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A novel numerical framework for self-similarity in plasticity: Wedge indentation in single crystals

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Abstract

A novel numerical framework for analyzing self-similar problems in plasticity is developed and demonstrated. Self-similar problems of this kind include processes such as stationary cracks, void growth, indentation etc. The proposed technique offers a simple and efficient method for handling this class of complex problems by avoiding issues related to traditional Lagrangian procedures. Moreover, the proposed technique allows for focusing the mesh in the region of interest. In the present paper, the technique is exploited to analyze the well-known wedge indentation problem of an elastic-viscoplastic single crystal. However, the framework may be readily adapted to any constitutive law of interest. The main focus herein is the development of the self-similar framework, while the indentation study serves primarily as verification of the technique by comparing to existing numerical and analytical studies. In this study, the three most common metal crystal structures will be investi-

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gated, namely the face-centered cubic (FCC), body-centered cubic (BCC), and hexagonal close packed (HCP) crystal structures, where the stress and slip rate fields around the moving contact point singularity are presented.

Keywords: Self-similarity, Crystal plasticity, Wedge indentation, Asymptotic fields

1. Introduction

Self-similarity exists in a broad range of elastic-plastic problems, where history dependence precludes direct solution methods. Such problems include geometrically self-similar indentation, as well as problems in void growth and phase transformation. The analysis of such problems often relies on cumbersome (traditional) Lagrangian procedures. But why not exploit the self-similar nature of the solutions to such problems when developing the computational framework? The first steps toward this were made in the early works by Hill and Storåkers (1990); Bower et al. (1993); Storåkers and Larsson (1994); Biwa and Storåkers (1995) where frameworks for the exploitation of self-similarity in indentation problems were developed. Their methods started from the well-known analogy between a flat punch and a stationary crack so deformation induced by a non-flat indenter with rather arbitrary axi-symmetric geometries, could be analyzed by cumulative superposition of stationary flat punch solutions, for elastic and power law creeping solids. However, as discussed by Saito and Kysar (2011) and in more detail below, the proper analogy for a non-flat indenter is with a quasi-statically

18 propagating crack. Drugan and Rice (1984) and Drugan (1986) explained
19 that for elastic-plastic materials that satisfy the maximum plastic work in-
20 equality, the asymptotic fields for stationary and quasistatically propagating
21 cracks are different in both isotropic and anisotropic materials. Furthermore
22 the asymptotic fields may change as a consequence of large rotations and
23 deformations. Hence, care must be taken with such methods, especially for
24 anisotropic materials.

25 In the present work, a general computational framework specialized for
26 geometrically self-similar problems in elastic-plastic solids is developed. The
27 framework does not, as the previously mentioned self-similar methods, rely
28 on reference solutions nor is it restricted to specific material laws. As an
29 example, the framework is applied to wedge indentation of elastic-plastic
30 single crystals.

31 For more than three decades, investigations have shown both analytically
32 and numerically, that the material behaviour during indentation involves
33 complex elastic-plastic deformation with finite strains and rotations. The
34 early studies were closely related to crack growth which shares similarities
35 to the indentation problem. For example, the boundary value problem of a
36 stationary crack tip is analogous to that of a flat punch indentation. Likewise,
37 the boundary value problem of a quasi-statically closing crack is analogous to
38 that of a nearly-flat wedge indenter where the *contact point singularity* (e.g.
39 the point where the indenter loses contact with the surface as it impinges
40 into a material) moves quasistatically along the surface.

41 Analytical investigations of the asymptotic behaviour around a singular
42 point in the crack tip and wedge indentation fields have been conducted by
43 e.g. Drugan et al. (1982); Drugan and Rice (1984); Drugan (1986); Rice
44 (1987); Drugan (2001); Saito and Kysar (2011) based on an extension of slip
45 line theory that assumes a linear elastic, ideally plastic behavior (rather than
46 the rigid, ideally plastic behavior typically associated with slip line theory)
47 and also can account for the elastic and plastic anisotropy of the crystal me-
48 chanical response. The governing partial differential equations are hyperbolic
49 so the analytical solution is obtained via the method of characteristics. As
50 a consequence, the deforming domain is divided into sectors within which
51 deformation is either elastic or is ideally plastic on a well-defined set of slip
52 systems. The sectors are separated by different types of discontinuities on
53 sector boundaries, depending on the specific problem at hand.

54 For indentation (or cracks), the asymptotic solutions near the contact
55 point (or crack tip) singularities consist of angular sectors centered at the
56 singular point. The stress state in both plastically and elastically deform-
57 ing regions can be readily calculated. Special attention must be paid to the
58 boundaries between the angular sectors that consist of radial lines emanat-
59 ing from the singular point. If the singular point is stationary the solutions
60 admit stress and velocity discontinuities across the radial sector boundaries.
61 However, singular points, and hence sector boundaries, that move quasistat-
62 ically through elastic-plastic materials that obey the maximum plastic work
63 inequality have solutions that admit velocity discontinuities but not stress

64 discontinuities (Drugan and Rice, 1984; Drugan, 1986). Thus, the asymp-
65 totic fields associated with stationary and quasistatically moving singulari-
66 ties are quite different. Saito and Kysar (2011); Saito et al. (2012); Sarac
67 and Kysar (2017) showed that asymptotic fields for flat punches and nearly-
68 flat wedge indenters have significant differences, with related experimental
69 analyses (Kysar et al., 2010; Sarac et al., 2016). These studies were heav-
70 ily inspired by Rice (1987) and Kysar (2001a,b) where the differences with
71 regard to cracks were reported, with related experimental analyses of sta-
72 tionary cracks (Bastawros and Kim, 2000; Crone and Shield, 2001) as well as
73 quasistatically growing cracks (Kysar, 2000; Kysar and Briant, 2002). Rice
74 et al. (1990) was among the first to confirm the distinct material behaviour
75 in the vicinity of both a stationary and quasi-static crack tip through nu-
76 merical analysis, with other studies by Mesarovic and Kysar (1996); Kysar
77 (2001a,b).

78 Recently, Saito et al. (2012) conducted numerical studies of the wedge
79 indentation process confirming the analytical predictions by Saito and Kysar
80 (2011). However, these investigations (Rice et al., 1990; Saito et al., 2012)
81 are based on traditional incremental Lagrangian frameworks that suffer from
82 numerical difficulties such as developing contact interfaces as well as prob-
83 lems with modelling a moving singularity due to the incremental procedure
84 (not to mention the problem of maintaining sufficient mesh resolution over
85 the span where the contact point moves). Obviously, such numerical issues
86 are undesired and compromise accuracy of results. Thus, the main goal of

87 the present study is to develop a general numerical framework specialized for
88 self-similar problems in plasticity that avoids the numerical issues of the tra-
89 ditional procedures. In the following, self-similarity is referred to as a process
90 where the fields, such as stress and strain fields, do not change for an observer
91 continuously changing magnification of the view at a problem dependent rate.
92 For example, considering wedge indentation, the fields beneath the indenter
93 remain of identical shape, but change magnitude when the indenter impinges
94 deeper into the material. To verify the numerical procedure, results of wedge
95 indentation into the face-centered cubic (FCC) crystal structure will be com-
96 pared to the analytical and numerical work of Saito and Kysar (2011) and
97 Saito et al. (2012). Additionally, in order to demonstrate the capability of
98 the developed framework, new results are presented for body-centered cubic
99 (BCC) and hexagonal close-packed (HCP) crystal structures and compared
100 to the analytical results in Saito and Kysar (2011).

101 The paper is divided into the following sections: The wedge indentation
102 problem, analytical solutions, and material model are outlined in Section 2,
103 self-similarity and the numerical framework are derived in Section 3, verifi-
104 cation and results are presented in Section 4, and finally some concluding
105 remarks are given in Section 5. Index notation, including Einstein's sum-
106 mation convention, is used throughout and the notation $(\dot{\cdot})$ signifies a time
107 derivative.

108 2. Indentation with a nearly flat wedge indenter

109 Quasi-static wedge indentation is chosen as the benchmark problem for
110 the numerical framework developed as both analytical and numerical re-
111 sults exist for comparison (Saito and Kysar, 2011; Saito et al., 2012). Saito
112 et al. (2012) considered indentation into a single metal crystal with a nearly
113 flat wedge indenter such that, ϕ , (cf. Fig. 1) approaches 0° . Here, friction
114 between the indenter and the material is neglected and an elastic, ideally
115 plastic single crystal with a very low critical resolved shear stress equal on
116 all slip systems is assumed (see model parameters in Table 1). The pro-
117 posed numerical framework is not limited to such extreme conditions, but
118 this configuration ensures the conditions required for the analytical solutions
119 developed by Saito and Kysar (2011). Additionally, this set-up allows for a
120 two-dimensional (2D) plane strain analysis under a small strain assumption
121 by employing effective in-plane slip systems that combine deformation on
122 symmetric pairs of out-of-plane slip systems into an effective in-plane defor-
123 mation. A detailed description and discussion of the effective slip systems
124 can be found in Section 2.2.

125 A detailed study of the analytical solutions can be found in Saito and
126 Kysar (2011) based on the extension of slip line theory that assumes linear
127 elastic and ideally plastic behavior. Here, the FCC, BCC, and HCP crystal
128 structures are treated for the 2D plane strain case. Saito and Kysar (2011)
129 derived an analytical solution for a moving contact point singularity based
130 on the assumption that stress discontinuities cannot exist in the deformation

131 fields under these conditions (see Drugan and Rice, 1984). Following Rice
132 (1987), the analytical investigation by Saito and Kysar (2011) showed that
133 the asymptotic deformation fields consist of angular sectors centered at the
134 singular point; the angular sectors can deform either elastically or plasti-
135 cally. The angular sectors are separated by radial rays emanating from the
136 singular point that coincide either with the slip direction or the slip plane
137 normal of the effective in-plane slip system. As described by Rice (1987),
138 if the radial ray coincides with a slip direction, dislocations operate in glide
139 shear along the ray and if the radial ray coincides with the slip plane normal
140 dislocations operate in kink-shear mode. If the contact point singularity is
141 stationary with respect to the crystal, the stress fields can admit stress jumps
142 across the radial rays. However, if the contact point singularity moves qua-
143 sistatically relative to the crystal, the angular sectors and sector boundaries
144 move through the crystal as well. Under this condition, the stress fields do
145 not admit discontinuities across the radial sector boundaries, but velocity
146 discontinuities across the radial sector boundaries are allowed (Drugan and
147 Rice, 1984).

