1		Simulation of temperature extremes in the Tibetan Plateau from
2		CMIP5 models and comparison with gridded observations
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Abstract: Understanding changes in temperature extremes in a warmer climate is of 23 great importance for society and for ecosystem functioning due to potentially severe 24 25 impacts of such extreme events. In this study, temperature extremes defined by the Expert Team on Climate Change Detection and Indices (ETCCDI) from CMIP5 models 26 are evaluated by comparison with homogenized gridded observations at 0.5° resolution 27 across the Tibetan Plateau (TP) for 1961-2005. Using statistical metrics, the models 28 have been ranked in terms of their ability to reproduce similar patterns in extreme 29 events to the observations. Four CMIP5 models have good performance (BNU-ESM, 30 31 HadGEM2-ES, CCSM4, CanESM2) and are used to create an optimal model ensemble (OME). Most temperature extreme indices in the OME are closer to the observations 32 than in an ensemble using all models. Best performance is given for threshold 33 34 temperature indices and extreme/absolute value indices are slightly less well modelled. Thus the choice of model in the OME seems to have more influences on temperature 35 extreme indices based on thresholds. There is no significant correlation between 36 37 elevation and modelled bias of the extreme indices for both the optimal/all model 38 ensembles. Furthermore, the minimum temperature (Tmin) is significanly positive correlations with the longwave radiation and cloud variables, respectively, but the Tmax 39 fails to find the correlation with the shortwave radiation and cloud variables. This 40 41 suggests that the cloud-radiation differences influence the Tmin in each CMIP5 model to some extent, and result in the temperature extremes based on Tmin. 42 43 Key words: Tibetan Plateau; Temperature extreme; CMIP5; Observation

44 **1. Introduction**

According to the Fifth Assessment Report of the Intergovernmental Panel on Climate 45 Change (IPCC AR5) [IPCC, 2013], the globally averaged combined land and ocean 46 47 surface temperature has shown a warming of 0.85 $^{\circ}$ C (0.65-1.06) over the period 1880-2012 [IPCC, 2013]. A warming climate has been shown to exacerbate climate 48 extremes, which can be of particular relevance to society and ecosystems due to their 49 severe impacts [Coumou and Rahmstorf, 2012; Easterling et al., 2000; IPCC, 2013; 50 Rahmstorf et al., 2007]. Correspondingly, the demand for understanding and modelling 51 future changes in climate extremes has increased in recent years [IPCC, 2013; Sillmann 52 53 et al., 2013a; Sillmann et al., 2013b]. The Expert Team on Climate Change Detection and Indices (ETCCDI) (http://cccma.seos.uvic.ca/ETCCDI) has developed a set of 54 indices to quantify extremes and thus facilitate an understanding of observed change 55 56 [IPCC, 2007; 2013; Peterson and Manton, 2008]. These indices were widely used in IPCC AR4 [IPCC, 2007] and AR5 [IPCC, 2013]. 57 The ETCCDI indices have been analyzed based on observational records [Aguilar et 58 59 al., 2005; Alexander et al., 2006], reanalyses [Fang et al., 2008; You et al., 2014], and future climate modelling projections [Z Jiang et al., 2015; Z Jiang et al., 2012; Kharin 60

et al., 2013; Sillmann et al., 2013a; Sillmann et al., 2013b]. Many studies have been

applied at the global scale [*Alexander et al.*, 2006; *Donat et al.*, 2013; *Frich et al.*, 2002];

but also at continental scales (such as Africa [Aguilar et al., 2009; New et al., 2006],

64 America [*Peterson et al.*, 2008] and Europe [*E.M. Fischer and Schaer*, 2010; *Sillmann*

65 and Croci-Maspoli, 2009]), and regional scales (such as China [Ren et al., 2011; You

et al., 2011; Zhai and Pan, 2003], the Tibetan Plateau [You et al., 2008], the Asia-

Pacific Network region [*Choi et al.*, 2009] and Russia [*Bulygina et al.*, 2007]). At the
global scale increases in the number of warm days/nights and decreases in the number
of cold days/nights are not in dispute [*IPCC*, 2013].

