



Cross, E., Tolfree, R., & Kipping, R. (2017). Systematic review of public-targeted communication interventions to improve antibiotic use. *Journal of Antimicrobial Chemotherapy*, 72(4), 975-987.
<https://doi.org/10.1093/jac/dkw520>

Peer reviewed version

Link to published version (if available):
[10.1093/jac/dkw520](https://doi.org/10.1093/jac/dkw520)

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Systematic review of public-targeted communication interventions to improve antibiotic use

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1 **Background:** Excessive use of antibiotics accelerates the process of antimicrobial resistance.
2 A systematic review was conducted to identify the components of successful communication
3 interventions targeted at the general public to improve antibiotic use.

4

5 **Methods:** The databases MEDLINE, EMBASE, CINAHL, Web of Science and Cochrane
6 Library were searched. Search terms were related to the population (public, community),
7 intervention (campaign, mass media) and outcomes (antibiotic, antimicrobial resistance).
8 References were screened for inclusion by one author with a random subset of 10% screened
9 by a second author. No date restrictions were applied and only articles of English language
10 were considered. Studies had to have a control group or be an interrupted time-series.
11 Outcomes had to measure change in antibiotic-related prescribing/consumption and/or the
12 public's knowledge, attitudes or behaviour. Two reviewers assessed the quality of studies.
13 Narrative synthesis was performed.

14

15 **Findings:** Fourteen studies were included with an estimated 74-75 million participants. Most
16 studies were conducted in the United States or Europe and targeted both the general public
17 and clinicians. Twelve of the studies measured changes in antibiotic prescribing. There was
18 quite strong ($p < 0.05$ to ≥ 0.01) to very strong ($p < 0.001$) evidence that interventions that
19 targeted prescribing for RTIs were associated with decreases in antibiotic prescribing; the
20 majority of these studies reported reductions of greater than -14% with the largest effect size
21 reaching -30%.

22

23 **Conclusion:** Multi-faceted communication interventions that target both the general public
24 and clinicians can reduce antibiotic prescribing in high-income countries but the sustainability
25 of reductions in antibiotic prescribing is unclear.

26

27

28

29 **Introduction**

30

31 Even since the 1940's, shortly after the discovery of penicillin, the ability of bacteria to
32 develop resistance to antibiotics has been known.¹ The process of antimicrobial resistance
33 (AMR) is a natural phenomenon but there is evidence that the excessive and unnecessary use
34 of antibiotics accelerates the process of resistance.^{2,3}

35

36 AMR is a major threat to health and jeopardises many of the treatments that are now routinely
37 performed in healthcare settings.⁴⁻⁶ Patients with drug resistant infections often need a longer
38 duration of treatment coupled with an increased length of hospital stay.^{4,7} As treatments are
39 less effective patients remain infectious for a longer period of time, thereby increasing the
40 risk of spreading resistant microorganisms to others.

41

42 Interventions to prevent the inappropriate use of antibiotics have been directed at clinicians,
43 patients and the wider public. Clinician-directed interventions include educational materials
44 (e.g. guidelines, lectures, workshops), audit and feedback on antibiotic prescribing practices,
45 electronic or paper reminders, computer-aided clinical decision support systems and point-of-
46 care testing (e.g. C-Reactive Protein).⁸

47

48 A 2005 Cochrane review examined the effectiveness of professional interventions in
49 improving the prescription of antibiotics in ambulatory care.⁸ The authors determined that
50 multifaceted interventions where educational interventions occur on multiple levels may be
51 effective if local barriers to change are also addressed. A more recent review assessed the
52 effectiveness of interventions to reduce outpatient antibiotic prescribing, concluding that
53 interventions using active clinician education may lead to larger reductions in antibiotic
54 prescribing.⁹

55

56 Interventions to improve patient antibiotic-related knowledge, attitudes and behaviour often
57 involve educational components and are usually delivered in clinical settings, such as practice
58 waiting rooms, consultation rooms or pharmacies.^{9, 10} Targeting patients as well as clinicians
59 is important as patient expectations and demands for antibiotics are often suggested as key
60 reasons why clinicians inappropriately prescribe antibiotics.^{11, 12}

61

62 In addition to targeting interventions at doctors and patients, tackling the unnecessary use of
63 antibiotics requires interventions that reach the general public.¹³ Misperceptions about
64 antibiotic resistance are common worldwide.^{14, 15} A systematic review of quantitative and
65 qualitative studies examining public knowledge and beliefs about antibiotic use concluded
66 that the public have an inadequate understanding of antibiotic resistance and believe that
67 antibiotic resistance poses a minor risk to themselves.¹⁶ Raising peoples' awareness and
68 understanding to change these misconceptions before they become patients may play a key
69 role in tackling antibiotic resistance. Interventions that occur outside the clinical setting could
70 influence the antibiotic-related knowledge, attitudes and behaviour of those yet to become
71 patients and the future carers of patients. This may range from national campaigns that
72 employ mass media to more local interventions targeted at smaller communities.

73

74 Huttner *et al.* conducted a focused review in 2010 on public campaigns that aimed to improve
75 the use of antibiotics.¹⁷ Multifaceted campaigns repeated over several years appeared to have
76 the greatest effects, however, it remained unclear exactly what elements constituted a
77 successful campaign. In addition, it could not be shown whether the effects of campaigns
78 extended beyond trends occurring in the absence of such interventions because many of the
79 included studies did not employ a control group. Furthermore, the review excluded
80 community-level campaigns, randomised clinical trials that had recently been reviewed by
81 other groups and studies from low and middle-income countries (LMICs). Our aim was to
82 provide an up-to-date systematic review of the effectiveness of public-targeted
83 communication interventions to improve the use of antibiotics that overcomes the limits of

84 this previous review. We conducted the review in line with the Preferred Reporting Items for
85 Systematic Reviews and Meta-Analyses statement (PRISMA).

