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# Seismic anisotropy and mantle flow below subducting slabs

Jack Walpole<sup>a</sup>, James Wookey<sup>a</sup>, J-Michael Kendall<sup>a</sup>, T-Guy Masters<sup>b</sup>

<sup>a</sup>*School of Earth Sciences, University of Bristol, Wills Memorial Building, Queens Road, Bristol BS8 1RJ, UK*

<sup>b</sup>*IGPP, Scripps Institution of Oceanography, 9500 Gilman Drive, La Jolla, California 92093, USA*

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## Abstract

Subduction is integral to mantle convection and plate tectonics, yet the role of the subslab mantle in this process is poorly understood. Some propose that decoupling from the slab permits widespread trench parallel flow in the subslab mantle, although the geodynamical feasibility of this has been questioned. Here, we use the source-side shear wave splitting technique to probe anisotropy beneath subducting slabs, enabling us to test petrofabric models and constrain the geometry of mantle flow. Our global dataset contains 6369 high quality measurements – spanning  $\sim 40,000$  km of subduction zone trenches – over the complete range of available source depths (4 to 687 km) – and a large range of angles in the slab reference frame. We find that anisotropy in the subslab mantle is well characterised by tilted transverse isotropy with a slow-symmetry-axis pointing normal to the plane of the slab. This appears incompatible with purely trench-parallel flow models. On the other hand it is compatible with the idea that the asthenosphere is tilted and

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*Email address:* [jack.walpole@bristol.ac.uk](mailto:jack.walpole@bristol.ac.uk) (Jack Walpole)

entrained during subduction. Trench parallel measurements are most commonly associated with shallow events (source depth  $< 50$  km) – suggesting a separate region of anisotropy in the lithospheric slab. This may correspond to the shape preferred orientation of cracks, fractures, and faults opened by slab bending. Meanwhile the deepest events probe the upper lower mantle where splitting is found to be consistent with deformed bridgmanite.

*Keywords:* Subduction, Seismic Anisotropy, Mantle Convection, Shear Wave Splitting, Trench Parallel Flow, Asthenosphere

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## 1. Introduction

Subduction is an important component of mantle convection and is a prerequisite for plate tectonics; yet many dynamical aspects of subduction are not well understood (e.g., Kincaid, 1995; Bercovici, 2003; Billen, 2008; Becker and Faccenna, 2009; Alisic et al., 2012). Studying anisotropy offers a key to improve understanding in this area by linking observations from seismology to experimental and theoretically determined models from mineralogy and geodynamics.

One example of a gap in knowledge is the degree of viscous coupling between the lithospheric slab and the underlying asthenospheric mantle. The asthenosphere may be strongly coupled to the lithosphere resulting in its entrainment upon subduction (Ribe, 1989) or may be largely decoupled if it is positively buoyant (Phipps Morgan et al., 2007). This has major implications for the chemical and thermal evolution of our planet.

The idea that the asthenosphere is decoupled and flows laterally along strike at subduction zones (trench-parallel flow) has been popularised by the

17 observations of two independent and orthogonally polarised shear waves with  
18 the faster travelling shear wave being polarised parallel to subduction zone  
19 trenches (e.g., Russo and Silver, 1994; Long and Silver, 2009). This signal  
20 fits an anisotropic model of olivine A-type fabric (or similar) with a fast  
21 polarisation direction ( $\phi$ ) that matches the flow direction (e.g., Savage, 1999,  
22 and references therein). However, even if the asthenosphere is decoupled from  
23 the slab (a mechanism for which remains elusive), it does not follow that it  
24 would flow parallel to the trench. Despite successes in modelling toroidal  
25 flow patterns at slab edges (that correlate well with shear wave splitting  
26 patterns; Kincaid and Griffiths, 2003; Civello, 2004; Zandt and Humphreys,  
27 2008; Honda, 2009; Faccenda and Capitanio, 2012) it has proven difficult for  
28 geodynamicists to model broad scale trench-parallel flow beneath the slab  
29 using realistic parameters (e.g., Alisic et al., 2012; Lowman et al., 2007).  
30 Under realistic 3-D slab geometries the dominant flow direction is found to  
31 be normal to the trench (Kincaid and Griffiths, 2003; Alisic et al., 2012); only  
32 under special circumstances has trench-parallel flow been modelled (Lowman  
33 et al., 2007; Paczkowski et al., 2014).

34 The difficulty in modelling trench-parallel flow has prompted a number  
35 of alternate hypotheses to explain the splitting data; these exploit the fact  
36 that  $\phi$  does not always equate with the mantle flow direction (e.g., Savage,  
37 1999, and references therein). For example, under simple shear deformation,  
38 olivine B-type fabrics have  $\phi$  normal to flow (e.g., Jung et al., 2006), leading  
39 to the suggestion of B-type fabric in the sub-slab mantle (Jung et al., 2009;  
40 Ohuchi et al., 2011; Lee and Jung, 2015). The relationship between flow  
41 and  $\phi$  also depends on the geometry of deformation (e.g., simple shear *vs.*



42 pure shear; Ribe, 1992; Tommasi et al., 1999; Di Leo et al., 2014), for exam-  
43 ple trench-parallel  $\phi$  could be caused by pure shear deformation (Faccenda  
44 and Capitanio, 2012; Li et al., 2014). Additionally, the tilting of established  
45 vertically transverse isotropy in the suboceanic asthenosphere (*a.k.a.* ra-  
46 dial anisotropy; Dziewonski and Anderson, 1981; Nettles and Dziewonski,  
47 2008) would produce trench-parallel  $\phi$  for steeply incident rays (Song and  
48 Kawakatsu, 2012, 2013).

49 An alternative explanation for the trench parallel splitting signal is that  
50 it comes not from the asthenosphere but from the slab itself. Faults opened  
51 along the trench by flexure of the lithosphere may produce anisotropy by  
52 shape preferred orientation. Lattice preferred orientation of highly anisotropic  
53 hydrous phases within these faults could enhance the strength of anisotropy  
54 (Faccenda et al., 2008).

55 However, with growing numbers of observations it is becoming clearer that  
56  $\phi$  is often not trench-parallel (e.g., Lynner and Long, 2014a); such ‘discrepant’  
57 observations are incompatible with the trench-parallel flow hypothesis. One  
58 possibility is that they indicate regions where the flow field deviates (e.g.,  
59 Lynner and Long, 2014b). However such an explanation is unsatisfactory  
60 in regions where observations of  $\phi$  are highly variable over short distance.  
61 Local variability in splitting parameters is potentially better explained by  
62 variation in sampling geometry depending on the symmetry properties of  
63 the anisotropic medium (e.g., Song and Kawakatsu, 2012).

64 In addition to the shallow sources of anisotropy, anisotropy is also thought  
65 to exist in the deeper mid-mantle (i.e., transition zone and the upper lower  
66 mantle, between about 400 to 1000 km depth). Such deep anisotropy can

67 inform us on the dynamical processes of slab sinking into the viscous lower  
68 mantle. It also constrains mineralogical models of, for example, deep water  
69 transport (Nowacki et al., 2015). Observations of source-side splitting from  
70 deep events on downgoing  $S$  phases has provided firm evidence for anisotropy  
71 in the mid-mantle (Wookey et al., 2002; Lynner and Long, 2015; Mohiuddin  
72 et al., 2015; Nowacki et al., 2015). Anisotropy may be a global feature of  
73 the transition zone as has been inferred from surface wave data (Trampert  
74 and van Heijst, 2002; Yuan and Beghein, 2013), though some localised mid-  
75 mantle regions show an apparent lack of anisotropy (Fischer and Wiens, 1996;  
76 Fouch and Fischer, 1996; Kaneshima, 2014).

77 In this study we present a new dataset of source-side  $S$  shear wave split-  
78 ting measurements – the largest of its kind to date – that covers  $\sim 40,000$  km  
79 of the Earth’s subduction zones. The dataset includes shallow and deep  
80 events enabling us to probe anisotropy in the shallow and deep mantle. This  
81 is enabled by automation of the analysis supported by newly developed qual-  
82 ity control measures (such as for robust null detection and consideration of  
83 error) and manual verification. We analyse the variation in splitting param-  
84 eters with sampling angle in the slab reference frame in order to expose the  
85 underlying character of anisotropy.

## 86 **2. Data and Methods**

### 87 *2.1. Seismic Data Selection*

88 We use the source-side splitting technique (e.g., Kaneshima and Silver,  
89 1992; Vinnik and Kind, 1993; Wookey et al., 2002; Nowacki et al., 2012;  
90 Di Leo et al., 2012; Lynner and Long, 2013) to probe anisotropy in the

91 region directly beneath earthquake hypocentres (therefore these data have  
92 no sensitivity to the overlying mantle wedge); the concentration of seismic-  
93 ity at convergent plate boundaries makes this technique ideal for studying  
94 anisotropy in the sub-slab mantle. We use the catalogue of data available  
95 on the Fast Archive Recovery Method (FARM) volumes provided by the In-  
96 corporated Research Institutions for Seismology (IRIS) Data Management  
97 Center (DMC). The data cover the years from 1976 to 2010, incorporating  
98 all events in magnitude range  $4.0 \leq M_w \leq 7.3$ . Clear  $S$  arrivals are picked  
99 using a hierarchical clustering technique on long-period data (Houser et al.,  
100 2008). We select data within the epicentral distance window  $50^\circ \leq \Delta \leq 85^\circ$ ;  
101 at shorter distances  $S$  phases arrive at stations with shallow incidence angles  
102 where free-surface coupling effects and shear-coupled P waves can distort the  
103 particle motion (e.g., Wookey and Kendall, 2004); at farther distances the  
104 signal is potentially contaminated by splitting in the lowermost mantle (e.g.,  
105 Wookey et al., 2005; Wookey and Kendall, 2008). In total, data from 4955  
106 events and 1903 stations are used to measure source-side splitting on 64,333  
107 raypaths (Fig 1); however quality control eventually reduces this number  
108 to 6369 high quality measurements sourced at subduction zones; only these  
109 latter measurements will be considered in this study.

