 children (Figure 2). Since low heterogeneity was present between studies ( $I^2=48.1\%$ ;  $p=0.052$ ), for  
202 this analysis a fixed-effect model instead of a random-effect model was used.

203 On the other hand, studies evaluating the overall influenza hospitalization VE were analyzed using  
204 random effect model. Indeed, using inverse-variance weighting to calculate fixed and random

205 effects summary estimate, there was an higher moment base estimate between studies variance  
206 ( $\text{Chi}^2 = 0.40$ ;  $p < 0.001$ ). The analysis on influenza hospitalization VE among children (Figure 2)  
207 showed a clear overall effect of 57% (95%CI: 30%-74%;  $p < 0.001$ ) even if with a higher between  
208 studies heterogeneity ( $I^2 = 86.1\%$ ;  $p < 0.001$ ). To explain this phenomenon, a meta regression analysis  
209 was conducted including independent variables such as studies considering children (<9 years)  
210 vaccinated for the first time with at least two doses and hemisphere where the study was conducted.  
211 Moreover, other two independent variables integrated the meta regression analysis: mismatch  
212 between influenza A or B viruses included in vaccine and influenza viruses A or B circulating  
213 among cases and control. As a result, the log odds ratio of influenza hospitalization VE was  
214 estimated to decrease of 0.91 ( $p = 0.043$ ) among studies conducted in Northern hemisphere. The  
215 estimated between studies variance reduced from 0.40 to null.

216

#### 217 *Elderly subjects*

218 There was a clear effect of 25% (95%CI: 6%-40%;  $p = 0.012$ ) using fixed effect model, when  
219 considering the 3 studies included in meta-analysis on VE for influenza visits among the elderly,  
220 although the heterogeneity between studies was very low ( $I^2 = 0$ ;  $p = 0.864$ ) (Figure 3).

221 Additionally, among 10 studies considered about elderly a clear effect of 14% VE (95%CI: 7%-  
222 21%;  $p < 0.001$ ) was observed in reducing hospital admission due to influenza with low  
223 heterogeneity between studies ( $I^2 = 19.2\%$ ;  $p = 0.286$ ).

224

#### 225 *Risk of bias across studies*

226 The symmetry of the funnel plots was examined in order to search for possible publication bias or  
227 even heterogeneity. Asymmetry was found for studies reporting influenza hospitalization VE  
228 among children (Table 2).

229

#### 230 **Discussion**

231 This study provide an up-to-date review of VE on reducing measurable outcomes in health care,  
232 such as outpatient visits and hospitalization, among five of the most important high-risk groups to  
233 which was strongly recommended influenza vaccination.<sup>35</sup> Other reviews beforehand conducted,  
234 demonstrated that considerable variations could be observed in reported influenza VE estimates due  
235 to differences in circulating viral strains among countries, proportion of influenza strains within one  
236 region, type of vaccine used, age-specific vaccine coverage, type of population studied, season  
237 definition, case definition, ascertainment of vaccination status, differences in surveillance time-  
238 period, variables included or omitted in the statistical model, kind of model, and measured  
239 outcomes (admission, outpatient contact or infection).<sup>36-38</sup> For these reasons, our study aimed to  
240 generate different model of systematic literature review (SLR) according to high-risk group  
241 considered, and to systematize the differences between other variables that make changing  
242 influenza VE.

243

#### 244 *Qualitative analysis*

##### 245 *Subjects with underlying health conditions*

246 Subjects with underlying health conditions are recognized as a core group for influenza vaccination  
247 administration. Each co-morbidity represents a consistent increasing risk for influenza infection,  
248 complications and death. Furthermore, the association of several chronic conditions could enhance  
249 the risk for unvaccinated subjects during every influenza season.<sup>18,39</sup> According to main public  
250 health authorities, all individuals >6 months old, with at least one chronic illness that represent a  
251 risk factor for influenza or complications, should be yearly and actively vaccinated against  
252 influenza.<sup>21</sup>

253 In particular, some case-control studies among subjects with comorbidities reported similar VE  
254 values, in the qualitative synthesis analysis, for hospitalization reduction (around 50%) despite  
255 different influenza seasons considered.<sup>24,26,27</sup> Moreover, a reduction of 62% in outpatient visits and  
256 84% in acute myocardial infarction hospitalization after influenza vaccination was demonstrated, as

257 described by other authors.<sup>25,28,40</sup> Also a cohort study conducted in Denmark reported a similar VE  
258 value (44%) in reducing hospitalization, while another cohort study among SOT found an higher  
259 value of VE (85%), evidencing the key role of influenza vaccination in preventing hospitalization in  
260 this particular high-risk group.<sup>29,30,41</sup>

261

#### 262 *Pregnant women*

263 Both studies analyzed in the SLR conducted among pregnant women demonstrated a good VE in  
264 decreasing the total number of laboratory confirmed influenza cases,<sup>31</sup> emergency department visits  
265 and hospitalizations in different influenza seasons.<sup>32</sup> The consistent difference of VE among  
266 vaccinated pregnant women observed in US between the seasons 2010-2011 and 2011-2012 could  
267 be due to residual or unmeasured confounding, even if it was similar when stratified by season and  
268 influenza virus type.<sup>31</sup> The magnitude effect of influenza vaccination during pregnancy was  
269 justified especially by two main factors: the rapid clinical deterioration observed in some patients in  
270 respect to the typical course of seasonal influenza, especially when infected with A(H1N1)pdm09  
271 strains,<sup>9,42</sup> and the higher prevalence of cleft lip–palate, neural-tube defects and cardiovascular  
272 malformations in newborns of mother with confirmed diagnosis of influenza during the second  
273 and/or third month of pregnancy.<sup>43</sup>