Climate models have improved since IPCC AR4, and can now reproduce observed 70 71 continental-scale surface temperature patterns fairly accurately, along with past trends including the rapid warming since the mid-20th century and the cooling immediately 72 following large volcanic eruptions [IPCC, 2013]. Therefore models are now being used 73 to project changes in climate extremes [Z Jiang et al., 2015; Z Jiang et al., 2012; 74 75 Sillmann et al., 2013a; Sillmann et al., 2013b; Sillmann and Roeckner, 2008; T Yang et al., 2012]. In IPCC AR5 for example, the Coupled Model Intercomparison Project 76 Phase 5 (CMIP5) [Taylor et al., 2012] has produced a freely available multi-model 77 78 dataset which has allowed evaluation of ETCCDI indices at the global scale [Sillmann et al., 2013a; Sillmann et al., 2013b; Sillmann and Roeckner, 2008]. However there are 79 still limitations in accurately simulating regional extremes [Easterling et al., 2000]. 80 81 CMIP5 model discrepancies in simulating cold extremes are generally larger than those for warm extremes, and there are larger uncertainties in the tropics and subtropics 82 83 [*Kharin et al.*, 2013].

No previous study has specifically addressed climate extremes on the Tibetan Plateau (TP). The TP is over 4000 m above sea level and is surrounded by large mountain ranges (i.e. the Kunlun, Qilian, Hengduan, and Karakoram). All 14 of the world's peaks over 8000m are found in the TP, and 6 of the most important rivers in the world, including the Yellow, Yangtze and Yuarlung Zangbo rivers. These feed millions of people in downstream regions [*Guo et al.*, 2016; *Kuang and Jiao*, 2016; *T Yang et al.*,
2012; *You et al.*, 2011; *You et al.*, 2016; *You et al.*, 2014]. It is therefore pivotal to
understand changes in extremes over the TP [*Duan and Xiao*, 2015; *Guo et al.*, 2016; *Kuang and Jiao*, 2016; *Yan et al.*, 2016; *You et al.*, 2016]. In this study, we examine
changes in temperature extremes across the plateau using CMIP5 model ensembles and
compare the results with gridded observations. Such studies are essential to improve
knowledge on simulations of climate extremes in the plateau region.

96 **2. Data and methods**

97 Homogenized daily mean (T_{mean}) , maximum (T_{max}) and minimum temperatures (T_{min}) are provided at 0.5° resolution by the National Climate Center of China Meteorological 98 Administration (NCC/CMA). Values are interpolated using an "anomaly approach" 99 100 from over 2400 stations [Wu and Gao, 2013; Xu et al., 2009]. A 30-year Tmean, Tmax and Tmin for 1971–2000 are calculated for each Julian date at each station, and further 101 extension of the dataset can be conducted directly based on this climatology without 102 having to recalculate it every time. Stations with more than 1/3 (10 years) missing data 103 are excluded from the analysis [Wu and Gao, 2013; Xu et al., 2009]. This dataset has 104 been widely used to validate regional and global atmospheric model simulations of 105 extreme climate indices in past studies [Z Jiang et al., 2015; Z Jiang et al., 2012; You 106 et al., 2015]. 107

The CMIP5 Project represents the latest and most ambitious coordinated international
climate model intercomparison exercise [*Taylor et al.*, 2012]. Table 1 lists CMIP5
models used in this study. Further model details and information on their configuration

or features can be found in the Program for Climate Model Diagnosis and 111 Intercomparison (PCMDI) data portal (http://www-pcmdi.llnl.gov/) [Taylor et al., 112 2012]. Outputs from the 'historical' simulations of these CMIP5 models were used by 113 the PCMDI in IPCC AR5 [IPCC, 2013]. In this study daily Tmean, Tmax and Tmin 114 simulations and observations covering 1961-2005 are selected and interpolated to a 115 common $2.5 \times 2.5^{\circ}$ grid using bi-linear interpolation procedure 116 a 117 (http://code.zmaw.de/projects/cdo).

Sixteen indices of temperature extremes (Table 2), including some of the ETCCDI 118 119 indices are used to assess intensity, frequency and duration of climate extreme events [Aguilar et al., 2009; Aguilar et al., 2005; Alexander et al., 2006; Donat et al., 2013; 120 Peterson et al., 2008; Sillmann et al., 2013a; You et al., 2011]. Detailed descriptions 121 122 are provided in Table 2 (also see http://cccma.seos.uvic.ca/ETCCDI). 17 CMIP5 model simulations of 16 indices are chosen, and the root-mean-square error, the standard 123 deviations and correlation betwee the model and observation are calculated. The 124 125 comprehensive model rank (M_R) [Chen et al., 2011; Z Jiang et al., 2015; Z Jiang et al.,

2012] which measures the consistency of simulations for each model is defined as:

