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2 **Flood avoidance behaviour in Brown Dippers**

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18 Extreme weather events such as tropical cyclones are becoming more frequent, but
19 efforts to understand the impact on wildlife have focused on population-level change
20 rather than the behavioural responses of individuals. In this study, we monitored an
21 individually marked population of Brown Dippers *Cinclus pallasii* in upland
22 Taiwanese streams in order to investigate the movements of these birds following
23 typhoons in 2004, 2012 and 2013. Individuals moved significantly longer distances (i)
24 immediately after floods compared with before and (ii) in typhoon years compared
25 with other years. Most of these movements involved temporary displacement from a
26 major stream to one of its tributaries, where population size and food abundance are
27 typically lower. These results suggest that movements after flooding were not driven
28 by food abundance but that relatively poor quality streams may provide an important
29 refuge for birds following typhoons.

30

31 **Keywords:** typhoon, climate change, tropical cyclone, refuge, survival

32

33

34 Extreme weather and natural disasters can dramatically increase extinction risk
35 (Easterling *et al.*, 2000, IPCC, 2014, Vincenzi, 2014). Alongside increasing global
36 temperatures, extreme weather events have become more frequent and severe, and
37 this trend is likely to continue into the next century (IPCC, 2014). Understanding how
38 wildlife responds to these events is therefore a major conservation challenge, but
39 since they are difficult to predict, their impact on animal and plant populations
40 remains poorly understood (Reed *et al.*, 2003, Jenouvrier, 2013, Bailey & Pol, 2016).

41

42 The ability to adapt to or escape from the conditions imposed by extreme weather
43 events may be critical for individual survival and population persistence. For example,
44 birds may alter their foraging behaviour when flooding restricts access to feeding sites
45 (e.g. Anich & Reiley, 2010), or when the availability of preferred food is limited by
46 drought (e.g. Steenhof & Kochert, 1985). In other cases, birds may leave their
47 territories to avoid unfavourable conditions. In one extreme example, individual
48 Golden-winged Warblers *Vermivora chrysoptera* were recorded leaving their breeding
49 grounds more than 24 hours before a severe tornadic storm, travelling over 1500 km
50 in five days (Streby *et al.*, 2014). However, these studies are rare, and more research
51 is needed to investigate the movements made by individual birds to escape the effects

52 of extreme weather.

53

54 In Taiwan, typhoons have become increasingly frequent (Tu *et al.*, 2009) and can

55 cause devastating floods between June and October (Chiang & Chang, 2011). The

56 Brown Dipper *Cinclus pallasii* is a specialist of fast-flowing streams in upland Taiwan,

57 feeding mainly on aquatic macroinvertebrates (Chiu *et al.*, 2009), and previous studies

58 have shown that extreme flooding following typhoons causes significant reductions in

59 prey density, leading to decreases in population size (Chiu *et al.*, 2008), survival rate

60 (Chiu *et al.*, 2013) and reproductive performance (Hong *et al.*, 2016). Chiu *et al.*

61 (2008) reported the movement of several individuals from a major stream to a small

62 tributary after one flood in 2004, but sample sizes were small. In order to investigate

63 this phenomenon further, we closely monitored the same study population from 2011

64 to 2014 and compared the location and movements of ringed birds before and after

65 typhoons, and in typhoon years versus other years. We also compared the

66 relationships between discharge, invertebrate density and population size on each

67 stream in order to test whether movements were likely to be driven by food

68 availability.

69

70

71 **METHODS**

72 **Study area**

73 The study area comprised the Cijiawan stream and its tributary the Yousheng in the
74 Tachia river catchment of central Taiwan (Fig. S1). The Cijiawan is a protected area
75 within Shei-Pa National Park. The drainage areas of the Cijiawan and Yousheng are
76 77 and 31 km², respectively, and records from 2007 and 2008 indicate that maximum
77 flow rates following typhoons were more than twice as high in the former than the
78 latter (Huang *et al.*, 2012). The daily water flow was recorded by the Taiwan Power
79 Company at a site 400 m downstream of the confluence of the Cijiawan and Yousheng
80 throughout the study period. The mean (\pm SD) daily flow from 2012 to 2014 was 6.1
81 \pm 14.4 m³s⁻¹, and maximum flows following typhoon years in 2004, 2012, and 2013
82 were 258.7, 310.8, and 240.5 m³s⁻¹, respectively. There were no typhoons in 2011 and
83 2014, and maximum flows in these two years were 21.5 and 58.3 m³s⁻¹, respectively.