148 The solution by Saito and Kysar (2011) for the asymptotic fields asso-
149 ciated with the contact point singularity of a nearly-flat wedge impinging
150 into an FCC crystal is reproduced in Fig. 2a. The solution consists of four
151 elastically deforming angular sectors separated by three plastically deforming
152 ing radial rays. By adopting the slip systems in Table 2, it is seen that the
153 glide shear is related to slip system (1) and (3), and the kink shear is related

154 to slip system (2). The asymptotic solution for the BCC crystal is shown
 155 in Fig. 2b, having only three sectors separated by two plastically deforming
 156 rays (glide shear).

Saito and Kysar (2011) showed that the asymptotic solution for the stresses in the vicinity of the moving contact point singularity for the FCC and BCC crystals are described according to Eqs. (1)-(3) with $C_1 = \sqrt{3}/2$, $C_2 = \sqrt{3}$, and $C_3 = \sqrt{3}/2$ for FCC, and $C_1 = 3/4$, $C_2 = 3/2$, and $C_3 = 3/4$ for BCC.

$$\frac{\sigma_{11} - \sigma_{22}}{2\tau_0} = C_1 \sin(2\theta) \quad (1)$$

$$\frac{\sigma_{11} + \sigma_{22}}{2\tau_0} = C_2 \theta \quad (2)$$

$$\frac{\sigma_{12}}{\tau_0} = C_3 [1 - \cos(2\theta)] \quad (3)$$

157 where σ_{ij} is the stress tensor, τ_0 is the critical resolved shear stress, and θ is
 158 the angle depicted in Fig. 2.

159 The analytical solutions of the stress field are presented in Figs. 7a and
 160 9a for the FCC and BCC structures, respectively. The stress distribution is
 161 plotted as a function of the angle θ with $\theta = 0$ at the undeformed surface
 162 in front of the moving contact point and $\theta = -\pi$ at the indenter surface
 163 going in a clockwise direction (see Fig. 2). Additionally, the analytical stress
 164 trajectory and yield surface are presented in Figs. 7b and 9b for the FCC
 165 and BCC structures, respectively. The yield surfaces are adopted directly
 166 from Table 2 through Table 4 in Saito and Kysar (2011). The last crystal

167 structure of interest in this paper is the HCP structure. Saito and Kysar
 168 (2011) determined that the asymptotic solutions for the HCP crystal must
 169 include at least one plastic angular sector, unlike the FCC and BCC struc-
 170 tures in which all angular sectors are elastic. Hence, an analytical solution of
 171 the stress field has not yet been derived for the HCP crystal (cf. Fig. 11b).

172 2.1. Material model

173 The plane strain study of indentation in single crystals is performed in
 174 a small strain setting. Thus, the total strain, ε_{ij} , is determined from the
 175 displacement, u_i , such that; $\varepsilon_{ij} = (u_{i,j} + u_{j,i})/2$ and furthermore the total
 176 strain is decomposed into the elastic part, ε_{ij}^e , and the plastic part, ε_{ij}^p ($\varepsilon_{ij} =$
 177 $\varepsilon_{ij}^e + \varepsilon_{ij}^p$). When the strain field (and its decomposition) are known, the stress
 178 field can be determined from the relationship; $\sigma_{ij} = \mathcal{L}_{ijkl}(\varepsilon_{kl} - \varepsilon_{kl}^p)$, where
 179 \mathcal{L}_{ijkl} is the elastic stiffness tensor.

To determine the plastic part of the total strains for a single crystal, a
 summation over all slip systems, α , is performed according to

$$\dot{\varepsilon}_{ij}^p = \sum_{\alpha} \dot{\gamma}^{(\alpha)} P_{ij}^{(\alpha)}, \quad P_{ij}^{(\alpha)} = \frac{1}{2} \left(s_i^{(\alpha)} m_j^{(\alpha)} + m_i^{(\alpha)} s_j^{(\alpha)} \right) \quad (4)$$

where $P_{ij}^{(\alpha)}$ is the Schmid tensor, $\dot{\gamma}^{(\alpha)}$ is the slip rate, and $s_i^{(\alpha)}$ and $m_i^{(\alpha)}$ are the
 unit vectors defining the slip direction and the slip plane normal, respectively
 (see Fig. 3). To determine the slip rate on each slip system, the following
 visco-plastic power law slip rate relation proposed by Hutchinson (1976) is

adopted

$$\dot{\gamma}^{(\alpha)} = \dot{\gamma}_0 \text{sgn}(\tau^{(\alpha)}) \left(\frac{|\tau^{(\alpha)}|}{g^{(\alpha)}} \right)^{1/m} \quad (5)$$

180 where $\tau^{(\alpha)} = \sigma_{ij} m_i^{(\alpha)} s_j^{(\alpha)}$ is the resolved shear stress and $g^{(\alpha)}$ is the slip
 181 resistance. The slip resistance $g^{(\alpha)} = \tau_0^{(\alpha)}$ since only elastic, ideally plastic
 182 materials are considered in the present study.

183 The visco-plastic law in Eq. (5), implies that the rate-sensitivity of the
 184 material response increases for an increasing rate-sensitivity exponent, m ,
 185 and vice versa, (N.B. The slip plane normal is denoted by unit vector m_i
 186 whereas the rate-sensitivity exponent is denoted by the scalar m). Thus,
 187 for $m \rightarrow 0$, the constitutive material model approaches the rate-independent
 188 material response.

For the self-similar indentation problem dimensional analysis dictates that
 the indentation solution is governed by the following parameters

$$\dot{\gamma}^{(\alpha)} \left(\frac{x_i}{a} \right) = F \left(\frac{\tau_0}{E}, \frac{\dot{a}}{a \dot{\gamma}_0}, \phi, m, \nu \right). \quad (6)$$

189 2.2. Effective slip systems

190 The reason for choosing a 2D plane strain model is mainly for verification
 191 purposes of comparing the results of the computations to the existing analyti-
 192 cal solution, but also because many detailed experiments are conducted under
 193 nominally plane strain conditions in single crystals. However, the numerical

194 framework in Section 3 can equally well be exploited for three-dimensional
195 (3D) boundary value problems.

196 To ensure 2D plane strain deformation of single crystals, it is necessary to
197 choose the plane of plane strain to coincide with a mirror symmetry plane in
198 the crystal (see e.g. Rice, 1987; Kysar et al., 2005; Niordson and Kysar, 2014).
199 Here, following Rice (1987), the $(\bar{1}01)$ plane is chosen as the mirror symmetry
200 plane for the plane strain deformation in the FCC and BCC crystals. The
201 specimen geometry and the external loading must also have mirror symmetry
202 about the crystallographic mirror plane. In that way the plastic slip systems
203 can be grouped into *mirrored pairs*; both members of a pair share the same
204 magnitude of resolved shear stress. Each of the two slip systems within a
205 mirrored pair will then activate with the same slip rate, assuming the critical
206 resolved shear stress is the same on both slip system. In this way the 12
207 slip systems from the FCC $\{111\}\langle 110\rangle$ family of slip systems reduces to 6
208 mirrored pairs of slip systems. For three of the mirrored pairs, the out-of-
209 plane components of the plastic slip on one slip system will counteract that
210 of the other slip system within the pair. The other three mirrored pairs do
211 not have mutually canceling out-of-plane deformations, so the experiments
212 and analyses are performed under conditions of *small scale yielding* (Rice,
213 1968) so that the elastically deforming region surrounding the plastic zone
214 suppresses the out-of-plane deformation, and hence the activation, of these
215 other three mirrored pairs.

216 Based on the crystal structure (see Fig. 3), three mirrored pairs of slip

217 systems combine to form three effective plane strain slip systems in an FCC
 218 crystal, with each particular effective slip system denoted by α (the two
 219 underlying slip systems paired into the effective slip system are denoted αa
 220 and αb).

221 Referring to Fig. 3, effective slip system 1 has unit slip direction $s_i^{(1)}$
 222 oriented at an angle of $\theta_1 = \tan^{-1}(\sqrt{2}) \approx 54.7356^\circ$ relative to the specimen
 223 x_1 -axis. Effective slip system 2 has unit slip direction $s_i^{(2)}$ oriented at an angle
 224 of $\theta_2 = 0^\circ$ relative to the specimen x_1 -axis. Effective slip system 3 has unit
 225 slip direction $s_i^{(3)}$ oriented at an angle of $\theta_3 = \pi - \tan^{-1}(\sqrt{2}) \approx 125.2644^\circ$
 226 relative to the specimen x_1 -axis. In the FCC crystal, effective slip systems 1
 227 and 3 consist of a pair of coplanar slip systems whereas effective slip system 2
 228 consists of a collinear pair of slip systems. In Kysar et al. (2005, 2010); Saito
 229 and Kysar (2011), the mirror plane was chosen equivalently to be (110), but
 230 the effective plane strain slip systems were oriented at the same respective
 231 angles for the orientation used herein.

232 Now considering a BCC crystal with crystallographic orientation of the
 233 specimen rotated by 90° relative to that of the FCC crystal, as illustrated
 234 in Fig. 3. A BCC crystal has 24 different slip systems of type $\{\bar{1}\bar{1}0\}\langle 111 \rangle$
 235 and $\{11\bar{2}\}\langle 111 \rangle$ (Hirth and Lothe, 1992). By choosing the $(\bar{1}01)$ plane as
 236 the mirror symmetry plane for the plane strain deformation there are 12
 237 mirrored pairs of slip system of which 6 pairs are capable of inducing a plane
 238 strain deformation state. One of the mirrored pairs consists of $(\bar{1}2\bar{1})[111]$
 239 and $(\bar{1}2\bar{1})[1\bar{1}1]$. Since $s_i^{(\alpha)}$ and $m_i^{(\alpha)}$ for both slip systems lie within the $(\bar{1}01)$

240 plane, both slip systems individually admit plane strain plastic deformation
 241 with $s_i^{(1)}$ oriented such that $\theta_1 = \tan^{-1}(\sqrt{2}) \approx 54.7356^\circ$ and $s_i^{(3)}$ oriented
 242 such that $\theta_3 = \pi - \tan^{-1}(\sqrt{2}) \approx 125.2644^\circ$ relative to the specimen x_1 -axis.
 243 Another mirrored pair of slip systems consists of $(101)[\bar{1}\bar{1}1]$ and $(101)[1\bar{1}\bar{1}]$,
 244 which when activated in tandem produce an effective plain strain plastic slip
 245 system oriented such that $\theta_2 = 0^\circ$.

246 The remaining four mirrored pairs can be activated in tandem to form
 247 four effective in-plane slip systems, two of which have $s_i^{(\alpha)}$ parallel to $s_i^{(1)}$ and
 248 the other two of which have $s_i^{(\alpha)}$ parallel to $s_i^{(3)}$. However, the resolved shear
 249 stresses on these slip systems are smaller than that of effective slip systems
 250 1 and 3 so these have been neglected in this analysis.