127
$$M_R = 1 - \frac{1}{(1 \times m \times n)} \sum_{i=1}^n rank_i$$
,

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Where *m* and *n* is the number of models and indices, and *rank_i* is based on model's order of performance on each index. The M_R of the best-performing model is closer to 1, indicating higher skill [*Chen et al.*, 2011; *Z Jiang et al.*, 2015; *Z Jiang et al.*, 2012]. Based on M_R the optimal models from 17 models are selected and the ensemble simulations were then performed. The temporal skill scores are calculated as:

133	$\left(\frac{STDm}{STDo} - \frac{STDo}{STDm}\right)^2$, where STDm and STDo denotes the interannual standard deviation
134	of simulation and observations, respectively [Chen et al., 2011; Z Jiang et al., 2015; Z
135	Jiang et al., 2012].

- 136 The Mann-Kendall test for a trend and Sen's slope estimates are used to estimate trends
- 137 [Sen, 1968]. This is a common method employed to compute trends in meteorological
- and climate extreme series [Bulygina et al., 2007; Choi et al., 2009; You et al., 2011;

139 *You et al.*, 2016; *Zhang et al.*, 2011]. A trend is statistically significant if p<0.05.

140 **3. Results**

141 **3.1 Evaluation of temporal variability**

Three assessment indices (the temporal correlation coefficient (a), the ratio of standard 142 deviation (b) and the root-mean-square error (c) between observed and modelled 143 144 extremes) are used to evaluate the ability of each model to simulate the 16 temperature extremes similar to the observed values (Figure 1). Correlation coefficients between 145 observed and simulated extremes are nearly all positive (red cells in Figure 1a) for all 146 16 temperature extreme indices, and they reach over 0.5 for TXn, TN90p, TN10p and 147 FD0 (see Table 2). This suggests that CMIP5 models can simulate much of the 148 interdecadal variability of temperature extremes in the TP. Using the ratio of modelled 149 to observed standard deviation (Figure 1b), a value closer to 1 means a more realistic 150 model simulation. With the exception of duration indices such as TR20, WSDI and 151 CSDI, most ratios are quite close to 1 and thus the models are fairly realistic. For root-152 mean-square errors (Figure 1c), many indices such as TNx, DTR and threshold indices 153 such as TX90p and TN90p have fairly small values, indicating that these indices are 154

155 captured relatively well by most CMIP5 models.

To synthesize the three assessment indices an M_R value is calculated for each model to illustrate their overall ranking (Figure 2). Each model is ranked from 1 (best) to 17 (worst) for each index. The length of the color column is the summary of each ranking and shorter columns mean a better model performance. The colors represent the ranking of each individual index. The top five CMIP5 models are MPI-ESM-MR, CCSM4, HadGEM2-ES, BNU-ESM, and GFDL-ESM2M, respectively.

162 **3.2 Evaluation of spatial variability**

163 The spatial success of each model in reproducing observed patterns of extreme indices can be assessed in a similar way using equivalent spatial statistics (Figure 3). In Figure 164 3a, the correlation coefficients between observed and modelled patterns of extremes are 165 166 positive for some indices, especially DTR and WSDI. Hoewever there are also several indices with negative correlations such as TXx, TNx, SU25 and TR20. Thus compared 167 with the temporal variability, the spatial variability of temperature extremes in the TP 168 169 is only simulated well in some cases. However there are uncertainties in observations because of a lack ofstations in many sub-regions. For the ratio of modelled to observed 170 standard deviation (Figure 3b), values near 1 are common. The exception is for TR20 171 which shows extremely high ratios. DTR, TX10p and TN10p are closest to 1. Root-172 mean-square errors are smallest for threshold indices such as TX90p and TN90p 173 (Figure 3c) suggesting that most CMIP5 models are particularly good at simulating 174 175 these. Duration indices such as SU25 and FD0 have larger root-mean-square errors.

176 A similar spatial ranking of overall model performance (Figure 4) shows the best

models to be BNU-ESM, CanESM2, EC-EARTH, HadGEM2-ES, and ACCESS1.0,
respectively.