274

#### 275 *Health care workers*

276 Influenza vaccination of HCWs is the most effective public health strategies for preventing  
277 nosocomial influenza transmission and reducing ILI mortality among elderly and high-risk patients,  
278 as well as for minimizing absenteeism during annual epidemics.<sup>12,14,16,18</sup>

279 The two studies included in the SLR throughout the qualitative synthesis were both related to VE  
280 during the pandemic influenza season and the use of adjuvanted monovalent influenza vaccine  
281 against A(H1N1)pdm09.<sup>33,34</sup> The very high level of VE in reducing emergency department visits  
282 and hospitalization for influenza A(H1N1)pdm09 confirmed the specific tropism of pandemic

283 influenza strains for younger people but also the very high efficacy of the influenza vaccines  
284 quickly developed worldwide.<sup>44,45</sup>

285

## 286 *Quantitative analysis*

### 287 *Children*

288 During each seasonal outbreak, children sustain the highest burden of influenza. A systematic  
289 review of the global disease burden of influenza in children >5 years estimated that there were 90  
290 million (95%CI: 49–162 millions) cases during the 2008 influenza season, 20 million (95%CI: 13–  
291 32 millions) cases of influenza-associated acute lower respiratory infections (ALRI), and 1–2  
292 million cases of influenza associated severe ALRI, including 28,000 - 111,500 deaths.<sup>46</sup> A review  
293 from 1982 to 2012, estimated that influenza resulted in approximately 374,000 (95%CI: 264,000 -  
294 539,000) hospitalizations in children <1 year old, of which 228,000 (95%CI: 150,000 - 344,000)  
295 occurred among children <6 months, and 870,000 (95%CI: 610,000 - 1,237,000) in children <5  
296 years of age, annually.<sup>47</sup> According to data of this meta-analysis, influenza vaccination was  
297 protective against outpatient visits among children, especially considering studies with children <9  
298 years old and in the US, with a confirmed vaccination status. The lower value of VE for outpatient  
299 influenza visits among children, were found by Sullivan SG *et al.*<sup>48</sup> This latter could be due to  
300 unadjusted VE by distance of influenza visits and influenza vaccine administration. A combination  
301 of two possible mechanisms could explain this reduced VE. Firstly, seasonal variations of  
302 circulating viruses, due both to the appearance of another virus type or to the antigenic drift of  
303 circulating strains, could be responsible of a partial vaccine mismatch.<sup>49</sup> Secondly, a waning  
304 immunity one month after administration of the influenza vaccine was described even among  
305 children.<sup>50</sup> Furthermore, to assess vaccination status of enrolled children, this study used a not  
306 confirmed method, and this could further reduce the specificity of results on vaccination status. In  
307 particular, a study suggested that specificity of self-reported influenza vaccination status can be

308 lowest for young children, whose parents may easily confuse influenza vaccine with other routine  
309 childhood vaccines.<sup>49</sup>

310 Better results about influenza visits VE were reported by Eisemberg KW *et al.*,<sup>50</sup> that estimated the  
311 influenza VE for children during the 2003-2004 and 2004-2005 seasons, although the matching  
312 between circulating influenza viruses and those included in the vaccine was considered suboptimal  
313 for both seasons.<sup>51,52</sup>

314 A better VE was found in reduction of influenza hospitalizations than outpatient influenza visits.  
315 Among studies focusing influenza hospitalization VE, the majority were conducted among children  
316 aged 6 months to 17 years, in Northern hemisphere, with diagnosis of influenza A or B infection  
317 and with a confirmation of vaccination status. Only studies conducted in Southern hemisphere were  
318 associated with an increase of influenza hospitalization VE, and this result can be explained because  
319 more frequently patients of studies conducted in Southern hemisphere were recruited from tertiary  
320 pediatric referral hospital as in Blyth CC *et al* and Dixon GA *et al.*<sup>53,54</sup> These studies may have  
321 included more severe infections or complicated comorbidities, when compared to children admitted  
322 to more general pediatric wards. Furthermore, a recent global estimates of hospitalization for acute  
323 lower respiratory infections, among children <17 years old, including data from systematic review  
324 and surveillance platforms, showed that pooled percentages of positivity for influenza among  
325 hospitalized children with respiratory illness, varied among World Health Organization (WHO)  
326 regions with the highest values in Western Pacific and Southeast Asia (8.5% in both cases) and the  
327 lowest in the Americas and Europe (4.6% and 7.1%, respectively).<sup>47</sup> These data confirm a different  
328 frequency of severe influenza illness between Southern and Northern hemispheres that could  
329 partially explain the VE variability. Even if differences in hospitalization practices, applications of  
330 case definitions and factors, such as time from symptom onset to specimen collection, could make  
331 detection of influenza viruses more or less likely, and therefore this could bias the outcome.

