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1 **TITLE:** ULTRASONOGRAPHIC IDENTIFICATION OF THE DORSAL  
2 ATLANTOAXIAL LIGAMENT IN DOGS

3

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## 22 **Ultrasonographic identification of the dorsal atlantoaxial ligament in dogs**

### 23 **ABSTRACT**

24 **OBJECTIVE:** The purpose of this study was to evaluate if ultrasonography is a feasible tool  
25 to identify the dorsal atlantoaxial ligament in dogs.

26 **STUDY DESIGN:** Canine cadaveric study.

27 **SAMPLE POPULATION:** Canine cervical spines (n=35)

28 **METHODS:** Thirty-five canine cadavers with an estimated body weight of 6-35kg were  
29 retrieved. Three cervical spines were dissected to demonstrate the dorsal aspect of the  
30 atlantoaxial joint and assess the length and thickness of the dorsal atlantoaxial ligament.  
31 Thirty cadavers were used for the ultrasonographic evaluation of the dorsal atlantoaxial  
32 ligament and a subjective score (0-3) was assigned to each dog depending on the visibility of  
33 the dorsal atlantoaxial ligament in both the transverse and the sagittal planes.

34 **RESULTS:** The dorsal atlantoaxial ligament was detectable on ultrasound in all cadavers:  
35 27/30 and 28/30 were graded as moderately visible (grade 2) or clearly visible (grade 3) in  
36 the sagittal and transverse view respectively. Only 1/30 cadaver specimen of a large breed  
37 dog was graded as 1 (indistinct) in both the sagittal and transverse planes. None of the  
38 cadavers were graded as 0 (not visible) in any view.

39 **CONCLUSIONS:** Ultrasonographic identification of the dorsal atlantoaxial ligament is a  
40 feasible technique in normal canine cadavers. Future studies on patients clinically affected  
41 from atlantoaxial instability/subluxation need to be done to evaluate the role of this  
42 diagnostic tool in a safer diagnosis of this condition.

43 **CLINICAL RELEVANCE:** Identification of the dorsal atlantoaxial ligament through  
44 ultrasonography could potentially diagnose patients with atlantoaxial instability/subluxation  
45 using a non-invasive and safe diagnostic imaging technique.

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## 47 INTRODUCTION

48 Atlantoaxial instability is a common condition of the cervical spine which can result in  
49 subluxation of the axis in relation to the atlas and subsequent spinal cord compression<sup>1,2,3</sup>.  
50 Atlantoaxial instability/subluxation is a potentially life-threatening condition<sup>4</sup>. Patients with  
51 this condition develop clinical signs at a young age, with 52-70% of patients being less than 1  
52 year old<sup>5</sup>. Clinical signs vary from neck pain and mild ataxia in 24.9% of cases to tetraplegia  
53 in 6.5% of cases<sup>1</sup>.

54 Atlantoaxial subluxation has been reported in 38 breeds of dogs, being most common  
55 in Yorkshire terriers (28%), Toy Poodles (18%) and Chihuahuas (15% of cases)<sup>6</sup>. Congenital  
56 atlantoaxial subluxation is most commonly seen in small and toy breed dogs, but can also be  
57 seen in medium and large breed dogs<sup>7,8,9,10,11,12</sup>. These animals with atlantoaxial congenital  
58 abnormalities will have atlantoaxial subluxation either spontaneously or with minimal  
59 trauma<sup>13</sup>. Traumatic atlantoaxial subluxation can occur in any breed of dog<sup>2</sup> with one of the  
60 possible causes being traumatic rupture of the atlantoaxial ligaments during a forceful  
61 overflexion of the neck<sup>2</sup>.