84

85 **Population monitoring and colour ringing**

86 Dippers were surveyed along an 8.5 km stretch of the Cijiawan and the same length of
87 the Yousheng from their confluence. The former included a short (1.5 km) section of
88 the Gaoshan, a small tributary which usually holds one or two pairs of dippers near to
89 where it joins the Cijiawan; this was classified as the Cijiawan to simplify the
90 analyses. Surveys were conducted every one or two months (depending on weather

91 conditions and access) from June 2011 to December 2014 (6 surveys in 2011 of the
92 Cijiawan only; 10 surveys of both streams in 2012, 9 in 2013 and 10 in 2014). In 2004,
93 the Cijiawan was surveyed five times (from June to December) and the Yousheng
94 twice (in September and November). Birds were counted by slowly walking along the
95 stream edge and ignoring individuals which flew ahead to avoid double counting;
96 individuals almost invariably double-back once they reach the boundary of their
97 territory and thus fly by the observer (Chen & Wang, 2010, Hong *et al.*, 2016).

98

99 A colour ringing programme was conducted from 2011 to 2014 along the Cijiawan
100 and 2012 to 2014 on the Yousheng. Adults were caught in mist nets and given
101 individual colour combinations, mostly in the pre-breeding period (September to
102 December). The entire study area was surveyed for nests at least twice per month
103 from January to March; nests were readily found by following adults carrying nest
104 material or food (Hong *et al.*, 2016). All nestlings were given unique colour ring
105 combinations when 16-18 days old (January to April). During population surveys, the
106 location of all resighted colour ringed birds was recorded on a map to within 50 m.

107

108 **Invertebrate sampling**

109 Benthic macroinvertebrates were sampled at four sites in each of the two streams

110 every other month from February 2012 to October 2013 and in February, June and
111 October 2014. On each visit, six samples were taken from riffles (where the birds
112 usually feed) within each site using a Surber sampler (area = 30.48 cm x 30.48 cm,
113 mesh size = 250 μ m); samples were preserved in 75% ethanol. Specimens were
114 identified at least to genus using published keys (Kang, 1993, Merritt & Cummins,
115 1996, Kawai & Tanida, 2005). According to Chiu *et al.* (2009), Brown Dippers feed
116 mostly on Trichoptera, Ephemeroptera, Diptera, and Plecoptera in our study area. The
117 mean number of invertebrates from these four taxa caught in the 24 samples (4 sites x
118 6 samples) was therefore multiplied by a factor of 10.764 (i.e. 10,000 cm²/[30.48 cm
119 x 30.48 cm] as a measure of invertebrate density in m².

120

121 **Data analysis**

122 The distances moved by colour-ringed individuals before and after flood events were
123 non-normally distributed and so compared using a Wilcoxon signed rank test.

124 Movements before a flood were measured as the distance between an individual's
125 locations on the two population surveys before the flood event. Movements after a
126 flood were measured as the distance between an individual's locations on the surveys
127 in the months immediately before and after the flood event. We also compared the
128 movements after flooding in typhoon years with movements at the same time of year

129 in non-typhoon years (2011 and 2014) using a Mann-Whitney U Test. Movements in
130 non-typhoon years were measured as the maximum distance between an individual's
131 locations during July to September. To exclude cases of natal dispersal and the
132 movements of non-breeding individuals or 'floaters', analyses were restricted to those
133 individuals which were recorded breeding in the year of the flood. To determine how
134 many ringed adults in the breeding population were still present in the study area after
135 flooding, post-flood resighting rate (simply 'resighting rate' hereafter) were calculated
136 by totalling the number of ringed adults resighted after three months of flooding and
137 dividing by the number of all ringed adults present in the previous breeding season.