332

333 *Elderly subjects*

334 All of the three studies included in VE analysis and concerning the reduction of outpatient visits  
335 were conducted among confirmed influenza A and B individuals aged >65 years. More frequently  
336 were conducted in Northern hemisphere and the confirmation of influenza vaccine status collected  
337 through registries. The better influenza VE among elderly was found in Sullivan SG *et al* even with  
338 any limitations.<sup>48</sup> In particular, these authors did not adjust for distance of influenza visit and  
339 influenza vaccine administration, and did not collect data on the presence of comorbidities  
340 predisposing to severe influenza, such as asthma, obesity and immunocompromising conditions.<sup>48</sup>  
341 Failure to adjust for this important confounder may have accounted for the unexpected age effects.  
342 In these patients many mechanisms of failed response were related to frailty driven by chronic  
343 inflammation and age, even if one more established, but still controversial, explanation is the  
344 concept of original antigenic sin.<sup>55</sup> This means that previous exposure to an antigen resulted in a  
345 sub-standard immune response, when exposure to a novel but closely related antigen occurs.<sup>56</sup>  
346 In McLean HK *et al* was found a lower value of influenza visits VE among elderly, in particular for  
347 influenza A(H3N2).<sup>11</sup> This estimated VE was consistent with laboratory findings from the US  
348 national virological surveillance during the same influenza season.<sup>57</sup> Although virological  
349 surveillance indicated no antigenic drift between the circulating influenza A(H3N2) viruses and the  
350 cell grown reference vaccine virus, the egg-propagated A/Victoria/361/2011 reassortant virus used  
351 in vaccine production acquired 3 amino acid changes in the antigenic region of HA (at positions  
352 H156Q, G186V and S219Y), which significantly altered its antigenicity.<sup>57</sup> Furthermore, this low  
353 VE against A(H3N2) suggests that other factors in addition to immunosenescence, may be  
354 important modifiers in this age group.<sup>55</sup> In particular, additional studies are needed to understand  
355 the impact of previous infections, vaccinations, and antigenic variability on the risk of illness.<sup>58</sup>  
356 In the elderly influenza VE was lower in hospitalization than outpatient visits. The studies reported  
357 in the meta-analysis of influenza hospitalization VE were more frequently among people >65 years  
358 old, conducted in Northern hemisphere and regarding trivalent inactivated influenza vaccines. The  
359 better influenza hospitalization VE was found by Orellano PW *et al*,<sup>59</sup> even if socioeconomic status,

360 place of residence, medical consultation, or past hospitalizations were not included in this study.  
361 This means that severe or mild influenza cases may be different in terms of background  
362 characteristics, and this might bias the estimated VE.<sup>55</sup>

363 On the other hand, lower influenza hospitalization VE was revealed by Gilca R *et al.*<sup>60</sup> This can be  
364 consistent with mismatch during 2014-2015 influenza season, when the majority of A/H3N2 strains  
365 circulating in the Northern hemisphere were antigenically mismatched to the A/Texas/50/2012  
366 H3N2 vaccine strain.<sup>61</sup> Furthermore, hospitalization VE was evaluated considering a self-reported  
367 vaccination status and this may have resulted in exposure misclassification.<sup>49</sup>

368 Only three studies reporting VE among elderly who received adjuvanted vaccine did not calculate  
369 VE by vaccine type.<sup>26,60,72</sup> The authors justified this due to small number of elderly vaccinated with  
370 adjuvanted vaccine compared to other trivalent inactivated vaccine. In future, would be beneficial  
371 that seasonal VE estimates will be reported by vaccine type to facilitate valid comparisons.

### 372 ***Limits***

373 The studies included in the meta-analyses suffer from a limitation due to a potential overestimation  
374 of the vaccination status that could have occurred, since some examined studies used partially or  
375 totally referred vaccination status without validation technique. This could assess subjective  
376 measures of vaccine uptake that cause recall bias (e.g. past influenza vaccination uptake can be  
377 confused with the current one). Investigators who rely on self-reported influenza vaccination status,  
378 in particular for young children, should consider the possibility that up to 10% of individuals may  
379 be misclassified. So, whenever feasible, vaccination data should be validated by an external source  
380 to reduce misclassification.<sup>49</sup>

381 Also, a possible limit of the present study could be the different vaccine policies and strategies  
382 adopted in various countries, as well as the different type of influenza vaccines routinely available.

383 All these factors could have influenced VE reported in different areas.



384 Regarding asymmetry resulted with influenza hospitalization VE among children, the analysis of  
385 funnel plot showed that missing studies were in a top right and bottom left area of significance, so  
386 publication bias was unlikely to be the underlying cause of asymmetry.

387

## 388 **Conclusion**

389 Influenza represents one of the leading causes of death worldwide. In particular, children, older  
390 people, subjects with underlying health conditions, pregnant women and health care workers are  
391 groups at higher risk of contracting influenza infection and its complication. Worldwide,  
392 vaccination constitutes the only recognized strategy to prevent the spread of influenza viruses as  
393 well as human-to-human transmission and infection, and the most important public health  
394 authorities strongly recommended vaccine administration among these high-risk groups.