86

87 **Methods**

88

89 Search Strategy

90 A systematic search was carried out in July 2015 using a predefined search protocol. No date
91 restrictions were applied but only articles of English language were considered. The
92 following seven databases were searched: MEDLINE, EMBASE, CINAHL, Cochrane
93 Library, Web of Science, The Trials Register of Promoting Health Interventions and
94 BiblioMap. All titles and abstracts retrieved from the searches were imported into Mendeley
95 referencing software. Duplicates were removed.

96

97 Titles, abstracts and full-text references were screened for inclusion by one author (E.C.) with
98 a random subset of 10% screened by a second author (R.T.) at each stage. Inter-rater
99 reliability scores were calculated using Cohen's kappa; substantial agreement was found at
100 the title screen stage and perfect agreement was found at abstract screen and full-text review
101 stages (Figure 1).¹⁸ Discrepancies between reviewers were resolved by discussion and any
102 further discrepancies were resolved by a third party (R.K.). In addition to the database search,
103 manual searches of the bibliographies of all of the included studies were performed to identify
104 additional relevant citations.

105

106 Eligibility criteria

107 Inclusion and exclusion criteria that were used for all stages of the screening process are
108 stated in Table 1. Any communication intervention that targeted the general public was
109 considered for inclusion. Studies had to be one of randomised controlled trials (RCTs),
110 cluster-RCTs, quasi-RCTs, interrupted times series (ITS) or controlled before-and-after

111 studies. Outcomes consisted of antibiotic prescribing/consumption and/or public antibiotic-
112 related knowledge, attitudes and behaviour.

113

114 Studies targeting solely clinicians or other healthcare staff or based only in a clinical setting
115 were excluded. This was to create a distinction between interventions directed at patients
116 rather than the general public. Studies that specifically measured changes in antibiotic
117 prescribing for children or residents in nursing homes or other long-term care facilities were
118 excluded. This was because recent reviews concerning antibiotic use in these populations
119 have been published and interventions are likely to differ from those targeted at the general
120 public.¹⁹⁻²² Interventions that targeted prescribing of anti-virals, anti-malarials, anti-fungal
121 agents or anti-tuberculosis agents as opposed to antibiotic agents were also excluded.

122

123 Search terms

124 The main search terms used were related to the population (public, community, population,
125 neighbourhood), intervention (communication, campaign, mass media) and outcomes
126 (antibiotic, antimicrobial resistance). Synonyms were determined for each key search term by
127 referring to a thesaurus, search strategies from other relevant systematic reviews and the
128 controlled vocabulary of databases. Subject headings were also identified for databases that
129 employ these. Appropriate syntax was used to cover various spellings and truncations of
130 search terms. All free-text terms and subject headings for each key search term were
131 combined using OR and the results of these combinations were then combined using AND to
132 produce the final set of results. Full details of the searches used can be accessed in the
133 supplementary electronic material.

134

135 Data extraction

136 Data extraction forms were based on the 'Checklist of items to consider in data collection or
137 data extraction' from the Cochrane Handbook for Systematic Reviews.²³ The forms were
138 modified after piloting on a sample of studies. Data were extracted on the key study

139 characteristics, methods of data collection, participant characteristics, intervention (target
140 illness, elements, duration), results and conclusions drawn by authors. Where there was not a
141 clear primary or main outcome measure data on all relevant outcome measures was collected.

142

143 Quality assessment

144 Two reviewers assessed the quality of studies using the Effective Public Health Practice
145 Project's (EPHPP) Quality Assessment Tool for Quantitative Studies.²⁴ This tool was
146 recommended in the Cochrane Handbook of Systematic Reviews for assessing public health
147 interventions.²⁵ In a systematic review concerning tools for assessing methodological quality
148 and risk of bias of non-randomised studies the tool was one of six, out of 182 identified, that
149 was judged to be useful for systematic reviews, as it forces reviewers to be objective and
150 systematic with their judgements of quality.²⁶

151

152 The EPHPP tool can be used for any quantitative study design. It includes 21 items separated
153 into eight components; selection bias, study design, confounders, blinding, data collection
154 methods, withdrawals or dropouts, intervention integrity and analysis. For each of the first six
155 components a rating of weak, moderate or strong is given and these scores contribute to a
156 global rating for the study. The tool has been evaluated for content and construct validity,
157 through comparison with another validated instrument and an iterative process of an expert
158 group, and meets standards for both.²⁶ The instrument also meets standards for inter-rater and
159 intra-rater reliability. Cohen's Kappa was used to determine intra-rater reliability.

160

161 **Results**

162 The search yielded 5,553 results through database searching and an additional 163 were
163 identified through bibliography searches. After de-duplication 3,915 references were screened
164 of which 42 references were assessed in full text. Fourteen studies (representing thirteen
165 interventions) met inclusion criteria for the review. A flow diagram of the study selection
166 process is shown in Figure 1. We found substantial heterogeneity in the studies therefore

167 narrative synthesis was employed and the assessment of evidence was informed by the
168 method recommended by Kirkwood and Sterne.²⁷

169

170 Study characteristics

171

172 Population

173 Half of the fourteen studies were conducted in the United States (US),²⁸⁻³⁴ six in Europe³⁵⁻⁴⁰
174 and one in Thailand.⁴¹ Only one of the interventions was targeted at a specific population
175 group (village grocery owners).⁴¹ Table 2 provides a summary of the key characteristics of
176 each included study.