179

180 **3.3 A combined temporal and spatial ranking**

The relationship between temporal and spatial ranks for each model is shown in Figure 181 5. Each dot represents a model, identified by its number on the right. The ranking is 182 given a value between 0 and 1 for each model based on the three assessment indices. 183 The correlation coefficient between the two is 0.448 meaning the inter-model 184 185 consistency in simulating spatial pattern and inter-annual variability. Models closer to the top right of the diagram show better overall performance. The sum of the temporal 186 and spatial ranking is shown in Figure 6, the top four models are: BUN-ESM (5), 187 188 HadGEM2-ES (8), CCSM4 (10), and CanESM (11). These four will be defined as the optimal models. Two ensemble simulations were then performed: one with just the four 189 optimal models, and one with all 17 models. 190

191 The difference in climatology of extreme indices between the optimal/all models ensembles and the observations are shown in Figure 7. Time series of individual indices 192 from these three datasets (optimal/all models ensembles and observations) are 193 represented in Figure 8. Trends and temporal skill scores for each index in each dataset 194 are summarized in Table 3. Although patterns are complex, compared with the all 195 models ensemble, the optimal models ensemble is shown to greatly reduce the gap 196 197 between simulation and observations for both spatial and temporal patterns. This is particularly the case for the indices of TNn, SU25, TR20, WSDI and CSDI (Figures 7 198

199	and 8). The optimal model ensemble has good skill scores, and is lower than the all				
200	model ensemble score in 12 cases out of 16, showing that the optimal models ensemble				
201	is usually closest to the observations.				
202	In order to understand the differences in the success of various CMIP5 models in				
203	simulating temperature extremes, five climate variables from each model, potentially				
204	influencing Tmax and Tmin, are selected. These are				
205	1. the surface downwelling shortwave radiation (SDSR),				
206	2. the SDSR at clear sky (SDSRcs),				
207	3. the surface downwelling longwave radiation (SDLR),				
208	4. the SDLR at clear sky (SDLRcs) and				
209	5. the total cloud fraction (TCF).				
210	Figure 9 shows the relationship between Tmax/Tmin and these variables for each				
211	CMIP5 model. For Tmax, there are no significant correlations with TCF, the difference				
212	between SDSRcs and SDSR, and SDSR, respectively (Figure 9a,b,c), which suggests				
213	that incoming energy balance is not simulated well and cannot account for changes in				
214	Tmax. This lack of correlation of Tmax with radiation parameters is inconsistent with				
215	previous studies which showed that CMIP5 model differences in DTR seemed to be				
216	significantly controlled by clouds, and longwave and shortwave fluxes on the global				
217	scale [Lindvall and Svensson, 2015].				
218	Tmin on the other hand has significant positive correlations with TCF (R=0.34), the				
219	SDLR-SDLRcs (R=0.39) and SDLR (R=0.71), indicating that nightime cloud-radiation				
220	differences are a partial control on Tmin in most CMIP5 models. Differences in TCF,				

221 SDSRcs-SDSR, and SDLR-SDLRcs between models are related to differences in222 aerosol loadings.

The relationships between elevation and bias (optimal/all model ensembles minus observations) in simulations of temperature extremes are shown in Figure 10. Elevations are calculated from the 90 \times 90 m SRTM (Shuttle Radar Topography Mission) DEM from the International Scientific and Technical Data Mirror Site (http://www.gscloud.cn). There is no significant correlation between elevation and any bias and thus no elevational dependancy in any bias of temperature extreme indices in the model ensembles.

230 4. Discussion and Conclusions

In recent decades, climate extremes have attracted much attention because of 231 232 disproportionate impacts on society and ecosystems [IPCC, 2013]. We have examined changes in temperature extremes over the TP using standard indices defined by 233 ETCCDI from CMIP5 models and compared these changes with those based on 234 observations. It is informative to compare our results with past global studies to set 235 changes in the TP in broader context. In particular it is of interest whether indices are 236 changing in a similar way to the global scale. Since there are four main types of index: 237 a) relative (percentile based), b) absolute, c) threshold and d) duration, we start by 238 discussing each in turn, before considering more broad diurnal contrasts. The most 239 comprehensive global analysis of trends in extremes in CMIP5 model simulations is 240 that of Sillmann et al. (2013a) - hereafter S13, but unfortunately global trend 241 magnitudes for each index are not defined in this paper which makes a direct 242

243 quantitative comparison of our results difficult.