395 Our SLR and meta-analysis demonstrated the high VE of influenza vaccination in all these high-risk  
396 groups, often regardless of season, circulating strain, type of vaccination. Furthermore, the  
397 reduction in hospitalization and outpatient visits represent not only a health benefit for individuals  
398 vaccinated but also an essential profit for National Health Systems.

399 Finally, may be suitable that this SLR and meta-analysis aim to provide a tool for public health  
400 decision makers in order to develop evidence based preventive interventions to contrast influenza  
401 infection, especially among high-risk groups.

402

## 403 **Material and methods**

404

### 405 *Systematic literature review*

406 A SLR was carried out on influenza VE among high-risk groups. They, according to WHO position  
407 paper, were identified as people at increased risk of exposure to influenza virus as well as those at  
408 particular risk of developing severe disease (i.e. older people, children, people suffering from  
409 comorbidities and pregnant women).<sup>35</sup> A written protocol was supplied to all investigators recruited,

410 before starting SLR, and it was registered on Prospero with No. 42017054854 on 19 January 2017.

411 Case-control and cohort studies on influenza health care outcomes, between vaccinated and  
412 unvaccinated risk groups, were selected through a SLR using key terms in combination and referred  
413 to vaccine/immunization, effectiveness, impact, at risk people and influenza/flu, with medical  
414 Subject Headings (MeSH) and MeSH Major Topics included in the syntax. The online databases  
415 PubMed/MEDLINE, SCOPUS, EMBASE, ISI Web of Science were considered, as well as the gray  
416 literature and a manual search from the references of the articles retrieved and it was performed in  
417 January 2017.

418 Original articles published between 1<sup>st</sup> of January 2007 and the 31<sup>st</sup> of December 2016 were  
419 retrieved, with restriction criteria applied: articles published in the English language and concerning  
420 influenza effectiveness in risk groups. Among all high-risk groups considered, elderly subjects ( $\geq 50$   
421 years old), children ( $\leq 18$  years old), subjects with underlying health conditions at any age, pregnant  
422 women and HCW were included in the SLR. All influenza vaccines recommended by the WHO  
423 were considered to evaluate VE: trivalent inactivated vaccines and live attenuated influenza  
424 vaccines.<sup>35</sup> For inclusion, studies were required to focus on at least one countable outcome related  
425 to influenza infection: GP or emergency department visits, hospital admission or death. Information  
426 were collected from patient consulting medical facilities or medical databases reporting health care  
427 outcomes. The following exclusion criteria were also applied during title and abstract screening:  
428 articles published in languages other than English, reporting only vaccination information, assessing  
429 only vaccination coverage, reporting only vaccination uptake determinants and review articles,  
430 trials and qualitative studies.

431 Other exclusion criteria used during full-text analysis were: no reporting VE, reporting overall VE  
432 not specifically defined for high-risk-groups considered in the review, reporting VE not in high-  
433 risk-groups and reporting VE on hospitalization or outpatients visit for ILI or acute respiratory  
434 infection. Only quantitative studies describing influenza VE among risk-groups were included in  
435 the review. Studies were then selected for the qualitative and quantitative analysis.

436 Variables extraction regarded: cases of influenza among high-risk-groups considered in the SLR,  
437 influenza VEs in selected group, laboratory diagnostic procedures for testing for influenza and  
438 strategies used to assess vaccination status of each participant. Four investigators independently  
439 conducted both a literature search and a systematic review considering the inclusion, eligibility  
440 criteria and quality. Incongruity between the investigators was resolved by further discussion, with  
441 involvement of an external investigator where necessary.

442

#### 443 *Meta-analysis*

444 After studies have been selected, reporting number of vaccinated among cases and control and/or  
445 influenza incident cases among exposed and unexposed to influenza vaccine, a meta-analysis  
446 according to Cochrane guidelines,<sup>62</sup> was conducted on the extracted measures in order to assess the  
447 overall effect. Crude ORs and RRs were considered where available. The logarithms were used for  
448 the meta-analysis, with exponentiated effect sizes and confidence intervals displayed in the forest  
449 plots. Vaccine effectiveness was calculated as  $VE = [(1-OR) \times 100]$  or  $VE = [(1-RR) \times 100]$  and crude  
450 ORs or RRs with relative 95% Confidence Interval (95%CI) were estimated for each risk-group.<sup>63</sup>  
451 Pooled estimates were calculated using both fixed effects and DerSimonian and Laird random  
452 effects models, weighting individual study results by the inverse of their variances.<sup>64</sup> Forest plots  
453 were used to visually assess the pooled estimates and corresponding 95%CI across studies. A test of  
454 heterogeneity was performed using a chi-square test at significance level of  $p < 0.05$  and reported  
455 with the  $I^2$  statistic together with a 25%, 50% or 75% cut-off, indicating low, moderate and high  
456 heterogeneity, respectively.<sup>65,66</sup>

457 When the test showed significant heterogeneity, the sources of heterogeneity were explored through  
458 pre-specified meta-regression and sensitivity analyses. The following variables were considered for  
459 a meta-regression analysis: vaccinated children (<9 years old) who performed, for the first time,  
460 two doses of influenza vaccination (yes vs no), hemisphere where study was conducted (Northern vs  
461 Southern), year of study conduction before or after influenza pandemic season (before 2010 vs after

462 2010) and two variables that reported mismatch between influenza A or B viruses included in the  
463 seasonal vaccine and circulating viruses among cases and controls or exposed and unexposed (yes  
464 vs no), respectively. Sensitivity analyses were conducted to examine the contribution of each  
465 individual study by evaluating the impact of the outlier studies, eliminating each study from the  
466 meta-analysis and comparing the point estimates which included or excluded the study.