## 110 *2.2. Measuring Shear Wave Splitting*

111 Shear wave splitting is measured using the semi-automated workflow de-  
112 scribed in Walpole et al. (2014) adapted for the source-side splitting tech-  
113 nique. Prior to measurement, the data are Butterworth bandpass filtered to  
114 pass signal in the frequency range 0.02–0.30 Hz. The phase pick times are  
115 used to determine time window limits for particle motion analysis; the final

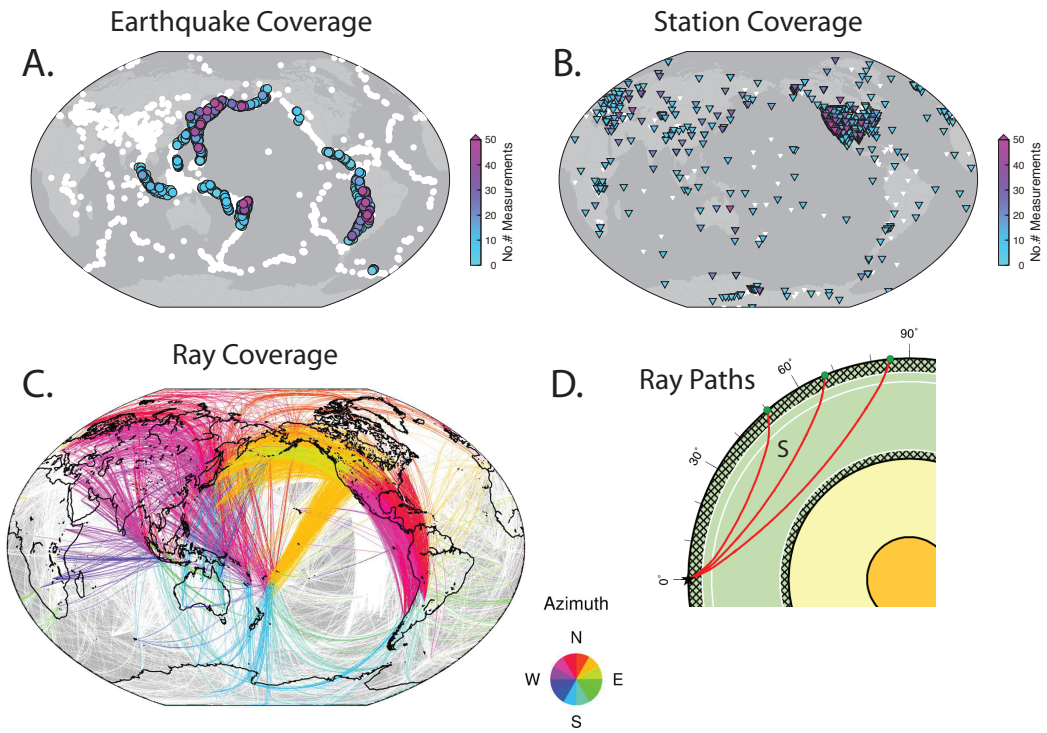


Figure 1: Maps of **A.** earthquake events; **B.** seismic stations; **C.** raypaths. In each of these colour is used to denote events/stations/raypaths associated with high quality source-side splitting measurements at subduction zone locations. Note that many measurements are rejected based on quality or simply discarded based on location; these are shown by the white symbols. **D.** Cross-sectional view of the Earth with *S* paths shown for epicentral distances  $50^\circ$  to  $85^\circ$  (the range used in the dataset); the upper mantle and lowermost mantle region are hatched to denote that these regions are anisotropic.

116 window is selected by a clustering algorithm that searches for the window that  
117 returns the most stable result (Teanby et al., 2004; Wuestefeld et al., 2010).  
118 Splitting is measured using both the minimum eigenvalue method (Silver and  
119 Chan, 1991) and the cross-correlation method (Ando et al., 1980). The use of  
120 both techniques tests whether a result depends on the measurement method  
121 (Wuestefeld and Bokelmann, 2007), the degree to which the methods agree  
122 is quantified by the  $Q$  parameter (Wuestefeld et al., 2010). In this study we  
123 present the results obtained by the minimum eigenvalue method, along with  
124 the parameter  $Q$ .

### 125 *2.2.1. Receiver Correction*

126 Since  $S$  phases pass through the anisotropic upper mantle twice (down-  
127 wards in the source region, and upwards in the receiver region, Fig 1 D), the  
128 observed split shear wave must be corrected for splitting in the receiver region  
129 before the source-side splitting can be measured. In principle the shear-wave  
130 could split due to anisotropy along its lower mantle path, however, evidence  
131 suggests that the bulk of the lower mantle is isotropic (e.g., Meade et al.,  
132 1995; Panning and Romanowicz, 2006) and therefore should not contribute  
133 significant splitting. Splitting that does occur in the lower mantle will inter-  
134 fere and add variance to our measurements; however a consistent signal in  
135 the source region should dominate the average over many measurements.

136 Knowledge of the receiver correction is constrained by splitting measured  
137 on  $SKS$  and  $SKKS$  phases, which are radially polarised (SV) by a P to S  
138 conversion at the core-mantle boundary, and therefore only retain a split-  
139 ting signal from their upward journey through the mantle. In general, the  
140 receiver correction depends on incidence angle, back-azimuth, polarisation,

141 and frequency of the incoming wave and therefore  $SK(K)S$  derived cor-  
142 rections may not be accurate for the particular  $S$  phase under study. To  
143 address this problem we devise and implement an iterative workflow to find  
144 the receiver correction for each  $S$  phase in the study individually (Fig S1).  
145 The technique improves either the receiver- or the source-side splitting pa-  
146 rameters with each successive iteration. The initial iteration uses  $SKS$  and  
147  $SKKS$  data in conjunction with (uncorrected)  $S$  data to make a first es-  
148 timate of the receiver correction (the SKS measurements are described in  
149 Walpole et al., 2014); this is achieved for each station by signal-to-noise  
150 weighted error surface stacking of all measurements at that station (Restivo  
151 and Helffrich, 1999). The second iteration applies these receiver corrections  
152 to  $S$  phases to measure the source-side splitting; in turn source-corrections  
153 are derived by signal-to-noise weighted stacking of all measurements from a  
154 common event. The third iteration uses these source corrections to make  
155 more accurate receiver-side splitting measurements on the  $S$  phases. The  
156 fourth iteration uses  $SKS$ ,  $SKKS$ , and source-corrected  $S$  phases (from the  
157 previous iteration) to make an updated measurement of the receiver correc-  
158 tion; however, in order to make this correction as appropriate as possible  
159 to the  $S$  phase under investigation, only phases polarised within  $15^\circ$  of the  
160 target  $S$  phase contribute to this receiver correction. With successive itera-  
161 tions the corrections become increasingly specific to the particular  $S$  phase  
162 under study. By iterations 5 and 6 the source/receiver correction is derived  
163 exclusively from the exact seismogram on which the measurement is being  
164 made, thereby accounting for possible dependence on incidence angle, back-  
165 azimuth, polarisation, and frequency. We present the results from iteration

166 6 in this paper, these are (receiver corrected) measurements of source-side  
167 anisotropy.

### 168 *2.2.2. Propagating of Error in the Receiver Correction*

169 Inevitably the receiver correction carries some degree of uncertainty. This  
170 renders the receiver correction an error prone process. No previous study has  
171 attempted to propagate the uncertainty in the receiver correction into the  
172 error of the final measurement. Here we introduce a new method to achieve  
173 this.

174 The main principle of the new method is to test numerous possible re-  
175 ceiver corrections, and to combine the resultant measurements together into  
176 one measurement that captures the potential variability in the result. This is  
177 achieved by using a shear wave splitting error surface as the input to receiver  
178 correction (rather than the single set of splitting parameters typically used).  
179 Specifically, this error surface takes the form of an F-test normalised grid  
180 of  $\lambda_2$  values, output from a minimum eigenvalue measurement (Silver and  
181 Chan, 1991), or possibly from a stack of such measurements (Wolfe and Sil-  
182 ver, 1998).  $\lambda_2$  is defined as the minimum eigenvalue of the two dimensional  
183 time-domain covariance matrix of particle motion within the polarisation  
184 plane (Silver and Chan, 1991). Each trial measurement produces its own  
185 error surface, which is weighted by the inverse of the normalised  $\lambda_2$  value  
186 associated with the trial splitting parameters in the input receiver correc-  
187 tion surface. Ultimately an ensemble of measurements is amassed, which are  
188 stacked to produce the final measurement. In principle it would be desirable  
189 to test each possible receiver correction, however, the computational cost  
190 increases by a factor of  $N$ , where  $N$  is the number of candidate receiver cor-

191 rections to test. Pragmatically we limit  $N$  to 50, and use a random sampling  
192 method to select candidate corrections, the sampling method is biased to-  
193 wards selecting receiver corrections with low values of  $\lambda_2$  (and therefore more  
194 likely to be true). The biased random selection method works as follows: for  
195 each node selection, 100 nodes are randomly sampled from the grid and only  
196 that with the minimum  $\lambda_2$  from these 100 is retained for further use. This  
197 process is repeated until 50 unique nodes have been selected. Picking the  
198 “best” node from the 100 random samples biases the selection towards the  
199 most realistic receiver corrections. The size of the random subset affects the  
200 severity of the biasing; the choice of 100 samples was found, by testing, to be  
201 a reasonable subset size given the total number of nodes in our error surface  
202 ( $180 \times 161 = 28,980$ ). A demonstration of the error propagating receiver  
203 correction method as applied to synthetic data is provided in Figure S2.

### 204 2.3. Null Classification

205 The classification of measurements as *split* or *null* is important for in-  
206 terpretation. A new metric for automatic null classification is employed.  
207 This metric, here named “Null Intensity” ( $NI$ ), uses a 2-D normalized cross-  
208 correlation of the error surface with itself (autocorrelation) to search for  
209 self-similarity at  $90^\circ$  offset in  $\phi$ . Autocorrelation is facilitated by expanding  
210 the error surface by wrapping around the  $\phi$  axis and mapping into negative  $\delta t$   
211 as demonstrated in Figure S3. The method exploits  $90^\circ$  ambiguity in  $\phi$  that  
212 is characteristic of null measurements: the essential idea is to look for strong  
213 autocorrelation at  $90^\circ$  misfit as evidence for a null measurement. Testing has  
214 revealed that taking a second autocorrelation leads to a more stable metric  
215 for null identification, because it enhances the separation between *null* and



216 *split* measurements. The value of  $NI$  is here defined as the value at  $90^\circ$  misfit  
217 of the second autocorrelation of an error surface. The value varies between -1  
218 and +1, where values of +1 indicate a perfect *null* measurement. Examples  
219 of this method applied to *null* and *split* measurements are provided in Figs  
220 S4 and S5. Further details of this method are contained in the Supplemen-  
221 tary Materials. A comparison with the  $Q$  method of Wuestefeld et al. (2010)  
222 is provided in Figure S6. Testing on the random subset of data reveals that  
223 values of  $NI$  less than about +0.8 tend to be *split*. Combining the  $NI$  metric  
224 with the  $Q$  value of Wuestefeld et al. (2010) greatly improves our automated  
225 *null/split* classification. We automatically classify any measurement with  
226  $NI > 0.8$  and  $Q \leq -0.75$  as *null*, and any measurement with  $NI \leq 0.8$  and  
227  $Q > -0.75$  as *split*.