In our study the relative indices based on observations show a decrease in cold days 244 and nights (TX10p/TN10p) and increase in warm days and nights (TX90p/TN90p). All 245 these are consistent with warming in the same indices reported by S13 but similar 246 patterns have also been shown in equivalent analyses of observations on a global scale 247 [Alexander et al., 2006; Frich et al., 2002]. Both optimal and all ensemble models also 248 show trends in the relative indices in our study but they are smaller in magnitude than 249 for the observations. The difference is particularly noticeable for TN10p and TN90p 250 251 where the models fail to match the rapid nighttime warming in observations over the plateau. 252

253 Previous global studies have also indicated an intensification in absolute temperature

indices (TXn/TNn and TXx/TNx) in observations [Seneviratne et al., 2012; Vose et al.,

255 2005], reanalyses [You et al., 2013], and model simulations [Kharin et al., 2013;

Rahmstorf et al., 2007; *Sillmann et al.*, 2013a; *Sillmann et al.*, 2013b]. In our study all
absolute indices are increasing which agrees with the S13. TNn tends to have the
strongest warming in the observations but TNx has in the models.

259 Threshold indices (FD0, ID0, SU25, TR20) can have great influence on ecosystems and

260 human infrastructure, and small changes in the indices can have relatively large impacts

261 [Kang et al., 2010; Kharin et al., 2013; Peterson and Manton, 2008; Peterson et al.,

262 2008; You et al., 2013; You et al., 2008]. Global trends in S13 show a decrease in FD0

and increase in TR20 (others not reported). Over the TP, frost days (FD0) and ice days

(ID0) show rapid decreases in the observations but this is not picked up by the model

265 ensembles. The ensembles even simulate weak increases, the reasons for which require266 more research.

Finally, changes in duration indices (GSL, WSDI and CSDI) are also variable. On a 267 global scale in S13 WSDI is increasing, sometimes significantly and CSDI decreasing 268 (albeit at a slower rate). Decreasing cold spell and increasing warm spell lengths also 269 occur in both the observations and model ensembles in the TP, and again the increase 270 in warm spell duration is particularly strong. Thus the TP is broadly representative of 271 global trends, and the high elevation does not mitigate against the rapid increase in 272 273 warm spells. There is however a discrepancy in our study in terms of growing season length which decreases in the model ensembles but increases in the observations. In 274 summary the signs of the trends in most indices over the TP are in agreement with 275 276 global trends reported in S13.

Taken together the relative and absolute index changes in the observations imply that 277 nighttime warming over the TP is much stronger than daytime warming, probably 278 279 because the water vapour [Rangwala et al., 2009] and radiative [Ohmura, 2012] feedbacks critical at high elevations are enhanced at lower air temperatures [Rangwala 280 et al., 2009; Rangwala et al., 2013]. Numerous other studies have shown elevation-281 dependent warming whereby high elevations are warming more rapidly than the global 282 mean [Pepin and Coauthors, 2015; Vuille et al., 2015; Wang et al., 2016]. However, 283 any elevational signal is usually clearer in nighttime observations of Tmin in 284 comparison to Tmax [Rangwala and Miller, 2012; Yan and Liu, 2014]. Interestingly 285 however the CMIP5 model ensembles do not reflect this over the plateau. DTR is 286

increasing in the model ensembles (albeit insignificantly) whereas it is strongly 287 decreasing (-0.22°C/decade) in the observations. The decreasing DTR may also partly 288 289 be the reason why frost days are increasing and the growing season is shortening in the model ensembles. The cause of the lack of nighttime warming in comparison with 290 daytime warming in the ensembles requires further investigation. One possible theory 291 is that it is likely to be because the CMIP5 models in general are dominated by surface 292 based (especially snow albedo) feedback mechanisms (which should be enhanced 293 during the day) and less influenced by water vapour and Planck feedbacks (which 294 295 should be enhanced at night). To start to appreciate the relative roles of various feedback mechanisms, we also investigated the relationship between cloud and 296 radiation variables and daily maximum/minimum temperatures in the models (Figure 297 298 9). At night there are strong relationships, again suggesting that cloud-related feedbacks are a dominant control of nighttime trends in Tmin. Although water vapour and cloud 299 feedbacks are still relevant during the day, the situation is more complex with additional 300 301 surface albedo loops due to snow/ice retreat [Kang et al., 2010] and vegetation changes [D Jiang et al., 2011] also being strongly important. Cryospheric change in the TP such 302 as the shrinking of glaciers and melting of frozen ground [Kang et al., 2010; K Yang et 303 al., 2014; K Yang et al., 2011; You et al., 2016] will preferentially enhance daytime 304 warming. For example, more than 80% of glaciers in western China have retreated, 305 losing 4.5% of their areal coverage since 1951 [Kang et al., 2010]. Vegetation is more 306 complex since migration of treelines upslope could encourage warming through 307 greening (in a similar way to the Arctic [Chapin et al., 2005], but this is not happening 308

309 everywhere and there is also degradation in vegetation through overgrazing which 310 could introduce other moisture-related feedback loops. The added influence of surface 311 feedback loops (snow, vegetation) and their seasonal dependence means that the 312 relationship between Tmax and cloud variables probably depends on season and 313 location.