251 Lastly, for the HCP crystal, effective slips systems are not required when
 252 oriented such that the basal plane is the plane of deformation since three in-
 253 plane slip systems exist for this configuration. The method for determining
 254 the effective slip systems are adopted from Rice (1987) and Niordson and
 255 Kysar (2014). The individual crystallographic slip systems and the corre-
 256 sponding effective slip systems are summarized in Table 2 and the orientation
 257 of the wedge indenter is shown in Fig. 3. The $s_i^{(\alpha)}$ and $m_i^{(\alpha)}$ of the individual
 258 crystallographic slip systems, in general, have components in the out-of-plane
 259 direction. In order to simplify the analytical and numerical analyses, (Rice,
 260 1987) showed it convenient to treat each mirrored pairs of slip systems as
 261 an effective in-plane slip system with unit effective in-plane unit slip direc-
 262 tion $S_i^{(\alpha)}$ and unit effective in-plane unit slip plane normal as $M_i^{(\alpha)}$. It is

263 then necessary to scale the values of the critical resolved shear stress and the
 264 reference plastic strain rate for the effective slip systems.

To that end τ_0 and $\dot{\gamma}_0$ are defined as the critical resolved shear stress and reference plastic strain rate, respectively, on the individual crystallographic plastic slip systems. Then $S_i^{(\alpha)}$ is substituted for $s_i^{(\alpha)}$ and $M_i^{(\alpha)}$ is substituted for $m_i^{(\alpha)}$ in Eq. (4) when calculating the resolved shear stresses. Finally, the effective critical resolved shear stresses and the effective reference plastic strain rates are scaled, respectively, with dimensionless scaling parameters $\lambda^{(\alpha)}$ and $\beta^{(\alpha)}$ to calculate the effective critical resolved shear stress, $\tau_0^{(\alpha)}$, and the effective reference strain rate, $\dot{\gamma}_0^{(\alpha)}$ for each of the effective in-plane slip systems according to

$$\tau_0^{(\alpha)} = \lambda^{(\alpha)}\tau_0, \quad \text{and} \quad \dot{\gamma}_0^{(\alpha)} = \beta^{(\alpha)}\dot{\gamma}_0 \quad (7)$$

265 where the values of $\lambda^{(\alpha)}$ and $\beta^{(\alpha)}$ for each effective slip system for FCC, BCC
 266 and HCP are listed in Table 2.

267 **3. Self-similarity and the numerical framework**

268 *3.1. Self-similar relation*

269 In the context of plasticity, self-similarity may be defined as solutions to
 270 a boundary value problem where field quantities remain unchanged in shape,
 271 and only the spatial extent of the solution scales with time or deformation.
 272 Such solutions may be encountered in indentation, void growth, and station-

273 ary crack problems to name a few.

274 The governing equation to be derived in the following holds for any history
 275 dependent self-similar solution and as such can be exploited to address a wide
 276 range of problems. Here, considering indentation, self-similarity is obtained
 277 when the indentation rate, defined as $\dot{a}/a = \dot{c}$, is constant, where a is the
 278 half contact length (i.e. the distance from the center of the indentation to
 279 the contact point singularity) and \dot{a} the contact point velocity, as illustrated
 280 in Fig. 1.

281 Before the self-similar method is described further, two different coor-
 282 dinate systems applied in the derivation will be defined. The first is the
 283 *reference coordinate system*, x_i , that describes the position of all material
 284 points at time $t = 0$ and the second is the *self-similar coordinate system*
 285 in which coordinates of material points change with time. The axes of the
 286 self-similar coordinate system expand and stretch accordingly with the evo-
 287 lution of the self-similar field. There exists a family of self-similar coordinate
 288 systems, all related by scaling factors, but a specific self-similar coordinate
 289 system where the coordinates are normalized with the half contact length, a ,
 290 according to $\xi_i = x_i/a$ is employed here. Thus, the contact point singularity
 291 is located at $\xi_i = (1, 0)$.

During indentation, self-similarity may be recognized by an observer who
 changes magnification in proportion to the indentation contact length, as this
 is the only characteristic length in the problem. Thus, any field quantity, f ,
 must have the functional dependence $f(\xi_i)$. Under self-similar conditions,

the only time dependence in the problem enters through the evolution of the characteristic length, a . Thus, the time rate of change of any field quantity in the self-similar coordinate system can be expressed through the following self-similar relation

$$\dot{f} = \frac{df}{dt} = \frac{\partial f}{\partial \xi_i} \frac{\partial \xi_i}{\partial t} = -\frac{\dot{a}}{a} \xi_i \frac{\partial f}{\partial \xi_i} = -\dot{c} \xi_i \frac{\partial f}{\partial \xi_i} \quad (8)$$

where \dot{c} can be viewed as the magnification rate.

This constitutes a relation between time varying and spatially varying quantities, enabling a numerical framework specialized for self-similar problems along the same lines as those first laid out by Dean and Hutchinson (1980) for steady-state problems where the stationary field translates.

To establish a better understanding of the self-similar problem, the self-similarly expanding field solution (constant magnification rate, \dot{c}) is analyzed (see Fig. 1). By integrating $\dot{a}/a = \dot{c}$ with respect to time, t , an explicit relation between the half contact length, a_0 , at $t = 0$, and the current half contact length, a , at time, t , can be obtained; $a = a_0 e^{\dot{c}t}$. From this relation, it is seen that the contact length grows exponentially over time. Hence, it follows that any length quantity related to the indentation process must evolve exponentially in time as there are no further independent length quantities.

This is illustrated in Fig. 4, where the basis related to the reference coordinate system, x_i , is given by $(\underline{g}_1, \underline{g}_2)$ such that the contact point at time $t = 0$ is located at $(a_0, 0)$. As indentation progresses, the basis in a self-similar

308 coordinate system will be stretched according to $(\underline{G}_1^{(t)}, \underline{G}_2^{(t)}) = (\underline{g}_1, \underline{g}_2)a_0e^{ct}$,
 309 maintaining the contact point (singularity) at $\xi_i = (1, 0)$. It then follows
 310 from the relation between bases (or equivalently the relation for the expo-
 311 nentially increasing contact length) that a material point and its history in
 312 the indentation process can be tracked according to $\xi_i = (x_i/a_0)e^{-ct}$ in the
 313 self-similar coordinate system. The coordinates of a material point, x_i , in
 314 the self-similar coordinate system, ξ_i , therefore diminish with time.

315 With a suitable relation established between time derived and spatially
 316 derived quantities, a numerical integration technique similar to that of Dean
 317 and Hutchinson (1980) can be adopted. However, in contrast to the integra-
 318 tion lines from Dean and Hutchinson (1980), which represent the material
 319 flow in a predefined direction, the integration technique here is based on
 320 spatial integration along lines starting far away in the elastic region, going
 321 towards the origin of the self-similar field (in this case the indenter tip),
 322 carrying the history dependence of material points (see illustration of a inte-
 323 gration line in Fig. 1). As a consequence of the integration lines being located
 324 radially around the indenter tip, it is convenient to express the self-similar
 325 relation, Eq. (8), in a self-similar polar coordinate system with the origin
 326 located at the tip of the indenter. The self-similar expression can thus be
 327 expressed as $\dot{f} = -\dot{c}\rho\partial f/\partial\rho$ where ρ is defined as the radial distance to a
 328 point on the integration line. This self-similar relation will be employed in
 329 the development of the numerical framework.

330 *3.2. Numerical framework*

331 The self-similar finite element model developed in the present study is a
 332 novel approach to handle this class of problems, inspired by the early work
 333 of Dean and Hutchinson (1980) for steady-state problems. The self-similar
 334 condition established in Section 3.1 states that any time derived quantity,
 335 \dot{f} , in the constitutive model can be directly related to a spatial derivative
 336 through the magnification rate, \dot{c} , according to the relation $\dot{f} = -\dot{c}\rho\partial f/\partial\rho$.
 337 Thus, any quantity of interest at a given material point, ρ^* , can be evaluated
 338 by integrating along a self-similar line, starting far away from the center
 339 of the self-similarity (in this case indenter tip) in the elastic zone, ρ^0 , and
 340 ending at the point of interest closer to the indenter tip, ρ^* (see integration
 341 path in Fig. 1). The point of interest, ρ^* , will then contain the load history
 342 of all points further away from the indenter tip. The self-similar integration
 343 procedure is performed with a classical forward Euler integration scheme.

344 As in Dean and Hutchinson (1980), the displacement field, u_i , is deter-
 345 mined from the conventional principle of virtual work (PVW) for a quasi-
 346 static self-similar problem

$$\int_V \mathcal{L}_{ijkl}\varepsilon_{kl}\delta\varepsilon_{ij}dV = \int_S T_i\delta u_i dS + \int_V \mathcal{L}_{ijkl}\varepsilon_{kl}^p\delta\varepsilon_{ij}dV \quad (9)$$

347 where $T_i = \sigma_{ij}n_j$ is the surface traction with n_j denoting the unit outward
 348 normal vector, V is the volume, and S is the bounding surface. Using the
 349 finite element method, the PVW is discretized using a 2D 8-node isopara-

350 metric elements with reduced Gauss integration (2×2 Gauss points).

351 The procedure for obtaining the self-similar solution is very similar to the
 352 one suggested by Juul et al. (2017) for a single crystal visco-plastic steady-
 353 state model, however, the integration is now carried out along lines emanating
 354 from the center of the self-similar field. The pseudo-algorithm for the self-
 355 similar procedure is as follows (superscript n refers to the iterative step):

- 356 1. The plastic strains from the previous iteration, $\varepsilon_{ij}^{p(n-1)}$, are used to
 357 determine the current displacement field, $u_i^{(n)}$, from the PVW in Eq. (9)
 358 ($\varepsilon_{ij}^{p(n-1)} = 0$ in the first iteration).
- 359 2. The total strains, $\varepsilon_{ij}^{(n)}$, are determined from the displacement field, $u_i^{(n)}$.
- 360 3. The slip on each slip system and the plastic strain field are determined
 361 by the self-similar integration procedure.