177

178

179 Intervention

180 Four of the studies evaluated nationwide campaigns,³⁶⁻³⁹ seven evaluated interventions
181 conducted on a community-level^{28, 31, 32-35, 40} and the remaining three studies conducted more
182 restricted interventions where communication was limited to specific site-based and
183 household materials.^{29, 30, 41} Mass media methods of communication, including television,
184 radio, newspapers, magazines and billboards, were used in ten of the studies.^{28, 31, 33-40} Nine of
185 the studies focused on reducing antibiotic prescribing for respiratory tract infections (RTIs).^{29,}
186^{30, 32-37, 39} In addition to a public-targeted element, a specific clinician-directed element was
187 present in twelve of the included studies.²⁸⁻³⁹

188

189 Outcomes measured

190 Twelve of the studies measured a change in the prescribing rate or consumption of
191 antibiotics.^{28-38, 40} Three of the studies measured the impact of interventions on public
192 antibiotic-related knowledge or attitudes.^{35, 39, 41} One of the studies measured the effect on
193 antimicrobial resistance in the study population³² and one of the studies measured the change
194 in availability of antibiotics without a prescription.⁴¹

195

196 Study design

197 The included studies consisted of one cluster-RCT,³³ seven controlled clinical trials,^{29-32, 34, 35,}
198 ⁴¹ three interrupted time series,³⁶⁻³⁸ one cohort analytic study,²⁸ one retrospective controlled
199 before-and-after study,⁴⁰ and one controlled before-and-after survey.³⁹

200

201 Quality of studies

202

203 A summary of quality assessment results is presented in Table 3. There were no studies of
204 overall strong quality, seven of the studies were of overall moderate quality^{31, 33, 35-38, 41} and
205 the seven remaining studies were of overall weak quality.^{28-30, 32, 34, 39, 40} No studies were
206 excluded based on their quality in order to provide an overview of all the literature.

207

208 Changes in antibiotic prescribing rates

209

210 The findings of included studies measuring changes in antibiotic prescribing are summarised
211 in Table 4.

212

213 Population level

214 The nationwide interventions evaluated by the included studies included the French and
215 Belgium campaigns. The French campaign consisted of the central theme “Antibiotics are not
216 automatic” and the aim was to reduce total antibiotic use in the community by 25%. There
217 was strong to very strong evidence that the French campaign resulted in large reductions in
218 antibiotic prescribing; between 2002 to 2010 antibiotic use during the campaign periods
219 (October to March) decreased by -26% and reached a maximum decrease of -30%.^{36, 37} The
220 Belgium mass media campaign used simple messages such as “Use antibiotics less frequently
221 but better” and “Save antibiotics, they may save your life”. The campaign was associated with
222 a reduction of 6.5% in outpatient antibiotic sales in the first campaign year, for which there

223 was quite strong evidence.³⁸ However, this effect was not sustained into the second
224 intervention year.

225

226 Community-level interventions varied in scale, with some assigning small rural villages to
227 intervention groups³² and others implementing interventions in larger regions^{31, 35} or whole
228 states.²⁸ *Belongia et al.* conducted a study on a statewide level (Wisconsin, USA) and
229 reported no evidence for a reduction in antibiotic prescribing in the intervention state relative
230 to the control.²⁸ Two of the studies evaluated interventions implemented in communities with
231 estimated populations of >1 million people; one found no evidence for a reduction in
232 antibiotic prescribing in metropolitan communities of Colorado³¹ and the other found strong
233 evidence for an average change in prescribing rates of -4.3% (measured as defined daily
234 doses per 1000 inhabitants per day) in the provinces of Modena and Parma, Italy.³⁵ Two of
235 the studies that evaluated interventions conducted on much smaller communities in the US
236 (<10,000 people) reported strong evidence for the largest reductions in prescribing of -14.1%
237³⁴ and -21%.³²

238

239 Two US studies where interventions were limited to practice-based and mailed household
240 materials demonstrated large effect sizes. One of the studies found quite strong evidence for a
241 reduction in antibiotic prescribing of -24% at the full intervention healthcare practice site
242 (practice and household educational materials).²⁹ The other study also delivered practice and
243 household-based educational materials as part of the intervention and found reductions
244 ranging from -14% (P = 0.006) to -18% (P = <0.002), when compared to two separate control
245 populations.³⁰

246

247 Communication method

248 The use of mass media was associated with a variable effect on antibiotic prescribing. The
249 majority of studies where mass media was used reported positive findings,^{35-38, 40} with very
250 strong evidence for the largest effects found in the studies by *Sabuncu et al.* and *Bernier et al.*

251 who evaluated the French national campaign at different time periods.^{36, 37} However, not all of
252 the studies that employed mass media reported convincing evidence of a reduction in
253 antibiotic prescribing; Gonzales *et al.* found no evidence for a reduction in antibiotic
254 prescribing in the general population of Colorado.³¹ In addition, another US campaign that
255 made extensive use of mass media materials (including newspaper reports, radio advertising,
256 local television news stories and television advertising) found that while the antibiotic
257 prescribing rate decreased by -20.4% in the intervention state (Wisconsin), the control
258 community (Minnesota) also experienced a -19.8% reduction.²⁸ Furthermore, there was
259 evidence that interventions that did not employ mass media still managed to achieve some of
260 the largest reductions in prescribing.^{29, 30, 32} Similarly, the use of television in interventions
261 was associated with reductions in antibiotic prescribing in the majority of cases, for which
262 there was strong evidence,^{35, 36, 37, 40} but television use was not essential for an intervention to
263 be effective.^{29-30, 33, 34}

264

265 Target illness

266 Eight of the studies involved interventions that aimed to specifically reduce antibiotic
267 prescribing for RTIs.^{29, 30, 32-37} Overall these studies found evidence of reductions in antibiotic
268 prescribing with seven of the eight reporting effect sizes of greater than -14%.^{29, 30, 32-34, 36, 37}
269 For interventions in which specific campaign slogans communicated the general message of
270 ‘antibiotics do not work against colds and flu’ there was strong evidence that this could lead
271 to large reductions in antibiotic prescribing.^{29, 36, 37} Studies in which interventions were not
272 specifically aimed at reducing antibiotic prescribing for RTIs reported either no effect or
273 evidence of a limited effect.^{28, 31, 38, 40}

274

275 Public element versus clinician element

276 Only three of the included studies did not include a specific clinician-directed element to the
277 intervention and,^{33, 40, 41} of these, only two measured changes in antibiotic prescribing.^{33, 40} The
278 first study by Lambert *et al.* evaluated a regional mass media campaign implemented over

279 two consecutive years in the North East of England.⁴⁰ The authors found no difference in
280 prescribing rates between the groups over the total time periods compared but did report very
281 strong evidence for a reduction in antibiotic prescribing, equivalent to -5.8%, in the
282 intervention communities over the winter months of the second campaign year.