### 228 3. Final data selection

229 Manually verified quality control (QC) is applied to both the source-  
230 side (iteration 6) and receiver-side (iteration 5) datasets to filter out low  
231 quality measurements. Automatic null and split classification is also applied  
232 to aid in interpretation. The details of these processes are described in the  
233 Supplementary Materials and the success rate is examined in Figure S7.

234 To ensure that measurements are made using good receiver corrections,  
235 source-side measurements are excluded if the corresponding receiver-side  
236 measurement fails the QC procedure. The source-side dataset contains 64,333  
237 measurements of which 13,781 (21%) pass QC with “good” receiver correc-  
238 tion. Of these: 6632 (48%) are automatically classified as *split*, 5106 (37%)  
239 are automatically classified as *null*, and 2043 (15%) are unidentified. His-

240 tograms of many useful measurement statistics (e.g., signal to noise ratio)  
241 are shown in Figure S8.

242 To further reduce the dataset to the best measurements we discard *split*  
243 data with errors  $\sigma_\phi > 15^\circ$  and  $\sigma_{\delta t} > 0.3$  s and *null* data with  $\sigma_\phi > 15^\circ$ ;  
244 this reduces the number of measurements to 7819. For the purposes of con-  
245 centrating our attention on subduction zones we further discard data from  
246 sources not colocated with a slab (according to the model Slab1.0; Hayes  
247 et al., 2012); this reduces the final dataset down to 6369 splitting measure-  
248 ments to be examined in this study (coverage shown in Figure 1).

## 249 4. Results

### 250 4.1. Delay Times

251 Delay times ( $\delta t$ ) measure a combination of anisotropy strength and path  
252 length through the anisotropic region. Figure 2 A shows the variation in  $\delta t$   
253 with depth for all *split* (non-null) measurements.

254 To first order  $\delta t$  values decline with source depth (Fig 2 A). Median  $\delta t$ ,  
255 hereafter  $\tilde{\delta t}$ , drops from 1.7 s in the 0–50 km depth bin to 1.3 s in the 200–  
256 250 km depth bin: a decrease of 0.4 s over a depth change of 200 km. This  
257 drop is strong evidence for the presence of anisotropy above 200 km. One  
258 could explain 1.7 s of splitting by a 380 km path length through a region of  
259 2% anisotropy (though due to the tradeoff of path length with anisotropy  
260 strength other solutions are possible, e.g., 260 km through a region of 3%).  
261 Assuming a simple dipping layer geometry this would correspond to a layer  
262 thickness of about 290 km (or 200 km with 3% anisotropy). This calcula-  
263 tion assumes that rays propagate along a path  $\sim 40^\circ$  incident from the slab

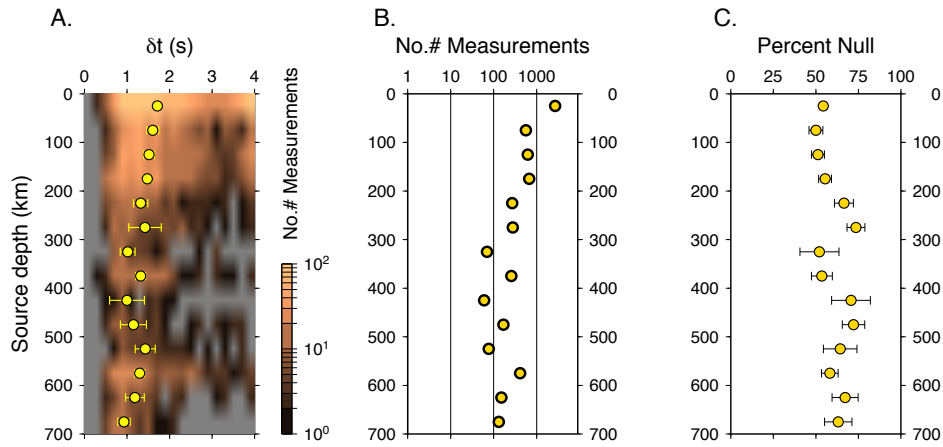


Figure 2: **A.** Global 2-D histogram of *split* measurement delay times,  $\delta t$ , against source depth in 0.2 s by 50 km bins; median  $\delta t$  symbols plotted on top with 95% confidence intervals calculated by bootstrapping. Copper colours show the number of measurements within a bin according to the inset logarithmic colour scale; grey background colour indicates no measurement within bin. **B.** Total number of measurements – *split* and *null* – for each depth. **C.** Percentage of *null* measurements for each depth with 95% confidence intervals calculated by bootstrapping.

264 normal vector, which is typical within the dataset. The smooth decrease in  
265  $\tilde{\delta t}$  with depth indicates either a gradual shortening of the path length (e.g.,  
266 due to thinning of the layer) or weakening of anisotropy with depth. To ex-  
267 plain 1.3 s of splitting from sources in the depth range 200–250 km requires  
268 a path length of 290 km through 2% anisotropy (or a path length of 200 km  
269 through 3% anisotropy). Given a dipping layer this corresponds to inferred  
270 layer thicknesses of 225 km and 150 km in the cases of 2% and 3% anisotropy  
271 respectively. Therefore, in the scenario that the anisotropic region is a dip-  
272 ping layer with strength 2% throughout, the layer thins from 290 km near  
273 the surface to 225 km beneath  $\sim 200$  km depth.

274 To within 95% confidence  $\tilde{\delta t} \sim 1.3$  s over the entire depth range 200–  
275 600 km. This agrees with results reported in several recent studies employing  
276 similar methodology (Lynner and Long, 2015; Nowacki et al., 2015; Mohiud-  
277 din et al., 2015). The apparent lack of depth dependence might indicate the  
278 mantle is isotropic over this depth range and that all splitting shares a com-  
279 mon anisotropic source in the deeper mantle. However, observations from  
280 surface waves, which have good depth resolution, indicate that the transition  
281 zone (410–660 km) is globally anisotropic with a detectable azimuthal com-  
282 ponent (Trampert and van Heijst, 2002; Yuan and Beghein, 2013). Therefore  
283 the lack of depth dependence on  $\tilde{\delta t}$  may require a more complex interpretation  
284 than simple isotropy. One possibility is that anisotropy is present through-  
285 out the depth range 200–600 km but that interference in the splitting signal  
286 from multiple regions of anisotropy conspires to produce no apparent depth  
287 variation in  $\tilde{\delta t}$ .

288 The detection of splitting on the deepest events (deeper than 650 km) is

289 strong evidence for the presence of anisotropy in the upper lower mantle.  
290 Splitting delay times of  $\sim 1$  s require a path length of 300 km through a  
291 region with 2% anisotropy; assuming a dipping layer geometry such a layer  
292 would need to be about 180 km thick. This calculation assumes that rays  
293 propagate along a path  $\sim 50^\circ$  incident from the slab normal vector, which is  
294 representative of our data at this depth.

#### 295 *4.2. Fast Directions*

296 Previous observations of trench parallel fast directions have been used to  
297 support the sub-slab asthenospheric trench parallel flow hypothesis (Russo  
298 and Silver, 1994; Long and Silver, 2008, 2009). Figure 3 A shows the global  
299 distribution in the fast wave polarisation direction as projected in the geo-  
300 graphical reference frame at source location ( $\phi_{src}$ , measured in degrees clock-  
301 wise from north) coloured by misfit from the local strike of the subducting  
302 slab (using model Slab1.0; Hayes et al., 2012). There is a large degree of local  
303 variability in  $\phi_{src}$  (e.g., in the South American and Japan-Kuril subduction  
304 systems, Fig 3 A) demonstrating that trench parallel fast directions are far  
305 from ubiquitous, though they are slightly more prominent than non-trench-  
306 parallel observations (Fig 3 B). Variability has previously been attributed to  
307 heterogeneity in the sub-slab mantle or systematic variations due to ray az-  
308 imuth and takeoff angles relative to the dip and strike of the slab caused by  
309 the style of anisotropy (Song and Kawakatsu, 2012). It is worth noting that  
310 the number of trench parallel observations is increased significantly if only  
311 considering events sourced in the upper 50 km (Fig S9).