- (a) First the spatial derivatives of the slip and total plastic strains
 are determined by applying the self-similar relation ($\partial f / \partial \rho =$
 $-\dot{f} / (\rho \dot{c})$)

$$\frac{\partial \gamma^{(\alpha)}}{\partial \rho} = -\frac{\dot{\gamma}_0^{(\alpha)}}{\rho \dot{c}} \operatorname{sgn}(\tau^{(\alpha)}) \left(\frac{|\tau^{(\alpha)}|}{g^{(\alpha)}} \right)^{1/m} \quad (10)$$

$$\frac{\partial \varepsilon_{ij}^p}{\partial \rho} = \sum_{\alpha} \frac{\partial \gamma^{(\alpha)}}{\partial \rho} P_{ij}^{(\alpha)} \quad (11)$$

- 362 (b) Secondly, the current slip $\gamma^{(\alpha)(n)}$ on each slip system and the cur-
 363 rent plastic strains, $\varepsilon_{ij}^{p(n)}$, are determined by performing the self-
 364 similar integration

$$\gamma^{(\alpha)(n)} = \int_{\rho^0}^{\rho^*} \frac{\partial \gamma^{(\alpha)}}{\partial \rho} d\rho, \quad \text{and} \quad \varepsilon_{ij}^{p(n)} = \int_{\rho^0}^{\rho^*} \frac{\partial \varepsilon_{ij}^{(p)}}{\partial \rho} d\rho. \quad (12)$$

- 365 4. The current stresses $\sigma_{ij}^{(n)}$ are then determined by applying the elastic
 366 constitutive relation; $\sigma_{ij} = \mathcal{L}_{ijkl}(\varepsilon_{kl} - \varepsilon_{kl}^p)$.
- 367 5. Step 1 through 4 is repeated, continuously feeding the new plastic field
 368 into the right hand side of Eq. (9), until convergence is obtained. Con-
 369 vergence is evaluated by comparing the displacement and stress field of
 370 the current iteration with the previous iteration.

371 It is noticed that the numerical framework is an iterative procedure (in con-
 372 trast to the traditional incremental procedures), directly bringing out the
 373 self-similar state of the problem.

374 The stability of the self-similar framework is found to be very robust to
 375 various parameters, and even for very low rate-sensitivity exponents con-
 376 vergence will be obtained without any special approach to the problems.
 377 However, this version of the framework also relies on the modifications sug-
 378 gested by Niordson (2001) and Nielsen and Niordson (2012a), where substeps
 379 between the Gauss points are introduced in the spatial integration procedure
 380 which increases the stability of the framework.

381 4. Results

382 The established numerical framework for self-similar problems is applied
383 to the wedge indentation process to validate the solution for the stress and
384 slip rate fields around the moving contact point (see also Saito et al., 2012).
385 The mesh is scaled around the contact point, such that the mesh is very fine
386 in the vicinity of the contact point where a detailed view is desired, while
387 the mesh is gradually coarser when moving away from the point of interest
388 in order to save computational time (see illustration in Fig. 5).

389 4.1. Stress Fields

390 In this part, the stress distribution will be presented for the FCC, BCC,
391 and HCP crystal structures as contour plots, angular variation around the
392 moving contact point singularity, and as the stress trajectory in stress space.
393 The contour plots are presented in the self-similar coordinate system, ξ_i ,
394 such that the contact point is always located at the coordinate $\xi_i = (1, 0)$
395 (the contact point singularity).

396 Figure 6 presents contour plots of the stress components for the FCC crys-
397 tal structure. According to Drugan and Rice (1984), stress discontinuities
398 are not admissible across a quasi-statically moving surface in a plastically
399 deforming material, which is also confirmed by the continuous stress distri-
400 butions. Upon further inspection of the stresses, it is seen that some stress
401 contour lines appear as rays emanating from the contact point. This is in
402 accordance with the asymptotic solutions in Eqs. (1)-(3). Moreover, it is

403 noticed that the asymptotic solution breaks down some distance from the
 404 contact point as the field is no longer independent of the radius from the
 405 contact point.

406 To investigate the angular variation of the stresses around the contact
 407 point, the stresses have been extracted along an arc around the contact point
 408 using an inverse isoparametric mapping scheme. The extracted numerical
 409 values (markers) are plotted together with the analytical solution (lines) in
 410 Fig. 7. Here, the vertical lines represent the sector boundaries shown in
 411 Fig. 2a. It is seen that there is a good agreement between the analytical
 412 and numerical results both in terms of the angular development but also the
 413 magnitude. Furthermore, it is seen that the stress components satisfy the
 414 boundary conditions in terms of $\sigma_{12} = 0$ and $\sigma_{22} = 0$ at $\theta = 0$ (the free
 415 undeformed surface) and $\sigma_{12} = 0$ at $\theta = -180^\circ$ (the frictionless indenter
 416 surface).

417 Lastly, the stress trajectory is plotted in Fig. 7b, starting in the vicinity of
 418 $(0, 0)$ which is at the free surface ($\theta = 0$) going in clockwise direction ending
 419 at the indenter surface. The elastic sectors (I-IV) are indicated on the stress
 420 trajectory according to Fig. 2a. The stress trajectory shows that the stresses
 421 start in an elastic region at the undeformed surface, then as $\theta \rightarrow -54.7^\circ$, the
 422 stresses develop into a state where the trajectory touches the yield surface at
 423 a point corresponding to a radial sector boundary undergoing glide shear (i.e.
 424 a radial ray of plastically deforming material). Afterwards, the material again
 425 becomes elastic until a second radial sector boundary (this time undergoing

426 kink shear) is encountered at $\theta = -90^\circ$ where the stresses just reach the
427 yield surface and then become elastic again. At $\theta = -125.3^\circ$, the final radial
428 sector boundary is encountered (glide shear) and the stress trajectory goes
429 back to the initial point where the material behaviour is elastic. The fact
430 that the material behaves elastically close to the indenter surface, indicates
431 large stress triaxiality which is also confirmed by the stress component in
432 Fig. 7a, where $\sigma_{11} = \sigma_{22}$ (Saito et al., 2012).

433 Figure 8 presents contour plots of the stresses for the BCC structure.
434 Comparing to the solution for FCC (Fig. 6) similar features are observed for
435 all stress components. Moreover, a similar asymptotic nature of the stresses
436 only being dependent on the angle in the immediate vicinity of the moving
437 contact point singularity is also valid. The stress components plotted along
438 an arc around the contact point is shown in Fig. 9a for the BCC crystal, along
439 with the analytical solutions from Eqs. (1)-(3). Again, the numerical solution
440 is seen to be in good agreement with the analytical solution. In addition,
441 the stress trajectory is presented in Fig. 9b for the BCC structure. Here,
442 recall that it is expected to see one sector less than for the FCC structure
443 as the analytical solution does not predict the existence of a radial sector
444 boundary at $\theta = -90^\circ$ (Saito and Kysar, 2011). Starting in the vicinity of
445 $(0, 0)$ and moving in the clockwise direction, the first radial sector boundary
446 (glide shear) is encountered. At the top horizontal line of the yield surface,
447 it is noticed that the numerical solution is, in fact, close to the yield surface,
448 even though this should not be the case for the BCC structure. This can

449 be explained by the fact that a rate dependent model has been employed
450 in the numerical model which results in a sector boundary at $\theta = -90^\circ$
451 being activated because of the stress trajectory is very close to the yield
452 surface (Saito and Kysar, 2011). By employing a rate independent model
453 in the framework this should be avoidable. Lastly, the second radial sector
454 boundary (also glide shear) is encountered and the material goes back to
455 being elastic approaching the initial state.

456 Finally, the HCP crystal is considered. The shape of the stress contours
457 for the HCP structure (Fig. 10) have minor differences in the details but are
458 overall similar to the stress contours for the FCC and BCC crystals. For
459 the HCP structure (Fig. 11), none of the asymptotic solutions considered
460 by Saito and Kysar (2011) were admissible, indicating the existence of at
461 least one angular plastic sector. The angular stress distribution in Fig. 11a
462 shows similarities to the FCC and BCC structure but the curves are less
463 smooth. Moreover, the numerical solution for the HCP structure still comply
464 with the boundary conditions in terms of $\sigma_{12} = 0$ and $\sigma_{22} = 0$ at $\theta =$
465 0 (the free surface) and $\sigma_{12} = 0$ at $\theta = -180^\circ$ (the frictionless indenter
466 surface). The stress trajectory in Fig. 11b starts in the vicinity of $(0, 0)$, and
467 moves in the clockwise direction. From the numerical solution, the trajectory
468 approaches the vertex in the upper left corner, then continue on the yield
469 surface, going towards the upper right vertex and subsequently towards the
470 vertex to the right of the starting point. This indicates that the material
471 behaves plastically within certain sectors as predicted by Saito and Kysar

472 (2011).

473 4.2. Slip rate fields

474 The slip rate for the FCC, BCC, and HCP crystal structure will be pre-
 475 sented in the following as contour plots near the moving contact point sin-
 476 gularity (the same normalization of the axes as for the stresses is used). The
 477 main goal of this part is to bring forward the discontinuities expected in slip
 478 rate. These discontinuities were not directly seen in the previous results of
 479 the stress field since stress discontinuities are not admissible for a moving
 480 contact point singularity (Drugan and Rice, 1984). It should be noticed that
 481 the analytically proven discontinuities (Drugan and Rice, 1984; Rice, 1987;
 482 Saito and Kysar, 2011) will appear as rays with a finite width in the field of
 483 interest due to the rate dependent material model employed.

484 Figure 12 displays the normalized slip rate on the three effective slip sys-
 485 tems for the FCC structure as well as the total slip rate (the sum $\dot{\gamma}^{(\text{tot})} =$
 486 $\sum^{\alpha} |\dot{\gamma}^{(\alpha)}|$). According to Fig. 2a, a glide shear discontinuity should be ob-
 487 served at $\theta = -125.3^\circ$ on slip system (1) which is also the case (Fig. 12a).
 488 The numerical predictions also holds for the two other analytical prediction
 489 by Saito and Kysar (2011) for slip system (2) which shows a kink shear ray
 490 at $\theta = -90^\circ$ (Fig. 12b) and lastly a glide shear ray on slip system (3) at
 491 $\theta = -54.7^\circ$ (Fig. 12c). The glide shear ray in Fig. 12a is of particular in-
 492 terest as it is seen that the ray is reflected at the displacement symmetry
 493 boundary ($\xi_1 = 0$), into a kink shear ray at $\theta = -125.3^\circ$. A better illus-

494 tration of this is shown in Fig. 12d, where the sum of the slip rates on all
495 systems is presented. It is seen that the kink shear ray arising from slip
496 system (1) at point B is intersecting the kink shear ray on slip system (2)
497 at point C. From a geometric point of view, these two rays should intersect
498 on a line of $\theta = -64.7^\circ$, which is confirmed by the numerical results. Upon
499 further inspection of the line OC, only slip system (1) contributes to plastic
500 deformation below the line of $\theta = -64.7^\circ$, whereas all slip systems contribute
501 to the deformation above the line. The observations for both the stress and
502 the slip rate fields are consistent with the results obtained by Saito et al.
503 (2012) for the FCC crystal.