62 Diagnosis of atlantoaxial subluxation is based on clinical evaluation of the patient,  
63 and is confirmed by various imaging techniques (plain radiographs, CT or MRI). One of the  
64 simplest methods is identifying an increased space between the dorsal lamina of the atlas and  
65 the spinous process of the axis<sup>2,5</sup> on plain radiographs, which indirectly demonstrates the  
66 dorsal atlantoaxial ligament. Flexed cervical radiographs can be done in those cases where  
67 the increase space cannot be detected on neutral position<sup>5</sup>, but this should be done with  
68 extreme care as it could lead to further compression of the spinal cord<sup>2</sup> and deterioration in  
69 the clinical status of the patient. Although myelography can be used to diagnose  
70 atlantoaxial subluxation, this technique is no longer recommended due to the risks associated  
71 to myelograms and the accessibility to other diagnostic techniques<sup>1</sup>. Advanced diagnostic

72 techniques such as MRI and CT can provide additional information regarding spinal cord  
73 injury<sup>14</sup> and osseous abnormalities<sup>15</sup> in the atlantoaxial joint, being also useful for surgical  
74 planning<sup>1</sup>. However these techniques are expensive and have disadvantages such as requiring  
75 a long anaesthetic period (MRI) or exposing the patient to an increased radiation dose (CT).  
76 In contrast to these techniques, ultrasonography is a fast, safe and relatively inexpensive  
77 technique. Ultrasonography has been used to assess the craniocervical junction in animals  
78 with Chiari-like malformation and syngomyelia<sup>5, 16, 17</sup> and intracranial arachnoid cysts<sup>18</sup>.  
79 Ultrasonography has also been used to evaluate the musculoskeletal anatomy of the dorsal  
80 cervical spine<sup>19</sup>. However, information regarding the use of ultrasonography to assess  
81 atlantoaxial ligaments is lacking.

82         The dorsal atlantoaxial ligament courses from the dorsal lamina of the atlas to the  
83 most cranioventral aspect of the spinous process of the axis, and this thick dorsal atlantoaxial  
84 ligament contributes to the stability of the atlantoaxial joint<sup>20</sup>. As this is the exact location  
85 where the increase in intervertebral space is seen radiographically in those patients with  
86 atlantoaxial subluxation, it has been suggested that the increase in space is allowed by the  
87 stretching or the rupture of the dorsal atlantoaxial ligament<sup>2, 20, 21</sup>.

88         The objective of this study was to evaluate if ultrasonography was a feasible tool to  
89 identify and evaluate the integrity of the dorsal atlantoaxial ligament. Based on the relative  
90 small size of the ligament and its anatomical location we hypothesised that the dorsal  
91 atlantoaxial ligament would not be detectable on ultrasonography.

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97 **MATERIALS AND METHODS**

98 Ethical approval for the study was obtained from the authors' institution. Thirty five adult  
99 canine cadavers of dogs euthanized for reasons unrelated to this study were used. The  
100 cadavers had been initially frozen for several days and were allowed to thaw at room  
101 temperature prior to our study. Initially 3 cadavers were dissected to demonstrate the dorsal  
102 aspect of the cervical spine and assess the length and thickness of the dorsal atlantoaxial  
103 ligament (Figure 1), and to subjectively determine if it would be of sufficient size and in an  
104 adequate location to be detected by ultrasonography. A further two cadavers were used to  
105 correlate the ultrasonographic findings with the anatomy of each specimen. Ultrasound  
106 guided injection of ink into the suspected dorsal atlantoaxial ligament was followed by  
107 dissection of these 2 cadavers. Thirty adult canine cadavers were then collected and used for  
108 the ultrasonographic evaluation of the dorsal atlantoaxial ligament. The cadavers were  
109 positioned in lateral recumbency and the dorsal cervical area was clipped at the level of the  
110 atlantoaxial joint. The skin was cleaned with spirit and gel was applied.