467 The methodological quality of studies included in the meta-analysis was assessed using revised  
468 versions of previously validated checklists for quantitative retrospective and prospective studies, as  
469 recommended by the Cochrane Collaboration.<sup>62,67</sup>

470 To assess a potential publication bias, a graphical plot of the logarithm effect estimates versus its  
471 standard error, for each study, was employed, and the Egger test was performed.<sup>68,69</sup>

472 All data were analyzed using the statistical package STATA/MP 14.2 (StataCorp LP, College  
473 Station, TX, USA), with the “metan” command used for meta-analysis, “metafunnel”, “metabias”  
474 and “confunnel” for publication bias assessment.<sup>70</sup>

475

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Table 1: Characteristics of included studies on anti-influenza vaccine effectiveness among at risk-group

Reference article	At risk-group	Outcome	Publication year	Influenza season	Age range	Sample size	Country	Influenza vaccine type	Influenza virus diagnosis among cases	Vaccine status	Study design	Qualitative/Quantitative analysis
<b>Szilagy PG</b> <sup>22</sup>	children	outpatient visit	2008	from 2003-2004 to 2004-2005	from 6 months to 6 years	10,906	US	trivalent inactivated	A(H3N2)	Confirmed	Cohort	Qualitative
<b>Ridenhour BJ</b> <sup>23</sup>	older	hospitalization/ deaths	2013	from 1993-1994 to 2007-2008	≥ 65 years	21,180,919	Canada	<i>N.A.</i>	<i>N.A.</i>	Confirmed	Cohort	Qualitative
<b>Andrews N</b> <sup>28</sup>	comorbidity	outpatient visit	2011	2009-2012	<5 and ≥ 65 years	2,153	UK	adjuvated pH1N1	A(H1N1)	Confirmed	Case-control	Qualitative
<b>Emborg HD</b> <sup>29</sup>	comorbidity	outpatient visit / hospitalization	2011	2009-2010	<65 years	388,069	Denmark	adjuvated pH1N1	A(H1N1)	Confirmed	Cohort	Qualitative
<b>MacIntyre CR</b> <sup>25</sup>	comorbidity	hospitalization	2013	from 2008 to 2010	≥ 18 years	599	Australia	trivalent inactivated	A and B	Confirmed	Cohort	Qualitative
<b>Perez-Romero P</b> <sup>30</sup>	comorbidity	hospitalization	2012	2010-2011	>16 years	64	Spain	trivalent inactivated	A(H1N1), A(H3N2) and B	Confirmed	Cohort	Qualitative
<b>Steens A</b> <sup>27</sup>	comorbidity	hospitalization	2011	2009-2011	from 1 to 84 years	10,968	Netherlands	adjuvated pH1N1	A(H1N1)	Confirmed	Case-control	Qualitative
<b>Thompson MG</b> <sup>31</sup>	pregnant women	outpatient visit	2013	2010-2011 and 2011-2012	from 22 to 38 years	492	US	trivalent inactivated	A(H1N1)	Confirmed	Case-control	Qualitative
<b>Regan AK</b> <sup>32</sup>	pregnant women	outpatient visit / hospitalization	2016	2012-2013	≥ 18 years	2,962,374	Australia	trivalent inactivated	A(H1N1)	Confirmed	Cohort	Qualitative
<b>Costa JT</b> <sup>33</sup>	health care workers	outpatient visit	2012	2009-2010	≥ 18 years	245	Portugal	adjuvated pH1N1	A(H1N1)	Confirmed	Case-control	Qualitative
<b>Igari H</b> <sup>34</sup>	health care workers	hospitalization	2011	2009-2013	≥ 20 years	1,817	Japan	adjuvated pH1N1	A(H1N1)	Confirmed	Cohort	Qualitative
<b>Blyth CC</b> <sup>71</sup>	children	outpatient visit	2016	2008 and from 2010 to 2013	from 6 months to 18 years	2,205	Australia	trivalent inactivated	A(H1N1), A(H3N2) and B	Confirmed	Case-control	Quantitative
<b>Sullivan SG</b> <sup>48</sup>	children and	outpatient	2014	2012	< 18 and >65 years	488	Australia	trivalent inactivated	A(H1N1), A(H3N2)	Not	Case-control	Quantitative