504 In Fig. 13, the same results are presented for the BCC crystal structure.
505 Since the BCC structure has slip systems identical to the FCC crystal, the
506 same angles are observed (obviously, there is a change in the magnitudes, as
507 the effective parameters are different). For slip system (1) (Fig. 13a), the
508 glide shear ray is again observed at $\theta = -125.3^\circ$, and at $\theta = -54.7^\circ$ for
509 slip system (3) (Fig. 13c). Moreover, the contour plot in Fig. 13b shows a
510 kink shear ray at $\theta = -90^\circ$ similar to the one for the FCC structure, which
511 according to the analytical solution should not exist. This is ascribed to the
512 rate dependent model activating the kink shear due to the stress trajectory
513 being very close to the yield surface (see Fig. 9b). Additionally, a small
514 feature at approximately $\theta = -120^\circ$ in Fig. 13b is not part of the analytical
515 solution and its magnitude changes with the rate-sensitivity, m (the same
516 feature is in fact seen for the FCC crystal in Fig. 12b, however, it is much

517 smaller). For the BCC crystal a kink shear ray is also seen to emanate from
518 the displacement symmetry boundary (Fig. 13d) perpendicular to the glide
519 shear ray in slip system (1), making this system solely responsible for the
520 plastic deformation below the OC line. Furthermore, it is also here observed
521 that a kink shear ray, is reflected of the displacement symmetry boundary,
522 and that it intersects the kink shear ray on slip system (2) on a $\theta = -64.7^\circ$
523 line in accordance with the geometrical expectation.

524 Lastly, the slip rate fields are presented for the HCP structure (Fig. 14)
525 which deviate slightly from the FCC and BCC structures due to the difference
526 in slip systems. Even though an analytical solution was not established for
527 the HCP crystal, it is clear that the discontinuities coincide with the slip
528 systems, where slip system (1) creates a glide shear ray at $\theta = -120^\circ$, a kink
529 shear ray is formed on slip system (2) at $\theta = -90^\circ$, and finally another glide
530 shear ray is formed at $\theta = -60^\circ$ on slip system (3). Besides the discontinuities
531 related to the slip systems, an additional feature is observed in Fig. 14c at
532 approximately $\theta = -80^\circ$. Similarly to the unexpected feature in Fig. 13b,
533 this feature is expected to be an artifact of the rate dependency. As for
534 the FCC and BCC crystal structures, a kink shear ray for slip system (1)
535 reflects of the displacement symmetry boundary, however, it is much less
536 pronounced for the HCP crystal. This kink shear ray should intersect the
537 kink shear ray that emanates from slip system (2) at an angle of $\theta = -67^\circ$
538 (based on geometrical observations), however, the intersection is only vaguely
539 observable from Fig. 14d.

540 5. Concluding remarks

541 A novel numerical framework for self-similar problems in plasticity has
542 been developed. The framework is specialized to this class of problems and
543 eliminates a number of issues encountered when employing traditional La-
544 grangian procedures. Moreover, the framework readily enables focusing the
545 mesh for high resolution of field solutions in the regions of interest.

546 Main focus in the presented work is on the development and verification
547 of the self-similar framework. The verification is conducted by applying the
548 newly developed framework to wedge indentation in a 2D small strain setting
549 for single crystal plasticity, where both analytical (Saito and Kysar, 2011) and
550 numerical (Saito et al., 2012) results exist for comparison. The framework,
551 however, is general and holds for any self-similar problem in plasticity (also
552 in 3D and with appropriate extensions for finite strains). The key findings
553 are:

- 554 • The stress distribution in the vicinity of the contact point singularity
555 corresponds to the analytical predictions by Saito and Kysar (2011)
556 both qualitatively and quantitatively for the FCC and BCC crystal
557 structure. Furthermore, the stress field for FCC is similar to the nu-
558 merical results of Saito et al. (2012) showing the same qualitative fea-
559 tures.
- 560 • Numerical simulation indeed reveals discontinuities in the slip rate cor-
561 responding to the predictions of Saito and Kysar (2011). Based on the

562 analytical results both glide shear and kink shear sector boundaries ex-
563 ist for the FCC structure and this gives rise to three discontinuity lines
564 emanating from the contact point singularity. The sector boundaries
565 readily fall out by applying the new numerical framework.

566 • For the HCP crystal structure, an analytical expression was not con-
567 structed as the asymptotic solutions by Saito and Kysar (2011) were
568 not admissible, implying that at least one plastic sector exists (only
569 elastic sectors exist for FCC and BCC). The numerical results for the
570 HCP crystal confirmed the existence of such sectors by having part
571 of the stress trajectory remaining on the yield surface. Furthermore,
572 both glide shear and kink shear discontinuities are predicted by the
573 numerical model for the HCP crystal.

574 For the BCC crystal, only glide shear discontinuities should exist ac-
575 cording to analytical solutions, giving two sector boundaries. However, the
576 numerical solutions also predict a third sector boundary corresponding to a
577 kink shear discontinuity. The authors believe that this has to do with the
578 rate dependent material model for which the kink shear discontinuity appears
579 because the stress trajectory is very close to the yield surface.

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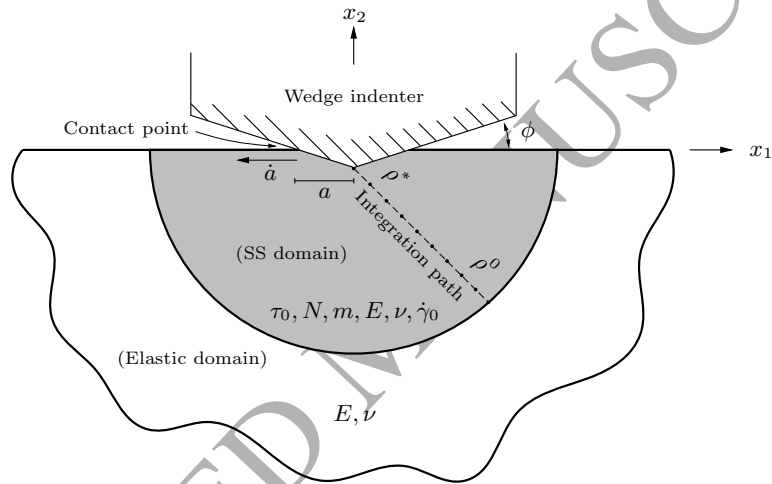


Figure 1: Wedge indentation in a rate dependent single crystal. Inside the self-similar history dependent domain (SS domain) the developed numerical framework is applied, whereas outside this domain, the material is treated as being linear elastic.

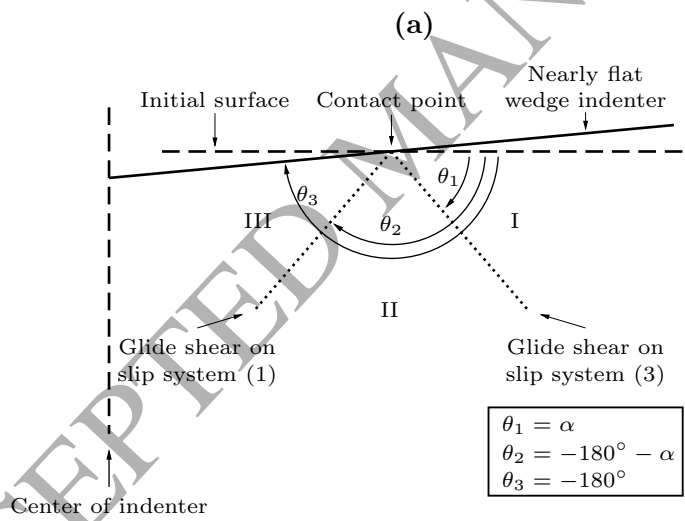
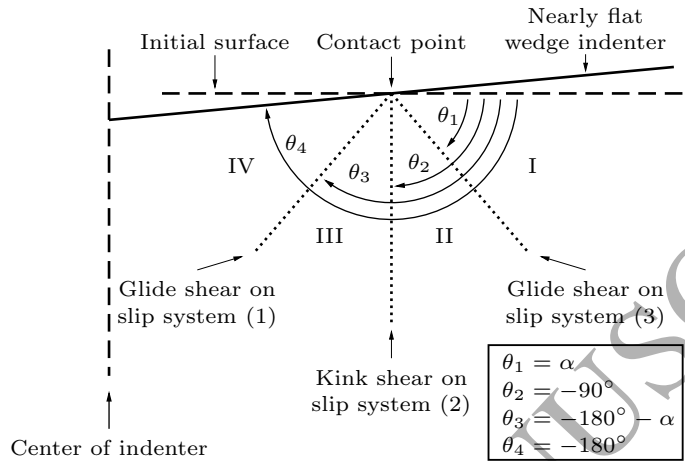


Figure 2: Sector structure for asymptotic fields beneath the contact point singularity (a) with kink shear sector boundary (FCC) and (b) without kink shear sector boundary (BCC) (Saito and Kysar, 2011). The parameter $\alpha = 54.7^\circ$ for both the FCC and BCC crystal structures.

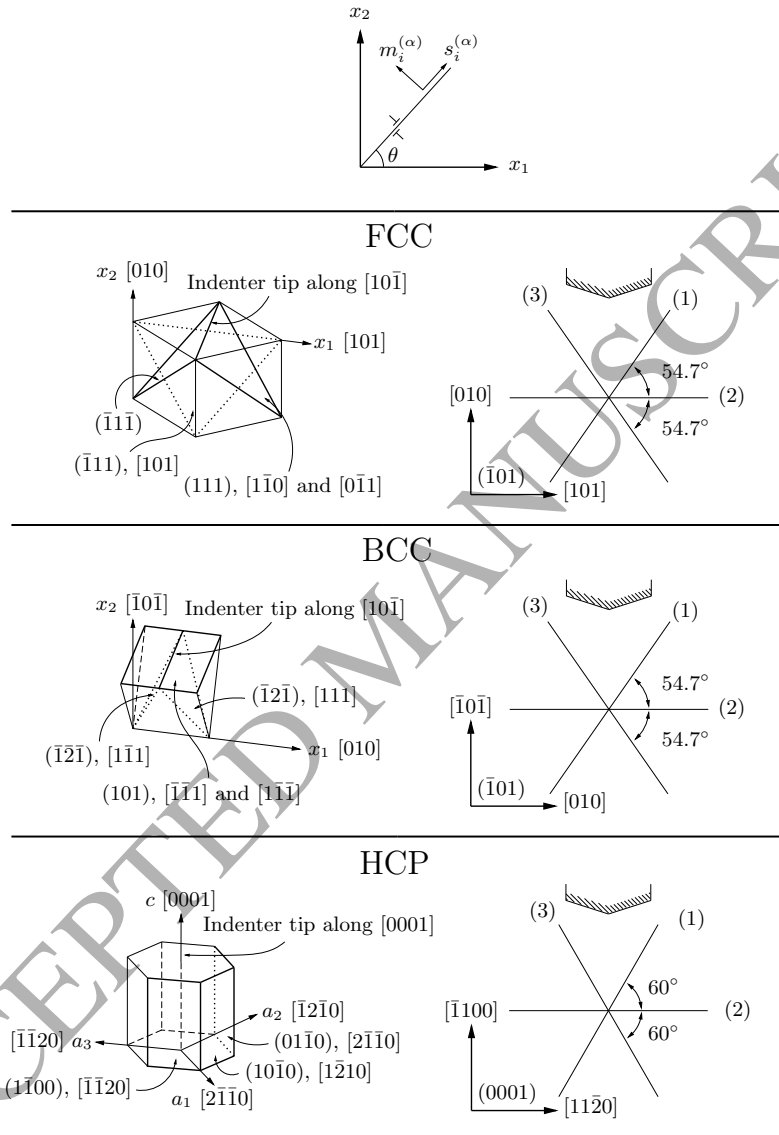


Figure 3: Crystallographic orientation of the specimen relative to the wedge indenter, and the effective slip systems for the FCC, BCC, and HCP crystal structures, respectively.