111 Ultrasonography was carried out using an 8 MHz microconvex transducer, with the  
112 cadavers positioned in right lateral recumbency with a neutral or slightly flexed cervical  
113 position. The transducer was placed on the dorsal aspect of the neck caudal to the occipital  
114 crest, and the cranial aspect of the spinous process of C2 was identified. The transducer was  
115 placed in a transverse plane to identify the spinous process of C2 and then moved cranially to  
116 identify the dorsal atlantoaxial ligament as a thin hyperechoic structure with linear striations  
117 running in a cranioventral direction from the cranial tip of the spinous process of C2 to the  
118 dorsocaudal aspect of the dorsal lamina of C1. Once the ligament had been identified it was  
119 also scanned in a straight sagittal plane (Figures 2 and 3). All the ultrasonographic studies  
120 were performed by a board-certified specialist in diagnostic imaging. A subjective score (0-3)  
121 was assigned to each dog depending on the detectability of the dorsal atlantoaxial ligament

122 and its differentiation from surrounding structures in both the transverse and the sagittal  
123 plane. This grading score was similar to the classification used in previous cadaveric  
124 studies<sup>22</sup>. Those cadavers in which the dorsal atlantoaxial ligament was clearly identified  
125 based on the expected location, fibre orientation and fibre echogenicity were assigned a grade  
126 3, if it was moderately visible they were assigned a grade 2, if the margins of the ligament  
127 were indistinct but an hypoechogenic band could be identified in the expected location of the  
128 ligament they were assigned a grade 1 and they were assigned a grade 0 when the fibres of  
129 the dorsal atlantoaxial ligament were not discernible at ultrasonographic evaluation.

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147 **RESULTS**

148 Anatomical dissection of the two cadavers in which coloured ink was injected (ultrasound  
149 guided) in the ligament showed the presence of the injected ink in close association with the  
150 dorsal atlantoaxial ligament (with some leakage from the ligament suspected), confirming the  
151 accuracy of the ultrasonographic findings.

152 All the cadavers were mature adult dogs, but the exact age was unknown. The  
153 majority of dogs were crossbreed dogs, while there were 3 German shepherd, 1 Labrador, 1  
154 Rottweiler, 1 Rough Collie and 1 Husky. The estimated weight of the dogs ranged between 6  
155 and 35kg. Dogs were classified as small (estimated body weight <10kg), medium (estimated  
156 body weight 10-25 kg) or large (body weight >25kg). In total 19 cadavers were large breed  
157 dogs, 10 cadavers were medium size dogs and 1 cadaver was a small breed dog. Table 1  
158 summarizes the results.

159 Of the 19 large size cadavers 10 were graded as 3 either in the sagittal, in the  
160 transverse or in both planes. Eight of the other large breed cadavers were graded as 2 either  
161 in the sagittal, in the transverse or in both planes, while 1 cadaver was graded as 1 in both the  
162 sagittal and the transverse plane. None of the large size cadavers were graded as 0 in any of  
163 the planes.

164 Of the 10 medium size cadavers 5 were graded as 3 either in the sagittal, in the  
165 transverse or in both planes and 5 were graded as 2 in either in the sagittal, in the transverse  
166 or in both planes. None of the medium size cadavers were graded as 0 or 1 in any of the  
167 planes.

168 Finally, the only small size cadaver was graded as 3 in both the sagittal and the  
169 transverse plane.

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172 **DISCUSSION**

173 Results from our study show that ultrasonography is a useful diagnostic tool to identify the  
174 dorsal atlantoaxial ligament in normal canine cadavers, and the ligament was detectable in all  
175 cadavers.

