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| 1 | Quantifying Dissolved Organic Carbon Concentrations in Upland |
|----|---|
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24 Abstract

Concentrations of dissolved organic carbon (DOC) in soil and stream waters in upland 25 catchments are widely monitored, in part due to the potential of DOC to form harmful by-26 27 products when chlorinated during treatment of water for public supply. DOC can be measured directly, though this is expensive and time-consuming. Light absorbance in the 28 UV-vis spectrum is often used as a surrogate measurement from which a colour-carbon 29 30 relationship between absorbance and DOC can be derived, but this relationship can be 31 confounded by numerous variables. Through the analysis of data from eight sites in England 32 and Wales we investigate the possibility of using the concentration of phenolic compounds in water samples as a proxy for DOC concentration. A general model using data from all the 33 34 sites allowed DOC to be calculated from phenolics at an accuracy of 81-86%. A detailed 35 analysis at one site revealed that a site-specific calibration was more accurate than the general 36 model, and that this compared favourably with a colour-carbon calibration. We therefore 37 recommend this method for use where estimates of DOC concentration are needed, but where 38 time and money are limiting factors, or as an additional method to calculate DOC alongside colour-carbon calibrations. Tests demonstrated only small amounts of phenolic degradation 39 over time; a loss of 0.92 mg L^{-1} after 8 months in storage, and so this method can be used on 40 older samples with limited loss of accuracy. 41

42 Keywords: Dissolved organic carbon, phenolics, absorbance, peatland, water colour,
43

44 **<u>1. Introduction</u>**

Dissolved organic carbon (DOC) is a fluvial export from organic rich soils. Its
concentration is affected by various factors, such as soil carbon pool, peat cover (Aitkenhead *et al.*, 1999), hydrology (Dawson *et al.*, 2004), and vegetation (Palmer *et al.*, 2001), as well
as autochthonous production (Hope *et al.*, 1994). DOC concentrations have been increasing

49 in waters draining upland catchments in the UK (Freeman et al., 2001a), with similar trends being observed in waters in North America (Stoddard et al., 2003) and Scandinavia 50 (Skjelkvåle et al., 2005). One hypothesis is that these increases are driven by a recovery 51 52 from atmospheric deposition (Monteith et al., 2007, Ekström et al., 2011, Evans et al., 2012) although experimental studies also demonstrate that DOC loss can be strongly affected by 53 climate (e.g. Fenner & Freeman, 2011), and other factors such as hydrology, land 54 55 management, and atmospheric carbon dioxide concentration (Clark et al., 2010). Rising DOC concentrations have implications for human health, as harmful by-products can be 56 57 formed when DOC is chlorinated during water treatment (Chow et al., 2003). Additionally, high levels of DOC result in increased water treatment costs due to the use of a higher 58 coagulant dose, increased filter backwashing, and the production of larger amounts of sludge 59 60 (McDonald et al., 1991). DOC cycling is also of interest to those studying carbon budgets, 61 and significantly affects aquatic ecosystem functioning via its influence on light penetration, mobility and form of toxic substances, and the supply of energy and nutrients. 62 63 DOC is typically measured by high temperature combustion using infra-red detection either as 'non-purgeable' organic carbon (i.e. that part of the total dissolved carbon that is not 64 removed following acidification of the sample and sparging with oxygen gas), or by 65 calculating and then subtracting inorganic carbon from total carbon. These methods are 66 67 expensive and time-consuming, and require access to specialist analytical equipment. A 68 second method is to use absorbance at certain wavelengths in the ultraviolet-visible (UV-vis) range as a proxy for DOC. Wavelengths used include 254 nm (e.g. Edzwald, 1985), 330 nm 69 (e.g. Moore, 1987), 360 nm (e.g. Collier, 1987) and 400 nm (e.g. Gibson et al., 2009). 70 71 Routinely, a calibration curve is established between the chosen wavelength and a limited series of DOC measurements, so that further DOC concentrations can be calculated from the 72 73 calibration. Wallage and Holden (2010) demonstrate that caution must be used when using

74 absorbance as a proxy for DOC, as relationships between DOC and absorbance change over 75 time, with depth, and with management practices. Tipping et al. (2009) created a DOC model for non-polluted waters, using absorption at 254 nm and 340 nm, but Grayson & 76 77 Holden (2012) argued that wavelengths under 300 nm are unsuitable as DOC proxies, as they display rapid fluctuations in absorbance and a lack of differentiation between wavelengths. 78 However, wavelengths in the 400 nm region can sometimes be unsuitable as iron can 79 80 interfere with absorbance readings (Kritzberg & Ekström, 2012) Other colorimetric methods 81 exist to measure DOC, whereby the chemically-induced colour change of a sample is 82 measured with a spectrophotometer, such as that proposed by Bartlett & Ross (1988). Finally, fluorescence spectroscopy can be used as a method to characterise DOC, but not to 83 84 measure total DOC. This approach is valuable due to its high specificity and sensitivity 85 (Chen et al., 2003). An alternative method, rather than UV-vis, may therefore prove useful as 86 a surrogate DOC measure.

One feature of waters draining from wetlands, including peatlands, is the presence of 87 88 recalcitrant phenolics (Wetzel, 1992), which are secondary plant metabolites (Hättenschwiler & Vitousek, 2000). Their concentrations vary seasonally (Kaiser et al., 2001) and are 89 90 controlled by plant characteristics (Wetzel, 1992), and physical and chemical factors such as photodegradation (Faust & Holgne, 1987). They accumulate due to a lack of oxygen in 91 waterlogged soils, which limits the activity of the extracellular enzyme phenol oxidase 92 93 (Freeman et al., 2004). Phenolics are part of the coloured component of DOC (Toberman et al., 2008). They are aromatic, but DOC also includes aliphatic compounds (Leenheer & 94 Croué, 2003). Relationships between DOC and phenolics have been noted previously (Kang 95 96 et al., 2002, Hagedorn & Machwitz, 2007). The aim of this analysis is therefore to determine if an empirical relationship exists between the concentrations of DOC and phenolic-OH 97 (hydroxyl group) in upland waters, and under what conditions such a relationship might exist: 98

whether it is the same for different sites, soils and samples types, and how stable it is in the
long term. Based on the results of this analysis, the potential for using phenolics as a
surrogate measure for DOC is critically evaluated.