138

139 Wilcoxon signed rank tests were also used to compare the monthly population size
140 and invertebrate density between the Cijiawan and the Yousheng; this was done using
141 the total number of birds recorded on population surveys and the mean invertebrate
142 density per sampling site, respectively, for each month in which the two sets of data
143 (both non-normal) were recorded. Following Chiu *et al.* (2008), simple linear
144 regression was used to investigate the relationships between log-transformed
145 maximum flow, invertebrate density and dipper population size on each stream.
146 Maximum flow was measured in the two month period prior to invertebrate sampling.
147 Dipper counts were taken from population surveys in the same month as invertebrate

148 sampling. All statistical tests were performed using SPSS version 19 (IBM Corp).

149

150 **RESULTS**

151 168 dippers were colour-ringed from 2011 to 2014 (120 on the Cijiawan, 48 on the
152 Yousheng) and 75 were colour-ringed on the Cijiawan in 2003 and 2004. Across three
153 main flood events caused by typhoons, 19 individuals were recorded making
154 unusually long movements (i.e. greater than the mean territory length of 1045 m,
155 Chen and Wang 2010) but remaining within the study area (Table 1, Fig. 1). 16
156 individuals moved from the lower Cijiawan to the lower Yousheng, and 3 birds moved
157 from the lower to the upper Yousheng (Fig. 1). These movements represent 25.0%,
158 15.0% and 38.9% of the Cijiawan breeding population moving to Yousheng after
159 flooding in 2004, 2012 and 2013, respectively (Table 1). All 19 individuals returned to
160 their original territories within two months of the flood. The resighting rate of all
161 ringed adults ranged from 86.7 to 88.2% three months after floods in 2004, 2012 and
162 2013. In 2014 when the flood was relatively small, only one bird (5.5% of the
163 Cijiawan breeding population) was recorded making a long movement and the
164 resighting rate was 92.6% (Table 1).

165

166 The mean (\pm SD) distance moved by individuals after floods (3766 ± 851 m) was

167 significantly longer than that moved before (198 ± 136 m; $n = 19$, $Z = -3.823$, $P <$
168 0.001) and also longer than movements in non-typhoon years (440 ± 890 m; $n = 15$, U
169 $= 9.000$, $P < 0.001$). If excluding the single individual which made a long-distance
170 movement in 2014, the mean distance moved in non-typhoon years was only $214 \pm$
171 174 ($n = 14$). Furthermore, the dipper population size on the Cijiawan decreased
172 during each flood event (only 8.3, 34.3, and 14.8% of the population in the previous
173 month remained during the floods in 2004, 2012, and 2013, respectively), while that
174 on the Yousheng remained stable or increased dramatically (100 and 213.3% of the
175 previous month's population in 2012 and 2013; Fig. 2). By contrast, the dipper
176 population on the Cijiawan was relatively stable in summer 2011 when no typhoon
177 occurred and 92.6% remained during a small flood in 2014 (Fig. 2). Outside of
178 flooding events, the population on the Cijiawan (31.5 ± 8.1) was always significantly
179 greater than that on the Yousheng (20.3 ± 6.2 ; $n = 27$, $Z = -4.824$, $P = 0.003$).

180

181 The invertebrate density in the Cijiawan (395 ± 301 m⁻²) was significantly higher than
182 that in the Yousheng (246 ± 167 m⁻²; $n = 13$, $Z = -2.411$, $P = 0.016$). There were
183 significant negative correlations between discharge and invertebrate density in both
184 streams (Cijiawan: $n = 13$, $r^2 = 0.76$, $P < 0.001$; Yousheng: $n = 13$, $r^2 = 0.70$, $P <$
185 0.001 ; Figs 3a-3b). However, the relationship between invertebrate density and

186 population size differed between the two streams; there was a significant positive
187 correlation in the Cijiawan ($n = 13$, $r^2 = 0.47$, $P = 0.009$) but no significant correlation
188 in the Yousheng ($n = 13$, $r^2 = 0.06$, $P = 0.497$; Figs 3c-3d).