312 Regional plots of each subduction zone considered in this study are pre-  
313 sented in supplementary figures S10 – S19. These plots show the geographical

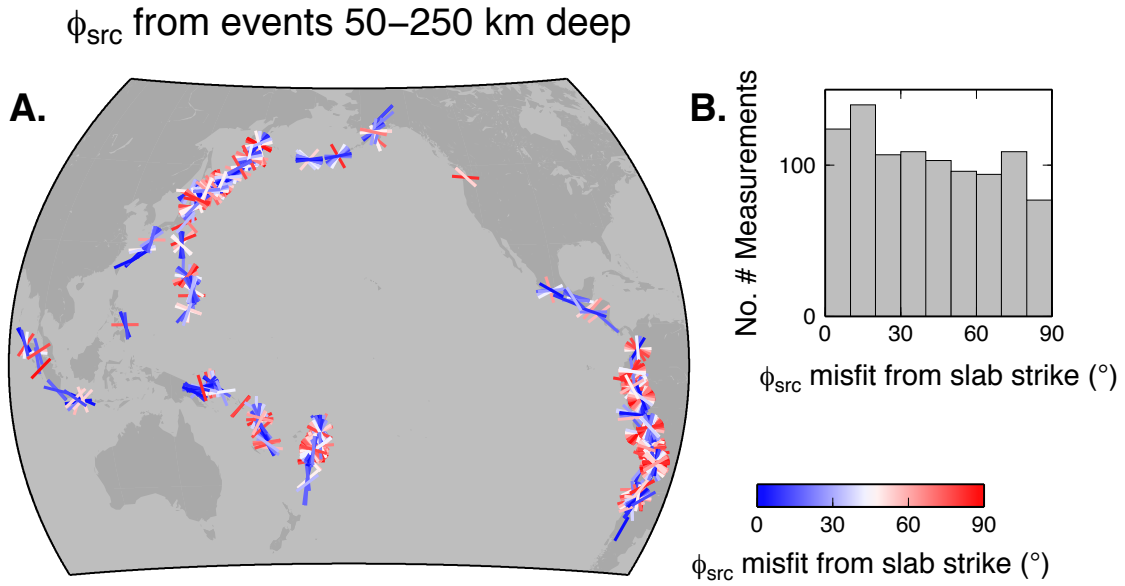


Figure 3: **A.** Map of  $\phi_{src}$  measurements from sources in the depth range 50–250 km; coloured by misfit from slab strike parallel (approximately trench parallel): blue symbols are parallel – and red symbols normal – to strike. **B.** Histogram of  $\phi_{src}$  misfit from slab strike parallel. Despite a large degree of variation, strike parallel measurements are slightly more frequent than any other measured orientation. Orientations in the source frame are calculated according to the equation:  $\phi_{src} = \alpha + \beta - \phi_{rcv}$ ; where  $\alpha$  is azimuth,  $\beta$  is back azimuth, and  $\phi_{rcv}$  is the fast direction, measured clockwise from north, at the seismic station.

314 distribution of measurements projected into the source reference frame with  
 315  $\phi_{src}$  measured from geographical north. This projection assumes a vertical  
 316 ray and therefore does not capture variability with takeoff angle or azimuth.  
 317 In order to demonstrate such variability the source frame maps are accom-  
 318 panied by polar panels showing the measurements separated by azimuth and  
 319 takeoff angle and the fast direction measured from the projection of the  
 320 vertical direction on the sphere,  $\phi_{ray}$  (vertically polarised ‘SV’ waves have  
 321  $\phi_{ray} = 0^\circ$  and correspond to radial lines on these plots).

322 To investigate the possibility that splitting varies systematically with  
 323 sampling geometry in a globally consistent way we use the slab reference  
 324 frame (Nowacki et al., 2015). This reference frame accounts for variations in  
 325 the ray path in relation to the dip and strike of the subducting slab provid-  
 326 ing a convenient way to incorporate the entire global dataset into a single  
 327 analysis. The slab frame has three orthogonal axes forming a right-handed  
 328 co-ordinate system: strike = **1**; dip = **3**; and slab normal = **2** (Fig 4 A).  
 329 Azimuths are measured clockwise from strike (**1**) and takeoff angles are mea-  
 330 sured relative to the dip vector (**3**). Note that if the slab has very shallow  
 331 dip then it is possible that rays may take off at angles greater than  $90^\circ$  from  
 332 the dip vector and hence our plots extend to incorporate takeoff angles of up  
 333 to  $120^\circ$ . The fast direction,  $\phi_{slab}$ , is measured relative to the projection of  
 334 the slab dip vector (**3**) on the sphere. If  $\phi_{slab} = 0^\circ$  then the fast shear wave  
 335 is polarised parallel to slab-dip and we will refer to these measurements as  
 336 ‘dip parallel’ (in an analogous way to SV waves being polarised parallel to  
 337 the vertical direction); if  $\phi_{slab} = \pm 90^\circ$  then the fast shear wave is polarised  
 338 normal to slab-dip and we will refer to these measurements as ‘dip normal’

339 (in an analogous way to SH waves being polarised normal to the vertical  
340 direction).

341 Despite the predominant use of ‘trench parallel’ as a reference orientation  
342 for describing fast directions in the preexisting literature, we find it more  
343 useful to describe our slab frame data in terms of ‘dip parallel’, this is natural  
344 in the slab frame as  $\phi_{slab}$  is measured relative to the projection of the dip  
345 vector on the sphere. In principle one could measure the fast direction in  
346 relation to the projection of the strike axis (**1**) on the sphere and this would  
347 facilitate description in terms of ‘trench parallel’. One can do this visually  
348 by checking that the orientation of the bar points towards the strike axis (**1**);  
349 e.g., the model shown in Fig 4C predicts trench parallel measurements at  
350 every sampling angle.

351 In Figure 4B–D we show a handful of simple tilted transverse isotropy  
352 (TTI) models in the slab reference frame. These models act as simple ana-  
353 logues for a range of plausible anisotropic scenarios in the subslab mantle  
354 and these are discussed briefly in the figure caption. In Figure 5 a further  
355 selection of models is shown within the slab reference frame. Models H and I  
356 are relevant to anisotropy in the upper lower mantle and the lithosphere re-  
357 spectively. We will compare our data to these models in order to gain insight  
358 into the nature of anisotropy in the mantle beneath subduction zones.

359 In Figure 6 we plot the global dataset in the slab reference frame with  
360 colours used to emphasise the orientation change in the fast shear wave polar-  
361 isation direction. The contribution towards the global coverage from different  
362 geographic regions is shown in the bottom row of panels in this figure. We  
363 observe that variability in the fast direction becomes systematically organ-



364 ised in the slab frame whereby dip normal  $\phi_{slab}$  measurements cluster at  
365 azimuths normal to slab strike and dip perpendicular  $\phi_{slab}$  measurements  
366 cluster at oblique azimuths. This basic pattern is seen over the full range  
367 of source depths with the exception of the deepest events where coverage  
368 at azimuths normal to slab strike is poor (Fig 6 D). It reveals a systematic  
369 globally consistent nature of anisotropy in the sub-slab mantle controlled  
370 fundamentally by the overlying slab.

371 To extract a global representation of this splitting pattern for a series of  
372 source depth ranges we calculate the circular mean of  $\phi_{slab}$  and median of  $\delta t$   
373 within equal area bins over the sphere (Fig 7). In doing so we assume mirror  
374 symmetry about the plane normal to strike enabling us to confine almost all  
375 sub-slab measurements to a quadrant of the hemisphere. To test hypothetical  
376 models of sub-slab anisotropy the observed pattern can be compared to the  
377 expected patterns of candidate models (i.e. compare results in Fig 7 to  
378 models in Figs 4 and 5).

#### 379 *4.2.1. 50 to 250 km deep sources*

380 We primarily concentrate on data from sources 50 to 250 km deep; this  
381 range is chosen to focus on the asthenospheric sub-slab mantle whilst avoid-  
382 ing bias from the overwhelming number of shallow events in the dataset.  
383 In Figure 8 we show the difference in  $\phi_{slab}$  between candidate models and  
384 our averaged representative observations over the sampled range of angles  
385 in the slab frame. The models that best replicate our  $\phi_{slab}$  pattern are the  
386 TTI slab normal model (Fig 8 D) and the orthorhombic model of Song and  
387 Kawakatsu (2012) (Fig 8 G). The TTI slab normal model is a simple case of  
388 elliptical anisotropy, with a slow symmetry axis, defined by the Thomsen pa-

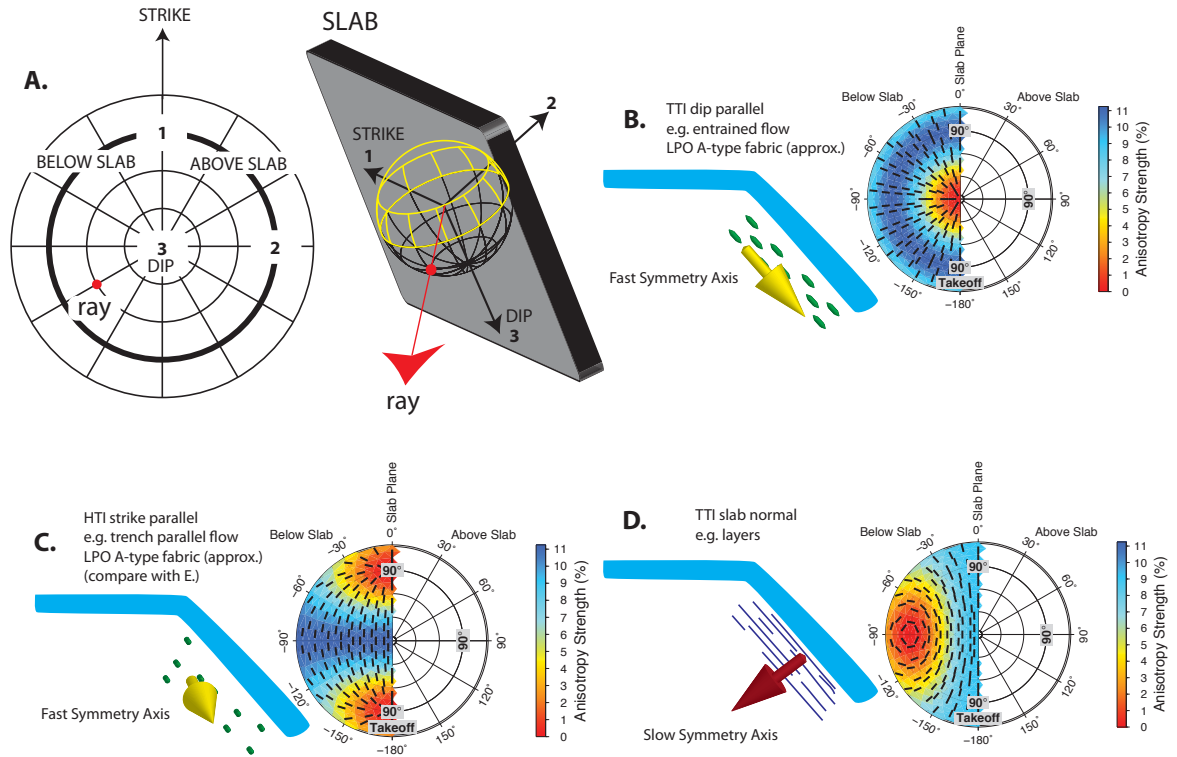


Figure 4: **A.** (left) Sketch of the slab reference frame projected on to a polar grid with radial direction corresponding to ray takeoff angle as measured from the dip vector (3-axis directed down into the centre of the polar grid) and tangential direction corresponding to ray azimuth as measured from the strike vector (1-axis). The region left of the vertical line that defines the plane normal to 2 (i.e. the slab plane) contains all rays that exit beneath the slab and likewise right of this line rays would exit above the slab; rays situated along this line have long slab paths. A ray taking off at  $60^\circ$  from the dip vector at an azimuth  $-120^\circ$  from strike is plotted as a red dot. (right) Natural perspective of the slab frame (wireframe mesh) with the familiar ray this time shown as a red arrow shooting down beneath the slab. Notice that the grid extends to takeoff angles up to  $120^\circ$ ; these angles are necessary as they are occasionally sampled in situations where the slab dip is very shallow. **B.** Demonstration of a simple tilted transverse isotropy (TTI) model with fast symmetry axis parallel to the slab dip vector. The small black bars show the fast polarisation direction  $\phi_{slab}$  pointing radially (parallel to the symmetry axis) at all locations with colour showing that anisotropy is strongest at angles normal to the symmetry axis and weakest at angles parallel to the symmetry axis. This model is analogous to the case of olivine A-type fabric entrained by subduction. **C.** Similar to (B.) except the symmetry axis is pointing parallel to the strike vector; this case is analogous to olivine A-type fabric oriented trench parallel. **D.** Similar to (B.) and (C.) except the TTI model has a slow symmetry axis which points normal to the slab plane; this case is analogous to fine layers