102

103 2. Materials and Methods

104 2.1. Study Sites

105 A total of 2020 water samples were taken from eight sites in northern Wales and northern England, UK, summarised in table 1. At Ffynnon Eidda 192 samples were from ditch water 106 107 and 132 samples were from pore water. The Migneint site was split into three sub-sites: pore waters from two different soil types (blanket peats and peaty podzols) and soil leachate 108 109 samples. The Peaknaze site was split into two sub-sites (again with pore water samples from 110 blanket peat and peaty podzols). For each peat and podzol sub-site approximately 600 data points were available, but random selections of 300 were taken so as not to bias the model 111 towards these sites. Other samples were taken from either standing water bodies or pore 112 water (using piezometers or Rhizon samplers at 10 cm depth), or were generated from soil 113 samples (from 10 cm or 30 cm depth) in the laboratory (leachate). At all sites, sampling was 114 115 repeated at fixed locations on a number of occasions.

Table 1. Location of field sites (ordered by sample type), including soil type, sample type, and the time period
over which sampling took place. For pore waters, P indicates a piezometer sampler, and R indicates a Rhizon
sampler. The fen mesocosms consisted of rafts of vegetation floating in individual pools.

119

120 2.2 Phenolics Assay

Samples were filtered through Whatman 0.45 µm cellulose nitrate filters, and phenolic
concentrations were determined using a method adapted from Box (1983). 0.25 ml of sample

123 was added to a clear microplate well. 12.5 µl of Folin-Ciocalteau reagent was added (using a pipette calibrated to 1.98% accuracy with a covariance of imprecision of 0.57%), followed by 124 $37.5 \,\mu$ l of Na₂CO₃ (200 g L⁻¹). After 1.5 hours the absorbance was measured at 750nm on a 125 BMG Fluostar Galaxy or Molecular Devices M2e Spectramax plate-reader. Phenolic 126 concentrations were then derived from the preparation of a standard curve using laboratory-127 prepared standards of known concentration $(0, 1, 2, 4, 6, 8, 10, 15, 20 \text{ mg L}^{-1})$. Additional 128 standards (0.2, 0.5, 0.75, 1.5 mg L^{-1}) were used for the analysis of samples from Llyn 129 Cwellyn, Llyn Conwy and Llyn Teyrn as phenolic concentrations from these sites were 130 frequently found to be $< 1 \text{ mg L}^{-1}$. Box (1983) cited a limit of detection of 6 µg phenol L⁻¹ 131 and a standard deviation of 4.1% at 1 mg phenol L^{-1} for this assay, although more recently the 132 limit of detection has been cited as 25 μ g L⁻¹ (Thoss *et al.*, 2002). 133

134 *2.3 DOC Analysis*

All samples were filtered through Whatman 0.45 μm cellulose nitrate filters and analysed
using an Analytical Sciences Thermalox Total Carbon analyser. Samples were acidified (pH
< 3) and sparged with oxygen to remove any inorganic carbon, and DOC concentrations
calculated using a seven point calibration curve (plus a quality control sample), with
additional standards to check for drift, and several samples (1-3 per run) duplicated to check
for reproducibility. Each individual sample was injected 5 times, and the result accepted if
the coefficient of variation of the five injections was less than 3%.

Plynlimon samples were analysed differently. They were diluted with sulphuric acid
and purged with oxygen (to remove inorganic carbon), after which a digestion reagent
(consisting of 0.044 M K₂S₂O₈, 0.089 M Na₂B₄O₇ and H₂O) was added. Following exposure
to a UV source, radicals react with the organic material in the sample, which is converted into
CO₂ and H₂O. By gas dialysis the CO₂ is lead into a colour reagent. Colour intensity

(measured at 550 nm) then decreases proportionally to the change in pH caused by the CO₂,
and this decrease is in relation to the DOC.

149

150 *2.4 UV-vis analysis*

151 UV-vis analysis was conducted on 192 samples from the Ffynnon Eidda site using a

152 Molecular Devices M2e Spectramax plate-reader. Light absorbance at the 254 nm and 400

153 nm wavelengths was measured.

154

155 *2.5 Statistics*

156 Phenolic and DOC values were paired together in order to examine any relationship between

them, and statistical analysis carried out using SPSS v16.0.1 (IBM Corporation, http://www-

158 <u>01.ibm.com/software/analytics/spss/products/statistics/</u>). Different sites and samples were

159 compared using t-tests and ANOVAs or, where data were not normally distributed (identified

160 by Kolmogorov-Smirnov Test), Mann-Whitney and Kruskal Wallis tests, with Bonferroni-

adjusted p values. The Bonferroni correction is a method to control the familywise error rate,

but does increase the probability of missing real differences in the data.

163 <u>3. Results</u>

164 *3.1 General model*

165 The linear regression gave the fit shown in Figure 1.

166

Figure 1. Observed relationship between phenolic concentrations (mg L^{-1}) and DOC concentrations (mg L^{-1}) for all 2020 water samples. $r^2 = 0.84$, residual variance = 72.051, p < 0.001.

169

170 This linear regression allowed DOC concentrations to be calculated directly from phenolic

171 concentrations, according to the formula:

172 DOC = (5.68 x Phenolics) + 1.99 (1)

where DOC is calculated in mg L^{-1} , and Phenolics is the measured phenolic concentration, 173 also in mg L⁻¹. Standard errors of the model parameters are respectively $(5.68)^{+}/-0.06$ and 174 (1.99) ⁺/- 0.32. Confidence intervals at 95% were 2.24 (lower) and 2.33 (upper). 175 This general model was then tested using phenolic and DOC data from other sites in 176 north Wales (figure 2). These were stream samples from the Nant y Brwyn (an upland 177 stream in a peat catchment, 410 m ASL), leachate samples from Alwen Reservoir (an upland 178 forested peat catchment, 390 m ASL), and pore water samples from Llyn Serw (an upland 179 peat catchment, 460 m ASL). Fits were generally good ($R^2 \ge 0.75$) although the model 180 tended to overestimate DOC concentrations at the Nant y Brwyn and underestimate them at 181 Llyn Reservoir and Llyn Serw. The model calculated DOC to a mean accuracy of 86% 182 (modelled values were on average 1.69 mg L^{-1} different to measured, standard error 0.32 mg 183 L^{-1}) at the Nant y Brwyn, 81% (mean difference of 2.21 mg L^{-1} , SE = 0.36 mg L^{-1}) at Alwen 184 Reservoir, and 86% (mean difference of 7.65 mg L^{-1} , SE = 0.94 mg L^{-1}) at Llvn Serw. 185 186 Figure 2. Regression between measured DOC and modelled DOC (mg L⁻¹) in Nant y Brwyn stream water, 187

n=24, $r^2=0.90$ (A), Alwen Reservoir leachate samples, n=25, $r^2=0.88$ (B), and Llyn Serw pore water samples, n=44, $r^2=0.75$ (C). p<0.001 for each relationship. Dashed line shows 1:1 relationship.