189

190 **DISCUSSION**

191

192 Brown Dippers moved significantly greater distances following flood events caused
193 by typhoons than in the period prior to flooding and also in the equivalent period of
194 non-typhoon years. Most movements were from the relatively large population on the
195 Cijiawan, the main stream, to a significantly smaller population on its tributary, the
196 Yousheng; the remainder were movements upstream within the Yousheng, all in 2012
197 when flooding was severe in both streams. All movements were temporary, with
198 individuals returning to their original territories within two months of the flood. Other
199 individuals disappeared from the Cijiawan during flood events, especially those living
200 in the upstream section, and may have moved further upstream beyond the study area
201 (Fig. S1) where the discharge is presumably smaller. However, in summer 2011 and
202 2014, the population on the Cijiawan was relatively stable and showed high site
203 fidelity (only one individual made a long-distance movement), supporting the idea
204 that unusually long movements were triggered by floods rather than seasonal

205 movements. This is one of very few studies providing clear evidence of individual
206 birds moving atypical distances to avoid the effects of typhoons. Others have
207 described escape behaviour or the use of refugia during or after cyclones (White Jr *et*
208 *al.*, 2005, Streby *et al.*, 2014) and similar behaviour has been described in freshwater
209 fish (Koizumi *et al.*, 2013).

210

211 Invertebrate density was negatively correlated with the severity of flooding,
212 supporting previous findings from the same catchment (Chiu *et al.*, 2008). However,
213 there was no significant relationship between invertebrate density and the population
214 size of dippers on the Yousheng, where invertebrate density was significantly lower
215 than on the Cijiawan. This suggests that the movements of birds from the main stream
216 to its tributary were not driven by flood-induced decreases in food availability. Instead,
217 it may be that foraging behaviour is adversely affected by high water levels and this
218 has been suggested in studies of the closely related White-throated Dipper *Cinclus*
219 *cinclus*: the shallow riffles favoured for feeding become unavailable (Da Prato, 1981,
220 O'Halloran *et al.*, 1990). Furthermore, because the drainage area of the Cijiawan is
221 more than double that of the Yousheng, the former becomes turbid more quickly after
222 heavy rainfall (Fig. S2) and this may be the cue causing dippers to adopt flood
223 avoidance behaviour.

224

225 Surprisingly, resighting rates after floods were relatively high. The dipper population
226 on the Cijiawan, for example, almost recovered in one or two months after flooding. It
227 may be that the escape movements reported here increase the survival probabilities of
228 dippers during these extreme discharges (Fig. S3). However, floods also decreased
229 invertebrate density in the following breeding season, especially typhoons occurring
230 late in the year (Hong *et al.*, 2016). A previous study showed that the breeding
231 population size would decrease on the Cijiawan if invertebrate density was low, and
232 some adults abandon reproduction and disappear (Hong *et al.*, 2016). This
233 phenomenon suggests that the reduction in annual survival rates caused by flooding as
234 reported in Chiu *et al.* (2013) does not happen immediately, but instead results from
235 longer-term impacts mediated through food abundance.

236

237 The use of the Yousheng as a refuge during typhoons has important implications
238 for the management of riverine ecosystems. While the lower population size and
239 invertebrate density of this unprotected stream indicate that habitat quality is
240 relatively poor for dippers and their prey, this part of the catchment may be crucial for
241 its wildlife during flood events. These results support previous suggestions that
242 catchment connectivity is vital for population persistence in freshwater species and

243 that protection and management should operate at the catchment level (Davidson *et*

244 *al.*, 2012, Koizumi *et al.*, 2013).

245

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249

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321 (*Amazona ventralis*) released in the Dominican Republic. *Ornitol. Neotrop.*,
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323

324

325 Table 1. The number of breeding pairs of Brown Dippers in two streams and the
326 number of individuals which made long-distance but temporary movements after
327 floods. Each individual has a serial number, shown in Fig. 1. Post-flood resighting
328 rate indicates the proportion of ringed adults in the breeding population which were
329 present in the study area three months after each flood.