176 In our study none of the cadavers were graded as 0 (not visible) in any view and 27/30  
177 and 28/30 were graded as moderately visible (grade 2) or clearly visible (grade 3) in the  
178 sagittal and transverse view respectively. Only 1 cadaver specimen of a large breed dog  
179 (Husky) was graded as 1 (indistinct) in both the sagittal and transverse plane, while in the  
180 other 3 dogs (all large size) in which the identification of the ligament was graded as 1 in one  
181 view it was graded as 2 in the other view. Therefore, 29/30 specimens were graded at least 2  
182 in one ultrasonographic view and 16/30 had at least one view graded as 3, with 7/30 being  
183 graded 3 in both views, 10/30 being graded 2 in both sagittal and transverse views and 9/30  
184 being graded 2 in one view and 3 in the other view. Interestingly, none of the medium size  
185 dogs was graded as 1 in any of the 2 ultrasonographic views, and the only small breed  
186 cadaver was graded as 3 in both views. These results suggest that visualization of the  
187 ligament might be clearer in smaller breeds. The reason for this finding is not clear from this  
188 study, but the authors hypothesise that this could be due to a proportionally smaller muscle  
189 mass surrounding the ligament in smaller breeds compared to large breeds, particularly those  
190 larger dogs with a bull terrier type conformation. Many of the larger-breed cadavers in this  
191 study did have a fairly broad skull conformation, so there may be a degree of bias due to this.  
192 An alternative hypothesis would be a proportionally larger dorsal atlantoaxial ligament in  
193 smaller breeds allowing proportionally clearer visualisation. As atlantoaxial subluxation is a  
194 condition commonly diagnosed in small breed dogs, in which there is typically a congenital  
195 origin, further studies will need to determine the feasibility of this technique in small breed  
196 dogs. Most of the breeds in this study were medium or large breed dogs, and therefore this

197 study shows that ultrasonography could be a useful diagnostic tool for these breed of dogs, in  
198 which the congenital form of atlantoaxial instability/subluxation is less common and are more  
199 likely to have the traumatic presentation of atlantoaxial subluxation.

200 In order to ultrasonographically identify and assess the echogenicity of a ligament it is  
201 necessary to maintain the ultrasound beam perpendicular to the ligament<sup>23, 24</sup>. In our study  
202 the acoustic window was considered small due to the large size of the spinous process of the  
203 axis, and therefore it is possible that the amount of ligament identified did not represent the  
204 full extent of the dorsal atlantoaxial ligament. On the other hand, the ultrasonographic  
205 evaluation was done with the neck in neutral position or slightly flexed, and it is likely that  
206 identification of the dorsal atlantoaxial ligament would have been better if the neck was in a  
207 flexed position, as there would be less overlapping of the spinous process of the axis over the  
208 atlas. However, the authors decided to do the ultrasonographic evaluation of the dorsal  
209 atlantoaxial ligament in neutral or slightly flexed positions as these would be the more  
210 clinically relevant positions, avoiding the risk of flexing the neck in clinically affected  
211 patients. An 8-10Mhz linear array transducer was briefly used to try to identify the ligament  
212 at the beginning of the study, but the clarity of the resulting images of the ligament was poor,  
213 with the microconvex array performing noticeably better, and hence this type of transducer  
214 was used. The authors suspect that this is due to the conformation of the spinous process of  
215 the axis resulting in the ligament being partially masked by the acoustic shadow from the  
216 process, and the footprint of the linear array preventing easy angulation to improve image  
217 quality due to the surrounding musculature. The fan-shaped image generated by the  
218 microconvex array, coupled with the smaller footprint, allowed a clear image of the ligament  
219 to be obtained. As the transducer was positioned in a straight sagittal position, further studies  
220 will be required to assess if positioning the transducer in a parasagittal position to obtain  
221 oblique views of the dorsal atlantoaxial ligament improves its assessment in dogs.

222 Five specimens were used to assess the feasibility of this technique. Three specimens  
223 were used for anatomical dissection to subjectively assess the dimensions and exact location  
224 of the dorsal atlantoaxial ligament. Two further specimens had coloured ink injected into the  
225 dorsal atlantoaxial ligament under ultrasound guidance followed by anatomical dissection.  
226 Although the total number of cadavers used for these assessments was relatively small, the  
227 authors considered that the findings in the cadavers were very consistent regarding the  
228 subjective dimensions and position of the dorsal atlantoaxial ligament. The location of the  
229 coloured ink in the ligament was very accurate in the 2 cadavers used for this purpose,  
230 confirming that the ultrasonographic identification of the dorsal atlantoaxial ligament was  
231 correct. Even though only 2 cadavers were used for this purpose, the authors considered this  
232 number sufficient due the accuracy found in the ultrasound guided ink injection when  
233 dissecting both cadavers and the characteristic ultrasonographic images found at the expected  
234 anatomical location of the dorsal atlantoaxial ligament.