Despite the strength of the model, there was variation in the relationship between DOC and phenolics at the different sites. Figure 3 shows the median ratio of phenolic to DOC concentrations at each site, which ranged from 0.14 : 1 to 0.27 : 1. Differences in the ratios were tested using the Kruskal Wallis test, followed by Mann-Whitney tests with Bonferroni corrections to control the probability of false positive results. A total of 26 tests were performed (table 2). The highest mean phenolic:DOC was found at Llyn Teyrn but there is no significant difference when compared to the other two lakes Llyn Cwellyn and

Llyn Conwy. The lowest mean phenolic:DOC was in the Peaknaze podzol and the fen
mesocosms. It can be noted that spatial proximity of sampling sites is sometimes, but not
always, associated with a similar response between DOC and phenolics. For instance, the
peat and podzol sub-sites at Peaknaze are approximately 200 m apart and have no significant
difference in their ratios. However, the Migneint peat and podzol pore water sample sites
which are 500 m apart do show a significant difference.

Figure 3. Median phenolic concentrations (mg L⁻¹) per 1 mg L⁻¹ DOC concentrations for each site used in
the model.

206

207 Table 2. Results of Mann Whitney tests to compare for site differences in the median ratio of phenolics to DOC.
208 Asterisks indicate a significant difference at a Bonferroni corrected p value <0.05. NS indicates no significant</p>
209 difference. A blank space shows where no comparison was carried out. It is unfeasible to run all possible
210 pairwise comparisons as the Bonferroni correction would then produce a critical value of significance that is too
211 restrictive. Sites along the top are abbreviated, but are in the same order as those down the side.

212

213 A further investigation of different samples types is useful. For instance, there is no significant difference between the two podzol soils at Peaknaze and the Migneint. Figure 4 214 displays this amalgamated podzol data against its peat equivalent. The mean ratio of 215 phenolics to DOC is significantly different between the two soil types: 0.15 : 1 in the podzol, 216 and 0.18: 1 in the peat. Additionally, the concentrations of DOC and phenolics cover a 217 larger range and increase to higher values in the peat soil. Phenolic concentrations had a 218 range of 21.05 mg L^{-1} with a maximum of 21.53 mg L^{-1} in the two peat soils, compared with 219 a range of 15.83 mg L^{-1} and maximum of 16.27 mg L^{-1} in the podzols. There is also a 220 difference between surface water and pore water when all sites are considered (figure 5). The 221 mean proportion of phenolics to DOC is 0.20 : 1 in pore water compared to 0.17 : 1 in surface 222 water. The three lakes all possessed a high proportion of phenolics but their relatively small 223

| 224 | sample sizes compared to other surface waters reduced their influence on the mean. |
|-----|--|
| 225 | Concentrations of phenolics and DOC ranged more in the pore water and reached higher |
| 226 | levels. Maximum pore water phenolic concentration was 21.53 mg L ⁻¹ , whilst the highest |
| 227 | surface water value was 12.71 mg L^{-1} . |
| 228 | |
| 229 | Figure 4. Regression between phenolic and DOC concentrations (mg L ⁻¹) for the Migneint and Peaknaze |
| 230 | podzol (white circles) and peat (black circles) sites. $n=600$ for each soil type. Podzol $r^2=0.71$. Peat $r^2=0.79$. |
| 231 | For both soils p<0.001. |
| 232 | |
| 233 | Figure 5. Regression between phenolic and DOC concentrations (mg L ⁻¹) for surface waters (from |
| 234 | Ffynnon Eidda, Llyn Cwellyn, Llyn Conwy, Llyn Teyrn, and fen mesocosms $-n=608$) and pore waters |
| 235 | (from Migneint peat, Migneint podzol, Peaknaze peat, Peaknaze podzol, and Plynlimon $-n=767$). Surface |
| 236 | waters $r^2=0.88$. Pore waters $r^2=0.84$. For both samples types p<0.001. |
| 237 | |
| 238 | As phenolic concentrations are affected by factors such as vegetation growth, |
| 239 | microbial processes and phenol oxidase activity (Freeman et al., 2001b), their concentrations |
| 240 | vary seasonally. Figure 6 details these variations for a time period of just over four years. |
| 241 | Although not always consistent, there are occasions when all four sites respond similarly; this |
| 242 | is perhaps most pronounced in March 2011 when all sites show a large spike, with a lesser |
| 243 | peak following in July/August 2011. There are also occasions where just two sites respond |
| 244 | simultaneously, such as peaks for both Migneint sites during October 2009. There is |
| 245 | extensive interannual variation, however, with peaks and troughs in the relationship occurring |
| 246 | at different times during different years. |
| 247 | |
| 248 | Figure 6. Changes in the mean proportion of phenolics to DOC for four sites from September 2007 to |
| 249 | January 2012, with an approximate monthly sampling frequency. Sites are: Migneint peat – solid line, |

250 Migneint podzol – dotted line, Peaknaze peat – dashed line, Peaknaze podzol – dotted/dashed line. For
251 each site and each date the mean is generated from *n*=12.

252

253 3.2 Site-specific model and comparison with UV-vis method

254 Results indicate: 1) that the general model calculated DOC to a mean accuracy of 81-86%; 2) that there was considerable difference between sites and soils in the mean ratio of 255 phenolics to DOC. Therefore we investigated the possibility of using phenolic measurements 256 as a proxy for DOC on a specific site basis, with the hope of improving the accuracy and 257 giving more appropriate modelled DOC values. To investigate this a random selection of 100 258 paired phenolic and DOC measurements were selected from surface water samples from the 259 Ffynnon Eidda site, and a regression fitted to give the site-specific equation ($r^2=0.87$, 260 p<0.001): 261

$$DOC = (5.83 \text{ x Phenolics}) - 0.59$$
 (2)

where DOC and phenolics are calculated in mg L⁻¹. Equation 2 was then applied to the remaining 92 surface water phenolic measurements from Ffynnon Eidda to calculate DOC, as was equation 1. Equation 1 (the model using data from all sites) calculated DOC to a mean accuracy of 83.67% (standard error = 1.96%) whilst equation 2 (site-specific model) gave a mean accuracy of 86.54% (SE = 1.57%). A paired t-test (after the data was normalised by subtracting each value from 100% followed by square root transformation) showed this difference to be significant (p<0.05).

We also compared a site-specific phenolics model against a colour-carbon model: that is, a regression of DOC concentration against light absorbance at a certain wavelength. For this, 192 data points from the Ffynnon Eidda surface water dataset were used, and phenolic concentrations compared against absorbance at 254 nm and 400 nm (figure 7). Absorbance

at 254 nm gave the best fit, closely followed by phenolic concentration, whilst absorbance at
400 nm gave the weakest fit.