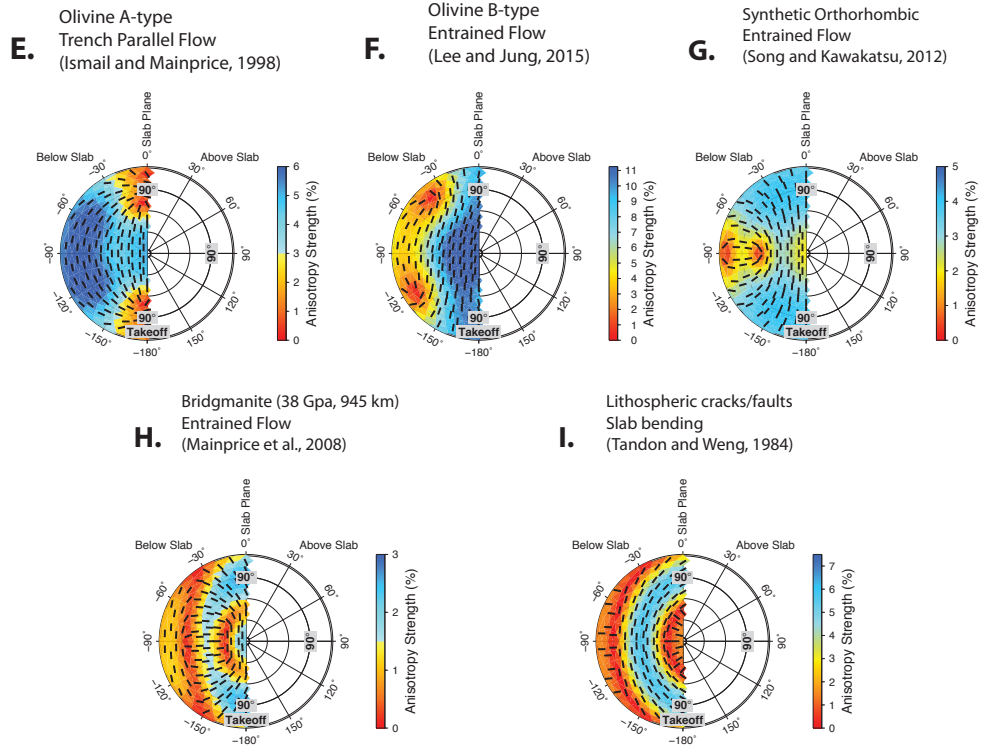


Figure 5: Selection of elastic models in the slab reference frame. **E.** A-type fabric average from a database of natural olivine fabrics (Ben Ismail and Mainprice, 1998) rotated with foliation plane parallel to the slab plane and lineation parallel to strike vector (trench parallel flow; *cf.* Fig 4 C). **F.** B-type natural olivine fabric (Lee and Jung, 2015) with foliation plane parallel to slab and lineation parallel to dip vector (entrained flow). **G.** Orthorhombic model of Song and Kawakatsu (2012) combining elements of models B and D (Fig 4). **H.** Lower mantle bridgmanite texture (Mainprice et al., 2008) rotated with foliation parallel to slab and lineation parallel to dip vector (entrained flow; crystallographic texture calculated at 30 GPa under simple shear deformation with a strain of 2.0 and single crystal elastic constants calculated at 1500 K and 38 GPa). **I.** Cracks/faults dipping at  $60^\circ$  within the slab (angle measured from horizontal if the slab were flat, the slab frame naturally accounts for any extra tilting of the slab); modelled using the effective medium theory of Tandon and Weng (1984). Elastic constants for all models given in supplementary Table S1.

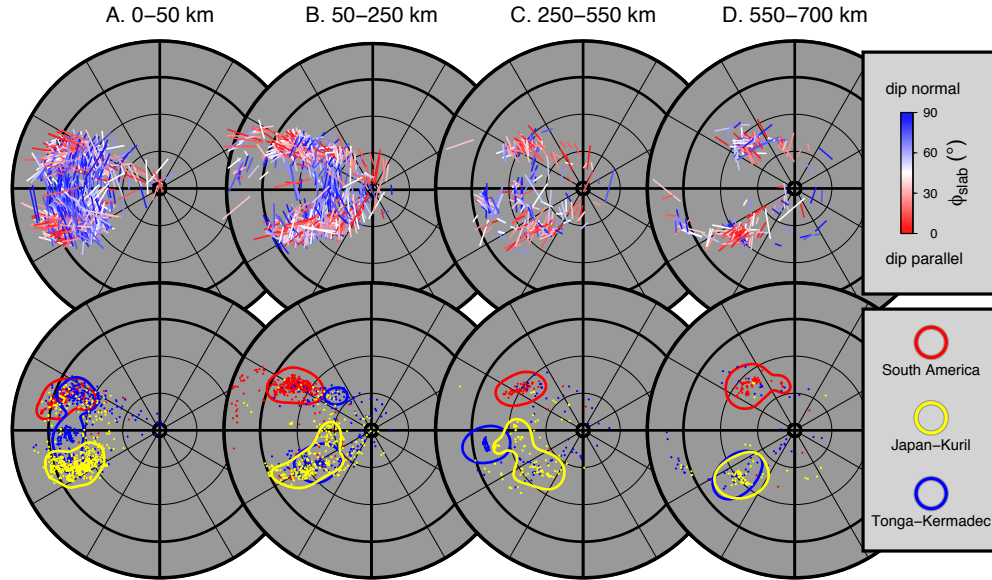


Figure 6: **Top row:** Splitting in the slab reference frame for the global dataset separated by source depth (consult Fig 4 for explanation of this reference frame). Fast direction,  $\phi_{slab}$ , shown by orientation and colour of bar symbols. Red bars are parallel to the slab dip vector, blue bars are normal to this direction. Length of bar corresponds to  $\delta t$  with the longest bars equalling 4s of splitting. We note good separation of dip normal (blue) and dip parallel (red)  $\phi_{slab}$  measurements in this reference frame. **Bottom row:** Constitution of the global dataset broken down into three broad regions:– *red* – mainly from the South American subduction system with minor contributions from the Cascadian, Mexican, and Scotian systems;– *yellow* – mainly from the Japan-Kuril subduction system with contributions from the Izu-Bonin-Mariana, Ryukyuan, and Aleutian systems;– *blue* – mainly from the Tonga-Kermadec subduction system with contributions from the Indonesian, Philippine, Solomon, and Vanuatuan systems.

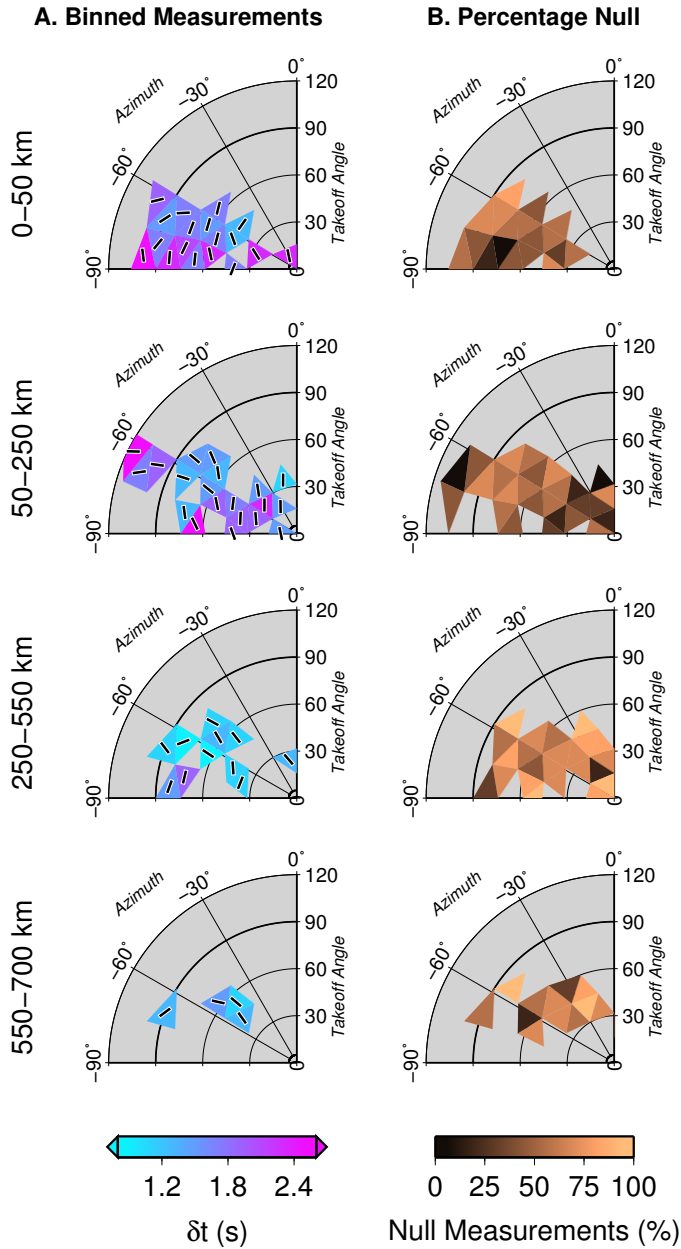


Figure 7: **A.** Averaging of the slab frame measurements shown in Figure 6. Circular mean  $\phi_{slab}$  and median  $\delta t$  are calculated within equal area triangular bins for a range of source depths (indicated on the left). Only bins containing at least 4 measurements and standard errors of less than  $20^\circ$  in  $\phi_{slab}$  and  $0.8\text{s}$  in  $\delta t$  (calculated by bootstrapping) are shown. **B.** Percentage of null measurements detected within each bin. Only bins containing at least 4 measurements and standard error less than  $15\%$  (calculated by bootstrapping) are shown.