	Breeding pairs		From Cijiawan to	Movement within	Resighting rate (%)
	Cijiawan	Yousheng	Yousheng	Yousheng	after flooding
2004	12	-	6	0	88.2 (15/17)
2012	10	5	3	3	86.7 (13/15)
2013	9	6	7	0	88.0 (22/25)
2014	9	6	1	0	92.6 (25/27)

330

331 **Figure legends**

332 Figure 1. The movements of Brown Dippers after floods in (a) 2004, (b) 2012, and (c)
333 2013. Black circles show each individual's original territory; white circles show their
334 temporary locations after floods. (d) The movements of Brown Dippers in summer
335 2014. Black circles show each individual's location in July; white circles show their
336 locations in September 2014.

337

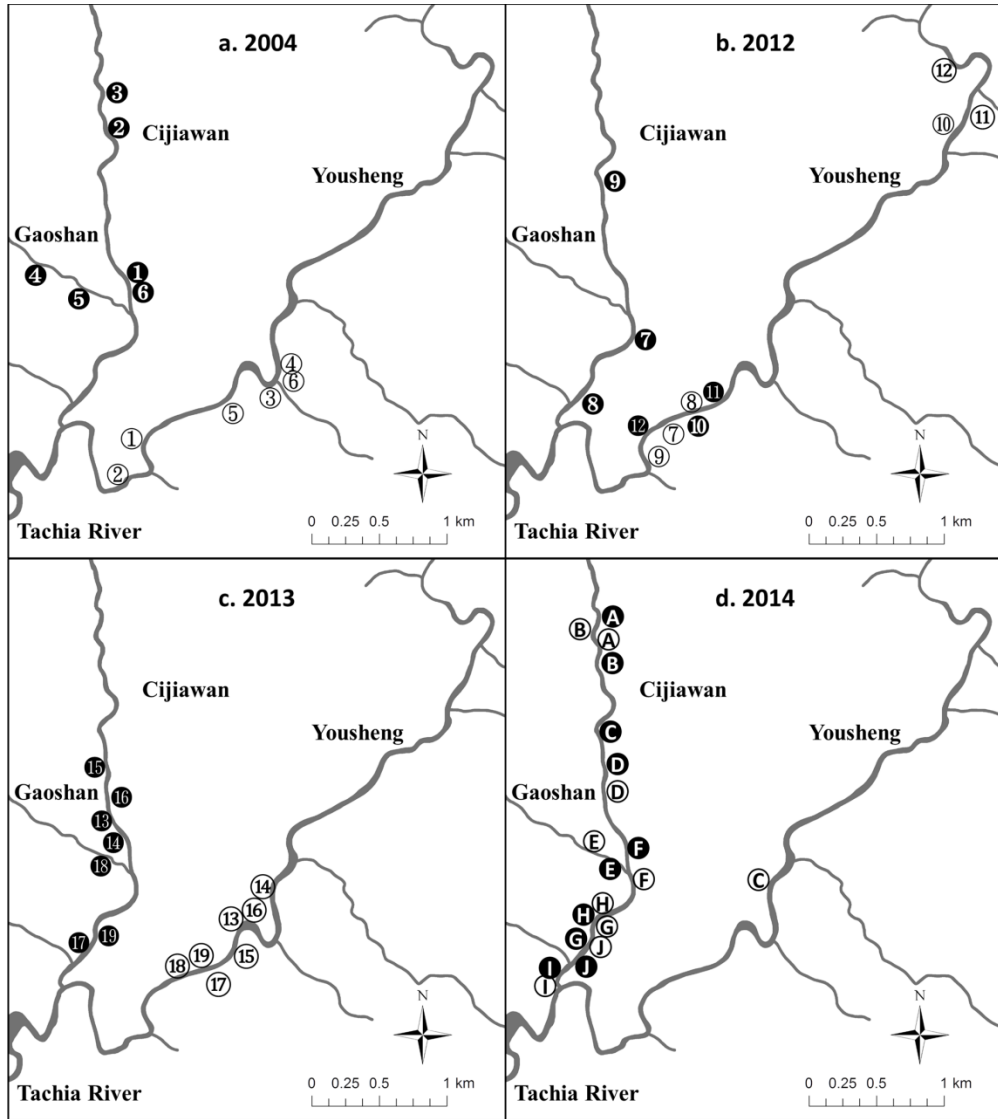
338 Figure 2. The monthly maximum discharge and population dynamics of Brown
339 Dippers in the Cijiawan and Yousheng from June 2011 to December 2014 and several
340 months in 2004.