276

Figure 7. Regressions of DOC concentration against A) phenolic concentration, B) absorbance at 254 nm,
C) absorbance at 400 nm, for 192 ditch water samples from Ffynnon Eidda. r² values A) 0.87, B) 0.9, C)
0.79. For all regressions p<0.001.

280

Finally, if phenolic concentration is to be used as a proxy for DOC it is useful to know 281 if a calibration can be established using a small number of measurements, and how this 282 compares to a colour-carbon calibration. To test this a random sub-sample of 25 283 measurements was taken from the Ffynnon Eidda data-set and analysed by regression; r² and 284 regression equation were noted - to allow a simple comparison the regression was forced 285 through the origin. This method was repeated twenty times for DOC and phenolics, DOC 286 and absorbance at 400 nm, and DOC and absorbance at 254 nm. The mean r^2 values were 287 0.83 for the phenolics model, 0.71 for the 400 nm model, and 0.85 for the 254 nm model. 288 ANOVA revealed that there was no significant difference in the mean r^2 between the 289 phenolic and 254 nm model, but that the 400 nm model differed significantly from both 290 (p<0.001). The mean slope of all twenty regression equations was then compared against the 291 292 slope of the regression that used all 192 data points; this gives a measure of the magnitude of error that using a small calibration brings. The mean slope difference was 2.65% for the 293 phenolic model, 5.59% for the 400 nm model, and 3.16% for the 254 nm model. The only 294 295 significant difference was between the phenolic model and the 400 nm model (p<0.05).

296

297 *3.3 Phenolic degradation in stored samples*

To investigate how phenolics degrade in stored water samples a small number ofsamples from the Ffynnon Eidda site were reanalysed for phenolic concentrations. One set of

| 300 | samples had been in storage for 13 months whilst the second set had been stored for 8 |
|-----|---|
| 301 | months. They had been stored in plastic Nalgene® bottles (Thermo Scientific) in the dark at |
| 302 | 4°C. The site-specific model was then applied to phenolic concentrations that had been |
| 303 | measured both before and after storage (table 3). The mean loss of phenolics during storage |
| 304 | was 0.74 mg L^{-1} (11.7%) for the 8 month samples and 0.58 mg L^{-1} (8.3%) for the 13 month |
| 305 | samples. The smaller value for the 13 month samples is due to the fact that phenolic |
| 306 | concentration increased in two samples. Removing these numbers gave a mean of 0.77 mg L |
| 307 | 1 (12.9%). After 8 months in storage the phenolic measurements calculated DOC, on |
| 308 | average, to within a mean of 2.77 mg L^{-1} or 91.4% (compared to 1.87 mg L^{-1} or 93.9% before |
| 309 | storage). After 13 months DOC could be calculated to 5.29 mg L^{-1} or 84.6% (compared to |
| 310 | 3.43 mg L^{-1} or 89.3% before storage). Additional analysis of pore water samples from |
| 311 | Ffynnon Eidda revealed that after 8 months the mean loss of phenolics was 0.92 mg L^{-1} |
| 312 | (12.4%), but after 13 months there was a mean increase of 0.62 mg L^{-1} (9.4%) (table 4). |
| 313 | Table 3. The extent of phenolic degradation in stored water samples taken from ditch water at Ffynnon Eidda. |
| 314 | 'Phenolics' is the concentration taken immediately after sampling. 'Phenolics ⁸ ' or 'Phenolics ¹³ ' is the |
| 315 | concentration of the same sample after either 8 or 13 months of storage in the dark at 4°C in plastic Nalgene® |
| 316 | bottles. 'Phenolics ^{diff} , is the concentration change following storage, - indicates a loss, +indicates a gain. 'Meas |
| 317 | DOC' is the measured DOC concentration. 'Mod DOC' is the estimate DOC concentration using the site- |
| 318 | specific model, calculated using the original phenolic measurement. 'Mod DOC ⁸ ' and 'Mod DOC ¹³ ' are the |
| 319 | estimated DOC concentrations using the site-specific model, calculated using the phenolic measurements after |
| 320 | either 8 or 13 months of storage. All concentrations are in mg L^{-1} . |
| | |

Table 4. The extent of phenolic degradation in stored water samples taken from pore water at Ffynnon Eidda.
'Phenolics' is the concentration taken immediately after sampling. 'Phenolics⁸, or 'Phenolics¹³, is the
concentration of the same sample after either 8 or 13 months of storage in the dark at 4°C in plastic Nalgene®
bottles. 'Phenolics^{diff,} is the concentration change following storage, - indicates a loss, +indicates a gain. All
concentrations are in mg L⁻¹.

328 4. Discussion

329 4.1 Using the general phenolic model to calculate DOC

This analysis shows that phenolic concentrations can be used to give an 330 331 estimate of DOC concentrations for the pore waters and drainage waters of peaty soils. A general model using data from numerous sites allowed DOC to be calculated for three new 332 sites at a mean accuracy of 81-86%; these three sites included pore water, surface water, and 333 334 leachate samples. For each of the three sites, there was some evidence of small systematic errors in DOC predictions, due to site-specific variations in the ratio of phenolics to DOC, 335 336 relative to the whole-dataset mean. One of the reasons for the high phenolic concentrations typically observed in wetlands and uplands seems to be due to the occurrence of certain plant 337 species. Sphagnum species, Vaccinium myrtillus, Calluna vulgaris, Empetrum 338 339 hermaphroditum, and Erica australis are all phenolic-rich species (Rudolph & Samland, 1985, Gallet & Lebreton, 1995, Kähkönen et al., 1999, Castells, 2008, Carballeria, 1980) and 340 are typical of upland bog vegetation. High water levels that maintain anaerobic conditions 341 constrain phenol oxidase activity and prevent the decomposition of phenolics, causing waters 342 drained from these areas to have high phenolic concentrations (Freeman et al., 2004). 343 Variations in factors such as water table, temperature, soil type and vegetation may therefore 344 explain some of the variability in the relationship between sites. For instance, the Migneint 345 346 podzol site displays very low concentrations of phenolics per unit of DOC compared to the 347 nearby Migneint peat site and this could be attributed to vegetation; the podzol site is typified by *Festuca ovina* and *Juncus squarrosus* and lacks the *Calluna* species that dominate the peat 348 site. There is therefore less potential for the vegetation to release high concentrations of 349 350 phenolics. In addition, it is a well drained soil so phenol oxidase activities will be higher, resulting in higher rates of phenolic degradation (Freeman et al., 2001b). 351