389 rameters  $\delta = \epsilon = \gamma = 0.1$  (Thomsen, 1986). The latter orthorhombic model  
390 essentially embellishes the former TTI model with a component of azimuthal  
391 anisotropy in the direction of plate movement to represent the observed az-  
392 imuthal anisotropy in the asthenosphere (Song and Kawakatsu, 2012). We  
393 are not able to distinguish between these models due to a gap in coverage  
394 where the main difference would manifest (azimuth  $-90^\circ$  and takeoff angle  
395  $90^\circ$ , relative to the strike and dip vectors of the slab respectively); these  
396 angles are covered by steeply incident phases (e.g. *SKS*) on shallow dip-  
397 ping slabs (Song and Kawakatsu, 2012, 2013). Trench-parallel flow models  
398 strongly misfit the observations at azimuths  $\sim -60^\circ$  and takeoff angles  $\sim 90^\circ$   
399 (Figs 8 C and E); similarly the entrained B-type model also misfits at these  
400 angles (Fig 8 F). This is evidence that trench parallel flow is not likely to be  
401 a dominant mode of material transport in the sub-slab mantle (the same ar-  
402 gument rules out the entrained B-type model). By similar argument: misfit  
403 at azimuths  $\sim -90^\circ$  rules out the entrained olivine A-type model (Fig 8 B).  
404 Olivine C-type and E-type fabrics are more likely to exist in the astheno-  
405 sphere than A-type fabric (Karato et al., 2008); we notice the character of  
406 the splitting pattern associated with these fabrics is qualitatively similar to  
407 A-type fabrics (Fig S21) such that they can be reasonably well approximated  
408 by hexagonal symmetry with a fast symmetry axis. Our data seem to require  
409 a slow symmetry axis and therefore C- and E-type fabrics are not compatible  
410 with our observations.

411 To investigate the extent to which this global observation holds in sep-  
412 arate regions we consider the percentage of measurements that fit a given  
413 model for each subduction zone. To do this each fast direction measure-

414 ment is modelled as a wrapped gaussian function (180 degree periodicity),  
415 normalised so that the area under the curve equals one, and with a width  
416 and height determined by the errors in the measurement and a peak location  
417 corresponding to the angular misfit from the model predicted fast direction.  
418 The ensemble of all measurements (i.e. gaussians) for a particular region  
419 is then stacked and renormalised so that the area under the curve is equal  
420 to one hundred. The resultant curve is a kernel density estimation (KDE;  
421 Parzen, 1962) showing the distribution in misfit between the data and the  
422 model. Such a curve can be considered as a smooth histogram. The area  
423 under the curve in the interval -30 to 30 degrees represents the percentage of  
424 measurements that fit the model (fast directions) within 30 degrees. Figure  
425 S22 shows the KDE misfit curves for a selection of the best sampled regions  
426 for both the slab normal model (left panel) and the trench parallel model  
427 (right panel). The area beneath these curves in the interval -30 to 30 degrees  
428 for each region is tabulated in Table S2.

429       Generally speaking the slab normal model performs better than the trench  
430 parallel model for the majority of regions as shown by the higher percentage  
431 of measurements within the  $\pm 30^\circ$  interval. This is especially true of the South  
432 American and Honshu-Kuril regions where the high number of measurements  
433 indicates statistical significance. These regions are the best sampled regions  
434 in the dataset not simply because of their high number of measurements but  
435 also because they contain ray coverage at a wide range of sampling angles.  
436 Importantly, in both these region there is sampling at the key angle around  
437  $-60^\circ$  azimuth and  $75^\circ$  takeoff in the slab reference frame where the difference  
438 between the slab normal and trench parallel models is clearest (Fig S20). The

439 Tonga-Kermadec subduction zone is anomalous in that the trench parallel  
440 model appears to fit better than the slab normal model. This subduction  
441 zone is notable for strong trench roll-back in the north (from where most  
442 measurements are obtained) perhaps associated with an abnormal sub-slab  
443 mantle flow. However, though this region yields a good number of mea-  
444 surements, the slab frame coverage is limited at the key angles needed to  
445 most clearly distinguish between the trench parallel and slab normal mod-  
446 els (Fig S20). In the Aleutia-Alaska, Izu-Bonin-Mariana, Ryukyu, Solomon,  
447 and Vanuatan regions the slab normal and trench parallel models perform  
448 similarly. This is not surprising as the coverage in these regions is limited to  
449 angles at which both models predict similar fast directions (Fig S20). The  
450 Philippine, Central America, Sandwich, and Indonesian regions are limited  
451 by a low number of measurements and therefore we do not comment on these.

452 In summary the slab normal model is clearly better than the trench par-  
453 allel model beneath South America and the Honshu-Kuril subduction zones,  
454 but not beneath the Tonga-Kermadec system (though this region lacks key  
455 coverage at the most diagnostic slab frame angles). In other regions coverage  
456 is not sufficient to strongly prefer one model over the other. Therefore we *can*  
457 rule out large scale trench-parallel flow beneath the best sampled subduction  
458 zones: South America and the Honshu-Kuril. Though previous workers have  
459 inferred trench parallel flow beneath some subduction zones, this was largely  
460 based on map views of the data which fail to capture variations in splitting  
461 due to changes in sampling angles. From our dataset (which considers the  
462 geometrical sampling variations in the slab reference frame) we do not see  
463 compelling evidence to prefer the trench parallel model for any particular



464 subduction zone system.

#### 465 4.2.2. 0 to 50 km deep sources

466 Measurements from events shallower than 50 km show a slightly different  
467 pattern with an average slab-normal  $\phi_{slab}$  detected on rays around azimuth  
468  $-60^\circ$  and takeoff angle  $60^\circ$  from the strike and dip of the slab respectively  
469 (Figs 6 and 7). Note that these measurements appear parallel to the sub-  
470 duction zone trench when viewed in the geographical reference frame (Fig  
471 S9). This suggests the existence of a distinct region of anisotropy in the  
472 upper  $\sim 50$  km (and therefore within the lithospheric slab). No model per-  
473 fectly replicates the splitting pattern over the whole range of angles. Though  
474 any signal from the shallow anisotropic region would be contaminated by  
475 anisotropy in deeper regions obscuring its true nature; therefore we cannot  
476 directly compare models with the data. With that caveat, it is interesting to  
477 note that the slab normal  $\phi_{slab}$  observations around azimuth  $-60^\circ$  and take-  
478 off angle  $60^\circ$  are consistent with the pattern expected from the HTI strike  
479 parallel model (Fig S23 C); alternatively, a tilting of the slow symmetry axis  
480 model (Fig 4 D) so that the axis points down the dip vector of the slab would  
481 also produce this pattern. [Faults within the slab would be expected to create](#)  
482 [an SPO fabric that would fit the data reasonably well \(Fig S24\).](#)

#### 483 4.2.3. 250 to 550 km deep sources

484 Fast directions,  $\phi_{slab}$ , from sources in the depth range 250–550 km are  
485 not neatly compatible with any of the candidate models considered in Fig-  
486 ure S25. There is an approximate fit to the TTI model that we favour to  
487 explain the shallower 50–250 km source depth data (Fig S25 D). This may

## Model Misfits 50–250 km

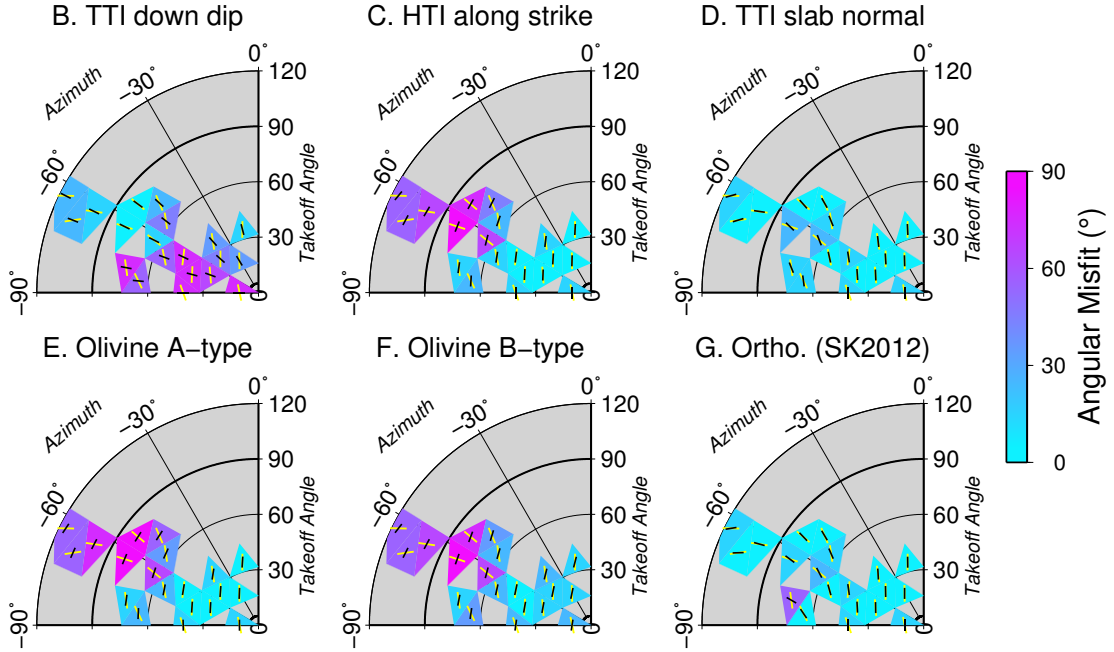


Figure 8: Comparison of averaged  $\phi_{slab}$  observations (from sources in the depth range 50 to 250 km, Fig 7) to the predictions of models in Figure 4 (hence labels start from B). This depth range most directly probes anisotropy in the asthenospheric sub-slab mantle. *Black ticks* show the predicted orientation of  $\phi_{slab}$  according to the model; *yellow ticks* the observed measurement; background colour indicates the angular misfit between these two orientations: cyan colours indicate good fit while magenta colours indicate poor fit. Model B (TTI with symmetry axis pointing down dip of the slab, analogue for olivine A-type under entrained flow,) strongly misfits the data at azimuths normal to the slab though is more compatible at oblique angles. Model C (HTI with symmetry axis pointing along strike, analogue for olivine A-type under trench parallel flow,) fits well for rays with azimuths close to slab normal but fails at oblique angles. Model D (TTI with symmetry axis pointing normal to the slab) fits the data well over a wide range of angles. Models E and F are similar to model C; model G is similar to model D. Refer to Figure 4 for more detailed information about the models.