235 The authors acknowledge several limitations to this study. First, we did not perform  
236 anatomic dissection of the dorsal atlantoaxial ligament of all the cadaveric specimens because  
237 the purpose of the study was only to determine whether ultrasound imaging can depict the  
238 dorsal atlantoaxial ligament. We therefore acknowledge that although the breeds of the  
239 cadavers were not breeds predisposed to atlantoaxial instability/subluxation it is possible that  
240 some of the cadavers did actually have abnormalities in the dorsal atlantoaxial ligament.  
241 Secondly, the study was performed on previously frozen cadavers and to the authors  
242 knowledge there are no studies assessing the influence of the process of freezing/thawing  
243 cadavers on the ultrasonographic image of a ligament. Therefore it is possible that this could  
244 have affected the ability of the operator to identify the dorsal atlantoaxial ligament. Finally,  
245 no attempts were made to perform measurements in the ultrasonographic images and  
246 correlate them to anatomic dissections as the purpose of the study was to assess if

247 identification of the dorsal atlantoaxial ligament was possible. The authors performed the  
248 study trying to mimic the clinical scenario and only allowing a mild flexion of the neck of the  
249 cadavers. In order to measure the full extent of the ligament the dorsal atlantoaxial ligament  
250 would have need to be taut by fully flexing the head, which would invalidate the potential  
251 benefits of this technique compared with traditional plain radiographs in the diagnosis of  
252 atlantoaxial subluxation/instability.

253 In conclusion, this study demonstrates that ultrasonographic identification of the  
254 dorsal atlantoaxial ligament is a feasible technique in normal canine cadavers weighing more  
255 than 6 kg. Therefore, identification of the dorsal atlantoaxial ligament through  
256 ultrasonography could potentially diagnose patients with atlantoaxial instability/subluxation  
257 using a non-invasive and safe diagnostic imaging technique. This technique has the  
258 advantage over conventional radiographs that it can be performed with the neck at a neutral  
259 or slightly flexed position. Further studies are needed to assess the feasibility to identify the  
260 dorsal atlantoaxial ligament in live and numerous small breed dogs and correlate the findings  
261 with patients clinically affected by atlantoaxial instability/subluxation.

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272 **ACKNOWLEDGEMENTS**

273 None

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297 **DISCLOSURE**

298 The authors declare no conflicts of interest related to this paper.

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397 **FIGURE AND TABLE LEGENDS**

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399 Figure 1: Anatomic dissection of the dorsal aspect of the atlantoaxial joint showing the  
400 anatomic location of the dorsal atlantoaxial ligament (star)

401 Figure 2: Ultrasonographic sagittal image of the dorsal aspect of the atlantoaxial joint  
402 showing the cranial aspect of the spinous process of the axis (x), the dorsal atlantoaxial  
403 ligament (arrowhead) and the dorsal arch of the atlas (star)

404 Figure 3: Ultrasonographic transverse image of the dorsal aspect of the atlantoaxial joint  
405 showing the spinous process of the axis (x), the dorsal atlantoaxial ligament (arrowhead) and  
406 the dorsal arch of the atlas (star)

407 Table 1: Assigned grades in the sagittal and transverse ultrasonographic views according to  
408 the size of the cadavers.