A full understanding of site differences is complex, however. Despite the Migneint 352 peat and podzol sites showing differences in the phenolic to DOC ratio, the adjacent 353 Peaknaze peat and podzol sites do not. Like the Migneint sites, the peat site is predominantly 354 355 comprised of *Calluna* and other bog species, whilst the podzol site largely features *Festuca* ovina, although Calluna is present. It therefore seems likely that the presence of Calluna 356 could account for the lack of an observed difference at Peaknaze. Alternatively, it is possible 357 358 that other environmental factors are the primary controller of phenolic concentrations at Peaknaze, such as shared precipitation and temperature. The long-term data sets from the 359 360 paired Peaknaze and Migneint sites clearly show shared changes in the phenolic to DOC ratio. Some of these will be due to large scale weather events; a severe drought across the 361 UK could stimulate phenol oxidase activity at all sites, thus causing an associated decline in 362 363 phenolic concentrations. Drought conditions have also been shown to enhance both the abundance and diversity of bacteria that are capable of degrading phenolic compounds 364 (Fenner et al., 2005). On a similar theme, a localised mountain storm on the Migneint would 365 366 be observed as a spike in the phenolic to DOC ratio as phenol oxidase is suppressed due to aerobic conditions facilitating the accumulation of phenolics (Freeman et al., 2004). Where 367 only one of the four locations shows a change this must be attributable to localised factors, 368 such as vegetation controls. 369

There was no significant difference in the ratio of phenolics to DOC in the three lakes (Llyn Teyrn, Llyn Cwellyn and Llyn Conwy), and they all showed relatively high proportions of phenolics. This can partly be explained by the fact that all three are humic lakes; Shimp and Pfaender (1985) showed that when microbial communities become adapted to increased levels of humic acids, their capability to degrade phenolics is reduced. Processing of fresh DOC can occur rapidly in lakes (Tranvik *et al.*, 2009) and, coupled with the high dilution effect, differences in phenolic:DOC are unlikely to be observed on the same magnitude as

those occurring in soils. Phenolic concentrations and the other fractions of lake DOC will
vary throughout the year, due to changing hydrological conditions (Sachse *et al.*, 2001), and
differences in the efficiency of photolysis and microbial degradation (Hwang *et al.*, 1986).

380 Leachate samples from the Migneint were not significantly different from pore water samples from the Migneint peat site but the phenolic content of the leachate samples varied 381 by an order of magnitude; the lowest concentration of phenolics to 1 mg L^{-1} of DOC was 0.07 382 mg L^{-1} , whilst the highest was 0.72 mg L^{-1} . Other work from forest ecosystems has 383 demonstrated that one of the main components of fresh leachate is phenolics (Yavitt & 384 385 Fahey, 1986, Beggs & Summers, 2011) so it seems likely that these differences are driven by the depth of samples from the soil profile, and the availability of phenolics from adjacent 386 vegetation. A comparison of sample types revealed that the ratio of phenolics to DOC was 387 388 higher in pore water than surface water, and it can be hypothesised that this is due to the 389 increased leaching of phenolics into pore water from fresh litter (Beggs & Summer, 2011). Additionally, precipitation will contribute to surface water, and organic carbon in rainfall has 390 391 been shown to consist of <1% phenolics (Likens, 1983).

Taken together these findings suggest that a general model can be used to calculate DOC, but that variations in sample type, soil type, vegetation, and climate will all contribute a degree of error. Therefore the general model should be a 'last resort' for situations where a site-specific calibration isn't possible. For instance, Worrall *et al.* (2012) applied a general colour-carbon calibration to sites where a site-specific calibration was unavailable. For similar cases, the general phenolics model can be used to provide an additional estimate of DOC concentrations.

399

400 *4.2 Using a site-specific model to calculate DOC*

401 Considering the uncertainty that environmental and climatic factors induce in a general model, it is unsurprising that a site-specific regression of phenolics and DOC at 402 Ffynnon Eidda gave a stronger fit and was significantly more accurate. The exact accuracy 403 404 of any site-specific model will depend on the extent of phenolic variation throughout the year, which will be controlled by the aforementioned external factors. To generate a robust 405 model, sampling should take place at different times throughout the year (assuming the model 406 407 will be used on to calculate DOC for an annual data series) and under different climatic conditions. This should allow an 'average' model to be produced, rather than one that 408 409 systematically over- or underestimates DOC.

410

411 *4.3 Comparison of phenolic-based and absorbance-based DOC estimation*

A comparison of the performance of the site-specific phenol model to colour-carbon models indicated that a model based on absorbance at 254 nm produced a slightly better calibration than using phenolics, but that a model based on 400 nm model was not as strong as either. It should be noted that none produced fits that were as good as those produced by Tipping *et al.*, (2009) using a two wavelength (254 nm and 340 nm) model, but this method was not directly investigated here.

The models were all created using a large number (192) of data points. A useful model would, in reality, be constructed from as few data points as possible to save on the costs of directly measuring DOC. Repeatedly generating models for each proxy (phenolics, 254 nm, 400 nm) using just twenty five randomly selected data points showed that the 254 nm model was the strongest on average, with the phenolics model only slightly weaker. Again, the 400 nm model was considerably weaker compared to the other two. However, the phenolic model was the most accurate; on average the twenty five point regression only

deviated from the full (192 point) model by 2.65%. This was significantly better than the 400
nm model (5.59%) but showed no difference to the 254 nm model (3.16%).

These results therefore suggest that a small-dataset, site-specific calibration of 427 phenolics to DOC can be as or more accurate than a colour-carbon calibration, depending on 428 the wavelength of light absorbance used. Accuracy will vary throughout the year as phenolic 429 concentrations fluctuate, but the same problem is true of colour-carbon calibrations, as these 430 431 also vary seasonally (Watts et al., 2001, Wallage & Holden, 2010). Additionally, this study shows that a colour-carbon calibration at 254 nm is more accurate than one using 400 nm as a 432 433 proxy, at least for the site examined. Part of the reason for this could be iron interference, as iron can contribute to absorbance measurements at approximately 400 nm (Kritzberg & 434 Ekström, 2012). Wilson et al. (2011) found that the best proxy for DOC concentrations from 435 436 different catchments on blanket bog was either absorbance at 254 nm or 400 nm. The results presented here suggest that studies using colour-carbon calibrations should investigate the 437 potential of both wavelengths, as many just use 400 nm (e.g. Gibson et al., 2009, Wallage & 438 Holden, 2010, Rowson et al., 2010). 439