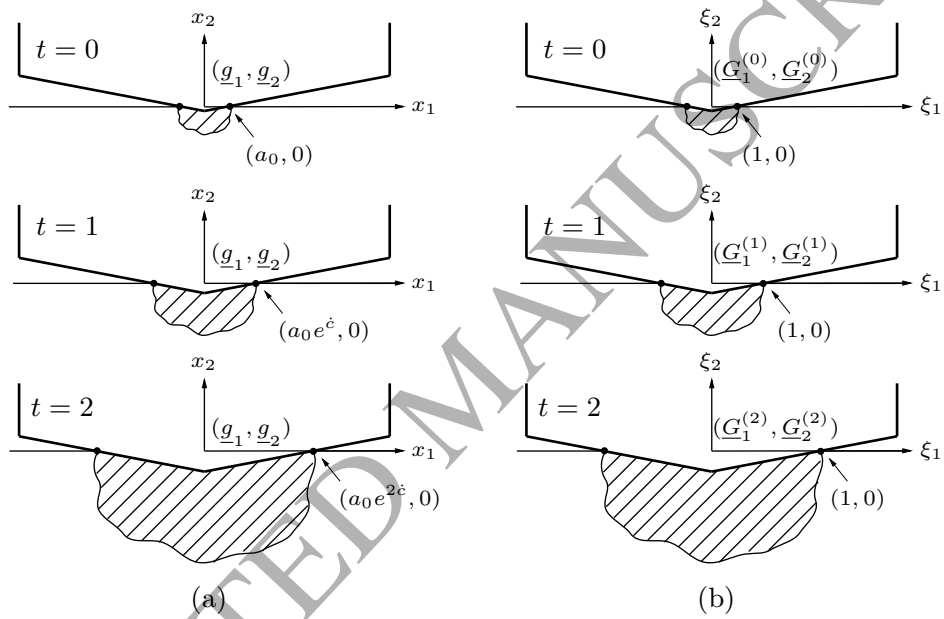


Figure 4: Development of self-similarity through time in (a) the reference coordinates with the basis vectors $(\underline{g}_1, \underline{g}_2)$, and in (b) the self-similar coordinate system with the stretched basis vectors $(\underline{G}_1^{(t)}, \underline{G}_2^{(t)})$.

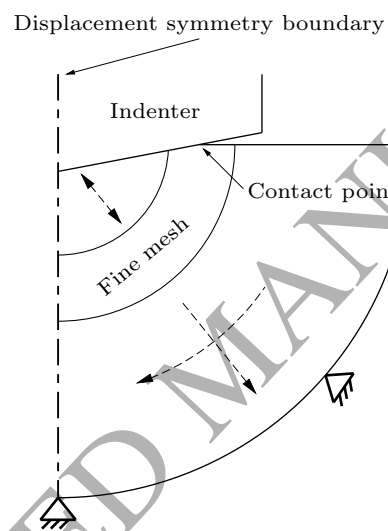


Figure 5: Right hand side of the domain used for numerical simulations. The dashed arrows indicate the direction of gradually increasing element size. The boundary of the domain is sufficiently far away from the contact point to have negligible influence on the results (the boundary is clamped).

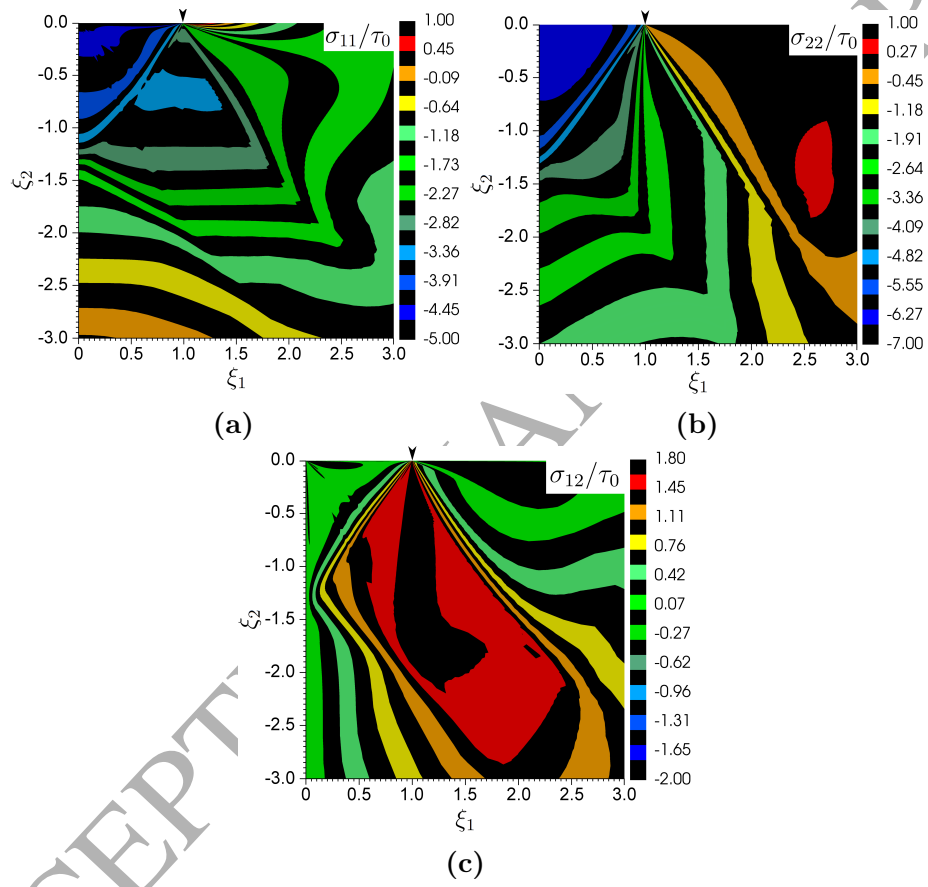


Figure 6: Stress distribution around the right hand side contact point, $\xi_i = (1, 0)$, in FCC crystal for the stress components (a) σ_{11}/τ_0 , (b) σ_{22}/τ_0 and (c) σ_{12}/τ_0 .

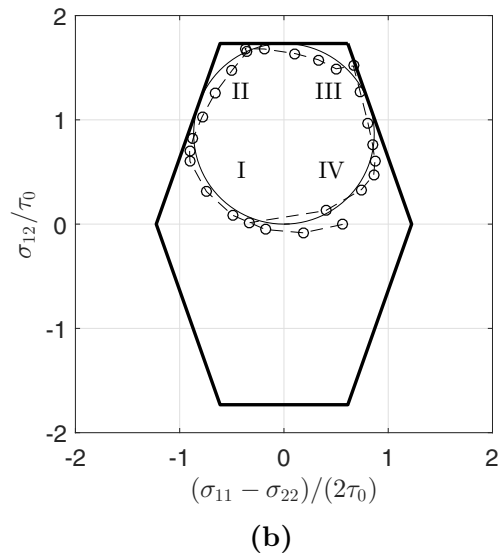
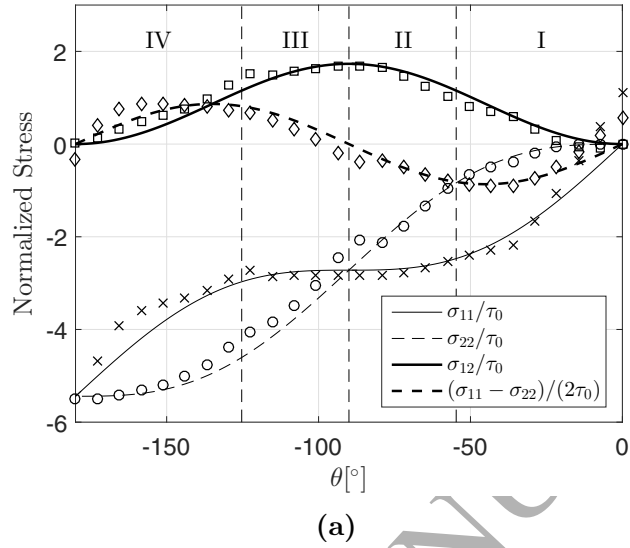


Figure 7: Stress distribution for FCC around the right hand side contact point projected as (a) angular distribution, and (b) stress trajectory with the thick line representing the yield surface. The lines represent the analytical solution while the markers indicate the numerical results.