488 hint that the above layer extends to deeper depths and misfit is caused by  
489 increasing interference with deeper regions of anisotropy. However, if these  
490 measurements are sensitive to more than one layer of anisotropy then a more  
491 complex analysis is required to interpret these results.

#### 492 *4.2.4. Deeper than 550 km sources*

493 Measurements from sources deeper than 550 km, on average, best fit the  
494 model of entrained bridgmanite, though only a small amount of coverage is  
495 available (Fig S26 H). This model is derived from a texture model simulated  
496 at 30 GPa ( $\sim 850$  km depth) deformed under simple shear with strain of 2.0  
497 and elastic constants calculated at pressure and temperature of 38 GPa and  
498 1500 K (Mainprice et al., 2008). The entrained bridgmanite model predicts  
499 that the strength of anisotropy, at the angles sampled in our dataset, is  $\sim 2\%$ .  
500 From this we infer a sheared layer thickness of  $\sim 180$  km (as discussed earlier  
501 to explain delay times of  $\sim 1$  s). [A recently published experimentally derived](#)  
502 [model of deformed bridgmanite \(Tsuji no et al., 2016\) fits the data very well](#)  
503 [Fig S27.](#)

#### 504 *4.3. Null Measurements*

505 Null measurements are those with  $\delta t$  below the resolution of the data  
506 ( $\sim 0.4$  s; note lack of measurements below 0.4 s in the “ $\delta t$  (s)” histogram in  
507 Fig S8). The percentage of null measurements in the dataset varies between  
508 50% and 70% tending to increase with source depth (Fig 2 C). The large  
509 percentage of null measurements requires some explanation. It is important  
510 to recognise that these observations do not necessarily imply an isotropic  
511 region. Null measurements can occur for a number of reasons:

- 512 • because anisotropy is locally very weak or isotropic;
- 513 • the wave is sampling along an isotropic direction (e.g., the symmetry  
514 axis of a transverse isotropic medium);
- 515 • the wave is polarised in the fast or slow direction;
- 516 • multiple regions of anisotropy cancel one another out.

517 The most noteworthy feature of the *null* measurements is that their oc-  
518 currence depends strongly on the ray takeoff angle in the slab reference frame  
519 (Fig 9). Rays sourced in the upper 350 km (excluding the shallowest 50 km)  
520 yield fewer null measurements (as a percentage) when propagating down the  
521 dip vector of the slab than when travelling normal to the slab plane (Fig 7 B).  
522 This may be due to the heterogeneity of the slab itself or it may be due to  
523 the style of anisotropy. A TTI medium with symmetry axis pointing normal  
524 to the slab could explain this observation because waves travelling down the  
525 symmetry axis of such a medium would not split. A TTI model can thus  
526 explain both the patterns in null concentrations and the fast directions.

527 The opposite dependence of null measurements on ray takeoff angle is  
528 true for deeper sourced rays (sourced deeper than 350 km): rays propagating  
529 down the dip vector of the slab yield more null measurements than those  
530 travelling at angles offset from this axis (Fig 9). It is possible that the  
531 slab itself provides an (apparently) isotropic pathway in the deep mantle.  
532 Alternatively this could be explained by a TTI medium with symmetry axis  
533 pointing in the slab dip direction. Note, however, that the favoured entrained  
534 bridgmanite model (Fig 4 H) does not predict this observed pattern in null  
535 measurements: it predicts reasonably strong splitting for rays travelling in

536 the down slab dip direction. However, all rays in the dataset that propagate  
537 down the slab are derived from sources shallower than 550 km (Fig 7 B).  
538 This feature cannot therefore be ascribed with confidence to anisotropy in  
539 the upper lower mantle (below 660 km –where bridgmanite exists); it allows  
540 the possibility that two-layer interference between a lower transition zone  
541 layer (in the depth range 550–660 km) and the upper lower mantle gives rise  
542 to the abundance of null measurements seen at this angle – this would require  
543 that the two layers systematically cancel one-another out.

## 544 **5. Conceptual Model**

545 A conceptual model of anisotropy beneath a subduction zone inferred  
546 from the key features of the dataset is presented in the cartoon of Figure  
547 10. Here we discuss how our observations justify that model followed by  
548 a discussion of the possible causes of anisotropy. Working downwards with  
549 depth, our conceptual model consists of the following regions of anisotropy:

- 550 1. Lithosphere: Despite a wealth of data from shallow events the interpre-  
551 tation of anisotropy in the lithosphere is apparently compromised by  
552 interference in the signal from anisotropy in the deeper mantle. Never-  
553 theless a change in fast direction observed with change in source depth –  
554 above and below 50 km – indicates the presence of a distinct lithospheric  
555 region of anisotropy. Shallow sourced measurements tend to appear  
556 parallel to the subduction zone trench when viewed in the geograph-  
557 ical reference frame, suggesting that previous reports of widespread  
558 trench parallel anisotropy may be biased by the great number of shal-  
559 low events. The fact that this signal is unique to shallow source data

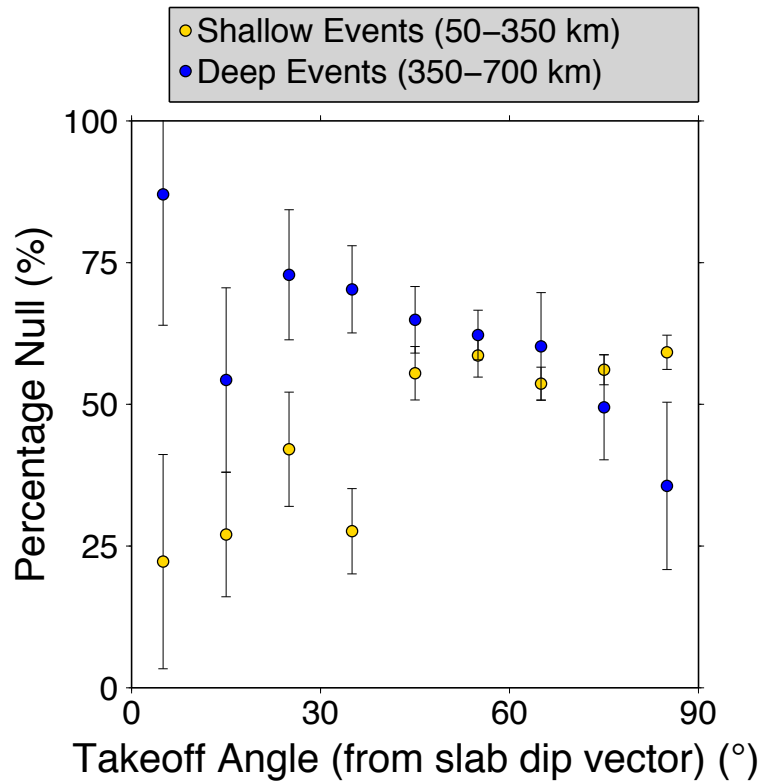


Figure 9: Percentage of null measurements as a function of ray takeoff angle (measured from slab dip vector). Data are divided into shallow (50–350 km, yellow) and deep sources (350–700 km, blue). Error bars are one standard deviation of 1000 untrimmed bootstrap samples (Efron and Tibshirani, 1991). We note the percentage of null measurements increases with takeoff angle for shallow sources and decreases with takeoff angle for deep sources.

560 (shallower than 50 km depth) implies that this anisotropy does not sur-  
561 vive deep subduction.

562 2. Asthenosphere: The steady reduction in  $\delta t$  with increasing source depth  
563 is strong evidence for the presence of anisotropy in the upper  $\sim 200$  km.  
564 Assuming 2% anisotropy and dipping layer geometry the anisotropic  
565 layer thins from 290 km near the surface to 225 km upon subduction  
566 to depths beyond  $\sim 200$  km. Alternatively the strength of anisotropy  
567 weakens with depth. In either case we infer that this layer may exist  
568 to depths in excess of 400 km. The pattern in  $\phi_{slab}$  strongly resembles  
569 that expected from a TTI medium (Fig 8 D) with a slow symmetry axis  
570 pointing subnormal to the plane of the subducting slab. Moreover the  
571 concentration of null measurements increases as rays propagate closer  
572 to this proposed symmetry axis (as expected for a TTI medium). These  
573 results are compatible with the strong radial anisotropy model of Song  
574 and Kawakatsu (2012).

575 The previous study of Lynner and Long (2014b) employed similar  
576 methodology to this study but came to different conclusions concern-  
577 ing the validity of the strong radial anisotropy model of Song and  
578 Kawakatsu (2012). They found the model to be broadly incompati-  
579 ble with their data. Instead they favoured an age dependent model  
580 whereby systems with young lithosphere exhibit splitting aligned with  
581 absolute plate motion and systems with older lithosphere ( $> 95$  Ma)  
582 exhibit splitting parallel to the subduction zone trench. Evidence that  
583 our results differ from those of Lynner and Long (2014b) comes from  
584 inspecting histograms of  $\phi_{slab}$  misfit from trench parallel: in our study

585 the histogram shows more ‘trench-parallel’ results (Fig 2B) than the  
586 corresponding histogram in their study (their Fig 4A); though neither  
587 study shows a particularly strong dependence of fast direction on the  
588 trench orientation. Differences between the two studies may arise due  
589 to differences in data coverage and methodology. Our conclusions may  
590 also differ due to our use of the slab reference frame in the analysis  
591 stage.