UV-vis scanning of water samples for these models must take place within a week of 440 sampling to ensure accuracy, and it is often desirable to analyse samples within a day of 441 collection (e.g. Wilson et al., 2011), but phenolics are relatively stable to microbial 442 degradation (Chian, 1977) and thus samples do not have to be assayed immediately. There is 443 444 a lack of information in the literature concerning the exact time samples can be stored for, but Afghan et al. (1974) noted no apparent loss after 16 days, provided samples were stored in 445 glass bottles. However, our results demonstrate only a small loss of phenolics from plastic 446 447 bottles after 8 months in storage in the dark at 4°C. These samples still enabled DOC to be calculated to an acceptable degree of accuracy. Samples stored for 13 months allowed DOC 448 to be calculated accurately, but interestingly two samples showed an increase in phenolics 449

450 following storage. Theoretically this could be an analytical error, but the fact that pore water samples also showed phenolic increases after 13 months suggests it is a real effect. It may be 451 that the increase is due to phenolic compounds leaching into the sample from the plastic 452 453 bottle, but it is unknown why only some samples showed increases. More detailed work could focus on the specific rate of phenolic degradation over time which, if known, could 454 then be incorporated into a model to allow DOC to be calculated accurately from older 455 samples. Considering these results, however, and it can be concluded that a phenolics-based 456 model is preferential to a UV-vis-based one if it is not feasible to analyse samples 457 458 immediately. Where samples can be analysed immediately, it is likely that the two wavelength model of Tipping et al. (2009) will be more accurate. 459

460

461 *4.4 Practical applications*

If direct DOC measurements are unavailable or unaffordable then this method can be 462 considered an effective substitute, considering: 1) the equipment needed is minimal, 463 464 consisting of a few chemicals and access to a spectrophotometer able to determine absorbance at 750nm; 2) preparation time for the samples is quick; 3) a microplate can be 465 used for the analysis, thereby allowing up to eighty four samples to be analysed at once; 4) 466 only a small amount (0.25 ml) of sample is needed; and 5) it can be used on older samples. 467 468 Some caution may be required in extending this approach to different sample types, 469 for example natural waters draining non-peaty soils, or leachate samples from other types of organic matter. Certain substances will also interfere with the phenolics assay; notably, iron 470 concentrations higher than 2 mg L^{-1} . This was not considered to be an issue for the sites used 471 in this study; monthly samples from the Ffynnon Eidda site taken between September 2006 472 and September 2011 had a mean iron content of 0.86 mg L^{-1} , and only exceeded 2 mg L^{-1} on 473 four occasions out of eighty four sampling dates (CEH unpublished data). None of the 474

incidences of high iron concentrations coincided with high phenolic concentrations. Iron levels for a peatland stream at the Plynlimon site averaged 0.1 mg L⁻¹ for the period 1990-2005, with a maximum value of 0.81 mg L⁻¹ (Neal *et al.*, 2008). If iron is present in samples, then adding a centrifugation step to the method can remove the error (Box, 1983).

This model therefore seems ideal for certain situations, such as those involving 479 practitioners and conservation agencies. For example, in the UK the incidence of drain 480 blocking on peatlands is increasing, often under the stewardship of environmental agencies 481 and land managers (Armstrong et al., 2010). Some of these projects include monitoring of 482 483 DOC, but are more often focused on other objectives such as restoration of vegetation, biodiversity enhancement and erosion control (Walker et al., 2008). With limited funds and 484 equipment for detailed scientific monitoring, it may not be possible to robustly evaluate the 485 486 impacts of restoration on water quality. The method described here offers a viable solution to gather data on the effects of restoration on DOC, a key parameter of concern from a water 487 supply and ecological perspective. This approach could replace or augment more commonly 488 489 used colour-carbon calibrations.

490

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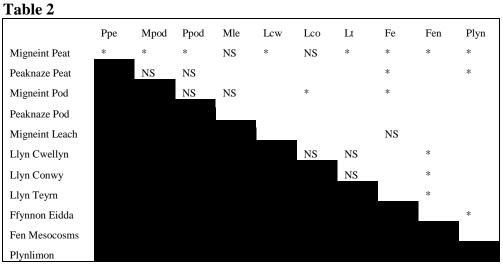
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| 719 T a | able 1 | | | | | | |
|----------------|--------|-------|-----------|----------------|---------|----------|-----------------------|
| | | | | | No. | Altitude | |
| Site | Lat | Lon | Soil Type | Sample Type | Samples | (m) | Sampling dates |
| Ffynnon Eidda | 52.97N | 3.84W | Peat | Ditch/Pore (P) | 326 | 490 | Oct 2010 - Nov 2011 |
| Migneint | 52.99N | 3.82W | Peat | Pore (R) | 300 | 450 | Aug 2007 - Jan 2012 |
| Migneint | 52.99N | 3.81W | Podzol | Pore (R) | 300 | 480 | Sept 2007 - Jan 2012 |
| Peaknaze | 53.47N | 1.91W | Peat | Pore (R) | 300 | 440 | Aug 2007 - Jan 2012 |
| Peaknaze | 53.47N | 1.91W | Podzol | Pore (R) | 300 | 430 | Aug 2007 - Jan 2012 |
| Plynlimon | 52.46N | 3.74W | Peat | Pore (R) | 167 | 530 | May 1992 – Sept 1992 |
| Migneint | 52.99N | 3.82W | Peat | Leachate | 45 | 450 | Sept 2011, Jan 2012 |
| Fen Mesocosms | 53.22N | 4.13W | Peat | Pool | 210 | 20 | June 2011 - July 2011 |
| Llyn Cwellyn | 53.07N | 4.15W | Peat/Loam | Lake | 24 | 140 | Nov 2009 - Oct 2011 |
| Llyn Conwy | 52.99N | 3.82W | Peat | Lake | 24 | 450 | Nov 2009 - Oct 2011 |
| Llyn Teyrn | 53.07N | 4.03W | Peat | Lake | 24 | 370 | Nov 2009 - Oct 2011 |