341

342 Figure 3. The relationship between discharge and invertebrate density in the (a)
343 Cijiawan and (b) the Yousheng from 2012 to 2014 ($n = 13$), and the relationship
344 between invertebrate density and the number of Brown Dippers in (c) the Cijiawan
345 and (d) the Yousheng from 2012 to 2014 ($n=13$).

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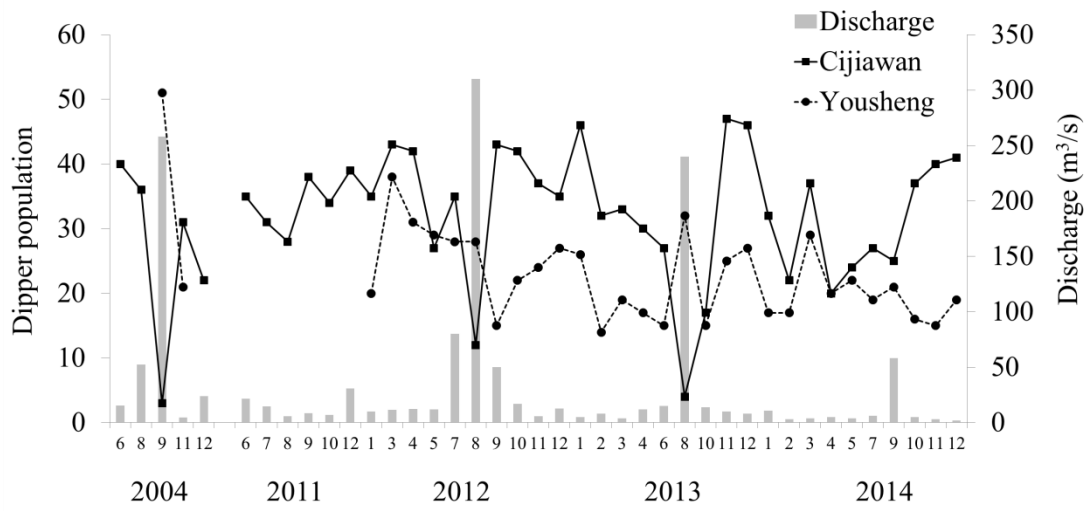


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350 Figure 1.

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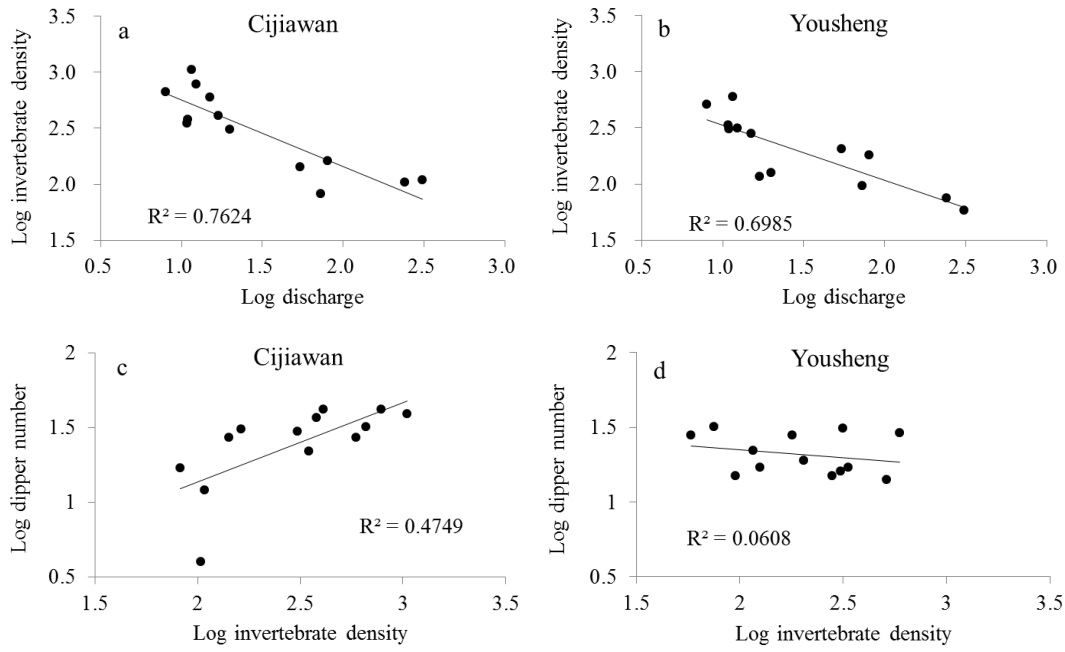
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353 Figure 2.