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422 TABLE 1

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	Assigned grade							
	Clearly visible (3)		Moderately visible (2)		Indistinct (1)		Not visible (0)	
	Sagittal	Transverse	Sagittal	Transverse	Sagittal	Transverse	Sagittal	Transverse
Large breed (n=19)	8	6	8	11	3	2	0	0
Medium breed (n=10)	4	3	6	7	0	0	0	0
Small breed (n=1)	1	1	0	0	0	0	0	0

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x



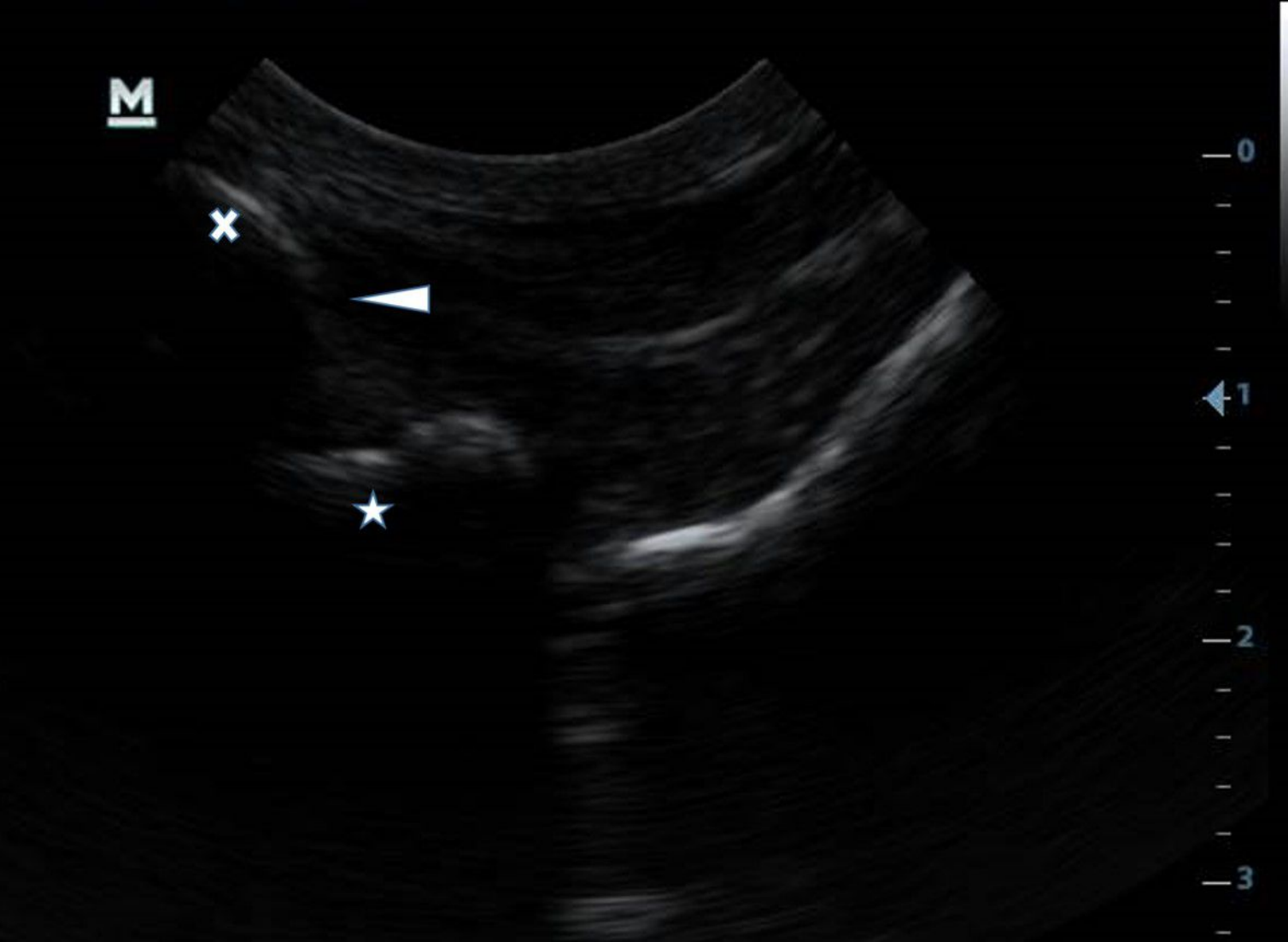
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