| 742 | Table 3 | | | | | | |
|-----|---------|-----------|-------------------------|---------------------------|----------|---------|-----------------------|
| | Sample | Phenolics | Phenolics ⁸ | Phenolics ^{diff} | Meas DOC | Mod DOC | Mod DOC ⁸ |
| | 1 | 6.13 | 5.61 | -0.52 | 30.3 | 32.8 | 30.2 |
| | 2 | 4.99 | 4.94 | -0.05 | 25.9 | 27.1 | 26.8 |
| | 3 | 5.76 | 5.34 | -0.43 | 28.9 | 31.0 | 28.8 |
| | 4 | 5.71 | 5.06 | -0.65 | 30.7 | 30.7 | 27.4 |
| | 5 | 6.41 | 5.32 | -1.09 | 31.4 | 34.2 | 28.7 |
| | 6 | 6.35 | 5.19 | -1.17 | 31.1 | 33.9 | 28.1 |
| | 7 | 5.66 | 4.90 | -0.76 | 29.9 | 30.4 | 26.6 |
| | 8 | 7.09 | 5.85 | -1.24 | 36.3 | 37.7 | 31.4 |
| | 9 | 5.97 | 5.41 | -0.56 | 29.2 | 32.0 | 29.2 |
| | 10 | 6.52 | 4.94 | -1.58 | 33.2 | 34.8 | 26.8 |
| | 11 | 6.30 | 5.53 | -0.77 | 35.4 | 33.7 | 29.8 |
| | 12 | 4.77 | 4.75 | -0.02 | 28.9 | 26.0 | 25.9 |
| | Sample | Phenolics | Phenolics ¹³ | Phenolics ^{diff} | Meas DOC | Mod DOC | Mod DOC ¹³ |
| | 13 | 6.92 | 5.54 | -1.38 | 45 | 36.8 | 29.8 |
| | 14 | 5.21 | 4.84 | -0.37 | 29.4 | 28.2 | 26.3 |
| | 15 | 5.46 | 4.96 | -0.50 | 29 | 29.4 | 26.9 |
| | 16 | 1.93 | 2.26 | +0.33 | 14.1 | 11.6 | 13.3 |
| | 17 | 5.92 | 5.23 | -0.68 | 32.1 | 31.7 | 28.3 |
| | 18 | 4.66 | 5.04 | +0.37 | 30.8 | 25.4 | 27.3 |
| | 19 | 4.87 | 4.79 | -0.08 | 33.1 | 26.5 | 26.1 |
| | 20 | 7.02 | 6.03 | -0.98 | 42.2 | 37.3 | 32.3 |
| | 21 | 5.88 | 5.15 | -0.73 | 31.8 | 31.5 | 27.9 |
| | 22 | 7.72 | 5.65 | -2.07 | 35.1 | 40.8 | 30.4 |
| | 23 | 6.23 | 5.38 | -0.86 | 33.1 | 33.3 | 29.0 |
| | 24 | 3.43 | 3.41 | -0.02 | 24.6 | 19.2 | 19.1 |

| 763 | Table 4 | | | | | |
|-----|---------|-----------|-------------------------|---------------------------|--|--|
| | Sample | Phenolics | Phenolics ⁸ | Phenolics ^{diff} | | |
| | 1 | 5.39 | 4.53 | -0.85 | | |
| | 2 | 7.20 | 6.39 | -0.81 | | |
| | 3 | 8.00 | 7.22 | -0.78 | | |
| | 4 | 6.88 | 6.52 | -0.36 | | |
| | 5 | 6.94 | 6.61 | -0.32 | | |
| | 6 | 5.66 | 5.14 | -0.52 | | |
| | 7 | 9.23 | 6.71 | -2.52 | | |
| | 8 | 7.25 | 6.85 | -0.40 | | |
| | 9 | 7.03 | 5.41 | -1.62 | | |
| | 10 | 8.43 | 6.36 | -2.07 | | |
| | 11 | 8.94 | 8.55 | -0.39 | | |
| | 12 | 5.48 | 5.05 | -0.43 | | |
| | Sample | Phenolics | Phenolics ¹³ | Phenolics ^{diff} | | |
| | 13 | 5.54 | 6.45 | +0.90 | | |
| | 14 | 7.40 | 7.11 | -0.29 | | |
| | 15 | 6.10 | 6.52 | +0.42 | | |
| | 16 | 9.61 | 10.10 | +0.49 | | |
| | 17 | 7.57 | 7.31 | -0.26 | | |
| | 18 | 6.72 | 7.93 | +1.21 | | |
| | 19 | 6.95 | 8.82 | +1.87 | | |

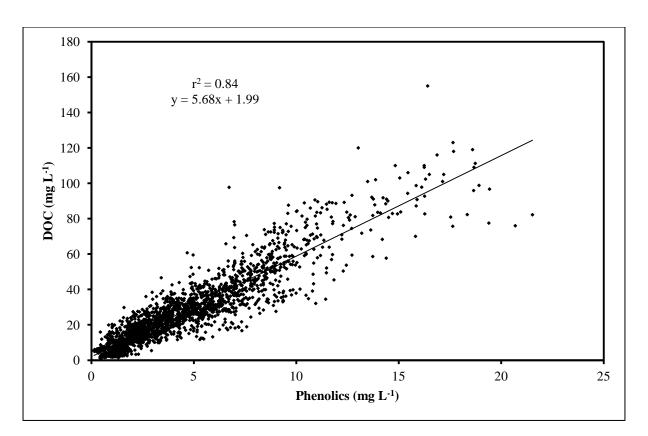


Figure 1. Observed relationship between phenolic concentrations (mg L^{-1}) and DOC concentrations (mg L^{-1}) for all 2020 water samples. $r^2 = 0.84$, residual variance = 72.051, p < 0.001.

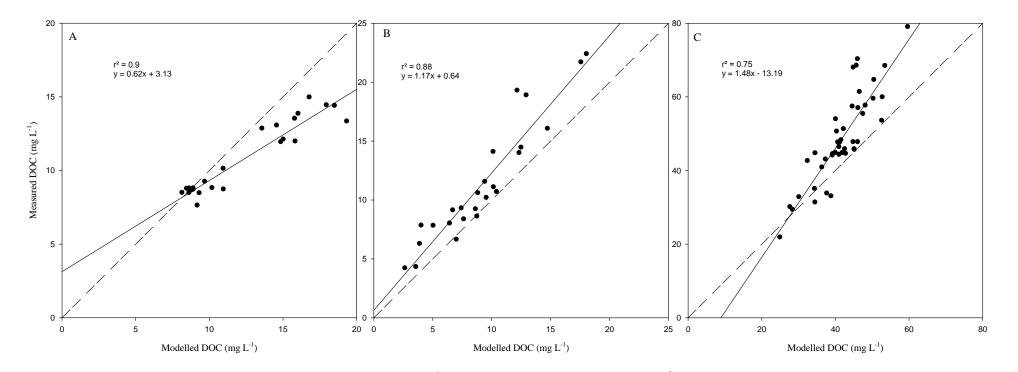


Figure 2. Regression between measured DOC and modelled DOC (mg L⁻¹) in Nant y Brwyn stream water, n=24, $r^2=0.90$ (A), Alwen Reservoir leachate samples, n=25, $r^2=0.88$ (B), and Llyn Serw pore water samples, n=44, $r^2=0.75$ (C). p<0.001 for each relationship. Dashed line shows 1:1 relationship.