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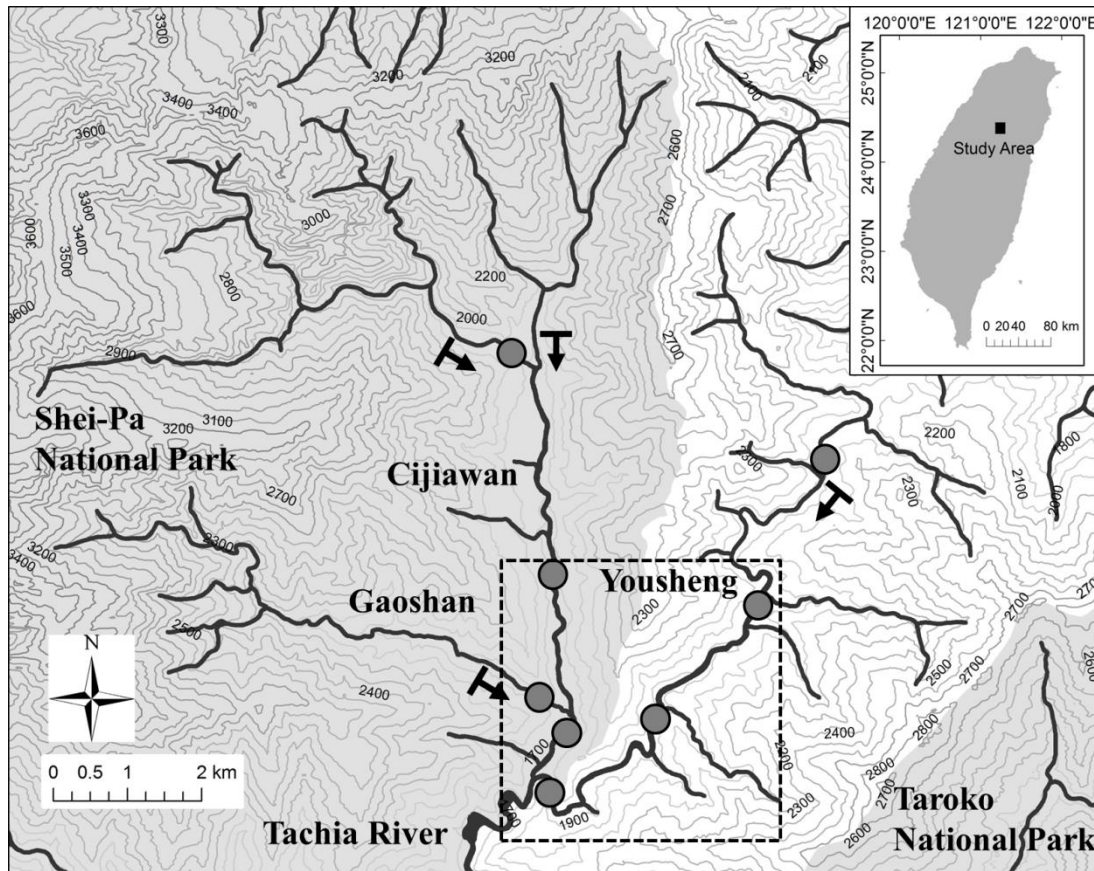
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358