283

284 The second study conducted by Samore *et al.* was able to partially distinguish the separate
285 effects of the public- and clinician-directed elements of the intervention.³³ Twelve rural
286 communities in Utah and Idaho were randomised to a full intervention group (encompassing
287 both public and clinician-directed elements), a partial intervention group (public element
288 alone) and a control group. There was quite strong evidence that there was a reduction in the
289 antibiotic prescribing rate for the full intervention group compared to the partial intervention
290 and control groups.

291

292 Another study investigated the additional effect of a public-targeted intervention element to a
293 clinician-centred quality improvement intervention that was already in place in private office
294 practices in Denver, Colorado.²⁹ The intervention practices therefore received combined
295 public and clinician-directed interventions, while the control practices only received the on-
296 going clinician intervention. There was strong evidence that the addition of the public-
297 targeted element led to substantial reductions in prescribing rates for adult bronchitis of -14%
298 and -17%, when compared to two separate control groups.

299

300 Changes in antibiotic knowledge and attitudes

301

302 Only three of the included studies reported the effect of interventions on antibiotic-related
303 knowledge and attitudes.^{35, 39, 41} An improvement in antibiotic-related knowledge and attitudes
304 was found in only one of the studies; Arparsrithongsagul *et al.* targeted village grocery
305 owners in Thailand through trained community ‘change agents’, including a mixture of
306 village community leaders, village health volunteers, active villagers, consumers and

307 government public health officers.⁴¹ The authors reported an improvement in the mean
308 antibiotic knowledge score in the intervention group (9.04 to 10.90, $P = <0.01$) and no change
309 in the control group (9.22 to 9.22, $P = >0.05$).

310

311 The two other studies that reported no improvement in antibiotic-related knowledge and
312 attitudes were also mass media campaigns involving both public and clinician elements and
313 targeting antibiotic prescribing for RTIs.^{35,39} McNulty *et al.* studied the effects of the English
314 national campaign and found no evidence of a difference in the proportion of participants
315 with incorrect responses to the main attitude the campaign attempted to change, “Antibiotics
316 works on most coughs and colds”.³⁹ In addition, there was very strong evidence of an increase
317 in the proportion of English respondents reporting that they kept any leftover antibiotics
318 (2.2% to 7%, $P = <0.001$). Formoso *et al.* conducted a community-level controlled trial in
319 northern Italy and reported no significant difference in the proportion of correct responses to
320 six antibiotic-related knowledge and attitudes statements.³⁵ However, there was an increase in
321 the proportion of those agreeing incorrectly to the statement “Antibiotics are effective against
322 viruses” (47% to 62%, $P = <0.05$) postintervention.

323

324 Other outcome measures

325

326 Hennessy *et al.* studied the impact of an educational intervention in remote Alaskan villages
327 on the levels of antibiotic resistant bacteria.³² People in the intervention villages were
328 surveyed at baseline and after the initial intervention by nasopharyngeal cultures for
329 *Streptococcus pneumoniae* carriage. There was a reduction in the proportion of penicillin-
330 nonsusceptible *Streptococcus pneumoniae* (PNSP) (41% to 29%, $P = 0.01$) and penicillin-
331 resistant *Streptococcus pneumoniae* (PRSP) (25% to 11%, $P = <0.01$) with no change in the
332 control population. However, when the intervention was extended for a second year in both
333 the intervention and control villages, the reduction in the carriage of PNSP and PRSP in the
334 intervention population was not sustained.

335

336 Arparsrithongsagul *et al.* measured the effect of an intervention on the antibiotic availability
337 in the village groceries in Thailand.⁴¹ Antibiotics in groceries can be purchased without a
338 prescription and self-administered. The proportion of intervention village groceries containing
339 antibiotics decreased from 79.2% to 22.9% ($P = <0.001$) with little change in the control
340 village groceries (88.2% to 85.3%). Even after controlling for confounding factors the
341 intervention group had an 87% reduction in antibiotic availability (relative rate = 0.13; 95%
342 CI, 0.07 to 0.23), while the control group had an 8% reduction in antibiotic availability
343 (relative rate = 0.92; 95% CI, 0.88 to 0.97).

344

345

346 **Discussion**

347

348 Main findings of this study

349

350 This review found evidence that interventions conducted on a national, community and site-
351 based/household level could achieve reductions in antibiotic prescribing in developed
352 countries, in at least the short-term. No clear relationship between the use of mass media and
353 the effect on antibiotic prescribing was found. There was evidence that interventions targeting
354 antibiotic prescribing for RTIs were associated with substantial reductions in antibiotic
355 prescribing. There are an inadequate number of appropriately designed studies to evaluate
356 how effective public-targeted interventions are at independently reducing antibiotic
357 prescribing without a clinician component. Similarly, there were only a small number of
358 studies measuring changes in antibiotic-related knowledge and attitudes and these had mixed
359 findings. There was only one study conducted in a LMIC. All studies were of weak to
360 moderate quality and therefore some caution is needed in interpreting these findings.

361

362 Strengths and limitations

363

364 This study is important because it provides an up-to-date systematic review of the
365 effectiveness of communication interventions targeted at the general public to improve the
366 use of antibiotics. A key strength of this review is that only studies with a control group or
367 interrupted time series were included. Uncontrolled before and after studies do not take
368 account of possible significant background variation and seasonal patterns to antibiotic
369 prescribing.⁴² Therefore, previous research that had included such studies was unable to show
370 whether the effects of campaigns extended beyond trends occurring in their absence.¹⁷ We
371 can be more confident that the studies in this review have protected against secular trends and
372 therefore are more likely to represent true changes.