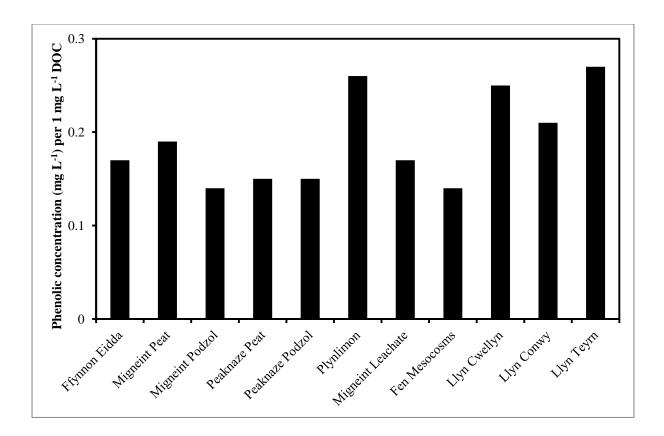


Figure 3. Median phenolic concentrations (mg L^{-1}) per 1 mg L^{-1} DOC concentrations for each site used in the model.

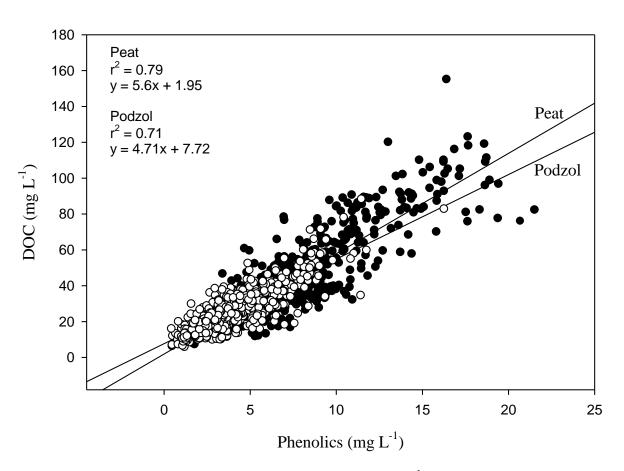


Figure 4. Regression between phenolic and DOC concentrations (mg L⁻¹) for the Migneint and Peaknaze podzol (white circles) and peat (black circles) sites. n=600 for each soil type. Podzol r²=0.71. Peat r²=0.79. For both soils p<0.001.

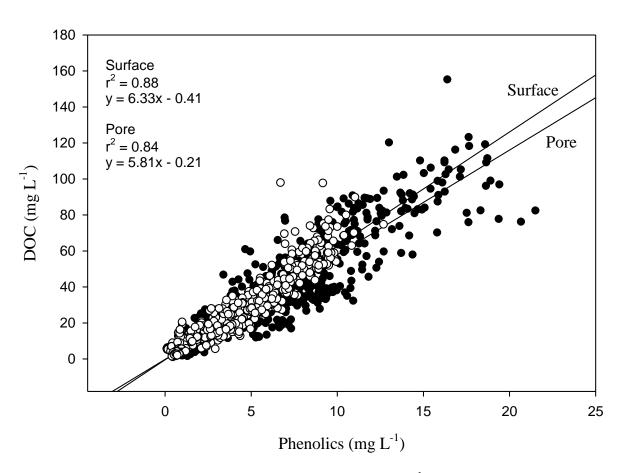


Figure 5. Regression between phenolic and DOC concentrations (mg L⁻¹) for surface waters (from Ffynnon Eidda, Llyn Cwellyn, Llyn Conwy, Llyn Teyrn, and fen mesocosms – n=608) and pore waters (from Migneint peat, Migneint podzol, Peaknaze peat, Peaknaze podzol, and Plynlimon – n=767). Surface waters r²=0.88. Pore waters r²=0.84. For both samples types p<0.001.

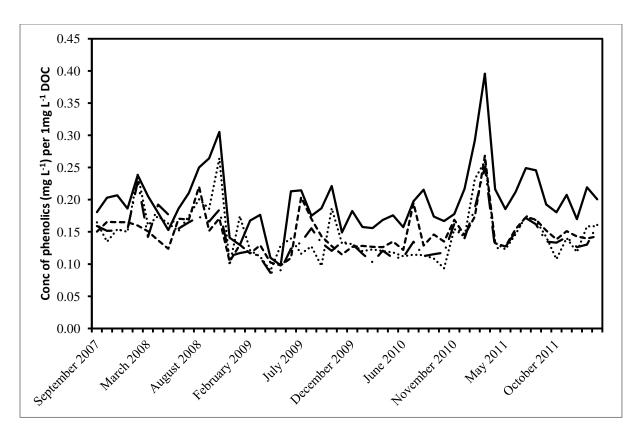


Figure 6. Changes in the mean proportion of phenolics to DOC for four sites from September 2007 to January 2012, with an approximate monthly sampling frequency. Sites are: Migneint peat – solid line, Migneint podzol – dotted line, Peaknaze peat – dashed line, Peaknaze podzol – dotted/dashed line. For each site and each date the mean is generated from n=12.

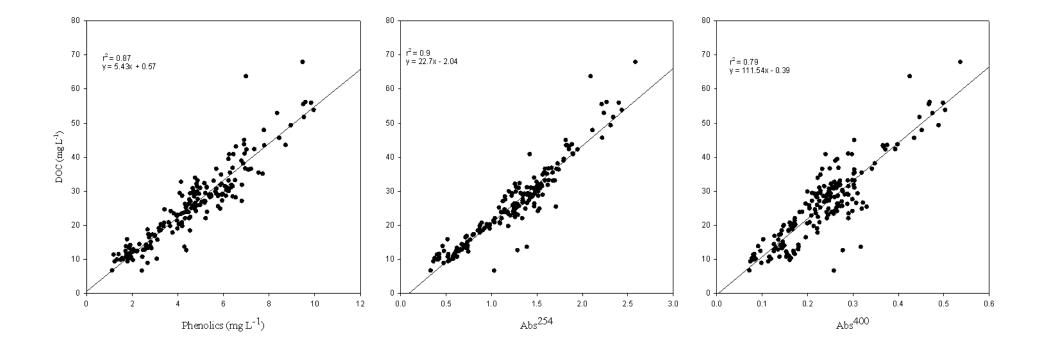


Figure 7. Regressions of DOC concentration against A) phenolic concentration, B) absorbance at 254 nm, C) absorbance at 400 nm, for 192 ditch water samples from Ffynnon Eidda. r² values A) 0.87, B) 0.9, C) 0.79. For all regressions p<0.001.