The most successful models which formed part of the optimal ensemble were BNU-314 ESM, CanESM2, CCSM4 and HadGEM2-ES. A comprehensive review of model 315 performance is available in IPCC (2013), where assessed models according to the rates 316 317 of change of tropospheric temperature and precipitable water for the tropics (20°S to 20°N) – see Figure 9.9, p774 in IPCC (2013). All the models in the optimal ensemble 318 apart from BNU-ESM for which there is no data, showed strong warming and wetting 319 320 trends, indicative of stronger water vapour feedback. Thus models with strong tropical vapour feedback appear to do well in simulating temperature extremes over the TP, the 321 reasons for which require more research. S13 also evaluated the success of all CMIP5 322 323 models on a global scale at simulating trends in extremes and it is informative to compare their results with ours. CCSM4 and HadGEM2-ES also performed well 324 globally, but BNU-ESM and CanESM2 showed more variable performance, and the 325 latter was not good for TXx and TNn. 326

A summary of individual feedbacks for each model in the CMIP5 experiment is presented in IPCC [2013]. Unfortunately it is difficult to find characteristics that stand out for the four models in the optimal ensemble, in comparison with the other models in this table. In part this is because a lot of models have missing data on vital feedbacks.

Equilibrium climate sensitivity tends to be high for the optimal models, particularly 331 HadGEM-E2 which has the second highest of any model at 4.6°C. However, model 332 333 feedbacks including lapse rate (negative), surface albedo (positive) and cloud feedback (positive or negative) show no strong pattern for the four best models. The absence of 334 any obvious strong model signature or characteristics which define a "successful" 335 model means that much more work is required to understand the physical processes 336 associated with temperature extremes at high elevations typical of the plateau, and 337 subsequently what feedback mechanisms are most critical in creating a successful 338 339 hindcast of temperature extremes.

Understanding the mechanisms by which extreme temperatures occur, especially at 340 high elevations, is challenging. On a global scale, several explanations have been put 341 342 forward to account for changing extremes which include changes in local and global SSTs [Alexander et al., 2006], changes in large scale circulation patterns [Kysely, 343 2008], and the influence of land surface change [IPCC, 2013]. The last factor is 344 particularly important in controlling daytime extremes. Successful modelling of soil-345 moisture and land-atmosphere coupling is required for a model to simulate the influence 346 of soil moisture anomalies on high-temperature extremes for example, and energy 347 partitioning (sensible vs latent heat) is a critical control [E. M. Fischer and Knutti, 348 2015]. Drier conditions and absence of soil moisture leads to greater extremes (both 349 day and night) so long-term droughts (which maybe caused by persistent circulation 350 351 anomalies) are an important factor. Any long-term degradation in vegetation on the plateau [Kang et al., 2010] could therefore contribute to increased extremes and needs 352

to be part of any model. Changes in atmospheric circulation can also modify
temperature extremes and their spatial distribution [*Alexander et al.*, 2006; *You et al.*,
2011; *You et al.*, 2008]. In the TP for example cold air outbreaks imported from Siberia
are associated with nearly all extremely low temperature episodes. Finally there is
strengthened evidence for an influence of human activity on the observed frequency of
extreme temperatures [*Coumou and Rahmstorf*, 2012; *E.M. Fischer and Knutti*, 2013; *Rahmstorf et al.*, 2007].

What is missing so far from the research into temperature extremes is an appreciation 360 361 of how elevation itself could influence the various controlling factors and feedbacks discussed above. The high elevation environment is often thought of as naturally 362 extreme, with a strong dependence of surface temperature on surface energy balance 363 364 and a lack of atmosphere above to buffer response to direct radiation exchange. However it is not obvious how this natural tendency towards temperature extremes 365 manifests itself in terms of past and future trends in extreme events. Recent research is 366 367 beginning to uncover the forcing mechanisms of high elevation temperature change [*Pepin and Coauthors*, 2015] and critical to future understanding is an appreciation of 368 elevation gradients in forcing due to snow albedo [Giorgi et al., 1997] and vegetation 369 [D Jiang et al., 2011] feedbacks, water vapour and downwelling long wave radiation 370 (Rangwala et al. 2009), the surface radiation/temperature feedback [Ohmura, 2012], 371 clouds and latent heat release [Rangwala and Miller, 2012] and aerosols [Xu et al., 372 373 2016]. Isolating the response to each forcing factor in future CMIP5 model runs is an important area for future high-elevation studies. 374

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384	from CMIP5 models participated in IPCC AR5 are available at
385	http://pcmdi3.llnl.gov/esgcet/home.htm.