359 Figure 3.

360

361 **Supplementary material**



362

363 Figure S1

364 Map of the study area in central Taiwan. The drainage areas of the Cijiawan

365 (including Gaoshan) and Yousheng are 77 and 31 km², respectively. Arrows indicate

366 the range of Brown Dipper population surveys which started from the confluence of

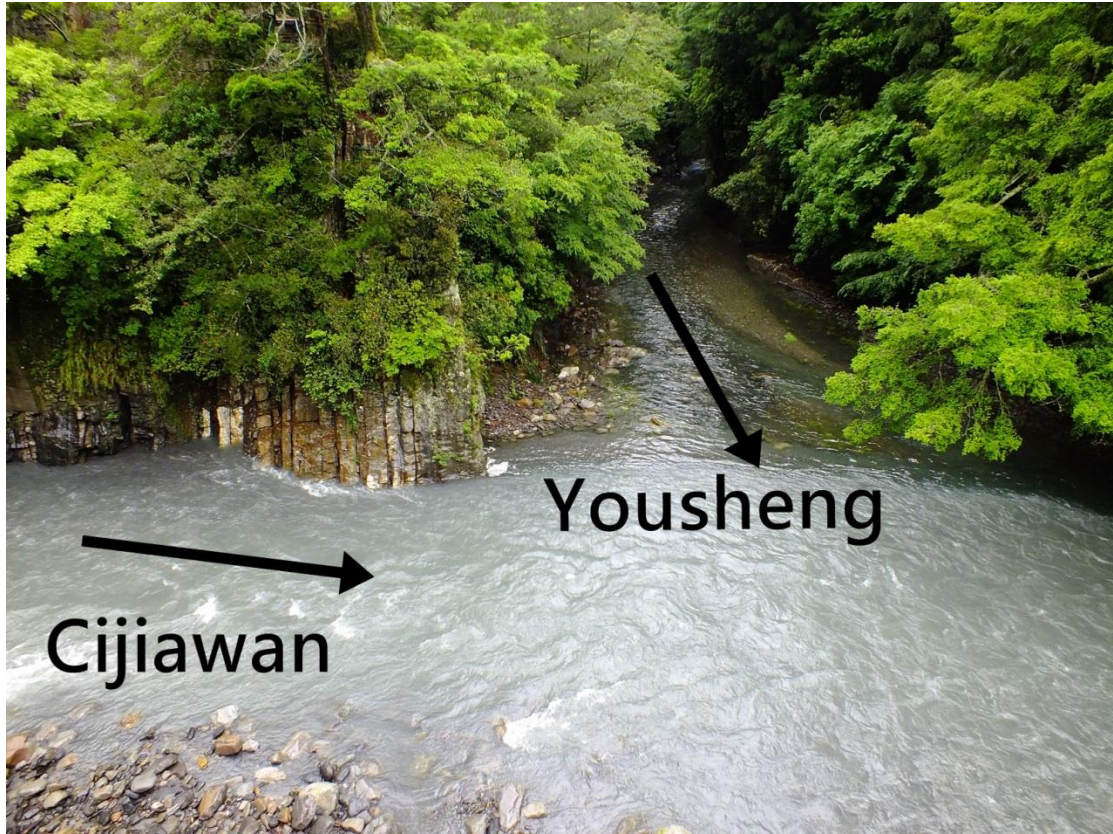
367 the Cijiawan and Yousheng. In addition to the streams we surveyed (Cijiawan,

368 Yousheng, and Gaoshan), other tributaries were too small to support dippers in normal

369 conditions. Circles are the eight invertebrate sampling sites. The contour lines give

370 altitude in m. The shaded area shows the range of the Shei-Pa National Park.

371



372

373 Figure S2

374 Following heavy precipitation, the Cijiawan became turbid faster than the Yousheng.

375 Arrows indicate flow direction. (Photo by Shiao-Yu Hong)

376



377

378 Figure S3

379 The flooding which was caused by a typhoon in the middle section of the Cijiawan in

380 August 2012. Typhoon floods are usually triggered by 1-3 days of intensive rainfall

381 and then subside after two weeks. (Photo by Cheng-Hsiung Yang)

382