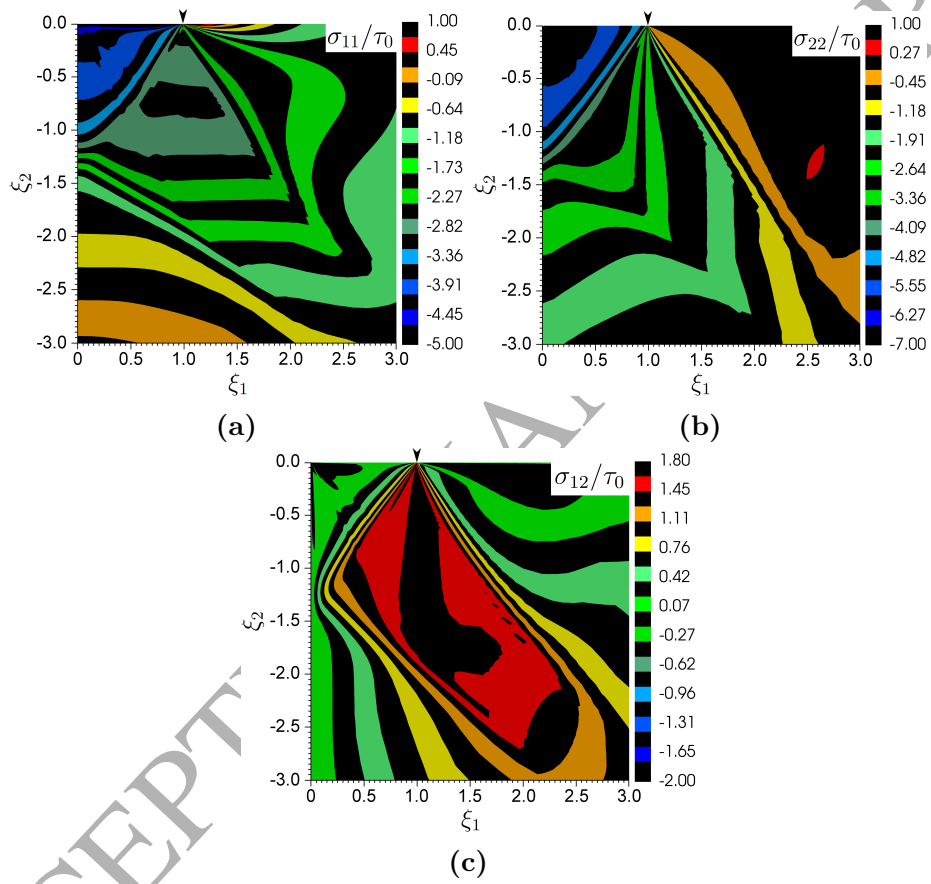


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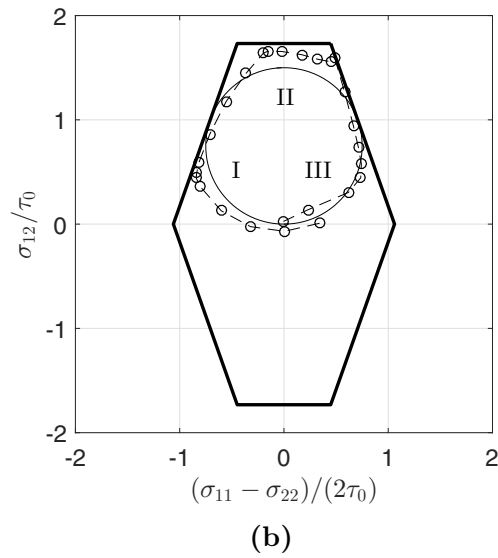
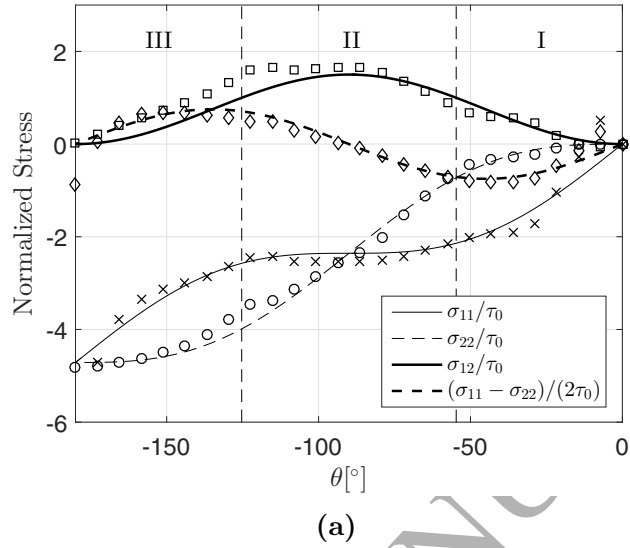


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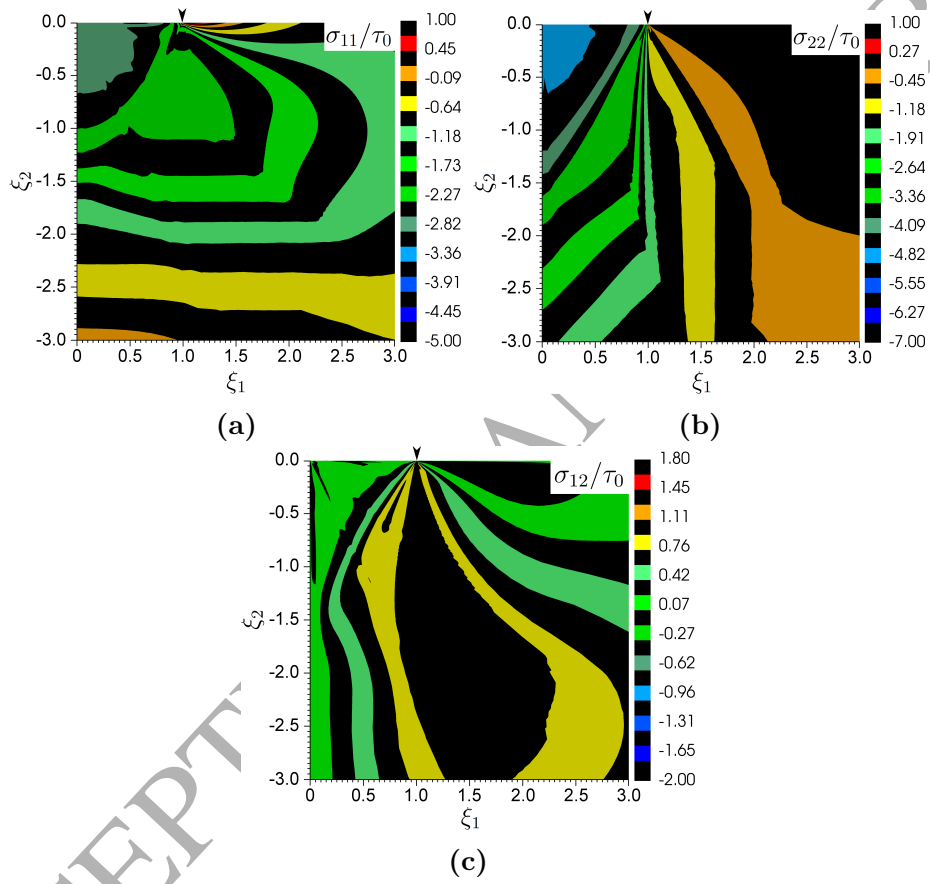
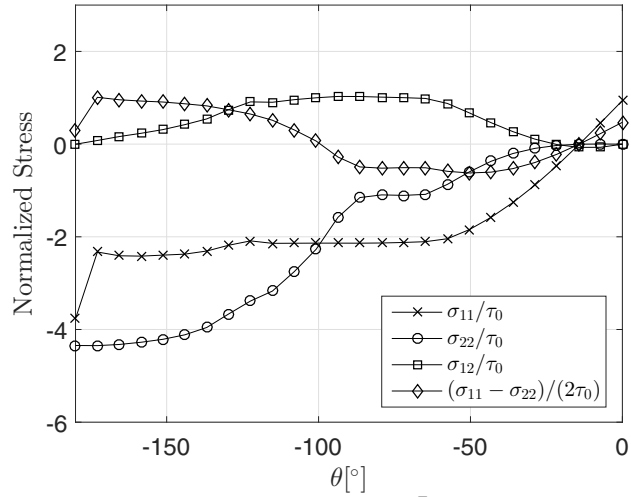
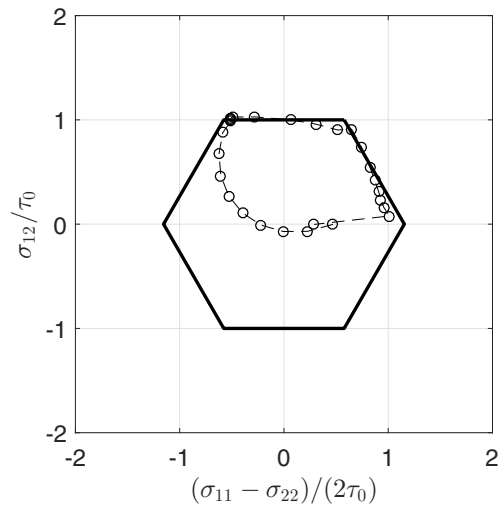


Figure 10: Stress distribution around the right hand side contact point, $\xi_i = (1, 0)$, in HCP crystal for the stress components (a) σ_{11}/τ_0 , (b) σ_{22}/τ_0 and (c) σ_{12}/τ_0 .



(a)



(b)

Figure 11: Stress distribution for HCP around the right hand side contact point projected as (a) angular distribution, and (b) stress trajectory with the thick line representing the yield surface. Here, only the numerical solution is presented.

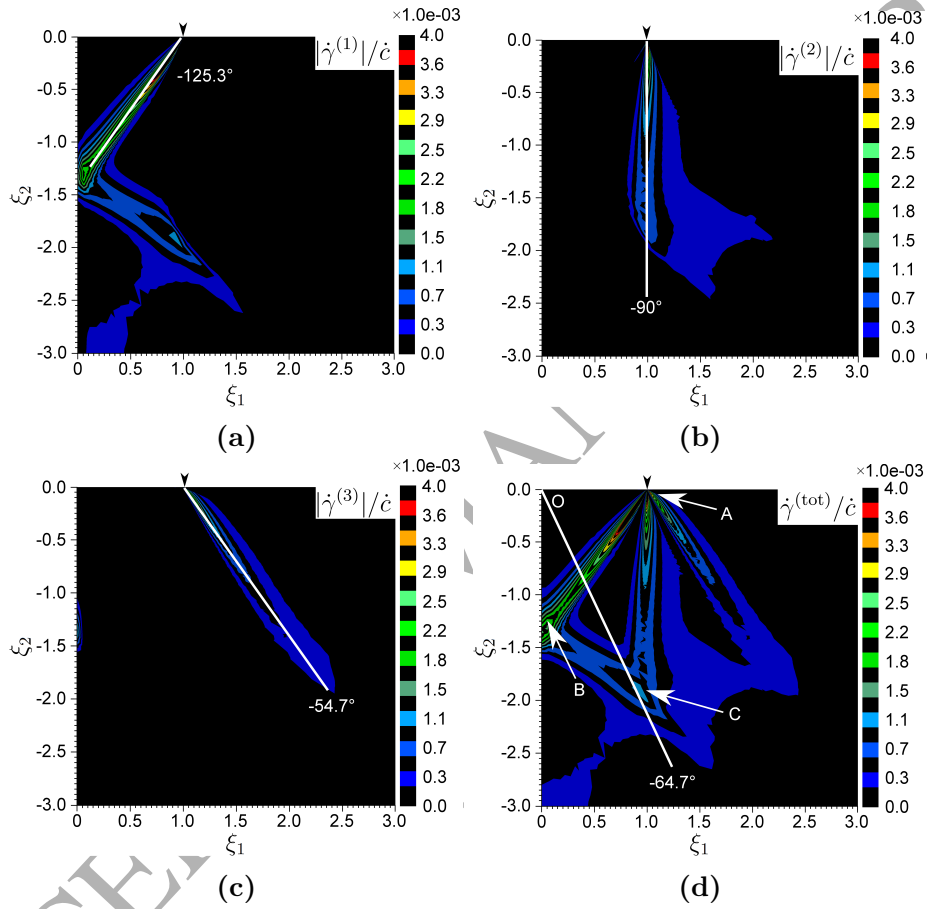


Figure 12: Slip rate around the right hand side contact point, $\xi_i = (1, 0)$, in FCC crystal for the slip systems (a) $|\dot{\gamma}^{(1)}|/\dot{c}$, (b) $|\dot{\gamma}^{(2)}|/\dot{c}$, (c) $|\dot{\gamma}^{(3)}|/\dot{c}$, and (d) $\dot{\gamma}^{(\text{tot})}/\dot{c}$ ($\dot{\gamma}^{(\text{tot})} = \sum^\alpha |\dot{\gamma}^{(\alpha)}|$).