373

374 There are a number of limitations to the methods employed in this review. Firstly the results
375 may be affected by publication bias because the grey literature was not searched. The effect
376 sizes from the included studies in this review may be misleading because published trials are
377 more likely to demonstrate positive and larger intervention effects than evidence existing
378 within the grey literature or unpublished evidence.⁴³ Secondly, only studies written in
379 English language were included, which may have introduced language bias. Most of the
380 studies identified were from the US or Europe, which may be suggestive of this bias, or may
381 also reflect the current evidence base. Thirdly, the review only included articles that targeted
382 the prescribing of antibiotics and since AMR also refers to resistance conferred to other anti-
383 infective agents this can be considered a key limitation. During the screening process titles
384 and abstracts of articles were not screened simultaneously and therefore some relevant studies
385 may have been incorrectly excluded at the title screening stage. In addition to this, the
386 reviewers were not blinded to study authors, institutions, journal name and results when
387 conducting the quality assessment of studies.⁴⁴ Furthermore, study designs of included studies
388 were often complex and heterogeneous making the judgement of study quality challenging. In
389 relation to this, the EPHPP quality assessment tool scored controlled clinical trials
390 comparably with RCTs for study design. The EPHPP tool may also be criticised because

391 studies that failed to report certain aspects (e.g. validity and reliability of data collection
392 methods) were scored weakly, whereas this may not represent weak quality but simply poor
393 reporting.

394

395 RCTs do not lend themselves to interventions that employ mass communication on a
396 population level; therefore, the majority of included studies were non-randomised. It has been
397 previously suggested that non-randomised studies report larger effect estimates because of
398 increased susceptibility to bias and confounding.⁴⁵ However, a recent review found that larger
399 effect estimates were not always found in non-randomised studies.⁴⁶ A key limitation of the
400 evidence base is that most of the included studies did not measure outcomes at greater than
401 six months post-intervention; the short length of follow-up means we are unable to judge
402 whether interventions led to sustainable reductions in antibiotic prescribing. This is not only
403 important for determining whether campaigns need to be repeated to remain effective, and the
404 appropriate time interval for this, but it is also key to establishing the cost-effectiveness of
405 interventions over longer periods of time. Another major challenge of the evidence base is
406 how the success of interventions are measured, with different studies using different metrics
407 and data sources to do this. This is problematic because these differences can lead to
408 substantial variation in perceived levels of antibiotic use.⁴⁷ For instance, Bruyndonckx *et al.*
409 found that European outpatient antibiotic use significantly increased when measured as
410 defined daily dose per 1000 inhabitants per day but for the same time period contrasting
411 trends were found when the data was analysed as packages per 1000 inhabitants per day.⁴⁸
412 Moreover, a total decrease in antibiotic use does not necessarily mean an improved quality of
413 prescribing, for example, in France during the national campaign between 2002 and 2007,
414 there was a substantial increase in the use of fluoroquinolones, which is arguably not
415 desirable.³⁷ This highlights how important it is to ensure that the data collected truly reflects
416 the desired impact and also any unintended consequences of an intervention. Inappropriate
417 reductions in antibiotic prescribing may be associated with harms such as longer duration and
418 severity of infection or more complications. However, the majority of studies did not attempt

419 to measure potential harms that may be associated with reductions in antibiotic prescribing. In
420 addition to this, antibiotic availability without a prescription is a significant problem
421 particularly in LMICs, with a recent meta-analysis demonstrating the prevalence of
422 antimicrobial use without a prescription to be 38.8% (95 % CI, 29.5% to 48.1%).⁴⁹ The
423 current review found little evidence for interventions to target the problem of antibiotic use
424 without a prescription but this may be partly due to a lack of high quality studies addressing
425 this problem. Relatedly, only one of the studies included in this review was conducted in a
426 LMIC (Thailand) and this did not measure changes in antibiotic prescribing, therefore the
427 findings from this review cannot be generalised to LMICs.

428

429 Findings in relation to other research

430 Antibiotic awareness campaigns employing mass media (e.g. posters and leaflets) alone as
431 opposed to more interactive elements (e.g. prescriber feedback) appear to be ineffective in
432 improving prescribing rates and antibiotic-related knowledge, attitudes and behaviour.⁵⁰
433 Indeed, while many of the successful campaigns in this review had used mass media as part
434 of a multi-modal approach, the use of mass media was not a pre-requisite for an effective
435 campaign. The results from this review are in line with previous findings, that multi-faceted
436 interventions, which target both clinicians and the public through a variety of formats, are
437 successful at reducing antibiotic prescribing.^{8, 9, 17, 50} Experience from other public health
438 campaigns also suggest the need for repeated exposure to campaign messages over a long
439 duration in order to produce sustained effects.⁵⁰⁻⁵² While this was evident in some of the
440 studies in this review,^{33, 36, 37} this was not the case for all of the studies.³⁸ Inappropriate
441 prescribing most commonly occurs for RTIs and the large reductions in antibiotic prescribing
442 that were found for interventions that targeted RTIs is consistent with this.⁵³ In an attempt to
443 provide more quantitative evidence on the topic, Filippini *et al.* employed a differences-in-
444 differences approach, using available observational data to model the effect of national public
445 campaigns on antibiotic usage.⁵⁴ They included data from 21 European countries and
446 estimated that between 1997 and 2007 public campaigns substantially reduced mean level of

447 antibiotic use by about -6.5 to -28.3%. These findings are largely in line with the effect sizes
448 observed in our review.

449

450 There were only three studies identified in this review where the effects of an intervention
451 that solely targeted the public could be evaluated. Ranji *et al.* summarised the findings from
452 ten trials that studied interventions in which only clinician education was delivered.⁹ The
453 authors estimated that the additional reduction in antibiotic prescribing rates between the
454 intervention and control groups ranged from -6.5 to -28.6% (median -8.9%). This suggests
455 that clinician education alone without public involvement can produce substantial reductions
456 in prescribing. Nonetheless, two of the studies included in this review compared a full
457 intervention group (combined public and clinician elements) with a limited intervention group
458 (either public or clinician element only) and both reported greater reductions in antibiotic use
459 for the full intervention group.^{29, 30} The authors report that there may be a synergy created
460 between the public and clinician-directed components when used together. As a variety of
461 factors may influence the prescribing of antibiotics such as patient expectations, colour of
462 secretions and even clinician pay,^{11, 55, 56} it could be reasoned that interventions that target
463 multiple behaviours of all involved may be more successful than those that target them in
464 isolation.