	older	visit								and B	confirmed		
<b>Mc Lean HK</b> <sup>72</sup>	children and older	outpatient visit	2014	2012-2013	from 6 months to 17 years and ≥65 years	3,145	US	trivalent inactivated, adjuvated and live attenuated	A(H1N1), A(H3N2) and B	Confirmed	Case-control	Quantitative	
<b>Belongia EA</b> <sup>73</sup>	children	outpatient visit	2011	2007-2008	from 6 months to 6 years	412	US	trivalent inactivated	A(H3N2) and B Yamagata	Confirmed	Case-control	Quantitative	
<b>Joshi AY</b> <sup>74</sup>	children	outpatient visit	2009	from 1999-2000 to 2006-2007	from 6 months to 6 years	206	US	trivalent inactivated	A(H1N1), A(H3N2) and B Victoria	Confirmed	Case-control	Quantitative	
<b>Eisenberg KW</b> <sup>50</sup>	children	outpatient visit	2008	from 2003-2004 to 2004-2005	from 6 months to 6 years	2,534	US	trivalent inactivated	N.A.	Confirmed	Case-control	Quantitative	
<b>Shuler CM</b> <sup>75</sup>	children	outpatient visit	2007	2003-2004	from 6 months to 6 years	870	US	trivalent inactivated	N.A.	Confirmed	Case-control	Quantitative	
<b>Chiu SS</b> <sup>76</sup>	children	hospitalization	2016	from 2009-2010 to 2013-2014	from 6 months to 17 years	6,257	Hong Kong	trivalent inactivated	B Yamagata and B Victoria	Not confirmed	Case-control	Quantitative	
<b>Blith CC</b> <sup>54</sup>	children	hospitalization	2015	2009 and from 2010 to 2014	from 6 months to 6 years	712	Australia	trivalent inactivated	A(H1N1), A(H3N2) and B	Confirmed	Case-control	Quantitative	
<b>Grijalva CC</b> <sup>77</sup>	children and older	hospitalization	2015	from 2009-2010 to 2011-2012	from 6 months to 17 years and ≥65 years	1,806	US	pandemic, trivalent inactivated and live attenuated	A(H1N1), A(H3N2) and B	Confirmed	Case-control	Quantitative	
<b>Cowling BJ</b> <sup>78</sup>	children	hospitalization	2014	from 2009-2010 to 2012-2013	from 6 months to 17 years	5,399	Hong Kong	pandemic and trivalent inactivated	A(H1N1), A(H3N2) and B	Not confirmed	Case-control	Quantitative	
<b>Ferdinands JM</b> <sup>79</sup>	children	hospitalization	2014	from 2010-2011 to 2011-2012	from 6 months to 17 years	309	US	N.A.	A(H1N1), A(H3N2) and B	Confirmed	Case-control	Quantitative	
<b>Gilca R</b> <sup>80</sup>	children	hospitalization	2011	2009-2010	from 6 months to 9 years	884	Canada	adjuvated pH1N1	pH1N1	Confirmed	Case-control	Quantitative	
<b>Griffin MR</b> <sup>81</sup>	children	hospitalization	2011	2009-2010	from 6 months to 9 years	2,168	US	live attenuated and inactivated pH1N1	pH1N1	Confirmed	Case-control	Quantitative	
<b>Dixon GA</b> <sup>53</sup>	children	hospitalization	2010	2008	from 6 months to 6 years	76	Australia	trivalent inactivated	A(H1N1), A(H3N2) and B	Confirmed	Case-control	Quantitative	
<b>Orellano PW</b> <sup>59</sup>	children and older	hospitalization	2010	2009	<5 years and >65 years	1,115	Argentina	trivalent inactivated	pH1N1	Confirmed	Case-control	Quantitative	
<b>Chen Q</b> <sup>82</sup>	older	outpatient	2014	from 2006-2007 to	≥ 65 years	927	US	trivalent inactivated	A(H1N1), A(H3N2)	Confirmed	Case-control	Quantitative	

		visit		2008-2009, from 2010-2011 to 2011- 2012					and B			
<b>Havers F</b> <sup>83</sup>	older	hospitalization	2016	2010-2011	>50 years	1,141	US	trivalent inactivated	A(H1N1), A(H3N2) and B	Not confirmed	Case-control	Quantitative
<b>Cheng AC</b> <sup>24</sup>	older and comorbidity	hospitalization	2015	2014	>65 years and ≥16 years for comorbidity	3,217	Australia	trivalent inactivated	A(H1N1), A(H3N2) and B	Not confirmed	Case-control	Quantitative
<b>Gilca R</b> <sup>60</sup>	older	hospitalization	2015	2014-2015	≥ 65 years	314	Canada	adiuvated trivalent inactivated	A(H3N2)	Not confirmed	Case-control	Quantitative
<b>Puig-Barberà J</b> <sup>84</sup>	older	hospitalization	2015	2014- 2015	≥ 65 years	1,108	Spain	trivalent inactivated	A(H3N2)	Confirmed	Case-control	Quantitative
<b>Castilla J</b> <sup>85</sup>	older	hospitalization	2014	2013-2014	>65 years	239	Spain	trivalent inactivated	A(H1N1) and A(H3N2)	Confirmed	Case-control	Quantitative
<b>Kwong JC</b> <sup>86</sup>	older	hospitalization	2013	2010-2011	>65 years	2,230	Canada	trivalent inactivated	A(H1N1), A(H3N2) and B	Confirmed	Case-control	Quantitative
<b>Puig-Barberà J</b> <sup>26</sup>	older and comorbidity	hospitalization	2012	2010-2011	>60 years and ≥18 years for comorbidity	379	Spain	adiuvated trivalent inactivated	A(H1N1), A(H3N2) and B	Confirmed	Case-control	Quantitative
<b>Van Vuuren A</b> <sup>87</sup>	older	hospitalization	2008	2004-2005	≥ 65 years	6,410	South Africa	trivalent inactivated	A(H1N1), A(H3N2) and B	Confirmed	Case-control	Quantitative