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Table 1. CMIP5 models used in this study.

No.	Model	Institution	Country	Resolution (Lon×Lat Levels)
1	ACCESS1.0	Commonwealth Scientic and	Australia	192×145L38
		Industrial Research Organisation		
		and Bureau of Meteorology,		
		Australia		
2	BNU-ESM	Beijing Normal University, China	China	128×64L26(T42)
3	CanESM2	Canadian Centre for Climate	Canada	128×64L35(T63)
		Modelling and Analysis, Canada		
4	CCSM4	National Center for Atmospheric	USA	288×192L26

		Research (NCAR), USA		
5	CESM1-BGC	National Science	USA	288×192L26
		Foundation/Department of Energy		
		NCAR, USA		
6	CMCC-CM	Centro Euro-Mediterraneo per I	Italy	480×240L31
		Cambia-menti, Italy		(T159)
7	CNRM-CM5	Centre National de Recherches	France	256×128L31
		Meteorologiques, Meteo-France,		(T127)
		France		
8	CSIRO-Mk3.6.0	Commonwealth Scientic and	Australia	192×96L18
		Industrial Research Organization		(T63)
		(CSIRO), Australia		
9	EC-EARTH	Royal Netherlands Meteorological	Netherlan	320×160L62
		Institute, Netherlands	ds	(T159)
10	FGOALS-s2	Instute of Atmospheric Physics,	China	128×108L26
		Chinese Academy of Sciences,		
		China		
11	GFDL-ESM2M	Geophysical Fluid Dynamics	USA	144×90L48
		Laboratory, USA		
12	GISS-E2-R	Goddard Institute for Space	USA	144×90L40
		Studies, USA		
13	HadGEM2-ES	Met Office Hadley Centre, UK	UK	192×145L40
14	IPSL-CM5A-MR	Institut Pierre-Simon Laplace,	France	144×143L39
		France		
15	MIROC5	AORI, NIES, JAMSTEC, Japan	Japan	256×128L40
				(T85)
16	MPI-ESM-MR	Max Planck Institute for	Germany	192×96L95
		Meteorology, Germany		(T63)
17	MRI-CGCM3	Meteorological Research Institute,	Japan	320×160L48
		Japan		(T159)

Table 2. Definitions of temperature extreme indices calculated by RClimDEX.

Index	Descriptive Name	Definition	Units
TX10	Cold day	Count of days when $TX < 10^{th}$ percentile of	days
р		1961-1990	
TN10	Cold night	Count of days when $TN < 10^{th}$ percentile of	days
р		1961-1990	
TX90	Warm day	Count of days when $TX > 90^{th}$ percentile of	days
р		1961-1990	
TN90	Warm night	Count of days when $TN > 90^{th}$ percentile of	days
р		1961-1990	
DTR	Diurnal temperature	Annual mean difference between TX and TN	°C