465

466 For studies that measured changes in antibiotic-related knowledge and attitudes, two of the
467 campaigns specifically included key messages about antibiotics not being useful for colds or
468 flu.^{35, 39} However, it appears that this message failed to improve the public's knowledge of, or
469 attitudes towards, antibiotics. Indeed, previous campaign evaluations have demonstrated the
470 difficulty with educating the public about the differences between viral and bacterial
471 infections.^{17, 57} While Formoso *et al.* found no improvement in public knowledge and attitudes
472 the authors did show reductions in antibiotic prescribing.³⁵ This, albeit an isolated finding
473 from one study, may suggest that improving the public's knowledge and attitudes towards
474 antibiotic resistance is less important for reducing antibiotic use. On the other hand, Gonzales

475 *et al.* concluded that the reduction in antibiotic use that they found was largely due to a
476 reduction in clinical consultations, which suggests a change in the public's behaviour rather
477 than improved prescribing behaviour by clinicians.³¹ Similarly, Grijalva *et al.* examined US
478 antibiotic prescribing trends and found that in children <5 years old the reduction in antibiotic
479 use was actually due to a decrease in the number of clinical consultations rather than
480 improved prescribing practice (no change in proportion of visits where an antibiotic was
481 prescribed). However, for the older age groups prescribing practice did appear to improve.⁵⁸

482

483 Recommendations for future research

484

485 No studies of high quality were identified; therefore future research should aim to be of
486 greater quality by employing randomised or cluster-randomised designs to ensure baseline
487 comparability of study groups and adequate control of confounding factors. Studies should
488 clearly report on blinding of investigators and participants, the validity and reliability of data
489 collection tools and the extent of withdrawals and dropouts. To distinguish the separate
490 impacts of public and clinician intervention components three-armed trials are required in
491 which a combined intervention (public and clinician elements) is compared to each separate
492 component. Studies should measure the sustainability of reductions in antibiotic prescribing
493 and potential adverse harms of reductions in prescribing. More research is needed to assess
494 the impact of communication interventions on the public's antibiotic-related knowledge and
495 attitudes. Research concerning interventions to tackle antibiotic availability without a
496 prescription in LMICs should be undertaken as this unregulated use poses a serious concern
497 and antibiotic resistance is ultimately a global problem.

498

499 **Conclusion**

500

501 Communication interventions conducted at a national, community or practice/household-level
502 should be considered as part of policy to reduce antibiotic use in high-income countries.

503 Interventions that target prescribing for RTIs may yield the largest reductions in antibiotic
504 use. The use of mass media is not a prerequisite for an effective intervention and a multi-
505 faceted approach is likely to prove more successful. There is an inadequate amount of
506 evidence to determine how effective public-targeted interventions are at independently
507 reducing antibiotic prescribing without a clinician component. Further gaps in the literature
508 exist with regard to the impact of communication interventions on the publics' antibiotic-
509 related knowledge and attitudes and the use of antibiotics (both regulated and unregulated) in
510 LMICs.

511

512 **Acknowledgements**

513 None.

514

515 **Funding**

516 This work was supported by the South West Public Health Training Programme through
517 funding E.C. and R.T's posts. The work was also undertaken with the support of The Centre
518 for the Development and Evaluation of Complex Interventions for Public Health
519 Improvement (DECIPHer), a UKCRC Public Health Research Centre of Excellence. Joint
520 funding (MR/KO232331/1) from the British Heart Foundation, Cancer Research UK,
521 Economic and Social Research Council, Medical Research Council, the Welsh Government
522 and the Wellcome Trust, under the auspices of the UK Clinical Research Collaboration, is
523 gratefully acknowledged.

524

525 **Transparency declarations**

526 None to declare.

527

528 **Author contributions**

529 E.C. conceived of and designed the study, conducted the searches, data extraction, quality
530 assessment and narrative synthesis of included studies. R.T. screened a subset of articles for

531 inclusion into the systematic review and dual-quality assessed all included studies. R.K.
532 resolved disagreements relating to quality assessment. R.K. contributed to interpretation and
533 critical review of the narrative synthesis and abstract.

534

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Table 1. Inclusion and exclusion criteria

	Inclusion criteria	Exclusion criteria
Language	English	Non-English
Time period	Inception of databases to 2015	None
Population	General public	Patients Residents in nursing homes/long-term care facilities Interventions based solely in clinical settings Clinicians and other healthcare staff Children (age <18 years)
Intervention	Interventions employing some form of communication	Interventions that targeted only prescribing of: Anti-virals, anti-malarials, anti-fungal agents or anti-tuberculosis agents
Comparison	Studies employing a control group	Studies that did not employ a control group
Outcome	Change in: Antibiotic prescribing and/or consumption The publics' antibiotic-related knowledge, attitudes or behaviour	Outcomes that were not changes in antibiotic prescribing or consumption and/or changes in antibiotic-related knowledge, attitudes and behaviour
Study	RCTs Cluster-RCTs Quasi-RCTs ITS Controlled before-and-after studies	Descriptive studies Qualitative studies Studies that did not employ a control group Studies that did not measure outcomes pre- and post-intervention