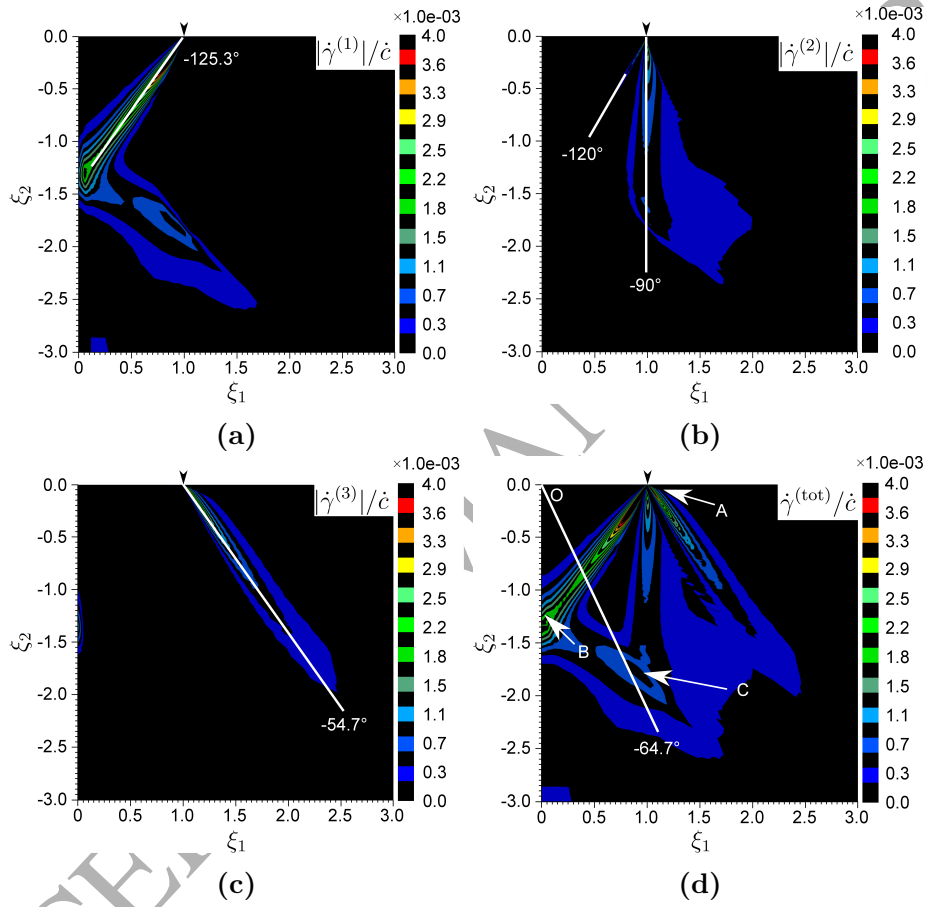


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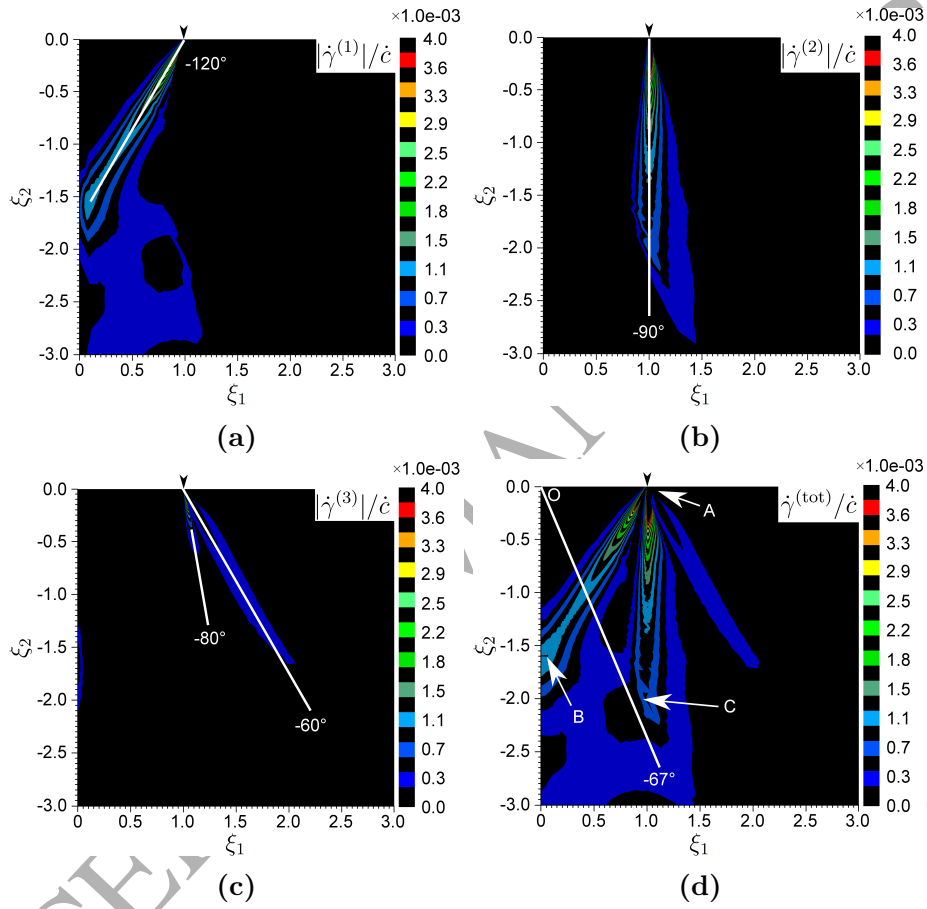


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| Parameter | Significance | Value |
|------------------|----------------------------------|----------------|
| τ_0/E | Yield strain | $\sim 1e^{-5}$ |
| ν | Poisson ratio | 0.3 |
| m | Strain rate-sensitivity exponent | 0.02 |
| $\dot{\gamma}_0$ | Reference slip rate | $0.001s^{-1}$ |
| \dot{c} | Magnification rate | $0.1s^{-1}$ |
| ϕ | Indenter angle | 0.038° |

Table 1: Model parameters.

| Effective slip system no. | (1) | (2) | (3) |
|---|--|--|--|
| FCC | | | |
| Angle to [101] in $(\bar{1}01)$ plane | 54.7° | 0° | -54.7° |
| Crystallographic slip system (a) | (111)[$\bar{1}\bar{1}0$] | (11 $\bar{1}$)[101] | ($\bar{1}\bar{1}\bar{1}$)[0 $\bar{1}\bar{1}$] |
| Crystallographic slip system (b) | (111)[0 $\bar{1}\bar{1}$] | ($\bar{1}\bar{1}\bar{1}$)[101] | ($\bar{1}\bar{1}\bar{1}$)[$\bar{1}\bar{1}0$] |
| $\beta(\alpha) = \frac{s_i^{(\alpha a)} m_j^{(\alpha a)} + s_i^{(\alpha b)} m_j^{(\alpha b)}}{s_i^{(\alpha)} m_j^{(\alpha)}}$ | $\sqrt{3}$ | $\frac{2}{\sqrt{3}}$ | $\sqrt{3}$ |
| $\lambda(\alpha) = \frac{\tau^{(\alpha)}}{\tau^{(\alpha a)}} = \frac{\tau^{(\alpha)}}{\tau^{(\alpha b)}}$ | $\frac{2}{\sqrt{3}}$ | $\sqrt{3}$ | $\frac{2}{\sqrt{3}}$ |
| BCC | | | |
| Angle to [010] in $(\bar{1}01)$ plane [°] | 54.7° | 0° | -54.7° |
| Crystallographic slip system (a) | ($\bar{1}\bar{2}\bar{1}$)[$\bar{1}\bar{1}\bar{1}$] | (101)[$\bar{1}\bar{1}\bar{1}$] | ($\bar{1}\bar{2}\bar{1}$)[111] |
| Crystallographic slip system (b) | - | (101)[$\bar{1}\bar{1}\bar{1}$] | - |
| $\beta(\alpha) = \frac{s_i^{(\alpha a)} m_j^{(\alpha a)} + s_i^{(\alpha b)} m_j^{(\alpha b)}}{s_i^{(\alpha)} m_j^{(\alpha)}}$ | 1 | $\frac{2}{\sqrt{3}}$ | 1 |
| $\lambda(\alpha) = \frac{\tau^{(\alpha)}}{\tau^{(\alpha a)}} = \frac{\tau^{(\alpha)}}{\tau^{(\alpha b)}}$ | 1 | $\sqrt{3}$ | 1 |
| HCP | | | |
| Angle to [11 $\bar{2}$ 0] in (0001) plane [°] | 60° | 0° | -60° |
| Crystallographic slip system (a) | (10 $\bar{1}0$)[1 $\bar{2}$ 10] | (1 $\bar{1}00$)[$\bar{1}\bar{1}\bar{2}0$] | (01 $\bar{1}0$)[2 $\bar{1}\bar{1}0$] |
| Crystallographic slip system (b) | - | - | - |
| $\beta(\alpha) = \frac{s_i^{(\alpha a)} m_j^{(\alpha a)} + s_i^{(\alpha b)} m_j^{(\alpha b)}}{s_i^{(\alpha)} m_j^{(\alpha)}}$ | 1 | 1 | 1 |
| $\lambda(\alpha) = \frac{\tau^{(\alpha)}}{\tau^{(\alpha a)}} = \frac{\tau^{(\alpha)}}{\tau^{(\alpha b)}}$ | 1 | 1 | 1 |

Table 2: Effective slip systems for plane strain model.