	range			
TXn	Coldest day	Annual lowest TX	°C	
TNn	Coldest night	Annual lowest TN	°C	
TXx	Warmest day	Annual highest TX	°C	
TNx	Warmest night	Annual highest TN	°C	
GSL	Growing season length	Annual count of days between the first span of	days	
		at least 6 days with $TG > 5^{\circ}C$ after winter and		
		first span after the summer of 6 days with TG<		
		5°C		
FD0	Frost days	Annual count of days when $TN < 0^{\circ}C$	days	
ID0	Ice days	Annual count of days when TX <0 $^{\circ}$ C	days	
SU25	Summer days	Annual count when TX >25 $^{\circ}$ C	days	
TR20	Tropical nights	Annual count when TN >20 °C	days	
WSDI	Continued warm period	Count of continued days when $TX > 90^{th}$	days	
		percentile of 1961-1990		
CSDI	Continued cold period	Count of continued days when $TN < 10^{th}$	days	
		percentile of 1961-1990		
Note: TX	K is the daily maximum ter	nperature; TN is the daily minimum temperature;		
TG is daily mean temperature; TN_{mean}/TX_{mean} is the mean of daily minimum/maximum				
temperatures for the period 1961-1990, respectively.				
Table 3.	Trends and temporal skill	scores for each temperature extreme index from		
observati	ions (OBS), the optimal mod	dels ensemble (OME), and the all-models ensemble		
	TXn TNn TXx TNx GSL FD0 ID0 SU25 TR20 WSDI CSDI Note: TX TG is dat temperat	rangeTXnColdest dayTNnColdest nightTXxWarmest dayTNxWarmest nightGSLGrowing season lengthFD0Frost daysID0Ice daysSU25Summer daysTR20Tropical nightsWSDIContinued warm periodCSDIContinued cold periodNote: TX is the daily maximum terTG is daily mean temperature; TNmetemperatures for the period 1961-19	range TXn Coldest day Annual lowest TX TNn Coldest night Annual highest TN TXx Warmest night Annual bighest TN GSL Growing season length Annual count of days between the first span of at least 6 days with TG > 5°C after winter and first span after the summer of 6 days with TG < 5°C	

609	(AME),	respectively.
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Indices		Tre	Temporal skill score			
	OBS	OME	AME	Unit	OME	AME
TXx	0.21	0.02	-0.02	°C/decade	5.11	6.82
TNn	0.53	0.01	-0.02	°C/decade	4.99	3.65
TXn	-0.07	0.01	-0.07	°C/decade	3.57	2.74
TNx	0.53	0.05	-0.01	°C/decade	7.28	8.72

DTR	-0.22	0.01	0.05	°C/decade	0.31	0.48
TX90p	1.43	0.84	0.95	day/decade	1.22	2.11
TX10p	-0.87	-0.76	-0.71	day/decade	1.18	3.00
TN90p	2.60	1.54	1.35	day/decade	1.30	2.39
TN10p	-2.29	-1.18	-1.07	day/decade	9.00	9.00
SU25	0.94	0.01	-0.69	day/decade	37.98	44.57
FD0	-4.00	0.23	0.75	day/decade	9.34	9.66
TR20	0.41	0.04	-0.14	day/decade	78.34	111.55
ID0	-3.08	0.33	0.30	day/decade	2.50	1.71
GSL	3.64	-0.67	-1.00	day/decade	9.18	9.37
WSDI	2.16	1.66	1.66	day/decade	0.57	0.73
CSDI	-0.99	-0.69	-0.61	day/decade	0.23	1.18

630 Figure



Figure 1. Portrait diagram for temporal correlation coefficient (a, top panel), standard
deviation ratio (b, middle panel) and root-mean-square error (c, bottom panel) of
temperature extreme indices in the Tibetan Plateau between observations and CMIP5
models.



636 Figure 2. Comprehensive model ranking based on temporal correlation coefficient,

637 standard deviation ratio and root-mean-square error for each temperature extreme index.



Figure 3. Same as Figure 1 but for spatial patterns.



Figure 4. Same as Figure 2 but for spatial patterns.



Figure 5. Scatter diagram showing the relationship between temporal and spatial model rank (M_R) value. Each dot represents a model, identified by its number on the right. The correlation coefficient between temporal and spatial M_R value is 0.448.



Figure 6. Comprehensive model ranking based on temporal and spatial correlation coefficient, standard deviation ratio and root-mean-square error of temperature extreme indices in the Tibetan Plateau. x axis is the number of the model, the number below each model and y axis is the sum of model ranking of all temperature extreme indices.



Figure 7. The climatological differences of temperature extreme indices between the
optimal models ensemble (a in each panel)/all models ensemble (b in each panel) and
observations in the Tibetan Plateau.



Figure 8. Time series of temperature extreme indices from the optimal/all modelsensemble and observations in the Tibetan Plateau during 1961-2005.



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Figure 9. Relationship between the mean maximum temperature (Tmax), minimum temperature (Tmin), and climate variables from each CMIP5 model during 1961-2005 in the Tibetan Plateau on the annual basis. Climate variables are the surface downwelling shortwave radiation (SDSR), the SDSR at clear sky (SDSRcs), the surface downwelling longwave radiation (SDLR), the SDLR at clear sky (SDLRcs) and the total cloud fraction (TCF), respectively.



Figure 10. Relationship between elevation and bias for each temperature extreme index

731 (optimal/all models ensemble minus observations) in the Tibetan Plateau during 1961-

732 2005.

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