Figure 1. Flow diagram of systematic review search

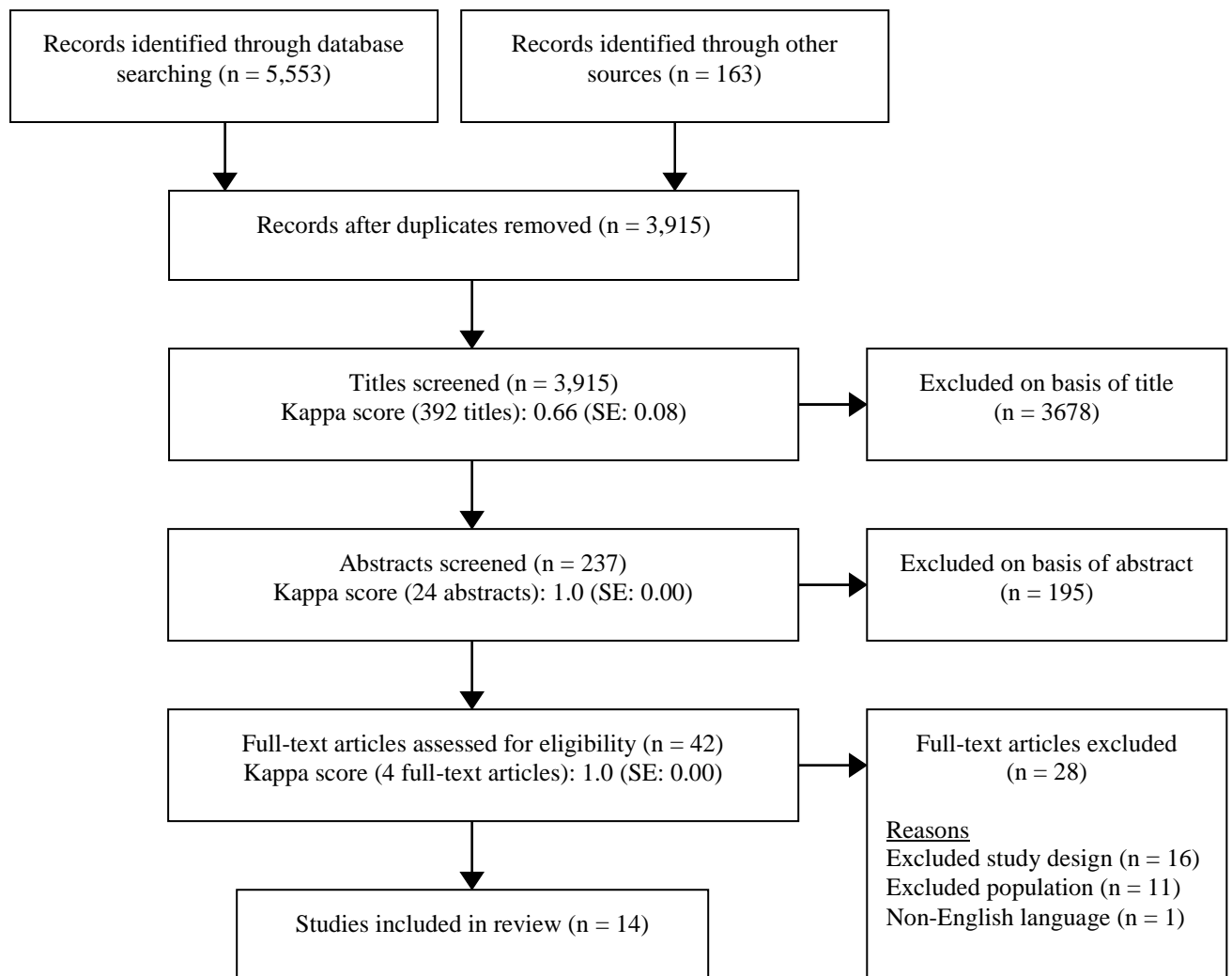


Table 2. Summary of characteristics of included studies

Author, year	Study design	Participants	Country	Intervention			
				Elements	Clinician element	Target illness	Duration
Nationwide interventions (n = 4)							
Bauraind, 2004 ³⁸	Interrupted time series	General public, nationwide	Belgium	Mass media campaign (including television); distribution of written materials for public	Yes	Not specified	3 months
Sabuncu, 2009 ³⁷	Interrupted time series	General public, nationwide	France	Mass media campaign (including television); training of day care workers to deliver educational messages, travelling education events and written materials	Yes	RTIs	6 months
Bernier, 2014 ³⁶	Interrupted time series						
McNulty, 2010 ³⁹	Controlled before-and-after survey	1888 persons pre- and 1830 post-intervention in 1 intervention and 1 control country	UK	Mass media campaign (no television); written materials and practice-based materials	Yes	RTIs	1 month
Community-level interventions (n = 7)							
Belongia, 2005 ²⁸	Cohort analytic	General public and 5115 primary care clinicians in 1 intervention and 1 control state	US	Mass media campaign (including television); educational meetings and distribution of written materials for public	Yes	Not specified	Not clear
Samore, 2005 ³³	Cluster-RCT	407,460 persons and 334 clinicians in 12 intervention and 6 control communities	US	Full intervention (mass media campaign with no television; educational events, written materials, mailed household materials and clinician element) Partial intervention (community element alone)	Yes (full intervention group only)	RTIs	Not clear
Rubin, 2005 ³⁴	Controlled clinical trial	General public <10,000 and 2 family practice groups in 1 intervention community and the rest of rural Utah as a control community	US	Mass media campaign (no television); educational materials for patients	Yes	RTIs	~6 months
Hennessy, 2002 ³²	Controlled clinical trial	13 villages in 1 intervention region and 2 control regions	US	Community-wide educational events and meetings, educational materials in high schools, mailed written materials to households	Yes	RTIs	6 months