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Table 2: Analysis for funnel plot asymmetry of studies reporting vaccine effectiveness, estimated by Egger's regression test

	<b>No. studies</b>	<b>coefficient</b>	<b>95% CI</b>		<b>p-value</b>
Vaccine effectiveness on influenza visits among children	9	-0.78	-3.51	1.94	0.520
Vaccine effectiveness on influenza hospitalization among children	10	-3.05	-5.93	-0.18	0.040
Vaccine effectiveness on influenza visits among elderly subjects	3	-1.06	-16.41	14.29	0.541
Vaccine effectiveness on influenza hospitalization among elderly subjects	10	-0.52	-2.35	1.31	0.531

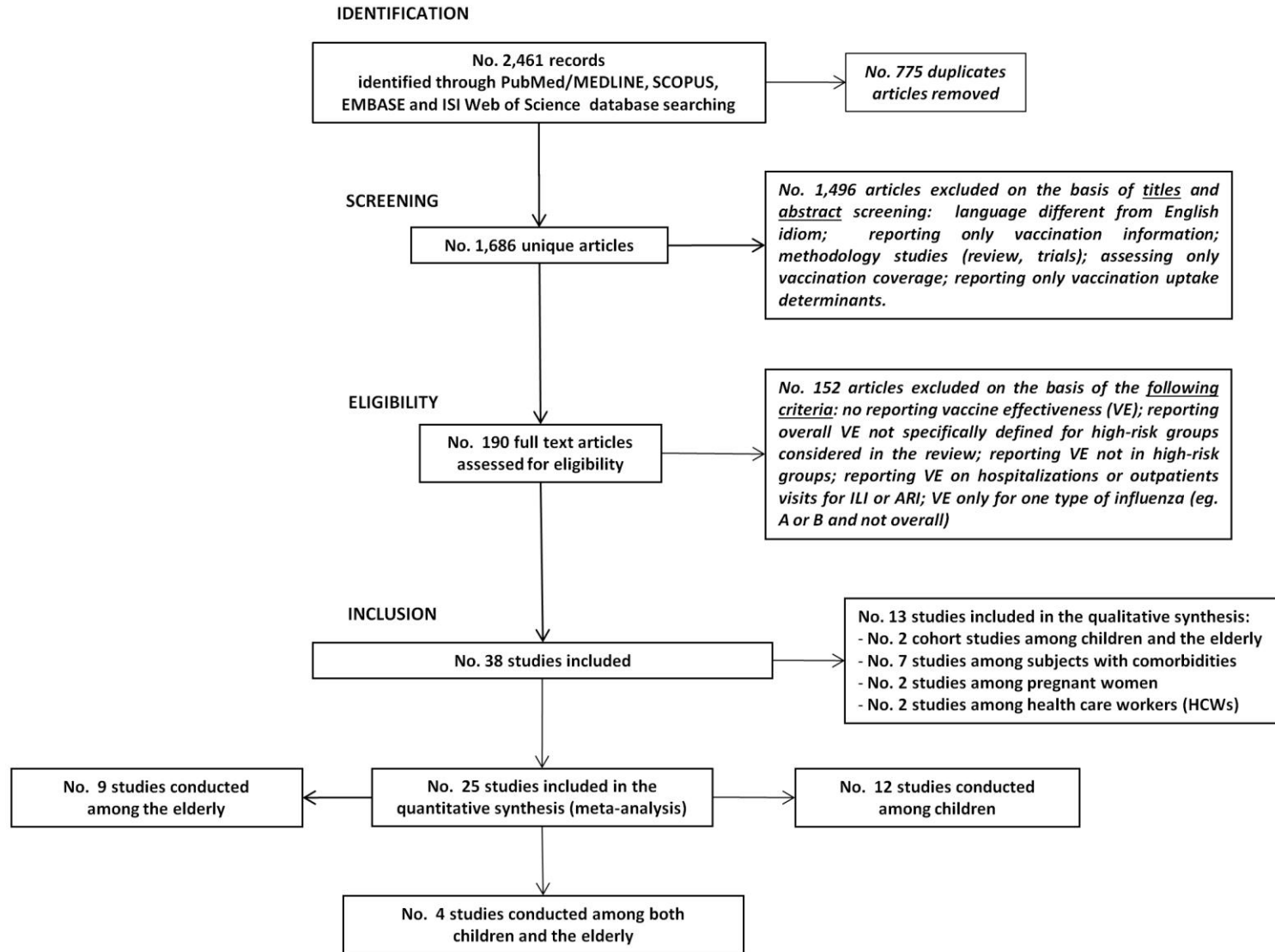
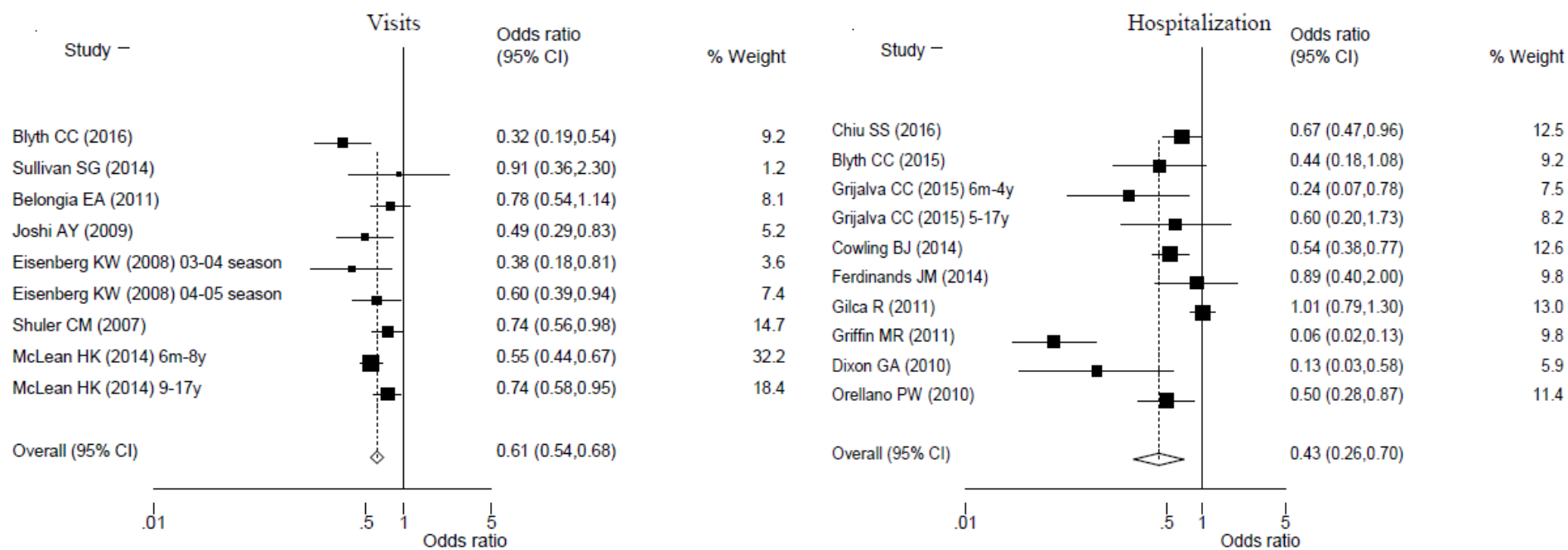
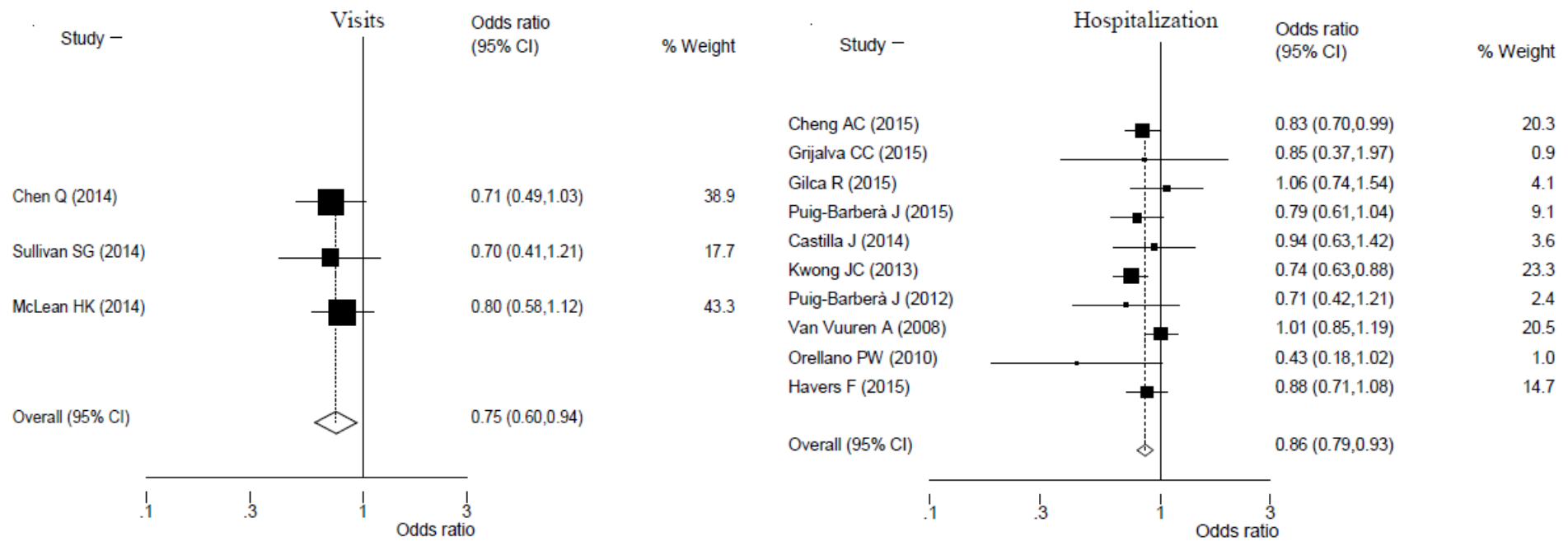


Figure 2: Forest plot of influenza visits and hospitalization vaccine effectiveness (1-Odds ratio) among children from 6 months to 18 years old



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Figure 3: Forest plot of influenza visits and hospitalization vaccine effectiveness (1- Odds ratio) among elderly subjects



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