592 3. Transition zone: A lack of depth dependence on  $\delta t$  from sources in the  
593 depth range 250–550 km is compatible with isotropy in this depth range.  
594 However, we do not conclude that the transition zone is isotropic as the  
595 interference between multiple regions of anisotropy could also explain  
596 this observation. Interpretation in this depth range is compromised by  
597 a paucity of data and the potential for interference between multiple  
598 regions of anisotropy, therefore we resist commenting further.

599 4. Upper lower mantle: Splitting observed on events deeper than 660 km  
600 is strong evidence for the presence of anisotropy in the upper lower  
601 mantle. To explain the observed  $\delta t$  values of  $\sim 1$  s requires a layer  
602 of 2% anisotropy  $\sim 180$  km thick. On average  $\phi_{slab}$  is parallel to the  
603 dip direction of the slab resembling a TTI style of anisotropy with  
604 fast symmetry axis pointing in the slab dip direction. Furthermore,  
605 the concentration of null measurements increases as rays propagate  
606 closer to the slab dip direction, as would be expected for this style of  
607 anisotropy.



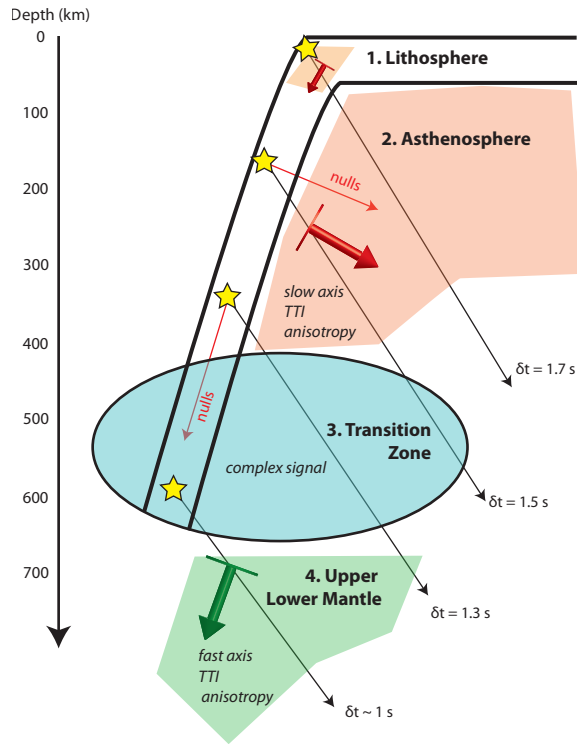


Figure 10: Conceptual model of anisotropy in the sub-slab mantle. **1. Lithosphere:** unusually high frequency of trench parallel observations from sources in upper 50 km, possibly caused by SPO of trench parallel faults, though interference expected from deeper layers clouds interpretation. **2. Asthenosphere:** dependence on fast direction with takeoff angle and azimuth relative to dip and strike of the slab is consistent with that expected from a TTI medium with slow symmetry axis pointing subnormal to the slab. Null measurements are more frequently made on rays travelling along the proposed symmetry axis. Median delay times decline gradually with source depth from  $\sim 1.7$  s for shallower events (50 km depth) to  $\sim 1.3$  s for deeper events (250 km). **3. Transition Zone:** no clearly distinct signal is detected from events in the depth range 250–550 km; this may be because the number of data from this range is low, or that the signal is contaminated by the interference of multiple regions of anisotropy. It is interesting that null measurements become more frequent for rays that shoot down the slab. **4. Upper Lower Mantle:** median delay times  $\sim 1$  s from very deep events evidence the presence of anisotropy in the upper lower mantle; dependence on fast direction with ray angle, and the elevated occurrence of null measurements down the slab, is consistent with TTI medium with fast symmetry axis pointing subparallel to the slab dip direction.

608 *5.1. Possible causes of anisotropy*

609 Anisotropy can be caused by the lattice-preferred orientation (LPO) of in-  
610 trinsically anisotropic crystals and/or the shape-preferred orientation (SPO)  
611 of elastically heterogeneous features of length scale several times shorter than  
612 the seismic wavelength. Here we consider the geophysically plausible causes  
613 of anisotropy within the regions of our conceptual model (Fig 10):

- 614 1. Lithosphere: A simple SPO model of faults in the slab dipping  $60^\circ$  to-  
615 wards the back arc can potentially explain the wealth of dip-normal  
616  $\phi_{slab}$  observations (Fig S24). Such faults are expected to form by  
617 flexure of the lithosphere upon subduction. Anisotropy of this type  
618 might be enhanced by the addition of LPO from highly anisotropic hy-  
619 drous phases such as antigorite and talc (Faccenda et al., 2008). Fossil  
620 anisotropy in the lithosphere — due to the LPO of olivine crystals  
621 in the direction of plate motion during formation (e.g., Shearer and  
622 Orcutt, 1986; Tommasi, 1998) — does not explain our observations be-  
623 cause this fossil direction does not systematically align parallel to the  
624 trench of the subduction zone (Long and Silver, 2008).
- 625 2. Asthenosphere: Anisotropy in the peridotitic asthenosphere has widely  
626 been considered to be caused by the LPO of olivine crystals with  $a$  axes  
627 oriented in the shear direction by dislocation creep deformation (e.g.,  
628 Nicolas and Christensen, 1987). The resultant A-type fabric explains  
629 the widespread azimuthal anisotropy observed in surface wave studies  
630 (e.g., Debayle et al., 2005) and shear wave splitting on SKS phases  
631 (e.g., Walpole et al., 2014); such fabrics can also potentially explain  
632 the observed radial anisotropy (Becker et al., 2008). Other types of

633 fabric are possible and may be present (e.g., Karato et al., 2008). Fab-  
634 rics with strong radial anisotropy are predicted if deformation occurs in  
635 the presence of partial melt in the diffusion creep deformation regime  
636 (Holtzman et al., 2003; Miyazaki et al., 2013). Fabrics with strong ra-  
637 dial anisotropy are also predicted if the medium undergoes axial short-  
638 ening in the vertical direction (Tommasi et al., 1999). Alternatively an  
639 SPO mechanism might explain the strong radial anisotropy. For exam-  
640 ple horizontal layers of partial melt could contribute radial anisotropy  
641 under ‘normal’ oceanic conditions (Kawakatsu et al., 2009); however,  
642 as noted by Song and Kawakatsu (2012), upon subduction any melt is  
643 likely to solidify and thereby reduce the strength of this anisotropy. It  
644 remains to be determined whether the anisotropy we detect is formed in  
645 the ambient asthenosphere and is tilted in place by subduction (imply-  
646 ing strong coupling between lithosphere and asthenosphere; Song and  
647 Kawakatsu, 2012) or whether it is created by the subduction process  
648 itself.

649 3. Transition zone: Given the potential difficulties in confidently inter-  
650 preting transition zone anisotropy from our dataset we do not com-  
651 ment on the possible causes of anisotropy. However, previous work has  
652 suggested the presence of hydrous phases in this region can explain the  
653 anisotropy (Nowacki et al., 2015), and our results are broadly compat-  
654 ible with this interpretation.

655 4. Upper lower mantle: Bridgmanite is volumetrically the most impor-  
656 tant mineral, comprising about 70% of the mantle at shallow lower  
657 mantle depths; this mineral is strongly anisotropic ( $\sim 12\%$  shear wave

658 anisotropy at 660 km depth; Karki, 1999) and is capable of forming  
659 LPO fabric (Cordier et al., 2004; Wenk et al., 2004). Theoretical work  
660 suggests that the LPO of bridgmanite produces moderate anisotropy  
661 ( $\sim 2-3\%$  at 38 GPa or  $\sim 980$  km depth; which would likely be stronger  
662 at shallower depths; Mainprice et al., 2008). Alternatively an SPO  
663 mechanism would require tubule (cigar shaped) inclusions elongated in  
664 the dip direction, these inclusions would likely need to be low velocity  
665 in order to produce sufficiently strong anisotropy (Kendall and Silver,  
666 1998).

## 667 **6. Conclusions**

668 In this study we use automation to process a large volume of source-  
669 side splitting data on teleseismic  $S$  phases. A new method is introduced to  
670 propagate uncertainty in the receiver correction into the error of our mea-  
671 surements; and a novel null identification method is employed to aid inter-  
672 pretation. Manually verified quality control reduces the dataset to 6369 high  
673 quality measurements made from subduction zone earthquake sources. These  
674 data place constraints on the mineralogy and geodynamics of the sub-slab  
675 mantle.

676 We find that the asthenospheric sub-slab mantle is approximately trans-  
677 versely isotropic with a slow symmetry axis pointing subnormal to the plane  
678 of the slab (as recently hypothesized; Song and Kawakatsu, 2012). Assuming  
679 2% strength the anisotropic layer is  $\sim 300$  km thick and thins to  $\sim 200$  km  
680 upon subduction. Alternatively the fabric strength weakens with depth. In  
681 either case we infer the subduction of this fabric to transition zone depths.

682 Either strong radially anisotropic fabric developed in the asthenosphere un-  
683 der ‘normal’ conditions is tilted by the subduction process and carried down  
684 to transition zone depths or the fabric is created by the subduction process  
685 itself. Strong radially anisotropic fabrics in peridotite can be created by ax-  
686 ial shortening in the vertical direction, or diffusion creep deformation in the  
687 presence of partial melt; fabric created by dislocation creep in olivine might  
688 also produce sufficient radial anisotropy, though we do not have sufficient  
689 coverage at the necessary angles to detect the expected azimuthal anisotropy  
690 in this case. Our results are incompatible with previously suggested models  
691 involving trench parallel flow, raising doubt over its widespread occurrence.

692 An abundance of ‘trench parallel’ splitting is measured on the shallowest  
693 data (from sources in the upper 50 km) suggesting a unique style of anisotropy  
694 contained in the slab. This anisotropy could be caused by the shape preferred  
695 orientation of faults formed parallel to the trench by slab bending.

696 The upper lower mantle appears approximately transversely isotropy with  
697 a fast symmetry axis pointing subparallel to the subduction direction. As-  
698 suming 2% strength the anisotropic layer is  $\sim 200$  km thick. The deformation  
699 of bridgmanite is a plausible candidate mechanism to explain our observa-  
700 tions.

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