Lambert, 2007 ⁴⁰	Retrospective controlled before-and-after study	Population of 16 intervention primary care organisations, number of control organisations not clear	UK	Mass media campaign (including television); written materials.	No	Not specified	2 months
Gonzales, 2008 ³¹	Controlled clinical trial	2.2 million persons in 1 intervention community and 0.53 million in 1 control community	US	Mass media campaign (no television); educational events (including awareness week and “Antibiotics Amnesty Month”) and distribution of written educational materials for public	Yes	Not specified	4 months
Formoso, 2013 ³⁵	Controlled clinical trial	1.15 million persons in 11 intervention health districts and 3.25 million in 31 control health districts	Italy	Mass media campaign (including television); educational events and distribution of written materials for public	Yes	RTIs	4 months
Site-based/household interventions (n = 3)							
Gonzales, 1999 ²⁹	Controlled clinical trial	2462 persons pre-, 2027 post-intervention and 93 healthcare professionals in 2 intervention practices and 2 control practices	US	Full intervention (mailed educational household materials, practice-based materials and clinician elements). Limited intervention (practice-based element only)	Yes	RTIs	Not clear
Gonzales, 2005 ³⁰	Controlled clinical trial	Population of 6 intervention and 362 control practices	US	Mailed household and practice-based educational materials (including self-management guide)	Yes (already in place)	RTIs	Not clear
Arparsrithong-sagul, 2015 ⁴¹	Controlled clinical trial	48 intervention and 68 control groceries and grocery owners in 20 intervention and 20 control villages	Thailand	Grocery shop-based face-to-face education by trained ‘change agents’	No	Not specified	No

Table 3. Summary of quality assessment of included studies

Author, year	Selection bias	Study design	Confounders	Blinding	Data collection methods	Withdrawals and drop-outs	Global rating
Arparsrithongsagul, 2015 ⁴¹	Moderate	Strong	Moderate	Moderate	Weak	Strong	Moderate
Bauraind, 2004 ³⁸	Moderate	Moderate	Strong	Moderate	Weak	Moderate	Moderate
Belongia, 2005 ²⁸	Weak	Moderate	Moderate	Moderate	Weak	Moderate	Weak
Formoso, 2013 ³⁵	Moderate	Strong	Strong	Moderate	Weak	Moderate	Moderate
Gonzales, 1999 ²⁹	Moderate	Strong	Moderate	Moderate	Weak	Weak	Weak
Gonzales, 2005 ³⁰	Weak	Strong	Moderate	Moderate	Weak	Strong	Weak
Gonzales, 2008 ³¹	Moderate	Strong	Strong	Moderate	Weak	Moderate	Moderate
Hennessy, 2002 ³²	Moderate	Strong	Weak	Moderate	Weak	Strong	Weak
Lambert, 2007 ⁴⁰	Moderate	Weak	Moderate	Moderate	Weak	Moderate	Weak
McNulty, 2010 ³⁹	Moderate	Weak	Strong	Moderate	Weak	Moderate	Weak
Rubin, 2005 ³⁴	Moderate	Strong	Weak	Moderate	Weak	Moderate	Weak
Sabuncu, 2009 ³⁷	Strong	Moderate	Strong	Moderate	Weak	Moderate	Moderate
Bernier, 2014 ³⁶	Strong	Moderate	Strong	Moderate	Weak	Moderate	Moderate
Samore, 2005 ³³	Strong	Strong	Strong	Moderate	Weak	Moderate	Moderate

Table 4. Summary of findings of included studies measuring changes antibiotic prescribing outcomes

Author, year	Primary outcome(s)	Change in intervention group	Change in control group	Effect size (95% CI)	P value
Nationwide interventions (n = 3)					
Bauraind, 2004 ³⁸	Change in total outpatient antibiotic sales	*	*	First campaign year: -6.5%	<0.05
	Change in total outpatient antibiotic sales	*	*	Second campaign year: -3.4%	>0.05
Sabuncu, 2009 ³⁷	Change in winter antibiotic prescribing rate (Oct to Mar)	*	*	-26.5% (-33.5% to -19.6%)**	<0.0001
Bernier, 2014 ³⁶	Change in antibiotic prescribing rate	*	*	-30% (-36.3% to -23.8%)***	< 0.001
Community-level interventions (n = 7)					
Belongia, 2005 ²⁸	Change in antimicrobial prescribing rate	-20.4%,	-19.8%	-0.6%	NR
	Change in retail sales of antimicrobial drugs (grams per capita)	-17.3%	-27.4%	10.1%	NR
Samore, 2005 ³³	Change in antibiotic prescribing rate per 100 person-years (partial intervention vs. control)	1%	6%	-5%	0.03 (difference between three groups)
	Change in antibiotic prescribing rate per 100 person-years (full intervention vs. control)	-10%	6%	-16%	
Rubin, 2005 ³⁴	Change in proportion of upper RTIs episodes treated with an antibiotic	-15.6% (P = 0.002)	-1.5% (P = 0.47)	-14.1%	NR
Hennessy, 2002 ³²	Change in mean number of antibiotic courses per person	-31% (P = <0.01)	-10% (P = >0.05)	-21%	NR

Lambert, 2007 ⁴⁰	Change in antibiotic prescribing rate	21.7 fewer items prescribed per 1000 population****	NR	-5.8%	< 0.0005
Gonzales, 2008 ³¹	Net change in antibiotic dispenses per 1000 persons	-	-	-3.8%	0.30
	Net change in managed care-associated antibiotic dispenses per 1000 members	-	-	-8.8%	0.03
Formoso, 2013 ³⁵	Average change in antibiotic prescribing rates for outpatient	-	-	-4.3% (-7.1% to -1.5%)	0.008
Site-based/household interventions (n = 2)					
Gonzales, 1999 ²⁹	Change in antibiotic prescribing rate for uncomplicated acute bronchitis (limited intervention vs. control)	-5%	-2%	-3%	0.02 (full-intervention vs. limited intervention and control)
	Change in antibiotic prescribing rate for uncomplicated acute bronchitis (full intervention vs. control)	-26%	-2%	-24%	
Gonzales, 2005 ³⁰	Change in antibiotic prescribing rate for adult bronchitis (intervention vs. local control)	-24%	-10%	-14%	0.006
	Change in antibiotic prescribing rate for adult bronchitis (intervention vs. distal control)	-24%	-6%	-18%	<0.002

NR = not reported

* Not reported as ITS design

** During campaign periods (Oct to Mar) 2002 to 2007

*** Maximum significant decrease observed during campaign periods (Oct to Mar) 2002 to 2010

**** Over winter months (Nov to Mar)